

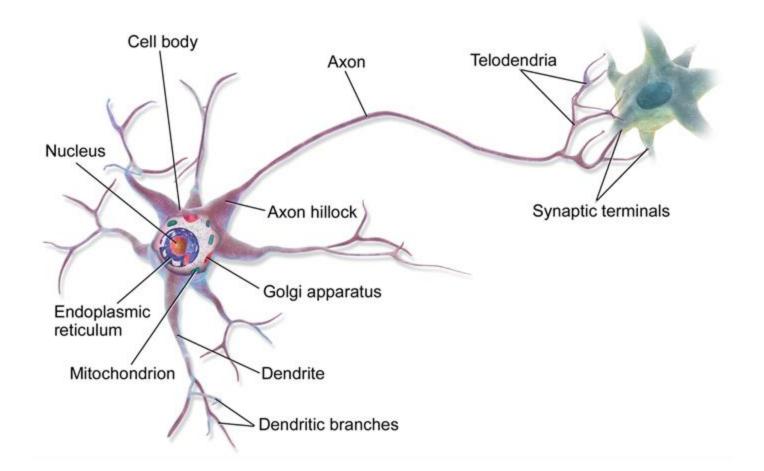
# Neural Networks

NLP II 2025 Assoc. Prof. Attapol Thamrongrattanarit



#### **Neural Networks**

 A neural network is a machine learning (AI) model inspired by and based loosely on the human brain that processes information through interconnected nodes or neurons.



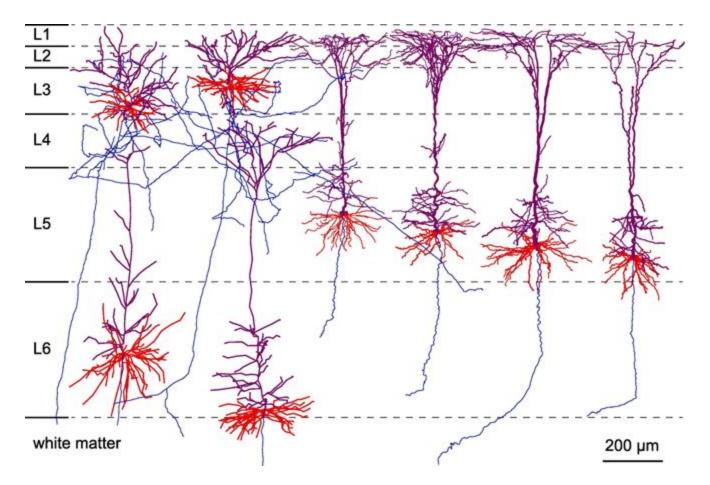
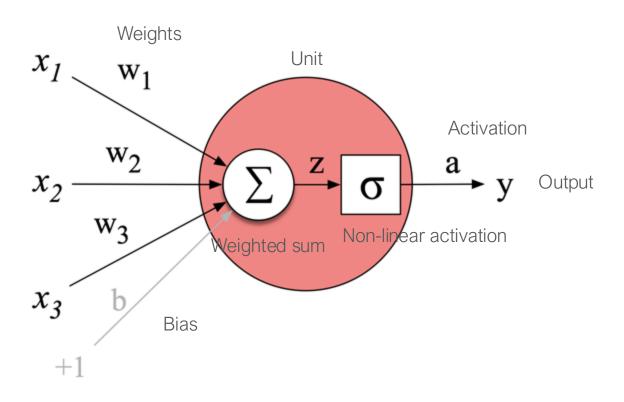


