



UPPSALA
UNIVERSITET

Transition-based dependency parsing

Syntactic analysis (5LN455)

2014-12-17

Sara Stymne

Department of Linguistics and Philology

Based on slides from Marco Kuhlmann





Overview

- Arc-factored dependency parsing
 - Collins' algorithm
 - Eisner's algorithm
- Transition-based dependency parsing
 - The arc-standard algorithm
- Evaluation of dependency parsers
- Projectivity



UPPSALA
UNIVERSITET

Transition-based dependency parsing



Transition-based dependency parsing

- The parser starts in an **initial configuration**.
- At each step, it asks a **guide** to choose between one of several **transitions** (actions) into new configurations.
- Parsing stops if the parser reaches a **terminal configuration**.
- The parser returns the dependency tree associated with the terminal configuration.



Transition-based dependency parsing

- Eisner's algorithm runs in time $O(|w|^3)$.
This may be too much if a lot of data is involved.
- **Idea:** Design a dumber but really fast algorithm and let the machine learning do the rest.
- Eisner's algorithm searches over many different dependency trees at the same time.
- A transition-based dependency parser only builds one tree, in one left-to-right sweep over the input.



Generic parsing algorithm

```
Configuration c = parser.getInitialConfiguration(sentence)

while c is not a terminal configuration do

    Transition t = guide.getNextTransition(c)

    c = c.makeTransition(t)

return c.getGraph()
```



Variation

Transition-based dependency parsers differ with respect to the configurations and the transitions that they use.



UPPSALA
UNIVERSITET

The arc-standard algorithm



The arc-standard algorithm

- The arc-standard algorithm is a simple algorithm for transition-based dependency parsing.
- It is very similar to shift-reduce parsing as it is known for context-free grammars.
- It is implemented in most practical transition-based dependency parsers, including MaltParser.



Configurations

A **configuration** for a sentence $w = w_1 \dots w_n$ consists of three components:

- a **buffer** containing words of w
- a **stack** containing words of w
- the **dependency graph** constructed so far



Configurations

- Initial configuration:
 - All words are in the buffer.
 - The stack is empty.
 - The dependency graph is empty.
- Terminal configuration:
 - The buffer is empty.
 - The stack contains a single word.



Possible transitions

- **shift (sh)**: push the next word in the buffer onto the stack
- **left-arc (la)**: add an arc from the topmost word on the stack, s_1 , to the second-topmost word, s_2 , and pop s_2
- **right-arc (ra)**: add an arc from the second-topmost word on the stack, s_2 , to the topmost word, s_1 , and pop s_1



Configurations and transitions

- **Initial configuration:** $([], [0, \dots, n], [])$
- **Terminal configuration:** $([0], [], A)$
- **shift (sh):**
 $(\sigma, [i|\beta], A) \Rightarrow ([\sigma|i], \beta, A)$
- **left-arc (la):**
 $([\sigma|i|j], B, A) \Rightarrow ([\sigma|j], B, A \cup \{j, l, i\})$ only if $i \neq 0$
- **right-arc (ra):**
 $([\sigma|i|j], B, A) \Rightarrow ([\sigma|i], B, A \cup \{i, l, j\})$



