

Chapter 10 Lists

Opening Problem

Read one hundred numbers, compute their average, and find out how many numbers are above the average.

Solution

DataAnalysis

Run

Objectives

To describe why lists are useful in programming (§10.1).

To create lists (§10.2.1).

To invoke list's append, insert, extend, remove, pop, index, count, sort, reverse methods (§10.2.2).

To use the len, min/max, sum, and random.shuffle functions for a list (§10.2.3).

To access list elements using indexed variables (§10.2.4).

To obtain a sublist using the slicing operator [start:end] (§10.2.5).

To use +, *, and in/not in operators on lists (§10.2.6).

To traverse elements in a list using a for-each loop (§10.2.7).

To create lists using list comprehension (§10.2.8).

To compare lists using comparison operators (§10.2.9).

To split a string to a list using the str's split method (§10.2.10).

To use lists in the application development (§§10.3–10.5).

To copy contents from one list to another (§10.6).

To develop and invoke functions with list arguments and return value (§10.7–10.9).

To search elements using the linear (§10.10.1) or binary (§10.10.2) search algorithm.

To sort a list using the selection sort (§10.11.1)

To sort a list using the insertion sort (§10.11.2).

To develop the bouncing ball animation using a list (§10.12).

Creating Lists

Creating list using the list class

```
list1 = list() # Create an empty list
list2 = list([2, 3, 4]) # Create a list with elements 2, 3, 4
list3 = list(["red", "green", "blue"]) # Create a list with strings
list4 = list(range(3, 6)) # Create a list with elements 3, 4, 5
list5 = list("abcd") # Create a list with characters a, b, c
```

For convenience, you may create a list using the following syntax:

```
list1 = [] # Same as list()
list2 = [2, 3, 4] # Same as list([2, 3, 4])
list3 = ["red", "green"] # Same as list(["red", "green"])
```

list Methods

list	
<code>append(x: object): None</code>	Add an item x to the end of the list.
<code>insert(index: int, x: object): None</code>	Insert an item x at a given index. Note that the first element in the list has index 0.
<code>remove(x: object): None</code>	Remove the first occurrence of the item x from the list.
<code>index(x: object): int</code>	Return the index of the item x in the list.
<code>count(x: object): int</code>	Return the number of times item x appears in the list.
<code>sort(): None</code>	Sort the items in the list.
<code>reverse(): None</code>	Reverse the items in the list.
<code>extend(l: list): None</code>	Append all the items in L to the list.
<code>pop([i]): object</code>	Remove the item at the given position and return it. The square bracket denotes that parameter is optional. If no index is specified, <code>list.pop()</code> removes and returns the last item in the list.

Functions for lists

```
>>> list1 = [2, 3, 4, 1, 32]
```

```
>>> len(list1)
```

```
5
```

```
>>> max(list1)
```

```
32
```

```
>>> min(list1)
```

```
1
```

```
>>> sum(list1)
```

```
42
```

```
>>> import random
```

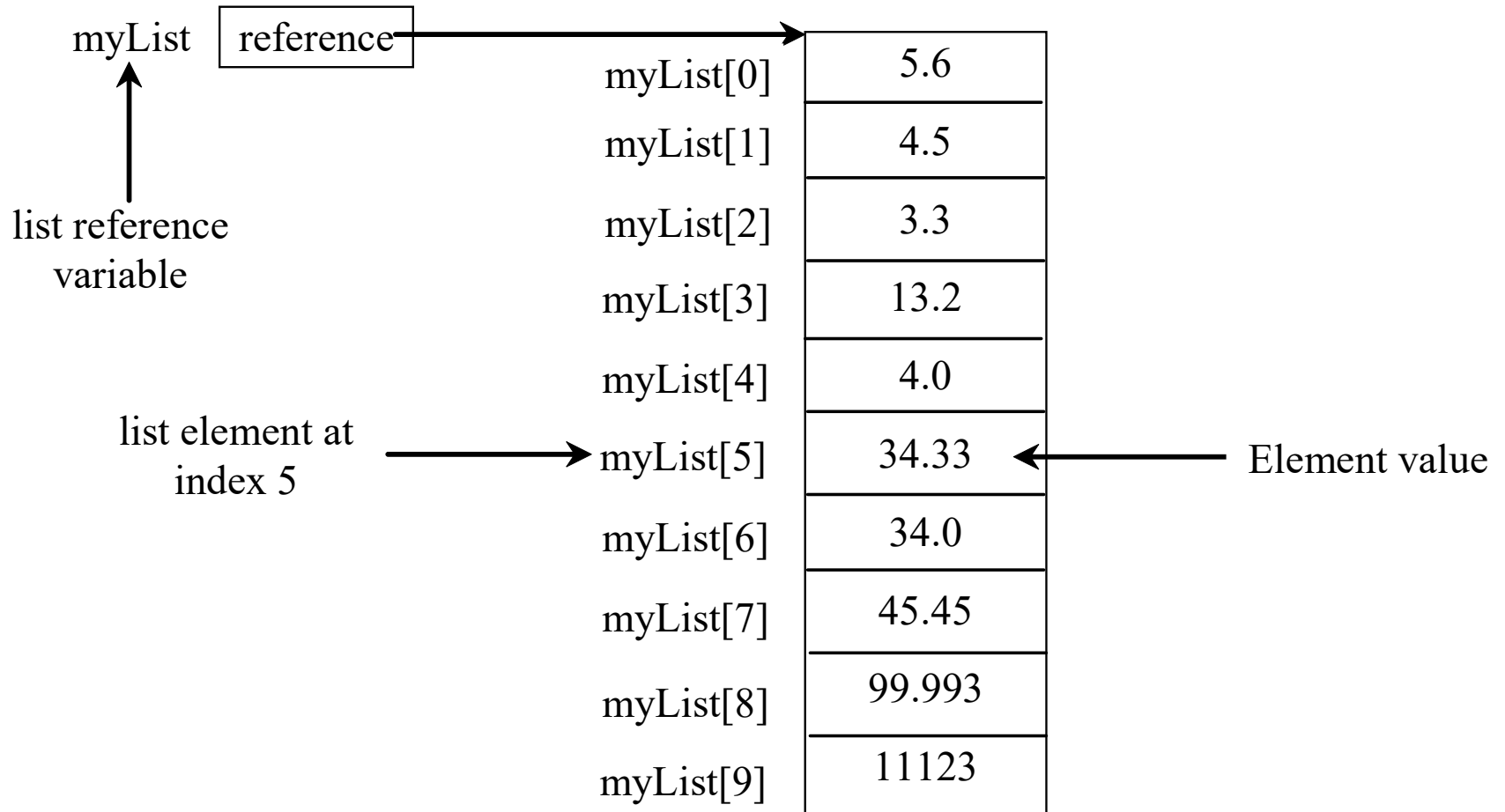
```
>>> random.shuffle(list1) # Shuffle the items in the list
```

```
>>> list1
```

```
[4, 1, 2, 32, 3]
```

