SI: Approaches for Large Scale Metagenome Assembly

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November 13, 2012

# 0.0.1 Summary of approaches used on mock community dataset

The HMP mock community dataset and its available draft reference genomes were used to evaluate our approaches towards data reduction and partitioning for *de novo* metagenomic assembly. Reads of the mock community dataset were initially digitally normalized to a coverage threshold of 20 (as previously described in Brown et al), reducing the total number of reads from 14 to 11 million. Additionally, to remove possible sequencing artifacts associated with high coverage sequences (previously described in Howe et al), highly-abundant sequences (20-mers present at coverage greater than 50-fold) were filtered and the dataset was further normalized to a coverage of 10, resulting in a total of 9 million reads (Figure ??). Finally, the remaining reads were divided into disconnected sets of reads resulting in a total of 85,818 partitions containing greater than five reads (summarized in Table ??).

# 1 Methods

### 1.1 Datasets

In this study, we examined two large soil metagenomes generated from soils collected from Iowa corn and native prairie soils. Sequencing was performed at the DOE Joint Genome Institute (Walnut Creek, CA). Reads were quality trimmed at where Phred scores indicated a score of '2'. The total quality-trimmed reads in the Iowa corn and prairie datasets were 1.8 million and 3.3 million, respectively. We also include a human gut mock community dataset (combined from SRA SRX055381 and SRX055380). For this mock community dataset, DNA from bacterial isolates originally recovered from within or on the human body was mixed together at staggered concentrations (over 5 orders of magnitude based on genomic DNA concentrations) and sequenced. The mock community dataset originally contained 14.5 million reads.

To evaluate our approaches, we added simulated reads from either a single E. Coli (str. K-12 substr DH10B) or five E. coli strains (K-12 substr DH10B, E24377, O147:H7 str. EC4115, UMN026, SE15) into select metagenomes. We computationally generated 100 bp reads from each reference genome to a coverage of 10x and with a 2% error rate and subsequently randomly shuffled these reads with select datasets.

#### 1.1.1 Estimation of assembly requirements for soil metagenomes

Subsets of the Iowa corn metagenome were assembled with the Velvet assembler (v1.2.07) with the following parameters: velveth K=45, -short and velvetg -exp\_cov auto -cov\_cutoff auto, -scaffolding no. The time and memory for each assembly was estimated up to a maximum of 150 hours and 100 GB.

### 1.1.2 Digital normalization

Digital normalization was previously describe in X. For the mock community dataset, digital normalization was performed with the following parameters: K=20, coverage=20, and Bloom filter size = 1 GB x 4. For Iowa corn metagenome, digital normalization parameters were as follows: K=20, coverage=20, and Bloom filter size = 48 GB x 4. Similar parameters were used for the Iowa prairie metagenome, with the exception that the Bloom filter size was 60 GB x 4.

#### 1.1.3 Removal of high abundance sequences

To eliminate known sequencing artifacts in Illumina metagenomes (previously described in XXX), high abundance sequences (coverage greater than 50) were removed using the count-min-sketch datastructure used for digital normalization. For the relatively high coverage mock community dataset, filtered reads were subsequently normalized to a coverage of 10 (K=20, bloom filter size = 1 GB x 4).

#### 1.1.4 Partitioning and *de novo* assembly of disconnected reads

Normalized and filtered datasets were loaded into a probabilistic representation of the assembly graph as described in Pell et al, and disconnected partitions of the resulting graph were separated. Partitions containing less than five reads were discarded. Each partition was subsequently assembled using the Velvet assembler with the same setting as described above, with

the exception that K=35-59 and shortPaired setting was used for paired end reads. The resulting contigs greater than 300 bp from multiple-K assemblies were dereplicated with CD-HIT (XXXX, 99% similarity) and merged with Minimus2 (XXXX).

## 1.2 Comparing coverage of reference genomes by reads

Reads in the HMP mock unfiltered and filtered datasets were mapped back to originating genomes using default settings in Bowtie2 (citation). For cases where reads could be mapped back to multiple genomes, a single genome was randomly selected to be identified with each read. Sequencing coverage was estimated for the whole genome as the median base pair coverage for all base pairs in the reference genome.

