## The Kakeya Set Conjecture over $\mathbb{Z}/N\mathbb{Z}$ for general N

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#### Introduction

#### Definition (Kakeya Set)

Given  $N, n \in \mathbb{N}$ , a set S in  $(\mathbb{Z}/N\mathbb{Z})^n$  is Kakeya if for every direction  $u \in (\mathbb{Z}/N\mathbb{Z})^n$  there is a line  $L_u = \{x + \lambda u | \lambda \in \mathbb{Z}/N\mathbb{Z}\}$  in the direction u contained in S.

- Want to lower bound the size of Kakeya Sets.
- First proposed over finite fields [Wolff, 1999] as a simpler version of the Euclidean Kakeya conjecture (Kakeya Sets in  $\mathbb{R}^n$  have Minkowski dimension n).
- Also motivated by applications in TCS for constructing randomness mergers and extractors [Dvir and Wigderson, 2011, Dvir, Kopparty, Saraf, and Sudan, 2013].

## Kakeya Set bounds over finite-fields

Theorem (Finite-Field Kakeya [Dvir, 2009, Saraf and Sudan, 2008, Dvir, Kopparty, Saraf, and Sudan, 2013, Bukh and Chao, 2021])

Every Kakeya Set S in  $(\mathbb{Z}/p\mathbb{Z})^n$ ,

$$|S|\geq \frac{p^n}{2^{n-1}}.$$

- This bound is tight and also holds for finite fields in general.
- For composite N we knew

$$|S| \gtrsim N^{n0.59..}$$

- using work on the "Sum-Difference conjecture" (also known as the arithmetic Kakeya conjecture) [Bourgain, 1999, Katz and Tao, 1999].
- Positively resolving the Sum-Difference conjecture will also resolve the Euclidean Kakeya conjecture!

## Kakeya Set Conjecture over $\mathbb{Z}/N\mathbb{Z}$

# Conjecture (Kakeya Set Conjecture over $\mathbb{Z}/N\mathbb{Z}$ [Hickman and Wright, 2018])

For all  $\epsilon > 0$  and  $n \in \mathbb{N}$  there exists a constant  $C_{n,\epsilon}$  such that any Kakeya Set  $S \subset (\mathbb{Z}/N\mathbb{Z})^n$  satisfies

$$|S| \geq C_{n,\epsilon} N^{n-\epsilon}$$
.

- The  $\epsilon$  is not needed for prime N but is essential in general. [Hickman and Wright, 2018, D and Dvir, 2021]
- The Kakeya problem over  $\mathbb{Z}/p^k\mathbb{Z}$  was suggested in [Ellenberg, Oberlin, and Tao, 2010] as another step towards the Euclidean problem as the ring has "scales".
- Kakeya Set lower bounds over  $\mathbb{Z}/p^k\mathbb{Z}$  will imply the Minkowski dimension Kakeya conjecture for the p-adics [Ellenberg, Oberlin, and Tao, 2010, Hickman and Wright, 2018].

## New results for composites [D and Dvir, 2021]

## Theorem (Square-free N [D and Dvir, 2021])

For  $N = p_1 \dots p_r$  where  $p_i$  are distinct primes, every Kakeya Set S in  $(\mathbb{Z}/N\mathbb{Z})^n$  satisfies,

$$|S| \geq \frac{N^n}{2^{nr}} \geq C_{n,\epsilon} N^{n-\epsilon}$$

- Resolves the Kakeya Set Conjecture for square-free N using well-known bounds for the number of divisors of N.
- Tight up to a factor of  $2^r$ . Can be made tight using [Bukh and Chao, 2021].

