

## Secom Data Set Classification Report

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Problem and data description



Data preprocessing



Equilibration



Feature selection and dimension reduction



Intelligent algorithm and classifier



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#### **CONTENTS**





**Part One** 

## Problem and data description



## Problem and data description

#### **Illustrative context**

According to the test data of the wafer, it is accurate to determine whether the wafer is qualified

#### **Model requires**

Inspection accuracy of nonconforming product>72%



#### Secom data set

Two kinds of label

590 features

1567 samples

104 unqualified samples

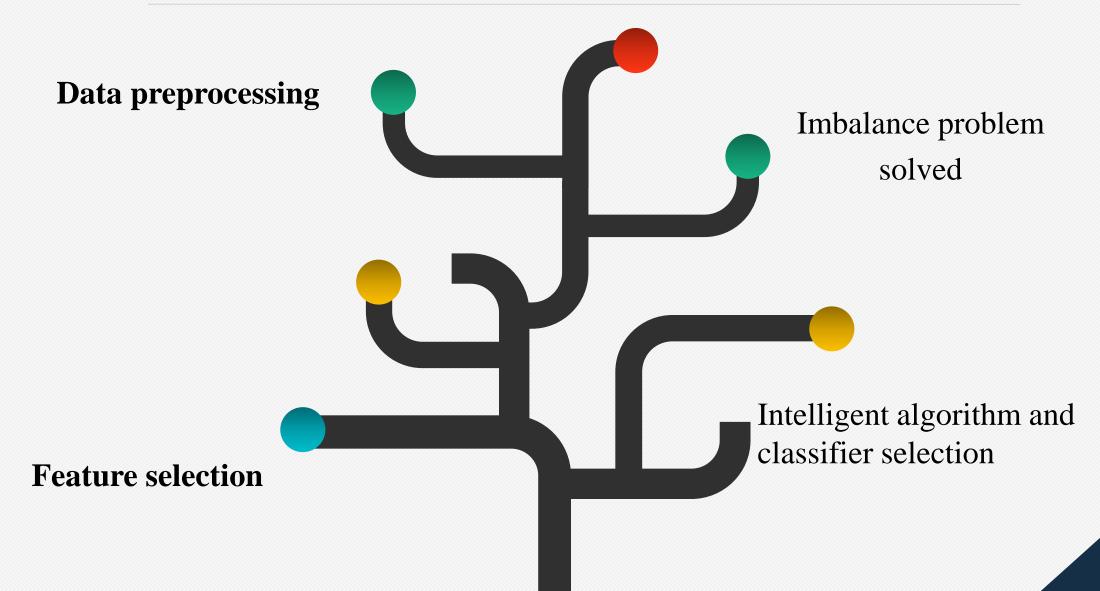
1,463 qualified samples

Sample proportion 14:1 (pass: fail)

There are multiple nulls NAN

Essence: unbalanced data set biclassification problem with multiple characteristics and multiple books (abnormal point problem)









**Part Two** 

Data preprocessing



#### normalization

Simple scaling

Standard deviation standardization

Nonlinear normalization

min-max standardization()

 $x = (x - u)/\sigma$ 

log, Exponential, Tangent, etc

This normalization method is applicable to the case where the values are concentrated. If Max and min are unstable, it is easy to make the normalization result unstable In classification and clustering algorithms, the second method (z-score standardization) performs better when distance is used to measure similarity, or when PCA is used to reduce dimension.

It is often used in scenarios where the data is highly differentiated, some of the values are large and some of them are small. The calculation is too large.



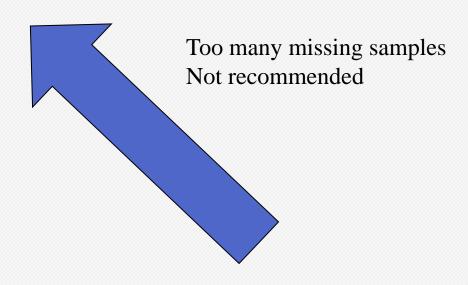


**Ofill** 

Mean replaceme

#### delete

异常值(NAN)替换								
	替换为0	替换为均值						
迭代次数	每代适配值	迭代次数	每代适配值					
1	0.434782609	1	0.733333333					
2	0.434782609	2	0.733333333					
3	0.434782609	3	0.733333333					
4	0.47826087	4	0.8					
5	0.47826087	5	0.8					
6	0.52173913	6	0.8					
7	0.52173913	7	0.8					
8	0.52173913	8	0.8					
9	0.52173913	9	0.8					
10	0.52173913	10	0.8					