# 1.3 Read coverage by assemblies

All quality trimmed reads for Iowa corn and prairie were aligned with assembled contigs (length greater than 300 bp) using default parameters in Bowtie2. Paired end reads were evaluated according to concordance with paired end library preparation (i.e. paired end reads on opposite DNA strands) and the alignment of both pairs of reads to an assembled contig. The base pair coverage of each contig was estimated with the median base pair coverage of all reads across the length of the contig. Additionally, for each position in a contig (with the exception of the external 100 bp on each end), the percentage of the mapped consensus base pair was calculated. The fraction of positions with greater than 95% base consensus was calculated to estimate the presence of polymorphisms within the assembled contig.

# 1.4 Annotation of assemblies

Assembled contigs and their corresponding median bp coverage for the Iowa corn and prairie metagenomes were upload into MG-RAST annotation pipeline (cite) and are available on MG-RAST as 4504979.3 (Iowa corn) and 4504798.3 (Iowa Prairie). The resulting MG-RAST blat annotations were compared to the M5NR database using a maximum e-value of 1e-5, a minimum identity of 60%, and a minimum alignment length of 15 aa. Both the phylogenetic distribution of bacteria (phyla) and functional distibution of subsystems were compared between the Iowa and corn metagenomes.

## 1.5 Comparing assemblies

Resulting assemblies (contigs greater than 300 bp) were compared using the total number of contigs, assembly length, and maximum contig size for each assembly. Assemblies were also aligned to each other using blastn and the resulting coverage of each assembly was calculated. In the case of the mock community, the resulting assemblies were also aligned to sequenced draft genomes of the original isolates and, if applicable, spiked reference genomes. Abundance of assembled contigs and reference genomes were estimated by mapping raw reads with Bowtie (allowing up to 2 mismatches for a match). The median base pair coverage was used to estimate abundances. Associated assembled contigs (greater than 300 bp) from the unfiltered and filtered (digital normalized) assemblies were identified using a blastn alignment (requiring E-value cutoff of 1e-5). Contigs were associated with reference genomes through an identical alignment approach.

The reference-based abundance (from reads mapped to reference genomes) and assembly-based abundance (from reads mapped to contigs) of genomes were compared. Using a one-directional, paired t-test of squared deviations, the abundance estimates of the unfiltered and filtered assemblies were compared. We expected the filtered assembly to have increased accuracy due to a reduction of errors (e.g. normalization and high abundance filtering) and used a one-sided t-test which indicated that abundance estimations from the filtered assembly were significantly closer to predicted abundances from reference genomes (p-value of 0.032).

Annotations against the M5NR database were obtained through the MG-RAST annotation pipeline. The phylogenetic and functional distribution of SEED subsystems between the Iowa corn and prairie metagenome were compared (Figures X and X). For each subsystem, the relative abundance of each subsystem was calculated and the ratio of the fraction present in the Iowa corn and prairie was determined (e.g., the relative abundance of a subsystem which was equally represented in both corn and prairie metagenomes would equal 1). To estimate similarity among all subsystems and phyla, the following was calculated:  $((1 - \text{ratio})^2)^{0.5}$  where a value closer to 0 indicates higher similarity. Overall, for the phylogenetic and functional distribution of SEED annotations, this value was 0.35 + - 0.57 and 0.10 + - 0.08.

# 1.6 Figures and Tables

coverage of reference genomes by unfiltered reads (UF Cov), coverage of reference genomes by filtered reads (F Cov), coverage of reference genomes Table 1: HMP mock dataset reference genomes estimated sequencing depth (median bp coverage of reads), number of partitions, total length (bp), by unfiltered assembled contigs (UFA Cov), and coverage of reference genomes by filtered assembled contigs (FA Cov).

