

## Memory Management Policies

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- To Execute a Process at least part of the process must be in main Memory.
- Primary(Main) memory is a precious Resource.
- All active process can not be placed in main memory at once.

### **How to execute many process simultaneously?**

- not to store all process in main memory.
- Part of process may present on secondary storage.
- decision is made by the Memory management subsystem.

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### **Memory management subsystem**

- Decides which process should reside in main memory.
- Manages process on secondary storage.
- Monitors available Primary memory and secondary storage.

The secondary storage used to store process is called **swap device**

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### **Memory Management Policies**

Scheme used by Memory Management Subsystem to decide which process or part of process should be part of main memory and secondary storage.

**Two Policies are used:**

- Swapping
- Demand Paging

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### **Swapping:**

1. Used in historical UNIX.
2. Transfers entire process between Primary memory and secondary storage.
3. Suitable for processes of small size.
4. Easier to implement.

### **Demand Paging:**

1. Transfers memory pages instead of entire process between main memory and secondary storage.
2. Used in UNIX system V.
3. allows size of process greater than memory.
4. Provides more flexibility in mapping virtual address space in main memory.

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## **Swapping**

There are three issues in swapping:

- Managing space on swap device.
- Swapping processes out of main memory.
- Swapping processes into main memory.

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## **Management of swap space**

Swap device is a block device in a part of disk.

Swap space is divided into disk block similar to file system.

### **Differences in the allocation of blocks on swap device and file system:**

1. Blocks are allocated one at a time for file and on swap device those are allocated in group of contiguous blocks.
2. Space allocated to file are used statically whereas space on swap device is transient in nature.

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### **Differences in the allocation of blocks on swap device and file system:**

3. Space allocated to a file is used for long time where as for swap device it is used for very short time.
4. Scheme used for space allocation on file system produces less fragmentation where as for swap device it creates more fragmentation.
5. Kernel allocates contiguous space on the swap device without fragmentation to a process.
6. It maintains free space of the swap device in an in-core table, called map.
7. The kernel treats each unit of the swap map as group of disk blocks As kernel allocates and frees resources, it updates the map accordingly

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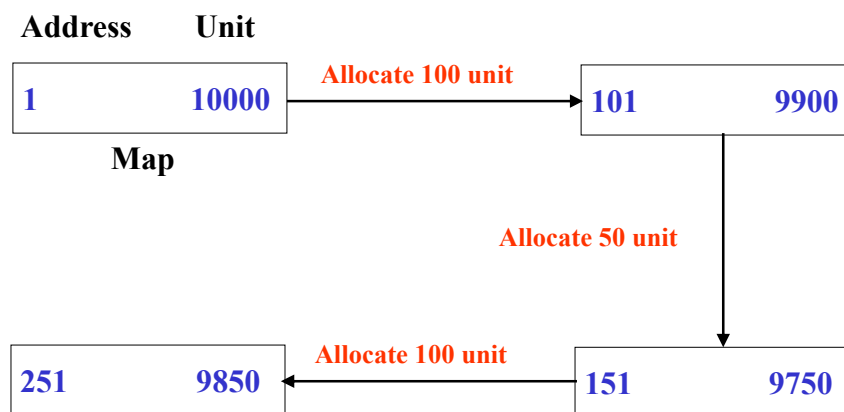
## Swapping

- The swap device is a block device in a configurable section of a disk
- Kernel allocates contiguous space on the swap device without fragmentation
- It maintains free space of the swap device in an in-core table, called **map**
- The kernel treats each unit of the swap map as group of disk blocks
- As kernel allocates and frees resources, it updates the map accordingly

