

AI MODEL DEVELOPMENT

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PHASE 1 - ANALYSIS MEMO

1. Summary

32 runs evaluated across 4 models, 2 tasks, 2 prompt variants. Structured prompts improve all models by 30% average. Opus 4.5 leads baseline performance (3.75); all models converge near 4.0 with structured prompts.

2. Failure Patterns

| Pattern | Model | Example | Fix |
|----------|-------------------|---------------------------------------|---------------------------------------|
| FABCITE | GPT-5 | Invented chunk_07 when chunk_05 given | “Use EXACT IDs : do NOT invent” |
| STRUCT | Gemini, Sonnet | Lists instead of tables | Explicit markdown template |
| OVERCONF | GPT-5 | “may reduce” became “reduces” | “Preserve hedging language” |
| GENERIC | GPT-5 | “Training uses energy” (no numbers) | “Include specific numbers” |
| MISALIGN | Gemini | Wrong source attribution | “Cite specific text from THAT source” |

3. Model Comparison

Performance

| Model | Baseline | Structured | Delta | Rank |
|------------|----------|------------|-------|------|
| Opus 4.5 | 3.75 | 4.00 | +6.7% | 1st |
| Sonnet 4.5 | 3.00 | 4.00 | +33% | 3rd |
| GPT-5 | 2.94 | 4.00 | +36% | 4th |
| Gemini 3 | 2.75 | 3.94 | +43% | 4th |

Characteristics

| Dimension | Opus 4.5 | Sonnet 4.5 | GPT-5 | Gemini 3 |
|--------------------|-----------|------------|-----------|-----------|
| Baseline grounding | Excellent | Strong | Moderate | Moderate |
| Citation accuracy | High | Medium | Low | Medium |
| Format compliance | Excellent | Moderate | Excellent | Weak |
| Prompt sensitivity | Low | High | Very High | Very High |

4. Key Findings

1. **Opus 4.5 dominates baseline:** Only model with zero failures at baseline
2. **All models converge with structure:** Proper guardrails eliminate performance gaps
3. **GPT-5 most prompt-sensitive:** Highest improvement (+36%) but most baseline failures
4. **Gemini weakest on format:** 4 STRUCT failures; needs explicit templates
5. **Citation accuracy is universal gap:** All models except Opus need explicit rules

5. What Worked

| Technique | Result |
|---------------------------|-------------------------|
| Explicit table templates | 0 STRUCT failures |
| “VERBATIM” instruction | 0 quote modifications |
| “Do NOT invent” rule | 0 FABCITE failures |
| “Only N found” fallback | 0 forced hallucinations |
| Dual-citation requirement | Balanced synthesis |

7. References

- [1] Luccioni et al. (2022). Estimating the Carbon Footprint of BLOOM. arXiv:2211.02001.
- [2] Patterson et al. (2021). Carbon Emissions and Large Neural Network Training. arXiv:2104.10350.
- [3] Strubell et al. (2019). Energy and Policy Considerations for Deep Learning in NLP. ACL 2019.