



Standard Client-Server Protocols



WORLD WIDE WEB

- **World Wide Web:**
- The WWW today is a distributed client-server service, in which a client using a browser can access a service using a server.
- However, the service provided is distributed over many locations called **sites**. Each site holds one or more web pages. Each web page, however, can contain some links to other web pages in the same or other sites.
- In other words, a web page can be **simple or composite**. A simple web page has no links to other web pages; a composite web page has one or more links to other web pages.
- **Web Client (Browser):**
- A variety of vendors offer commercial browsers that interpret and display a web page, and all of them use nearly the same architecture. Each browser usually consists of three parts: **a controller, client protocols, and interpreters**.
- The **controller** receives input from the keyboard or the mouse.
- **Client programs** are used to access the document. (HTTP,FTP)
- The controller uses one of the **interpreters** to display the document on the screen. (HTML,JavaScript)



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- **Web Server:**
- The web page is stored at the server. Each time a request arrives, the corresponding document is sent to the client.
- To improve efficiency, servers normally store requested files in a cache in memory; memory is faster to access than a disk.
- A server can also become more efficient through multithreading or multiprocessing.
- **Uniform Resource Locator (URL):**
- To define a web page, we need three identifiers: **host, port, and path**. However, before defining the web page, we need to tell the browser what client-server application we want to use, which is called the **protocol**.
- E.g. **protocol://host:port/path**



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- **Web Documents:**
- The documents in the WWW can be grouped into three broad categories: **static, dynamic, and active.**
- **Static Documents:** **Static documents** are fixed-content documents that are created and stored in a server. Static documents are prepared using one of several languages: HyperText Markup Language (HTML), Extensible Markup Language (XML), Extensible Style Language (XSL), and Extensible Hypertext Markup Language (XHTML).
- **Dynamic Documents:** A **dynamic document** is created by a web server whenever a browser requests the document. When a request arrives, the web server runs an application program or a script that creates the dynamic document. E.g. JSP, ASP etc.
- **Active Documents:** For many applications, we need a program or a script to be run at the client site. These are called **active documents**. E.g. Java applets, JavaScript.



HyperText Transfer Protocol (HTTP)

- The HyperText Transfer Protocol (HTTP) is used to define how the client-server programs can be written to retrieve web pages from the Web.
- An HTTP client sends a request; an HTTP server returns a response.
- The server uses the port number 80; the client uses a temporary port number.
- HTTP uses the services of TCP.
- This means that, before any transaction between the client and the server can take place, a connection needs to be established between them. After the transaction, the connection should be terminated.
- **Nonpersistent versus Persistent Connections:**
- In a **nonpersistent** connection, one TCP connection is made for each request/response.
- The following lists the steps in this strategy:
 1. The client opens a TCP connection and sends a request.
 2. The server sends the response and closes the connection.
 3. The client reads the data until it encounters an end-of-file marker; it then closes the connection.