## New results for composites [D and Dvir, 2021]

## Theorem $(\mathbb{Z}/p^k\mathbb{Z} \text{ reduction [D and Dvir, 2021]})$

Every Kakeya Set S in  $(\mathbb{Z}/p^k\mathbb{Z})^n$  has size at least

$$|S| \geq \operatorname{rank}_{\mathbb{F}_p} W_{p^k,n}.$$

## Definition (Matrix $W_{p^k,n}$ )

 $W_{p^k,n}$  is a matrix whose rows and columns are indexed by points in  $(\mathbb{Z}/p^k\mathbb{Z})^n$  with entries,

$$W_{p^k,n}(u,v)=\mathbb{1}_{\langle u,v\rangle=0}.$$

•  $\mathbb{1}_K$  is the indicator function of the set K.

## New results for composites [Arsovski, 2021a]

## Theorem $(\mathbb{Z}/p^k\mathbb{Z} \text{ bound [Arsovski, 2021a]})$

Every Kakeya Set S in  $(\mathbb{Z}/p^k\mathbb{Z})^n$  satisfies,

$$|S| \geq \frac{p^{kn}}{(kn)^n}.$$

## Theorem $(\mathbb{Z}/p^k\mathbb{Z} \text{ reduction [Arsovski, 2021a]})$

 $\exists$  a matrix  $V_{p^k,n}$  (defined later) such that for every Kakeya Set S in  $(\mathbb{Z}/p^k\mathbb{Z})^n$ ,

$$|S| \geq \operatorname{rank}_{\mathbb{F}_p} V_{p^k,n} \geq \frac{p^{kn}}{(kn)^n}.$$

•  $W_{p^k,n}$  is a sub-matrix of  $V_{p^k,n}$ .  $V_{p^k,n}$  is a sub-matrix of  $W_{p^k,n+1}$ .

## New results for composites [Arsovski, 2021b]

- A new version of this paper [Arsovski, 2021b] gives bounds for  $(m, \epsilon)$ -Kakeya Sets with a different argument.
- $(m, \epsilon)$ -Kakeya Sets have at least m points in common with lines in at least an  $\epsilon$  fraction of directions.
- This proves the Hausdorff dimension Kakeya conjecture over the p-adics.
- The bound in this paper is quantitatively weaker for (N, 1)-Kakeya setting.

## New results for composites [D, 2021]

## Theorem (Stronger $\mathbb{Z}/p^k\mathbb{Z}$ bound [D, 2021])

Every Kakeya Set S in  $(\mathbb{Z}/p^k\mathbb{Z})^n$  satisfies

$$|S| \geq \frac{p^{kn}}{(2(k + \log_p(n)))^n} \geq_{[Arsovski, 2021a]} \frac{p^{kn}}{(kn)^n}.$$

- Extends the techniques in [Arsovski, 2021a].
- The bound can be improved to  $p^{kn}/(k+1)^n$  as  $p \to \infty$  recovering [Dvir, Kopparty, Saraf, and Sudan, 2013] for prime fields.
- There exist Kakeya Sets in  $(\mathbb{Z}/p^k\mathbb{Z})^n$  of size  $p^{kn}(k/\log_p(k))^{-n+1}$  [Hickman and Wright, 2018].
- ullet The proof also extends to give stronger bounds for  $(m,\epsilon)$ -Kakeya Sets.

## Resolution of the Kakeya Set Conjecture for general N

## Theorem (General $\mathbb{Z}/N\mathbb{Z}$ bound [D, 2021])

Every Kakeya Set in  $(\mathbb{Z}/N\mathbb{Z})^n$  for  $N = p_1^{k_1} \dots p_r^{k_r}$  has size at least

$$\frac{N^n}{\left(\prod\limits_{i=1}^r 2^n (k_i + \log_p(n))^n\right)} \geq C_{n,\epsilon} N^{n-\epsilon}.$$

- Resolves the Kakeya Set Conjecture for general N.
- As  $p_i \to \infty$ ,  $\forall i = \{1, ..., r\}$  the constant can be improved to  $(\prod_{i=1}^{r} (k_i + 1))^{-n}$  recovering the square-free N bound from [D and Dvir, 2021].

