

# Global Biogeochemical Cycles

## RESEARCH ARTICLE

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### Key Points:

- Organic carbon export is inferred from float-based observations of mesopelagic oxygen and surface nitrate drawdown in the Southern Ocean
- Our estimates agree with previous observations showing an increase in inferred export in the vicinity of the polar front
- We hypothesize that iron limitation enhances silicification by diatoms, thereby increasing the ballasting effect of biogenic carbon export

### Supporting Information:

- Supporting Information S1

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## Nutrient Controls on Export Production in the Southern Ocean

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**Abstract** We use observations from novel biogeochemical profiling floats deployed by the Southern Ocean Carbon and Climate Observations and Modeling program to estimate annual net community production (ANCP; associated with carbon export) from the seasonal drawdown of mesopelagic oxygen and surface nitrate in the Southern Ocean. Our estimates agree with previous observations in showing an increase in ANCP in the vicinity of the polar front ( $\sim 3 \text{ mol C m}^{-2} \text{ y}^{-1}$ ), compared to lower rates in the subtropical zone ( $\leq 1 \text{ mol C m}^{-2} \text{ y}^{-1}$ ) and the seasonal ice zone ( $< 2 \text{ mol C m}^{-2} \text{ y}^{-1}$ ). Paradoxically, the increase in ANCP south of the subtropical front is associated with elevated surface nitrate and silicate concentrations, but decreasing surface iron. We hypothesize that iron limitation promotes silicification in diatoms, which is evidenced by the low silicate to nitrate ratio of surface waters around the Antarctic polar front. High diatom silicification increases the ballasting effect of particulate organic carbon and overall ANCP in this region. A model-based assessment of our methods shows a good agreement between ANCP estimates based on oxygen and nitrate drawdown and the modeled downward organic carbon flux at 100 m. This agreement supports the presumption that net biological consumption is the dominant process affecting the drawdown of these chemical tracers and that, given sufficient data, ANCP can be inferred from observations of oxygen and/or nitrate drawdown in the Southern Ocean.

## 1. Introduction

The Southern Ocean is a region of major biogeochemical relevance, associated with strong air-sea fluxes of carbon dioxide ( $\text{CO}_2$ ) (Gruber et al., 2009) and linked to the regulation of Earth's climate through changes in the efficiency of nutrient utilization by phytoplankton and associated carbon export (Sigman & Boyle, 2000). Biological carbon export in the Southern Ocean, driven largely by the sinking of biogenic particles, has been estimated to account for about 30% of the global annual carbon export ( $\sim 10 \text{ Pg C yr}^{-1}$ , globally; Arteaga et al., 2018; Schlitzer, 2002). The efficiency and magnitude of organic carbon export in this region has implications not only for local marine biogeochemistry, but also for the supply of nutrients that sustain about three quarters of the biological production north of  $30^\circ\text{S}$  (Sarmiento et al., 2004).

In the Southern Ocean, biological productivity is limited primarily by nitrate ( $\text{NO}_3$ ) in the subtropical zone (STZ), north of the subtropical front (STF) ( $\sim 40^\circ\text{S}$ ) (Arteaga et al., 2014; Moore et al., 2013). South of  $40^\circ\text{S}$ , iron (Fe) is considered the main limiting nutrient of phytoplankton growth (Boyd et al., 2007; Martin et al., 1990; Moore et al., 2013). Despite the evidence for strong iron limitation, large diatom blooms occur in Southern Ocean waters (Brzezinski et al., 2001; Quéguiner et al., 1997), and sediment trap studies show large opal fluxes beneath these blooms (Honjo et al., 2000). Diatoms constitute a large fraction of the autotrophic biomass in the Southern Ocean, particularly in the vicinity of the polar front (PF) ( $\sim 50^\circ\text{S}$ ) (Balch et al., 2016; Rosengard et al., 2015). This phytoplankton group is characterized by a silica cell wall (opal), which makes them potentially denser than the fluid around them and promotes their sinking toward deeper sections of the water column (Miklasz & Denny, 2010; Smayda, 1970). Diatoms thrive in environments with sufficient silicic acid, such as the Southern Ocean (Sarmiento et al., 2004), necessary for the development of the silica shell (Brzezinski, 1985; Tréguer et al., 2018).

Diatoms are associated with high rates of organic carbon export (Smetacek, 1999) and are estimated to account for up to 40% of global marine primary production and particulate organic carbon (POC) export (Jin et al., 2006; Nelson et al., 1995). However, opal-rich sediments, located below areas of high diatom-driven particle flux such as south of the PF in the Southern Ocean, show a low content of organic carbon compared

with calcite-dominated minerals north of the PF (Seiter et al., 2004b). This suggests that organic matter exported and originated from diatom blooms has a relatively low transfer efficiency of carbon to sediments, in the bathypelagic layer (below 1,000 m; Henson et al., 2012), with most of the organic matter being remineralized in the mesopelagic zone (roughly between 100 and 1,000 m). If this is the case, the production and subsequent remineralization of organic carbon should be evident in the seasonal drawdown of nutrients (e.g., nitrate) in the upper productive layer, as well as in the seasonal drawdown of dissolved oxygen ( $O_2$ ) during the respiration of the sinking organic matter in the mesopelagic zone.

Argo floats equipped with biogeochemical sensors provide a novel opportunity to evaluate marine biogeochemical and ecosystem dynamics. Specifically, autonomous profiling floats deployed by the Southern Ocean Carbon and Climate Observations and Modeling (SOCCOM) program are equipped with nitrate and oxygen sensors that allow the estimation of seasonal rates of net community production (NCP). NCP represents the net production of organic carbon after accounting for the respiration needs of the autotrophic and heterotrophic components of the ecosystem (Ducklow & Doney, 2013) and should be equivalent to organic carbon export under steady-state conditions (i.e., no net accumulation of organic carbon in the upper ocean). In the Southern Ocean (defined as the oceanic region south of 30°S), the mesopelagic zone undergoes a seasonal cycle of ventilation and respiration: During winter mixing, mesopelagic oxygen levels are restored to atmospheric levels. This is followed by a shallowing of the mixed layer above the community compensation depth (depth at which gross organic matter production is equivalent to community respiration) in the spring–summer period, during which (mesopelagic) oxygen is consumed via respiration of sinking organic matter (Najjar & Keeling, 1997). Based on this seasonality in oxygen levels, respiration rates have been estimated from the temporal evolution of oxygen measured by autonomous profiling floats in the Southern Ocean (Martz et al., 2008), as well as other ocean regions (Hennon et al., 2016; Riser & Johnson, 2008). Similarly, the net production of organic matter can be assessed from the seasonal drawdown of the upper ocean nitrate reservoir (Johnson et al., 2017). In this study, we build upon these ideas to estimate annual net community production (ANCP) based on the seasonal drawdown of surface nitrate and mesopelagic oxygen, using data obtained from 123 profiling floats deployed by the SOCCOM program. We compare and combine our results with previous estimates of ANCP for the Southern Ocean, showing a consistent pattern of increased NCP in the vicinity of the Antarctic PF. We relate this pattern to meridional trends in surface nutrients, particularly Fe, silicate (Si), and  $NO_3$ , suggesting an increase in carbon export driven by a high Si utilization and silicification due to enhanced iron limitation south of the STF ( $\sim 40^\circ S$ ). Finally, we use a coupled physical and biogeochemical ocean model to assess the capacity of seasonal trends in mesopelagic dissolved oxygen and surface nitrate to represent the magnitude and spatial patterns of marine carbon export in the Southern Ocean.