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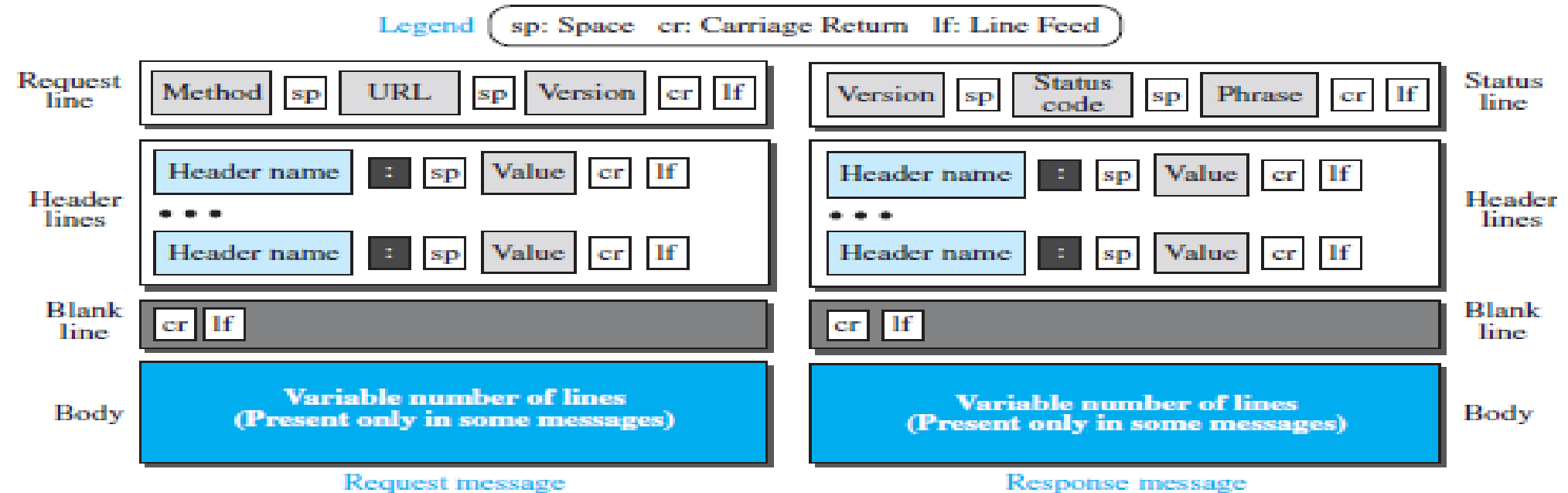
- HTTP version 1.1 specifies a **persistent connection** by default. In a persistent connection, the server leaves the connection open for more requests after sending a response.
- The server can close the connection at the request of a client or if a time-out has been reached. The sender usually sends the length of the data with each response.
- Time and resources are saved using persistent connections.
- The round trip time for connection establishment and connection termination is saved.



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- Message Formats:

Figure 26.5 *Formats of the request and response messages*





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- **Request Message:** The first line in a request message is called a **request line**. There are three fields in this line separated by one space and terminated by two characters (carriage return and line feed). The fields are called **method**, **URL**, and **version**.

Table 26.1 *Methods*

| <i>Method</i> | <i>Action</i> |
|---------------|---|
| GET | Requests a document from the server |
| HEAD | Requests information about a document but not the document itself |
| PUT | Sends a document from the client to the server |
| POST | Sends some information from the client to the server |
| TRACE | Echoes the incoming request |
| DELETE | Removes the web page |
| CONNECT | Reserved |
| OPTIONS | Inquires about available options |



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- After the request line, we can have zero or more request header lines. Each header line sends additional information from the client to the server.

Table 26.2 *Request header names*

| <i>Header</i> | <i>Description</i> |
|-------------------|--|
| User-agent | Identifies the client program |
| Accept | Shows the media format the client can accept |
| Accept-charset | Shows the character set the client can handle |
| Accept-encoding | Shows the encoding scheme the client can handle |
| Accept-language | Shows the language the client can accept |
| Authorization | Shows what permissions the client has |
| Host | Shows the host and port number of the client |
| Date | Shows the current date |
| Upgrade | Specifies the preferred communication protocol |
| Cookie | Returns the cookie to the server (explained later) |
| If-Modified-Since | If the file is modified since a specific date |



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- **Response Message:**
- **A response message consists of a status line, header lines, a blank line, and sometimes a body.** The first line in a response message is called the **status line**.
- There are three fields in this line separated by spaces and terminated by a carriage return and line feed. They are **HTTP version, status code, status text**.
- After the status line, we can have zero or more response header lines. Each header line sends additional information from the server to the client.