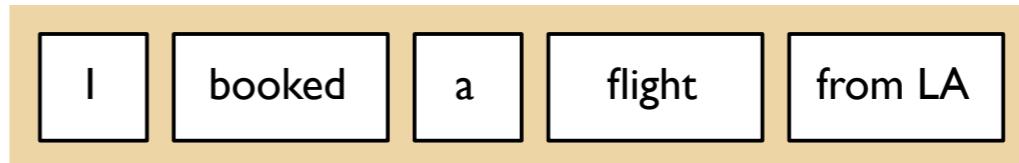
The arc-standard algorithm

Example run

Stack



Buffer



I

booked

a

flight

from LA



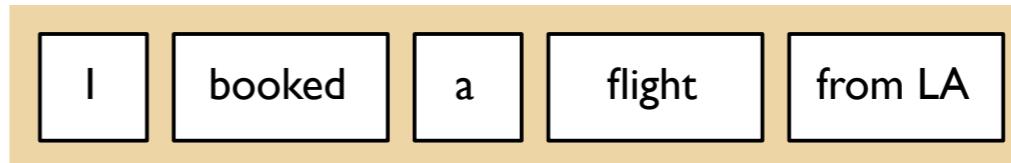
The arc-standard algorithm

Example run

Stack



Buffer



I

booked

a

flight

from LA

sh



UPPSALA
UNIVERSITET

The arc-standard algorithm

Example run

Stack



Buffer



1

booked

a

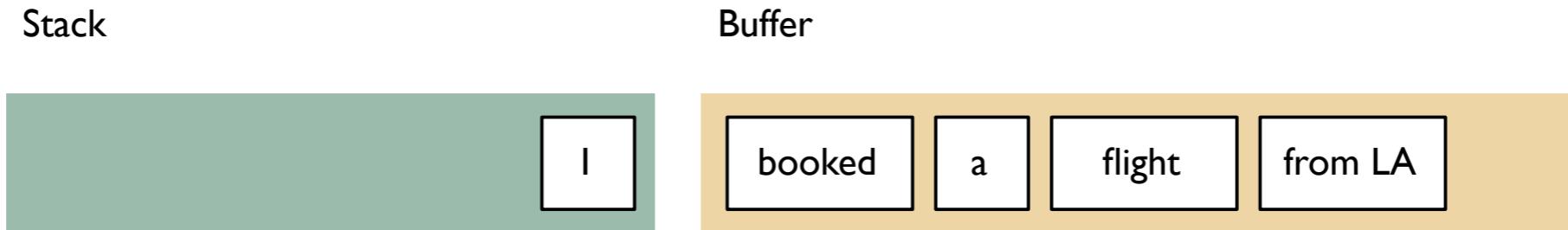
flight

from LA