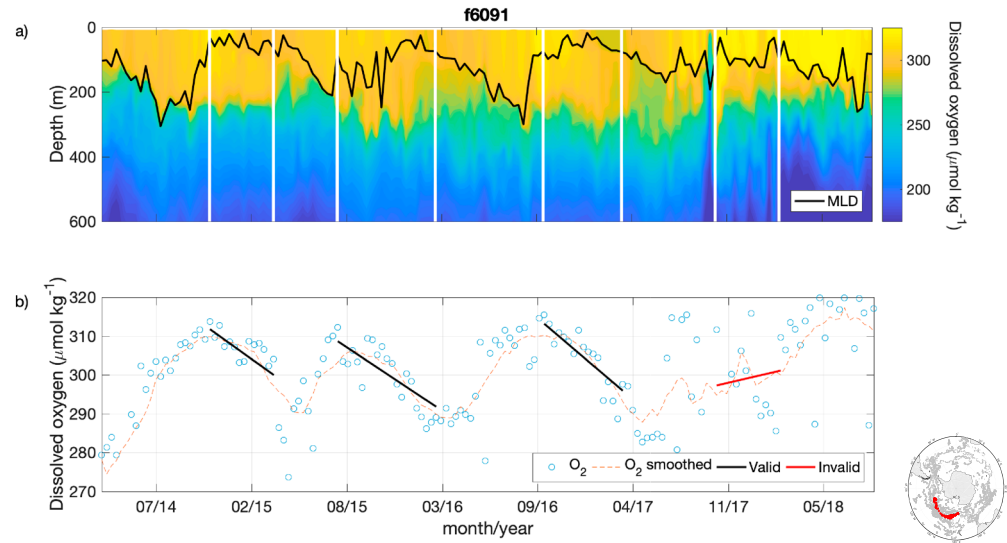
## 2. Methods

### 2.1. Float Data

We use data from 123 biogeochemical profiling floats deployed by the SOCCOM program between 2013 and 2018. The floats are equipped with conductivity-temperature-depth (CTD), oxygen, nitrate, pH, and bio-optical sensors. Quality-controlled float data were obtained from the SOCCOM data portal (<http://soccocompu.princeton.edu/www/index.html>; Johnson et al., 2018). Detailed float data processing and quality control information is provided in Johnson et al. (2017). The temporal sampling resolution of the floats varies between 5 and 10 days (with most floats sampling every 10 days). The vertical resolution of the measurements taken by the floats varies with depth, with measurements every 5 m in the upper 100 m (uppermost sampled depth is  $\sim 5$  or 7 m below surface). Vertical sampling resolution decreases to 10 m below 100-m depth, 20 m below 360-m depth, and 50 m between 400- and 2,000-m depth. Vertical profiles are smoothed using a seven-point running-median filter. Data flagged as “questionable” or “bad” are not considered in our analysis.

### 2.2. Oxygen-Based Estimation of ANCP

The floats perform air-calibrated, fluorescence-based measurements of dissolved oxygen in the water column ( $\mu\text{mol kg}^{-1}$ ) (Johnson et al., 2017). The evolution of the oxygen concentration in time is affected by physical advection and diffusivity, as well as the balance between local biological production and respiration ( $J_{O_2}$ ):



**Figure 1.** (a) Vertical profiles of dissolved oxygen sampled between 2014 and 2018 by SOCCOM float 6091. Continuous black line represents the MLD. Vertical white lines encompass the period of late winter maximum and spring–summer minimum in mean oxygen concentration during which NCP is estimated. (b) Temporal evolution of dissolved oxygen at 150 m measured by float 6091. Blue open circles show the oxygen measurements of each float profile, while the dashed orange line represents the smoothed oxygen signal from a 10-point moving mean filter. Black (valid) lines show the linear fit to the smoothed oxygen signal used to infer NCP from oxygen drawdown. The red line shows a seasonal period over which  $\Delta S$  at 500 m is larger than 0.05 psu, and thus this seasonal period is considered “invalid” and discarded for the estimation of NCP. Map on the bottom right corner shows in red the displacement of float 6091 in the Southern Ocean (trajectories in gray are for all float profiles available in the present SOCCOM data set). SOCCOM = Southern Ocean Carbon and Climate Observations and Modeling; MLD = depth of the mixed layer; NCP = net community production.

$$\frac{d[\text{O}_2]}{dt} = -\vec{u} \cdot \nabla[\text{O}_2] + \nabla \cdot (D \cdot \nabla[\text{O}_2]) + J_{\text{O}_2} \quad (1)$$

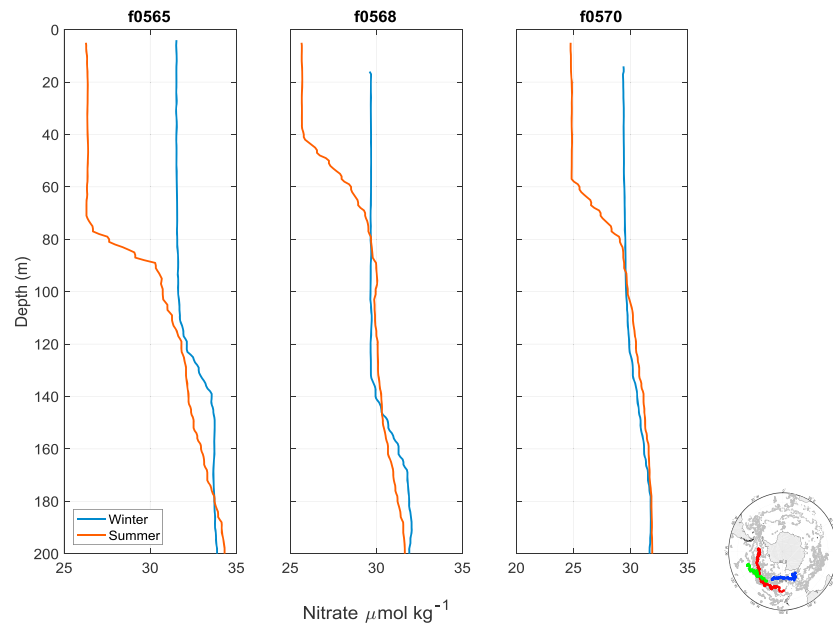
where  $\vec{u}$  denotes the velocity field,  $\nabla$  denotes the gradient operator in three dimensions, and  $D$  denotes the eddy diffusivity tensor. Below the community compensation depth (depth of  $J_{\text{O}_2} = 0$ ), the net (biological) change of oxygen in time is determined by the remineralization (respiration ( $R$ )) of organic carbon produced in the upper productive layer:

$$\frac{d[\text{O}_2]}{dt} = -\vec{u} \cdot \nabla[\text{O}_2] + \nabla \cdot (D \cdot \nabla[\text{O}_2]) - R \quad (2)$$

Based on the studies of Najjar and Keeling (1997) and Hennon et al. (2016), we make the presumption that given a large float array, positive and negative gradients in oxygen concentration driven by water mass changes tend to cancel out. Thus, in order to infer rates of organic matter remineralization in the mesopelagic zone, we assume that the advective and diffusive terms in equation (2) play a negligible role for the temporal evolution of mesopelagic oxygen levels during the biologically productive season in the Southern Ocean (see section 3.5 for a model-based assessment of our methods):

$$R(z) = -0.69 \frac{\text{mol C}}{\text{mol O}_2} \times \frac{d[\text{O}_2(z)]}{dt} \quad (3)$$

where the factor of 0.69 represents the respiratory quotient for organic-matter remineralization ( $\frac{117 \text{ mol C}}{170 \text{ mol O}_2}$ ; Anderson & Sarmiento, 1994). A running 10-point mean filter is applied to the temporal evolution of oxygen at each depth level in order to smooth large fluctuations in  $\text{O}_2$  concentration.  $R$  at each depth is inferred from the slope of a linear regression fitted to the smoothed temporal evolution of oxygen ( $\frac{d[\text{O}_2]}{dt}$ ) during the productive period of the year (Figure 1) and converted to carbon units using the respiratory quotient. Annual cycles of mesopelagic oxygen are analyzed to find the late winter maximum (August–November) and spring–summer minimum (January–March) mean oxygen concentration between 100 and 200 m. The productive period is defined as the section of the annual cycle between the beginning of the late winter maximum month and the end of the summer minimum month. We expect most of the oceanic export production



**Figure 2.** Winter maximum and summer minimum nitrate profiles for SOCCOM floats 0565, 0568, and 0570. Each profile represents the mean of the winter (summer) month of maximum (minimum) mean nitrate in the upper 200 m, used to infer annual net community production. Map on the bottom right corner shows the displacement of the floats in the Southern Ocean (red = f0565, blue = f0568, and green = f0570). Trajectories in gray are for all float profiles available in the present SOCCOM data set. SOCCOM = Southern Ocean Carbon and Climate Observations and Modeling.

