

# **Artificial Intelligence**

**Lecture 4. Data Acquisition & Preprocessing** I. Know your data

Spring 2022

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# **Data Acquisition & Preprocessing**

Data (Know your data)

Data Acquisition

Data Preprocessing



# **Data Acquisition & Preprocessing**

Data (Know your data)

Data Acquisition

Data Preprocessing



## **Know your data**

- Data Objects and Attribute Types
- Basic Statistical Descriptions of Data
- Measuring Data Similarity and Dissimilarity
- Summary



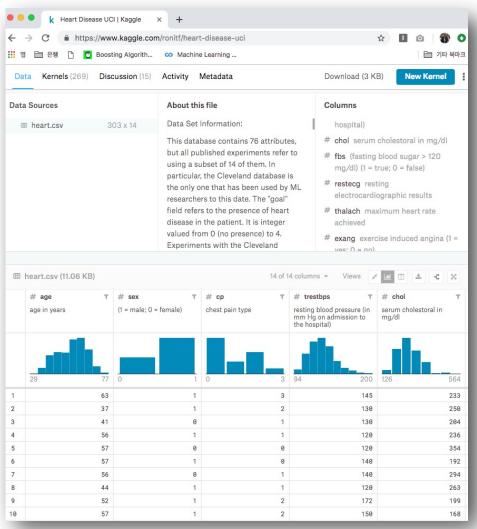


# DATA OBJECTS AND ATTRIBUTE TYPES



## Where to look for data?

- Kaggle datasets (<a href="https://www.kaggle.com/datasets">https://www.kaggle.com/datasets</a>)
- Datahub.io (<a href="https://datahub.io/">https://datahub.io/</a>)
- Data.gov (<a href="https://www.data.gov/">https://www.data.gov/</a>)
- Datausa.io (<a href="https://datausa.io/">https://datausa.io/</a>)
- European data portal
   (<a href="https://www.europeandataportal.eu/en">https://www.europeandataportal.eu/en</a>)





## Where to look for data?

- 공공데이터 개방
  - 공공데이터포털(data.go.kr), 지자체別 데이터개방(서울시 등)
  - 빅데이터 플랫폼 및 센터(bigdata-map.kr)
  - 인공지능 학습 데이터(aihub.or.kr)
  - 언론진흥재단(bigkinds.or.kr)
- Internet
  - 블로그와 SNS
    - Facebook, Twitter
  - 전자상거래 데이터
    - 네이버 웹 API (developers.naver.com/products/intro/plan/)
  - 금융정보
    - 주식, 환율, 금값
  - 이미지 데이터
  - 위키피디아



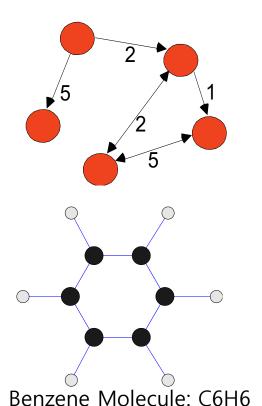
#### Record

- Relational database tuples(records)
- Data matrix, e.g., numerical matrix, crosstabs
- Document data: text documents: term-frequency vector
- Transaction data

	team	coach	pla y	ball	score	game	n Wi.	lost	timeout	season
Document 1	3	0	5	0	2	6	0	2	0	2
Document 2	0	7	0	2	1	0	0	3	0	0
Document 3	0	1	0	0	1	2	2	0	3	0



- Graph and network
  - World Wide Web
  - Social or information networks
  - Molecular Structures



#### **Useful Links:**

- Bibliography
- Other Useful Web sites
  - ACM SIGKDD
  - <u>KDnuggets</u>
  - The Data Mine

# **Knowledge Discovery and Data Mining Bibliography**

(Gets updated frequently, so visit often!)

- Books
- General Data Mining

### Book References in Data Mining and Knowledge Discovery

Usama Fayyad, Gregory Piatetsky-Shapiro, Padhraic Smyth, and Ramasamy uthurasamy, "Advances in Knowledge Discovery and Data Mining", AAAI Press/the MIT Press, 1996.

J. Ross Quinlan, "C4.5: Programs for Machine Learning", Morgan Kaufmann Publishers, 1993. Michael Berry and Gordon Linoff, "Data Mining Techniques (For Marketing, Sales, and Customer Support), John Wiley & Sons, 1997.

#### **General Data Mining**

Usama Fayyad, "Mining Databases: Towards Algorithms for Knowledge Discovery", Bulletin of the IEEE Computer Society Technical Committee on data Engineering, vol. 21, no. 1, March 1998.

Christopher Matheus, Philip Chan, and Gregory Piatetsky-Shapiro, "Systems for knowledge Discovery in databases", IEEE Transactions on Knowledge and Data Engineering, 5(6):903-913, December 1993.