The arc-standard algorithm

Example run



I booked a flight from LA

sh



UPPSALA
UNIVERSITET

The arc-standard algorithm

Example run

Stack



Buffer



1

booked

a

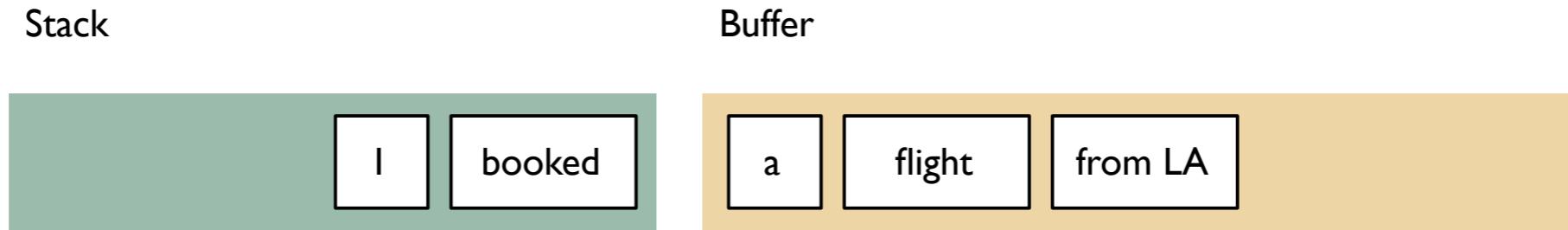
flight