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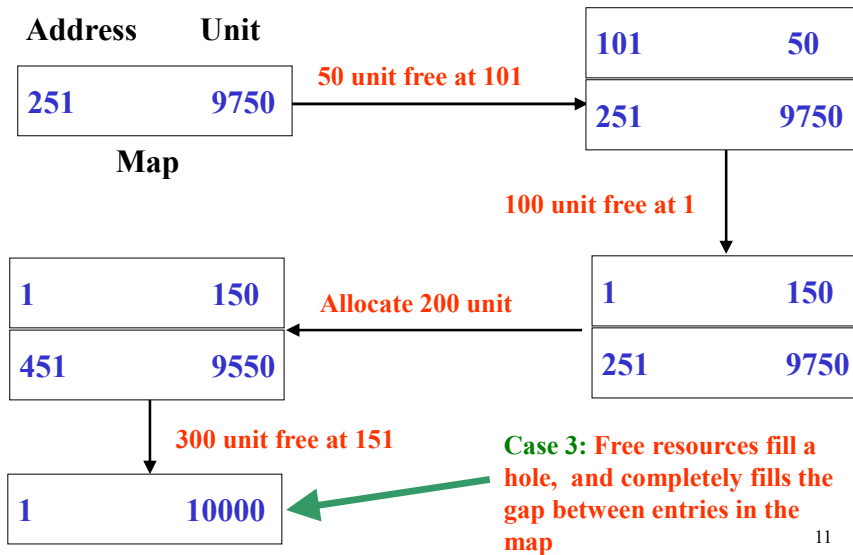
## Allocating Swap Space



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## Freeing Swap Space



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## Algorithm for allocation of Swap space

```

algorithm malloc      /* algorithm to allocate map space */
input: (1) map address /* indicates which map to use */
       (2) requested number of units
output: address, if successful
        0, otherwise
{
    for (every map entry)
    {
        if (current map entry can fit requested units)
        {
            if (requested units == number of units in entry)
                delete entry from map;
            else
                adjust start address of entry;
            return (original address of entry);
        }
    }
    return(0);
}
    
```

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## Swapping Process Out

- Memory → Swap device
- Kernel swap out when it needs memory
  1. When fork() called for allocate child process
  2. When called for increase the size of process
  3. When process become larger by growth of its stack
  4. Previously swapped out process want to swap in but not enough memory

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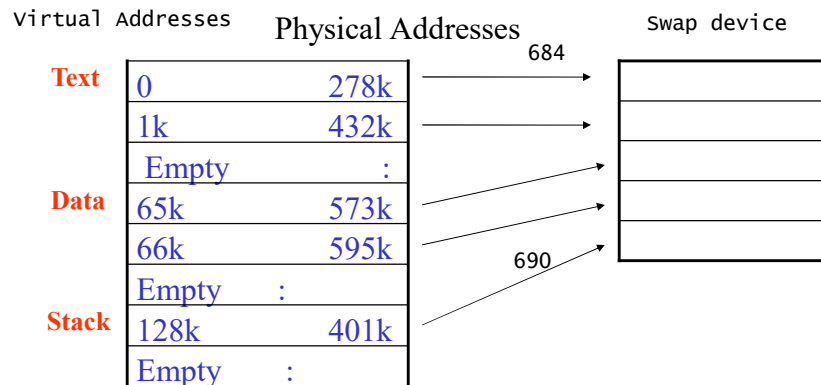
## Swapping Process Out

- The kernel must gather the page addresses of data at primary memory to be swapped out
- Kernel copies the physical memory assigned to a process to the allocated space on the swap device
- The mapping between physical memory and swap device is kept in page table entry

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# Swapping Process Out

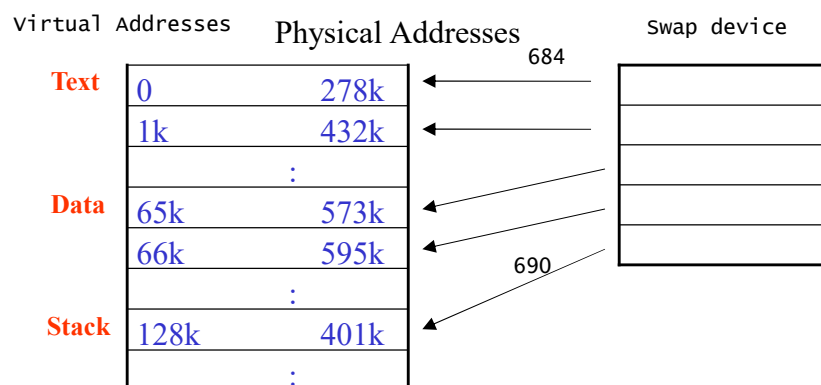


Mapping process onto the swap device

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# Swapping Process In



Swapping a process into memory

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## Fork Swap

- There may not be enough memory when `fork()` called
- Child process swap out and “ready-to-run”
- Swap in when kernel schedule it

