

# CS345 : Assignment 1

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August 2024

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## Question 1

Let the centre of the unit circle be the origin O. Let us define polar angle of a point P as the angle between positive x-axis and line joining O and P, measured anti clockwise.

We will first create two arrays A and B such that

$$A[i] = \text{polar angle of } p_i \quad (1)$$

$$B[i] = \text{polar angle of } q_i \quad (2)$$

Now we will modify the two arrays so that

$$A[i] = \min(A[i], B[i]) \quad (3)$$

$$B[i] = \max(A[i], B[i]). \quad (4)$$

Let us denote the line segment formed by joining  $p_i$  and  $q_i$  be  $L_i$ . Note that  $L_i$  is uniquely determined by its two end points and each end point is uniquely determined by its polar angle. **We will call a point P smaller than point Q if polar angle of P < polar angle of Q.** Note that  $A[i]$  and  $B[i]$  store the polar angles of the smaller and larger endpoints of  $L_i$  respectively.

**Condition for two line segments (chords) to intersect :**

Two chords  $L_i$  and  $L_j$  intersect if  $A[i] < A[j] < B[i] < B[j]$  or  $A[j] < A[i] < B[j] < B[i]$ .

**Description of Data Structure used :**

We will create a augmented balanced BST. Each chord will be represented in the tree by a node. Each node  $N$  of the tree will store the following data -  $A$ ,  $B$ ,  $B\_arr$ ,  $size$ ,  $left$  and  $right$ .  $A$  and  $B$  are the polar angles of the chord ( $A < B$ ).  $B\_arr$  is a sorted array of size  $size$  which stores the  $B$  values of all the nodes in the subtree rooted at the node  $N$  in increasing order. Nodes in the tree are compared by their  $A$  values, i.e., inorder traversal of the tree will list the nodes in their increasing order of  $A$  values. The  $left$  and  $right$  are pointers pointing to the left and right child of the node  $N$ .

## Description of the algorithm :

- First we will create the balanced BST.
  - Make an array *Chords* of  $n$  nodes. *Chords*[ $i$ ] will be a node containing the following -  $A = A[i]$ ,  $B = B[i]$ , an empty array *B\_arr*,  $size = 0$ ,  $left = right = NULL$ .
  - Sort the array *Chords* in increasing order of  $A$  values. Create a balanced BST  $T$  from this sorted array.
  - Apply DFS to fill the  $size$  and *B\_arr* variables. This can be easily done recursively. Let  $p$  be the parent node and  $q, r$  be its children. Then,  $p.size = q.size + r.size + 1$  and  $p.B\_arr = Merge(q.B\_arr, r.B\_arr)$ .
- For each element  $c_i$  in *Chords* array, we will find the number of elements  $c_j$  such that  $j > i$  and  $c_i, c_j$  intersect, i.e.,  $c_i.A < c_j.A < c_i.B < c_j.B$ . Note that since  $i < j$  and the *Chords* array is sorted in increasing order of  $A$  values, the other condition for intersection is not possible. Also, if we count this way, there will be no repetitions and all intersections are counted exactly once.
- Consider a particular element  $c$ . We will first find all the nodes with  $A$  value between  $c.A$  and  $c.B$ . Then among all those nodes we will count the number of nodes with  $B$  value greater than  $c.B$ . This will give me the count of nodes which satisfy the intersection condition.
  - Consider two nodes in the tree  $T$  - node  $c_1$  with  $A$  value  $c.A$  and the node  $c_2$  with  $A$  value  $c.B$ . If no such  $c_2$  exists, take the node which is the predecessor of  $c.B$ , i.e., the node with the largest  $A$  value smaller than  $c.B$ .
  - Let the  $LCA$  of  $c_1$  and  $c_2$  be  $c_0$ . Consider the path  $P_L$  from  $c_0$  to  $c_1$  and the path  $P_R$  from  $c_0$  to  $c_2$ . We will use nodes on this path to find the number of intersections.
  - Let us make a set  $S$  of all the nodes which we need to consider for counting intersections. We will also maintain a variable *count* to keep track of intersecting nodes we have found so far.
  - Start from the root of  $T$ . We want to search for  $c_0$ . Note that  $c_0$  will be the node with the minimum depth (depth is the number of edges on the path from root) such that  $c_1.A < c_0.A < c_2.A$ , so if the current node is  $c'$  and  $c'.A < c_1.A$ , we move to its right child, and if  $c'.A > c_2.A$ , we move to its left child, otherwise, we have found  $c'_0$  and we stop. Once  $c_0$  is found, check if  $c_0.B > c.B$ , if yes set *count* = 1, otherwise set *count* = 0.
  - Now, we move on  $P_L$ , starting from the left child of  $c_0$ . If the current node is  $c'$  and  $c'.A < c_1.A$ , we move to its right child and discard its left subtree, since all the nodes in the left subtree will have values smaller than  $c_1.A$  and thus need not be counted. Otherwise if  $c'.A > c_1.A$ , we increase the *count* by 1 if  $c'.B > c.B$  and we insert its right child (if exists) in the set  $S$ , because all the nodes in its subtree lie between  $c_1.A$  and  $c_2.A$ . When we reach  $c_1$ , we insert its right child (if exists) in the set  $S$ .
  - We move on  $P_R$  similarly, starting from right child of  $c_0$ , but this time we insert the left child of  $c'$  (if exists) in  $S$  if  $c'.A < c_2.A$ , increase the *count* by 1 if  $c'.B > c.B$  and move to its right child. Similarly we discard the right subtree and move to left child if  $c'.A > c_2.A$ . When we reach  $c_2$ , we insert its left child (if exists) in the set  $S$ . Also, we increase the *count* by 1 if  $c_2.B > c.B$ .

