# Chess Strategy – Project in Evolutionary Algorithms

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## Introduction

Evolutionary programming is a type of artificial intelligence algorithm that is inspired by the process of natural evolution. It can be used to find solutions to complex problems, such as chess-playing. In evolutionary programming, a population of solutions is randomly generated, and then evolved over time by applying a selection process and genetic operators (e.g. mutation, crossover) to generate new solutions.

Chess is a two-player strategy game played on a gameboard, which consists of 64 squares arranged in an 8x8 grid. Each player starts with 16 pieces: one king, one queen, two rooks, two knights, two bishops, and eight pawns. The goal of the game is to checkmate the opponent's king, which means the king is in a position to be captured ("in check") and there is no way to move the king out of capture (mate). The game is won by the player who checkmates the opponent's king, lost if the player's own king is checkmated, or drawn if either there is a streak of pieces that move back and forth from both sides for 3 straight moves, or if the only pieces remain at the board are kings.

### There are three stages in chess:

- 1. The opening is the first part of the game, where the pieces are developed and positioned on the board. It typically lasts for the first 10-20 moves and the goal is to control the center of the board and develop your pieces to their best squares.
- 2. The middlegame is the most complex and dynamic stage of the game, where the pieces are mobilized for attack and defense. It typically lasts for the next 20-40 moves and the goal is to create threats and opportunities to gain an advantage over your opponent.
- 3. The endgame is the final stage of the game, where there are only a few pieces left on the board. It typically lasts for the remaining moves of the game and the goal is to checkmate the opponent's king or force a resignation.

A standard way of describing a chess board is with a FEN – short for 'Forsyth–Edwards Notation'. For example, take the FEN of the starting board:

rnbqkbnr/pppppppp/8/8/8/8/PPPPPPPP/RNBQKBNR w KQkq - 0 1

The white-colored pieces are annotated with a capital letter as opposed to black's pieces in small letters.

- The first argument is the distribution of all the pieces on the board, starting from the last square h8 there resides the black rook, and ends on a1 where the white rook stands. '/' appears when the row is lowered, note that there are 7 occurrences of '/'. An empty square will be announced by a number, and in this example, from the third row all the way to the sixth, there are no pieces at all.
- The second argument is the first letter of the color that is given the turn, in this case it's white.
- The third argument relates to 'castling' when the king and a rook from one side have a path to each other and none made a move from the start, the king can be moved all the way one step near the rook, and the rook will switch spots with the near square of the king in the opposite direction. Only one castling is allowed for each color and the letters stands for 'king side' castling with the rook closer to the king, or 'queen side'.
- The fourth argument is whether there are any 'en passant' squares a square over which a pawn has just passed while moving two squares; it is given in algebraic notation. If there is no en passant target square, this field uses the character "-".
- The fifth argument is a counter of the number of moves since the last capture or pawn advance, in the case it is over 75 a draw is called.
- The sixth argument is the count of full moves since the start of the game, including the current move to be played.

A chess engine is a program designed to play the game of chess. It is typically integrated into a chess GUI, which allows a user to play against the engine, analyse positions, and access a variety of chess-related information. The engine uses a chess-specific algorithm to analyse the position on the board and make decisions on the best move to play. The strength of a chess engine is often measured by its ELO rating, which is a rating system used in chess to indicate a player's relative skill level. The most powerful chess engines today swiftly defeat even the strongest human players.

One of the chess engines to note is 'Stockfish' - a free and open-source chess engine. It is considered one of the strongest chess engines in the world alongside 'Mittens' and is often used by professional chess players to analyse their games, prepare for tournaments. It is also used by chess-playing websites such as Chess.com.

The use of evolutionary programming to solve chess has been researched by several groups, with many publishing promising results on the use of genetic programming on chess engines. However, the underlying truth is that the top-ranking engines today altogether avoid the use of use genetic programming.

# The Problem

One of the main challenges in trying to solve a given chess board is the high computational cost. The number of possible moves in a chess game is astronomical the more you want to "look into the future", making it difficult to evaluate a sufficient solution in a reasonable amount of time.

