## POS tagging (2)

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#### Unit 2 (so far)

- LM
  - Ngram model
  - Smoothing: interpolation, etc.

- POS tagging
  - N-gram model
  - HMM (I): definition

#### Outline for today

- Using HMM for n-gram taggers:
  - Bigram tagger
  - Trigram tagger
- Smoothing:
  - Unseen tag sequences
  - Unknown words

## Using HMM for ngram taggers

#### **HMM**

#### HMM:

- States: {s<sub>1</sub>, s<sub>2</sub>, ..., s<sub>N</sub>}
- Output symbols:  $\{w_1, w_2, ..., w_m\}$
- Initial prob:  $\pi_{\mathsf{i}}$
- Transition: a<sub>ii</sub>
- Emission: b<sub>jk</sub>
- How to use HMM to build a n-gram tagger?

#### N-gram POS tagger

$$argmax_{t_1^n}P(t_1^n|w_1^n)$$

$$\approx argmax_{t_1^n} \prod_{i} P(w_i|t_i) P(t_i|t_{i-N+1}^{i-1})$$

Bigram model:

$$\prod_{i} P(w_i|t_i)P(t_i|t_{i-1})$$

Trigram model:

$$\prod_{i} P(w_{i}|t_{i})P(t_{i}|t_{i-2},t_{i-1})$$

## The bigram tagger

- States: POS tags, BOS, EOS
- Output symbols: words, <s>, </s>
- Initial probability:  $\pi(BOS) = 1$ .
- Transition probability: a<sub>ij</sub> = P(s<sub>j</sub> | s<sub>i</sub>)
- Emission probability:  $b_{jk} = P(w_k | s_j)$

#### The bigram tagger (cont)

$$O_1^n = w_1^n$$
  
 $X_1^{n+1}: X_1 = BOS, X_2 = t_1, ..., X_{n+1} = t_n$ 

$$P(O_1^n, X_1^{n+1})$$

$$= \pi(X_1) \prod_{i=1}^n P(O_i|X_{i+1}) P(X_{i+1}|X_i)$$

$$= \pi(BOS) \prod_{i=1}^n P(w_i|t_i) P(t_i|t_{i-1})$$

$$= \prod_{i=1}^n P(w_i|t_i) P(t_i|t_{i-1})$$

## The trigram tagger

- States: a tag pair, a tag is a POS tag or BOS or EOS
- Output symbols: words, <s>, </s>
- Initial probability:  $\pi(BOS\_BOS) = 1$ .
- Transition probability:

$$a_{ij} = P(t_3|t_1, t_2)$$
, where  $s_i = (t_1, t_2)$ , and  $s_j = (t_2, t_3)$   
= 0, where  $s_i = (t_1, t_2)$ , and  $s_j = (t_2', t_3)$ , and  $t_2 != t_2'$ 

Emission probability:

$$b_{ik} = P(w_k \mid t)$$
, where  $s_i = (t',t)$  for any t'

## The trigram tagger (cont)

$$O_1^n = w_1^n$$

$$X_1^{n+1} : X_1 = (BOS, BOS), X_2 = (BOS, t_1), ..., X_{n+1} = (t_{n-1}, t_n)$$

$$P(O_1^n, X_1^{n+1})$$

$$= \pi(X_1) \prod_{i=1}^n P(O_i|X_{i+1}) P(X_{i+1}|X_i)$$

$$= \pi(BOS) \prod_{i=1}^n P(w_i|t_i) P(t_i|t_{i-2}, t_{i-1})$$

$$= \prod_{i=1}^n P(w_i|t_i) P(t_i|t_{i-2}, t_{i-1})$$
<sub>10</sub>

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## **Smoothing**

#### Why smoothing?

- To handle unseen tag sequences
  - to smooth the transition prob

- To handle unknown words
  - to smooth the emission prob

 To handle unseen (word, tag) pairs, where both word and tag are known (?)

#### Handling unseen tag sequences

• Ex: To smooth  $P(t_3|t_1, t_2)$  for a trigram tagger.

How about interpolation?

$$P(t_3 | t_1, t_2) = \lambda_1 P1(t_3) + \lambda_2 P2(t_3 | t_2) + \lambda_3 P3(t_3 | t_1, t_2)$$

#### How about unknown words?

- Introduce a new output symbol: <unk>
- Estimate P(<unk> | t) for each tag t:
  - Ex: split training data into two sets: create the voc from set1 and estimate P(<unk>|t) from set2.
- Add P(<unk> | t) to the emission prob and renormalize so that  $\sum_{w} P(w|t) = 1$ 
  - Ex: Keep P(<unk>|t) the same, and make

$$\sum_{w \neq < unk >} P(w|t) = 1 - P(< unk > |t)$$

#### **Evaluation**

- Tagging accuracy:
  - Overall: accuracy on all the words
  - Accuracy on unknown words

#### **Error Analysis**

	IN	JJ	NN	NNP	RB	VBD	VBN
IN	_	.2			.7		
JJ	.2	_	3.3	2.1	1.7	.2	2.7
NN		<b>8.7</b>	_				.2
NNP	.2	3.3	4.1	_	.2		
RB	2.2	2.0	.5		_		
<b>VBD</b>		.3	.5			_	4.4
VBN		2.8				2.6	_

- Confusion matrix (contingency table)
- Identify primary contributors to error rate
  - Noun (NN) vs Proper Noun (NNP) vs Adj (JJ)
  - Preterite (VBD) vs Participle (VBN) vs Adjective (JJ)

#### Summary so far

- We can use HMM to build ngram taggers.
- The best state sequence corresponds to the best tag sequence.
  - → We can use the Viterbi algorithm to find the best tag sequence.
- Accuracy on PTB:
  - Unigram tagger: 91%
  - Trigram tagger: 93%

#### Remaining issues

- Viterbi algorithm
  - next session

- Other algorithms
  - → ling572

- How to exploit unlabeled data?
  - semi- and unsupervised learning

# Cues for predicting POS tags for unknown words

- Affixes: unforgettable: un-, -able → JJ
- Capitalization: Hyderabad → NNP
- Word shapes: 123,456 → CD
- The previous word: San \_ → NNP

How can we take advantage of these cues?

→ Treat them as features

#### Unsupervised POS tagging

- Unlabeled data
  - → learn word clusters

- What else could be available?
  - A lexicon: all allowed tags for each word
    - use unambiguous words as anchors
  - A few examples (prototypes): e.g., "book" is a noun, "the" is a determiner

#### Additional slides

#### Use HMM for trigram tagger

POS tag sequence vs. state sequence in HMM:

- Orig sent: the table is expensive
- With markers: <s> the table is expensive </s>
- Tag sequence: BOS DT N V ADJ EOS
- State sequence: Bos\_Bos Bos\_DT DT\_N N\_V V\_ADJ ADJ\_EOS

#### Possible vs. impossible transition

Possible transition: Even if a tag bigram (e.g., DT V)
does not appear in the training data, you should allow it.
The tag bigram "DT V" will be handled by the transition
x\_DT => DT\_V (where x is another tag)

 Impossible transition is the one where the 2<sup>nd</sup> tag of the from-state is different from the 1<sup>st</sup> tag of the to-state, such as

$$x_DT => y_V$$
 where y is not DT

Do not include impossible transitions in your HMM file.

## **Emission probability**

- Recall that the output symbol is emitted by a state, not by a tag. But the trigram tagger uses P(w | tag).
- To resolve this "mismatch", make the emission probability to rely on only the 2<sup>nd</sup> tag of a state.

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Ex: P(table | N) = 0.01 becomes 
"x_N table 0.01" in HMM, where x is any POS tag.
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