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Table 26.3 *Response header names*

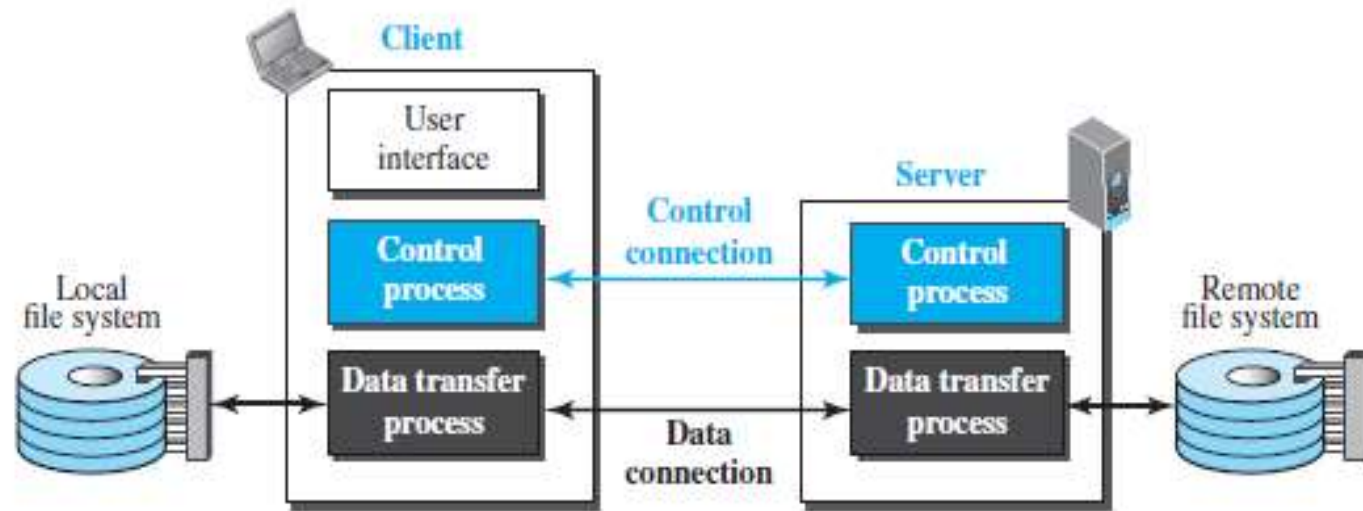
| <i>Header</i> | <i>Description</i> |
|------------------|---|
| Date | Shows the current date |
| Upgrade | Specifies the preferred communication protocol |
| Server | Gives information about the server |
| Set-Cookie | The server asks the client to save a cookie |
| Content-Encoding | Specifies the encoding scheme |
| Content-Language | Specifies the language |
| Content-Length | Shows the length of the document |
| Content-Type | Specifies the media type |
| Location | To ask the client to send the request to another site |
| Accept-Ranges | The server will accept the requested byte-ranges |
| Last-modified | Gives the date and time of the last change |



FTP

- **File Transfer Protocol (FTP)** is the standard protocol provided by TCP/IP for copying a file from one host to another.

Figure 26.10 FTP





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- The two connections in FTP have different lifetimes. The control connection remains connected during the entire interactive FTP session. The data connection is opened and then closed for each file transfer activity.
- FTP uses two well-known TCP ports: port 21 is used for the control connection, and port 20 is used for the data connection.



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Table 26.4 *Some FTP commands*

| <i>Command</i> | <i>Argument(s)</i> | <i>Description</i> |
|----------------|--------------------|--|
| ABOR | | Abort the previous command |
| CDUP | | Change to parent directory |
| CWD | Directory name | Change to another directory |
| DELE | File name | Delete a file |
| LIST | Directory name | List subdirectories or files |
| MKD | Directory name | Create a new directory |
| PASS | User password | Password |
| PASV | | Server chooses a port |
| PORT | Port identifier | Client chooses a port |
| PWD | | Display name of current directory |
| QUIT | | Log out of the system |
| RETR | File name(s) | Retrieve files; files are transferred from server to client |
| RMD | Directory name | Delete a directory |
| RNFR | File name (old) | Identify a file to be renamed |
| RNTO | File name (new) | Rename the file |
| STOR | File name(s) | Store files; file(s) are transferred from client to server |
| STRU | F, R, or P | Define data organization (F: file, R: record, or P: page) |
| TYPE | A, E, I | Default file type (A: ASCII, E: EBCDIC, I: image) |
| USER | User ID | User information |
| MODE | S, B, or C | Define transmission mode (S: stream, B: block, or C: compressed) |