to occur during this period, although minor export events could also occur during fall and winter months. In an effort to detect float periods where  $\frac{d[O_2]}{dt}$  is not driven mainly by biological respiration, we remove periods following the same criteria as in the analysis of seasonal nitrate drawdown carried out by Johnson et al. (2017): If the change in salinity ( $\Delta S$ ) at 500 m from winter to summer is  $> 0.05$ , if the float moved  $> 8^\circ$  in longitude, or if the float moved  $> 5^\circ$  in latitude. Finally, we estimate ANCP as depth-integrated respiration between 100 and 500 m, multiplied by the number of days of the productive period of each float ( $D_{\text{prod}}$ ):

$$\text{ANCP} = \int_{100}^{500} R(z) dz \times D_{\text{prod}} \quad (4)$$

The upper boundary of the vertical integration was selected based on preliminary analyses showing that most of the primary productivity as well as the spring–summer depth of the mixed layer are constrained to above 100 m. The mixed layer depth (MLD) is calculated using float in situ temperature and salinity profiles following de Boyer Montégut et al. (2004). Remineralization estimates approach 0 at about 400-m depth (supporting information Figure S1). Therefore, we constrain the bottom depth of integration to 500 m. Due to the nature of our method, negative estimates of ANCP can occur and are indeed present in our analysis. These estimates are not removed in order to avoid inducing a bias in ANCP estimates. However, we do remove outliers from both ends of the ANCP estimates distribution (i.e., positive and negative ends) identified through a box plot and located beyond  $\sim$  the 5th and 95th data percentiles. After removing outliers and float periods potentially not driven by biological respiration, we obtain a total of 98 ANCP estimates based on the analysis of mesopelagic oxygen drawdown (Table S1).

### 2.3. Nitrate-Based Estimation of ANCP

SOCCOM floats collect ultraviolet-based measurements of nitrate in the water column ( $\mu\text{mol kg}^{-1}$ ). Contrary to oxygen, nitrate concentrations are not affected by air–sea fluxes, thus simplifying the interpretation of nitrate changes in the upper ocean, where most of the biological production occurs. The change of the nitrate concentration in time ( $\frac{d[NO_3]}{dt}$ ) is controlled by the same physical and biological processes that affect oxygen (equation (1)). Here we infer ANCP from the annual drawdown in the surface nitrate reservoir (upper 200 m; Figure 2). Similarly as for oxygen, we identify the late winter maximum month and the spring–summer minimum month in mean nitrate concentration in the upper 200 m. The winter and summer nitrate concentrations are obtained as the means of all profiles in the winter maximum month and the summer minimum

month, respectively. ANCP is estimated as the vertical integral from the surface to 200 m of the decrease in the winter–summer nitrate concentration, converted to carbon units using a Redfield ratio of  $\frac{106 \text{ mol C}}{16 \text{ mol NO}_3}$  ( $\approx 6.6$ ).

$$\text{ANCP} = 6.6 \times \int_{\text{surface}}^{200} \text{NO}_3^{\text{winter}}(z) - \text{NO}_3^{\text{summer}}(z) \, dz \quad (5)$$

We filter nitrate-based ANCP estimates by applying the same criteria as for our oxygen-based estimates, based on winter to summer changes in salinity at 500 m, the total zonal and meridional float displacement, and removal of outliers at both tails of the distribution of ANCP estimates. After removing outliers and float periods potentially not driven by biological nitrogen consumption, we obtain a total of 81 ANCP estimates based on the analysis of surface nitrate drawdown (Table S2). The accuracy of the seasonal drawdown of nitrate in representing ANCP is also constrained by the assumption that net biological productivity plays a major role over advective and diffusive processes in determining the temporal change of surface nitrate in the Southern Ocean. We address the validity of our oxygen- and nitrate-based method in capturing ANCP within a model framework in section 3.5.

#### 2.4. Nutrient and Sediment Data

We complement float data with nutrient information on surface Si ( $\mu\text{mol kg}^{-1}$ ) obtained from a global gridded monthly climatology with a  $1^\circ$  by  $1^\circ$  spatial resolution (World Ocean Atlas 2013 [WOA13]; Garcia et al., 2014), downloaded from <https://www.nodc.noaa.gov/OC5/woa13/>. The gridded Si product is interpolated from sparse climatological observations. Both products (interpolated and noninterpolated) show similar latitudinal trends. The gridded and interpolated Si climatology is subsampled in the same geographical location and month of the float profiles. Fe information is obtained from an updated (June 2015) version of a global database of dissolved iron observations (Tagliabue et al., 2012; available at <https://www.bodc.ac.uk/geotraces/data/historical/>). Fe observations are scarce and not gridded. Scattered Fe observations are subsampled by averaging all available observations in the upper 200 m proximate to each float profile within a horizontal radius of 500 m. In order to account for the seasonality in the Fe database while maximizing the amount of retrieved information, Fe observations are only subsampled if they were taken within 4 months of the corresponding float profile (without taking into consideration the exact date [year] in which the Fe observation was taken).

Meridional patterns of inferred carbon export are compared with information from  $1^\circ$  by  $1^\circ$  gridded data sets of opal and calcite content in sediments (wt%) (Seiter et al., 2004a) obtained from <https://doi.pangaea.de/10.1594/PANGAEA.251593>. Inferred deep carbon flux to sediments based on the diffusive oxygen flux across the sediment–water interface (Seiter et al., 2005) is also analyzed and obtained from <https://doi.pangaea.de/10.1594/PANGAEA.251594>. Gridded sediment climatologies are subsampled according to the geographical location of the float profiles. Subsampled nutrient and sediment data according to float profiles yield a total of 8,997 observations (total number of profiles in the float data set). Latitudinal patterns of nutrient and sediment data are smoothed by applying a 200-point moving mean filter in order to enhance the meridional trends.

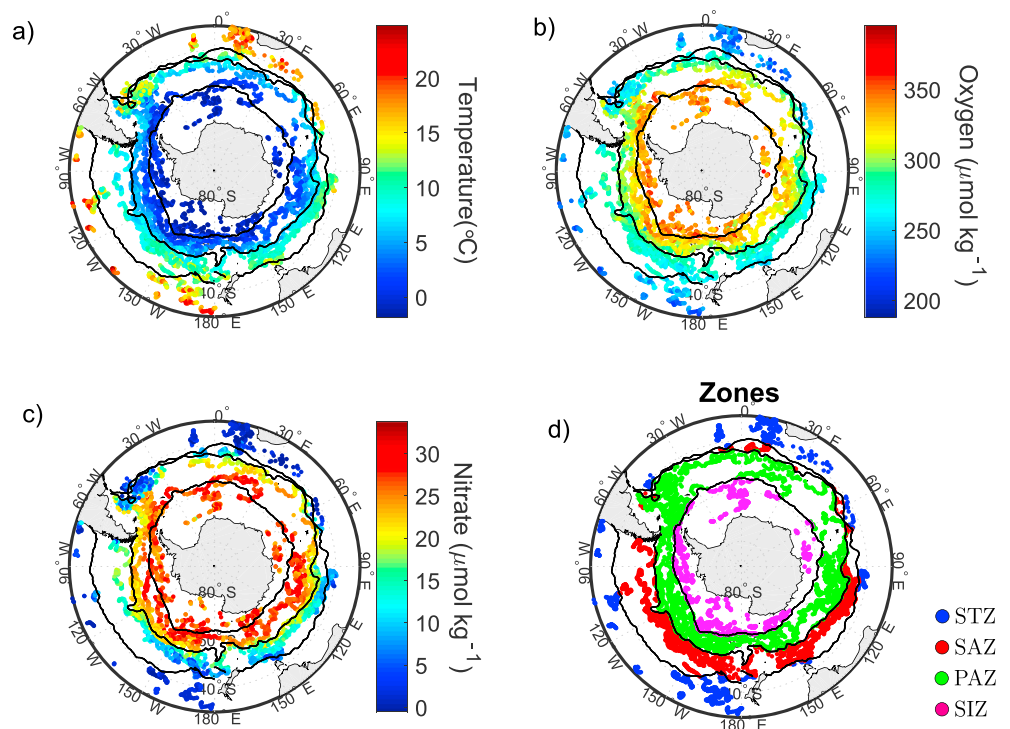
#### 2.5. POC Sinking and Air–Sea CO<sub>2</sub> Flux Estimates

