

Effect Handlers All the Way Down

Daniel Hillerström

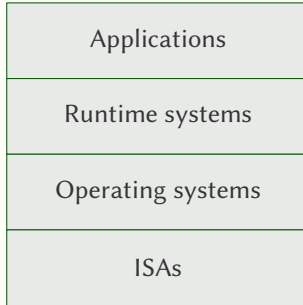
Computing Systems Laboratory
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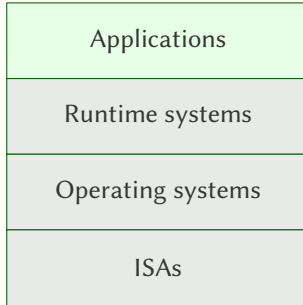
Global Software Technology Summit
Edinburgh, Scotland, United Kingdom

<https://dhil.net>

The Software Stack



The Software Stack: Applications



Control idioms



Non-local control idioms are pervasive

- Async/await (e.g. C++, C#, Dart, JavaScript, Rust, Swift)
- Coroutines (e.g. C++, Kotlin, Python, Swift)
- Lightweight threads (e.g. Erlang, Go, Haskell, Java, Swift)
- Yield-style generators (e.g. C#, Dart, Haskell, JavaScript, Kotlin, Python)

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Instances of a general phenomenon

- First-class continuations (e.g. Haskell, Java, OCaml, Scheme)

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Structured programming with continuations

- Effect handlers are a structured facility for programming with continuations
- Composable control idioms as user-defined libraries
- Seamless interoperability with native effects

Yield-style generators (1)