Indexer Operator []

```
myList = [5.6, 4.5, 3.3, 13.2, 4.0, 34.33, 34.0, 45.45, 99.993, 11123]
```



The +, *, [:], and in Operators

```
>>> list1 = [2, 3]
```

```
>>> list2 = [1, 9]
```

```
>>> list3 = list1 + list2
```

```
>>> list3
```

```
[2, 3, 1, 9]
```

```
>>> list3 = 2 * list1
```

```
>>> list3
```

```
[2, 3, 2, 3]
```

```
>>> list4 = list3[2 : 4]
```

```
>>> list4
```

```
[2, 3]
```

The +, *, [:], and in Operators

```
>>> list1 = [2, 3, 5, 2, 33, 21]
```

```
>>> list1[-1]
```

```
21
```

```
>>> list1[-3]
```

```
2
```

```
>>> list1 = [2, 3, 5, 2, 33, 21]
```

```
>>> 2 in list1
```

```
True
```

```
>>> list1 = [2, 3, 5, 2, 33, 21]
```

```
>>> 2.5 in list1
```

```
False
```

off-by-one Error

```
i = 0
while i <= len(lst):
    print(lst[i])
    i += 1
```

List Comprehension

List comprehensions provide a concise way to create items from sequence. A list comprehension consists of brackets containing an expression followed by a for clause, then zero or more for or if clauses. The result will be a list resulting from evaluating the expression. Here are some examples:

```
>>> list1 = [x for x in range(0, 5)] # Returns a list of 0, 1, 2, 4
```

```
>>> list1
```

```
[0, 1, 2, 3, 4]
```

```
>>> list2 = [0.5 * x for x in list1]
```

```
>>> list2
```

```
[0.0, 0.5, 1.0, 1.5, 2.0]
```

```
>>> list3 = [x for x in list2 if x < 1.5]
```

```
>>> list3
```

```
[0.0, 0.5, 1.0]
```

Comparing Lists

```
>>>list1 = ["green", "red", "blue"]
```

```
>>>list2 = ["red", "blue", "green"]
```

```
>>>list2 == list1
```

False

```
>>>list2 != list1
```

True

```
>>>list2 >= list1
```

False

```
>>>list2 > list1
```

False

```
>>>list2 < list1
```

True

```
>>>list2 <= list1
```

True

Splitting a String to a List

```
items = "Welcome to the US".split()
```

```
print(items)
```

```
['Welcome', 'to', 'the', 'US']
```

```
items = "34#13#78#45".split("#")
```

```
print(items)
```

```
['34', '13', '78', '45']
```

Problem: Lotto Numbers

Suppose you play the Pick-10 lotto. Each ticket has 10 unique numbers ranging from 1 to 99. You buy a lot of tickets. You like to have your tickets to cover all numbers from 1 to 99. Write a program that reads the ticket numbers from a file and checks whether all numbers are covered. Assume the last number in the file is 0.

[Lotto Numbers Sample Data](#)

[LottoNumbers](#)

Run

Problem: Lotto Numbers

isCovered

[0]	False
[1]	False
[2]	False
[3]	False
	.
	.
	.
[97]	False
[98]	False

(a)

isCovered

[0]	True
[1]	False
[2]	False
[3]	False
	.
	.
	.
[97]	False
[98]	False

(b)

isCovered

[0]	True
[1]	True
[2]	False
[3]	False
	.
	.
	.
[97]	False
[98]	False

(c)

isCovered

[0]	True
[1]	True
[2]	True
[3]	False
	.
	.
	.
[97]	False
[98]	False

(d)

isCovered

[0]	True
[1]	True
[2]	True
[3]	False
	.
	.
	.
[97]	False
[98]	True

(e)

Problem: Deck of Cards

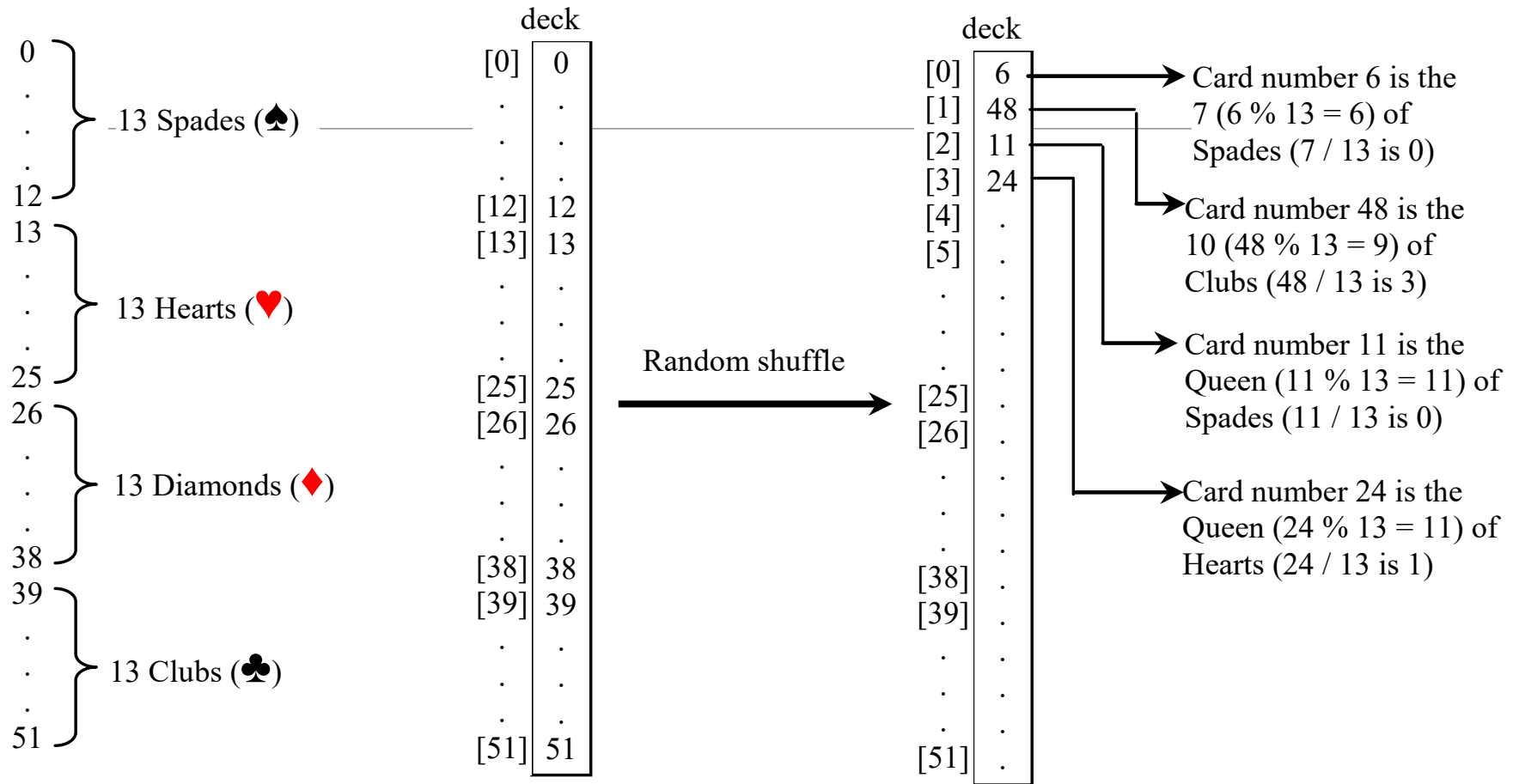
The problem is to write a program that picks four cards randomly from a deck of 52 cards. All the cards can be represented using a list named `deck`, filled with initial values 0 to 51, as follows:

```
deck = [x for x in range(0, 52)]
```