On one hand, evolutionary algorithms can generate a large diverse set of chess-playing individuals. This diversity allows the evolutionary algorithm to explore a wide range of solutions, which increases the chances of finding a good strategy to a given board. However, this approach could be very time consuming due to the number of games being processed by the evolutionary program.

On the other hand, traditional engines hold a significant advantage in terms of computational efficiency. The search algorithm used by the 'Stockfish' engine for example, is highly optimized, which allows it to explore the chess game tree more deeply in a shorter amount of time than an evolutionary algorithm.

Additionally, the engines use endgame table-bases, which are precomputed databases of the optimal play in endgame positions, to find the best move more accurately. However, the engines perform at their peak level when they are calculating very deep into the game, with a 'depth' level – how many moves ahead the engine is calculating – that is exponentially high (e.g. at the starting board, there are 324 different ways to play the first 2 moves), and once again will lead to a time consuming process.

Both approaches have their ups and downs, and we would like to opt for a third approach – combining the use of both evolutionary programming and an existing engine.

# The Solution

Chess engines mainly are based on other algorithms such as Alpha-Beta - algorithms that dramatically reduces the number of possible moves to calculate in a game engine with a given depth level. There are less chess engines that deploy genetic programming in their calculations.

In this project we want to raise and hopefully answer the following questions:

- 1. Could the use of genetic programming help us find a good outcome of a given chess board (an outcome that can be achieved in an actual game between two 'competent' players with the ELO of around 1800)?
- 2. Could the use of genetic programming theoretically boost the performance of a 'simpler' chess engine that doesn't have a high depth?

We will build a python program, that with a given chess board in an endgame stage, will calculate the best strategy it can find, with up to 180 moves ahead.

This program will use the help of evolutionary programming and an existing chess engine.

The genetic programming will be handled using EC-KitY — a python-based module that serves as a comfortable basis for creating evolutionary programs. EC-KitY was created and is being updated by Moshe Sipper, Achiya Elyasaf, Itai Tzruia, and Tomer Halperin

The chess engines we will use in our program and comparison is 'Stockfish'.

The algorithm we build will Implement evolutionary programming following these instructions:

- 1. Population and Individuals We will use one subpopulation consisting of 200 individuals each will hold a chess board related to a given FEN, the board will consist of kings, and pawns that may only promote to a queen.
- 2. Fitness based on the color the algorithm will play on, the higher the score the better, with a 'checkmate' score being the best possible score at 100,000, followed by a stalemate with 99,999.5 and 'Mate In i' in favor of the algorithm's color with a fitness score of 100,000 i.

### 3. Fvaluation -

- 3.1 Before an evaluation (apart from the first one configured in the Algorithm when the population is initialized), the algorithm will "hypothetically" play with an engine 6 moves ahead in all of the boards with the use of a cloned board for each.
- 3.2 Evaluation of the individuals will be scored based on that cloned board and only after will the first move of the algorithm will be made on the original board, followed by a move from the engine.
- 4. Selection In each generation, the algorithm will select the elites, the 1% of the population with the highest fitness score, it will clone them randomly and proceed onto the next iteration of the algorithm.
- 5. Mutation each individual will hold a short array of strategies for the algorithm's 3 moves {RightDefense, LeftDefense, RightAttack, LeftAttack} this list of moves will be used to increase the weight of the moves that correlate to the strategy selected for the round the direction is for the square the moves lead into, and the defense/attack is for whether the move is going upwards or downwards in the board (in relation to the color's view of the board). In each breeding of the population, each individual has a 0.65 chance to change it's strategy array completely, or stick with the old one (and will move the strategies in order and change the last value randomly).
- 6. Termination An early termination will happen if either the best\_individual has managed to end the game In a mate, or all games ended in a draw.

# The Program

The program will include all the modules that accompanied by EC-KitY, and also the standard python-chess module. We will also use 'Stockfish', specifically the latest build (as of December 2022) - v15.1 with the support of 'AVX2' architecture set, running on linux-based builds.