- Now for each node  $s$  in the set  $S$ , we will count the number of nodes in the subtree rooted at  $s$ , which intersect with  $c$ . This we can do simply by applying binary search in the array  $s.B\_arr$ . We will find the index of the smallest element larger than  $c.B$  in this array and all the elements from that index to the end of the array will have higher  $B$  value than  $c$ . We will include these many nodes in the *count* variable (i.e., increase the *count* by these many number of nodes).
- Note that all the nodes we have included in the *count* have  $A$  value between  $c.A$  and  $c.B$  and have a  $B$  value higher than  $c.B$ , so they satisfy the intersection condition. Therefore count should contain the number of intersections for  $c$ .
- Also, note that we do not need to explicitly maintain a set  $S$ , we can count the intersections directly instead when we are inserting the node in the set.
- We can count the number of intersections for each  $c$  in the above mentioned way, and sum of all the counts would give us the required answer.

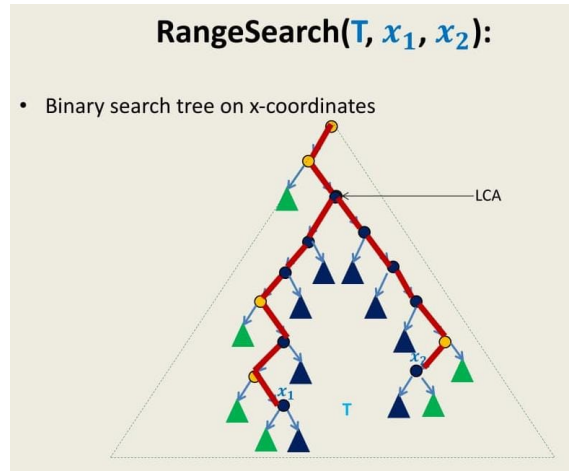


Figure 1: Tree depicting the path we need to traverse. Blue coloured nodes (and subtrees) are the ones we check for intersections. Idea used is similar to the *Orthogonal Range Search* problem discussed in lectures. *Image credits* : Lecture slides

### Pseudocodes for the algorithm :

We will assume that we have created the *Chords* array using the input points and sorted it in increasing order of  $A$  values. For simplicity, we will assume that all points given to us are distinct. We will assume that we have the following functions available -

- **CreateBSTfromArray( $A[], n$ ) :** Takes a sorted array  $A[]$  of size  $n$  as input, and returns a balanced BST in  $O(n)$  time.
- **Merge( $A[], B[], n, m$ ) :** Takes two sorted arrays  $A[]$  and  $B[]$  of sizes  $n$  and  $m$  respectively, and merges them to form a single sorted array  $C$  of size  $n+m$ . Returns the array  $C$  in  $O(n+m)$  time.
- **Predecessor( $T, x$ ) :** Takes a balanced BST  $T$  and a number  $x$  as input and returns the predecessor of  $x$  in  $T$ , i.e., largest number smaller than or equal to  $x$  in  $T$  in  $O(\log(n))$  time.

