

# Bayesian Local Phylodynamics for Outbreak Detection

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**ABSTRACT** In epidemiology, it is often desirable to be able to reconstruct the history of a pathogen population and its structure. The problem of reconstructing the history of a pathogen population can be approached using phylodynamics. Phylodynamics utilises genomic data to assemble phylogenies, which are then used to infer the population size history. This is possible by viewing a phylogeny as a realisation of a coalescent process, with appropriately rescaled time. This approximation can be justified by viewing the coalescent as a Moran model, run backwards in time with the time rate equal to the population size. Within this paper we will first introduce the coalescent process for phylodynamic inference, review its inhomogeneous generalisation, and finally introduce the main result of this work, a new model capable of performing local phylodynamic inference, i.e. different inferences about the population history on subsets of the whole population capable of detecting and modeling clonal expansions.

## I. INTRODUCTION

**C** LONAL expansions are a process in which a particular subset of a given bacterial strain undergoes explosive population growth that can be traced back to a particular individual [1]. The presence of clonal expansions in bacterial populations have been of long-standing interest and is implicated in epidemic processes, where an outbreak can be traced to a single ancestor [1, 2, 3, 4]. This often happens when a particular strain or individual obtains a variant of a particular gene that confers evolutionary advantage, for example, antibiotic resistance [5, 6, 4].

The presence of clonal expansions leaves an imprint in the overall population structure of a given bacterial strain, with the particular topology associated with this often being referred to as star-like [1, 2]. The problem of detecting hidden population structure corresponding to clonal expansions has become a problem of interest in epidemiology and outbreak surveillance [7].

While methods for detecting inhomogeneities in the population structure and size have been of interest since the early days of genetic sequencing [1, 2], the interest in the problem increased with whole genome sequencing becoming more accessible and affordable [5, 8, 9].

Despite the problems of inferring population size from a genealogy and detecting heterogeneities in the population size of the entire population being intrinsically tied, all but a couple of methods [7, 10], to our knowledge,

rely either on manual detection or indirect detection. We aim to propose a model for the formation of clonal expansions in genealogies using a structured coalescent process, and devise a bayesian method for joint estimation and detection of relative population sizes and clonal expansions.

## II. EXISTING WORK

### A. PHYLODYNAMIC METHODS

A phylogeny is a labeled tree, where leaf nodes correspond to sampling events, and internal nodes to the divergence of two separate clades from a common ancestor. Branch length labels correspond to time. As such a phylogeny can be viewed as a realisation of Kingman's Coalescent process which will now be briefly introduced, and the justification behind why viewing a phylogeny as its realisation outlined.

Kingman's Coalescent is a continuous time markov chain stochastic process, defined on the state space  $1, 2, 3, \dots, K$  which can be interpreted as a set of  $j$  particles, where each pair of particles independently coalesces at a constant rate. This gives transition rates:

$$g(j, j-1) = \binom{j}{2} \lambda \quad \lambda \in \mathbb{R}^+ \quad (1)$$

[11]

By taking a backwards in time approximation of the Moran model, the coalescent process can be modified to model evolution of genealogies, i.e. how do the ancestors

of a set of individuals relate to each other backwards in time. Denote the relative population size at time  $t$  by  $\alpha(t)$ . Under such modification the transition rates become:

$$g(j, j-1) = \binom{j}{2} \cdot \frac{1}{\alpha(t)} \quad (2)$$

[12]

One way to interpret this is as a rescaling of time inversely proportional to the population size under Wright-Fisher model [13].

As the transition rates depend on the relative population size, it is possible to utilise this model for the inverse problem of determining the history of the size of a population, based on genealogies reconstructed from genomic samples. Such methods are often referred to as SkyGrid methods, have been first introduced in [14] and [15].

The framework has then been extended to allow for piecewise continuous population size functions, referred to as SkyGrid [16] and to include covariates [17].

### B. LOCAL PHYLODYNAMICS

Whereas phylodynamics considers the size history of an entire population, the idea behind local phylodynamics is to consider the histories of selected subsets of related individuals, where each subset can be traced to a single ancestor. This can for example be practical when trying to detect hidden outbreaks, or clonal expansions due to a particular strain of a bacteria gaining a fitness advantage. This idea has been investigated in [7], where a null hypothesis based testing framework is developed to identify subsets of individuals that seem to have a significantly different population history than the rest of the phylogeny. Recently, a birth-death type model that allows for heterogeneous growth rate parameters has been introduced in [10].