[DeckOfCards](#)

Run

Problem: Deck of Cards, cont.



Problem: Deck of Cards, cont.



[DeckOfCards](#)

Run

GUI: Deck of Cards



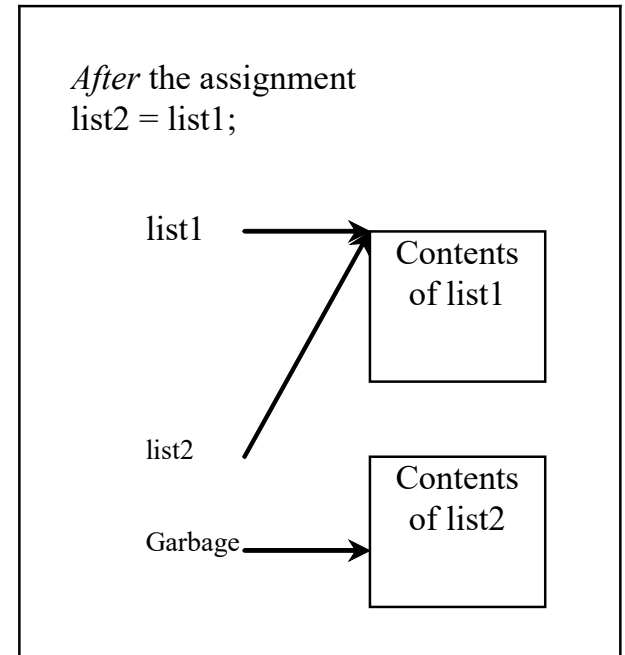
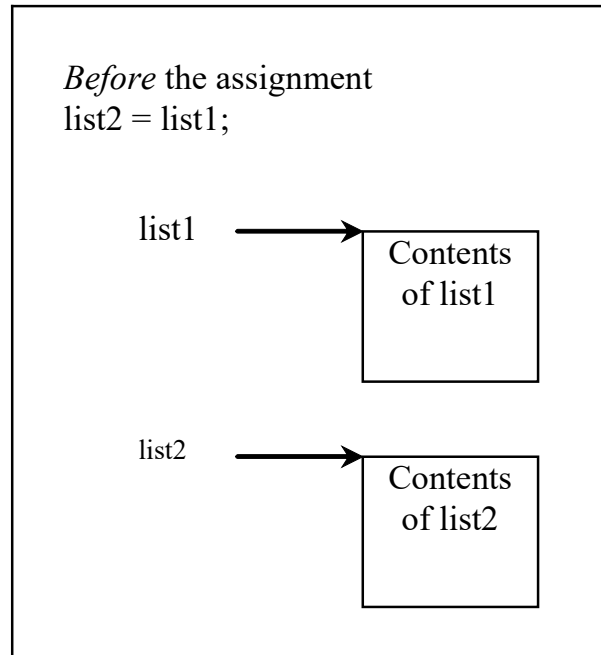
[DeckOfCardsGUI](#)

DeckOfCards

Copying Lists

Often, in a program, you need to duplicate a list or a part of a list. In such cases you could attempt to use the assignment statement (=), as follows:

```
list2 = list1;
```



Passing Lists to Functions

```
def printList(lst):
```

```
    for element in lst:
```

```
        print(element)
```

Invoke the function

```
lst = [3, 1, 2, 6, 4, 2]
```

```
printList(lst)
```

Invoke the function

```
printList([3, 1, 2, 6, 4, 2])
```

Anonymous list

Pass By Value

Python uses *pass-by-value* to pass arguments to a function. There are important differences between passing the values of variables of numbers and strings and passing lists.

Immutable objects

Changeable objects

Pass By Value (Immutable objects)

For an argument of a number or a string, the original value of the number and string outside the function is not changed, because numbers and strings are immutable in Python.

Pass By Value (changeable objects)

For an argument of a list, the value of the argument is a reference to a list; this reference value is passed to the function. Semantically, it can be best described as *pass-by-sharing*, i.e., the list in the function is the same as the list being passed. So if you change the list in the function, you will see the change outside the function.

Simple Example

```
def main():  
    x = 1 # x represents an int value  
    y = [1, 2, 3] # y represents a list  
    m(x, y) # Invoke f with arguments x and y  
    print("x is " + str(x))  
    print("y[0] is " + str(y[0]))  
  
def m(number, numbers):  
    number = 1001 # Assign a new value to number  
    numbers[0] = 5555 # Assign a new value to numbers[0]  
  
main()
```

Subtle Issues Regarding Default Arguments

```
def add(x, lst = []):
```

```
    if not(x in lst):
```

```
        lst.append(x)
```

```
    return lst
```

```
list1 = add(1)
```

```
print(list1)
```

```
list2 = add(2)
```

```
print(list2)
```

```
list3 = add(3, [11, 12, 13, 14])
```

```
print(list3)
```

```
list4 = add(4)
```

```
print(list4)
```

default value is
created only once.

Output

[1]

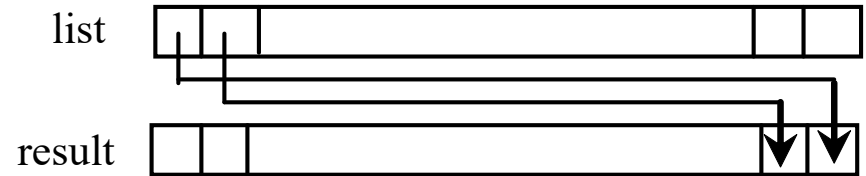
[1, 2]

[11, 12, 13, 14]

[1, 2, 4]

Returning a List from a Function

```
def reverse(list):  
    result = []  
  
    for element in list:  
        result.insert(0, element)  
  
    return result
```



```
list1 = [1, 2, 3, 4, 5, 6]
```

```
list2 = reverse(list1)
```

Note that list already has the reverse method
`list.reverse()`

Problem: Counting Occurrence of Each Letter

Generate 100 lowercase letters randomly and assign to a list of characters.