Data Engineering Lab

#### Ordered

- Video data: sequence of images
- Temporal data: time-series
- Sequential Data: transaction sequences
- Genetic sequence data

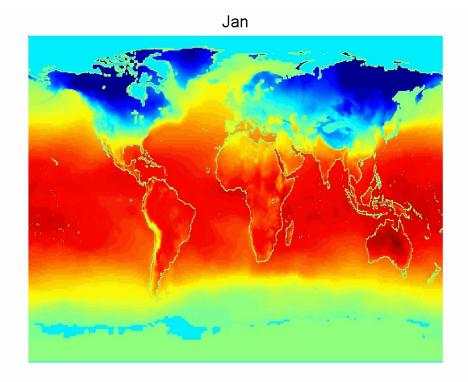
TID	Items
1	Bread, Coke, Milk
2	Beer, Bread
3	Beer, Coke, Diaper, Milk
4	Beer, Bread, Diaper, Milk
5	Coke, Diaper, Milk

Sequence of transactions

Genetic sequence data



- Spatial, image and multimedia
  - Spatial data: maps
  - Image data
  - Video data



Spatio-temporal data: Average Monthly Temperature of land and ocean



## **Characteristics of Structured Data**

- Dimensionality
  - Curse of dimensionality
- Sparsity
  - Only presence counts
- Resolution
  - Patterns depend on the scale
- Distribution
  - Centrality and dispersion



## **Data Objects**

- Data sets are made up of data objects.
- A data object represents an entity.
- Examples:
  - sales database: customers, store, items, sales
  - medical database: patients, treatments
  - university database: students, professors, courses
- Also called samples, examples, instances, data points, objects, tuples.
- Data objects are described by attributes.
- Database rows -> data objects; columns ->attributes.



## **Attributes**

## Attribute (or dimensions, features, variables)

- a data field, representing a characteristic or feature of a data object.
- E.g., customer \_ID, name, address

## Attribute Types

- Nominal: e.g., ID numbers, eye color, zip codes
- Ordinal: e.g., rankings (e.g., taste of potato chips on a scale from 1-10), grades, height {tall, medium, short}
- Numeric: quantitative
  - Interval-scaled: e.g., calendar dates, temperatures in Celsius or Fahrenheit.
  - Ratio-scaled: e.g., length, time, counts



## **Attribute Types**

- Nominal: categories, states, or "names of things"
  - Hair\_color = {auburn, black, blond, brown, grey, red, white}
  - marital status, occupation, ID numbers, zip codes

#### Binary

- Nominal attribute with only 2 states (0 and 1)
- Symmetric binary: both outcomes equally important
  - e.g., gender
- Asymmetric binary: outcomes not equally important.
  - e.g., medical test (positive vs. negative)
  - Convention: assign 1 to most important outcome (e.g., HIV positive)

#### Ordinal

- Values have a meaningful order (ranking) but magnitude between successive values is not known.
- Size = {small, medium, large}, grades, army rankings



## **Numeric Attribute Types**

Quantity (integer or real-valued)

#### Interval

- Measured on a scale of equal-sized units
- Values have order
  - E.g., temperature in C°or F°, calendar dates
- No true zero-point

#### Ratio

- Inherent zero-point
- We can speak of values as being an order of magnitude larger than the unit of measurement (10 K° is twice as high as 5 K°).
  - e.g., temperature in Kelvin, length, counts, monetary quantities



## Discrete vs. Continuous Attributes

#### Discrete Attribute

- Has only a finite or countably infinite set of values
  - E.g., zip codes, profession, or the set of words in a collection of documents
- Sometimes, represented as integer variables
- Note: Binary attributes are a special case of discrete attributes

#### Continuous Attribute

- Has real numbers as attribute values
  - E.g., temperature, height, or weight
- Practically, real values can only be measured and represented using a finite number of digits
- Continuous attributes are typically represented as floatingpoint variables





# BASIC STATISTICAL DESCRIPTIONS OF DATA



## **Basic Statistical Descriptions of Data**

#### Motivation

- To better understand the data: central tendency, variation and spread
- Data dispersion characteristics
  - median, max, min, quantiles, outliers, variance, etc.
- Numerical dimensions correspond to sorted intervals
  - Data dispersion: analyzed with multiple granularities of precision
  - Boxplot or quantile analysis on sorted intervals
- Dispersion analysis on computed measures
  - Folding measures into numerical dimensions
  - Boxplot or quantile analysis on the transformed cube