from LA



The arc-standard algorithm

Example run



I

booked

a

flight

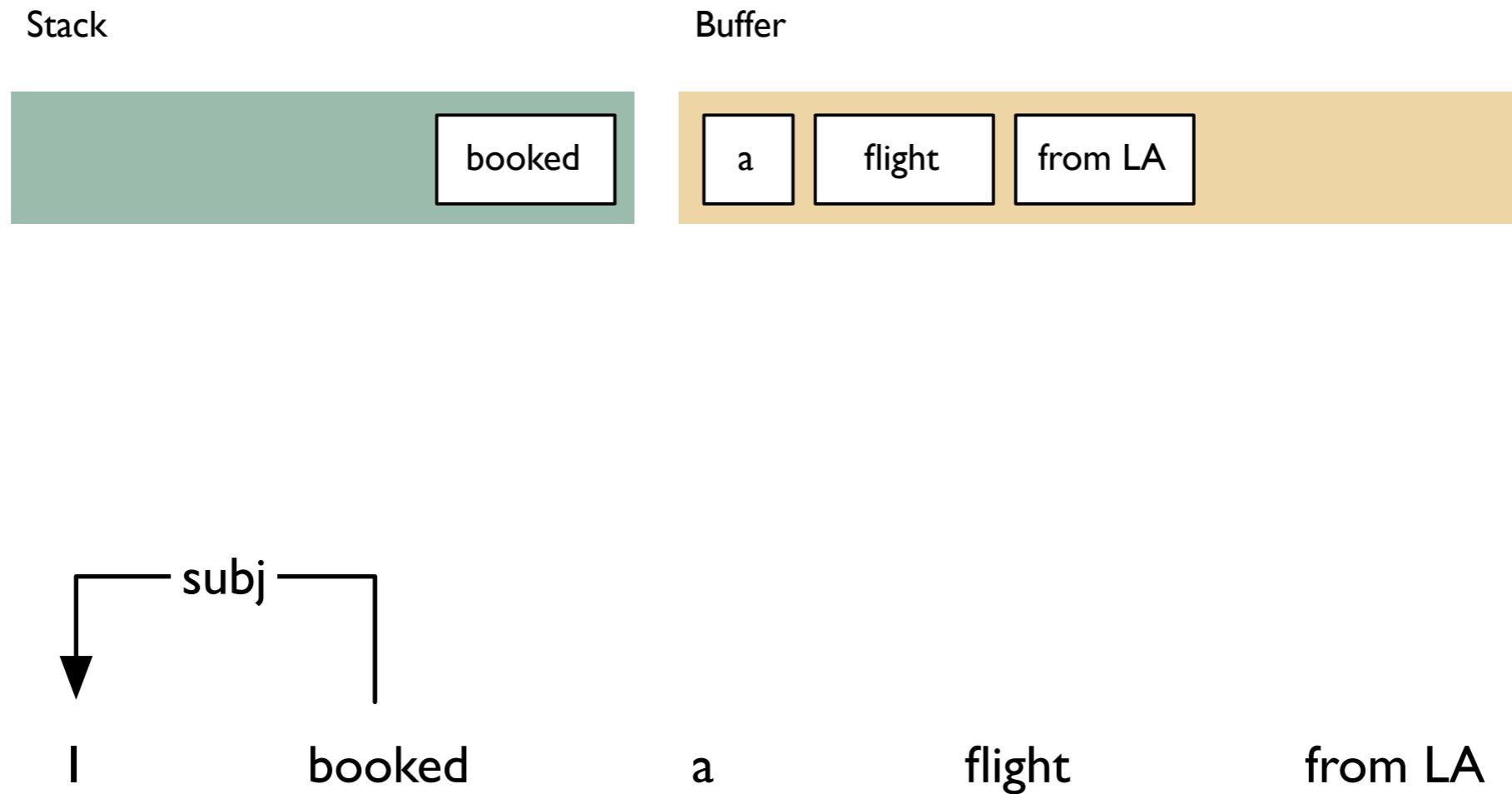
from LA

la-subj



The arc-standard algorithm

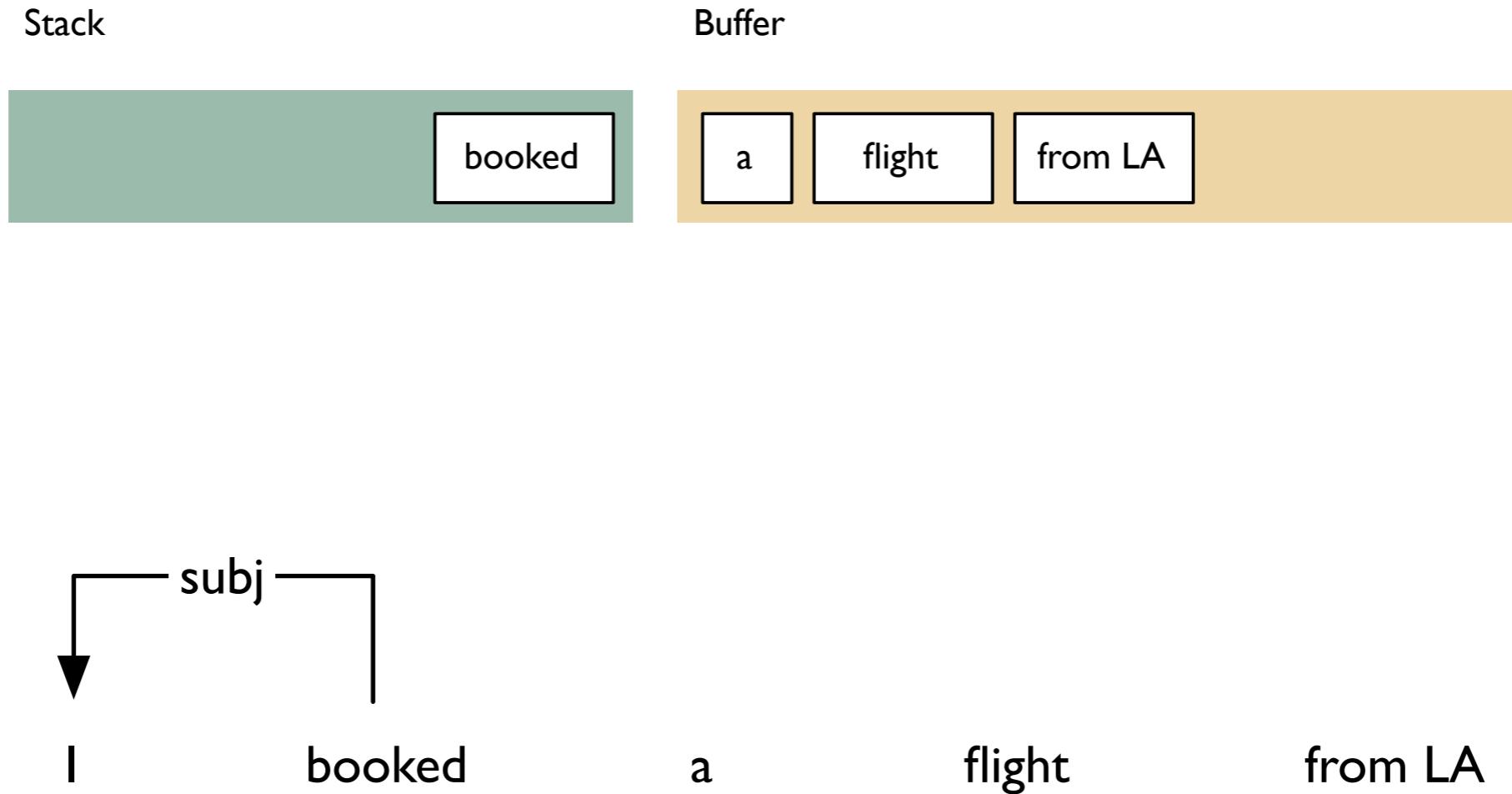
Example run