We will further assume that the above functions are modified appropriately to work for our augmented BST, i.e., all comparisons in **CreateBSTfromArray** and **Predecessor** functions are based on the  $A$  values of the nodes, i.e., a node  $p$  is considered *smaller* than node  $q$ , if  $p.A < q.A$ . Assume 0-based indexing everywhere.

```

FillB_Arrays(root){
    if (root.left  $\neq$  NULL) FillB_Arrays(root.left);
    if (root.right  $\neq$  NULL) FillB_Arrays(root.right);
    C[] = {root.B}; // Create an array with a single element root.B
    lsize  $\leftarrow$  0;
    rsize  $\leftarrow$  0;
    if (root.left  $\neq$  NULL) {
        C  $\leftarrow$  Merge(root.left.B_arr, C, root.left.size, 1);
        lsize  $\leftarrow$  root.left.size;
    }
    if (root.right  $\neq$  NULL) {
        C  $\leftarrow$  Merge(root.right.B_arr, C, root.right.size, 1 + lsize);
        rsize  $\leftarrow$  root.right.size;
    }
    root.size  $\leftarrow$  1 + lsize + rsize;
    root.B_arr  $\leftarrow$  C;
}

FirstLargerValue(A[], n, x){
    l  $\leftarrow$  0; r  $\leftarrow$  n - 1;
    last_mid  $\leftarrow$  n - 1;
    while (l  $\leq$  r) {
        mid = (l + r)/2;
        if (A[mid]  $\leq$  x) l = mid + 1;
        else { r = mid - 1; last_mid = mid; }
    }
    return last_mid;
}

TraverseLeftPath(c, L, B_val){
    if(c == NULL) return 0;
    count  $\leftarrow$  0;
    if (c.A < L) count  $\leftarrow$  TraverseLeftPath(c.right, L, B_val);
    else {
        rchild  $\leftarrow$  c.right;
        idx  $\leftarrow$  FirstLargerValue(rchild.B_arr, rchild.size, B_val);
        count  $\leftarrow$  rchild.size - idx;
        if (c.B > B_val) count  $\leftarrow$  count + 1;
        if (c.A > L) count  $\leftarrow$  count + TraverseLeftPath(c.left, L, B_val);
    }
    return count;
}

```

```

TraverseRightPath( $c, R, B\_val$ ){
    if( $c == NULL$ ) return 0;
     $count \leftarrow 0$ ;
    if ( $c.A > R$ )  $count \leftarrow$  TraverseRightPath( $c.left, R, B\_val$ );
    else {
         $lchild \leftarrow c.left$ ;
         $idx \leftarrow$  FirstLargerValue( $lchild.B\_arr, lchild.size, B\_val$ );
         $count \leftarrow lchild.size - idx$ ;
        if ( $c.B > B\_val$ )  $count \leftarrow count + 1$ ;
        if ( $c.A < R$ )  $count \leftarrow count +$  TraverseRightPath( $c.right, R, B\_val$ );
    }
    return  $count$ ;
}

CountIntersections( $T, c$ ){
    //  $L = c_1.A, R = c_2.A$ , i.e. the range in which we want to search.
     $L \leftarrow c.A$ ;
     $R \leftarrow$  Predecessor( $T, c.B$ );
     $c_0 \leftarrow T.root$ ;
    while ( $c_0 \neq NULL$ ){
        if ( $c_0.A < L$ )  $c_0 \leftarrow c_0.right$ ;
        else if ( $c_0.A > R$ )  $c_0 \leftarrow c_0.left$ ;
        else break;
    }
    // At this point  $c_0$  must be the LCA of the two nodes with  $A$  values  $L$  and  $R$ 
     $count \leftarrow 0$ ;
    if ( $c_0.B > c.B$ )  $count \leftarrow count + 1$ ;
    if ( $c_0.A > L$ )  $count \leftarrow count +$  TraverseLeftPath( $c_0.left, L, c.B$ );
    if ( $c_0.A < R$ )  $count \leftarrow count +$  TraverseRightPath( $c_0.right, R, c.B$ );
    return  $count$ ;
}

CountAllIntersections( $Chords[], n$ ){
     $T \leftarrow$  CreateBSTfromArray( $Chords, n$ );
    FillB_Arrays( $T.root$ );
     $count \leftarrow 0$ ;
    for ( $i = 0$  to  $n - 1$ ) {
         $count \leftarrow count +$  CountIntersections( $T, Chords[i]$ );
    }
    return  $count$ ;
}

```

## Space Complexity Analysis :

We have total number of nodes =  $n$ . Label all the nodes as  $C_1, C_2, \dots, C_n$ . Consider subtree rooted at  $C_i$ . Let its size be  $n_i$ . Space occupied by  $C_i = c_0 + c_1 n_i$  (each node contains some constant size variables and an array of size  $n_i$ ) where  $c_0$  and  $c_1$  are constants. Total space occupied by our data structure =  $\sum_{i=1}^n (c_0 + c_1 n_i) = n c_0 + c_1 \sum_{i=1}^n n_i$ .

