

Step 4.2 Building final model

Flat and Hierarchical modeling strategies

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Contents

1	Objectives	1
2	Script explanation	1
2.1	Clean environment and graphics	1
2.2	Load required packages	1
2.3	Create functions	2
2.4	Define Global Variables	3
2.5	Set working directory	4
2.6	Modelling	4

1 Objectives

This script aims to train and evaluate final Random Forest habitat classification models at each level of a hierarchical typology, using the most frequently selected hyperparameters from previous tuning iterations (see step 4.1) for both flat and hierarchical modeling.

2 Script explanation

2.1 Clean environment and graphics

```
rm(list = ls()) # Clear all objects from the R environment to start fresh
graphics.off() # Close all graphics devices (if any plots are open)
```

2.2 Load required packages

```
library(caret) # Functions for model training and evaluation
library(randomForest) # Random Forest classification and regression
library(e1071) # Provides tuning functions for model optimization
library(dplyr) # Data manipulation (select, filter, mutate, etc.)
library(stringr) # String operations (not heavily used here)
library(pROC) # For ROC curve computation and AUC metrics
library(stats) # Base statistical functions
```

2.3 Create functions

We need to define

- A "get_mode()" function that calculates the mode of a given vector—that is, it returns the most frequently occurring value in the vector.

To achieve this:

- It first extracts all the unique values (`uniqv`) from the input vector `v`.
- Then, it counts how many times each unique value appears in the vector.
- Finally, it returns the unique value with the highest count, which represents the mode.

- A "compute_macro_roc()" function that returns a macro-average Receiver Operating Characteristic (ROC) curve from a set of multiple binary ROC curves.

This is particularly relevant when evaluating multi-class or multi-label classification models, where each label is treated independently, and an overall performance summary is desired. The function operates by **interpolating all individual ROC curves** onto a common grid of values for the false positive rate (**1 - specificity**), and then averaging the corresponding sensitivity values to create a single, smooth representative curve.

To achieve this:

- The process begins by creating a regular grid of `n_points` values ranging from 0 to 1 along the x-axis, which represents **1 - specificity**.
- For each binary ROC curve (contained in `roc_scores$rocs`), the **sensitivity** (true positive rate) and **1 - specificity** values are extracted and cleaned to remove duplicates.
- Linear interpolation (`approx()`) is applied to align the sensitivity values with the common grid. These interpolated curves are stored and later averaged pointwise to compute the macro-averaged sensitivity.
- The corresponding mean specificity is derived as `1 - x_vals`, assuming symmetry in how the specificity grid was defined.
- The function returns a list containing the interpolated x-axis grid (`x_vals`), the averaged sensitivity curve (`mean_sensitivity`), and the averaged specificity curve (`mean_specificity`). This output can then be used for plotting the macro-ROC curve or for further performance analysis. This approach provides a robust and interpretable way to assess the overall discriminative power of classification models across multiple classes or species.

```
# Function to compute the mode (most frequent value) of a vector
get_mode <- function(v) {
  uniqv <- unique(v) # Get all unique values in the vector
  tab <- tabulate(match(v, uniqv)) # Count occurrences of each unique value
  uniqv[which.max(tab)] # Return the value with the highest count
}
```

```

# Function to compute a macro-averaged ROC curve over multiple binary ROC
# curves
compute_macro_roc <- function(roc_scores, n_points = 100) {

  # Create a grid for 1 - specificity (x-axis)
  x_vals <- seq(0, 1, length.out = n_points)

  # Lists to store interpolated curves
  sensitivity_list <- list()
  specificity_list <- list()

  # Loop through each binary ROC curve pair
  for (pair in seq_along(roc_scores$rocs)) {
    roc_pair <- roc_scores$rocs[[pair]]

    for (j in 1:2) {
      roc_obj <- roc_pair[[j]]

      # Get 1 - specificity (x) and sensitivity (y)
      x <- 1 - roc_obj$specificities
      y <- roc_obj$sensitivities

      # Remove duplicates to avoid issues in approx
      dedup <- !duplicated(x)
      x <- x[dedup]
      y <- y[dedup]

      # Interpolate sensitivities on common x grid
      sens_interp <- approx(x, y, xout = x_vals, ties = "mean", rule = 2)$y
      sensitivity_list[[length(sensitivity_list) + 1]] <- sens_interp

      # Corresponding specificity is just 1 - x_vals
      specificity_list[[length(specificity_list) + 1]] <- 1 - x_vals
    }
  }

  # Compute mean curves
  mean_sensitivity <- rowMeans(do.call(cbind, sensitivity_list), na.rm = TRUE)
  mean_specificity <- rowMeans(do.call(cbind, specificity_list), na.rm = TRUE)

  # Return as a list (to plot or reuse)
  return(list(mean_sensitivity = mean_sensitivity, mean_specificity = mean_specificity,
             x_vals = x_vals))
}

```

2.4 Define Global Variables

Note: A global variable is a variable defined outside of any function. This means the variable is accessible from any part of the code, including inside functions. A global variable retains its value throughout the execution of the R script unless it is explicitly modified in the code.