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## Expansion Swap

- It reserves enough space on the swap device to contain the memory space of the process, including the newly requested space
- Then it adjust the address translation mapping of the process
- Finally, it swaps the process out on newly allocated space in swapping device
- When the process swaps the process into memory, it will allocate physical memory according to new address translation map

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## Swapper

- Swapper , process 0, is the only process that can sweep processes In and Out.
- At the end of system initialization, swapper goes in infinite loop, Where its only task is process swapping.
- Swapper sleeps if there is no work for it to do.
- Kernel periodically schedules swapper just like other processes,
- But swapper always runs in kernel mode and is scheduled at high priority.
- Swapper do not make any system call but it makes use of kernel Functions.

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Swapper always brings all “ready to run” processes in memory.

The Swapper goes to sleep until kernel wakeups a process on swap Device or swaps out a “ready to run” process.

If swapper needs to swap in a process, it checks for enough space in Main memory. If space is not available it swaps out another process and restart the loop.

Swapper do not attempt swap out:

- a zombie process, since it do not take any memory space.
- a ready to run process, since they likely to be scheduled.
- process performing region operations.

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Swappers makes a choice of sleeping processes for swap out  
Based on their priority and time spend in memory.

Swapper may swap a “ready to run” process based on its nice  
Value.

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```
algorithm swapper      /* swap in swapped out processes,  
                       * swap out other processes to make room */  
input: none  
output: none  
{  
    loop:  
        for (all swapped out processes that are ready to run)  
            pick process swapped out longest;  
        if (no such process)  
        {  
            sleep (event must swap in);  
            goto loop;  
        }  
        if (enough room in main memory for process)  
        {  
            swap process in;  
            goto loop;  
        }  
}
```

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```

for (all processes loaded in main memory, not zombie and not locked in memory)
{
    if (there is a sleeping process)
        choose process such that priority + residence time
        is numerically highest;
    else /* no sleeping processes */
        choose process such that residence time + nice
        is numerically highest;
}
if (chosen process not sleeping or residency requirements not
    satisfied)
    sleep (event must swap process in);
else
    swap out process;
goto loop; /* goto loop2 in revised algorithm */
}

```

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## Demand Paging

Possible only on the machines having MM unit supporting “Paging”  
And CPU supporting “restartable” instructions.

Not all page of process resides in main memory.

No size limitation for process. A machine having 1 M of physical  
Memory can run process of size more than 1 M.

But kernel still puts limit on maximum virtual size of process.

When a process accesses a page that is not part of its working set,  
it incurs a page fault.

The kernel suspends the execution of the process until it reads the  
page into memory and makes it accessible to the process

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## Principle of Locality

- Demand paging is possible due to Locality.

Process tend to execute instruction in a small portion of text space, their data references tends to cluster in a small subset of total Data space of the process. – This is known as locality.

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## Working set of a Process

- Denning formalized the notion of working set of a process.
- It is the set of pages that process has referred in it's last "n" memory references.
- The number "n" is called as window of working set.
- Because the working set is typically smaller than the total process space, it is possible to fit more process in main memory than swapping.
- When a process references a page out of it's current working set, a page fault occurs and in handling the page fault kernel brings the page in main memory from secondary storage and updates the working set.

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Sequence of Page References	Working Sets		Window Sizes	
	2	3	4	5
24	24	24	24	24
15	15 24	15 24	15 24	15 24
18	18 15	18 15 24	18 15 24	18 15 24
23	23 18	23 18 15	23 18 15 24	23 18 15 24
24	24 23	24 23 18	⋮	⋮
17	17 24	17 24 23	17 24 23 18	17 24 23 18 15
18	18 17	18 17 24	⋮	⋮
24	24 18	⋮	⋮	⋮
18	18 24	⋮	⋮	⋮
17	17 18	⋮	⋮	⋮
17	17	⋮	⋮	⋮
15	15 17	15 17 18	15 17 18 24	⋮
24	24 15	24 15 17	⋮	⋮
17	17 24	⋮	⋮	⋮
24	24 17	⋮	⋮	⋮
18	18 24	18 24 17	⋮	⋮

Figure 9.12. Working Set of a Process

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- A larger working set demands more pages in the main memory.
- Pages from working sets are removed according to LRU algorithm as process references new pages not currently in working set.