Exception handling? (out)





#### **SMOTE**

The training set needs to be balanced
The test set is not required

#### Set aside method

Divide a training set and a test set

#### Leave one and leave P

Cross validation is required

#### folding method

Divide K data sets for cross validation



The two need cross validation after cross validation



#### https://github.com/Meena-Mani/SECOM\_class\_imbalance/blob/master/secomdata\_ocsvm.ipynb

1					
nfeatures	nu	gamma	train error	test error	outlier error
40	0.03	0.07	147 (14. 36%)	112 (25. 51%)	44 (42. 31%)
	0.04	0.07	124 (12. 11%)	112 (25. 51%)	44 (42. 31%)
	0.05	0.07	135 (13. 18%)	112 (25. 51%)	44 (42. 31%)
	0.03	0.08	157 (15. 33%)	127 (28. 93%)	40 (38. 46%)
	0.04	0.08	203 (19. 82%)	127 (28. 93%)	40 (38. 46%)
	0.05	0.08	193 (18. 85%)	127 (28. 93%)	40 (38. 46%)
	0.03	0.09	169 (16. 50%)	148 (33. 71%)	32 (30. 77%)
	0.04	0.09	208 (20. 31%)	148 (33. 71%)	32 (30. 77%)
	0.05	0.09	217 (21. 19%)	148 (33. 71%)	32 (30. 77%)
	0.03	0.10	203 (19. 82%)	175 (39. 86%)	29 (27. 88%)
	0.04	0.10	186 (18. 16%)	175 (39. 86%)	29 (27. 88%)
	0.05	0.10	236 (23. 05%)	175 (39. 86%)	29 (27. 88%)
	0.03	0.15	374 (36. 52%)	283 (64. 46%)	11 (10. 58%)
	0.04	0.15	373 (36. 43%)	283 (64. 46%)	11 (10. 58%)
	0.05	0.15	262 (25. 59%)	283 (64. 46%)	11 (10. 58%)
	0.03	0.20	396 (38. 67%)	376 (85. 65%)	1 (0. 96%)
	0.04	0.20	484 (47. 27%)	375 (85. 42%)	1 (0. 96%)
	0.05	0.20	508 (49. 61%)	375 (85. 42%)	1 (0. 96%)

Shall not be used



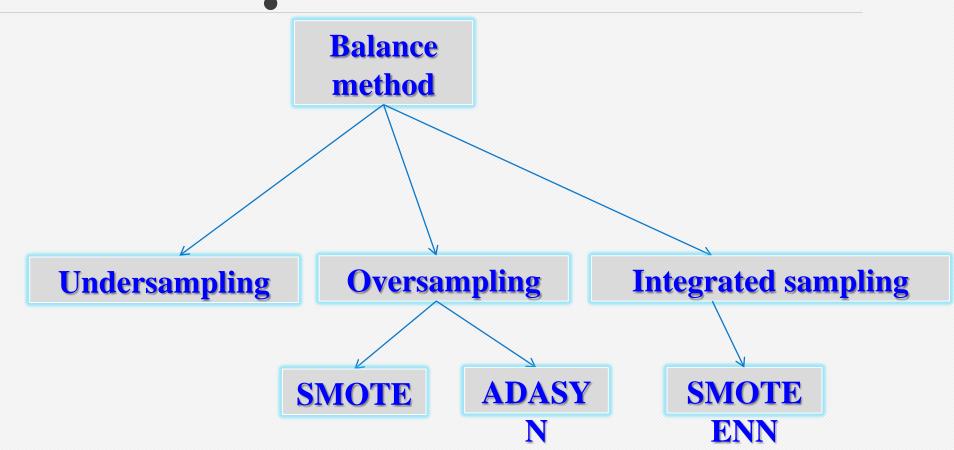


**Part Three** 

## Equilibration



#### **Balance** method





**SMOTE** 

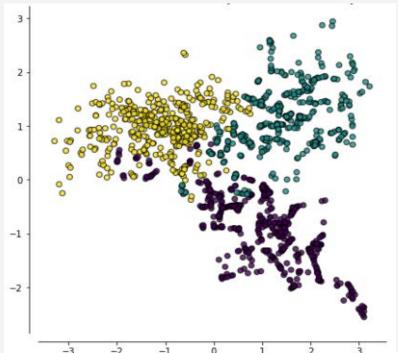
The new minority samples are synthesized in a specific way so that the two categories in the training set are roughly equal in number.