It is important to define at a minimum:

- the "District": the archipelago of interest (e.g. "CRO" for Crozet archipelago)
- the "Island": the island within the archipelago of interest (e.g. "POS" for Possession island)

- the "Satellite1": the satellite name for multispectral imagery
- the "Year1": the year of imagery acquisition
- the "maxTypoLevel": the maximum typology level

```
District = "CRO" # 3-letter code for archipelago (e.g. Crozet)
Island = "POS" # 3-letter code for island (e.g. Possession)
Satellite1 = "Pleiades" # satellite name of multispectral imagery
Year1 = "2022" # acquisition year of multispectral imagery
maxTypoLevel = 4 # Define maximum typology level
```

2.5 Set working directory

You must define a general root directory ("localscratch") that serves as the base path for your input and output data. This directory should point to the local environment where:

- input final learning data file is located under "data/Learning_data/NewTypo"
- input best hyperparameters informations are located under "results/Model/Tuned_model"
- outputs will be saved under "results/Model/Final_model"

```
# Base local path (customize to your local environment)
localscratch = paste0("/scratch/despel/CARTOVEGE/")
# localscratch = paste0('your_local_path/')

# Path to open learning data
open_learning_new_path = paste0(localscratch, "data/Learning_data/NewTypo")

# Path to open tuned models
open_tuned_model_path = paste0(localscratch, "results/Model/Tuned_model")

# Path to save results
save_final_model_path = paste0(localscratch, "results/Model/Final_model")
```

##Load and prepare learning data ::: {align="justify"} This section loads the final dataset, cleans it, and prepares it for modeling:

Primary typology labels are removed and new labels are renamed

:::

```
# Open learning data
FILE1 = paste0(open_learning_new_path, "/Final_learning_plots_", District, "_", Island,
               "_", Satellite1, "_", Year1, "_ALL_SOURCES_EPSG32739.csv")
learning_data <- read.csv(FILE1, sep = ";", dec = ".", stringsAsFactors = FALSE)

# Remove old habitat columns (to keep only newTypology columns)
learning_data <- learning_data %>%
  select(-matches("^Hab_L[1-4]$"))

# Rename *_corr columns to original names
learning_data <- learning_data %>%
  rename_with(.fn = ~gsub("_corr$", "", .), .cols = matches("^Hab_L[1-4]_corr$"))
```

2.6 Modelling

This section defines and executes two modelling strategies: “**FLAT**” (non-hierarchical) and “**HIERARCHICAL**”, looping through each classification level (1) from 1 to maxTypoLevel.

For each strategy and level:

1. Parameter Tuning Retrieval: It reads the top-performing hyperparameter configurations (e.g., `ntree`, `mtry`, `nodesize`) from a tuning summary (`ParamSummary`), selecting the most frequently occurring values (with `get_mode()`)

2.Final Model Training: It trains a final randomForest model using the selected hyperparameters on the full dataset, excluding ID, coordinates, and the response variable.

3.Model Saving: The trained model is saved in both `.Rdata` and `.rds` formats for reproducibility and future use.

4.Variable Importance Evaluation:

- Saves the variable importance table
- Generates and saves importance plots

5.Predictions and Evaluation:

- Predicts habitat classes on the entire learning dataset.
- Saves a table comparing observed vs. predicted classes.
- Computes the confusion matrix and class-wise performance metrics (e.g., Sensitivity, Specificity).
- Calculates global metrics: overall accuracy, kappa coefficient, and mean AUC (Area Under the Curve).
- Plots and saves a macro-averaged ROC curve to visually assess classification quality.

6.Summary of Metrics: Key evaluation metrics (OOB error, accuracy, kappa, AUC) are written to a CSV for final reporting.

