

# Performance Appraisal & Competency Evaluation Form (PACE)

TO BE USED FOR CONFIRMATION ONLY

(For Emloyees from E-10 to E-2 Grade)

**Section-I: Performance Appraisal Form** 

Part-I: Goal Setting

Part-II: Appraisal for Confirmation

**Section-II: Competency Evaluation Form** 

Part-I : Competency Evaluation Form Part-II : Appraisal Talk Summary

Section-III: Final Review & Appraisal Summary

Name :	. Employee Code:
Position:	Deptt.:
DOJ :	DOB:
Qualf. :	Location:
STL Exp:	

Type of Appraisal: Confirmation	(w.e.f.)
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## **Performance Appraisal Form**

Name	Function
Designation	Sub-Function
Grade	Appraiser
Date of Confirmation	Reviewer
Location	HOD

## Section-I: Part-I: Goal Setting

The employee has to list 4-5 KRAs within 15 days (for employees in grades E-10 to E-6) and 30 days (for employees in grades E-5 to E-2) of joining the organization and set the targets for the probation period and assign weightage in weightage column in order of importance of KRA in discussion with the appraiser. The weightage assigned must total 100.

Key Result Areas (KRAs)	Key Performance Indicators (KPIs)	Target (Timeline, Cost, Quality, Quantity or Asset utilization	Weightage
	•	100	

#### Section-I: Part-II: Assessment for Confirmation

The individual and the appraiser have to assess the performance for the period and the appraiser has to provide improvement guidance /counseling to the individual employee.

The Performance Rating Scale & Parameters					
Rating Point	5	4	3	2	1
Rating	Excellent	Very Good	Good	Fair	Poor
Achievement%	<u>≥</u> 111%	95-110%	85-94%	75-84%	≤ 74%

	Self-Appraisal			Appraisal by Appraiser				
KRAs No.	Achievement %	Weight- age (W)	Rating Point ( R)	WxR	Achievement %	Weight- age (W)	Rating Point ( R)	WxR
KRA	Score (KS)	100				100		

## Improvement guidance, if any by the Appraiser

The objective is to re-align the efforts of the employee if the achievements made so far, are not as per the desired level / standard. (Attach sheets, if required)

Signature of appraiser with date

## **Section-II: Part-I: Competency Evaluation Form**

From the core values of Sainath Texport Ltd., following competencies have been derived. These are the basic competencies, desirable of Sainath Texport Ltd. employees.

#### **Guidelines:**

- a. The appraisal has to be carried out for the group of competencies as applicable for the position.
- b. The weightage for different performance level is 0,1,3 & 5 respectively for gap, foundation, proficiency & mastery.
- c. The definitions of different levels of performance parameters i.e. Gap, Foundation, Proficiency & Mastery are provided in the grid attached to this form for your ready reference (Annexure 1)

_		Self Assessment				Assessment By appraiser			
		Level Demonstrated				Level Demonstrated			ated
	Competencies	Gap	Foundation	Proficiency	Mastery	Gap	Foundation	Proficiency	Mastery
		0	1	3	5	0	1	3	5
	For Manager & below pos	itions	(E-10	to E-	6 grad	des)			
1.	Performance Focus								
2.	Customer Focus								
3.	Creative & innovation								
4.	Communication Skills								
5.	Team Work								
	For Sr. Manager & above po	sition	s (E-5	& ab	ove g	rades)	)		
1.	Strategic Leadership								
2.	People Leadership								
3.	Performance Focus								
4.	Customer Focus								
5.	Team Work								
	Competency Score (CS)								

## **Section-II: Part-II: Appraisal Talks Summary**

Summarize the appraisal talk by listing the most important strengths and development needs. Determine what actions are necessary to address the most critical development needs.

**Summary of Development Discussion** 

Performanc e Summary	Top Three Strengths	Three Critical Development Needs					
Development Action Plan The appraisee in consultation with the appraiser has to define development actions against the three identified critical development needs.							
Category	Development Actions	Target Date	Responsibility				

Name & Signature of Appraisee with signature

Name & Signature of Appraiser with signature

## **Section-III: Final Review & Assessment Summary**

Comment by the appraiser on achievements, failure and to summarize the employees' interest & his / her suitability for the position. Please tick the appropriate column on overall performance rating & recommendation on confirmation.

### Method of calculating overall performance rating (OPR)

- 1. KRA Rating (KR) = KS  $/ 500 \times 100$
- 2. Competency Rating (CR) = CS x 4

#### Overall Performance Rating (OPR) = 70% of KR + 30% of CR

Excellent Very Good Good Fair Poor Yes To be Extended (mention period)
<u>&gt;</u> 93   74-92   57-73   41-56   <u>&lt;</u> 40
Space for the Appraiser's Comments:

Assessment by the Reviewer					
Rating by the Reviewer					
Recommendations on Confirmation					
Yes	To be Extended (mention period)	No			
	Sign	ature with date			

Assessment by the HOD			
Recomi	mendations on	Confirmation	
Yes	To be Extended ( mention period)	No	
	Si	gnature with date	