Consider the nodes at a particular level  $i$ . Let these nodes be  $l_i, l_i + 1, \dots, r_i$ . Let the total space occupied by all the nodes on level  $i$  be  $N(i)$ .

Clearly,  $N(i) = \sum_{k=l_i}^{r_i} n_k$  = (number of nodes whose level is greater than or equal to  $i$ )  $< n \Rightarrow N(i) < n$ . Summing over all levels,  $\sum_{k=1}^h N(k) = \sum_{k=1}^h \sum_{i=l_k}^{r_k} n_i = \sum_{i=1}^n n_i$  (Because each node occurs in exactly one level). Here  $h$  is the number of levels or the height of the BST.

So, we have  $\sum_{i=1}^n n_i = \sum_{k=1}^h N(k) < hn$ . Since we have a balanced BST,  $h = O(\log(n))$ . Therefore  $\sum_{i=1}^n n_i = O(n \log(n))$ . Therefore total space occupied by our data structure =  $\sum_{i=1}^n (c_0 + c_1 n_i) = n c_0 + c_1 \sum_{i=1}^n n_i = O(n \log(n))$ .

## Time Complexity Analysis :

- **CreateBSTfromArray** :  $O(n)$  time.
- **Merge** :  $O(n + m)$  time
- **Predecessor** :  $O(\log(n))$  time.
- **FillB\_Arrays** : Let the time taken be  $T(n)$ . Since our BST is balanced, both left and right subtrees have atmost  $n/2$  nodes. We make 2 recursive calls, one for each child. Merge operations take  $O(n)$  time. Therefore,  $T(n) = O(n) + 2T(n/2) \Rightarrow T(n) = O(n \log(n))$ .
- **FirstLargerValue** : Simple binary search, therefore  $O(\log(n))$ .
- **TraverseLeftPath** : Let the time taken by this function be  $T(n)$ , where  $n$  = number of nodes in the BST. Since the BST is balanced, each child will have atmost  $n/2$  nodes. In worst case, one call of this function calls the **FirstLargerValue** function, which takes  $O(\log(n))$  time, and one recursive call from a child node. All other operations take  $O(1)$  time. Therefore  $T(n) = O(\log(n)) + T(n/2) \Rightarrow T(n) = O(\log^2(n))$ .
- **TraverseRightPath** :  $O(\log^2(n))$ . Same analysis as **TraverseLeftPath**.
- **CountIntersections** : One call of **Predecessor** takes  $O(\log(n))$  time. In each iteration of the **while** loop we move atleast one level down in the BST. Since there are atmost  $O(\log(n))$  levels, it takes  $O(\log(n))$  time in the worst case. In the worst case, one call each of **TraverseLeftPath** and **TraverseRightPath** is made, each of which takes  $O(\log^2(n))$  time. Therefore, overall time taken by this function is  $O(\log^2(n))$ .
- **CountAllIntersections** : One call of **CreateBSTfromArray** takes  $O(n)$  time. One call of **FillB\_Arrays** takes  $O(n \log(n))$  time. Each iteration of the **for** loop makes one call of **CountIntersection**, so it takes  $O(\log^2(n))$  time. So the **for** loop takes  $O(n \log^2(n))$  time. Overall this function will take  $O(n \log^2(n))$  time.
- Converting a point to its polar form can be done in  $O(1)$  time, so arrays  $A[]$  and  $B[]$  can be created in  $O(n)$  time, modifying the arrays so that  $A[i] < B[i]$  can also be done in a single scan of the array, so this also takes  $O(n)$  time.

- Then *Chords*[ ] array can be initialised using arrays *A*[ ] and *B*[ ] in a single scan of the arrays, so it can be done in  $O(n)$  time.
- Sorting the *Chords*[ ] array takes  $O(n\log(n))$  time.
- Finally to solve the problem, we need to make one function call of **CountAllIntersections** which would take  $O(n\log^2(n))$  time.