Estimates of ANCP in four different environmental zones of the Southern Ocean (see section 3.1) are compared with observations of POC flux obtained from a global compilation of sediment trap and  $^{234}\text{Th}$  measurements (Mouw et al., 2016). Meridional patterns of inferred Southern Ocean carbon export are compared with zonally averaged mean annual and summer air–sea CO<sub>2</sub> fluxes obtained for 2014–2015 using a neural network interpolation method based on shipboard CO<sub>2</sub> measurements (Landschützer et al., 2017). The neural network utilizes a two-step approach. In the first step, the ocean is subdivided into 16 biomes using a self-organizing map with inputs of monthly  $1^\circ \times 1^\circ$  sea surface temperature, sea surface salinity, climatological MLD, and a pCO<sub>2</sub> climatology (Takahashi et al., 2009). These biomes shift monthly to establish regions of similar surface properties throughout the year. In the second step, a feed-forward network establishes relationships for each biome between observations of surface ocean pCO<sub>2</sub> with inputs of sea surface temperature, sea surface salinity, climatological MLD, chlorophyll, and atmospheric pCO<sub>2</sub>, producing global maps of surface ocean pCO<sub>2</sub> based on those relationships.

#### 2.6. Model Output

We test the consistency of our methods in reproducing carbon export within a model framework using output from a physical ocean model with a  $1^\circ$  nominal grid (CM2-1°; Griffies et al., 2015) coupled with a marine





**Figure 3.** Mean mixed layer (a) temperature, (b) oxygen, and (c) nitrate, and location of the Southern Ocean frontal zones defined in this study. (d) The fronts divide the Southern Ocean into four major zones, indicated by the color of the positions of the floats profiles: subtropical zone (STZ, blue), subantarctic zone (SAZ, red), polar Antarctic zone (PAZ, green), seasonal ice zone (SIZ, magenta). The subtropical front (STF) separates the STZ and the SAZ. The polar front (PF) divides the SAZ and the PAZ, and the seasonal ice front (SIF) defines the extent of the SIZ.

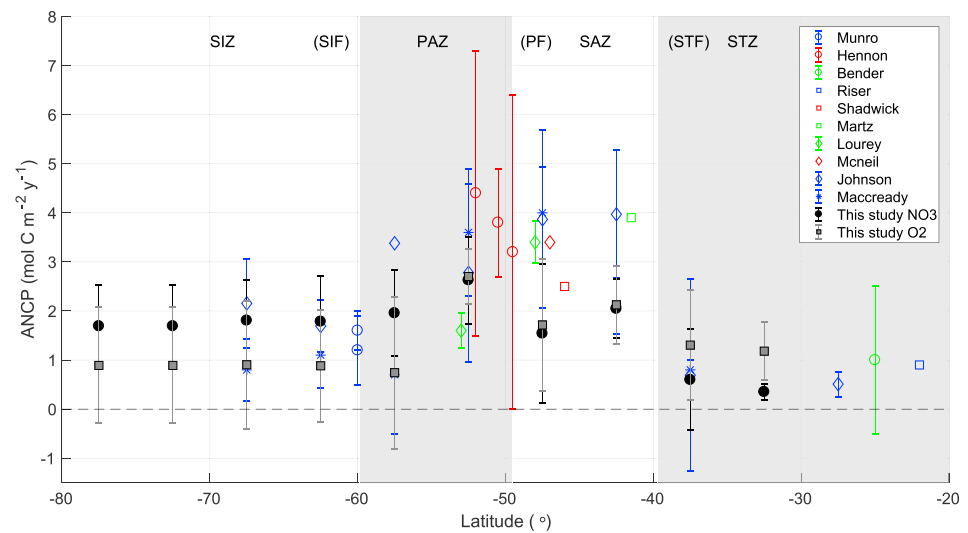
biogeochemical model of high complexity (TOPAZ; Dunne et al., 2010), simulated under natural climate conditions (no anthropogenic climate forcing). We use an annual climatology, representative of the mean state of the model biogeochemical variables. Dissolved oxygen outputs are obtained with a 5-day temporal resolution, while nitrate is obtained as monthly means, allowing a close representation of our methodology within the model framework.

We employ two different approaches to evaluate the capacity of our methods in reproducing patterns of ANCP (analogous to carbon export) in the Southern Ocean: (a) We locate the month of late winter oxygen (nitrate) maximum and spring–summer minimum in each model grid, and estimate ANCP based on the seasonal trend in mesopelagic oxygen drawdown over this period (integrated between 100 and 500 m). ANCP is also estimated based on the drawdown of the oceanic nitrate reservoir in the upper 200 m at each model grid. (b) We superimpose the trajectories from our SOCCOM floats on the model grid and subsample the model oxygen concentration along these trajectories over a similar seasonal period as the float data. ANCP is estimated from the seasonal oxygen drawdown along the float-based model trajectories (integrated between 100 and 500 m). For nitrate, we subsample the model at the month and position of the float's late winter maximum and summer minimum and estimate ANCP from the drawdown of the nitrate reservoir in the upper 200 m. Assessment (a) provides an estimate of how well ANCP can be inferred from local observations of oxygen and nitrate drawdown, assuming a complete spatial and temporal coverage of oceanic data. Assessment (b) provides a more direct comparison of our method in retrieving spatial patterns of ANCP, taking into account the drifting nature of the floats.

### 3. Results and Discussion

#### 3.1. Environmental Zones

We relate meridional patterns of ANCP and associated carbon export to the mean position of the major environmental fronts in the Southern Ocean (Orsi et al., 1995) computed by Bushinsky et al. (2017), based on a mean 2004–2014 Argo-based climatology of temperature and salinity (Roemmich & Gilson, 2009; Figure 3). The STF separates the STZ and the subantarctic zone (SAZ). The PF divides the SAZ and the polar Antarctic