Beference Genome	Coverage	No. Partitions	Length (bp)	UF Cov (hp)	F Cov (bn)	UFA Cov	FA Cov
oil32470588lrefINC 005008 11	2 412		4 439	4 439	1.058	100 %	28 %
$g_1 32470581 ref NC_005007.1 $	549	$\frac{16}{16}$	4,679	4,679	4,585	100%	22 22
$\mathrm{gi}  32470520 \mathrm{ref} \mathrm{NC}\_005003.1 $	533	21	6,585	6,585	6,441	100%	64 %
${\rm gi} 32470572 {\rm ref} {\rm NC}\_005006.1 $	253	2	8,007	8,004	7,953	100%	
${\rm gi} 32470532 {\rm ref} {\rm NC}\_005004.1 $	112	52	24,365	24,358	24,291	100~%	83 %
$\mathrm{gi} 126640109 \mathrm{ref} \mathrm{NC}\_009084.1 $	82	3	11,302	11,295	11,270	100%	100 %
${\rm gi} 32470555 {\rm ref} {\rm NC}\_005005.1 $	74	12	17,261	17,202	17,180	100~%	100%
${\rm gi} 10957398 {\rm ref} {\rm NC}\_000958.1 $	71	73	177,466	177,261	174,614	100%	
${\rm gi} 10957530 {\rm ref} {\rm NC}\_000959.1 $	52	37	45,704	44,974	43,557	100~%	92%
$\mathrm{gi} 126640097 \mathrm{ref} \mathrm{NC}\_009083.1 $	48	2	13,408	13,405	13,383	100~%	100%
${\rm gi} 15807672 {\rm ref} {\rm NC}\_001264.1 $	40	63	412,348	410,970	403,553	100~%	% 66
${\rm gi} 15805042 {\rm ref} {\rm NC}\_001263.1 $	32	546	2,648,638	2,634,512	2,589,566	100%	
$\mathrm{gi} 27466918 \mathrm{ref} \mathrm{NC}\_004461.1 $	30	476	2,499,279	2,498,081	2,492,248	100%	% 86
$\mathrm{gi} 125654693 \mathrm{ref} \mathrm{NC}\_009008.1 $	29	14	37,100	36,585	33,250	94 %	
$gi 161508266 ref NC\_010079.1 $	29	442	2,872,915	2,298,758	2,157,196	100%	92%
${\rm gi} 77404776 {\rm ref} {\rm NC}\_007490.1 $	27	27	100,828	99,385	93,550	100%	% 96
$\mathrm{gi} 125654605 \mathrm{ref} \mathrm{NC}\_009007.1 $	24	92	114,045	108,526	97,860	100~%	
${\rm gi} 77404693 {\rm ref} {\rm NC}\_007489.1 $	18	12	105,284	102,212	96,169	100%	
${\rm gi} 24378532 {\rm ref} {\rm NC}\_004350.1 $	16	131	2,030,921	2,029,376	2,025,544	100%	% 66
${\rm gi} 77404592 {\rm ref} {\rm NC}\_007488.1 $	13	30	114,178	103,351	93,637	100%	% 66
${\rm gi}  77461965 {\rm ref} {\rm NC}\_007493.1 $	13	628	3,188,609	2,919,441	2,681,855	100%	% 66
${\rm gi} 77464988 {\rm ref} {\rm NC}\_007494.1 $	13	262	943,016	862,781	788,626	100~%	% 86
$gi 126640115 ref NC\_009085.1 $	11	683	3,976,747	3,939,190	3,936,208		% 66
$gi 148642060 ref NC\_009515.1 $	6	552	1,853,160	1,828,231	1,826,639		% 86
$\mathrm{gi} 150002608 \mathrm{ref} \mathrm{NC}\_009614.1 $	2	7,751	5,163,189	4,899,622	4,896,808		82 %
${\rm gi} 15644634 {\rm ref} {\rm NC}\_000915.1 $	9	2,888	1,667,867	1,581,502	1,581,024	% 82	% 62
$gi 194172857 ref NC\_003028.3 $	9	4,123	2,160,842	2,047,832	2,037,347		% 82
${\rm gi} 49175990 {\rm ref} {\rm NC}\_000913.2 $	9	5,913	4,639,675	4,080,605	4,074,119		85 %
$gi 50841496 ref NC\_006085.1 $	9	6,459	2,560,265	2,169,547	2,169,056	20%	64 %
$\rm gi 77358697 ref NC\_003112.2 $	4	9,269	2,272,360	1,655,023	1,626,301		33 %

Table 2: Number of housekeeping genes identified in HMP mock community reference genomes and assembled (Velvet) contigs.