The arc-standard algorithm

Example run

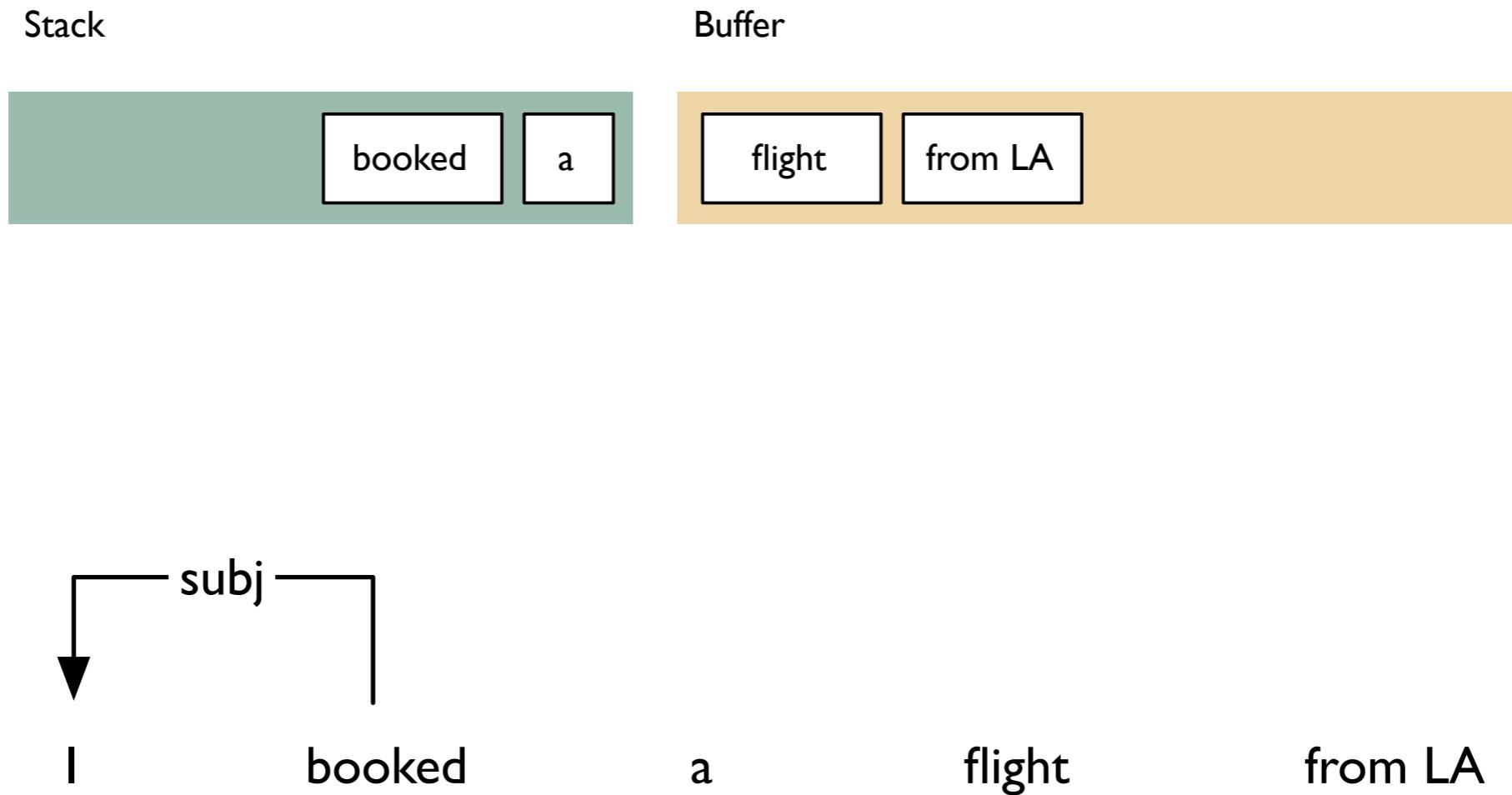


sh



The arc-standard algorithm

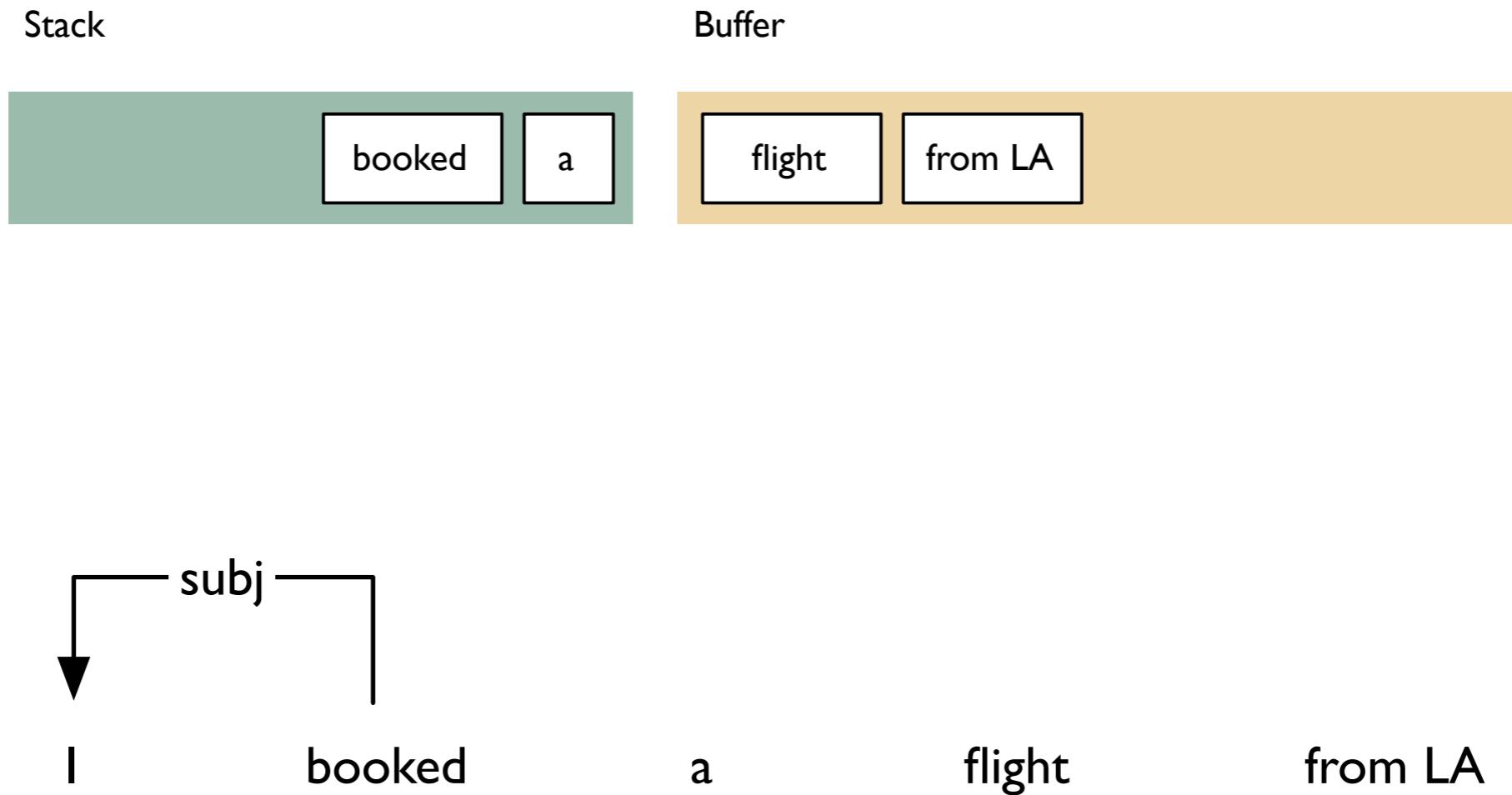
Example run





The arc-standard algorithm

Example run

