

MONOIDAL CATEGORIES FOR QUANTUM THEORY

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AXIOMS OF QUANTUM INFORMATION

Quantum information theory follows four major postulates or axioms.

Axiom (State Space). Any physical quantum system Q can be represented by a Hilbert space \mathcal{H}_Q .

Axiom (Unitary Evolution). Closed evolution over time of a quantum system Q can be represented by a unitary ($U^\dagger U = UU^\dagger = \text{id}_{\mathcal{H}_Q}$) operator on \mathcal{H}_Q .

Axiom (Multiple Systems). Two quantum systems Q_1 and Q_2 can be considered as a joint system $Q_1 Q_2$. The associated Hilbert space should the tensor product:

$$\mathcal{H}_{Q_1 Q_2} = \mathcal{H}_{Q_1} \otimes \mathcal{H}_{Q_2}.$$

Axiom (Measurement). Measurement of a quantum system Q corresponds to orthonormal bases of the Hilbert space \mathcal{H}_Q . The corresponding probabilities of measurement should follow Born's rule.

A category is a choice of mathematical "setting" defined with objects and morphisms/processes.

Example. The category Hilb has objects that are Hilbert spaces and morphisms that are (bounded) linear transformations (a linear transformation is a function $T : \mathcal{H} \rightarrow \mathcal{K}$ such that $T(v+w) = T(v) + T(w)$ and $cT(v) = T(cv)$ for any scalar $c \in \mathbb{C}$).

Example. The category Quant should have physical systems as objects and physical processes as morphisms. We can choose many different models of Quant !

Definition (Functor). A functor is a structure-preserving map $f : \mathcal{C} \rightarrow \mathcal{D}$ between two categories. This inherently means that there are two associated properties with the data of a functor: (1) an object $f(x) \in \mathcal{D}$ for every object $x \in \mathcal{C}$, (2) a morphism $f(x) \xrightarrow{f(\alpha)} f(y)$ in \mathcal{D} for every morphism $x \xrightarrow{\alpha} y$ in \mathcal{C} . Firstly, a functor respects the composition property ($f(\alpha\beta) = f(\alpha)f(\beta)$). Secondly, it also preserves the identity between categories ($\text{id}_{f(x)} = f(\text{id}_x)$).

Since a functor "changes settings," somehow our axioms should be encoded in a functor from Quant to Hilb . But until we add more structure, we only have the State Space axioms working!

(SYMMETRIC) MONOIDAL CATEGORIES

To model the Multiple Systems axiom using a functor, we need a good notion of tensor product.

Definition (Monoidal Category). A monoidal category includes a category \mathcal{C} , a functor $\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$, and a unit object $\mathbf{1} \in \mathcal{C}$ such that \otimes is associative and unital with $\mathbf{1}$ up to coherent natural isomorphisms.

For example, Quant is monoidal by considering joint systems (the unit is the empty system) and Hilb is monoidal via the usual tensor product \otimes (the unit is \mathbb{C}).

Definition (State). A state on an object $a \in \mathcal{C}$ is a morphism $\mathbf{1} \rightarrow a$.

This is the unit object morphing into another object in the category, so in the case of Hilbert spaces, it "picks" out a vector.

Example. States on \mathcal{H} in Hilb are the linear maps $\mathbb{C} \rightarrow \mathcal{H}$, i.e., vectors in \mathcal{H} .

Example. States on a quantum system Q in Quant correspond to the creation operators $\emptyset \rightarrow Q$.

Definition (Effect). An effect on an object $a \in \mathcal{C}$ is a morphism $a \rightarrow \mathbf{1}$.

Definition (Braiding, Symmetry). A braiding on a monoidal category is a natural isomorphism that twists an object $a \otimes b$ into $b \otimes a$, satisfying a coherence diagram. A braiding is called a symmetry if twisting twice gets us back to $a \otimes b$.

Both Quant and Hilb have symmetries γ and σ , so they are symmetric monoidal categories. We call a functor that preserves the structure of such categories a symmetric monoidal functor. Thus, a quantum theory satisfying both the State Space axiom and the Multiple Systems axiom is a symmetric monoidal functor

$$\mathcal{Z} : (\text{Quant}, \otimes, \mathbf{1}, \gamma) \rightarrow (\text{Quant}, \otimes, \mathbf{1}, \sigma).$$

Since \mathcal{Z} takes states to states, this means states of a quantum system Q are modeled by vectors in the Hilbert space $\mathcal{Z}(Q)$.

Example. If we choose $\text{Quant} = \text{Bord}_2$, then the result is called a 2D topological quantum field theory.

DAGGER CATEGORIES

A dagger $\dagger : \mathcal{C} \rightarrow \mathcal{C}$ is special "functor" that meets the following properties.

1. Given any morphism $f : A \rightarrow B$, $A, B \in \mathcal{C}$, the dagger of f , or f^\dagger , is a map from B to A . In addition, daggers also reverse the order of composition: $(g \circ h)^\dagger = h^\dagger \circ g^\dagger$. This property is called *contravariance*

2. Given any morphism f in \mathcal{C} , $f^{\dagger\dagger} = f$. This property is called *involutivity*

3. The dagger is the identity morphism on objects.

Definition (Dagger Category). A category equipped with a dagger (\mathcal{C}, \dagger) is called a dagger category.

We define two types of morphisms that behave nicely with daggers.

1. **Unitary morphisms.** A unitary morphism $f : A \rightarrow B$ has the property that $f \circ f^\dagger = \text{id}_B$ and $f^\dagger \circ f = \text{id}_A$.

Note. Unitary functions are useful because they allow us to *reverse* any transformation we apply to our objects. From a quantum perspective, it means we can reverse our evolutions!