# Measuring the Central Tendency

- Mean (algebraic measure) (sample vs. population):  $\overline{x} = \frac{1}{n} \sum_{i=1}^{n} x_i$   $\mu = \frac{\sum x_i}{N}$ Note: n is sample size and N is population size.
  - Weighted arithmetic mean:
  - Trimmed mean: chopping extreme values

$$\overline{x} = \frac{\sum_{i=1}^{n} w_i x_i}{\sum_{i=1}^{n} w_i}$$

#### Mode

- Value that occurs most frequently in the data
- Unimodal, bimodal, trimodal
- Empirical formula:  $mean mode = 3 \times (mean median)$



## Measuring the Central Tendency

#### Median:

- Middle value if odd number of values, or average of the middle two values otherwise
- Estimated by interpolation (for grouped data):

$$median = L_1 + (\frac{n/2 - (\sum freq)l}{freq_{median}}) width$$

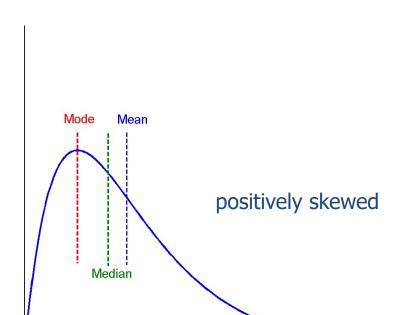
where  $L_1$  is the lower boundary of the median interval, n is the number of values in the entire data set,  $(\Sigma freq)/$  is the sum of the frequencies of all of the intervals that are lower than the median interval,  $freq_{median}$  is the frequency of the median interval, and *width* is the width of the median interval.

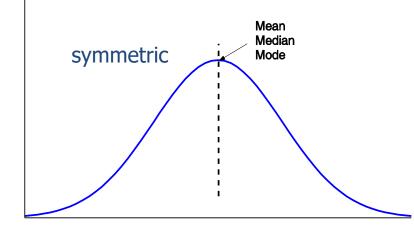
Age	Frequency
1-5	20
6-10	35
11-16	150
16-20	300
21-50	1500
51-80	700
81-110	44

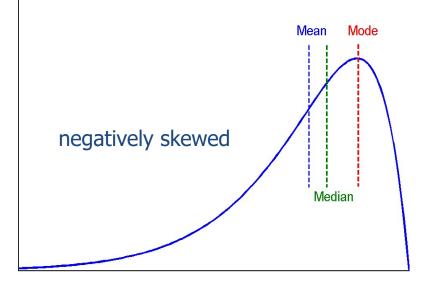


# Symmetric vs. Skewed Data

 Median, mean and mode of symmetric, positively and negatively skewed data









# Measuring the Dispersion of Data

- Quartiles, outliers and boxplots
  - Quartiles: Q<sub>1</sub> (25<sup>th</sup> percentile), Q<sub>3</sub> (75<sup>th</sup> percentile)
  - Inter-quartile range:  $IQR = Q_3 Q_1$
  - Five number summary: min,  $Q_1$ , median,  $Q_3$ , max
  - Boxplot: ends of the box are the quartiles; median is marked; add whiskers, and plot outliers individually
  - Outlier: usually, a value higher/lower than 1.5 x IQR
- Variance and standard deviation (sample: s, population: σ)
  - Variance: (algebraic, scalable computation)

$$s^{2} = \frac{1}{n-1} \sum_{i=1}^{n} (x_{i} - \bar{x})^{2} = \frac{1}{n-1} \left[ \sum_{i=1}^{n} x_{i}^{2} - \frac{1}{n} (\sum_{i=1}^{n} x_{i})^{2} \right] \sigma^{2} = \frac{1}{N} \sum_{i=1}^{n} (x_{i} - \mu)^{2} = \frac{1}{N} \sum_{i=1}^{n} x_{i}^{2} - \mu^{2}$$

- **Standard deviation** s (or  $\sigma$ ) is the square root of variance  $s^2$  (or  $\sigma^2$ )