#### Talk Overview

- 1 The Polynomial Method over  $\mathbb{Z}/p\mathbb{Z}$
- 2 "New" Proof for  $\mathbb{Z}/p\mathbb{Z}$
- 3 Proof for  $\mathbb{Z}/pq\mathbb{Z}$
- **4** Proof for  $\mathbb{Z}/p^k\mathbb{Z}$

② "New" Proof for  $\mathbb{Z}/p\mathbb{Z}$ 

- 3 Proof for  $\mathbb{Z}/pq\mathbb{Z}$
- 4 Proof for  $\mathbb{Z}/p^k\mathbb{Z}$

Dvir's proof over  $\mathbb{Z}/p\mathbb{Z}$ 

#### Theorem ([Dvir, 2009], improvement due to Alon, Tao.)

Let  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ . Every Kakeya Set in  $\mathbb{F}_p^n$  has size at least  $\binom{p+n-1}{n}$ .

- **Proof:** Suppose  $S < \binom{p+n-1}{n} = \text{number of monomials of degree at most } p-1.$
- $\exists f \neq 0, f \in \mathbb{F}_p[x_1, \dots, x_n]$  of degree  $D \leq p-1$  which vanishes on S.
- For every direction  $u \in \mathbb{F}_p^n$ , f vanishes on some line  $L_u = \{x + \lambda u | \lambda \in \mathbb{F}_p\}$  contained in S.
- $f(x + \lambda u)$  is a uni-variate polynomial in  $\lambda$  of degree  $D \le p 1$  with p zeros which means it is identically 0.

Dvir's proof over  $\mathbb{Z}/p\mathbb{Z}$  - Proof Contd.

### Theorem ([Dvir, 2009], improvement due to Alon, Tao.)

Let  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ . Every Kakeya Set in  $\mathbb{F}_p^n$  has size at least  $\binom{p+n-1}{n}$ .

- $f(x + \lambda u) = f_D(u)\lambda^D + O_{f,x,u}(\lambda^{D-1}).$
- As  $f(x + \lambda u)$  is identically 0,  $f_D(u) = 0$ .
- $\forall u \in \mathbb{F}_p^n, f_D(u) = 0.$
- $f_D$  is a non-zero homogenous polynomial of degree  $D \leq p-1$  which vanishes on all of  $\mathbb{F}_p^n$ .
- Contradiction (due to the DeMillo-Lipton-Schwartz-Zippel lemma).

Over  $\mathbb{Z}/pq\mathbb{Z}$  and  $\mathbb{Z}/p^2\mathbb{Z}$ 

- The proof doesn't work for general N because small degree polynomials can vanish over all of  $(\mathbb{Z}/N\mathbb{Z})^n$ .
- $(x^p x)^2$  vanishes over all of  $\mathbb{Z}/p^2\mathbb{Z}$  as  $a^p a$  is divisible by p for all  $a \in \mathbb{N}$ .
- $(x^p x)(x^q x)$  vanishes over all of  $\mathbb{Z}/pq\mathbb{Z}$ .
- If we try to adapt the proof strategy above for  $N=p^2, pq$  we will get a lower bound of  $\binom{p+n-1}{n} \approx p^n \approx N^{0.5n}$ .

② "New" Proof for  $\mathbb{Z}/p\mathbb{Z}$ 

- 3 Proof for  $\mathbb{Z}/pq\mathbb{Z}$
- 4 Proof for  $\mathbb{Z}/p^k\mathbb{Z}$

Line matrix of a Kakeya Set

• WLOG we assume that  $S = \bigcup_{u \in (\mathbb{Z}/N\mathbb{Z})^n} L_u$  where  $L_u$  is a line in direction u.

