

SALES CALL REPORT

Date of Call: Monday 11/15/2021

Time of Call: 11:00AM

Attendees: George Rodger, Rob Kalaf, Farid Zainal, Tom Tanacredi, John Gladdis, Michael Palmeri

Situation: George Rodger represents Trade Capital Markets. Trade Capital Markets, LTD (TCM) is a multi-national, Cyprus based holding company. Mainly dealing in CFD, Commodities, Equities, Crypto and Options. They are looking to break into the managed money space with an app. Essentially the app is a wealthfront based scheme where clients set and forget the results based off a risk questionnaire. TCM has 100k users and is looking to expand rapidly

Goal: George expressed that he was looking for good pricing but to go fully disclosed in his reporting.

Strategy: In addition to his marketing strategy, he also has a tech team developing the application. His Tech team will ultimately create a user-friendly experience for US based consumers.

Time Horizon: Looking to launch in 4 months, whenever FINRA grants his US b/d approval.

What Success Looks Like to The Client: Fully disclosed relationship, great pricing and a solid business administration relationship with strong operational capabilities. Fix line into us and ability to trade ETF.

Extra Notes: Informed George yesterday we could get him an intent to clear letter but we would have to cap the fully disclosed applicants at 1000 people, after that omnibus. Client agreed and wants to proceed with the intent to clear letter.