## III. METHODS

### A. COALESCENT PRELIMINARIES

The coalescent process can be characterised as a time-inhomogeneous pure-death markov process. We now conduct a brief analysis of the process and re-derive some properties.

The waiting times can be derived as follows. For an inhomogeneous CTMC, let  $E_j(t)$  be the total exit rate from state  $j$  at time  $t$ . By the markov property individual exit events from a given state only depend on the state and given time, i.e. they form a time-inhomogeneous poisson process. As such the probability of no events in an interval  $[t, t+s]$   $s \in \mathbb{R}^+$  is

$$\frac{\exp\left(-\int_t^{t+s} E_j(\tau) d\tau\right)}{\exp\left(-\int_0^s E_j(t+\tau) d\tau\right)} \quad (3)$$

The waiting times are defined as

$$W_j(t) = \inf\{s : X(t+s) \neq j \mid X(t) = j\} \quad (4)$$

As such

$$W_j(t) > s \Rightarrow \forall \tau \in [t, t+s] \quad X(\tau) = j \quad (5)$$

Furthermore the above relation holds iff no exit event have occurred in the time interval  $[t, t+s]$ . As such:

$$\begin{aligned} P[W_j(t) > s] &= P[\text{no exit events in } [t, t+s]] \\ &= \exp\left(-\int_0^s E_j(t+\tau) d\tau\right) \end{aligned} \quad (6)$$

And as such:

$$P[W_j(t) < s] = 1 - \exp\left(-\int_0^s E_j(t+\tau) d\tau\right) \quad (7)$$

In the case of phylodynamic coalescent this becomes

$$P[W_j(t) \leq s] = 1 - \exp\left(-\int_0^s \frac{\binom{j}{2}}{\alpha(t+\tau)} d\tau\right) \quad (8)$$

From equation 8 we can see that the waiting times between individual coalescent events depend on the relative population size.

### B. SIMULATING PHYLOGENIES

We are interested in simulating the scenario where sampling events and the relative population size is given. Denote the collection of sampling events in decreasing time order by:

$$\{s_j\}_{1 \leq j \leq K}, \quad i > l \Leftrightarrow s_i \leq s_l \quad \forall i, l \quad (9)$$

Under this scenario, phylogenies can be simulated as realisations of the inhomogeneous coalescent process, conditioned on sampling events, using modified Gillespie's Algorithm [18]. The key difference between standard Gillespie's Algorithm setting and our problem setting is that we need to condition on the sampling events.

Hence at any time greater than the earliest sampling event  $t_i > s_K$  in the simulation, we first need to determine whether an event happens or not in the interval  $[s_j, t_i]$  with  $s_j = \max\{s \in S : s < t_i\}$ . Define  $\Delta t_i \triangleq t_i - s_j$ , and assume there are  $j$  individual clades extant at time  $t$ . We are interested in the probability

$$P[W_j(t_i) > \Delta t_i] = \exp\left(-\int_0^{\Delta t_i} \frac{\binom{j}{2}}{\alpha(t+\tau)} d\tau\right)$$

To determine the next in simulation draw  $r \sim \mathcal{U}([0, 1])$ . If  $r < P[W_j(t_i) > \Delta t_i]$ , no events happen in the interval  $[s_j, t_i]$ . As such set  $t_{i+1} = s_j$  and repeat the process. If  $r > P[W_j(t_i) > \Delta t_i]$  a coalescent event must happen in the interval  $[s_j, t_i]$ . Therefore we need to determine

the next waiting time  $W_j(t_i)$ . The cumulative distribution function (CDF) of  $W_j(t_i)$  is given by

$$P[W_j(t_i) < c \mid W_j(t_i) < \Delta t_i] = \frac{1 - \exp\left(-\int_0^c \frac{\binom{j}{2}}{\alpha(t+\tau)} d\tau\right)}{1 - P[W_j(t_i) > \Delta t_i]} \quad (10)$$

$W_j(t_i)$  can be generated by the inverse transform of exponentially distributed variables.