Therefore overall worst case time complexity of the algorithm is  $O(n\log^2(n))$ .

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## Question 2

### (a) Online Algorithm for 2D Non-Dominated Points

#### Definition of Dominance :

A point  $A = (a_x, a_y)$  is said to be dominated by point  $B = (b_x, b_y)$  iff  $a_x < b_x$  and  $a_y < b_y$ .

#### Idea of Algorithm :

We will maintain a balanced augmented BST  $T$  (for eg., a Red Black Tree) to keep track of the non-dominated points. For the  $i^{th}$  point, we will check if it is dominated by any other point in  $T$ .

If yes, then this point can not be a non-dominated point, therefore, it is not added in  $T$ .

If no, then this point is a non-dominating point among the set of  $i$  points encountered so far, so it is added in  $T$ . Also, we will remove all the points from  $T$  which are dominated by the  $i^{th}$  point.

Since, we are checking only for points in  $T$  to determine if  $i^{th}$  point is non-dominated, this is not trivial, so we will provide a justification for this.

**Claim :** *At each point of time, all the points in  $T$  are non-dominated points. Also, for every point  $p$  (encountered so far) not in  $T$ , there exists a point in  $T$  which dominates  $p$ .*

**Proof :** We will prove this by induction on number of points  $i$ . Let the points be  $p_1, \dots, p_i$ . Base case,  $i = 1$ , is trivially true, since the first point is always non-dominating hence inserted in the tree. By induction hypothesis, we know that  $T$  contains all the non-dominated points among  $p_1, \dots, p_{i-1}$ . So we only need to check if  $p_i$  is checked properly. We need to prove that  $p_i$  is inserted in the tree if and only if it is non-dominated. If we find any point in  $T$ , which dominates  $p_i$ , then  $p_i$  cannot be a non-dominated point and it is discarded. If we do not find any such point in  $T$ , then we need to argue that all the points  $p_k$  ( $k < i$ ) which are not in  $T$  also cannot dominate  $p_i$ . Let us assume contrary, that we have such a point  $p_k$  ( $k < i$ ) which is not in  $T$ , but  $x_{p_k} > x_{p_i}$  and  $y_{p_k} > y_{p_i}$ . Now we know that no point in  $T$  dominates  $p_i$ , so for all  $p$  in  $T$ , either  $x_p < x_{p_i}$  or  $y_p < y_{p_i}$ . This implies that for all  $p$  in  $T$  either  $x_p < x_{p_k}$  or  $y_p < y_{p_k}$ , i.e., no  $p$  in  $T$  dominates  $p_k$ . But by the induction hypothesis, for every point  $p_k$  not in  $T$ , there must be a point in  $T$ , which dominates  $p_k$ . This is a contradiction. Therefore, if we do not find any point in  $T$  which dominates  $p_i$ , then  $p_i$  is a non-dominated point among  $p_1, \dots, p_i$ , and thus must be included in  $T$  and is correctly inserted in  $T$  by our algorithm. Also, all the points which are now dominated by  $p_i$  are removed. Hence after checking  $i$  points, all the points in  $T$  are non-dominated and all the points not in  $T$  are dominated by some point in  $T$ . This completes our proof.

**Comparing two points :** We define a point  $A = (a_x, a_y)$  to be smaller than point  $B = (b_x, b_y)$ , i.e.,  $A < B$  if  $(a_x < b_x)$  or  $(a_x = b_x \text{ and } a_y < b_y)$ .

**Lemma :** *If we have a list of  $n$  non-dominated points  $\{p_1, \dots, p_n\}$  sorted in increasing order, then the  $x$  coordinates are sorted in a non-decreasing order, and the  $y$  coordinates are sorted in a non-increasing order.*

**Proof :** Take any two  $i, j$  ( $i < j$ ). Since points are sorted in increasing order  $p_i < p_j \Rightarrow x_{p_i} < x_{p_j}$  or  $x_{p_i} = x_{p_j} \Rightarrow$  their  $x$  coordinates are in non-decreasing order. Now, if  $x_{p_i} < x_{p_j}$  and we know



that  $p_i$  is non dominated, then  $y_{p_i} \geq y_{p_j}$ . Otherwise if  $x_{p_i} = x_{p_j}$ , then by definition of comparison of points,  $y_{p_i} > y_{p_j}$ . Therefore, for all  $i, j (i < j)$ , we have  $x_{p_i} \leq x_{p_j}$  and  $y_{p_i} \geq y_{p_j}$ . Therefore, we can say that all  $x$  coordinates are sorted in a non decreasing order and all  $y$  coordinates are sorted in non increasing order.