Count the occurrence of each letter in the list.

chars[0]		counts[0]	
chars[1]		counts[1]	
...
...
chars[98]		counts[24]	
chars[99]		counts[25]	

[CountLettersInList](#)

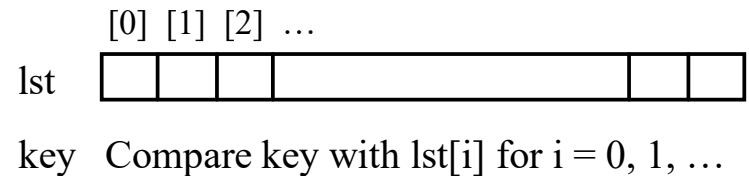
Run

Searching Lists

Searching is the process of looking for a specific element in a list; for example, discovering whether a certain score is included in a list of scores. Searching is a common task in computer programming. There are many algorithms and data structures devoted to searching. In this section, two commonly used approaches are discussed, *linear search* and *binary search*.

```
# The function for finding a key in the list
def linearSearch(lst, key):
    for i in range(0, len(lst)):
        if key == lst[i]:
            return i

    return -1
```



Linear Search

The linear search approach compares the key element, *key*, *sequentially* with each element in list. The method continues to do so until the key matches an element in the list or the list is exhausted without a match being found. If a match is made, the linear search returns the index of the element in the list that matches the key. If no match is found, the search returns -1.

Linear Search Animation

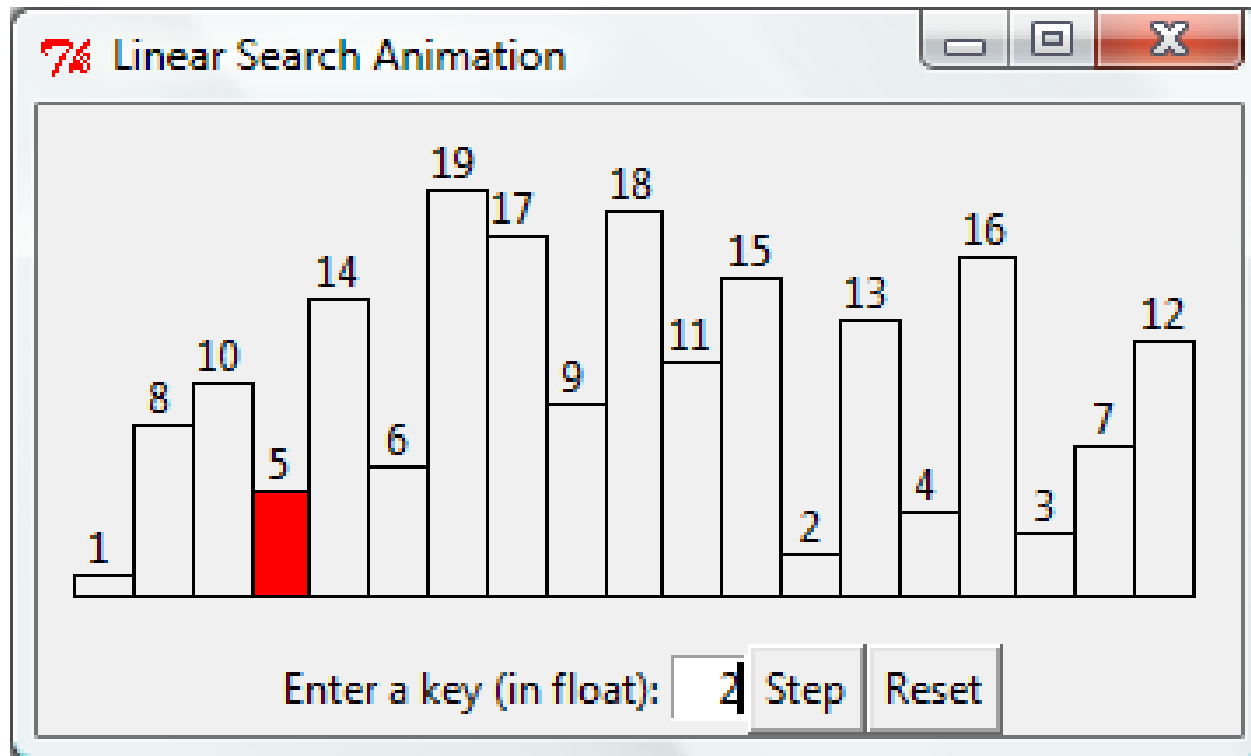
Key

List

3	6	4	1	9	7	3	2	8
3	6	4	1	9	7	3	2	8
3	6	4	1	9	7	3	2	8
3	6	4	1	9	7	3	2	8
3	6	4	1	9	7	3	2	8
3	6	4	1	9	7	3	2	8
3	6	4	1	9	7	3	2	8

Linear Search Animation

<http://www.cs.armstrong.edu/liang/animation/LinearSearchAnimation.html>



Run

Binary Search

For binary search to work, the elements in the list must already be ordered.
Without loss of generality, assume that the list is in ascending order.

e.g., 2 4 7 10 11 45 50 59 60 66 69 70 79

The binary search first compares the key with the element in the middle of the list.

Binary Search, cont.

Consider the following three cases:

If the key is less than the middle element, you only need to search the key in the first half of the list.

If the key is equal to the middle element, the search ends with a match.

If the key is greater than the middle element, you only need to search the key in the second half of the list.