Generate different numbers of new samples for different niche samples based on data distribution.

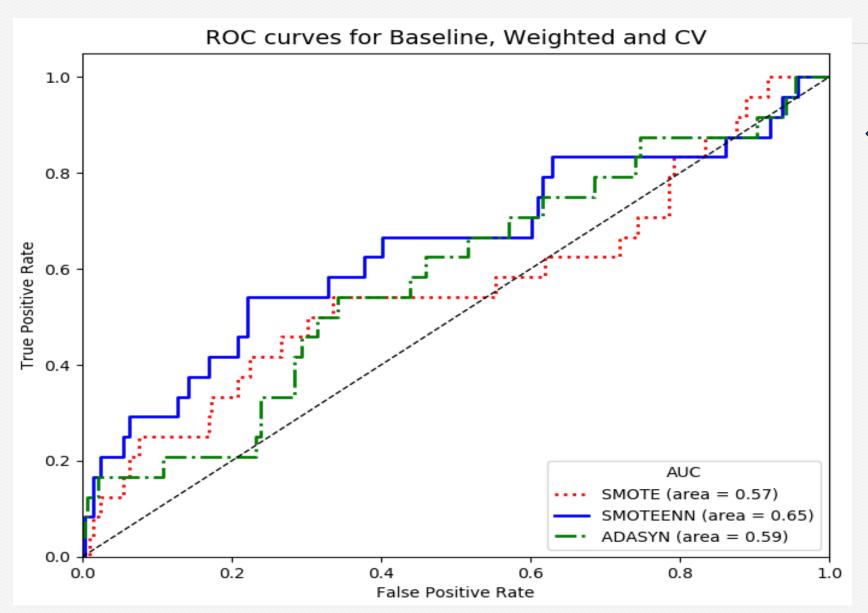
**ADASYN** 

#### **SMOTEENN**

A new minority sample is synthesized by SMOTE, and then the noise generated during the SMOTE process is cleaned by ENN.

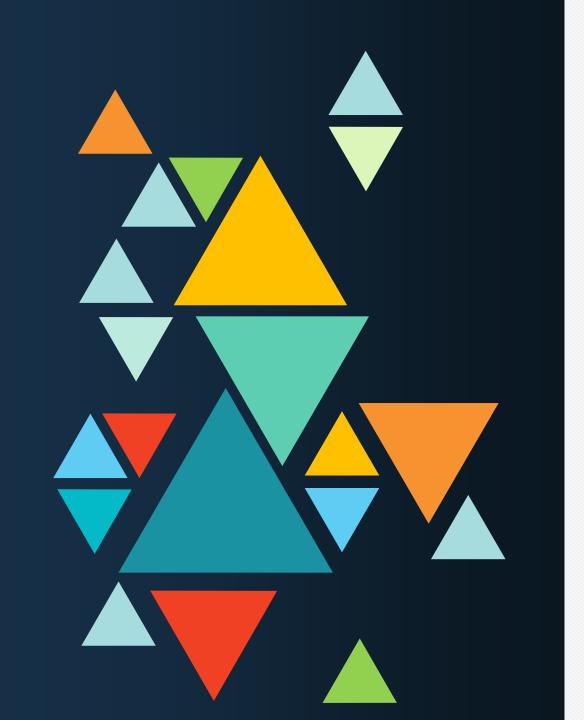


#### Comparison of three methods of class imbalance





Overall SMOTEEN is better





**Part Four** 

# Feature selection and dimension reduction



#### **Feature selection**

Purpose: To speed up training, lower model complexity and better interpretability, higher accuracy (selected features), and reduced overfitting.

#### **Recursive feature elimination**

A base model (SVC/LR) is used to perform multiple rounds of training. After each round of training, the characteristics of several weight coefficients are eliminated, and the next round of training is performed based on the new feature set.