Image from Georgiev et al., 2020

#### Neural Network Unit



Input layer

#### **Neural Unit**

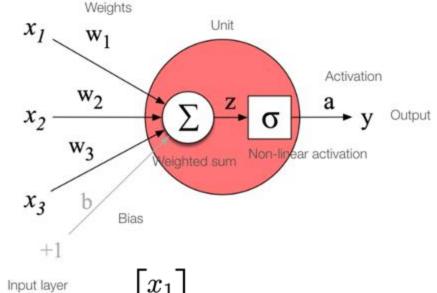
$$z = \sum_{i=1}^{n} w_i x_i + b$$

$$z = \mathbf{w} \cdot \mathbf{x} + b$$

$$y = a = \sigma(z) = \frac{1}{1 + e^{-z}}$$

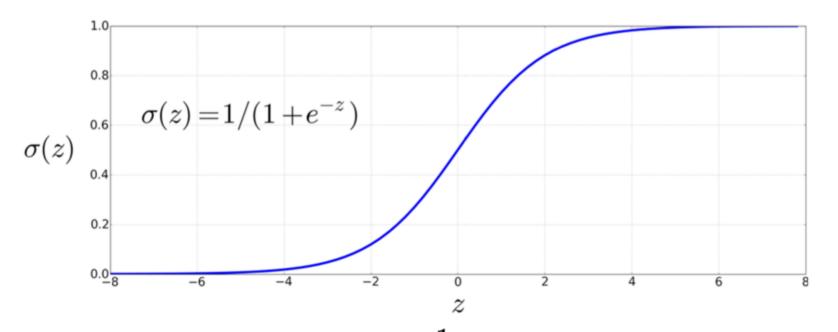


$$\mathbf{w} = egin{bmatrix} w_1 \ w_2 \ w_3 \end{bmatrix}$$



$$\mathbf{x} = egin{bmatrix} x_1 \ x_2 \ x_3 \end{bmatrix}$$

### Non-linear activation function: sigmoid



$$y = \sigma(\mathbf{w} \cdot \mathbf{x} + b) = \frac{1}{1 + \exp(-(\mathbf{w} \cdot \mathbf{x} + b))}$$

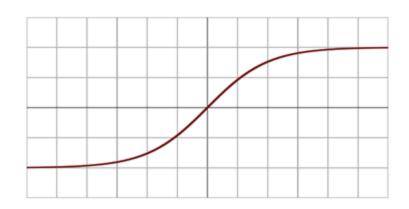
#### Non-linear activation function: ReLU and tanh



$$f(x) = \left\{ egin{array}{ll} 0 & ext{for } x < 0 \ x & ext{for } x \geq 0 \end{array} 
ight.$$

$$f'(x) = \left\{egin{array}{ll} 0 & ext{for } x < 0 \ 1 & ext{for } x \geq 0 \end{array}
ight.$$

Rectified Linear Unit (ReLU) activation function



$$f(x)= anh(x)=rac{(e^x-e^{-x})}{(e^x+e^{-x})}$$
 $f'(x)=1-f(x)^2$ 

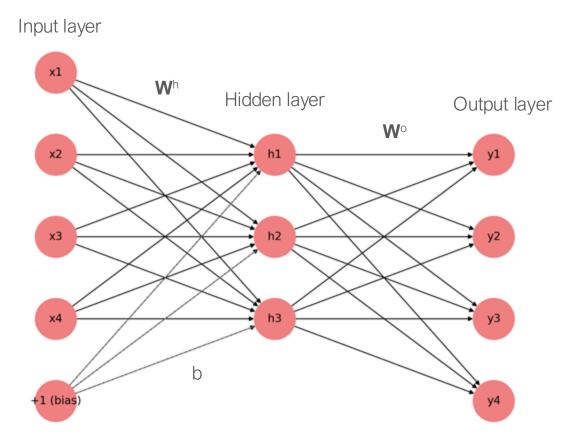
Hyperbolic tangent activation function

# Feedforward Neural Networks



### Hidden layer and hidden unit

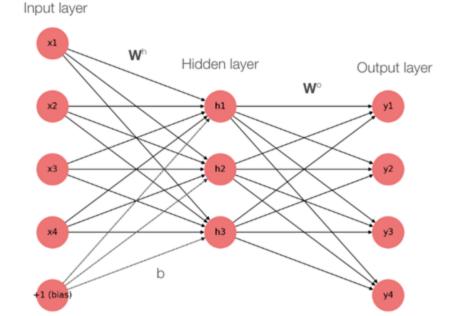
- A hidden unit is a neural unit (taking a weighted sum of its inputs and then applying non-linear activation function)
- In a standard setting, the input and the hidden layers are <u>fully-connected</u>, which means each hidden unit in the hidden layer sums over all the input units.