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Table 26.5 *Some responses in FTP*

| <i>Code</i> | <i>Description</i> | <i>Code</i> | <i>Description</i> |
|-------------|-------------------------|-------------|---|
| 125 | Data connection open | 250 | Request file action OK |
| 150 | File status OK | 331 | User name OK; password is needed |
| 200 | Command OK | 425 | Cannot open data connection |
| 220 | Service ready | 450 | File action not taken; file not available |
| 221 | Service closing | 452 | Action aborted; insufficient storage |
| 225 | Data connection open | 500 | Syntax error; unrecognized command |
| 226 | Closing data connection | 501 | Syntax error in parameters or arguments |
| 230 | User login OK | 530 | User not logged in |



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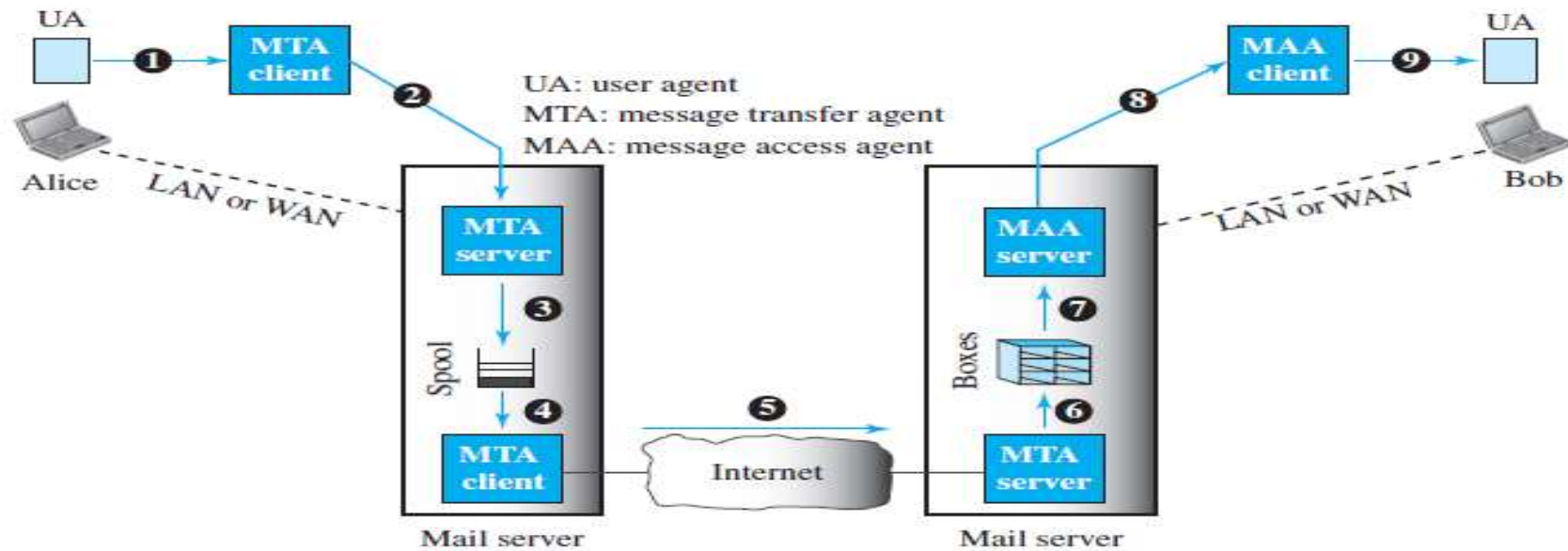
- **Data Connection:**
- The data connection uses the well-known port 20 at the server site. However, the creation of a data connection is different from the control connection. The following shows the steps:
 - I. The client, not the server, issues a passive open using an ephemeral port. This must be done by the client because it is the client that issues the commands for transferring files.
 - II. Using the PORT command the client sends this port number to the server.
 - III. The server receives the port number and issues an active open using the wellknown port 20 and the received ephemeral port number.