### Definition (Line matrix $M_S$ of a Kakeya Set S)

The line matrix  $M_S$  of S is a matrix where the u'th row is the indicator vector  $\mathbb{1}_{L_u}$  of  $L_u$  in direction u which is contained in S.

$$\begin{array}{c}
\xrightarrow{x \in (\mathbb{Z}/N\mathbb{Z})^n} \\
\downarrow \\
u \in (\mathbb{Z}/N\mathbb{Z})^n \\
\downarrow \\
\begin{pmatrix} \cdots & \cdots & \cdots \\
- & \mathbb{1}_{L_u} & - \\
\vdots & \vdots & \cdots \end{pmatrix} = M_S$$

## Line matrix of a Kakeya Set

$$\begin{array}{c}
\xrightarrow{x \in (\mathbb{Z}/N\mathbb{Z})^n} \\
u \in (\mathbb{Z}/N\mathbb{Z})^n \downarrow \begin{pmatrix} \cdots & \cdots & \cdots \\ - & \mathbb{1}_{L_u} & - \\ \vdots & \vdots & \ddots \end{pmatrix} = M_S$$

#### Claim

For any field  $\mathbb{F}$ ,  $|S| \ge \operatorname{rank}_{\mathbb{F}} M_S \ge |S|/N$ .

#### Proof.

 $|\mathbf{S}| \geq \mathbf{rank}_{\mathbb{F}} \mathbf{M_S}$ : The non-zero columns of  $M_S$  correspond to points in S.  $\mathbf{rank}_{\mathbb{F}} \mathbf{M_S} \geq |\mathbf{S}|/\mathbf{N}$ : Iteratively pick lines in S such that every new line you pick has a point not covered by the earlier lines. These at least |S|/N many lines will give linearly independent rows.

Rank lower bound for  $M_S$ 

#### Idea

To lower bound the rank of  $M_S$  find a matrix A such that

$$M_S \cdot A = B$$

and B is a matrix independent of S.

•  $A = W_{p,n}$  works!

Rank lower bound for  $M_S$ 

#### Claim

In the field  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ , for a line  $L = \{a + \lambda u | \lambda \in \mathbb{F}_p\}$  we have

$$\mathbb{1}_L \cdot W_{p,n} = \mathbb{1}_{\overline{H}_u},$$

where  $\overline{H}_u = \{x \in \mathbb{F}_p^n | \langle x, u \rangle \neq 0\}.$ 

$$\mathbb{1}_{L} \cdot W_{p,n} = \begin{bmatrix} & & & \\ & & & \end{bmatrix} \cdot \begin{bmatrix} & \cdots & & \\ & \cdots & & \\ & \cdots & & \end{bmatrix}$$

$$\langle \mathbb{1}_{L}, \mathbb{1}_{H_{v}} \rangle = |L \cap H_{v}| = \begin{cases} 0 & \text{if } L \cap H_{v} = \emptyset \\ p & \text{if } L \subseteq H_{v} \\ 1 & \text{otherwise} \end{cases} = \begin{cases} 0 & \text{if } \langle u, v \rangle = 0 \\ 1 & \text{otherwise} \end{cases}$$

Rank lower bound for  $M_S$ 

•

$$M_{\mathcal{S}} \cdot W_{p,n} = \mathbf{1} - W_{p,n}$$

in the field  $\mathbb{F}_p$  where  $\mathbf{1}$  is the all ones matrix.

• The  $\mathbb{F}_p$ -rank of  $W_{p,n}$  is known exactly.

Theorem ( $\mathbb{F}_p$ -rank of  $W_{p,n}$  [Goethals and Delsarte, 1968, MacWilliams and Mann, 1968, Smith, 1969])

$$\operatorname{\mathsf{rank}}_{\mathbb{F}_p} W_{p,n} = inom{p+n-2}{n-1} - 1$$

• Gives us a Kakeya size lower bound of

$$|S| \geq \operatorname{\mathsf{rank}}_{\mathbb{F}_p} W_{p,n} - 1 \geq \binom{p+n-2}{n-1} - 2 \geq \frac{p^{n-1}}{n!}.$$

$$\mathsf{EVAL}_{p,n} = \left[ \begin{array}{ccc} & m & & & \\ & \ddots & & \ddots & & \\ & \ddots & m(x) & & \ddots \\ & \ddots & & \ddots & & \\ \end{array} \right] = \left[ \begin{array}{ccc} & \ddots & & & \\ & \ddots & & \\ & \ddots & & & \\ & \ddots & & & \\ \end{array} \right]$$

where  $m \in \mathbb{F}_p[x_1,\ldots,x_n]$  is a monomial of degree p-1 and  $x \in \mathbb{F}_p^n$ .

$$\mathbb{1}_{L_u} \cdot \mathsf{EVAL}_{p,n} = - \, \mathsf{EVAL}_{p,n}(u)$$

where  $\text{EVAL}_{p,n}(u)$  is the u'th row of  $\text{EVAL}_{p,n}$ .