Let  $W \sim \exp(1)$  and note that random variates  $W'$  with the CDF

$$P[W' \leq c] = P[W \leq c \mid W \leq \Delta' t] \quad \forall c \leq \Delta t \quad (11)$$

can be generated from random variables  $u \sim \mathcal{U}([0, 1])$  by the following inverse transform

$$T(u) = -1 \log[1 - u(1 - \exp(-\Delta' t))] \quad (12)$$

This can be justified as follows

$$P[T(u) \leq c] = P[u \leq T^{-1}(c)]$$

Using the property that  $P[u \leq a] = a$  and requiring that  $T(u)$  follows the same distribution as  $W'$  we obtain

$$\begin{aligned} \Rightarrow P[u \leq T^{-1}(c)] &= \frac{\int_0^c \exp(-t) dt}{\int_0^{\Delta' t} \exp(-t) dt} \\ \Rightarrow T^{-1}(c) &= \frac{1 - \exp(-c)}{1 - \exp(-\Delta' t)} \end{aligned}$$

Being able to generate appropriate random variates  $W'$ , a further inverse transform is applied, this time to obtain  $W_j(t)$  from  $W'$ . First, we require that  $\Delta' t$  be such that  $P[W < \Delta' t]$  is equal to  $P[W_j(t_i) < \Delta t]$ . Next, we seek a function  $G(W'; t)$  such that

$$\begin{aligned} P[W' \leq G^{-1}(c; t)] &= P[W_j(t) \leq c \mid W_j(t) \leq \Delta t] \\ \Rightarrow \frac{1 - \exp(-G^{-1}(c; t))}{1 - P[W > \Delta' t]} &= \frac{1 - \exp\left(-\int_0^c \frac{\binom{j}{2}}{\alpha(t+\tau)} d\tau\right)}{1 - P[W_j(t_i) > \Delta t_i]} \end{aligned} \quad (13)$$

Using that  $1 - P[W > \Delta' t] = 1 - P[W_j(t_i) > \Delta t_i]$  we obtain

$$\begin{aligned} \Rightarrow 1 - \exp(-G^{-1}(c; t)) \\ = 1 - \exp\left(-\int_0^c \frac{\binom{j}{2}}{\alpha(t+\tau)} d\tau\right) \end{aligned} \quad (14)$$

Where the inverse transform  $G^{-1}$  is given by

$$\Rightarrow G^{-1}(c; t) = \int_0^c \frac{\binom{j}{2}}{\alpha(t+\tau)} d\tau \quad (15)$$

Therefore the scheme to generate waiting times  $W_j(t)$  is to first generate appropriate  $W'$  from uniformly distributed random variates, and then to further transform  $W'$  by solving 15.

In practice, 15 often cannot be solved analytically, and hence we resort to numeric methods.

### C. INFERENCE UNDER INHOMOGENOUS COALESCENT

The derivation of the likelihood function for time-inhomogenous coalescent process can be found in [15]. We adapt notational convention from [15]. Let  $\{t_i\}_{i \in S \subset \mathbb{N}}$  denote the times of events in increasing order. Let  $t_Y \triangleq \{t_i\}_{i \in Y}$  denote times of coalescent events and  $t_I \triangleq \{t_i\}_{i \in I}$  denote times of sampling events, where  $Y, I$  are disjoint partitions of the index set  $S$  with the property that  $S = Y \cup I$ . The likelihood of a particular genealogy is then given by:

$$\begin{aligned} \mathcal{L}(g \mid \alpha) &= \prod_{i \in S \setminus 1} \left( \mathbb{1}_Y(i) \frac{\binom{k_i}{2}}{\alpha(t_i)} + \mathbb{1}_I(i) \right) \\ &\quad \times \exp\left(-\int_{t_{i-1}}^{t_i} \frac{\binom{k_i}{2}}{\alpha(\tau)} d\tau\right) \end{aligned} \quad (16)$$

The log-likelihood is:

$$\log \mathcal{L}(g \mid \alpha) = - \sum_{i \in S \setminus 1} \int_{t_{i-1}}^{t_i} \frac{\binom{k_i}{2}}{\alpha(\tau)} d\tau + \sum_{i \in Y} \log \frac{\binom{k_i}{2}}{\alpha(t_i)} \quad (17)$$

### D. COALESCENT WITH LOCAL POPULATION STRUCTURE

We now present the novel local phylodynamic model that we developed. In order to help illustrate the concepts behind this model, consider the following scenario. Suppose we sequence the genomes of a set of pathogenic bacterial samples. At an unknown point in time a particular strain acquired a mutation which conferred resistance to a widely used antibiotic. This increases the particular strains fitness and enables it to undergo a period of rapid growth leading to a clonal expansion. Assuming that this increase in fitness occurs in a short time span, the clade – a set of lineages sharing the same common ancestor, of this strain will behave differently in the phylogenetic tree having a coalescent rate corresponding to a rapidly expanding population starting from a very small number of individuals.