This structured process ensures that for each modelling strategy and habitat classification level, the model is optimally tuned, trained, evaluated, and documented thoroughly.

```
# Define Modeling strategy
type_model_list=c("FLAT","HIERARCHICAL")

# Loop through model types
for (type_model in type_model_list){

  #type="FLAT" #debug
  print(paste0("Modeling strategy: ",type_model))

  # Train and run a final model for each level of typology
  for (l in seq (1:maxTypoLevel)){

    print(paste0("Working with habitat classification level: ", l))

    # Define specific folder for habitat level
    TuningLevelFolder=paste0(open_tuned_model_path,"/", "Hab_L",l)
    dir.create(TuningLevelFolder, showWarnings = FALSE)

    # Identify key column indices
    iid = which(colnames(learning_data) == "ID")
    ihab = which(colnames(learning_data) == paste0("Hab_L", l))
    ix = which(colnames(learning_data) == "xcoord_m")
```

```

iy = which(colnames(learning_data) == "ycoord_m")

# Training Final model -----

# Load parameter tuning summary
FILE2 = paste0(TuningLevelFolder,
  ↪ "/", "AllParamMetrics_tuned_RF_", type_model, "_model_", District, "_",
      Island, "_", Satellite1, "_level_", l, ".csv")
ParamSummary=read.csv(FILE2, sep = ";", dec = ".", stringsAsFactors = FALSE)

# Keep top N tuning runs with highest performance
niter <- 10
best_iter <- ParamSummary[order(ParamSummary$ValPerformance), ][1:niter, ]

# Extract most frequent values (mode) for each hyperparameter
best_ntree <- get_mode(best_iter$ntree)
best_mtry <- get_mode(best_iter$mtry)
best_nodesize <- get_mode(best_iter$nodesize)

cat("Best hyperparameters (top ", niter, " runs):\n")
cat("ntree =", best_ntree, "\n")
cat("mtry =", best_mtry, "\n")
cat("nodesize =", best_nodesize, "\n")

# Train a final model with the best hyperparameters
FinalModel <- randomForest(
  x = learning_data[, -c(iid, ihab, ix, iy)], # Exclude ID, target, coords
  y = as.factor(learning_data[, ihab]),      # Target habitat class
  ntree = best_ntree,
  mtry = best_mtry,
  nodesize = best_nodesize,
  importance = TRUE # Save variable importance
)

print(FinalModel) # Show model summary

# Create the folder for each level of classification save the final model
FinalLevelFolder=paste0(save_final_model_path,"/", "Hab_L",l)
dir.create(FinalLevelFolder, showWarnings = FALSE) # ShowWarnings=F to remove warnings message
  ↪ if file already exists

# Save FinalModel
save(FinalModel, file = paste0(FinalLevelFolder, "/Final_RF_",type_model,"_model_", District,
  ↪ "_",
      Island, "_", Satellite1, "_level_", l, ".Rdata"))
saveRDS(FinalModel, file = paste0(FinalLevelFolder, "/Final_RF_",type_model,"_model_",
  ↪ District, "_",
      Island, "_", Satellite1, "_level_", l, ".rds"))

# Evaluate global variable importance (dataframe and plot) -----

print("Variable importance evaluation")

# Dataframe of variable importance (mean indices and importance for each class)
NOMcsv=paste0(FinalLevelFolder,"/", "VarImportance_Final_RF_",type_model,"_model_",District,"_",
  Island, "_", Satellite1, "_level_", l, ".csv")
VarImportance=as.data.frame(importance(FinalModel,scale=T)) # calculating variable importance
  ↪ for FinalModel and scales values from 0 to 100