•

0

$$M_{\mathcal{S}} \cdot \mathsf{EVAL}_{p,n} = - \, \mathsf{EVAL}_{p,n} \,.$$

- EVAL<sub>p,n</sub> has rank  $\binom{p+n-2}{n-1} 1$ .
- EVAL<sub>p,n</sub> equals  $W_{p,n}$  after base change.
- Can also prove rank bound using DeMillo-Lipton-Schwartz-Zippel lemma.
- This proves  $M_S$  has rank at least  $\binom{p+n-2}{n-1}-1$ .
- M<sub>S</sub> acts as a "decoder".
- We can extend  $M_S$  to use the evaluation of derivatives to get stronger bounds.

② "New" Proof for  $\mathbb{Z}/p\mathbb{Z}$ 

- 3 Proof for  $\mathbb{Z}/pq\mathbb{Z}$
- 4 Proof for  $\mathbb{Z}/p^k\mathbb{Z}$

## Kakeya Sets in $\mathbb{Z}/pq\mathbb{Z}$

Some facts about  $(\mathbb{Z}/pq\mathbb{Z})^n$ 

- By the Chinese remainder theorem we know that  $(\mathbb{Z}/pq\mathbb{Z})^n \cong \mathbb{F}_p^n \times \mathbb{F}_q^n$ .
- Every element  $u \in (\mathbb{Z}/pq\mathbb{Z})^n$  can be written as a tuple  $(u_p, u_q) \in \mathbb{F}_p^n \times \mathbb{F}_q^n$ .
- ullet For every line in L(u) with direction  $u=(u_p,u_q)\in \mathbb{F}_p^n imes \mathbb{F}_q^n$

$$L_u = L_p(u) \times L_q(u)$$

where  $L_p(u) \subseteq \mathbb{F}_p^n$  and  $L_q(u) \subseteq \mathbb{F}_q^n$  are lines with direction  $u_p$  and  $u_q$  respectively.

$$\mathbb{1}_{L(u_p,u_q)} = \mathbb{1}_{L_p(u_p,u_q)} \otimes \mathbb{1}_{L_q(u_p,u_q)}$$

• Note, while the product  $S_p \times S_q$  of two Kakeya Sets  $S_p \subseteq \mathbb{F}_p^n$  and  $S_q \subseteq \mathbb{F}_q^n$  is a Kakeya Set the converse is not true.

## Kakeya Sets in $\mathbb{Z}/pq\mathbb{Z}$ [D and Dvir, 2021]

## Theorem (Simple Kakeya Set bounds for $\mathbb{Z}/pq\mathbb{Z}$ [D and Dvir, 2021])

Every Kakeya Set S in  $(\mathbb{Z}/pq\mathbb{Z})^n$  has size at least,

$$C_n p^{n-1} q^{n-1}$$
.

- Let  $S = \bigcup_{(u_p, u_q) \in \mathbb{F}_p^n \times \mathbb{F}_q^n} L(u_p, u_q)$  be a Kakeya Set.
- $L(u_p, u_q) = L_p(u_p, u_q) \times L_q(u_p, u_q)$

•

$$M_{S} = \begin{bmatrix} \dots & \dots & \ddots \\ - & \mathbb{1}_{L(u_{p},u_{q})} & - \\ \dots & \dots & \ddots \end{bmatrix} = \begin{bmatrix} \dots & \dots & \ddots \\ \mathbb{1}_{L_{p}(u_{p},u_{q})} & \otimes & \mathbb{1}_{L_{q}(u_{p},u_{q})} \\ \dots & \dots & \ddots \end{bmatrix}$$