A two-layer feedforward neural network (one hidden layer and one output layer)

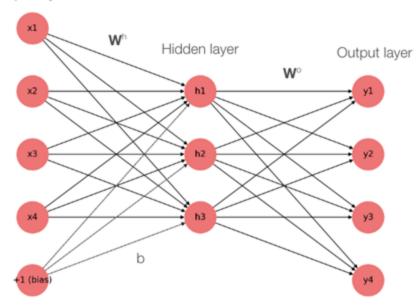
$$\mathbf{z} = W^h \mathbf{x} + b = \begin{bmatrix} 0.3 & -0.7 & 0.5 & 0.1 \\ -0.2 & 0.8 & -0.4 & 0.3 \\ 0.6 & -0.5 & 0.2 & -0.3 \end{bmatrix} \begin{bmatrix} 0.5 \\ 0.2 \\ 0.1 \\ 0.9 \end{bmatrix} + \begin{bmatrix} 0.1 \\ -0.3 \\ 0.2 \end{bmatrix} = \begin{bmatrix} 0.25 \\ -0.01 \\ 0.15 \end{bmatrix}$$

$$\mathbf{h} = \sigma(\mathbf{z}) = \begin{bmatrix} \sigma(0.25) \\ \sigma(-0.01) \\ \sigma(0.15) \end{bmatrix} = \begin{bmatrix} 0.5622 \\ 0.4975 \\ 0.5374 \end{bmatrix}$$



$$\mathbf{h} = \sigma(\mathbf{z}) = \begin{bmatrix} \sigma(0.25) \\ \sigma(-0.01) \\ \sigma(0.15) \end{bmatrix} = \begin{bmatrix} 0.5622 \\ 0.4975 \\ 0.5374 \end{bmatrix}$$

$$W^oh = \begin{bmatrix} 0.2 & -0.3 & 0.5 \\ -0.1 & 0.4 & -0.2 \\ 0.3 & -0.6 & 0.1 \\ 0.5 & 0.2 & -0.4 \end{bmatrix} \begin{bmatrix} 0.5622 \\ 0.4975 \\ 0.5374 \end{bmatrix} = \begin{bmatrix} 0.2319 \\ 0.0353 \\ -0.0761 \\ 0.1656 \end{bmatrix}$$



$$\mathbf{y} = \text{softmax}(W^{o}h) = \frac{e^{W^{o}h}}{\sum e^{W^{o}h}} = \begin{bmatrix} \frac{e^{0.2319}}{\sum e^{W^{o}h}} \\ \frac{e^{0.0353}}{\sum e^{W^{o}h}} \\ \frac{e^{-0.0761}}{\sum e^{W^{o}h}} \end{bmatrix} = \begin{bmatrix} 0.2863 \\ 0.2352 \\ 0.2104 \\ 0.2680 \end{bmatrix}$$

$$\mathbf{x} = \begin{bmatrix} 0.5 \\ 0.2 \\ 0.1 \\ 0.9 \end{bmatrix}$$

$$\mathbf{h} = \begin{bmatrix} 0.3 & -0.7 & 0.5 & 0.1 \\ -0.2 & 0.8 & -0.4 & 0.3 \\ 0.6 & -0.5 & 0.2 & -0.3 \end{bmatrix}$$

$$\mathbf{w}^o = \begin{bmatrix} 0.2 & -0.3 & 0.5 \\ -0.1 & 0.4 & -0.2 \\ 0.3 & -0.6 & 0.1 \\ 0.5 & 0.2 & -0.4 \end{bmatrix}$$