The problem of identifying hidden population structure has been proposed in [7], where a testing based approach was used to identify structure in a phylogeny, as well as in [10], where a birth-death type model is used.

In our approach, we will build upon the standard coalescent model, modifying it as to allow for change points located on the branches of the phylogeny, marking the event when a particular clade starts behaving according to a different population size function than its parent clade.

In our model, coalescent nodes have an added colour property, and each colour coalesces according to a colour specific, time dependent case. Nodes of non-identical colour can coalesce iff at least one of them is the last remaining node of a given colour. Different colours

correspond to different clades, each behaving under its own growth function.

Similar models have been used in epidemiology to track outbreaks [19], or transmission chains [20]. These models are often referred to as structured coalescent process, effectively adding a colour property to the vertices of phylogenies.

#### 1) Model

A given genealogy  $\mathbf{g} = (V_{\mathbf{g}}, E_{\mathbf{g}}, t_{\mathbf{g}})$  is an incomplete, empirical sample of the underlying process.

It consists of nodes  $V_{\mathbf{g}}$ , directed edges  $E_{\mathbf{g}}$ , and node labels  $t_{\mathbf{g}}$  corresponding to event times.

The genealogy  $\mathbf{g}$  shall be indexed by an index set  $S = 1 \leq i \leq N \subset \mathbb{N}$ , with  $Y \subset S$  corresponding to coalescent events and  $I \subset S$  corresponding to sampling events.

For convenience, assume that all edges are in the forwards time direction, i.e.:

$$\forall k, l \in S : (k, l) \in E_{\mathbf{g}} \Rightarrow t_k < t_l$$

Furthermore, all event times are ordered in descending (backwards) time order, with the first event corresponding to the most recent sample

$$\forall k, l \in S : k < l \Rightarrow t_k > t_l$$

Under the assumption that  $\mathbf{g}$  is a genealogy of a given sample, with each edge in  $E_{\mathbf{g}}$  there is an associated unobserved set of individuals descending from one another. At some point along an edge from one lineage to another, the lineage can undergo a colour change, and become the most recent ancestor of a diverging clade. This event corresponds to this lineage somehow gaining advantage over other lineages, be it a bacterium gaining resistance against a drug, or a strain of a virus invading a completely susceptible population.

**Definition III.1** (Multiple Lineage Coalescent). Given  $M$  colours,  $M$  population size functions  $\alpha \triangleq \{\alpha_j(t)\}_{1 \leq j \leq M}$ . Let  $Y(t)$  be a continuous time markov chain (CTMC) with the state space:

$$\Sigma = \{\mathbf{s} \in \mathbb{Z}_+ : |\mathbf{s}| \geq 1\} \quad (18)$$

and the transition rates

$$\mathbf{s} \rightarrow \mathbf{s} - \mathbf{e}_j \quad \binom{s_j}{2} \alpha_j^{-1}(t) \quad 1 \leq j \leq M \quad (19)$$

$$\mathbf{s} \rightarrow \mathbf{s} - \mathbf{e}_j + \mathbf{e}_k \quad \delta_{1,j} \beta s_k \quad 1 \leq j, k \leq M \quad (20)$$

Where  $\beta$  is an unknown rate

The interpretation of this model in backwards (coalescent) time is that each node corresponds to a single specific clade (colour). Nodes of the same clade coalesce at i.i.d rates, according to a clade specific growth functions, until reaching the MRCA of given clade. The MRCA

then changes type (colour) to that of a different clade. Under the hypothesis, along each of the edges from the parent of a clade MRCA to the MRCA lies a point in that characterises the time of divergence of the clade, after which the clade starts to undergo clonal expansion.