## Kakeya Sets in $\mathbb{Z}/pq\mathbb{Z}$ [D and Dvir, 2021]

$$M_{\mathcal{S}}\cdot (W_{p,n}\otimes I_{q^n})=\left[egin{array}{cccc} & \ldots & & \ddots & \\ \mathbb{1}_{L_p(u_p,u_q)}\cdot W_{p,n} & \otimes & \mathbb{1}_{L_q(u_p,u_q)} & \\ & \ldots & & \ddots & \end{array}
ight]$$

#### Claim (Proven Earlier)

In the field  $\mathbb{F}_p$ , for a line  $L\subseteq \mathbb{F}_p^n$  in direction  $u_p$  we have  $\mathbb{1}_L\cdot W_{p,n}=\mathbb{1}_{\overline{H}_{u_p}}$ .

$$M_{\mathcal{S}}\cdot (W_{p,n}\otimes I_{q^n})=\left[egin{array}{cccc} \ldots & \ddots & \ddots \ \mathbb{1}_{\overline{H}_{u_p}} & \otimes & \mathbb{1}_{L_q(u_p,u_q)} \ \ldots & \ddots & \end{array}
ight]$$

## Kakeya Sets in $\mathbb{Z}/pq\mathbb{Z}$ [D and Dvir, 2021]

• For a fixed  $\mathbf{u_p}$ , the indicator vectors  $\mathbb{1}_{L_q(\mathbf{u_p},u_q)}$  form the line matrix  $M_{S_q(\mathbf{u_p})}$  of the Kakeya Set  $S_q(\mathbf{u_p}) = \bigcup_{u_q \in \mathbb{F}_q^n} L_q(\mathbf{u_p},u_q)$  in  $\mathbb{F}_q^n$ .

$$M_{S}\cdot(W_{p,n}\otimes I_{q^n})=\left[egin{array}{cccc} \dots & \dots & \ddots & & & & & \\ \mathbb{1}_{\overline{H}_{\mathbf{u_p}}} & \otimes & M_{S_q(\mathbf{u_p})} & & & & & & \\ \dots & \dots & & \ddots & & & & & & \\ \end{array}
ight]\cong\left[egin{array}{cccc} \dots & \dots & \ddots & & & \\ e_i & \otimes & M_{S_q(\mathbf{u_p})} & & & & \\ \dots & \dots & & \ddots & & \\ \end{array}
ight]$$

where  $1 \le i \le p^{n-1}/n!$ 

- We saw earlier that  $\mathbb{1}_{\overline{H}_{u_p}}$  for  $u_p \in \mathbb{F}_p^n$  has rank at least  $p^{n-1}/n!$ .
- Pick r linearly independent  $\mathbb{1}_{\overline{H}_{y_0}}$  and base change to them.
- "By induction":

$$\operatorname{rank}_{\mathbb{F}_p} M_{S_q(u_p)} \ge |S_q(u_p)| q^{-1} \ge q^{n-1}/2^{n-1}.$$

•

$$|S| \ge \frac{1}{2^{n-1}n!}p^{n-1}q^{n-1}$$



② "New" Proof for  $\mathbb{Z}/p\mathbb{Z}$ 

- 3 Proof for  $\mathbb{Z}/pq\mathbb{Z}$
- **4** Proof for  $\mathbb{Z}/p^k\mathbb{Z}$

## **Proof Strategy**

- Let  $\zeta$  be a complex primitive  $p^k$ 'th root of unity.  $\mathbb{Z}(\zeta)$  is the ring generated by  $\mathbb{Z}$  and  $\zeta$ .
- $x \in (\mathbb{Z}/p^k\mathbb{Z})^n$  is mapped to  $\zeta^x = (\zeta^{x_1}, \dots, \zeta^{x_n}) \in \mathbb{Z}(\zeta)^n$ .