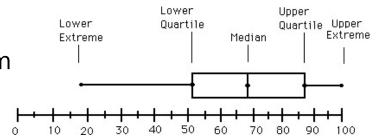


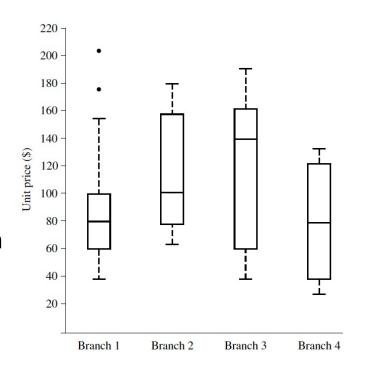
## **Boxplot Analysis**

- Five-number summary of a distribution
  - Minimum, Q1, Median, Q3, Maximum

#### Boxplot

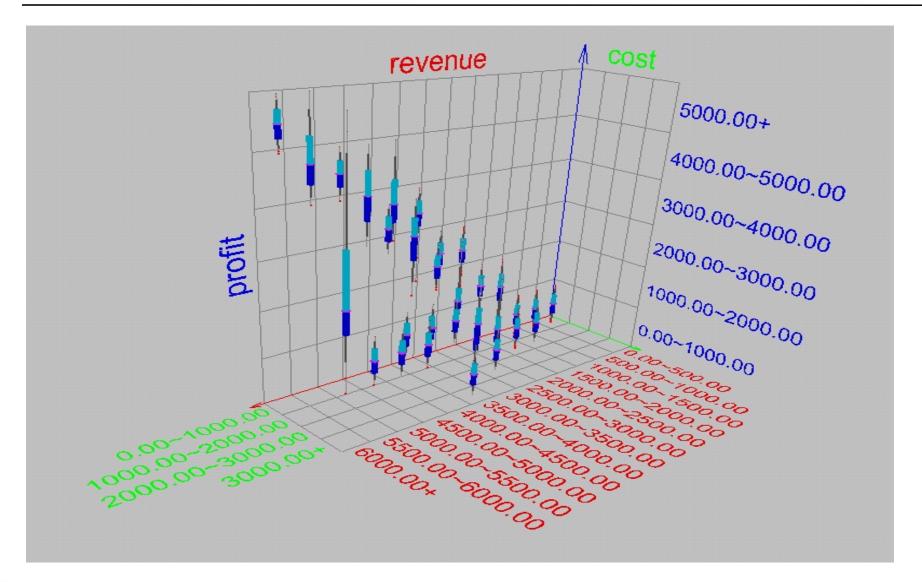
- Data is represented with a box
- The ends of the box are at the first and third quartiles, i.e., the height of the box is IQR
- The median is marked by a line within the box
- Whiskers: two lines outside the box extended to Minimum and Maximum
- Outliers: points beyond a specified outlier threshold, plotted individually







## Visualization of Data Dispersion: 3-D Boxplots





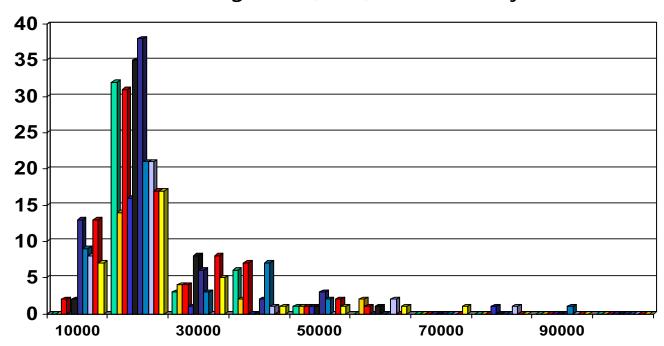
# **Graphic Displays of Basic Statistical Descriptions**

- Boxplot: graphic display of five-number summary
- Histogram: x-axis are values, y-axis repres. frequencies
- Quantile plot: each value  $x_i$  is paired with  $f_i$  indicating that approximately  $100 \times f_i\%$  of data are  $\leq x_i$
- Quantile-quantile (q-q) plot: graphs the quantiles of one univariant distribution against the corresponding quantiles of another
- Scatter plot: each pair of values is a pair of coordinates and plotted as points in the plane



# **Histogram Analysis**

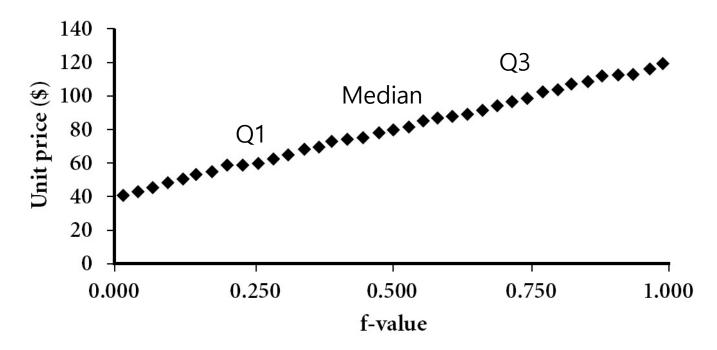
- Histogram: Graph display of tabulated frequencies, shown as bars
- It shows what proportion of cases fall into each of several categories
- Differs from a bar chart in that it is the area of the bar that denotes the value, not the height as in bar charts, a crucial distinction when the categories are not of uniform width
- The categories are usually specified as non-overlapping intervals of some variable. The categories (bars) must be adjacent