Effect interface

$$\text{Gen} = \{\text{Yield} : \text{Int} \rightarrow \text{Void}\}$$

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Integer generator — effectful function

```
ints : Int → Void!Gen  
ints i = do Yield i; ints (i + 1)
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Accumulator — linear handler

$$\begin{aligned} \text{sumUp} &: \text{Int} \rightarrow \text{Void!Gen} \Rightarrow \text{Int} \\ \text{sumUp } n &= \{ \\ & \\ & \} \end{aligned}$$

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sumUp : Int → Void!Gen ⇒ Int  
sumUp n = { ⟨Yield i → r⟩ ↦ if n > 0 then decr n; i + r ⟨⟩  
                        else 0  
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Example

$$\text{sumUp } 10 \text{ (ints } 0) \rightsquigarrow 55$$

Lightweight threads

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$$\text{Lwt} = \{\text{Fork} : (\text{Void!Lwt}) \rightarrow \text{Void}, \text{Interrupt} : \text{Void} \rightarrow \text{Void}\}$$

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$$\text{runNext} : \text{Queue} \rightarrow \text{Void}$$
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Applications

Applications in the wild

- Concurrency
 - Direct-style asynchronous I/O (e.g. OCaml)
 - Efficient user interface rendering (e.g. Facebook's React)
- Distribution
 - Content-addressed programming (e.g. Unison)
 - Build systems and deployment (e.g. srclang)
- Modular interpretation
 - Probabilistic programming (e.g. Pyro)
 - Flexible data manipulation (e.g. GitHub's SEMANTIC)

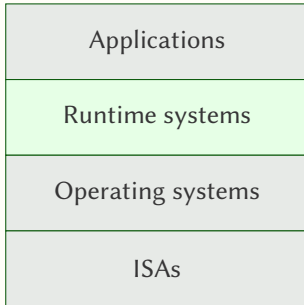
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We have only scratched the surface for what effect handler oriented programming has to offer!

The Software Stack: Runtime systems

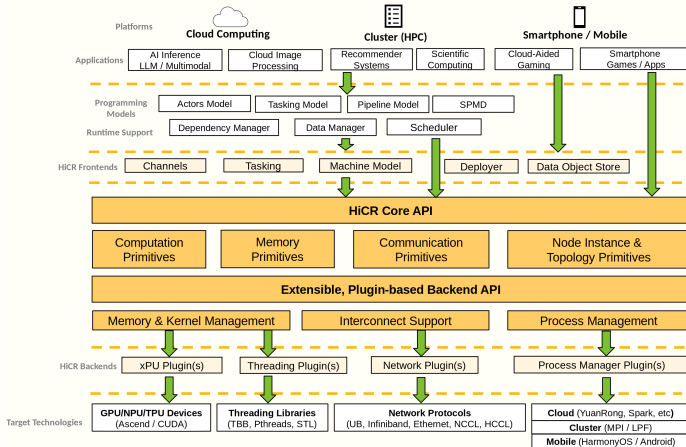


HiSilicon Common Runtime (HiCR)

(joint work with Sergio M. Martin, Kiril Dichev, Luca Terracciano, Orestis Korakitis, and Albert-Jan Yzelmann)

Executive summary