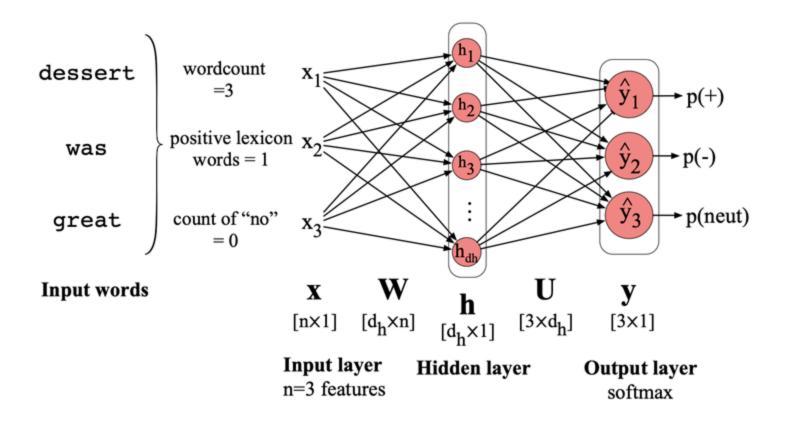
$$\mathbf{y} = \begin{bmatrix} 0.2863 \\ 0.2352 \\ 0.2104 \\ 0.2680 \end{bmatrix}$$



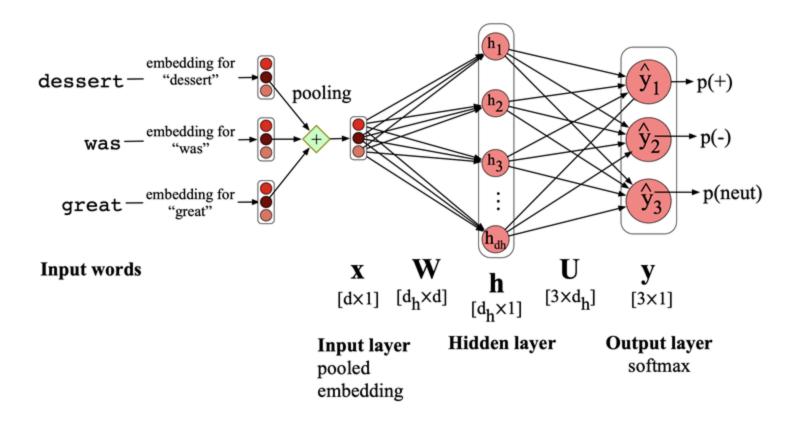
Feedforward neural network

$$\mathbf{h} = \sigma(W^h \cdot \mathbf{x} + \mathbf{b})$$
$$\mathbf{y} = \operatorname{softmax}(W^o \cdot \mathbf{h})$$

# Feedforward Neural Networks for NLP: Classification



Feedforward network sentiment analysis with hand-built features



Feedforward network sentiment analysis with pooled word embeddings



#### Notation

- Suppose
  - X is n x d matrix (n = number of instances, d = embedding size)
  - W is d x k matrix (k = number of hidden units)
    - $w_{ij}$  = the weight between  $x_i$  and  $h_j$
- Then H = sigma(XW + b)
  - $\circ$  then  $h_{nj}$  = the hidden unit j for the instance n
- This is a more common notation for neural network

# Training Neural Network



## Training a neural network: loss function

- Training a neural network = finding the weights (parameters) that optimize (minimize) loss function.
- Define a loss function that measures the distance between the system output and the gold-standard output. We usually use cross-entropy loss:

$$L_{CE}(\hat{\mathbf{y}}, \mathbf{y}) = -\log \frac{\exp(\mathbf{z}_c)}{\sum_{j=1}^K \exp(\mathbf{z}_j)}$$
 (where c is the correct class)