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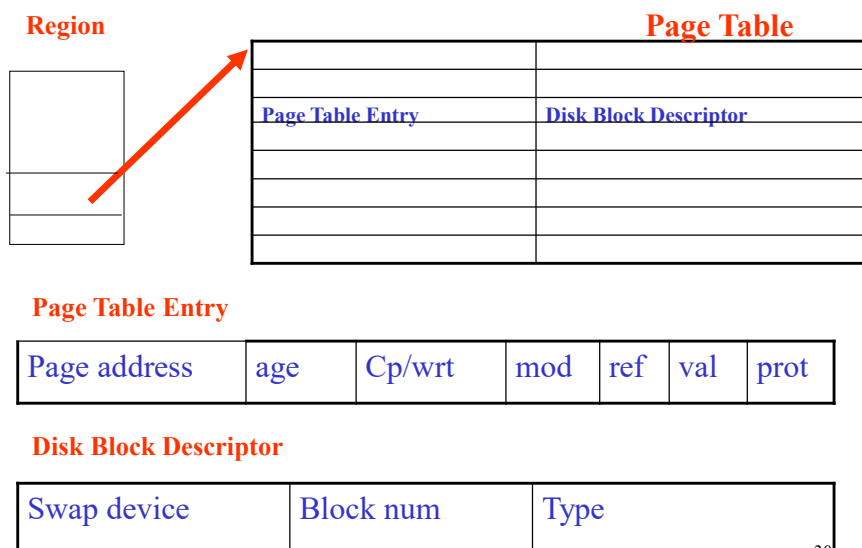
## Data Structure for Demand Paging

- Page table entry
- Disk block descriptors
- Page frame data table
- Swap use table

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## Data Structure for Demand Paging



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## Page Table Entry

- Contains the physical address of page and the following bits:
  - **Valid**: whether the page content legal
  - **Reference**: whether the page is referenced recently
  - **Modify**: whether the page content is modified
  - **copy on write**: kernel must create a new copy when a process modifies its content (required for fork)
  - **Age**: Age of the page
  - **Protection**: Read/ write permission

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## Page Frame Data Table (pfdata)

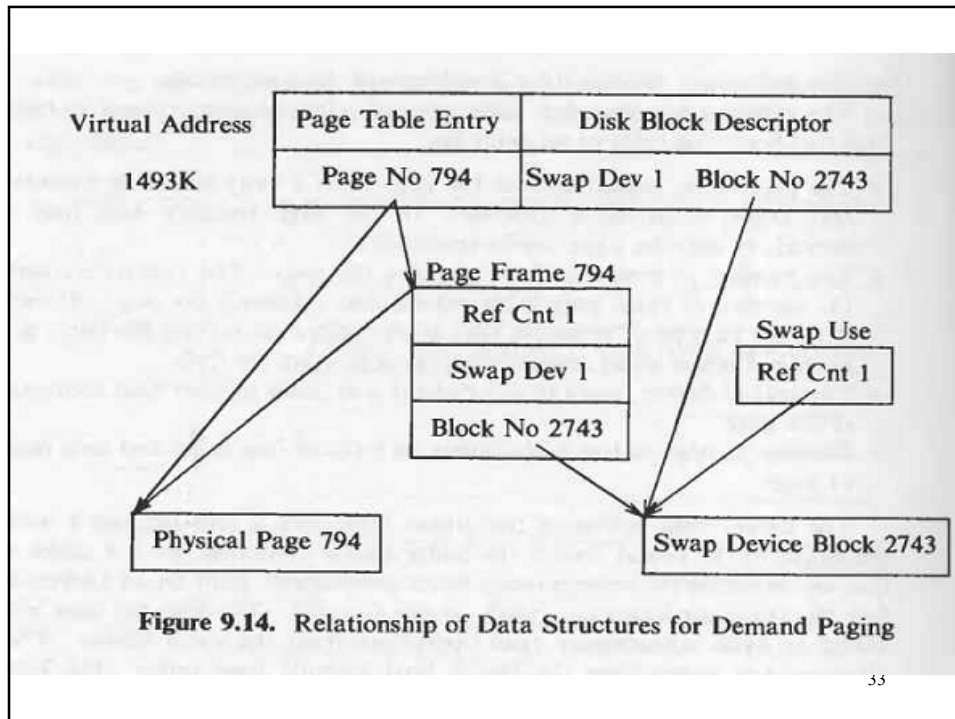
- The page state, indicating that the page is on a swap device or executable file, that DMA is currently underway for the page (reading data from a swap device), or that the page can be reassigned.
- The number of processes that reference the page. The reference count equals the number of valid page table entries that reference the page. It may differ from the number of processes that share regions containing the page, as will be described below when reconsidering the algorithm for *fork*.
- The logical device (swap or file system) and block number that contains a copy of the page.
- Pointers to other pfdata table entries on a list of free pages and on a hash queue of pages.