## **Quantile Plot**

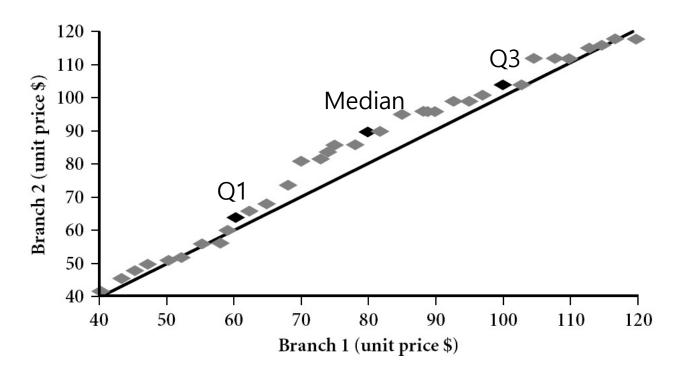
- Displays all of the data (allowing the user to assess both the overall behavior and unusual occurrences)
- Plots quantile information
  - For a data  $x_i$  data sorted in increasing order,  $f_i$  indicates that approximately 100 X  $f_i$ % of the data are below or equal to the value  $x_i$





## Quantile-Quantile (Q-Q) Plot

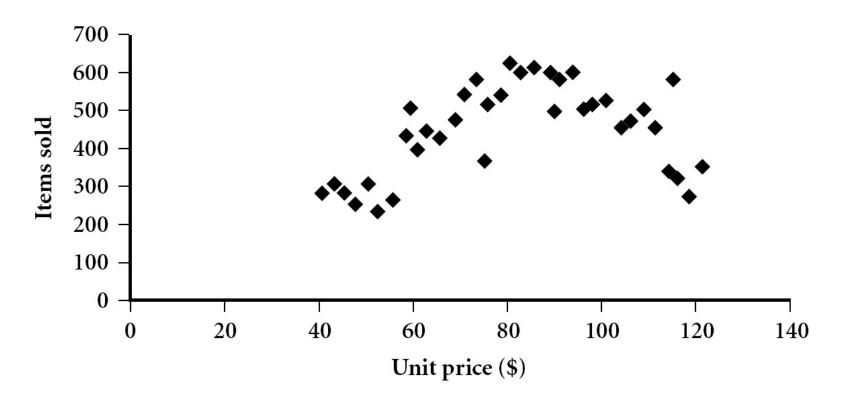
- Graphs the quantiles of one univariate distribution against the corresponding quantiles of another
- View: Is there is a shift in going from one distribution to another?
- Example shows unit price of items sold at Branch 1 vs. Branch 2 for each quantile. Unit prices of items sold at Branch 1 tend to be lower than those at Branch 2.





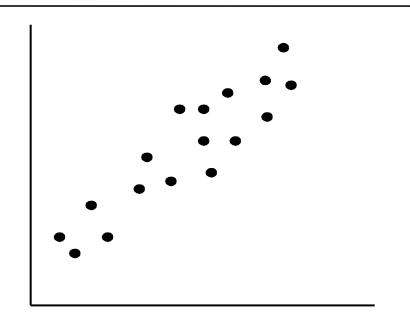
## Scatter plot

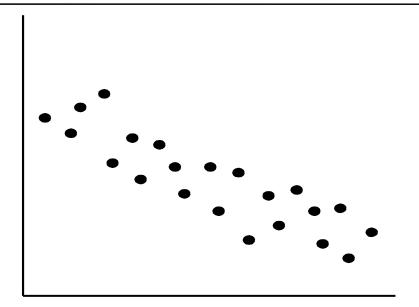
- Provides a first look at bivariate data to see clusters of points, outliers, etc.
- Each pair of values is treated as a pair of coordinates and plotted as points in the plane

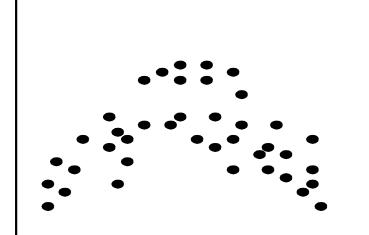




# Positively and Negatively Correlated Data



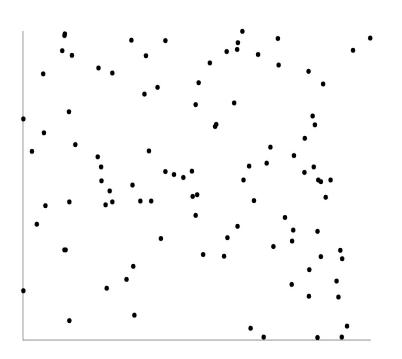


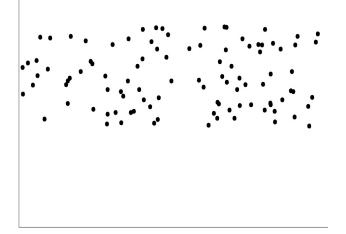


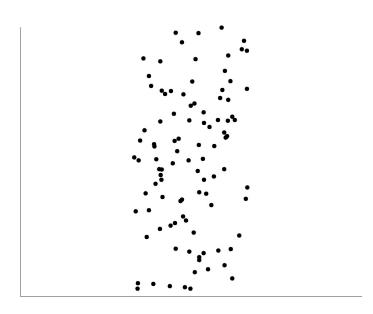
- The left half fragment is positively correlated
- The right half is negative correlated