Computing the gradient of a loss function

$$\frac{\partial L_{\text{CE}}(\hat{\mathbf{y}}, \mathbf{y})}{\partial \mathbf{w}_{k,i}} = -(\mathbf{y}_k - \hat{\mathbf{y}}_k) \mathbf{x}_i 
= -(\mathbf{y}_k - p(\mathbf{y}_k = 1 | \mathbf{x})) \mathbf{x}_i 
= -\left(\mathbf{y}_k - \frac{\exp(\mathbf{w}_k \cdot \mathbf{x} + b_k)}{\sum_{j=1}^K \exp(\mathbf{w}_j \cdot \mathbf{x} + b_j)}\right) \mathbf{x}_i$$



#### Reminder: Gradient Descent

Update equation:

$$\theta_j^{new} = \theta_j^{old} - \underline{\alpha} \frac{\partial}{\partial \theta_j^{old}} J(\theta) \qquad \text{a = step size or learning rate}$$

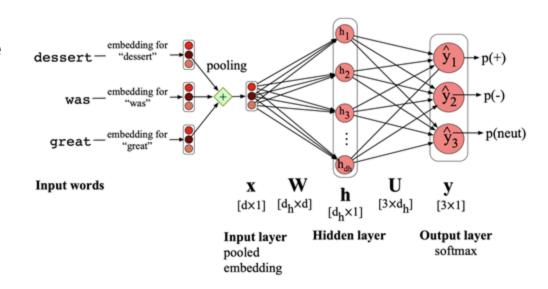
Algorithm:

```
while True:
    theta_grad = evaluate_gradient(J,corpus,theta)
    theta = theta - alpha * theta grad
```



## Computing gradient

- When training neural networks, we must take the derivative with respect to all of the parameters in order to optimize loss.
- How do we compute derivative for all weights?





#### Automatic differentiation

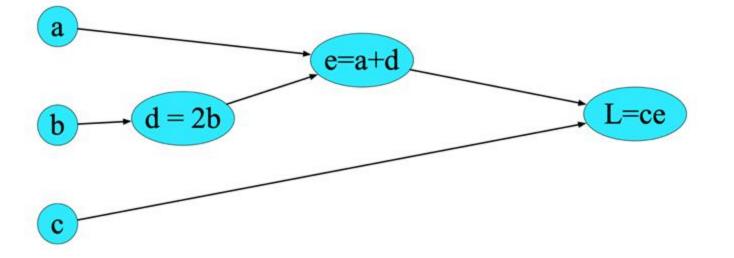
- In practice, we use python library to compute derivative. But here's what you need to know
- When we set up a neural network, we define a computation graph,
   which represents what needs to be computed in the model.
- Computing derivatives can be done efficiently through this computation graph.

# Example: L(a,b,c) = c(a+2b)

$$d = 2*b$$

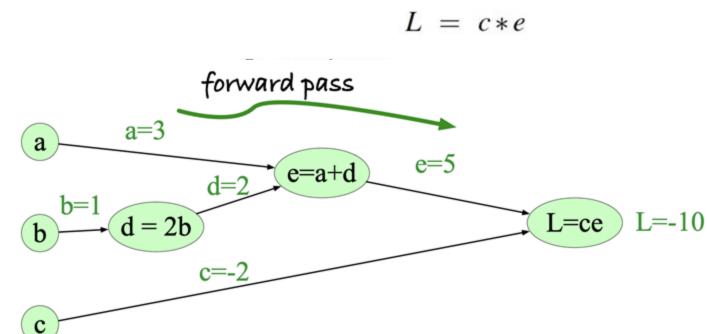
Computations: 
$$e = a + d$$

$$L = c * e$$



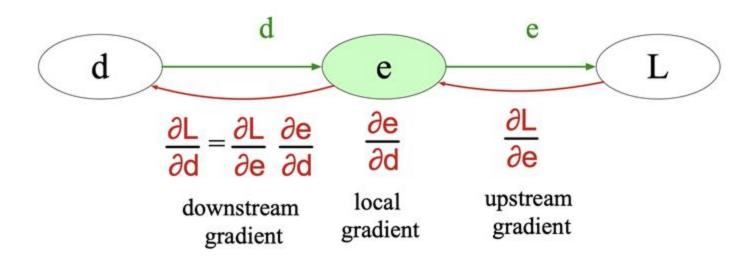
# Example: L(a,b,c) = c(a+2b)