ELECTRONIC MAIL

- Electronic mail (or e-mail) allows users to exchange messages.
- E-mail is considered a one-way transaction.
- **Architecture:**

Figure 26.12 Common scenario





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- The sender and the receiver of the e-mail are connected via a LAN or a WAN to two mail servers.
- The administrator has created one mailbox for each user where the received messages are stored.
- A mailbox is part of a server hard drive, a special file with permission restrictions.
- The administrator has also created a queue (spool) to store messages waiting to be sent.
- A simple e-mail from Alice to Bob takes nine different steps, as shown in the figure. Alice and Bob use three different agents: **a user agent (UA), a message transfer agent (MTA), and a message access agent (MAA).**
- When Alice needs to send a message to Bob, she runs a UA program to prepare the message and send it to her mail server. The mail server at her site uses a queue (spool) to store messages waiting to be sent.
- The message, however, needs to be sent through the Internet from Alice's site to Bob's site using an MTA. Here two message transfer agents are needed: one client and one server.
- The user agent at the Bob site allows Bob to read the received message.
- Bob later uses an MAA client to retrieve the message from an MAA server running on the second server.



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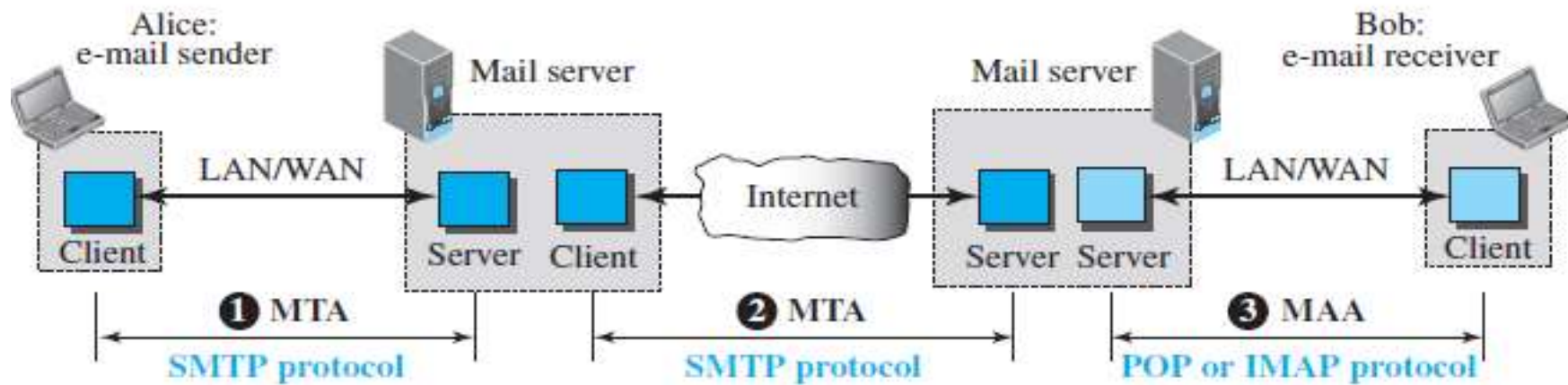
- **User Agent:**
- A user agent is a software package (program) that composes, reads, replies to, and forwards messages. It also handles local mailboxes on the user computers.
- There are two types of user agents: command-driven and GUI-based.
- **Sending Mail:**
- To send mail, the user, through the UA, creates mail that looks very similar to postal mail. It has an envelope and a message.
- **Receiving Mail:**
- The user agent is triggered by the user (or a timer). If a user has mail, the UA informs the user with a notice.
- **Addresses:**
- To deliver mail, a mail handling system must use an addressing system with unique addresses.