$$E_{p^k,n} = x \begin{bmatrix} \dots & \dots & \dots \\ \dots & m_v(\zeta^x) & \dots \\ \dots & \dots & \dots \end{bmatrix} = \begin{bmatrix} \dots & | & \dots \\ \dots & m_v(\zeta^{(\mathbb{Z}/p^k\mathbb{Z})^n}) & \dots \\ \dots & | & \dots \end{bmatrix}$$

$$m_{\nu}(y) = y_1^{\nu_1} \dots y_n^{\nu_n}, 0 \leq \nu_i \leq p^k - 1 \text{ and } x \in (\mathbb{Z}/p^k\mathbb{Z})^n.$$

• Initial Idea: Find a "decoder"  $C_S$  with support S such that  $C_S \cdot E_{p^k,n} = B$ 

is a matrix independent of S.

Actual Idea:

$$C_S \cdot E_{p^k,n}$$
 "(mod  $p$ )" =  $V_{p^k,n}$ 

• "(mod p)" map doesn't increase rank.

# The rings $T_k$ and $\overline{T}_k$

## Definition (The rings $T_k$ and $\overline{T}_k$ )

$$T_k = rac{\mathbb{Z}(\zeta)[z]}{\langle z^{p^k} - 1 
angle} ext{ and } \overline{T}_k = rac{\mathbb{F}_p[z]}{\langle z^{p^k} - 1 
angle}.$$

•  $T_k$  "(mod p)" =  $\overline{T}_k$ 

## Claim ("(mod p)" map $\psi$ )

The map  $\psi$  which maps  $\zeta$  to 1,  $\mathbb{Z}$  to  $\overline{\mathbb{F}}_p$  (via the mod p map) and z to z is a ring homomorphism from  $T_k$  onto  $\overline{T}_k$ .

•  $\zeta$  is a root of the  $p^k$ 'th cyclotomic polynomial

$$\phi(x) = \frac{(x^{p^k} - 1)}{x^{p^{k-1}} - 1} = \sum_{i=0}^{p-1} x^{p^{k-1}i}.$$

• Note,  $\phi(1) = 0 \pmod{p}$  , equivalently x - 1 divides  $\phi(x)$  in  $\mathbb{F}_p$ .

#### Vandermonde Matrix

### Definition (Matrix $V_{p^k,n}$ )

 $V_{p^k,n}$  is a  $p^{kn} \times p^{kn}$  matrix with entries in  $\overline{T}_k = \mathbb{F}_p[z]/\langle z^{p^k} - 1 \rangle$  whose entries are,

$$V_{p^k,n}(u,v)=z^{\langle u,v\rangle},$$

where  $u, v \in (\mathbb{Z}/p^k\mathbb{Z})^n$ 

### Theorem (Rank Bound [Arsovski, 2021a, D, 2021])

$$V_{p^k,n}$$
 has  $\mathbb{F}_p$ -rank at least  $\binom{p/k+n-1}{n}$ .

- Rank of  $V_{p^k,n}$  is defined as the largest number of  $\mathbb{F}_p$ -linearly independent columns of  $V_{p^k,n}$
- Can write  $V_{p^k,n} = LU$  where L is a lower triangular matrix and U is an upper triangular matrix with explicit formulas.
- ullet Lower bounding the number of non-zero diagonal elements of U gives the rank bound.

## Decoding evaluations on the complex torus [D, 2021]

• For  $f \in \mathbb{Z}[y_1, \dots, y_n]$  the  $f(z^u) \in \overline{T}_k$  is in  $\psi(\text{span}\{f(\zeta^{L_u})\})$  where  $L_u$  is a line in direction u.

## Lemma (Decoding evaluations along lines on the $\mathbb C$ torus [D, 2021])

Given  $L_u = \{a + \lambda u | \lambda \in \mathbb{Z}/p^k\mathbb{Z}\}$  there exists  $c_x \in \frac{\mathbb{Q}(\zeta)[z]}{\langle z^{p^k} - 1 \rangle}, x \in L_u$  such that,

$$\psi\left(\sum_{x\in L_u}c_xf(\zeta^x)\right)=f(z^u),$$

for all polynomials  $f \in \mathbb{Z}[y_1, \ldots, y_n]$ .