- A unified API for building portable runtime systems, providing seamless and efficient access to new technologies



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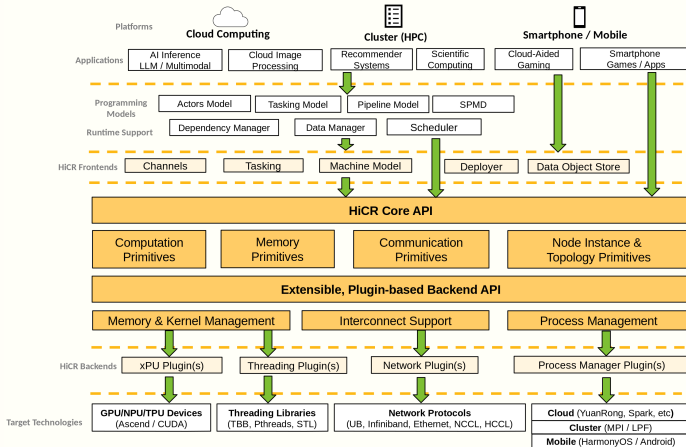
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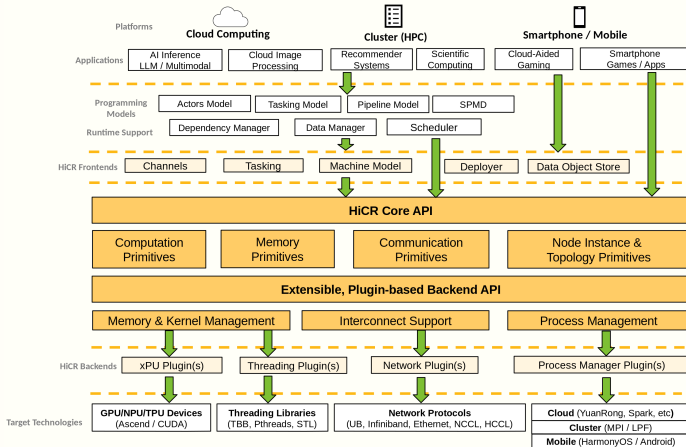
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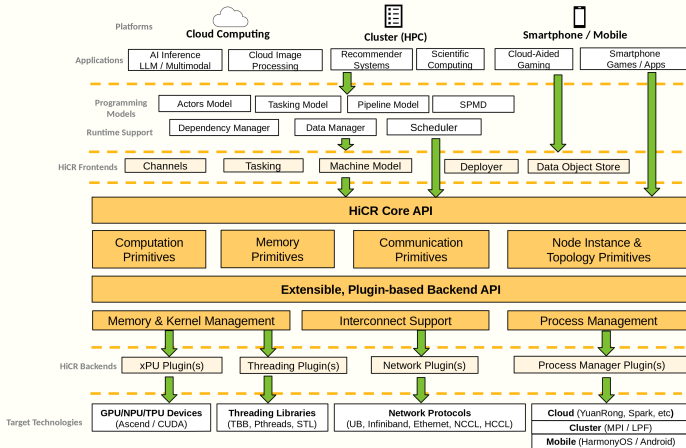
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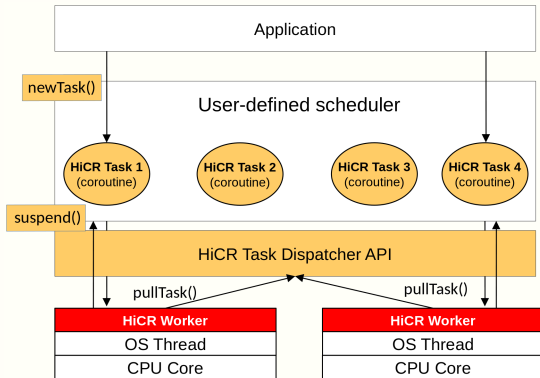
- **Core:** target-agnostic API for low-level operations (e.g. memcpy)
- **Frontend:** Higher-level building blocks for applications
- **Backend:** Extensible plugin-based API for integrating computational fabrics



Application-specific scheduling

Customised task scheduling

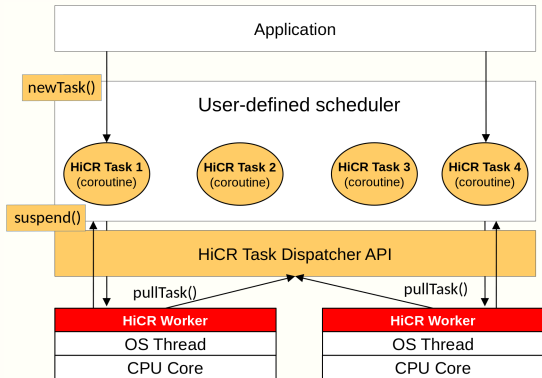
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- HiCR coroutines interact with their environment
 - **newTask**: spawn new coroutine
 - **suspend**: yield control



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Customised task scheduling

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- HiCR coroutines interact with their environment **via effects**
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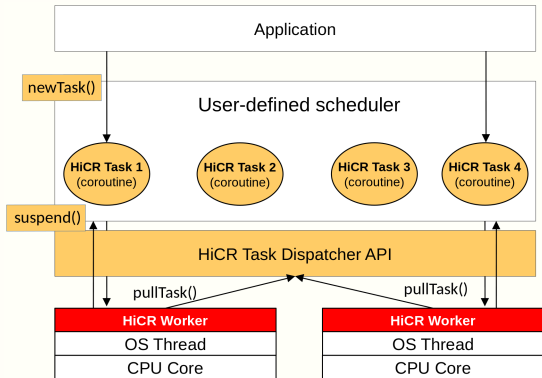
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Handlers yield benefits

- It just works™
- Seamless composition with builtin effects (e.g. exceptions)
- Anecdotally, easy fancy control programming



More effects in HiCR

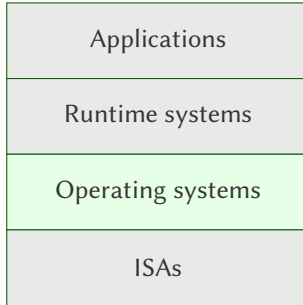
Growing demand for effect handlers

- Bespoke frontend for effect handlers
- Core building blocks for effect handlers
- Custom stack allocation policies
- Support for stackless coroutines

Liberating parallel programming

- No support for colocation (e.g. needed for multi-tenant systems)
- Virtualise parallel resources (e.g. ‘virtual memory’ for parallel programming)

The Software Stack: Operating systems