Gene model	Counts in ref genomes	Counts in assembled contigs
gyrB	21	55
$\operatorname{rec} A$	22	19
rplB	18	18
rpoB	18	84

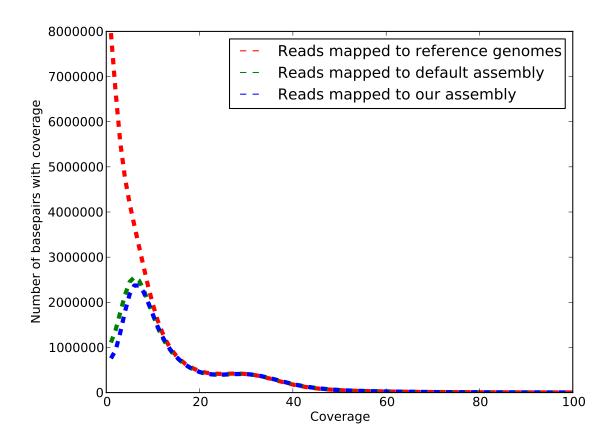


Figure 1: Number of basepairs with specified coverage for reads which map to reference genomes and unfiltered and filtered assembled contigs greater than 300 bp.

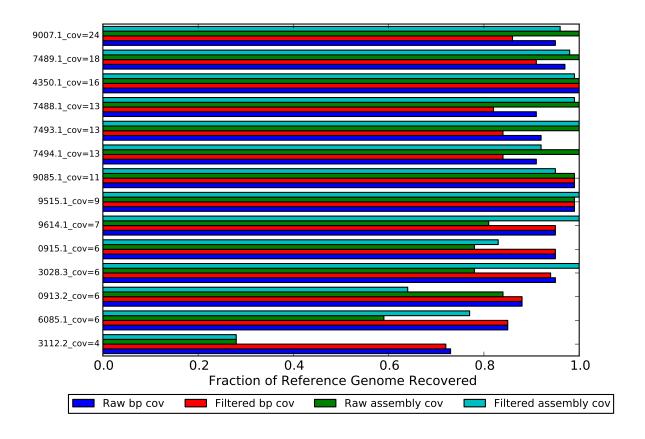


Figure 2: Coverage of reference genomes by unfiltered and filtered assembled contigs and unfiltered and filtered reads.

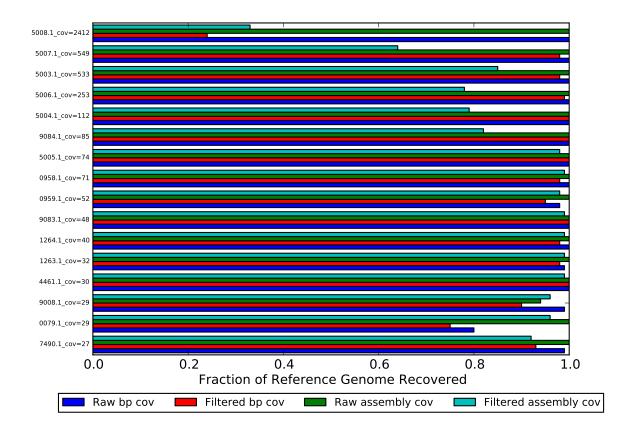


Figure 3: Coverage of reference genomes by unfiltered and filtered assembled contigs and unfiltered and filtered reads.

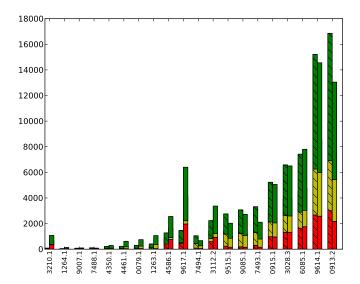


Figure 4: Total number of contigs for unfiltered (bars with hashed lines) and filtered (solid bars) for top twenty references with most assembled contigs (ranked by unfiltered assembly). Red indicates contig lengths less than 500 bp, yellow indicates contig lengths between 500 bp and 3000 bp, and green indicates contig lengths greater than 5000 bp. Reference genome IDs shown here are last 5 digits of RefSeq ID.