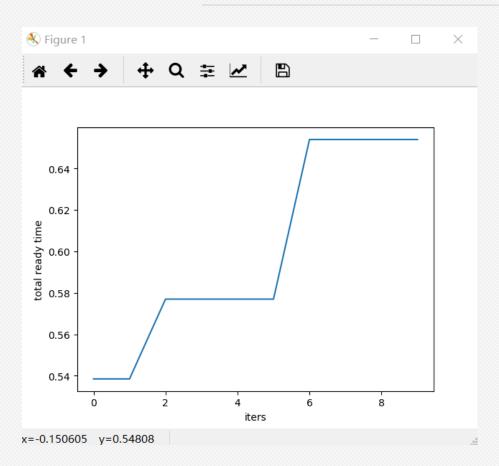
#### **Mutual information**

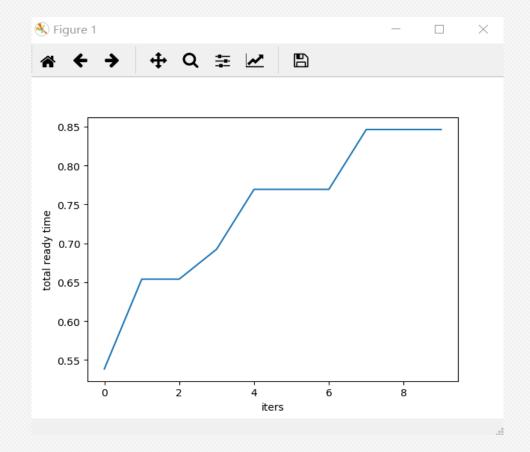
Mutual information measures the degree of interdependence between two variables, indicating that the content of information shared between two variables is not limited to a linear relationship.

$$I(X;Y) = \sum_{x \in X} \sum_{y \in Y} p(x,y) \log \frac{p(x,y)}{p(x)p(y)}$$



## Recursive feature elimination method vs mutual information





mutual information



recursive feature elimination



#### **Dimensionality reduction**

```
最适配值: 1.0
测试集混淆矩阵:
[[168 276]
[ 0 26]]
验证集混淆矩阵:
[[112 189]
[ 3 8]]
```

Using 200 feature PCA to reduce dimensionality

Not used!

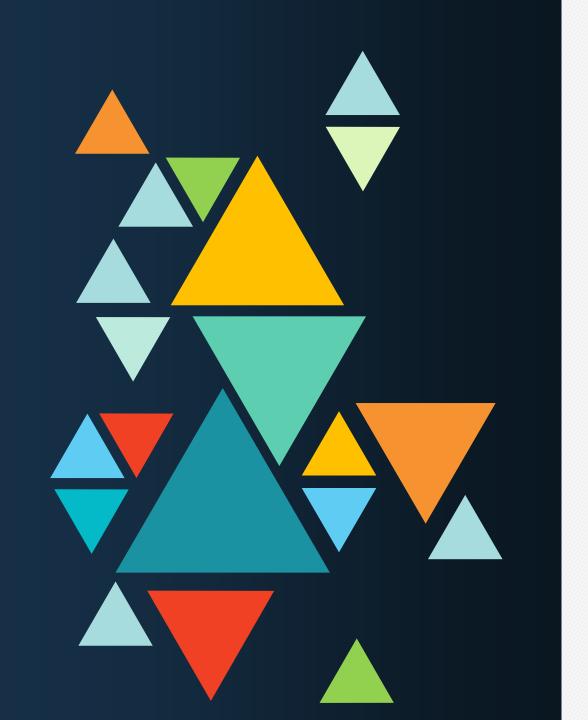
Mapping from high dimensional feature space to low latitude feature space

#### Advantages of PCA:

- 1. Minimum error.
- 2. extracted the main information

#### Disadvantages of PCA:

- 1. The principal component with small contribution rate may often contain important information about sample differences.
- 2. over-fitting is serious





**Part Five** 

Intelligent algorithm and classifier



#### Intelligent algorithm selection (GA)



## **Define** hyperparameters

Number of iterations, population size, crossover probability, mutation probability, gene length



### Initial population

The coding method adopts 01 coding, and the genetic sequence (feature selection) of each individual is randomly arranged.

## Survival of the fittest

Choosing the appropriate fitness function, using the tournament operator to select the parent, cross mutation to produce the child

#### **Fitness function**

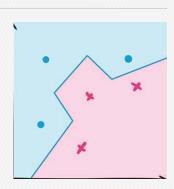
In this problem, the training set training model, the test set through the model to obtain the recall rate, the greater the recall rate represents the better classification effect, so the recall rate as a fitness function.

