

Please see the notes in the CRM.

Notes are under SprinkleBrokerage Contact



## STANDARD PRICING SCHEDULE

### SprinkleBrokerage Inc

4/19/2021

Valid 30 days from above date<sup>1</sup>

MONTHLY SHARE RATES	
Number of Shares	Rate per Share
<del>0-50,000,000</del> 0 - 10,000,000	<del>\$0.0005</del> TIERED <del>\$0.0005</del>
<del>50,000,001-100,000,000</del> 10,000,001 - 100MM	<del>\$0.0005</del> " <del>\$0.0005</del>
100,000,001 +	<del>\$0.0005</del> " <del>\$0.0005</del>
DEPOSIT MINIMUM <sup>2</sup>	
Based on Risk Assessment	
tiered deposit for options, pm when avail.	50,000 TIERED
MONTHLY MINIMUMS	
SprinkleBrokerage Inc will be charged a minimum of <del>\$3,500,000</del> TIERED	
OTHER COSTS	
<b>Service Fee:</b>	1/2% Per Transaction Pre IPO
Account opening	
Due Diligence	
Transfer Agent fee's	
DWAC/ DSRQ	
Custody	
NSCC Clearing Fund and DTCC Fund Fees	.000125
Clearing and Depository Charges (i.e., NSCC and DTC)	Pass-Through (All charges related to clearance and settlement of transactions through clearing and depository corporations if applicable)
ACAT position transfer (outgoing only)	\$25.00 Plus Pass Through
Cancel & Corrects (Post S/D)	\$10.00
Free DTC Deliveries and Receives	<del>\$15.00</del> \$20.00
Risk Management Fee	<del>\$1,250</del> WAIVED UNTIL 1st FFI
Third Party Systems Charges/Network Connectivity Fees	Pass-Through
Regulatory Fees (i.e., SEC type, TAF, et al.)	Pass-Through charged at prevailing rates
Blue Sheets	\$5 per Blue Sheet

<sup>1</sup> Rates must be agreed to prior to the date indicated or may be subject to change.

<sup>2</sup> Deposit minimum is based on business mix, client pricing and processing, and other factors.

Wires	Domestic: \$25.00 per wire International: \$50.00 per wire
Statement /Confirm/1099 Reporting - Electronic Delivery	No Charge
Stock execution MM Rebate	20% of rebates received from the MM
Fully Paid Lending Program* Fully Paid Lending Agreement must be Executed.	<del>20%</del> Rebate of net fee's generated lending securities <b>50% SPLIT FPSL</b>
<b>INTEREST RATES<sup>3</sup></b>	
<b>Free Credits:</b> Fed Funds minus 75 bps  <b>Short Credit Rebate:<sup>1</sup></b> Actual Cost minus 50 bps <small>1. Actual cost is the cost of the borrow and /or the cost of the internally sourced borrows based on the prevailing rates market rates from an industry pricing service. Cost may change day to day and will be shared weighted by source.</small>	<b>Debit Balances:</b> Fed Funds plus 200 bps
<b>SIGNATURES</b>	
Name:	Name: Robert Calice
Date:	Date:

**TIERED MONTHLY SHARE**

0 - 3mm = .001	2400
3mm - 6mm = .0008	2000
6mm - 10mm = .0005	22500
10mm - 100mm = .00025	
100mm + = .000125	

**MONTHLY MIN SCHED:**

MOS	1 - 6 = \$0.00 NO MIN
MONTH	7 - \$2,500
"	8 - \$3,500
"	9 - \$4,500
"	10 - \$5,500
"	11 - \$6,500
"	12+ \$8,000

tiered monthly share rates are mandated  
SprinkleBrokerage execute thru QAMM.

we sill split QAMM execution rebates 50/50  
with them.

<sup>3</sup> Interest rates are based on average monthly balances and may be subject to change upon a 30-day notice. Rates quoted are for transactions cleared at VELOCITY only. Omnibus clearing rates will vary and are subject to adjustment to actual rates charged to or received by VELOCITY.