2. **Isometry.** An isometry f only has the property that $f^\dagger f = \text{id}_A$ (like half a unitary).

Note. These types of morphisms will be used when incorporating axiom 4.

Definition (Dagger Monoidal Category). A dagger monoidal category $(\mathcal{C}, \otimes, \mathbf{1}, \alpha, \rho, \lambda, \dagger)$ is a monoidal category with a dagger operation where the coherence natural isomorphisms α, ρ , and λ are unitary, and $(f \otimes g)^\dagger = f^\dagger \otimes g^\dagger$ (f, g are morphisms).

Note. If we also add a braiding/symmetry γ to our category, the only extra condition is for γ to be unitary! In this case, we add the adjective braided/symmetric.

Example. Both Hilb and Quant are dagger symmetric monoidal categories. In Hilb , we use the adjoint as the \dagger . In Quant , we reverse our closed evolution to take the \dagger .

Dagger functors are functors that preserve the dagger. This notion can be combined with that of a dagger symmetric monoidal functor. A quantum theory satisfying the State Space axiom, the Unitary Evolution axiom, and the Multiple Systems axiom is then a dagger symmetric monoidal functor $\text{Quant} \rightarrow \text{Hilb}$.

ENRICHMENT AND BIPRODUCTS

Definition (Zero Object). A zero object in a category \mathcal{C} is an object $0 \in \mathcal{C}$ such that $\forall a \in \mathcal{C}$, $\exists!(a \rightarrow 0)$ and $\exists!(0 \rightarrow a)$.

Definition (Zero Morphism). Let $(\mathcal{C}, 0)$ be a category with a zero object. Then a composite $A \rightarrow 0 \rightarrow B$ in \mathcal{C} exists and is uniquely determined. We call it the zero morphism $0_{A,B}$.

We say a category with a zero object $(\mathcal{C}, 0)$ is enriched in commutative monoids (CMon) if its morphisms can be added (in a way that is compatible with composition) associatively so that the zero morphisms act as units.

Definition (Biproduct). Let $(\mathcal{C}, 0)$ be a category with a zero object that is enriched in CMon. Then, given $a, b \in \mathcal{C}$, their biproduct is an object $a \oplus b \in \mathcal{C}$ with morphisms:

- $i_a : a \rightarrow a \oplus b$ and $p_a : a \oplus b \rightarrow a$.
- $i_b : b \rightarrow a \oplus b$ and $p_b : a \oplus b \rightarrow b$.

Such that:

- $a \xrightarrow{i_a} a \oplus b \xrightarrow{p_a} a = \text{id}_a$ and $b \xrightarrow{i_b} a \oplus b \xrightarrow{p_b} b = \text{id}_b$.
- $a \xrightarrow{i_a} a \oplus b \xrightarrow{p_b} 0_{a,b}$ and $b \xrightarrow{i_b} a \oplus b \xrightarrow{p_a} 0_{b,a}$.
- $i_1 p_1 + i_2 p_2 = \text{id}_{a \oplus b}$.

Biproducts give us superposition. Note that a dagger biproduct is a biproduct in a dagger category where the $i_a^\dagger = p_a$ and $i_b^\dagger = p_b$.

Definition (Probability). Given a state s and an effect e in a dagger monoidal category $(\mathcal{C}, \otimes, \mathbf{1}, \dagger)$, the probability $\text{Prob}(s \text{ in } e)$ is given by $s^\dagger \circ e^\dagger \circ e \circ s$ or

$$1 \xrightarrow{s} a \xrightarrow{e} \mathbf{1} \xrightarrow{e^\dagger} a \xrightarrow{s^\dagger} \mathbf{1}.$$

Definition (Complete Set). A set of effects $\{a \xrightarrow{e_\lambda} \mathbf{1}\}_{\lambda \in \Lambda}$ is complete if for any non-zero morphism $b \xrightarrow{f} a$ (ie $f \neq 0_{b,a}$) there is an effect $e_{\lambda'}$, $\lambda' \in \Lambda$ such that $e_{\lambda'} \circ f \neq 0_{b,\mathbf{1}}$.

Using these two notions, we can now state Born's Rule.

Theorem (Born's Rule). If a set of effects $\{e_1, e_2, \dots, e_n\}$, $n \in \mathbb{N}$, is complete in a dagger monoidal category with a zero object and dagger biproducts $(\mathcal{C}, \otimes, \mathbf{1}, \dagger, 0, \oplus)$, then for any isometry $x : \mathbf{1} \rightarrow a$, we have

$$\sum_{i=1}^n \text{Prob}(x \text{ in } e_i) = 1,$$

where $\mathbf{1}$ is the identity morphism on $\mathbf{1}$.

We can quickly prove this theorem (assuming one other fact).

Proof. We can first expand and factor this sum via rules of biproduct and $\text{Prob}(s \text{ in } e)$:

$$\sum_{i=1}^n \text{Prob}(x \text{ in } e_i) = \sum_{i=1}^n x^\dagger e_i^\dagger e_i x = x^\dagger \left(\sum_{i=1}^n e_i^\dagger e_i \right) x.$$

From here, we assume the fact $\sum_{i=1}^n e_i^\dagger e_i = \text{id}_a$ (proved using biproducts) to finish the proof:

$$x^\dagger \left(\sum_{i=1}^n e_i^\dagger e_i \right) x = x^\dagger \text{id}_a x \\ = x^\dagger x = \text{id}_\mathbf{1} = 1.$$

Thus, a quantum theory satisfying all four axioms is a dagger symmetric monoidal functor from Quant to Hilb that fully preserves the biproduct structure!

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