$$d = 2*b$$
Computations:  $e = a+d$ 





Passing the gradient back from the final node



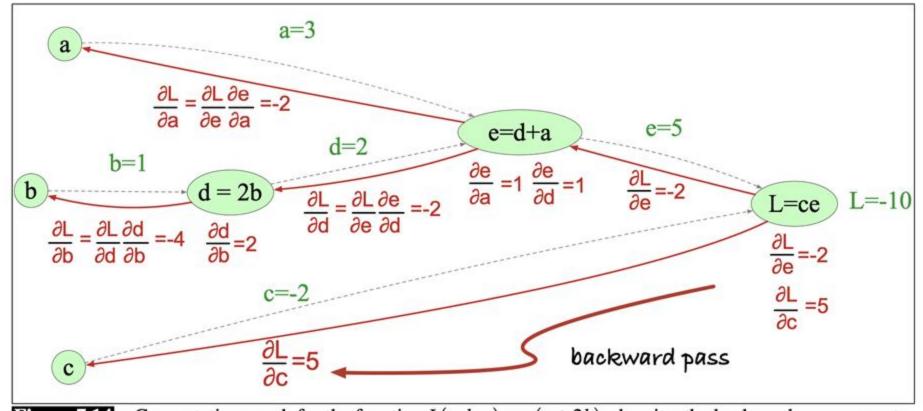
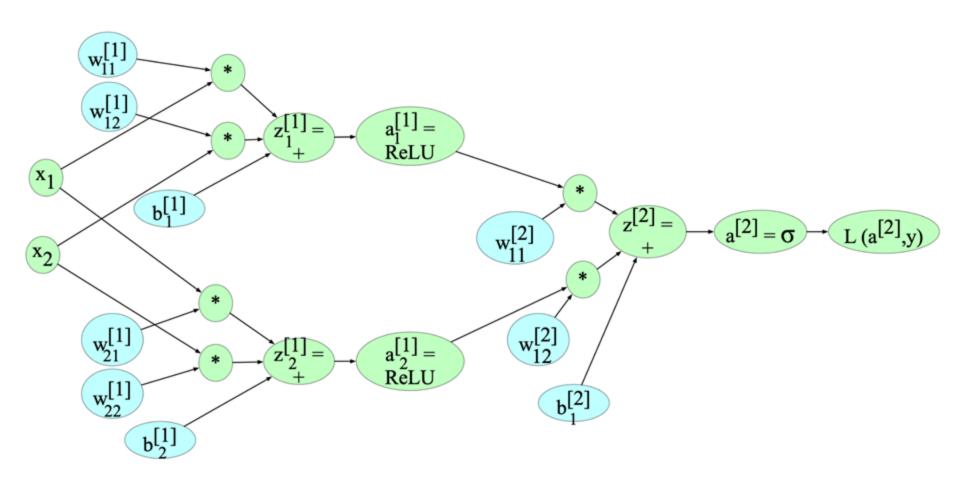


Figure 7.14 Computation graph for the function L(a,b,c) = c(a+2b), showing the backward pass computation of  $\frac{\partial L}{\partial a}$ ,  $\frac{\partial L}{\partial b}$ , and  $\frac{\partial L}{\partial c}$ .