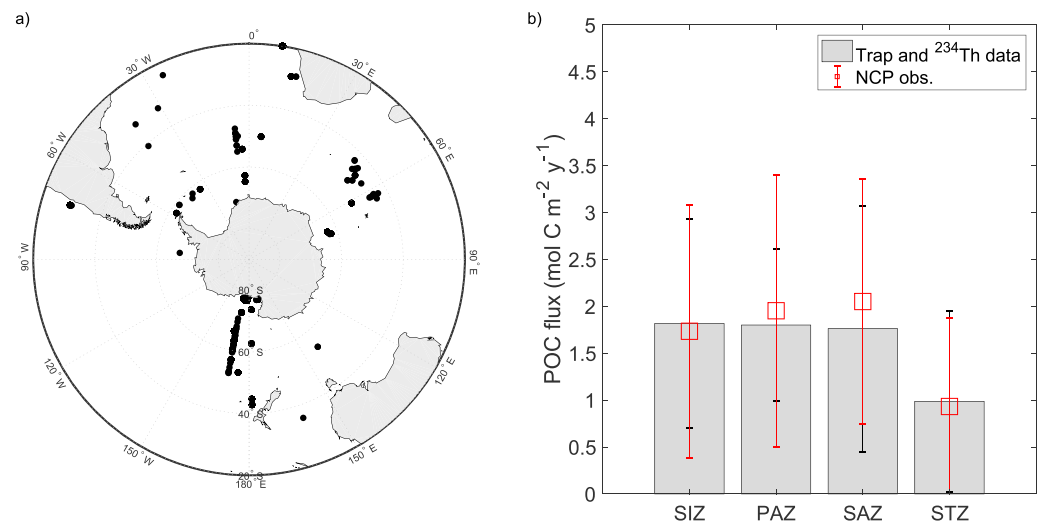


**Figure 4.** Meridional pattern of ANCP estimates (and associated errors) in the Southern Ocean from previous studies (Bender & Jönsson, 2016; Johnson et al., 2017; Hennon et al., 2016; Lourey & Trull, 2001; MacCready & Quay, 2001; Martz et al., 2008; McNeil & Tilbrook, 2009; Munro et al., 2015; Shadwick et al., 2015; Riser & Johnson, 2008) and from our analysis of nitrate (black filled circles) and oxygen (gray filled squares) drawdown measured by Southern Ocean Carbon and Climate Observations and Modeling floats. ANCP estimates from this study are inferred from 5° latitudinally binned estimates ( $\pm$  standard deviation). White and gray-shaded backgrounds represent the four environmental regions: subtropical zone (STZ), subantarctic zone (SAZ), polar Antarctic zone (PAZ), and the seasonal ice zone (SIZ). Environmental fronts constraining these regions are indicated in brackets: subtropical front (STF), polar front (PF), and the front delimiting the extent of the seasonal ice zone (SIF). Number of single ANCP estimates based on nitrate (oxygen) for each latitudinal bin ( $\pm 2.5^\circ$  latitude):  $32.5^\circ\text{S} = 4$  (4),  $37.5^\circ\text{S} = 6$  (11),  $42.5^\circ\text{S} = 5$  (6),  $47.5^\circ\text{S} = 11$  (16),  $52.5^\circ\text{S} = 9$  (7),  $57.5^\circ\text{S} = 8$  (14),  $62.5^\circ\text{S} = 20$  (22),  $67.5^\circ\text{S} = 13$  (13),  $72.5^\circ\text{S} = 5$  (5),  $77.5^\circ\text{S} = 5$  (5). ANCP = annual net community production.

zone (PAZ), and the seasonal ice front (SIF) defines the extent of the seasonal ice zone (SIZ). Surface mixed layer properties measured by the floats show clear latitudinal patterns across the zones delimited by the oceanic fronts: Temperature decreases from  $> 15^\circ\text{C}$  in the STZ to  $\sim 10^\circ\text{C}$  in the SAZ and  $< 5^\circ\text{C}$  toward the SIZ. Mean oxygen in the mixed layer increases from  $< 250 \mu\text{mol O}_2 \text{ kg}^{-1}$  in the STZ to  $\sim 270 \mu\text{mol O}_2 \text{ kg}^{-1}$  in the SAZ, and  $> 300 \mu\text{mol O}_2 \text{ kg}^{-1}$  south of the PF. Nitrate also shows a meridional increase from  $< 5 \mu\text{mol NO}_3 \text{ kg}^{-1}$  in the STZ to  $> 10 \mu\text{mol NO}_3 \text{ kg}^{-1}$  in the SAZ and  $> 20 \mu\text{mol NO}_3 \text{ kg}^{-1}$  south of the PF.

### 3.2. Estimates of ANCP

We infer general latitudinal patterns of ANCP by grouping our oxygen- and nitrate-based estimates in 5° latitudinal bands (Figure 4). Both estimates are highest in the PAZ and SAZ. Oxygen-based estimates of ANCP are lowest in the SIZ, while nitrate-based estimates are lowest in the STZ. Except for the STZ, oxygen-based ANCP estimates are lower than nitrate-based estimates, which may be due to some important fraction of organic carbon remineralization occurring above 100 m south of the STF and therefore missed in our oxygen-based estimation of ANCP. The general latitudinal trend of our estimates is similar to that inferred from a compilation of previous observations based on oxygen drawdown (Hennon et al., 2016; Martz et al., 2008; Riser & Johnson, 2008), as well as on changes in nutrients, dissolved inorganic carbon, and  $\text{pCO}_2$  (Bender & Jönsson, 2016; Johnson et al., 2017; Lourey & Trull, 2001; MacCready & Quay, 2001; McNeil & Tilbrook, 2009; Munro et al., 2015; Shadwick et al., 2015). An important caveat of most of these estimates is that they have a large degree of uncertainty, which prevents us from obtaining clear statistical differences between zones. This is likely due to the inherent difficulty in the quantification of biologically driven rates and fluxes in the ocean. In section 3.5 we show that despite the large uncertainties, general patterns of export production in the Southern Ocean can be represented by the mean seasonal drawdown in mesopelagic oxygen and surface nitrate. Overall, in situ-based estimates for the Southern Ocean show a consistent pattern of low ANCP in the STZ ( $\leq 1 \text{ mol C m}^{-2} \text{ y}^{-1}$ ), highest toward the PF ( $\geq 3 \text{ mol C m}^{-2} \text{ y}^{-1}$ ), and intermediate to low in the SIZ ( $< 2 \text{ mol C m}^{-2} \text{ y}^{-1}$ ). The upper limit of Southern Ocean ANCP estimates is similar to that inferred for other oceanic regions ( $\sim 4 \text{ mol C m}^{-2} \text{ y}^{-1}$ ; Emerson, 2014).

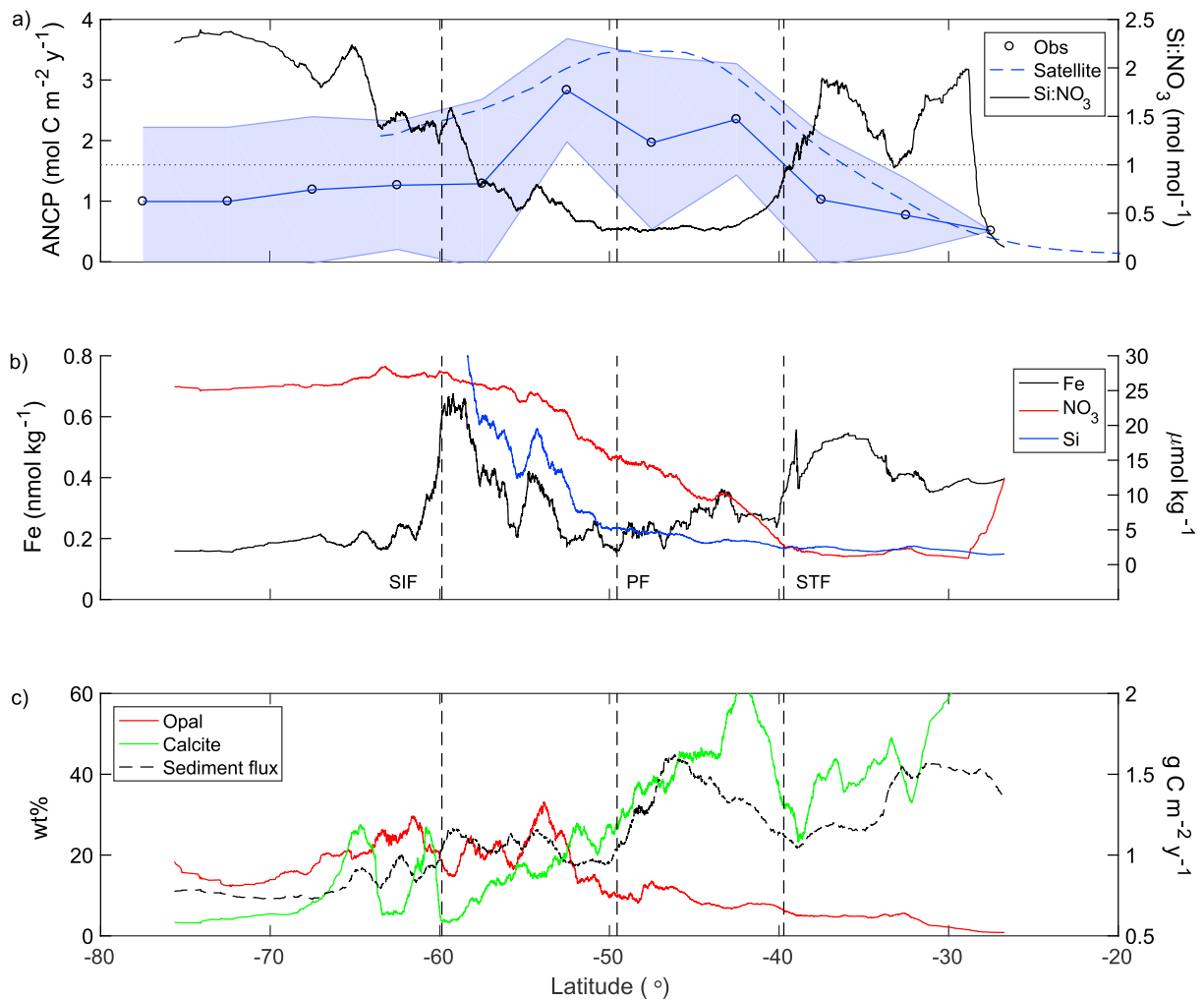


**Figure 5.** (a) Locations of available particle flux data from sediment traps and  $^{234}\text{Th}$  in the Southern Ocean (Mouw et al., 2016). (b) Comparison of binned mesopelagic POC flux ( $\text{Flux}_{100\text{m}} - \text{Flux}_{1000\text{m}}$ ) from sediment trap and  $^{234}\text{Th}$  data and averaged NCP estimates for the four environmental regions in the Southern Ocean ( $\pm$  standard deviation). Values shown in panel (b) for particle-based flux (and NCP estimates) are as follows: STZ =  $0.9 \pm 0.9$  ( $0.9 \pm 0.9$ ); SAZ =  $1.8 \pm 1.3$  ( $2 \pm 1.3$ ); PAZ =  $1.8 \pm 0.8$  ( $1.9 \pm 1.4$ ); SIZ =  $1.8 \pm 1.1$  ( $1.7 \pm 1.3$ ). POC = particulate organic carbon; NCP = net community production; STZ = subtropical zone; SAZ = subantarctic zone; PAZ = polar Antarctic zone; SIZ = seasonal ice zone.