### Detailed Description of the Algorithm :

We have already seen the idea of the algorithm and that it works correctly. Now we need to provide an implementation which is efficient. Each node in the BST  $T$  represents a point and stores the following data -  $x, y$  coordinates of the point, *left, right* pointers pointing to the left and right child of the node respectively. It may also contain some additional fields like *colour* if we are using a Red Black Tree (any self balancing tree would work).

Points in BST  $T$  are maintained in increasing order i.e., inorder traversal of the tree traverses nodes in the increasing order (refer to the definition of comparison of two points).

To ensure that for each point, we always have a successor and a predecessor in  $T$ , we insert two points  $(\infty, -\infty)$  and  $(-\infty, \infty)$  in  $T$ . Note that these two points are always non dominated.

When we receive the point  $p_i$ , we do the following -

- First we check, if  $p_i$  is already present in  $T$ . If yes, we simply discard it. This way we can handle duplicate points.
- Then we check if  $p_i$  is dominated by any point in  $T$ . Clearly every point  $p$  in  $T$  which is smaller than  $p_i$ , has  $x_p \leq x_{p_i}$ . So, no point in  $T$  smaller than  $p_i$  can dominate  $p_i$ . We only have to check for points in  $T$  larger than  $p_i$ .
- We find the inorder successor  $s_i$  of  $p_i$ , i.e., smallest point in  $T$  larger than  $p_i$ . So  $p_i < s_i$ .
  - If  $x_{s_i} == x_{p_i}$ , then  $y_{s_i} < y_{p_i}$  (because  $p_i < s_i$ ). Note that  $s_i$  does not dominate  $p_i$ . Also by *Lemma*, we can say that every point  $p$  in  $T$  which is greater than  $s_i$  has  $y_p \leq y_{s_i} < y_{p_i}$ . So no point larger than  $s_i$  can dominate  $p_i$ , so we insert  $p_i$  in  $T$ .
  - If  $x_{s_i} > x_{p_i}$ , then we compare the  $y$  coordinates. If  $y_{s_i} > y_{p_i}$ , then  $s_i$  dominates  $p_i$ , so we discard  $p_i$ . Otherwise, if  $y_{s_i} \leq y_{p_i}$ , by the similar argument in the previous case ( $x_{s_i} == x_{p_i}$  case), we can say that no point in  $T$  larger than  $p_i$  can dominate  $p_i$ , so we insert  $p_i$  in  $T$ .
- Now, we only need to check if  $p_i$  dominates any points in  $T$ . Such points need to be deleted. We know that every point  $p$  in  $T$  that has  $x_p \geq x_{p_i}$ , cannot be dominated by  $p_i$ . So we need to check only for points  $p$  such that  $x_p < x_{p_i}$ .

**We define the  $x$ -predecessor of a point  $p_i$  as the largest point  $p$  with  $x_p < x_{p_i}$ .**

We will find the  $x$ -predecessor  $pred_i$  of  $p_i$ . If  $y_{pred_i} < y_{p_i}$  then  $p_i$  dominates  $pred_i$ , so we remove  $pred_i$  from  $T$ . We repeat this process until we get a  $pred_i$  such that  $y_{pred_i} \geq y_{p_i}$ . Since we have  $(-\infty, \infty)$  in  $T$ , we will always get such a  $pred_i$ . Clearly,  $pred_i$  is not dominated by  $p_i$ . Also, by *Lemma*, every point  $p$  in  $T$  smaller than  $pred_i$  will have  $y_p \geq y_{pred_i} \geq y_{p_i}$ , so  $p_i$  cannot dominate any of these points. At this point we can say that we have removed all the points from  $T$  which are dominated by  $p_i$ .