## **Uncorrelated Data**









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# MEASURING DATA SIMILARITY AND DISSIMILARITY



# Similarity and Dissimilarity

## Similarity

- Numerical measure of how alike two data objects are
- Value is higher when objects are more alike
- Often falls in the range [0,1]
- Dissimilarity (e.g., distance)
  - Numerical measure of how different two data objects are
  - Lower when objects are more alike
  - Minimum dissimilarity is often 0
  - Upper limit varies
- Proximity refers to a similarity or dissimilarity



## Data Matrix and Dissimilarity Matrix

- Data matrix
  - n data points with p dimensions
  - Two modes

## Dissimilarity matrix

- n data points, but registers only the distance
- A triangular matrix
- Single mode

$$\begin{bmatrix} x_{11} & \cdots & x_{1f} & \cdots & x_{1p} \\ \cdots & \cdots & \cdots & \cdots \\ x_{i1} & \cdots & x_{if} & \cdots & x_{ip} \\ \cdots & \cdots & \cdots & \cdots \\ x_{n1} & \cdots & x_{nf} & \cdots & x_{np} \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ d(2,1) & 0 \\ d(3,1) & d(3,2) & 0 \\ \vdots & \vdots & \vdots \\ d(n,1) & d(n,2) & \dots & \dots & 0 \end{bmatrix}$$



## **Proximity Measure for Nominal Attributes**

- Can take 2 or more states, e.g., red, yellow, blue, green (generalization of a binary attribute)
- Method 1: Simple matching
  - m: # of matches, p: total # of variables

$$d(i,j) = \frac{p-m}{p}$$

- Method 2: Use a large number of binary attributes
  - creating a new binary attribute for each of the M nominal states
  - E.g., For {red, yellow, blue, green}, yellow = 0100, blue = 0010



## **Proximity Measure for Binary Attributes**

A contingency table for binary data
 Object j

Distance measure for <u>symmetric binary variables\*</u>:

$$d(i,j) = \frac{r+s}{q+r+s+t}$$

Distance measure for <u>asymmetric binary variables</u>:

$$d(i,j) = \frac{r+s}{q+r+s}$$





## **Proximity Measure for Binary Attributes**

Jaccard coefficient (similarity measure for asymmetric binary variables):

$$sim(i, j) = \frac{q}{q+r+s} = 1 - d(i, j).$$

■ Example: gender는 symmetric, 나머지는 asymmetric. Y=Yes,
N=Negative, P=Positive. 유사한 질병을 가질 확률이 있는 사람은
누구와 누구인가?

Name	Gender	Fever	Cough	Test-1	Test-2	Test-3	Test-4
Jack	M	Y	N	P	N	N	N
Mary	F	Y	N	P	N	P	N
Jim	M	Y	P	N	N	N	N



#### Distance on Numeric Data: Minkowski Distance

Minkowski distance: A popular distance measure

$$d(i,j) = \sqrt[h]{|x_{i1} - x_{j1}|^h + |x_{i2} - x_{j2}|^h + \dots + |x_{ip} - x_{jp}|^h}$$

where  $i = (x_{i1}, x_{i2}, ..., x_{ip})$  and  $j = (x_{j1}, x_{j2}, ..., x_{jp})$  are two p-dimensional data objects, and h is the order (the distance so defined is also called L-h norm)

- Properties
  - d(i, j) > 0 if  $i \neq j$ , and d(i, i) = 0 (Positive definiteness)
  - d(i, j) = d(j, i) (Symmetry)
  - $d(i, j) \le d(i, k) + d(k, j)$  (Triangle Inequality)
- A distance that satisfies these properties is a metric



### **Special Cases of Minkowski Distance**

- h = 1: Manhattan (city block, L<sub>1</sub> norm) distance
  - E.g., the Hamming distance: the number of bits that are different between two binary vectors

$$d(i,j) = |x_{i_1} - x_{j_1}| + |x_{i_2} - x_{j_2}| + ... + |x_{i_p} - x_{j_p}|$$

• h = 2: (L<sub>2</sub> norm) Euclidean distance

$$d(i,j) = \sqrt{(|x_{i1} - x_{j1}|^2 + |x_{i2} - x_{j2}|^2 + ... + |x_{ip} - x_{jp}|^2)}$$