### 3.3. Comparison With Particle Flux Data

Assuming that organic carbon produced via photosynthesis does not accumulate in the upper ocean (steady-state conditions), and that most of it is exported in the form of particles and aerobically remineralized in the mesopelagic zone, annual estimates of mesopelagic POC flux and ANCP from surface nutrient and mesopelagic oxygen drawdown should converge. We subsampled all available POC flux information south of  $20^\circ\text{S}$  from a global compilation of sediment trap and  $^{234}\text{Th}$  measurements (Mouw et al., 2016), and compute mesopelagic POC flux to compare against all ANCP estimates compiled in Figure 4. Particle flux observations in the Southern Ocean are scarce (Figure 5a). Hence, we binned and averaged the compiled POC flux data and ANCP estimates into four regions representing the main environmental zones of the Southern Ocean (STZ, SAZ, PAZ, and SIZ). Observations of POC flux are also binned and averaged into depth intervals of 100 m between 100 and 1,000 m. The observation-based POC flux over the mesopelagic zone is computed as the difference in POC flux between 100 and 1,000 m, which is the depth range where we expect most of the remineralization to occur (Honjo et al., 2000). Annual POC flux is inferred from daily estimates by assuming a steady mean flux over a period of 180 days. The mean POC flux from sediment trap and  $^{234}\text{Th}$  measurements shows a similar latitudinal pattern of low surface flux in the STZ and higher flux in the other (poleward) regions (Figure 5b). The mean flux inferred from ANCP estimates agrees well within the uncertainty of combined trap and  $^{234}\text{Th}$  measurements, with a slightly larger flux inferred for the PAZ and SAZ. The slight bias toward lower values in the POC flux data at the PAZ and SAZ may be associated with well-documented issues with trap hydrodynamics, zooplankton interaction, and solubilization of material, preventing the settling and conservation of particles in the trap (Baker et al., 1988; Buesseler, 1991; 2007; Kähler & Bauerfeind, 2001). Particle-based methods such as sediment traps and analysis of  $^{234}\text{Th}$  also ignore the contribution of labile dissolved organic carbon (DOC) production to the total organic carbon pool. However, DOC production is generally considered to be low in the Southern Ocean ( $< 20\%$ ; Carlson et al., 1998; Hansell & Carlson, 1998), with the exception of one model-based study which found the contribution of downward flux of DOC to be significant in the top 500 m of the water column (Schlitzer, 2002). Overall, particle-based observations tend to be lower ( $\sim 50\%$ ) than modeled fluxes constrained by hydrographic oxygen, nutrient, and carbon data (Schlitzer et al., 2003; Usbeck et al., 2003). However, given the large uncertainty in both POC flux and ANCP estimates, it is difficult to identify any particular mechanism or error source responsible for the slight disagreement between flux estimates.



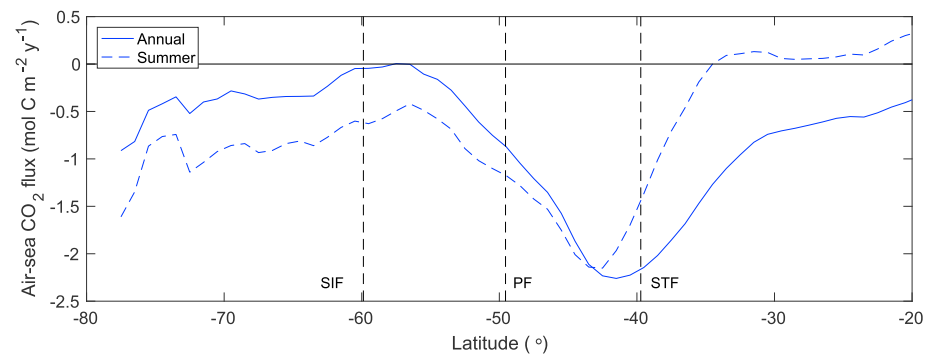


**Figure 6.** (a; Y axis left) Meridional pattern of ANCP estimates presented in Figure 1, calculated as the mean ( $\pm$  standard deviation) of 5° latitudinal bins (blue line with circles and shaded area), and satellite-based carbon export estimates from Arteaga et al., 2018 (blue dashed line). (Y axis right) Silicate to nitrate (Si:NO<sub>3</sub>) ratio obtained from float nitrate measurements in the mixed layer and surface WOA13 silicate climatology subsampled at the same location and month as the float profiles (black continuous line). Grey dotted line shows a Si:NO<sub>3</sub> = 1 mol mol<sup>-1</sup>, characteristic of nutrient-replete diatoms (Brzezinski, 1985). (b; Y axis left) Dissolved iron (Fe) concentration subsampled in relation to the floats positions (black line). (Y axis right) Nitrate (red line) and silicate (blue line) concentrations obtained as indicated above. (c; Y axis left) Weight percentage of opal (red line) and calcite (green line) in sediments (Seiter et al., 2004b). (Y axis right) Annual POC flux to sediments (black dashed line; Seiter et al., 2005). Sediment climatologies are sampled in the same location as float profiles. Nutrient and sediment latitudinal patterns have been smoothed by applying a 200-point moving mean filter. ANCP = annual net community production.

### 3.4. Nutrient Controls on Carbon Export

ANCP estimates (Figure 4) are compiled and averaged in 5° latitudinal bins. The mean meridional trend of ANCP increases south of the STF ( $\sim 40^\circ$ S), associated with an increase in the surface concentration of nitrate and silicate (Figure 6). The STF marks the transition from nitrate-limited to nitrate-replete conditions for phytoplankton growth (Arteaga et al., 2014; Moore et al., 2013). Paradoxically, the increase in ANCP estimates also occurs over an area of the Southern Ocean where the surface iron concentration decreases to its lowest values (Figure 6b). We explain this paradoxical pattern by analyzing the meridional trend in the dissolved silicate to nitrate ratio (Si:NO<sub>3</sub>) and revising the effects of iron limitation on phytoplankton stoichiometry.

The increase in surface NO<sub>3</sub> and Si is driven by the upwelling of Circumpolar Deep Water around the Antarctic PF (Sarmiento et al., 2004). Upwelled water north of the PF is transported equatorward by the formation of Subantarctic Mode Water, which injects nutrients into the subtropical thermocline. As water is transported northward, nitrate and silicate are stripped from the surface ocean through biological export production.