Binary Search

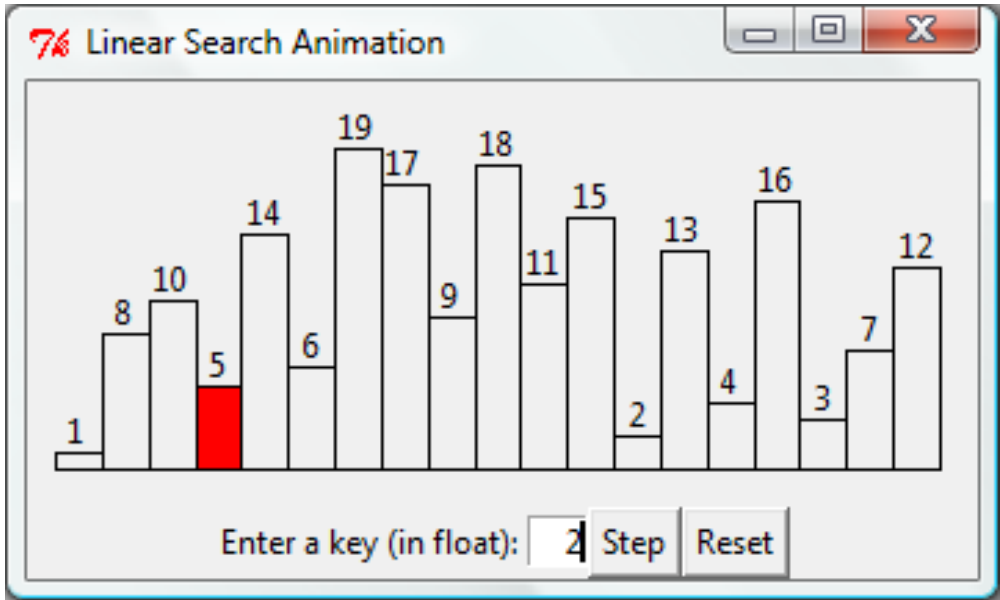
Key

List

8	1	2	3	4	6	7	8	9
8	1	2	3	4	6	7	8	9
8	1	2	3	4	6	7	8	9

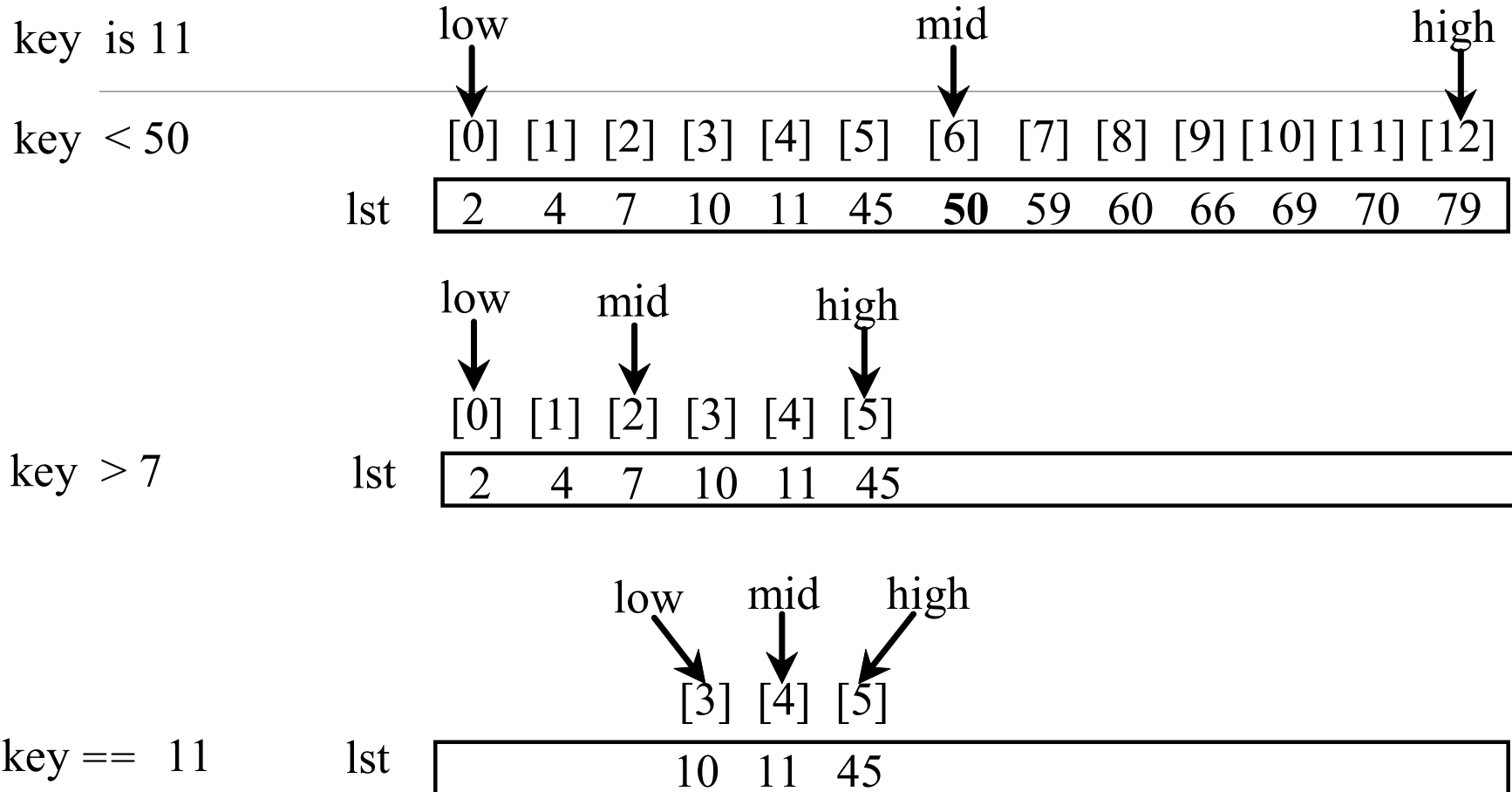
Binary Search Animation

<http://www.cs.armstrong.edu/liang/animation/BinarySearchAnimation.html>



Run

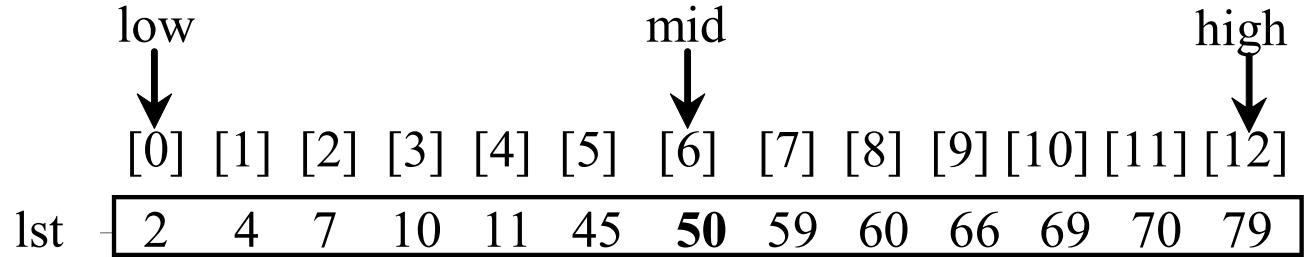
Binary Search, cont.