The recall rate (also called the recall rate) is the ratio of the number of related documents retrieved to the number of related documents in the document library. In this question, the ratio of the predicted positive samples to the total positive samples

### **Classifier**

**KNN** 

For the point to be judged, find the data points closest to it, and determine the type of the point to be judged according to their type.





Randomly select different features and training samples, generate a large number of decision trees, and then combine the results of these decision trees to perform the final classification.

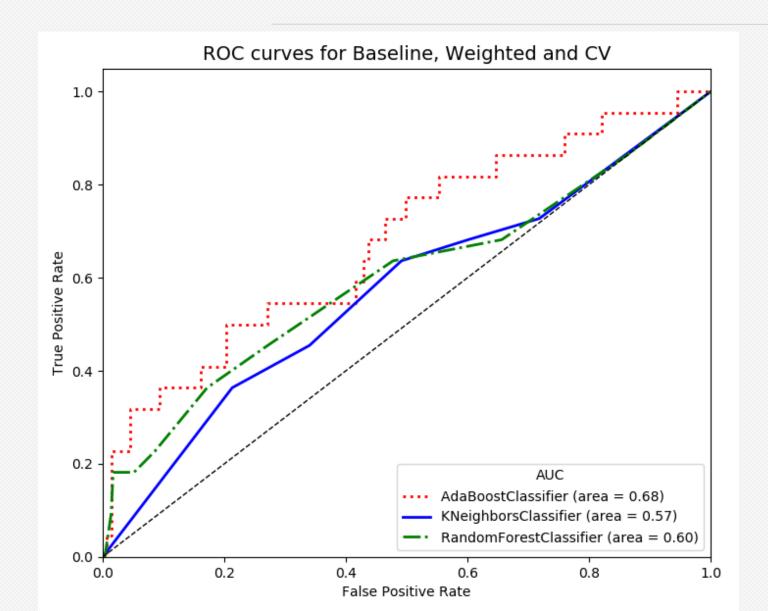
Rf

AdaBoost

Core idea: three stinkers, top one Zhu ge Liang

The weak classifiers are combined according to a certain calculation method to form a strong classifier. There is an association between the classifiers. The final classification is the result of multiple classifier combinations.

#### **Comparison of three classifiers**



With FPR as the horizontal axis and TPR as the vertical axis, the ROC space is obtained. The AUC value is the area covered by the ROC curve. The larger the AUC value, the better the classifier classification effect.





**Part Six** 

## Conclusion and summary





Read data

Standard deviation standardization

Mean fill data

Leave one method to divide the data set

#### Data balance

Training set SOMTENN

Feature selection and dimensionality reduction

Variance feature elimination

Recursive feature elimination method to 100





Integrated classifier classification ( adaboost )

GA algorithm selection subset

### **Conclusion**

```
最适配个体: [1, 1, 0, 0, 0, 0, 1, 1, 0, 1, 0, 0, 1, 0,
0, 1, 0, 1, 1, 0, 0, 0, 1, 0, 1, 0, 1, 1, 0, 0, 1,
 1, 1, 1, 1, 0, 0, 1, 1, 1, 1, 1, 0, 0, 0, 0, 1, 1,
 1, 1, 0, 0, 1, 1, 1, 1, 1, 0, 1, 0, 0, 1, 1, 1, 1,
0, 1, 0, 1, 0, 0, 0, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0,
0, 0, 1, 1, 0, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1]
最适配值: 0.7307692307692307
测试集混淆矩阵:
 [[308 136]
[ 7 19]]
验证集混淆矩阵:
 [[220 81]
 [ 7 4]]
```

Meet the requirements





HU Fuqin: Data preprocessing

ZHOU Bin: Balanced

YU Shilong: Pre-screening

ZHANG Liangshan: Genetic algorithm

division of work

- 1) Team level: the division of labor is not clear, resulting in duplication of labor;
- 2) Personal level: poor ability to write code;
- 3) Project level: the model hyperparameter tuning is not enough, the degree of visualization is poor, and the data analysis is not enough.

inadequate

## Thanks for listening

will request earnestly fellow teachers to give the criticism to point out mistakes!

Mentor: Lord Bao Pleader: ZYZH