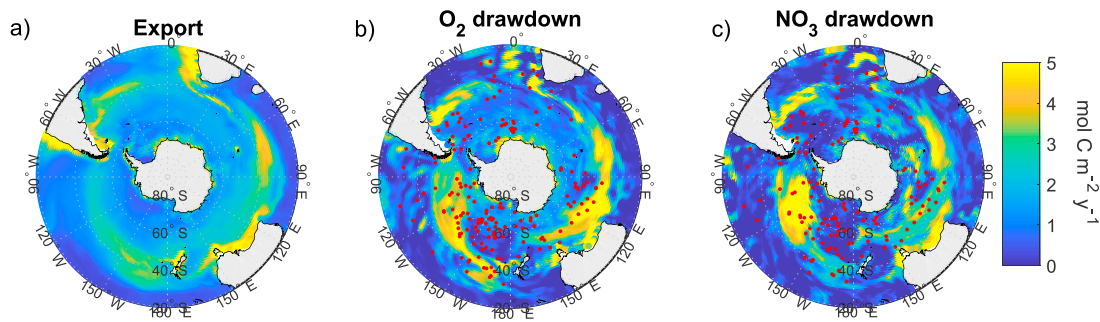


**Figure 7.** Mean 2014–2015 annual and summer (continuous and dashed blue lines, respectively) zonally averaged air-sea flux of  $\text{CO}_2$  computed from the Landschützer et al. (2017) neural network interpolation method. The summer annual flux is computed based on the average monthly  $\text{CO}_2$  flux obtained from January to April. Positive (negative) values denote  $\text{CO}_2$  flux out of (into) the ocean. The black horizontal line shows the transition from ocean  $\text{CO}_2$  outgassing (positive flux) to ocean uptake (negative flux). SIF = seasonal ice front; PF = polar front; STF = subtropical front.

The supply  $\text{Si}:\text{NO}_3$  ratio of upwelled waters near the PF is  $\sim 2:1$  ( $\text{mol mol}^{-1}$ ) (Gordon & Molinelli, 1986). Under nutrient-replete conditions, diatoms take up Si and  $\text{NO}_3$  in a 1:1 molar ratio (Brzezinski, 1985). Thus, we would expect nitrate to be depleted before silicic acid (Brzezinski et al., 2003). However, the observed pattern is the opposite, with  $\text{NO}_3$  concentrations  $>10 \mu\text{mol kg}^{-1}$  and  $\text{Si} <5 \mu\text{mol kg}^{-1}$  north of the upwelling region, between the PF and the STF (Figure 6b). The difference between surface nitrate and silicate depletion can be inferred from the surface  $\text{Si}:\text{NO}_3$  ratio: The  $\text{Si}:\text{NO}_3$  ratio is lowest ( $<1 \text{ mol mol}^{-1}$ ) in the vicinity of the PF, beneath the region of highest ANCP (associated to carbon export) constrained between the STF and SIF, and increases south toward the Antarctic and north toward the STZ (Figure 6a).

The preferential depletion of silicate with respect to nitrate is likely caused by the effect of iron limitation on the stoichiometry of the POC exported to the deep ocean. Several studies have shown that under iron-limiting conditions, diatoms exhibit preferential net uptake of Si with respect to  $\text{NO}_3$  (Hutchins & Bruland, 1998; Takeda, 1998), leading to a significant increase in diatom  $\text{Si}:\text{NO}_3$  ratio, from 1 ( $\text{mol mol}^{-1}$ ) under nutrient-replete conditions, to 4–6 times higher (Franck et al., 2000). This is the same range as the difference between the diatom  $\text{Si}:\text{NO}_3$  uptake ratio under nutrient-replete conditions ( $1 \text{ mol mol}^{-1}$ ) and the minimum observed around the PF ( $\text{Si}:\text{NO}_3 \sim 0.3 [\text{mol mol}^{-1}]$ ). Iron limitation impedes cellular nitrogen assimilation (Brzezinski et al., 2003; Hutchins & Bruland, 1998; Takeda, 1998) leading to nitrogen limitation of phytoplankton growth. We hypothesize that low iron concentrations in the vicinity of the PF lead to enhanced silicification of diatoms, reflected in the low  $\text{Si}:\text{NO}_3$  ratio of the surface water, thereby increasing the ballasting effect of POC and overall ANCP in this region. A similar mechanism of enhanced silica burial and carbon export induced by iron limiting conditions has been proposed for oceanic upwelling margins (Brzezinski et al., 2015; Pichevin et al., 2014). The meridional pattern of ANCP agrees well with a recent satellite-based estimate of export production for the Southern Ocean (Arteaga et al., 2018; Figure 6a). This estimate is based on an export efficiency model constrained by a positive relationship between export efficiency and phytoplankton Si utilization in the Southern Ocean (Britten et al., 2017).

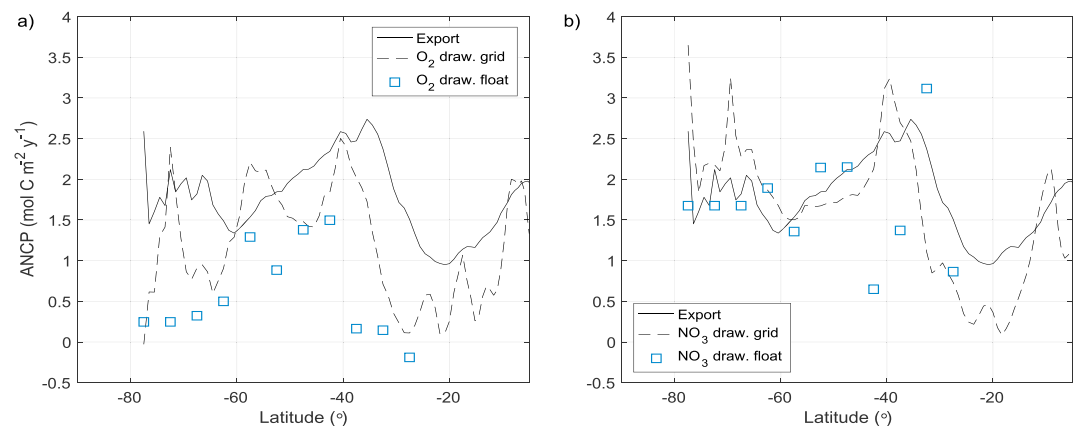
The general meridional distribution of inferred ANCP and carbon export agrees well with patterns of high diatom productivity in the Southern Ocean (Balch et al., 2016; Rosengard et al., 2015). Recent in situ flux observations carried out during two research cruises across the Southern Ocean found that POC export out of the euphotic zone correlates well with the export of biogenic silicate (BSi) (Rosengard et al., 2015). That study suggests that diatom-rich communities induce high export of labile POC aggregates, which can drive the high rates of remineralization in the mesopelagic zone inferred from our analysis of oxygen draw-down. Conversely, communities with smaller calcifying phytoplankton induce less POC export and with lower lability, which results in lower mesopelagic remineralization and greater transfer efficiency of organic carbon to the bathypelagic zone (Rosengard et al., 2015). Sediment analyses show distinct mineral accumulation across the Southern Ocean, with opal-dominated minerals increasing south toward the SIF (Figure 6c). Calcite-dominated sediments show an opposite pattern, increasing north toward the STZ. Estimates of the amount of POC that reaches the sea floor also increase northward, correspondingly with calcite and oppo-



**Figure 8.** Export production in CM2-1° estimated from (a) downward flux of detritus-associated organic carbon at 100 m, (b) the seasonal mesopelagic oxygen drawdown at each model grid, and (c) the seasonal surface nitrate drawdown at each model grid. Red dots in (b) and (c) indicate the mean position of the Southern Ocean Carbon and Climate Observations and Modeling float trajectories superimposed on the model grid cell, used to estimate annual net community production from model oxygen and nitrate outputs extracted from the drifting float trajectories.

site to the trend of opal-dominated sediments. This suggests a larger transfer efficiency of organic carbon by calcite minerals relative to opal (Figure 6c) (Seiter et al., 2005).

The zonally averaged mean annual ocean  $\text{CO}_2$  flux for 2014–2015 calculated using the Landschützer et al. (2017) neural network interpolation of shipboard  $\text{CO}_2$  measurements shows a similar meridional trend to that of ANCP estimates (Figure 7). The flux of  $\text{CO}_2$  into the ocean increases south of  $30^\circ\text{S}$  and is highest  $\sim 42^\circ\text{S}$ . South of the PF, oceanic  $\text{CO}_2$  uptake decreases, with a neutral flux near the SIF and moderate uptake further south. We compute a mean annual flux for summer only, using the average  $\text{CO}_2$  flux obtained from January through April (Figure 7). In the summer, the highest rate of  $\text{CO}_2$  uptake is slightly further south than the annual mean, with stronger uptake everywhere south of the PF. The observed contemporary  $\text{CO}_2$  flux is a combination of natural fluxes driven by biology and physics, with the anthropogenic flux into the ocean overlaid. The natural carbon cycle in the Southern Ocean is typically thought to be a balance of upwelling-driven outgassing in the south near the PF and uptake to the north as subtropical waters move south and cool, increasing their solubility (Gruber et al., 2019). The collocation of the highest inferred ANCP and  $\text{CO}_2$  uptake suggests an important role for biological export in setting the location and magnitude of the peak uptake. Further analysis is required to separate the physical and biological components of the observed  $\text{CO}_2$  flux, especially to account for the delay between biological production and  $\text{CO}_2$  flux induced by slow gas exchange.