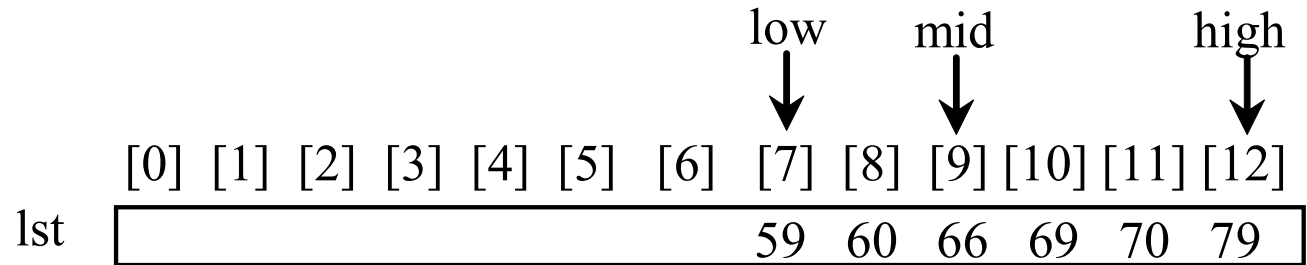
Binary Search, cont.

key is 54

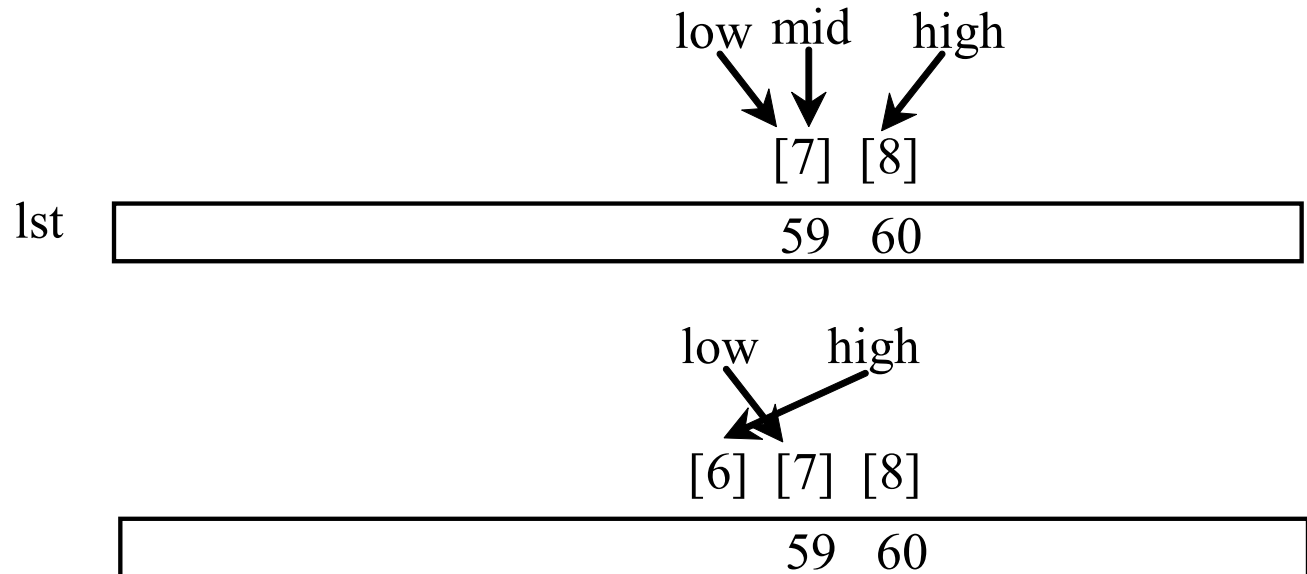
key > 50



key < 66



key < 59



Binary Search, cont.

The `binarySearch` method returns the index of the element in the list that matches the search key if it is contained in the list. Otherwise, it returns

-insertion point - 1.

The insertion point is the point at which the key would be inserted into the list.

From Idea to Solution

```
# Use binary search to find the key in the list
def binarySearch(lst, key):
    low = 0
    high = len(lst) - 1

    while high >= low:
        mid = (low + high) // 2
        if key < lst[mid]:
            high = mid - 1
        elif key == lst[mid]:
            return mid
        else:
            low = mid + 1

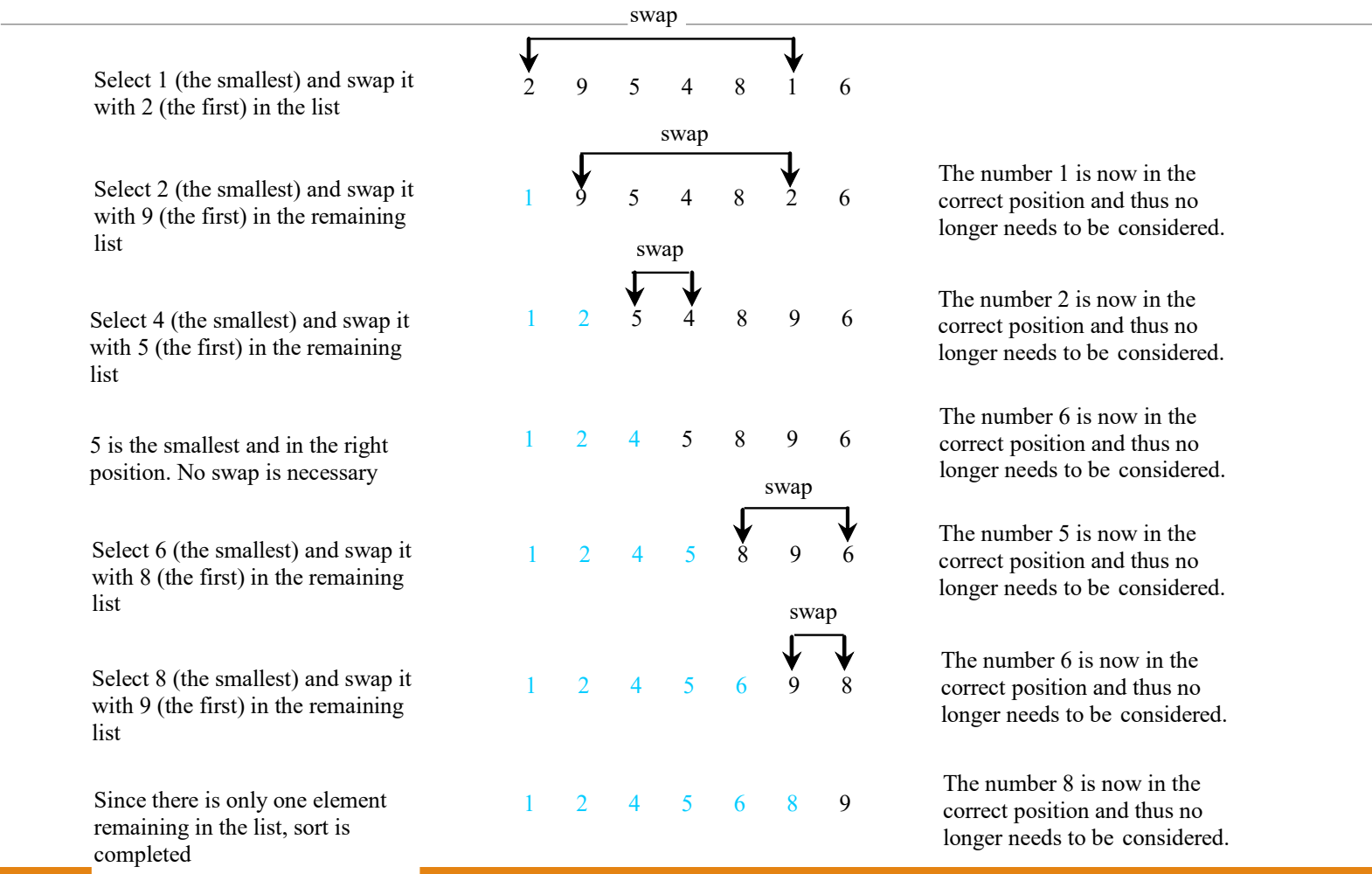
    return -low - 1 # Now high < low, key not found
```