Adding to that are the files written specifically for this project - the files that inherit, apply, and reconfigure files from EC-KitY (BoardCreator, BoardEvaluator, BoardEvolution, BoardIndividual, ChessTerminationChecker, ElitismAndRepopulatingSelection, MutateStrategyFactor), and other files that calculate and integrate the use of all modules into the main running program (MovementCalculation and Communicator). More on every file below:

### main.py

The main program, will initialize and call for the algorithm to run, may receive as an argument the FEN of a chess board or use the default chess board, that includes only 2 kings and 8 pawns, and pawns may only promote to a queen.

The algorithm we built will always play the first move regardless of its color. It will also be responsible for closing all working threads/engines that are not automatically closed by the evolutionary algorithm implementation of ec-kity.

### Communicator

The object that handles the communication between the algorithm and a number of chess-engines (stockfish by default) with the use of BoardEvolution's defined threadpool to create a "Thread per Engine" bus. This object will define two functions:

- <u>send move request(ind, threadpool)</u>: Will receive from the algorithm the individual and the algorithm's threadpool, it clones the individual's board and makes the movements on the clone board(the exact amount depends on self.depth value from the initialization, by default it is 6 moves ahead). it defines within its scope two functions that will each call the other until either the needed amount of moves were played, or the temporary board's game has ended. The functions are:
  - 1. algorithm\_calculation() that will use MovementCalculation in order to receive a move from algorithm's color and use it accordingly, and when the given amount of moves was made will also stop the recursive call. This function will only be handled by one thread initialized by the communicator object.
  - 2.engine\_communicate() Will receive a move result from one of the engines and make the move on the temporary board. This function will always be handled by the threadpool of the algorithm.
- <u>send evaluation request(ind)</u> Based on self.depth moves played in the temporary board, will evaluate the score of the temporary board, and only then proceed to do the first move of the algorithm and the engine on the individual's board (in the case that these two moves happened, else the game ended and the appropriate score will be returned accordingly. This function will be handled by the threadpool the evolutionary algorithm holds.

### BoardIndividual

Every individual from the population will have its accompanied board stored, a clone of that board, alongside the necessary variables the ec-kity modules needs — a fitness class that when the individual is evaluated, the fitness variable will get a hold of the fitness of the board of the individual — in this case, how the board is evaluated regarding the color that the algorithm play. In our case, 100000 is the fitness score for a checkmate in favor of us, and multiplying it by (-1) will be the fitness value for a checkmate loss.

The individual will also store an array of 3 randomly chosen enum values called MovementFactor, more on that under MovementCalculation.

### <u>BoardEvaluator</u>

A small class written inside main.py, communicates the evaluation calls on each individual by directing to send\_evaluation\_request of the communicator class.

### ChessTerminationChecker

The class that handles when to perform an early termination of the program, in this case, we configure the algorithm to terminate when an individual's board's game is over, either by a stalemate (with a fitness score of 99,999.5), or mate (100,000).

### **BoardEvolution**

The object that runs the evolutionary algorithm, inherited from SimpleEvolution from ec-kity, will add a necessary step — for each individual of the population it will call for send\_move\_request, and wait until all the individuals' temporary boards were played the needed amount of moves.

### **ElitismAndRepopulatingSelection**

Will handle the picking of the most suited individuals, and randomly repopulate with them until the new population reaches the amount of the previous one.

### MutateStrategyFactor

Each individual has a change to completely change its array of strategy bonus, this class is responsible for deciding on the change for each individual it receives.

### **BoardCreator**

Handles the creation of the population of individuals based on the FEN it is given.

### MovementCalculation

An object that is given to all individuals, in each turn of the algorithm the communicator object will call <u>calculate next move</u> – which use the functions defined in MovementCalculation to change the weights of all the legal\_moves in the given round and then choose based on the weights on a move to play.