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- **Message Transfer Agent: SMTP**
- The formal protocol that defines the MTA client and server in the Internet is called Simple Mail Transfer Protocol (SMTP).
- SMTP is used two times, between the sender and the sender's mail server and between the two mail servers.

Figure 26.15 *Protocols used in electronic mail*





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- Commands and Responses

Table 26.6 SMTP commands

| Keyword | Argument(s) | Description |
|-----------|-----------------------|--|
| HELO | Sender's host name | Identifies itself |
| MAIL FROM | Sender of the message | Identifies the sender of the message |
| RCPT TO | Intended recipient | Identifies the recipient of the message |
| DATA | Body of the mail | Sends the actual message |
| QUIT | | Terminates the message |
| RSET | | Aborts the current mail transaction |
| VERFY | Name of recipient | Verifies the address of the recipient |
| NOOP | | Checks the status of the recipient |
| TURN | | Switches the sender and the recipient |
| EXPN | Mailing list | Asks the recipient to expand the mailing list |
| HELP | Command name | Asks the recipient to send information about the command sent as the argument |
| SEND FROM | Intended recipient | Specifies that the mail be delivered only to the terminal of the recipient, and not to the mailbox |
| SMOL FROM | Intended recipient | Specifies that the mail be delivered to the terminal <i>or</i> the mailbox of the recipient |
| SMAL FROM | Intended recipient | Specifies that the mail be delivered to the terminal <i>and</i> the mailbox of the recipient |



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- Commands and Responses

Table 26.7 Responses

| Code | Description |
|--|---|
| Positive Completion Reply | |
| 211 | System status or help reply |
| 214 | Help message |
| 220 | Service ready |
| 221 | Service closing transmission channel |
| 250 | Request command completed |
| 251 | User not local; the message will be forwarded |
| Positive Intermediate Reply | |
| 354 | Start mail input |
| Transient Negative Completion Reply | |
| 421 | Service not available |
| 450 | Mailbox not available |
| 451 | Command aborted: local error |
| 452 | Command aborted; insufficient storage |
| Permanent Negative Completion Reply | |
| 500 | Syntax error; unrecognized command |



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- **Commands and Responses:**

Table 26.7 *Responses (continued)*

| <i>Code</i> | <i>Description</i> |
|-------------|--|
| 501 | Syntax error in parameters or arguments |
| 502 | Command not implemented |
| 503 | Bad sequence of commands |
| 504 | Command temporarily not implemented |
| 550 | Command is not executed; mailbox unavailable |
| 551 | User not local |
| 552 | Requested action aborted; exceeded storage location |
| 553 | Requested action not taken; mailbox name not allowed |
| 554 | Transaction failed |



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- **Mail Transfer Phases:**
- The process of transferring a mail message occurs in three phases: **connection establishment, mail transfer, and connection termination.**
- **Connection Establishment:** After a client has made a TCP connection to the wellknown port 25, the SMTP server starts the connection phase. This phase involves the following three steps:
 1. The server sends code 220 (service ready) to tell the client that it is ready to receive mail. If the server is not ready, it sends code 421 (service not available).
 2. The client sends the HELO message to identify itself, using its domain name address. This step is necessary to inform the server of the domain name of the client.
 3. The server responds with code 250 (request command completed) or some other code depending on the situation.



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- **Message Transfer:**
- After connection has been established between the SMTP client and server, a single message between a sender and one or more recipients can be exchanged. This phase involves eight steps. Steps 3 and 4 are repeated if there is more than one recipient.
 1. The client sends the MAIL FROM message to introduce the sender of the message. It includes the mail address of the sender (mailbox and the domain name). This step is needed to give the server the return mail address for returning errors and reporting messages.
 2. The server responds with code 250 or some other appropriate code.
 3. The client sends the RCPT TO (recipient) message, which includes the mail address of the recipient.
 4. The server responds with code 250 or some other appropriate code.
 5. The client sends the DATA message to initialize the message transfer.
 6. The server responds with code 354 (start mail input) or some other appropriate message.