### Pseudocodes for the Algorithm :

We assume that we have a self balancing BST data structure (such as a Red Black Tree) with following functions available -

- $T.insert(q)$  : Inserts the node  $q$  in the tree  $T$ .
- $T.delete(q)$  : Deletes the node  $q$  in the tree  $T$ .
- $T.predecessorX(q)$  : Returns the largest node in  $T$  which has a smaller  $x$  coordinate than  $q$ , i.e., the  $x$  - predecessor of  $q$  in  $T$ . This can be done easily since all the nodes in  $T$  are in non decreasing order of  $x$  coordinates (see *Lemma*).
- $T.successor(q)$  : Returns the node which is inorder successor of the node  $q$  in the tree  $T$ .
- $T.search(q)$  : Searches for the node in  $T$ , returns 1 if found, 0 otherwise.
- **InorderTrav**( $T$ ) : Returns the inorder traversal of nodes in BST  $T$  as a list.

All the above functions take  $O(\log(n))$  time, except **InorderTrav** which takes  $O(n)$  time.

We will also assume that the points are available to us as an array  $A[ ]$  of size  $n$ , and  $A[i].x, A[i].y$  give the  $x$  and  $y$  coordinates of the point  $A[i]$  respectively.

```

NonDominated2D( $A[ ], n$ ) {
     $T \leftarrow \text{CreateEmptyTree}()$ ;
     $T.insert((-\infty, \infty))$ ;
     $T.insert((\infty, -\infty))$ ;
    for ( $i = 1$  to  $n$ ) {
         $q \leftarrow A[i]$ ;
        if ( $T.search(q) == 1$ ) continue;
         $succ \leftarrow T.successor(q)$ ;
        if ( $succ.x > q.x$  and  $succ.y > q.y$ ) continue;
        else  $T.insert(q)$ ;
         $pred \leftarrow T.predecessorX(q)$ ;
        while( $pred.y < q.y$ ) {
             $T.delete(pred)$ ;
             $pred \leftarrow T.predecessorX(q)$ ;
        }
    }
     $L \leftarrow \text{InorderTrav}(T)$ ;
    return  $L$ ;
}

```

### Time Complexity Analysis :

We need one call of **NonDominated2D** function.

The two insertions will take  $O(\log(n))$  time. One call of **InorderTrav** will take  $O(n)$  time. All the operations inside the **for** loop, i.e., search, successor, predecessorX, delete, insert, take  $O(\log(k_i))$  time, where  $k_i$  is the number of nodes in  $T$  in  $i^{th}$  iteration of the **for** loop. Also, let us assume that the **while** loop runs for  $n_i$  times in the  $i^{th}$  iteration of the **for** loop. Therefore, time taken in the  $i^{th}$  iteration of the **for** loop,  $t_i = c_0 \log(k_i) + c_1 n_i \log(k_i) < c_0 \log(i) + c_1 n_i \log(i)$  (since, we have checked only  $i$  points so far, number of points in  $T$ ,  $k_i \leq i$ ). Here,  $c_0, c_1$  are some constants. Now, total time for  $i$  iterations of the **for** loop,

$$T(i) = \sum_{r=0}^i t_r = \sum_{r=0}^i (c_0 \log(r) + c_1 n_r \log(r)) < \sum_{r=0}^i (c_0 \log(i) + c_1 n_r \log(i)) = (c_0 i + c_1 \sum_{r=0}^i n_r) \log(i).$$

Now note that  $\sum_{r=0}^i n_r$  is the total number of iterations of the **while** loop till  $i$  points are processed,

and in each iteration of while loop, exactly one point is deleted from  $T$ . Since each point is inserted atmost once in  $T$ , total number of nodes in  $T$  can be atmost  $(i + 2)$  (including the two initial insertions; note that these two points are never removed from  $T$ ). So, total number of deletions (and insertions) after processing  $i$  points, can be atmost  $i$ . Therefore,  $\sum_{r=0}^i n_r \leq i$ . Therefore,  $T(i) = (c_0 i + c_1 \sum_{r=0}^i n_r) \log(i) \leq (c_0 i + c_1 i) \log(i) = (c_0 + c_1) i \log(i) = O(i \log(i))$ . Hence, it is ensured that processing  $i$  points takes  $O(i \log(i))$  time.

## (b) Algorithm for 3D Non-Dominated Points

### Definition of Dominance :

A point  $A = (a_x, a_y, a_z)$  is said to dominated by point  $B = (b_x, b_y, b_z)$  iff  $a_x < b_x$  and  $a_y < b_y$  and  $a_z < b_z$ .

**Comparing two points in 3D:** We will call a point  $A = (a_x, a_y, a_z)$  to be larger than point  $B = (b_x, b_y, b_z)$ , i.e.,  $A > B$  if  $(a_z > b_z)$  or  $(a_z == b_z \text{ and } a_y < b_y)$  or  $(a_z == b_z \text{ and } a_y == b_y \text{ and } a_x < b_x)$ .