Sorting Lists

Sorting, like searching, is also a common task in computer programming. Many different algorithms have been developed for sorting. This section introduces two simple, intuitive sorting algorithms: *selection sort* and *insertion sort*.

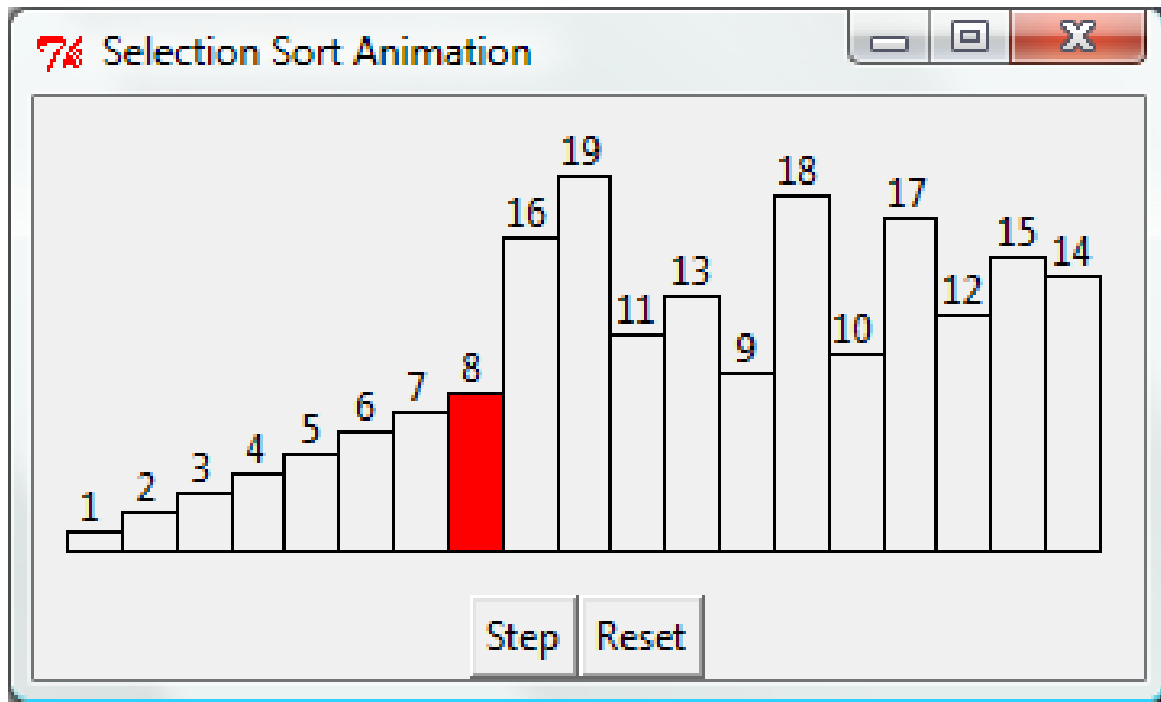
Selection Sort

Selection sort finds the largest number in the list and places it last. It then finds the largest number remaining and places it next to last, and so on until the list contains only a single number. Figure 6.17 shows how to sort the list {2, 9, 5, 4, 8, 1, 6} using selection sort.



Selection Sort Animation

<http://www.cs.armstrong.edu/liang/animation/SelectionSortAnimation.html>



Run

From Idea to Solution

for i in range(0, len(lst)):

 select the smallest element in lst[i.. len(lst)-1]

 swap the smallest with lst[i], if necessary

 # lst[i] is in its correct position.

 # The next iteration apply on lst[i+1..len(lst)-1]

lst[0] lst[1] lst[2] lst[3] ... lst[10]

lst[0] lst[1] lst[2] lst[3] ... lst[10]

lst[0] lst[1] lst[2] lst[3] ... lst[10]

lst[0] lst[1] lst[2] lst[3] ... lst[10]

lst[0] lst[1] lst[2] lst[3] ... lst[10]

...

lst[0] lst[1] lst[2] lst[3] ... lst[10]

for i in range(0, len(lst)):

select the smallest element in lst[i.. len(lst)-1]

swap the smallest with lst[i], if necessary

lst[i] is in its correct position.

The next iteration apply on lst[i+1..len(lst)-1]

Expand

currentMin = lst[i]

currentMinIndex = i

for j in range(i + 1, len(lst)):

if currentMin > lst[j]:

currentMin = lst[j]

currentMinIndex = j

for i in range(0, len(lst)):

 select the smallest element in lst[i.. len(lst)-1]

 swap the smallest with lst[i], if necessary

 # lst[i] is in its correct position.

 # The next iteration apply on lst[i+1..len(lst)-1]

Expand

Find the minimum in the lst[i..len(lst)-1]

 currentMin = lst[i]

 currentMinIndex = i

 for j in range(i + 1, len(lst)):

 if currentMin > lst[j]:

 currentMin = lst[j]

 currentMinIndex = j

 # Swap lst[i] with lst[currentMinIndex] if necessary

 if currentMinIndex != i:

 lst[currentMinIndex] = lst[i]

 lst[i] = currentMin

Wrap it in a Function

```
# The function for sorting the numbers
def selectionSort(lst):
    for i in range(0, len(lst) - 1):
        # Find the minimum in the lst[i..len(lst)-1]
        currentMin = lst[i]
        currentMinIndex = i
        for j in range(i + 1, len(lst)):
            if currentMin > lst[j]:
                currentMin = lst[j]
                currentMinIndex = j
        # Swap lst[i] with lst[currentMinIndex] if necessary
        if currentMinIndex != i:
            lst[currentMinIndex] = lst[i]
            lst[i] = currentMin
```


Insertion Sort

myList = [2, 9, 5, 4, 8, 1, 6] # Unsorted

The insertion sort algorithm sorts a list of values by repeatedly inserting an unsorted element into a sorted sublist until the whole list is sorted.