**Figure 9.** Meridional trend of ANCP in CM2-1° estimated from the downward flux of detritus-associated organic carbon at 100 m (continuous black line in a and b) and the seasonal drawdown of (a) oxygen and (b) nitrate. Dashed lines show the zonal mean ANCP by assessing the seasonal drawdown at each model grid cell. Open blue squares show estimates obtained from averaging  $5^\circ$  latitudinal bins of ANCP inferred by superimposing float trajectories on the model grid and measuring the drawdown in model oxygen and nitrate outputs extracted from drifting float trajectories. ANCP = annual net community production.

**Table 1**

*Coefficient of Variation (Standard Deviation:Mean) of Binned ANCP Estimates for Each 5° Latitudinal Band Obtained From Float and Model Data Based on the Seasonal Drawdown of Mesopelagic Oxygen (O<sub>2</sub>-Based) and Surface Nitrate (NO<sub>3</sub>-Based)*

Latitude	O <sub>2</sub> -based			NO <sub>3</sub> -based		
	Float CV	Model CV	Method error (%)	Float CV	Model CV	Method error (%)
−27.5	—	5.4	112.8	—	3.4	41.4
−32.5	0.5	64	93.8	0.5	1.7	34.1
−37.5	0.9	19.7	93.6	1.7	2.2	46.6
−42.5	0.4	1.3	37.3	0.3	7.5	72.8
−47.5	0.8	2.5	34.2	0.9	2.2	2.6
−52.5	0.2	4.4	52.2	0.3	0.9	16.1
−57.5	2.1	2.4	16.7	0.4	1.2	12.4
−62.5	1.3	5.5	66.5	0.5	0.5	26.8
−67.5	1.4	6	83.2	0.5	0.9	12.8
−72.5	1.3	7.7	86.8	0.5	0.9	10.5
Mean	1	10.8	67.7	0.6	2.1	27.6

*Note.* Also shown are the errors of binned ANCP estimates from model O<sub>2</sub> and NO<sub>3</sub> drawdown with respect to the downward flux of detritus-associated organic carbon at 100 m (method error). ANCP = annual net community production; CV = coefficient of variation.

### 3.5. Model-Based Assessment of ANCP Estimates From Oxygen and Nitrate Drawdown

A major assumption of our methods to estimate ANCP from the seasonal drawdown in oxygen and nitrate is that the advective and diffusive terms in equation (1) (and similarly for nitrate) are negligible. In order to test the validity of our assumptions, we apply our methods within a model framework by (a) assessing seasonal oxygen and nitrate changes (drawdown) at each model grid cell, and (b) by assessing the drawdown in model oxygen and nitrate output sampled along the same trajectory and seasonal period of the floats analyzed in this study. The methodology applied to obtain ANCP estimates from oxygen and nitrate is similar as for the float data (see section 2.6 for model and methods details).

We compare oxygen- and nitrate-based estimates of ANCP at each model grid cell (model assessment (a)) with the flux of detritus-associated organic carbon at 100 m in the model (Figure 8). Our methods capture the main spatial patterns of carbon flux in the model. Both methods (oxygen- and nitrate-based) accentuate export patterns in regions of high detritus flux and temper export in regions of low flux. The nitrate-based method reproduces better the overall mean meridional variability in inferred ANCP than the oxygen-based approach (Figure 9b). Estimates of ANCP based on the analysis of model oxygen drawdown from superimposed float trajectories, averaged in 5° latitudinal bins (model assessment (b)), underestimate the meridional trend in carbon export (Figure 9a). This may be caused by the fact that the mean positions of the superimposed float trajectories are located in regions of low modeled detritus flux (red dots in Figure 8b). Binned estimates of ANCP based on model oxygen and nitrate outputs show coefficients of variation (the ratio of standard deviation:mean) larger than those obtained from binned float-based estimates (Table 1). Despite this large variability, the analysis of model oxygen and nitrate drawdown based on float trajectories is able to estimate the model detritus flux within 68% and 28%, respectively (Table 1). This is in line with a recent assessment of ANCP estimates based on nitrate drawdown within a model framework, which diagnosed the potential error of ignoring physical transport to be within 20% (Johnson et al., 2017). The agreement between model-based nitrate drawdown and carbon fluxes south of 25°S supports the presumption that nitrate drawdown is primarily caused by net biological consumption and that estimates of ANCP can be inferred from such an analysis. As observed in the gridded model output (Figure 8), the large uncertainty associated with inferred ANCP from nitrate and oxygen drawdown might represent the natural spatial variability of carbon export in the Southern Ocean.

The analysis of mesopelagic signals is hindered by the potential decrease in the signal-to-noise ratio of biological rates of oxygen consumption with respect to physical transport of dissolved oxygen. However, based on our model assessment, we believe that our oxygen-based method can at the very least provide qualitative observations of the meridional variability of carbon export in the Southern Ocean. This is particularly true

in oceanic regions with large seasonal changes in oxygen, where the remineralization signal-to-noise ratio is maximized. The meridional pattern of the detritus-associated organic carbon flux in the model is similar to that of in situ-based ANCP estimates. The highest flux of organic carbon in the model occurs north of 40°S, further north than in the ANCP estimates. While our goal is not to validate the model's biogeochemical component, it is likely that the occurrence of highest organic export fluxes north of 40°S is due to a relatively simple dependence of export production on net primary production in the model (Arteaga et al., 2018), which does not take into consideration more complex processes affecting export production in the Southern Ocean (such as enhanced silicification promoted by iron limitation).

## 4. Conclusions

We use observations from novel biogeochemical profiling floats deployed by the SOCCOM program to estimate ANCP (associated with carbon export) from the seasonal drawdown of mesopelagic oxygen and surface nitrate in the Southern Ocean. Our estimates agree with previous observations in showing an increase in ANCP in the vicinity of the PF ( $\sim 3 \text{ mol C m}^{-2} \text{ y}^{-1}$ ), compared to lower rates in the STZ ( $\leq 1 \text{ mol C m}^{-2} \text{ y}^{-1}$ ) and the seasonal ice zone ( $< 2 \text{ mol C m}^{-2} \text{ y}^{-1}$ ). We hypothesize that iron limitation induced by very low iron concentrations south of the STZ leads to enhanced silicification of diatoms, reflected in the low Si:NO<sub>3</sub> ratio of the surface water, thereby increasing the ballasting effect of POC and overall ANCP in this region. The overall meridional pattern of ANCP in the Southern Ocean is similar to that of the zonally averaged annual mean ocean CO<sub>2</sub> flux inferred from a neural network interpolation method (Landschützer et al., 2017). The collocation of the highest inferred ANCP and CO<sub>2</sub> uptake suggests an important role for biological export in setting the location and magnitude of the peak uptake. However, further analysis is required to separate the physical and biological components of the observed CO<sub>2</sub> flux, especially to account for the delay between biological production and CO<sub>2</sub> flux induced by slow gas exchange.

Our methods rely on the presumption that given sufficient data, advective and diffusive processes may be considered negligible in order to infer broad biologically driven changes of chemical tracers. We assess the validity of using trends of mesopelagic oxygen and surface nitrate drawdown to estimate carbon export fluxes within a model framework. Overall, the analysis of model mesopelagic oxygen and surface nitrate drawdown replicates well the spatial pattern of modeled downward organic carbon flux at 100 m. This agreement supports the presumption that net biological consumption is the dominant process affecting the drawdown of these chemical tracers and that given sufficient data, ANCP can be inferred from observations of oxygen and/or nitrate drawdown in the Southern Ocean.

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