Effect handlers as composable microkernels

System calls and kernels

A **system call** is an abstract operation, whose implementation is provided by the **kernel**.

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Interpretation via handlers

System calls *as* effectful operations
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Composable interactive microkernels

- Domain-specific interactive (micro)kernels
- Virtualisation of operating system facilities
- Composability inherited from handlers

Dynamic multi user environments

Effect interface

$$\text{Env} = \{\text{Get} : \text{String} \twoheadrightarrow \text{String}\}$$

Environment — tail-resumptive handler

$$\begin{aligned} \text{env} &: \text{List} (\text{String} \times \text{String}) \rightarrow \alpha! \text{Env} \Rightarrow \alpha \\ \text{env } xs &= \{ \text{return } ans \mapsto ans \\ &\quad \langle \text{Get } x \twoheadrightarrow r \rangle \mapsto r \text{ (assoc } x \text{ } xs) \} \end{aligned}$$

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Shell — shadowing handler

$$\begin{aligned} \text{shell} &: \text{List} (\text{User} \times \text{List} (\text{String} \times \text{String})) \rightarrow (\alpha! \text{Env} \oplus \text{Session}) \Rightarrow \alpha \\ \text{shell } es &= \text{env } [] \circ \{ \text{ \textbf{return} } \text{ ans} \mapsto \text{ans} \\ &\quad \langle \text{Su } \text{user} \twoheadrightarrow r \rangle \mapsto \text{env} (\text{assoc } \text{user } es)(r \langle \rangle) \} \end{aligned}$$

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Example

$$\begin{aligned} \text{shell } &\langle [\langle \text{Alice}, [\langle \text{"USER"}, \text{"Alice"}] \rangle], \langle \text{Bob}, \dots \rangle], \\ &\quad \lambda \langle \rangle. \langle \text{do Su Alice; do Get "USER", do Su Bob; do Get "USER"} \rangle \rangle \\ \rightsquigarrow &\langle \text{"Alice"}, \text{"Bob"} \rangle \end{aligned}$$

Process duplication via UNIX fork