#### 2) Inference

Assume that we have been given a genealogy as described in previous section. The number of individual clades  $M$ , the effective population size functions  $\alpha$ , and the placement and divergence events in descending order  $\Xi = \{\xi_i\}_{1 \leq i \leq M}$ , are unknown. In effect we seek to infer the posterior using the following factorisation:

$$\mathcal{L}(\alpha, \Xi, M | \mathbf{g}) \propto \mathcal{L}(\mathbf{g} | \Xi, M, \alpha) \mathcal{L}(\alpha | \Xi, M) \mathcal{L}(\Xi, M) \quad (21)$$

$$\mathcal{L}(\mathbf{g} | \Xi, M, \alpha) \quad (22)$$

**Definition III.2** (Divergence Event). A divergence event  $\xi$  associated with a clade is a tuple:

$$\xi = (e', \tau) \quad (23)$$

where  $\tau$  denotes the time of the divergence event, and  $e' = (v^{pa}, v^{MRCA}) \in E_{\mathbf{g}}$  denotes the edge along which the divergence event happens, with  $v^{MRCA}$  being the MRCA of the newly diverging clade.

In order to identify the likelihood  $\mathcal{L}(\mathbf{g} | \Xi, M, \alpha)$ , the genealogy needs to be first partitioned into separate subtrees, each corresponding to an individual clade.

**Definition III.3** (Descendant Set).

$$\mathcal{D}(i) \triangleq \{j \in S \mid j \text{ is a descendant of } i\} \quad (24)$$

As lineages within a clade can only coalesce with one another, the coalescence rate is independent of other clades, and the time of divergence has been given, the total likelihood can be derived as the product of individual clade specific likelihoods.

**Definition III.4** (Lineage Subtree). A subtree  $W(\xi_i)$  associated with a divergence event  $\xi_i$  consists of all vertices, edges and times associated with a given clade.

$$W(\xi_i) \triangleq \mathcal{D}(v_i^{pa}) \setminus \bigcup_{j < i} (\mathcal{D}(v_j^{pa})) \quad (25)$$

A final step before deriving clade specific likelihoods is required, that is, the divergence times have to be included along with the times contained within the clade subtree. These in effect behave identically to sampling events

Denote the set of all divergence times associated with a Lineage Subtree  $W(\xi_j)$   $\tau_{W(\xi_j)}$

$$\tau_{W(\xi_j)} \triangleq \tau_i \in \xi_i : v_i^{pa} \in W(\xi_j), \quad \forall 1 \leq i \leq M$$

Finally define the set of all times associated with a particular clade  $T(\xi_j) = \tau_{W(\xi_j)} \cup t_{W(\xi_j)}$ , indexed by set  $S_{T(\xi_j)} = \{1 \dots |T(\xi_j)|\}$  such that

$$\forall t_k, t_l \in T(\xi_j), \quad k > l \Rightarrow t_k \geq t_l$$

Let  $k_{i,\xi_j}$  denote the number of extant individuals corresponding to clade subtree  $\xi_j$  at during the time interval  $[t_{i-1}, t_i]$ . The total likelihood is equal to:

$$\mathcal{L}(\mathbf{g} \mid \Xi, M, \alpha) = \prod_{j=1}^M \mathcal{L}(T(\xi_j) \mid \alpha_j) \quad (26)$$

$$\begin{aligned} \mathcal{L}(T(\xi_j) \mid \alpha_j) = & \prod_{t_i \in T(\xi_j)} \left( \mathbb{1}_{t_Y}(t_i) \frac{\binom{k_{i,\xi_j}}{2}}{\alpha_j(t_i)} + \mathbb{1}_{t_I \cup \tau_{W(\xi_j)}}(t_i) \right) \\ & \times \exp \left( - \int_{t_{i-1}}^{t_i} \frac{\binom{k_{i,j}}{2}}{\alpha_j(\tau)} d\tau \right) \end{aligned} \quad (27)$$

### 3) Choice of Population Size Functions

While the parent clade is assumed to be at equilibrium, the population size functions of the diverging clades have to satisfy several properties.