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7. The client sends the contents of the message in consecutive lines. Each line is terminated by a two-character end-of-line token (carriage return and line feed). The message is terminated by a line containing just one period.
8. The server responds with code 250 (OK) or some other appropriate code.
- **Connection Termination:** After the message is transferred successfully, the client terminates the connection. This phase involves two steps.
 1. The client sends the QUIT command.
 2. The server responds with code 221 or some other appropriate code.



Message Access Agent: POP and IMAP

- The first and second stages of mail delivery use SMTP. However, SMTP is not involved in the third stage because SMTP is a push protocol; it pushes the message from the client to the server.
- On the other hand, the third stage needs a pull protocol; the client must pull messages from the server.
- The third stage uses a message access agent.
- Currently two message access protocols are available: Post Office Protocol, version 3 (POP3) and Internet Mail Access Protocol, version 4 (IMAP4).
- **POP3:**
 - Post Office Protocol, version 3 (POP3) is simple but limited in functionality.
 - The client POP3 software is installed on the recipient computer; the server POP3 software is installed on the mail server.
 - Mail access starts with the client when the user needs to download its e-mail from the mailbox on the mail server. The client opens a connection to the server on TCP port 110.



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- It then sends its user name and password to access the mailbox. The user can then list and retrieve the mail messages, one by one.
- POP3 has two modes: the delete mode and the keep mode. In the **delete mode**, the mail is deleted from the mailbox after each retrieval. In the **keep mode**, the mail remains in the mailbox after retrieval.
- **IMAP4:**
- Another mail access protocol is Internet Mail Access Protocol, version 4 (IMAP4).
- IMAP4 is similar to POP3, but it has more features; IMAP4 is more powerful and more complex.
- IMAP4 provides the following extra functions:
 - I. A user can check the e-mail header prior to downloading.
 - II. A user can search the contents of the e-mail for a specific string of characters prior to downloading.
 - III. A user can partially download e-mail. This is especially useful if bandwidth is limited and the e-mail contains multimedia with high bandwidth requirements.
 - IV. A user can create, delete, or rename mailboxes on the mail server.
 - V. A user can create a hierarchy of mailboxes in a folder for e-mail storage.



TELNET

- One of the original remote logging protocols is TELNET, which is an abbreviation for **TErminaL NETwork**. Although TELNET requires a logging name and password, it is vulnerable to hacking because it sends all data including the password in plaintext.
- When a user logs into a local system, it is called **local logging**. As a user types at a terminal or at a workstation running a terminal emulator, the keystrokes are accepted by the terminal driver. The terminal driver passes the characters to the operating system. The operating system, in turn, interprets the combination of characters and invokes the desired application program or utility.
- when a user wants to access an application program or utility located on a remote machine, she performs remote logging. Here the TELNET client and server programs come into use. The user sends the keystrokes to the terminal driver where the local operating system accepts the characters but does not interpret them. The characters are sent to the TELNET client, which transforms the characters into a universal character set called Network Virtual Terminal (NVT) characters and delivers them to the local TCP/IP stack.
- The commands or text, in NVT form, travel through the Internet and arrive at the TCP/IP stack at the remote machine. Here the characters are delivered to the operating system and passed to the TELNET server, which changes the characters to the corresponding characters understandable by the remote computer.



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- However, the characters cannot be passed directly to the operating system because the remote operating system is not designed to receive characters from a TELNET server; it is designed to receive characters from a terminal driver. The solution is to add a piece of software called a **pseudoterminal driver**, which pretends that the characters are coming from a terminal. The operating system then passes the characters to the appropriate application program.