```
let  $pid \leftarrow \text{fork } \langle \rangle$  in  
if  $pid = 0$  then child continuation  
else parent continuation
```

Note, fork causes execution of both continuations, i.e. it returns twice!

Timesharing with UNIX fork

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$\text{Timeshare} = \{\text{Fork} : \text{Void} \rightarrow \text{Int}, \text{Interrupt} : \text{Void} \rightarrow \text{Void}, \text{Wait} : \text{Int} \rightarrow \text{Int}\}$

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Scheduler — multi-shot handler

$\text{tmshare} : \text{State} \rightarrow \alpha! \text{Timeshare} \Rightarrow \text{List} (\text{Int} \times \alpha)$

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$\text{runNext } \langle st \text{ with } rq = \langle st.\text{cur}, \lambda \langle \rangle . r \ 0 \rangle :: \langle \lambda \langle \rangle . r \ pid \rangle :: st.rq \rangle$

$\langle \text{Interrupt } \langle \rangle \rightarrow r \rangle \mapsto \text{runNext } \langle st \text{ with } rq = \langle st.\text{cur}, \lambda \langle \rangle . r \ \langle \rangle \rangle :: st.rq \rangle$

$\}$

Timesharing with UNIX fork

Effect interface

$\text{Timeshare} = \{\text{Fork} : \text{Void} \rightarrow \text{Int}, \text{Interrupt} : \text{Void} \rightarrow \text{Void}, \text{Wait} : \text{Int} \rightarrow \text{Int}\}$

Scheduler — multi-shot handler

$\text{tmshare} : \text{State} \rightarrow \alpha! \text{Timeshare} \Rightarrow \text{List} (\text{Int} \times \alpha)$

$\text{tmshare } st = \{$
 $\langle \text{Fork } \langle \rangle \rightarrow r \rangle \mapsto \text{let } pid \leftarrow \text{incr } st.\text{next_pid} \text{ in}$
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 $\langle \text{Interrupt } \langle \rangle \rightarrow r \rangle \mapsto \text{runNext } \langle st \text{ with } rq = \langle st.\text{cur}, \lambda \langle \rangle . r \ \langle \rangle \rangle :: st.rq \rangle$
 $\langle \text{Wait } pid \rightarrow r \rangle \mapsto \text{runNext } \langle st \text{ with } bq = \langle pid, \langle st.\text{cur}, \lambda \langle \rangle . r \ \langle \rangle \rangle \rangle :: st.bq \rangle$
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Timesharing with UNIX fork

Effect interface

$\text{Timeshare} = \{\text{Fork} : \text{Void} \rightarrow \text{Int}, \text{Interrupt} : \text{Void} \rightarrow \text{Void}, \text{Wait} : \text{Int} \rightarrow \text{Int}\}$

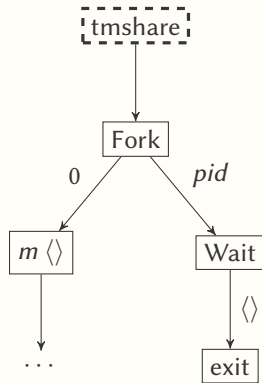
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 $\langle \text{Wait } pid \rightarrow r \rangle \mapsto \text{runNext } \langle st \text{ with } bq = \langle pid, \langle st.\text{cur}, \lambda \langle \rangle . r \ \langle \rangle \rangle \rangle :: st.bq \rangle$
 $\text{return } ans \mapsto \text{let } \langle rq', bq' \rangle = \text{pop } st.\text{cur } st.bq \text{ in}$
 $\text{runNext } \langle st \text{ with } rq = st.rq ++ rq', bq = bq', done = \langle st.\text{cur}, ans \rangle :: st.done \rangle \}$

Semantics for init

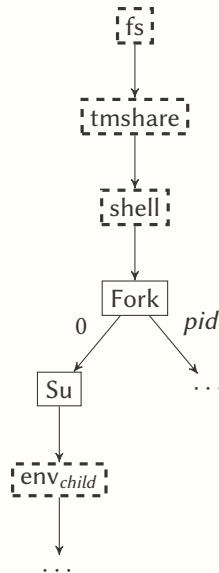
$\text{init} : (\text{Void} \rightarrow \alpha! \text{Timeshare}) \rightarrow \alpha$
 $\text{init } m = \text{let } pid \leftarrow \text{do Fork } \langle \rangle \text{ in}$
 $\text{if } pid = 0 \text{ then } m \langle \rangle$
 $\text{else do Wait } pid; \text{exit } 0$



Composition confers functionality

Functionality through composition

- Suppose we have a file system handler `fs`
- Then $(fs \circ tmshare \circ env)$ yields a basic operating system!
- Every process share the same filesystem...



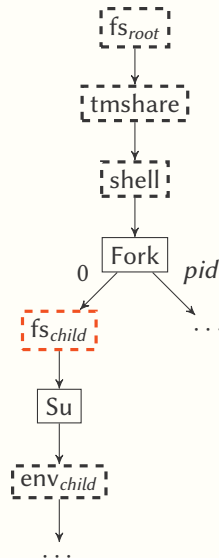
Composition confers functionality

Functionality through composition

- Suppose we have a file system handler fs
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- Every process share the same filesystem...

Sandboxing through composition

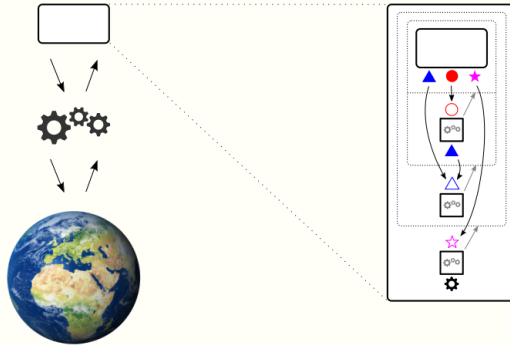
- Suppose we want each process to have its own filesystem?
- Easy: $fs \circ tmshare \circ env \circ fs$



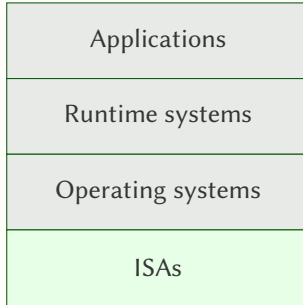
The future



The future



The Software Stack: ISAs



WebAssembly: neither web nor assembly (Haas et al. 2017)

What is Wasm?

- A virtual instruction set architecture
- An abstraction of the hardware
- Secure, sandboxed execution environment

Code format

- A Wasm “program” is a structured module
- Designed for streaming compilation
- The term language is **statically typed** and block-structured
- Control flow is **structured** (i.e. all CFGs are reducible)

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Problem

How do I compile my control idioms to Wasm?

Solution

A **global restructuring scheme** for programs (e.g. CPS, Asyncify)


Asyncify is the current state-of-the-art (1)