### Automatic differentiation on computation graphs

- Set up the model in a computation graph in terms of the loss function
- Forward pass: compute the loss (we need it for computing gradient)
- Backward pass:
  - Compute local gradient from the last node in the graph
  - Pass the gradient backward in the graph

# Tricks for training neural networks



#### Stochastic gradient descent

```
theta = model parameters
for i in range(number of epochs):
    for x,y in training set:
        loss = compute_loss(theta, x, y)
        grad = compute_gradient(theta, x, y, loss)
        theta = theta - alpha * grad
```



### Training vocab

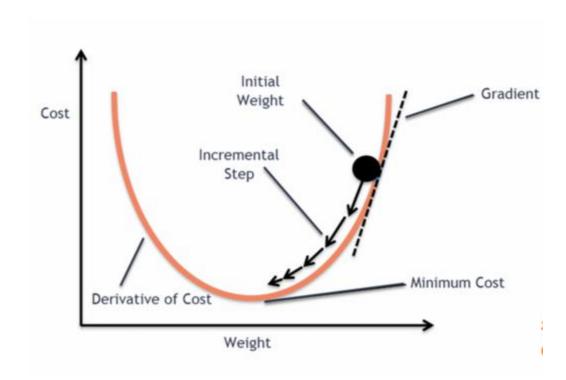
iteration = การอัพเดทพารามิเตอร์ทั้งหมด หนึ่งรอบ

epoch = การ loop บน training set หนึ่งครั้ง = หลาย iteration



#### **Gradient Descent**

การใช้ Error (ซึ่งมาจากการ diff objective/loss function) นำมา ปรับ parameter เพื่อให้ loss function ต่ำลง





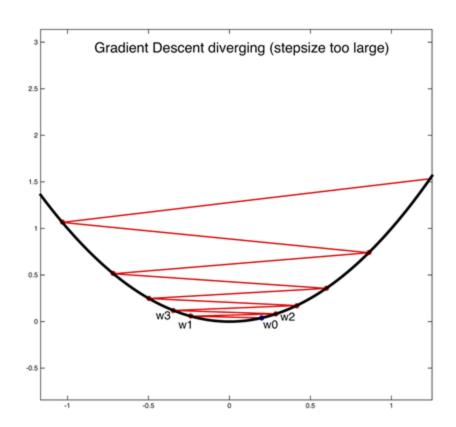
#### **Problems**

- Learning rate = step size = ควรจะก้าวสั้น ก้าวยาว
  - ก้าวช้า Parameter ขยับช้าเกินไป
  - o ก้าวเร็ว Parameter ขยับเร็วเกินไป มัวแต่เลยไปเลยมา



# ก้าวใหญ่ไป

กระโดดไป กระโดดมา



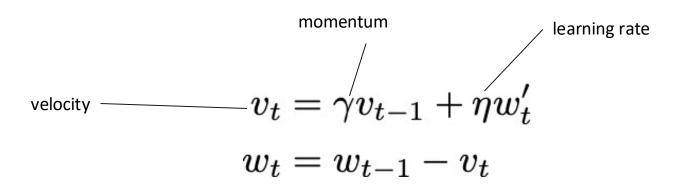


### Adaptive Learning Rate Optimizer

- Momentum
- RMSProp
- AdaGrad



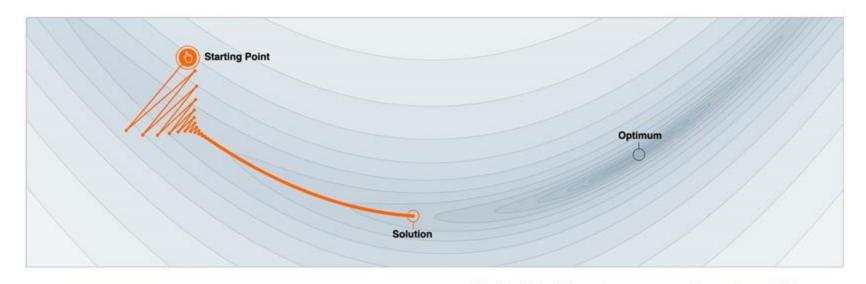
#### Momentum





#### Momentum

$$v_t = \gamma v_{t-1} + \eta w_t'$$
$$w_t = w_{t-1} - v_t$$





We often think of Momentum as a means of dampening oscillations and speeding up the iterations, leading to faster convergence. But it has other interesting behavior. It allows a larger range of step-sizes to be used, and creates its own oscillations. What is going on?

