



STANDARD PRICING SCHEDULE
Introducing Broker

BOUSTEAD SECURITIES, LLC

3/18/2021

Valid 30 days from above date ¹

MONTHLY Ticket Charges

	Rate per Ticket
Equities,	\$4.00 Side/Symbol
All Principle Trades (Error/inventory etc.)	\$4.00 Side/Symbol

MONTHLY SHARE RATES

Number of shares	Rate per Share
1,000,000 or less	.003
1,000,001 or 5,000,000	.0025
5,000,001 or 10,000,000	.002
10,000,001 or 40,000,000	.0015
40,000,001 +	.0010

Fully Paid Lending Program

40% Rebate of net fee's generated from lending securities

OPTIONS

	Rate per Contract
TBD	

DEPOSIT MINIMUM²

Based on Risk Assessment

\$100,000

Reg A offering

\$400 Compliance fee / Per account allocation

Reg A offering Execution

All Shares	1%
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¹ Rates must be agreed to prior to the date indicated or may be subject to change.

² Deposit minimum is based on business mix, client pricing and processing, and other factors.

Clearing and Depository Charges (i.e., NSCC and DTC) ****NSCC liquidity charges will be assessed at Fed Funds target plus 7%.	Pass-Through (All charges related to clearance and settlement of transactions through clearing and depository corporations)
ACAT OUT	\$50.00 Plus Pass Through
Market Venue Charges/Rebates	Pass-Through
Cancel & Corrects (Post S/D)	\$2.00
Free DTC Deliveries and Receives	\$15.00
Risk Management Fee	Pass-Through (Pro rata basis) per month ³
Third Party Systems Charges/Network Connectivity Fees	Pass-Through
Regulatory Fees (i.e., SEC type, TAF, et al.)	Charged at prevailing rates
Wires	Domestic: \$25.00 per wire International: \$50.00 per wire
Escheatment Fee	\$25.00
DWAC fee	\$100.00 Plus Pass Through
Voluntary Corporate Actions	\$75.00 Per Event
Mandatory Corporate Actions	\$50.00 Per Event
Blue Sheets	\$5.00 per Blue Sheet
Statement /Confirm/ Electronic Delivery	No Charge
Worthless Securities	\$25.00
1099 reporting	\$10.00 per account
Monthly Account Maintenance	.25 per account per month
INTEREST RATES⁴	
Free Credits: Fed Funds minus 100 bps Short Credit Rebate:¹ Actual Cost minus 50 bps <small>1. Actual cost is the cost of the borrow and /or the cost of the internally sourced borrows based on the prevailing rates market rates from an industry pricing service. Cost may change day to day and will be shared weighted by source.</small>	Debit Balances: Fed Funds plus 250bps

³ Risk Management Fee not to exceed \$1250 per month for non-sponsored access clients; Fee may be in excess of \$1250 if client is a sponsored access client pursuant to Rule 15c3-5.

⁴ Interest rates are based on average monthly balances and may be subject to change upon a 30-day notice.