```
(func $doSomething (param $arg i32) (result i32)
  (call $foo
    (call $bar (local.get $arg))))
```

Asyncify is the current state-of-the-art (2)

```
(func $doSomething (param $arg i32) (result i32)
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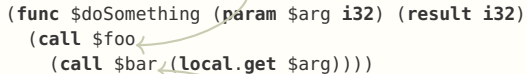
can \$bar suspend?



Asyncify is the current state-of-the-art (2)

can \$foo suspend?

```
(func $doSomething (param $arg i32) (result i32)
  (call $foo
    (call $bar (local.get $arg))))
```



can \$bar suspend?

```
(call $bar (local.get $arg))
```



Asyncify is the current state-of-the-art (2)

```
(func $doSomething (param $arg i32) (result i32)
  (local $call_idx i32)
  (local $ret i32)
  (if (i32.eq (global.get $asyncify_mode) (i32.const 2))           ;; test rewind state
    (then (local.set $arg                                           ;; store local $arg
      (i32.load offset=4 (global.get $asyncify_heap_ptr)))
      (local.set $call_idx                                           ;; continuation point
        (i32.load offset=8 (global.get $asyncify_heap_ptr)))
      (else))
    (block $call_foo (result i32)
      (block $restore_foo (result i32)
        (block $call_bar (result i32)
          (local.get $arg)
          (if (i32.eq (global.get $asyncify_mode) (i32.const 2)) (result i32)
            (then (if (i32.eq (local.get $call_idx) (i32.const 0))
              (then (br $call_bar))                                   ;; restore $call_bar
              (else (br $restore_foo))))
            (else (br $call_bar))))                                   ;; regular $call_bar
          (local.set $ret (call $bar (local.get 0)))
          (if (i32.eq (global.get $asyncify_mode) (i32.const 1)) (result i32)   ;; test unwind state
            (then (i32.store offset=4 (global.get $asyncify_heap_ptr) (local.get $arg))
              (i32.store offset=8 (global.get $asyncify_heap_ptr) (i32.const 0))
              (return (i32.const 0)) ...))))))
```

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```


A basis for stack switching

Can we do better?

A basis for stack switching

Can we do better?

- Yes! Effect handlers!
- Intuition: a continuation is a handle to a stack
- Aligns with the design restrictions of Wasm

The WasmFX instruction set extension (1)

(joint work with Arjun Guha, Andreas Rossberg, Daan Leijen, Frank Emrich, KC Sivaramakrishnan, Luna Phipps-Costin, Matija Pretnar, and Sam Lindley)

Types

- **cont** $\$ft$

Tags

- **tag** $\$tag : [\sigma^*] \rightarrow [\tau^*]$

Core instructions

- **cont.new** $\$ct : [(\mathbf{ref} \ \$ft)] \rightarrow [(\mathbf{ref} \ \$ct)]$
- **suspend** $\$tag : [\sigma^*] \rightarrow [\tau^*]$
- **resume** $\$ct (\mathbf{tag} \ \$tag \ \$h) : [\sigma^* (\mathbf{ref} \ \$ct)] \rightarrow [\tau^*]$