```

```

write.table(VarImportance,NOMcsv,sep=";",dec=".",row.names = FALSE)

# Plot of variable importance (mean indices)
NOMpng=paste0(FinalLevelFolder,"/","VarImportance_Final_RF_",type_model,"_model_",District,"_",
              Island,"_",Satellite1,"_level_",1,".png")
png(file = NOMpng, width = 500, height = 500)
p=varImpPlot(FinalModel, pch = 19, col = "black",main="Variable importance",cex=1)
print(p)
dev.off()

NOMsvg=paste0(FinalLevelFolder,"/","VarImportance_Final_RF_",type_model,"_model_",District,"_",
              Island,"_",Satellite1,"_level_",1,".svg")
svg(file = NOMsvg)
p=varImpPlot(FinalModel, pch = 19, col = "black",main="Variable importance",cex=1)
print(p)
dev.off()

# Predictions on learning_data -----

# Prediction on all learning data
print("Model prediction on the entire dataset")
yPred <- randomForest::predict(FinalModel, newdata=learning_data[, -c(iid, ihab, ix, iy)],type
↪ = "response") # predict class probabilities

# Save observations vs predictions
ObsPred_df=learning_data[,c(iid,ix,iy,ihab)]
col_name <- paste("Ypred")
ObsPred_df[[col_name]] <- yPred

↪ FILE4=paste0(FinalLevelFolder,"/","Comparison_Obs_Pred_Final_RF_",type_model,"_model_",District,
              "_",Island,"_",Satellite1,"_level_",1,".csv")
write.table(ObsPred_df,file=FILE4, sep=";",dec=".",row.names = FALSE)

# Final model Evaluation -----

## Confusion matrix -----
print("Confusion matrix")
yObs=as.factor(learning_data[, ihab])
ConfMatrix<- confusionMatrix(yObs,yPred,positive = NULL, dnn = c("Reference","Prediction"))
print(ConfMatrix)

↪ FILE5=paste0(FinalLevelFolder,"/","ConfusionMatrix_Final_RF_",type_model,"_model_",District,"_",
              Island,"_",Satellite1,"_level_",1,".csv")
write.table(ConfMatrix[["table"]],file=FILE5,sep=";",dec=".",row.names = FALSE)

# Relative metrics for each class -----
print("Sensitivity, Specificity, etc. ")
StatsByClass=ConfMatrix[["byClass"]]
FILE6=paste0(FinalLevelFolder,"/","StatsByClass_Final_RF_",type_model,"_model_",District,"_",
              Island,"_",Satellite1,"_level_",1,".csv")
write.table(StatsByClass,file=FILE6,sep=";",dec=".",row.names = FALSE)
print(StatsByClass)

## General (mean) metrics -----

```

```

# OOB rate
print("OOB rate")
conf=FinalModel$confusion[,-ncol(FinalModel$confusion)] #Just remove the "class.error" column
↪ from the confusion matrix
oob=1-sum(diag(conf))/sum(conf) # (1 - (TP +TN)/ Total obs)*100
print(oob)

# Overall accuracy
print("Overall accuracy")
OA=ConfMatrix[["overall"]][["Accuracy"]] # = nb correct prediction/ nb total of predictions
print(OA)

# Kappa coefficient
print("Kappa coefficient")
kappa=ConfMatrix[["overall"]][["Kappa"]] #extract kappa coefficient
print(kappa)

# mean AUC
print("mean AUC -area under the ROC curve")
yPred_proba <- randomForest::predict(FinalModel, newdata=learning_data[, -c(iid, ihab, ix,
↪ iy)], type = "proba")
roc_scores <- multiclass.roc(response = yObs, predictor = yPred_proba) # compute ROC scores per
↪ pair
AUC=roc_scores[["auc"]][1]
auc_list=append(auc_list,AUC) #fill the AUC list
print(AUC) #the more AUC is close to 1 the more the model is better

# mean ROC
print("Macro-averaged ROC curve")
roc_result <- compute_macro_roc(roc_scores)

↪ NOMpng=paste0(FinalLevelFolder,"/", "Mean_Roc_curve_Final_RF_",type_model,"_model_",District,"_",
Island,"_",Satellite1,"_level_",1,".png")
par(bty = "n")
png(file = NOMpng, width = 500, height = 500)
p=plot(1 - roc_result$mean_specificity, roc_result$mean_sensitivity,
type = "l", col = "blue", lwd=2,
main = "Macro-Averaged ROC Curve",
xlab = "1 - Specificity", ylab = "Sensitivity")
abline(a = 0, b = 1, col = "gray", lty =2, lwd = 0.5) # Add a grey line x=y
text(x = 0.8, y = 0.2, labels = paste0("AUC : ",round(AUC,7)), col = "black", cex = 1.2) # add
↪ "AUC" label
print(p)
dev.off()

# Stack all general statistics
print("Final metrics summary")
Stats=c(oob,OA,kappa,AUC)
names(Stats)=c("OOB_rate","Overall_accuracy","Kappa_coefficient","AUC")
print(Stats)
FILE7=paste0(FinalLevelFolder,"/", "AllMetrics_Final_RF_",type_model,"_model_",District,"_",
Island,"_",Satellite1,"_level_",1,".csv")
write.table(Stats,file=FILE7, sep=";",dec=".",row.names = FALSE)

} # End of typology level loop

```


}