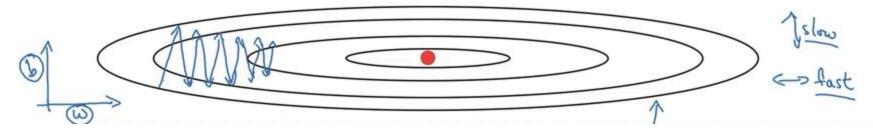


#### **RMSProp**

smoothing = small 
$$w_{t,i}=w_{t-1,i}-\frac{\eta}{\sqrt{\epsilon+E[w'_{t,i}]_t}}w'_{t,i}$$
  $E[w'_{t,i}]_t=(1-\gamma)w'^2_{j,i}+\gamma E[w'_{t-1}]_{t-1}$  decay rate

decaying running average = ค่าเฉลี่ยที่ให้ค่าน้ำหนักของของใหม่มากกว่า

## RMSprop



Momentum (blue) and RMSprop (green)



#### AdaGrad

$$w_{t,i} = w_{t-1,i} - rac{\eta}{\sqrt{\epsilon + \sum_{j=1}^{t-1} w_{j,i}^{'2}}} w_{t,i}^{\prime}$$

ค่านี้จะใหญ่ขึ้นเรื่อยๆทำให้ก้าวช้าลงเรื่อย ๆ



#### Adaptive Learning Rate

```
theta = model parameters
optimizer = AdaptiveOptimizer() # e.g., Adam, RMSProp, etc.

for i in range(number of epochs):
    for x, y in training set:
        loss = compute_loss(theta, x, y)
        grad = compute_gradient(theta, x, y, loss)
        optimizer.update_statistics(grad)
        alpha = optimizer.get_learning_rate()
        theta = theta - alpha * optimizer.modify gradient(grad)
```

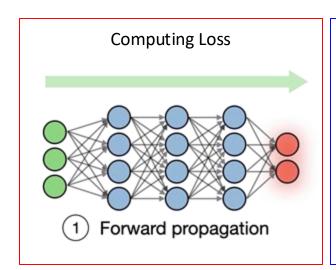


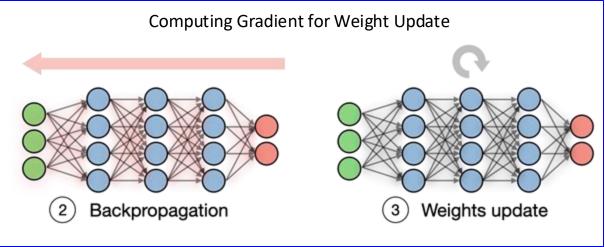
#### **Optimizers**

- ไม่มีข้อตกลงแน่นอนว่าอันไหนดีกว่าอันไหน ในสถานการณ์ใหน
- Optimizer ที่เป็นที่นิยมแต่ไม่ได้พูดถึง
  - Adam
  - AdaDelta



#### Training Process





Text	Label		Text	Label
		_		
		-		
		-		
Charles atia Cua	diant Dagger		Datab Coa di	ant Dagger
Stochastic Gra	dient Descent		Batch Gradi	ent Descent
		-		
		-		
		_		
		-		
		-		
		-		

### Mini-batching

เพราะคำนวณ gradient ใช้ เวลานานเกินไป ถ้าคำนวณจากทุก แถว

mini-batch size = 3 number of rows = 15 number of mini-batches = 5

Text	Label

1 enoch = 5 iterations



#### Adaptive Learning Rate + Minibatching

```
theta = model parameters
optimizer = AdaptiveOptimizer() # e.g., Adam, RMSProp, etc.
data_loader = DataLoader(training set)

for i in range(number of epochs):
    for x_batch, y_batch in data_loader:
        loss = compute_loss(theta, x, y)
        grad = compute_gradient(theta, x, y, loss)
        optimizer.update_statistics(grad)
        alpha = optimizer.get_learning_rate()
        theta = theta - alpha * optimizer.modify_gradient(grad)
```