Noted functions that are called are:

Advances_of_pawn	Move*int->void	Increase move of pawn with a possibility to advance by a small margin
Count_attacking_pieces	int->int	Return number of opponent pieces attacking given square
Give_mate	Move->bool	Check if given move result in checkmate
squareOccupied	List(int)->bool	Check if square is attacked or occupied by another piece
King_in_danger		Check if king is in imminent danger of mate and find moves to escape
defendsAnotherPiece	Int->bool	Check if piece defends another of your pieces
Build_pawn_structure		Build pawn structure by advancing pawn to support each other
Good_trade	Int->list(int)	Get square piece and check if there are any captures of pieces with same or above value

# Experiment

Based on a FEN of the standard board including only the kings and pawns, and for each color, we will run the program 30 times and compare the results of the algorithm to those that stockfish will give on that same board with the depth level of 9.

We chose the FEN as it gives no advantage by stockfish with an evaluation depth of 48 and the standard form can lead to a vast number of strategies in order to promote a pawn to a queen and attack the opponents king. Moreover, aggressive plays with the king, while risky, may open the way for a pawn to promote.

We will track which first moves were picked the most for both sides, the average outcome of the match, the best strategy we found as well as the average time the program ran.

# **Findings**

### Results for White:

14 games were won by our algorithm, 2 by the engines, and 13 "undecided" games occurred.

In the algorithm game that occurred on the 12<sup>th</sup> run, 65 moves were played, in which the critical move was our promotion to a queen at move 53.

On that run, the starting moves were: e2e4 e7e5 e1e2 f7f6 e2d3 e8f7 (Two king moves at the start!)

Amongst our wins, the algorithm played these moves:

e2e4 5 times, d2d4 3 times, f2f3 2 times, f2f4 2 times, c2c3 once, and e1d1 once (king move at the first round).

In our losses, e2e3 was played 2 times and f2f4 was played once.

It is interesting to note that regardless of wins or losses, the engine only played e7e5 and d7d5, 20 and 10 times respectively, these are standard aggressive moves by the black's side.

The average running time of the program was 36 seconds, with 114 moves made on the board on average.

### Results for Black:

\*Before testing the algorithm with black's board, we decided to use the FEN of the first move already played by white – e2e4 – as it was the dominant move to pick both by our algorithm and especially by stockfish.

14 games were won by our algorithm, 2 by the engines, and 13 "undecided" games occurred, interestingly coinciding with the results for white.

In the algorithm game that occurred on the 20<sup>th</sup> run, 60 (59 plus e2e4) moves were played, in which the critical move was our promotion to a queen at move 51.

On that run, the starting moves were: f7f6 c2c3 e8f7 d2d4 d7d6 e1d2 (One King move at the second move)

Amongst our wins, the algorithm played these moves:

The algorithm's first (third) moves were:

As we deduced, the king was a key factor in this sort of board and the engine understood that as well, making e1e2 it's move in more than a third out of all the games played.

The average running time of the program was 34 seconds, with 160 moves made on the board on average.

The results can be found on "outputs\_white" and "outputs\_black" files on the github page.

# Conclusion

While we weren't surprised that an aggressive king play could win a game (at the second and third moves however we were), our program managed to do well over our expectations, as the ELO of stockfish with a depth of 9 is around 2165 (and depth of 12 is above 2300!), and while we added around 600 lines of code in MovementCalculation, it by itself does not hold a candle to stockfish's use of Alpha-Beta without the aid of genetic programming.

While deducing strategies from a given FEN took us well over 1000 seconds, we can see how the extra step of genetic programming can help a simple program go toe to toe with a serious chess engine, in which many contributors help build. With an adequate board evaluator of our own, we could have a full chess engine altogether (We can also implement genetic programming on the evaluation process and get use stockfish only for the moving of the opponent color).

It is important to note that on the start of the game the algorithm needs to choose 1 out of over 1000 possible 3 moves, some of them being critical to the later outcome, and while we used the evaluation of the engine to guide us to find the elite individuals, the odds of us always picking the top moves are very much not in our favor as opposed to the engine.

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