### Idea of Algorithm :

We are given  $n$  points. We will sort these in non increasing order (based on above comparison definition). Let us call these  $p_1, p_2, \dots, p_n$ . We will process these points one by one from index  $i = 1$  to  $n$ . For each point  $p_i$ , we know that  $z_{p_i} \leq z_{p_k}$ , for all  $k < i$  and  $z_{p_i} \geq z_{p_k}$ , for all  $k > i$ . Therefore, no  $p_k, k > i$  can dominate  $p_i$ . So we only need to check if any point  $p_k, k < i$  dominates  $p_i$ . For a point  $p_k, k < i$ , if  $z_{p_k} > z_{p_i}$ , then we only need to check if the projection of  $p_i$  on  $xy$  plane is dominated by the projection of  $p_k$  on  $xy$  plane. If yes, then  $p_i$  is dominated by  $p_k$ , otherwise it is not. On the other hand, if  $z_{p_k} == z_{p_i}$ ,  $p_i$  is not dominated by  $p_k$ . In this case if we look at the  $xy$  projections of  $p_i$  and  $p_k$ , because of the way we have ordered the points, we know that either  $y_{p_k} < y_{p_i}$  or  $x_{p_k} < x_{p_i}$ . So the  $xy$  projection of  $p_i$  is not dominated by  $xy$  projection of  $p_k$ . Therefore, we can say that for any  $k < i$ , the projection of  $p_i$  in  $xy$  plane is dominated by projection of  $p_k$  in  $xy$  plane iff  $p_i$  is dominated by  $p_k$ . So for checking if  $p_i$  is dominated by any  $p_k, k < i$ , we can maintain the same data structure  $T$  we used for *Non-Dominated2D problem* and give the projections of points on the  $xy$  plane as input. However, note that, unlike the 2D problem, the set of points which are non dominated, is not the same as the points which we will keep in  $T$ . This is because, any point whose projection on  $xy$  plane is dominated by that of some other point is removed from  $T$ , but it is not removed from the set of non dominated points (if it was present there). So we will maintain a separate list to keep track of non dominated points.

Note that the comparison used for maintaining points in  $T$  was different from the comparison used to sort the given array initially.

### Pseudocode for the Algorithm :

We will assume all the functions from the previous problem for 2D points. We will also assume that the points are available to us as an array  $A[ ]$  of size  $n$ , and  $A[i].x$ ,  $A[i].y$  and  $A[i].z$  give the  $x$ ,  $y$  and  $z$  coordinates of the point  $A[i]$  respectively. Since we are given a *set* of  $n$  points we can assume that there are no duplicate points.

```

NonDominated3D( $A[]$ ,  $n$ ){
    sort( $A$ ,  $n$ ) // sort according to the comparison we defined for 3D points
     $S \leftarrow$  CreateEmptySet(); // set to maintain list of non dominated points
     $T \leftarrow$  CreateEmptyTree();
     $T.insert((-∞, ∞))$ ;
     $T.insert((∞, -∞))$ ;
    for ( $i = 1$  to  $n$ ) {
         $q \leftarrow \{A[i].x, A[i].y\}$ ; // projection of  $A[i]$  on the  $xy$  plane
         $succ \leftarrow T.successor(q)$ ;
        if ( $succ.x > q.x$  and  $succ.y > q.y$ ) continue;
        else {  $T.insert(q)$ ;  $S.insert(A[i])$ ; }
         $pred \leftarrow T.predecessorX(q)$ ;
        while( $pred.y < q.y$ ) {
             $T.delete(pred)$ ;
             $pred \leftarrow T.predecessorX(q)$ ;
        }
    }
    return  $S$ ;
}

```

#### Time Complexity Analysis :

Sorting takes  $O(n \log(n))$  time. Inserting an element in the set can be done in  $O(\log(n))$  time (if efficiently implemented using RBT; instead of a set, if we just maintain a list, it will take  $O(1)$  time). There will be atmost  $n$  insertions in the set, therefore, it will take  $O(n \log(n))$  time. Rest of the code is same as **NonDominated2D** function, and thus, for  $n$  points it will take  $O(n \log(n))$  time (refer to time complexity analysis for 2(a)). So overall time complexity will be  $O(n \log(n))$ .