First we introduce the variable  $\tau = -t + T_{max} - T_{div}$  which denotes time relative to a divergence event of a given clade, where  $T_{div}$  denotes the divergence time and  $T_{max}$  denotes the time of the most recent sample. In our model we assume that the diverging subpopulation only appears after the divergence event, and as such it is required that at  $\tau = 0$   $\alpha(\tau) = 0$ . Furthermore, we're a for a monotone decreasing function in  $\tau$ , that exhibits saturating behaviour as  $\tau$  grows large. Initially, functions exhibiting a period of exponential growth were investigated, however these were hard to justify and were ill-posed numerically. Hence we arrived at the following function

$$\alpha(\tau) = K \frac{r\tau^2}{1 + r\tau^2} \quad (28)$$

Where  $K$  is the carrying capacity and  $r$  is the growth rate. This function exhibits saturating behaviour, symmetry around zero, and numerically stable behaviour due to not relying on time-offsetting exponentials and having a more gradual decay around zero.

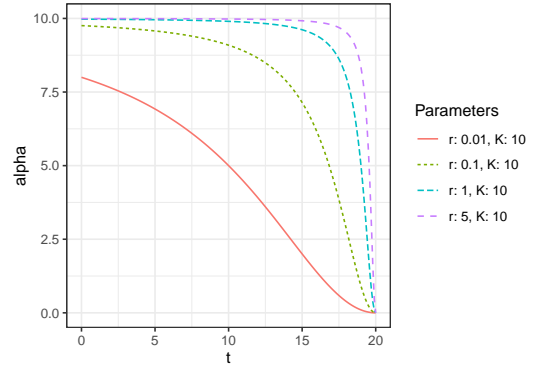


FIGURE 1:  $\alpha(\tau)$  under different parameters, with  $T_{div} = 20$

The integral of the reciprocal  $\alpha^{-1}(\tau)$  under this formulation is then given by

$$\begin{aligned} & \int_t^{t+s} \alpha^{-1}(\tau) d\tau \\ &= \frac{1}{K} \left[ -\frac{1}{r(\tau - T_{max} + T_{div})} + \tau - T_{max} + T_{div} \right]_t^{t+s} \end{aligned} \quad (29)$$

## IV. RESULTS

### V. IMPLEMENTATION NOTES

The framework described has been implemented as an R package, that enables the computation of likelihoods, simulation of genealogies under the model developed, and computation of likelihoods along with an implementation of the metropolis-hastings MCMC algorithm.

### VI. EXPONENTIAL GROWTH

An example of a population under exponential growth,  $\alpha(t) = N * \exp(-\lambda t)$  consisting of 100 sampling events between 0 and 10 years before present has been simulated with a rate parameter  $\lambda$  and final size parameter  $N$  drawn from a uniform densities on intervals  $[0.1, 10]$ , and  $[1, 100]$  respectively.

A Metropolis-Hastings MCMC scheme was then used to infer the parameters  $\lambda$  and  $N$ . An exponential distribution rate equal to one was chosen as the prior for  $\lambda$ , as well as for  $N$ .

One million iterations were used and the first One hundred thousand discarded as burn in time. To further validate the fit, both a maximum likelihood (MLE) and maximum *a-posteriori* (MAP) estimates were computed and plotted against the posterior marginals inferred by the MCMC.

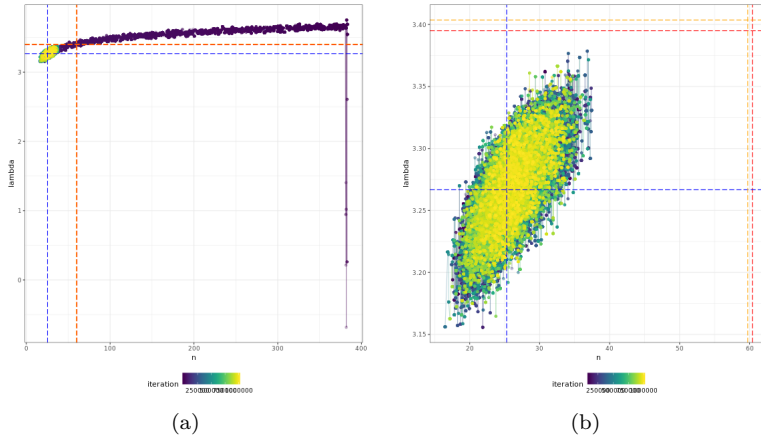


FIGURE 2: Trace plots for the markov chain. Red lines denote true parameter values. MLE marked by blue lines. MAP marked by orange lines. **2a** Shows the entire trace of the chain. **2b** Shows the trace with the first 100000 iterations discarded. Colour gradient corresponds to the iteration number, with more recent iterations in yellow.