- $h \to \infty$ . "supremum" (L<sub>max</sub> norm, L<sub>∞</sub> norm) distance.
  - This is the maximum difference between any component (attribute) of the vectors

$$d(i,j) = \lim_{h \to \infty} \left( \sum_{f=1}^{p} |x_{if} - x_{jf}|^h \right)^{\frac{1}{h}} = \max_{f} |x_{if} - x_{jf}|$$



# **Example: Minkowski Distance**

point	attribute 1	attribute 2
<b>x1</b>	1	2
<b>x2</b>	3	5
<b>x</b> 3	2	0
<u>x</u> 4	4	5

#### Dissimilarity Matrices



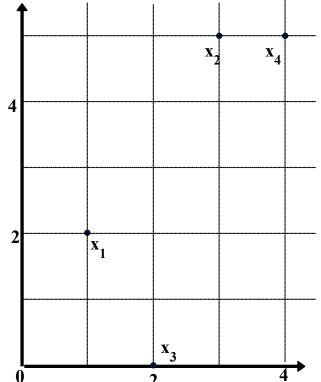
L	<b>x1</b>	<b>x2</b>	х3	x4
<b>x1</b>	0			
<b>x2</b>	5	0		
х3	3	6	0	
x4	6	1	7	0

#### Euclidean (L2)

L2	<b>x1</b>	<b>x2</b>	х3	<b>x</b> 4
<b>x</b> 1	0			
<b>x2</b>	3.61	0		
х3	2.24	5.1	0	
<b>x4</b>	4.24	1	5.39	0

#### Supremum

$L_{\infty}$	<b>x</b> 1	<b>x2</b>	х3	<b>x4</b>
<b>x</b> 1	0			
<b>x2</b>	3	0		
х3	2	5	0	
<b>x4</b>	3	1	5	0



#### **Ordinal Variables**

- An ordinal variable can be discrete or continuous
- Order is important, e.g., rank
- Can be treated like interval-scaled
  - replace  $x_{if}$  by their rank  $r_{if} \in \{1, ..., M_f\}$
  - map the range of each variable onto [0, 1] by replacing *i*-th object in the *f*-th variable by  $z_{\it if} = \frac{r_{\it if}-1}{M_{\it f}-1}$
  - compute the dissimilarity using methods for interval-scaled variables



### **Example**

Sample data

Object test-l Identifier (nominal)		test-2 (ordinal)	test-3 (numeric)		
1	code A	excellent 3	45		
2	code B	fair 1	22		
3	code C	good 2	64		
4	code A	excellent 3	28		

- Rank = {fair:1, good:2, excellent:3}
- Normalization rank 1  $\rightarrow$  0, rank 2  $\rightarrow$  0.5, rank 3  $\rightarrow$  1
- Then use Euclidean distance to measure the dissimilarity

$$\begin{bmatrix} 0 \\ 1.0 & 0 \\ 0.5 & 0.5 & 0 \\ 0 & 1.0 & 0.5 & 0 \end{bmatrix}$$



## **Attributes of Mixed Type**

- A database may contain all attribute types
  - Nominal, symmetric binary, asymmetric binary, numeric, ordinal
- One may use a weighted formula to combine their effects

$$d(i,j) = \frac{\sum_{f=1}^{p} \delta_{ij}^{(f)} d_{ij}^{(f)}}{\sum_{f=1}^{p} \delta_{ij}^{(f)}}$$

- f is binary or nominal:
  - $d_{ii}^{(f)} = 0$  if  $x_{if} = x_{if}$ , or  $d_{ii}^{(f)} = 1$  otherwise
- f is numeric: use the normalized distance
- f is ordinal

  - Compute ranks  $r_{if}$  and Treat  $z_{if}$  as interval-scaled  $z_{if} = \frac{r_{if}-1}{M_f-1}$



### **Cosine Similarity**

 A document can be represented by thousands of attributes, each recording the *frequency* of a particular word (such as keywords) or phrase in the document.

Document	team	coach	hockey	baseball	soccer	penalty	score	win	loss	season
Document1	5	0	3	0	2	0	0	2	0	0
Document2	3	0	2	0	1	1	0	1	0	1
Document3	0	7	0	2	1	0	0	3	0	0
Document4	0	1	0	0	1	2	2	0	3	0

- Other vector objects: gene features in micro-arrays, ...
- Applications: information retrieval, biologic taxonomy, gene feature mapping, ...