- To apply  $\psi$ ,  $\sum_{x \in L_u} c_x f(\zeta^x)$  must be a polynomial in z with coefficients in  $\mathbb{Z}(\zeta)$ .
- ullet By linearity (over  $\mathbb Z$ ) it suffices to prove the statement for monomials.

## Proof of decoding lemma

- Let  $m_v(x) = x_1^{v_1} \dots x_n^{v_n}$ .
- $m_{\nu}(\zeta^{0*u}) = m_{\nu}(1), m_{\nu}(\zeta^{u}) = \zeta^{\langle \nu, u \rangle}, \dots, m_{\nu}(\zeta^{\lambda u}) = \zeta^{\lambda \langle \nu, u \rangle}, \dots$  are the evaluations of the monomial  $z^{\langle u, \nu \rangle}$  on  $z = 1, \zeta, \dots, \zeta^{p^{k}-1}$ .
- As

$$\frac{\mathbb{Q}(\zeta)[z]}{\langle (z-1)\rangle}\oplus\ldots\oplus\frac{\mathbb{Q}(\zeta)[z]}{\langle (z-\zeta^{p^k-1})\rangle}=\frac{\mathbb{Q}(\zeta)[z]}{\langle (z-1)\ldots(z-\zeta^{p^k-1})\rangle}=\frac{\mathbb{Q}(\zeta)[z]}{\langle z^{p^k}-1\rangle}.$$

There exists constants  $c_{\lambda} \in \mathbb{Q}(\zeta)[z]/\langle z^{p^k}-1 \rangle$  for  $\lambda=1,\ldots,p^k$  such that

$$\sum_{\lambda=1}^{p^k} c_{\lambda} m_{\nu}(\zeta^{\lambda u}) = z^{\langle u, v \rangle} = m_{\nu}(z^u) \in \frac{\mathbb{Z}(\zeta)[z]}{\langle z^{p^k} - 1 \rangle} = T_k.$$

## Proof of decoding lemma

• As  $m_{\nu}(\zeta^{a+\lambda u}) = \zeta^{\langle \nu, a \rangle} m_{\nu}(\zeta^{\lambda u})$ ,

•

$$\sum_{\lambda=1}^{p^k} c_{\lambda} m_{\nu}(\zeta^{a+\lambda u}) = \zeta^{\langle v,a\rangle} z^{\langle u,v\rangle} = \zeta^{\langle v,a\rangle} m_{\nu}(z^u)$$

ullet Applying  $\psi$  gives us,

$$\psi\left(\sum_{\lambda=1}^{p^k}c_\lambda m_{\scriptscriptstyle V}(\zeta^{a+\lambda u})
ight)=m_{\scriptscriptstyle V}(z^u)\in\overline{\mathcal{T}}_k.$$

• Can be extended to decode with derivatives at fewer points.



## Decoding evaluations on the complex torus

## Corollary (Decode $V_{p^k,n}(u)$ from $E_{p^k,n}(\zeta^{L_u})$ )

For a line  $L_u = \{a + \lambda u | \lambda \in \mathbb{Z}/p^k\mathbb{Z}\}$  we can find a row vector  $C_u$  indexed by points in  $(\mathbb{Z}/p^k\mathbb{Z})^n$  such that,

$$\psi(C_u \cdot E_{p^k}) = (z^{\langle v, u \rangle})_{v \in (\mathbb{Z}/p^k\mathbb{Z})^n} = V_{p^k, n}(u).$$

- $C_u$  has support  $L_u$ .
- First proven in [Arsovski, 2021a]. Generalized with new proof in [D, 2021].
- The matrix C with rows  $C_u$  for each line  $L_u$  in S is the required decoder matrix.

# Questions?