FIGURE 4: The simulated genealogy used for this example.

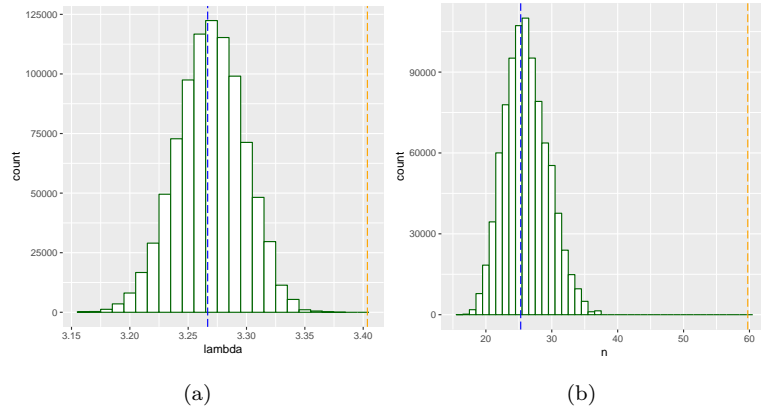


FIGURE 3: Histograms of the posterior marginals. MLE marked by blue line. MAP marked by orange line. **3a**  $\lambda$  marginal **3b**  $N$  marginal

## VII. COALESCENT WITH LOCAL POPULATION STRUCTURE

### A. PHYLOGENY SIMULATION

We present a simulated phylogeny under the new model we developed,  $m$  with two divergence events.



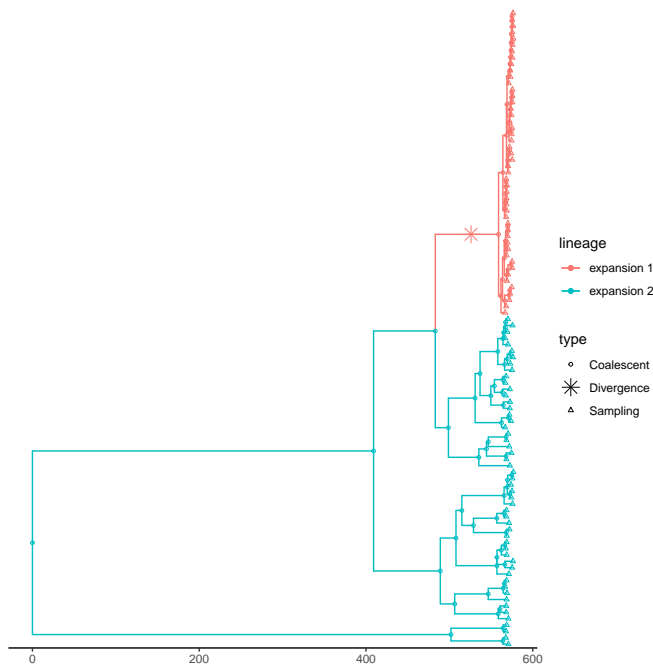


FIGURE 5: A genealogy simulated under this formulation

## B. MCMC INFERENCE

## VIII. DISCUSSION & FUTURE WORK

### IX. FUTURE WORK

In this work we proposed a framework to enable local phylodynamic inference, with the aim to facilitate usage of genomic data for outbreak detection. What remains now is to thoroughly test MCMC inference under this framework on both synthetic data and real world data. First of all with the number of divergence events fixed, and then further with an arbitrary number of divergence.

Having a variable amount of divergence events leads to the dimensionality of the problem not being fixed, and therefore will require the use of Reversible Jump MCMC (RjMCMC) [21, 22], which computationally intensive. If the performance of this framework in this setting is satisfactory, a further comparison with existing methods such as [7, 10] would be interesting and desirable.

Finally, after the evaluation is complete it is our intention to release the R package as open source.

## X. DISCUSSION

The effect of priors and of the choice of the population size function family for divergence events remains poorly understood. It would be desirable to investigate what effect might different function families and parameter priors have on inference under our model.

It would be worth investigating the analytic properties of model proposed, and to see whether it can be shown to be consistent with existing models in population genetics in the limit, under appropriate assumptions.

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