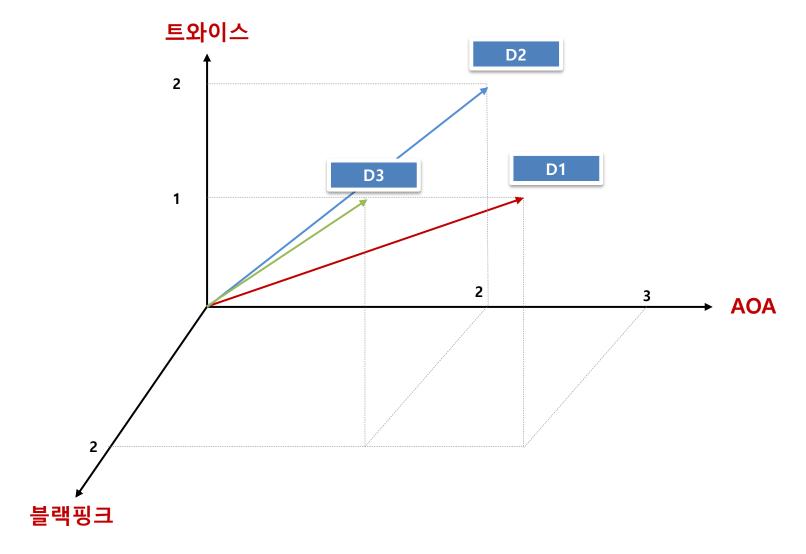
#### **Cosine Similarity**

- Vector Space Model
  - 문서(document)가 벡터?
  - 단어 하나 하나가 벡터의 차원이 됨!
- Assumption
  - 가정 1: 단어는 단 3개만 존재한다고 가정(AOA, 블랙핑크, 트와이스)
  - 가정 2: Database에 단 3건의 문서만 저장





# **Vector Space Model**





### **Vector Space Model**

- Similarity를 pair-wise로 구해보자
  - D1과 D2의 유사도는? D1 vector와 D2 vector의 유사도는?
  - D1과 D3의 유사도는?
     D1 vector와 D3 vector의 유사도는?
  - D2와 DS3의 유사도는? D2 vector와 D3 vector의 유사도는?
  - 방향성이 없으므로 N(N-1)/2 의 유사도를 측정
- 벡터간의 유사도를 측정
  - 2개의 벡터간에 벌어진 각도를 측정 → cosine measure
  - x y = x₁y₁ + x₂ y₂ (2차원인 경우)
  - X Y = X<sub>1</sub>Y<sub>1</sub> + X<sub>2</sub> Y<sub>2</sub> + X<sub>3</sub>Y<sub>3</sub> (3차원인 경우 → AOA, 블랙핑크, 트와이스)
  - x y = x<sub>1</sub>y<sub>1</sub> + x<sub>2</sub> y<sub>2</sub> + .... + x<sub>n</sub>y<sub>n</sub> ( n차원인 경우) = ||x|| ||y|| cosθ

$$x \bullet y = ||x|| ||y|| \cos\theta$$

$$\cos\theta = (x \bullet y) / ||x|| ||y||$$



### **Cosine Similarity**

• Cosine measure: If  $d_1$  and  $d_2$  are two vectors (e.g., term-frequency vectors), then

$$cos(d_1, d_2) = (d_1 \cdot d_2) / ||d_1|| ||d_2||,$$
  
where  $\cdot$  indicates vector dot product,  $||d||$ : the length of vector  $d$ 

- Two vectors are orthogonal => the value of Cosine is 0 (하나도 유사하지 않은 경우)
- Two vectors are identical => value of Cosine is 1 (완전히 동일한 경우)
- Ex: Find the similarity between documents 1 and 2.

$$d_{1} = (5, 0, 3, 0, 2, 0, 0, 2, 0, 0)$$

$$d_{2} = (3, 0, 2, 0, 1, 1, 0, 1, 0, 1)$$

$$d_{1} \bullet d_{2} = 5*3+0*0+3*2+0*0+2*1+0*1+0*1+2*1+0*0+0*1 = 25$$

$$||d_{1}|| = (5*5+0*0+3*3+0*0+2*2+0*0+0*0+2*2+0*0+0*0)^{0.5} = (42)^{0.5} = 6.481$$

$$||d_{2}|| = (3*3+0*0+2*2+0*0+1*1+1*1+0*0+1*1+0*0+1*1)^{0.5} = (17)^{0.5} = 4.12$$

$$\cos(d_{1}, d_{2}) = 0.94$$



#### Summary

- Data attribute types: nominal, binary, ordinal, interval-scaled, ratio-scaled
- Many types of data sets, e.g., numerical, text, graph, Web, image.
- Gain insight into the data by:
  - Basic statistical data description: central tendency, dispersion, graphical displays
  - Measure data similarity
- Above steps are the beginning of data preprocessing.
- Many methods have been developed but still an active area of research.





# **END**