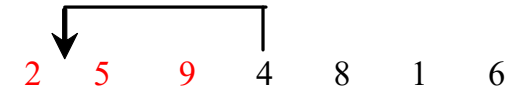
Step 1: Initially, the sorted sublist contains the first element in the list. Insert 9 into the sublist.



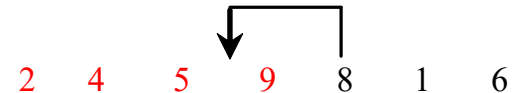
Step 2: The sorted sublist is [2, 9]. Insert 5 into the sublist.



Step 3: The sorted sublist is [2, 5, 9]. Insert 4 into the sublist.



Step 4: The sorted sublist is [2, 4, 5, 9]. Insert 8 into the sublist.



Step 5: The sorted sublist is [2, 4, 5, 8, 9]. Insert 1 into the sublist.



Step 6: The sorted sublist is [1, 2, 4, 5, 8, 9]. Insert 6 into the sublist.

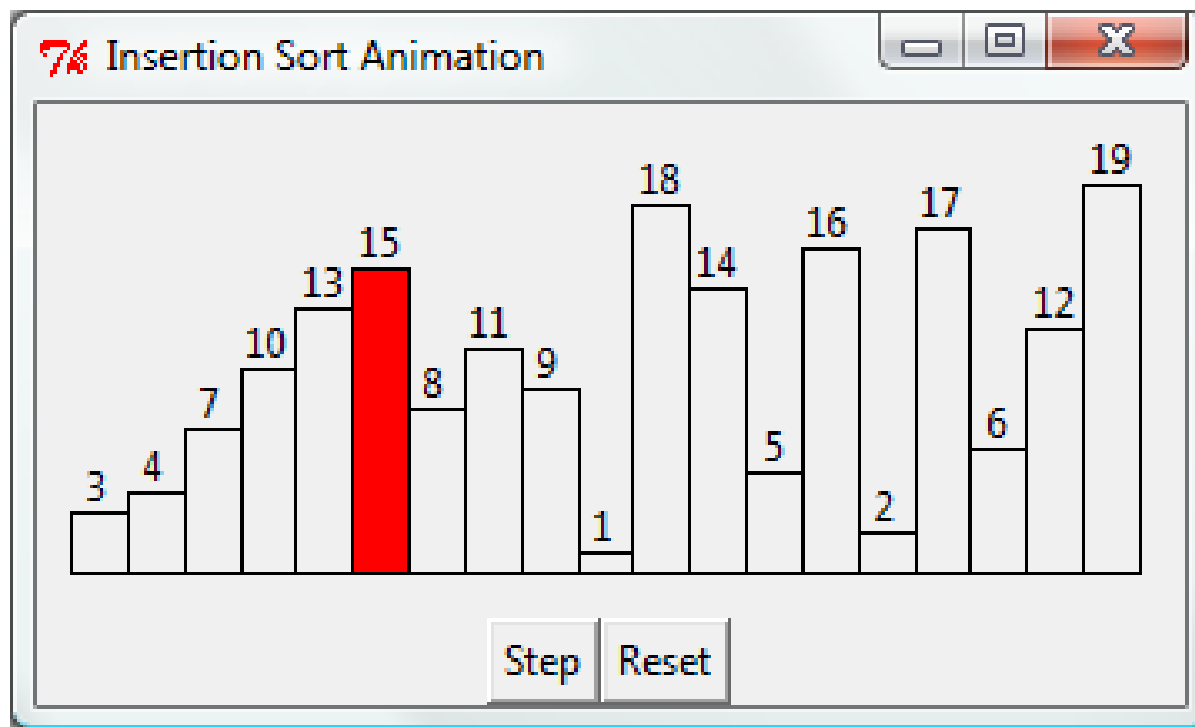


Step 7: The entire list is now sorted



Insertion Sort Animation

<http://www.cs.armstrong.edu/liang/animation/InsertionSortAnimation.html>



Run

Insertion Sort

myList = [2, 9, 5, 4, 8, 1, 6] # Unsorted

2	9	5	4	8	1	6
---	---	---	---	---	---	---

2	5	9	4	8	1	6
---	---	---	---	---	---	---

2	4	5	8	9	1	6
---	---	---	---	---	---	---

1	2	4	5	6	8	9
---	---	---	---	---	---	---

2	9	5	4	8	1	6
---	---	---	---	---	---	---

2	4	5	9	8	1	6
---	---	---	---	---	---	---

1	2	4	5	8	9	6
---	---	---	---	---	---	---

How to Insert?

The insertion sort algorithm sorts a list of values by repeatedly inserting an unsorted element into a sorted sublist until the whole list is sorted.

list [0] [1] [2] [3] [4] [5] [6]
 [2 5 9 4]

Step 1: Save 4 to a temporary variable currentElement

list [0] [1] [2] [3] [4] [5] [6]
 [2 5 9]

Step 2: Move list[2] to list[3]

list [0] [1] [2] [3] [4] [5] [6]
 [2 5 9]

Step 3: Move list[1] to list[2]

list [0] [1] [2] [3] [4] [5] [6]
 [2 4 5 9]

Step 4: Assign currentElement to list[1]

From Idea to Solution

```
for i in range(1, len(lst)):  
    insert lst[i] into a sorted sublist lst[0..i-1] so that  
    lst[0..i] is sorted.
```

lst[0]

lst[0] lst[1]

lst[0] lst[1] lst[2]

lst[0] lst[1] lst[2] lst[3]

lst[0] lst[1] lst[2] lst[3] ...

From Idea to Solution

```
for i in range(1, len(lst)):  
    insert lst[i] into a sorted sublist lst[0..i-1] so that  
    lst[0..i] is sorted.
```



Expand

```
k = i - 1  
while k >= 0 and lst[k] > currentElement:  
    lst[k + 1] = lst[k]  
    k -= 1  
# Insert the current element into lst[k + 1]  
lst[k + 1] = currentElement
```

[InsertSort](#)

Case Studies: Bouncing Balls



Ball	
x: int	The x-, y-coordinates for the center of the ball. By default, it is (0, 0).
y: int	
dx: int	dx and dy are the increment for (x, y).
dy: int	
color: Color	The color of the ball.
radius: int	The radius of the ball.

BouncingBalls

Run