SECURE SHELL (SSH)

- **Secure Shell (SSH)** is a secure application program that can be used today for several purposes such as remote logging and file transfer, it was originally designed to replace TELNET.
- There are two versions of SSH: SSH-1 and SSH-2, which are totally incompatible.
- **Components:**
- SSH is an application-layer protocol with three components:
- **SSH Transport-Layer Protocol (SSH-TRANS):**
- Since TCP is not a secured transport-layer protocol, SSH first uses a protocol that creates a secured channel on top of the TCP. This new layer is an independent protocol referred to as **SSH-TRANS**.
- **services provided by this protocol:**
 - I. Privacy or confidentiality of the message exchanged
 - II. Data integrity, which means that it is guaranteed that the messages exchanged between the client and server are not changed by an intruder



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- III. Server authentication, which means that the client is now sure that the server is the one that it claims to be
- IV. Compression of the messages, which improves the efficiency of the system and makes attack more difficult.
- **SSH Authentication Protocol (SSH-AUTH):**
 - After a secure channel is established between the client and the server and the server is authenticated for the client, SSH can call another procedure that can authenticate the client for the server.
 - Authentication starts with the client, which sends a request message to the server.
 - The request includes the user name, server name, the method of authentication, and the required data. The server responds with either a success message, which confirms that the client is authenticated, or a failed message, which means that the process needs to be repeated with a new request message.
- **SSH Connection Protocol (SSH-CONN):**



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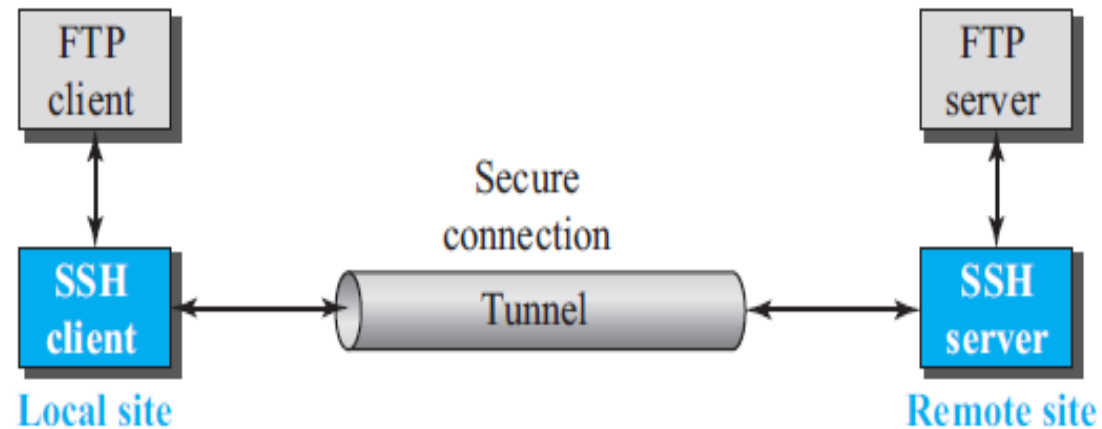
- **SSH Connection Protocol (SSH-CONN):**
- After the secured channel is established and both server and client are authenticated for each other, SSH can call a piece of software that implements the third protocol, SSHCONN.
- One of the services provided by the SSH-CONN protocol is multiplexing.
- SSH-CONN takes the secure channel established by the two previous protocols and lets the client create multiple logical channels over it. Each channel can be used for a different purpose, such as remote logging, file transfer, and so on.
- **Applications:**
- **SSH for Remote Logging:** Several free and commercial applications use SSH for remote logging. E.g. Putty, Tectia.
- **SSH for File Transfer:** One of the application programs that is built on top of SSH for file transfer is the **Secure File Transfer Program (sftp)**. Another common application is called **Secure Copy (scp)**. This application uses the same format as the UNIX copy command, cp, to copy files.



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- **Port Forwarding:** The SSH port forwarding mechanism creates a tunnel through which the messages belonging to other protocols can travel. It is also called as **SSH tunneling**.

Figure 26.26 *Port forwarding*





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Figure 26.25 *Components of SSH*