The swap-use table contains an entry for every page on a swap device. The entry consists of a reference count of how many page table entries point to a page on a swap device.

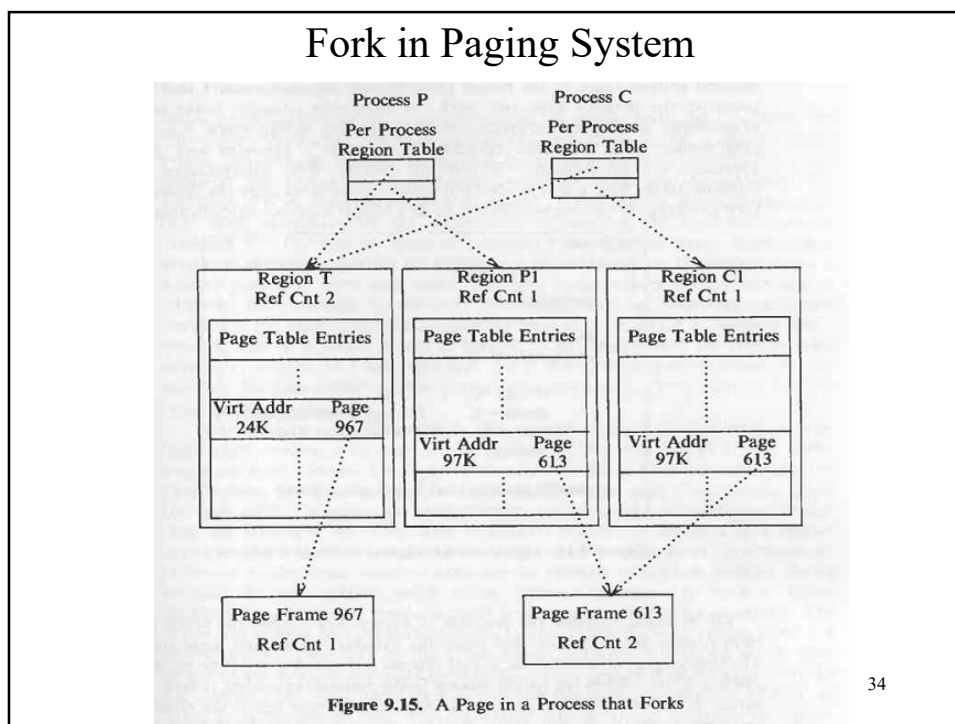
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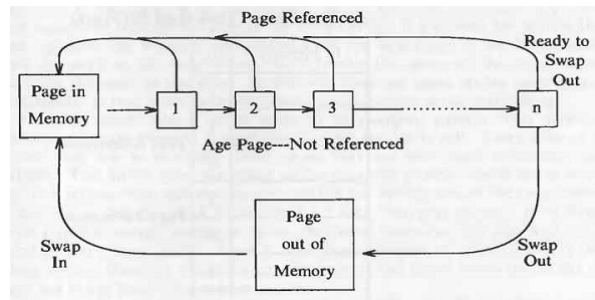


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## Page Stealer Process



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