We call this extension **WasmFX**

<https://wasmfx.dev>

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- **cont.new** $\$ct : [(\mathbf{ref} \ \$ft)] \rightarrow [(\mathbf{ref} \ \$ct)]$

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and $\$ct : \mathbf{cont} \ \ft

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where $\{ \$tag_i : [\sigma_i^*] \rightarrow [\tau_i^*] \text{ and } \$h_i : [\sigma_i^* (\mathbf{ref} \ \mathbf{null} \ \$ct_i)] \text{ and } \$ct_i : \mathbf{cont} \ \$ft_i \text{ and } \$ft_i : [\tau_i^*] \rightarrow [\tau^*] \}_i$
and $\$ct : \mathbf{cont} \ \ft
and $\$ft : [\sigma^*] \rightarrow [\tau^*]$

We call this extension **WasmFX**

<https://wasmfx.dev>

Example: Yield-style generators

```
(tag $gen (param i32))

(func $ints
  (local $i i32) ;; zero-initialised local
  (loop $produce-next
    (suspend $gen (local.get $i))
    (local.set $i
      (i32.add (local.get $i)
        (i32.const 1)))
    (br $produce-next) ;; continue next
  )
)
```

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```

```
(func $sumUp (param $upto i32) (param $k (cont [] -> []))  
  (local $n i32) ;; current value  
  (local $s i32) ;; accumulator  
  (loop $consume-next  
    (block $on_gen (result i32 (cont [] -> []))  
      (resume (tag $gen $on_gen) (local.get $k)  
              (call $print (local.get $s))  
              ) ;; stack: [i32 (cont [] -> [])]  
      (local.set $k) ;; save next continuation  
      (local.set $n) ;; save current value  
      (local.set $s (i32.add (local.get $s)  
                              (local.get $n)))  
      (br_if $consume-next  
        (i32.lt_u (local.get $n) (local.get $upto)))  
    )  
    (call $print ((local.get $s)))  
  )
```

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)
```

(call \$sumUp (i32.const 10) (cont.new (ref.func \$ints))) returns 55

The WasmFX instruction set extension (2)

(joint work with Arjun Guha, Andreas Rossberg, Daan Leijen, Frank Emrich, KC Sivaramakrishnan, Luna Phipps-Costin, Matija Pretnar, and Sam Lindley)

Types

- **cont** $\$ft$

Tags

- **tag** $\$tag : [\sigma^*] \rightarrow [\tau^*]$

Core instructions

- **cont.new** $\$ct : [(\mathbf{ref} \ \$ft)] \rightarrow [(\mathbf{ref} \ \$ct)]$
- **suspend** $\$tag : [\sigma^*] \rightarrow [\tau^*]$
- **resume** $\$ct (\mathbf{tag} \ \$tag \ \$h) : [\sigma^* (\mathbf{ref} \ \$ct)] \rightarrow [\tau^*]$

We call this extension **WasmFX**

<https://wasmfx.dev>




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Types




● **cont** $\$ft$   




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● **suspend** $\$tag : [\sigma^*] \rightarrow [\tau^*]$   

● **resume** $\$ct (\mathbf{tag} \$tag \$h) : [\sigma^*(\mathbf{ref} \$ct)] \rightarrow [\tau^*]$   

Legend

 Spec'ed

 Reference impl.

 Wasmtime impl.

We call this extension **WasmFX**

<https://wasmfx.dev>

Effect handlers for WebAssembly

Key properties provided by handlers

- A structured facility for non-local control flow
- Just works with builtin effects (e.g. exceptions, threads)
- Seamless interoperability with host
- Compatible with standard debuggers and profilers

Conclusions and future work

Summary

- Effect handlers provide a universal abstraction for non-local control
- Flexible and customisable control flow
- Compositional virtualisation of system effects

Future work

- More prominent applications!
- Implementation strategies
- Hardware support for effect handlers?

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