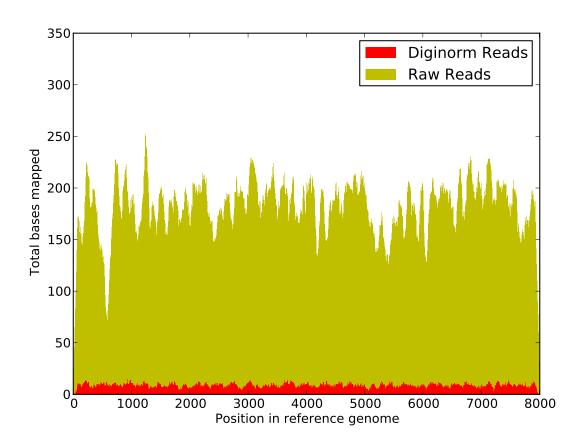


Figure 5: Alignment of reads (colored by originating partition) to reference genome  $\mathrm{NC}\xspace.00745901$ 

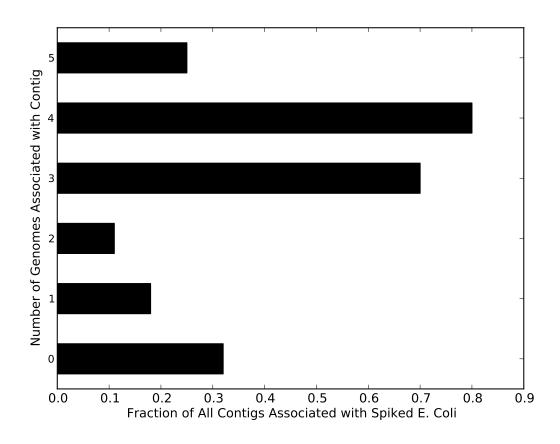


Figure 6: The fraction of assembled contigs assembled from partitions containing spiked  $E.\ coli$  reads associated with 0 to five of the  $E.\ coli$  reference genomes. The large majority of contigs contain reads associated with multiple genomes or to no genome.

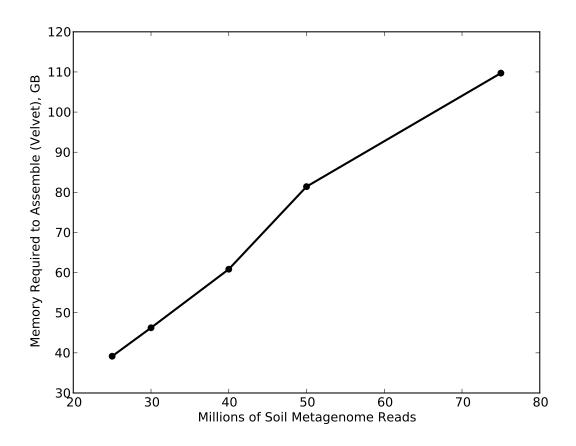


Figure 7: Memory requirements to assemble subsets of Iowa corn soil metagenome

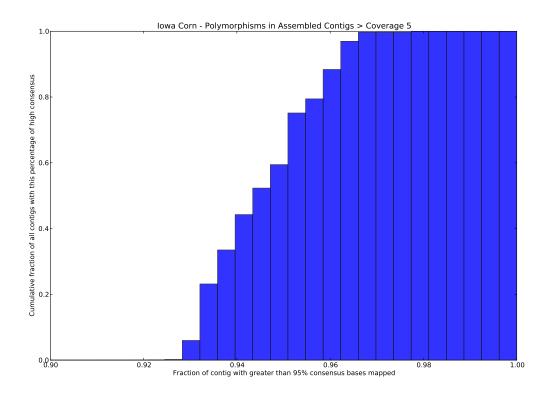


Figure 8: The presence of polymorphic sequences in assembled contigs of Iowa corn metagenome.

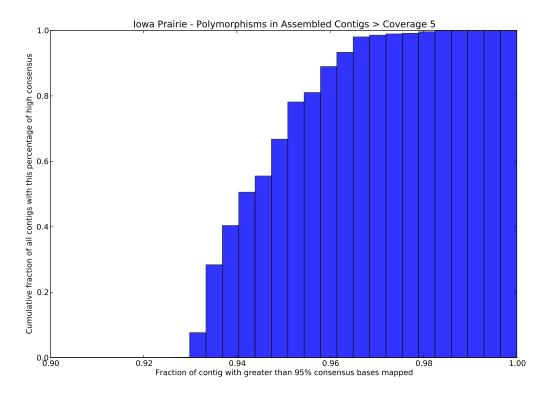


Figure 9: The presence of polymorphic sequences in assembled contigs of Iowa prairie metagenome.