

Thursday, February 11, 2021

Created By: Jess Harrill


Velocity Clearing, LLC

LESVIA RAMOS RIVERA

Alert ID: 7012540843

Current Address	2378 w 21st Street, LOS ANGELES, CA, 90018, USA	SSN		Current Status	False Positive
Division	Default division	DOB	05/XX/1970	Assigned to	Unassigned
		Account ID		Alert State	Closed

Screening List

Score	Disp	Entity Name	Best Match	File	Source Date
90		Ramon L. Rivera	Rivera, Ramon L.	WorldCompliance - Full	2/9/2021

Velocity Clearing, LLC

Name	LESVIA RAMOS RIVERA	Alert ID: 7012540843
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Date & Time	User Name	Event	Note
2/11/2021 1:50:38 PM	Jess Harrill	Alert Decision Applied	Add to Accept List decision was applied
2/11/2021 1:50:38 PM	Jess Harrill	New Note	False Positive
2/11/2021 1:50:38 PM	Jess Harrill	Added to Accept List	Record added to Accept List
2/11/2021 1:50:38 PM	Jess Harrill	Alert Closed	
2/11/2021 1:50:38 PM	Jess Harrill	Status Changed	Result Status set to 'False Positive'
2/11/2021 1:50:38 PM	Jess Harrill	Reassigned	Removed assignment from Jess Harrill
2/11/2021 1:50:06 PM	Jess Harrill	Record Created	Record created from real-time search
2/11/2021 1:50:06 PM	Jess Harrill	Alert Opened	Alert threshold met
2/11/2021 1:50:06 PM	Jess Harrill	List Screening Search Conducted	List Screening Search Conducted

Velocity Clearing, LLC

Name: LESVIA RAMOS RIVERA Alert ID: 7012540843

Alert Information

Current Status: False Positive Assigned to: Unassigned Alert State: Closed

Account Information

Type: Account Date: Amount:
 Account ID: Member ID: Group ID:
 Provider ID: Other Data:

Origin

Real-Time with List Screening on 2/11/2021 at 1:50 PM for Default division

Name

First	Middle	Last
LESVIA	RAMOS	RIVERA

Identification

Type	Number
National	A3740675

Address

Type	Address Line 1	City	State	Postal Code	Country
Current	2378 w 21st Street	LOS ANGELES	CA	90018	USA

Additional Information

Type	Information
Citizenship	USA
DOB	05/XX/1970

Velocity Clearing, LLC

Created By: Jess Harrill

Name:	LESVIA RAMOS RIVERA	Alert ID:	7012540843	Assigned to:	Unassigned
SSN:		Origin:	RealTime	Record Status:	False Positive
DOB:	05/XX/1970	Search Date:	2/11/2021	Updated By:	Jess Harrill
Phone:		Search Time:	1:50 PM	Alert State:	Closed
Account ID:		Division:	Default division	Updated By:	
Address:	2378 w 21st Street, LOS ANGELES, CA, 90018, USA			Searched By:	Jess Harrill

1. Ramon L. Rivera

Score: 90 - WorldCompliance® - Full

Disposition:

Source Date: 2/9/2021

Entity Type: Individual

Gender: Male

Number: 892517

Entity Date: 6/29/2006

Reason Listed: Enforcement:Debarred

Comments

Source: United States,US-U.S. Office of the Comptroller of the Currency || Offense: Prohibition order issued pursuant to the Federal Deposit Insurance Act - May 16, 1990 || Category: Enforcement | Subcategory: Debarred || Last updated: 2018-03-24 || Profile Notes: According to the U.S. Office of the Comptroller of the Currency; May 16, 1990: Ramon L. Rivera has executed a Stipulation and Consent to Issuance of Order of Removal and Prohibition ("stipulation"), which is accepted and approved by the Office of Thrift Supervision ("OTS") acting through its District Director for the New York District Office and Ramon L. Rivera, in the Stipulation has consented and agreed to the issuance of this Order of Removal and Prohibition ("Order") pursuant to Section 8(e) of the Federal Deposit Insurance Act ("FDIA"), as amended by the Financial Institutions Reform Recovery, and Enforcement Act of 1989.

Ramon L. Rivera waives his right to a notice of intention to remove and prohibit and the administrative hearing provided by Section 8(e) of the FDIA, as amended by FIRREA (to be codified at 12 U.S.C., and further waives his right to seek judicial review of the Order, including any such right provided by Section 8 (h) of the FDIA, as amended by FIRREA (to be codified at 12 U.S.C., or otherwise to challenge the validity of the Order.

NOW THEREFORE, Ramon L. Rivera is removed as president and chief executive officer and is prohibited from further participation, in any manner, in the conduct of the affairs of Caribbean Federal Savings Bank of Puerto Rico, Carolina, Puerto Rico ("Caribbean"), its holding company(ies), or Service corporation(s).

IDs

Proprietary UID: 892517


Addresses

Address:

Country: United States

Additional Information

Match Summary

	Input Information	Source Information
Name	LESVIA RAMOS RIVERA	 Ramon L. Rivera

Other Information: Link to WorldCompliance Online Database

Comments Rivera, Ramon L.

<https://members.worldcompliance.com/metawatch2.aspx?id=ce5c99f6-7655-49ca-b274-ecfd423a9cbe>

Other Information: Sources of Record Information

Comments

<https://members.worldcompliance.com/Article.aspx?id=74ecff64-de2b-415c-8df8-109d07c41840>

<https://members.worldcompliance.com/Article.aspx?id=eddc531c-0621-4988-bcac-d30d72b28cbc>

<http://www.ots.treas.gov>

<http://www.ots.treas.gov/>

<http://www.occ.gov/static/ots/enforcement/94953.pdf>