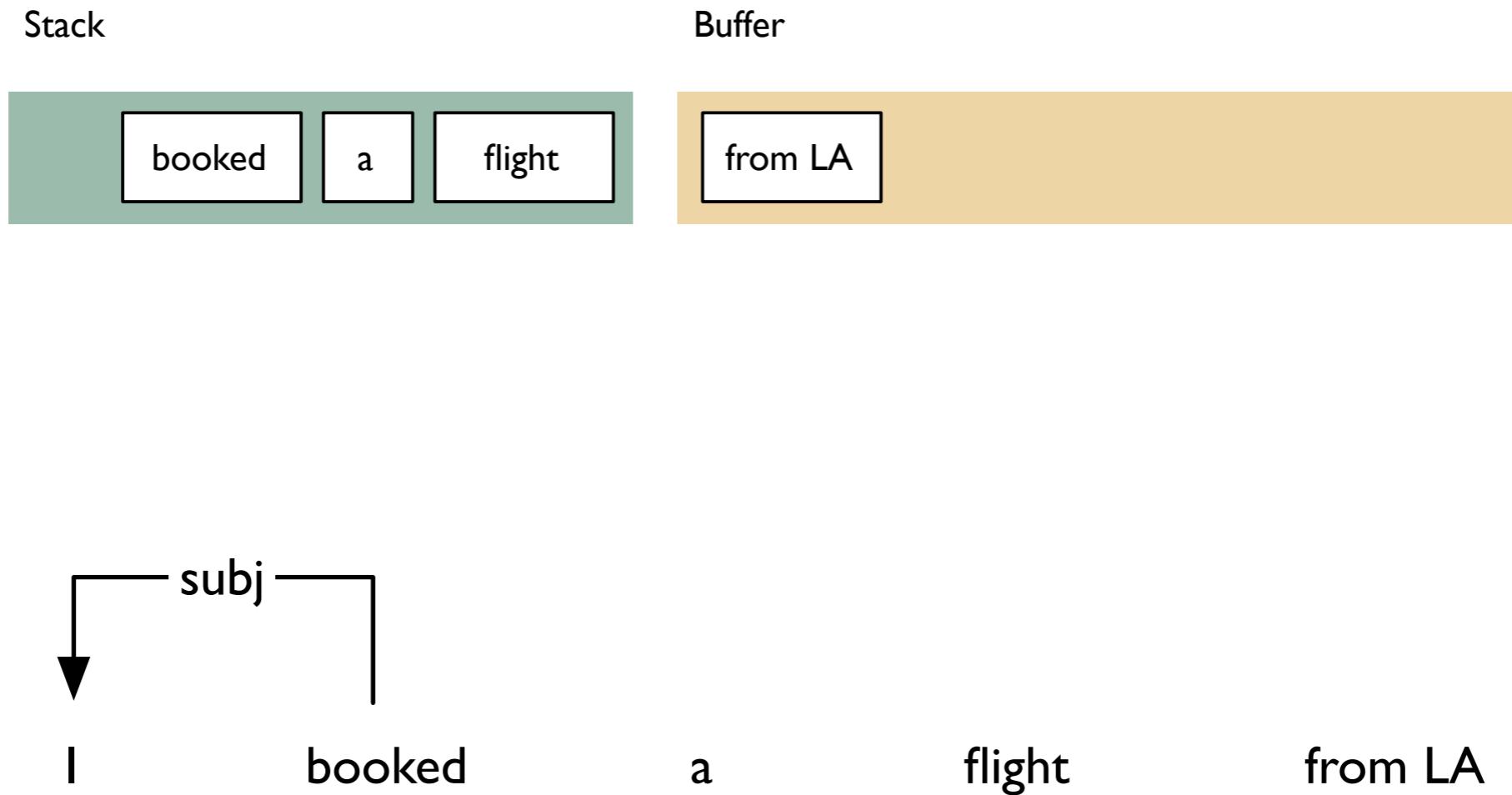


sh



The arc-standard algorithm

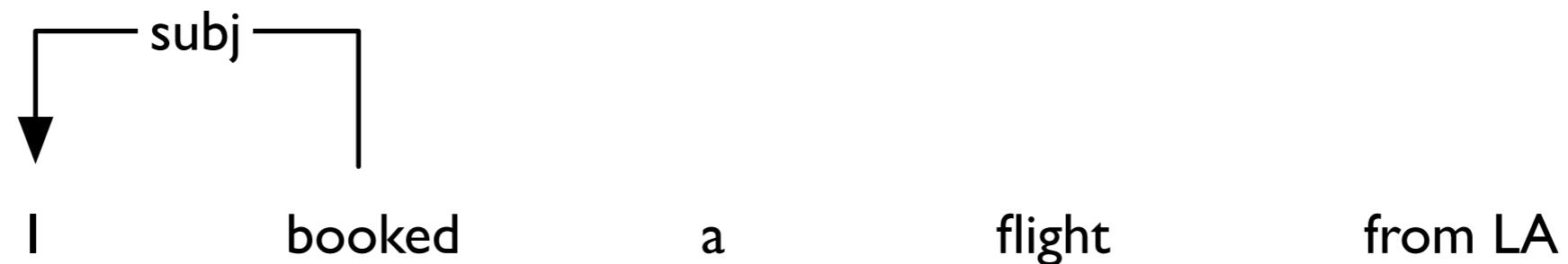
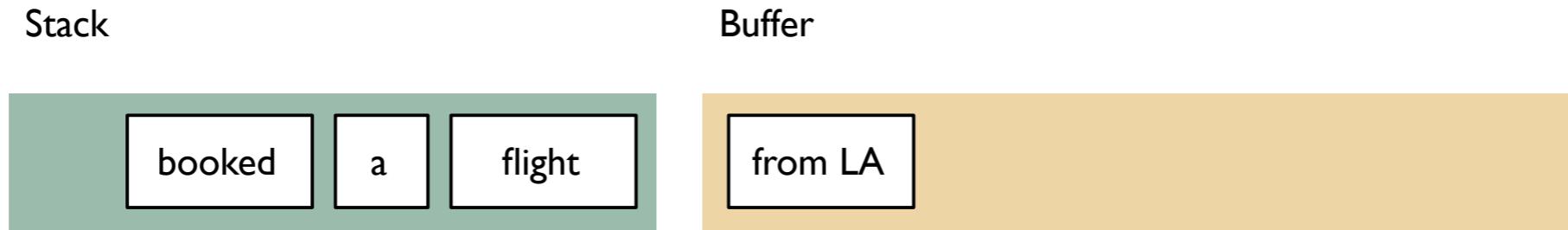
Example run





The arc-standard algorithm

Example run

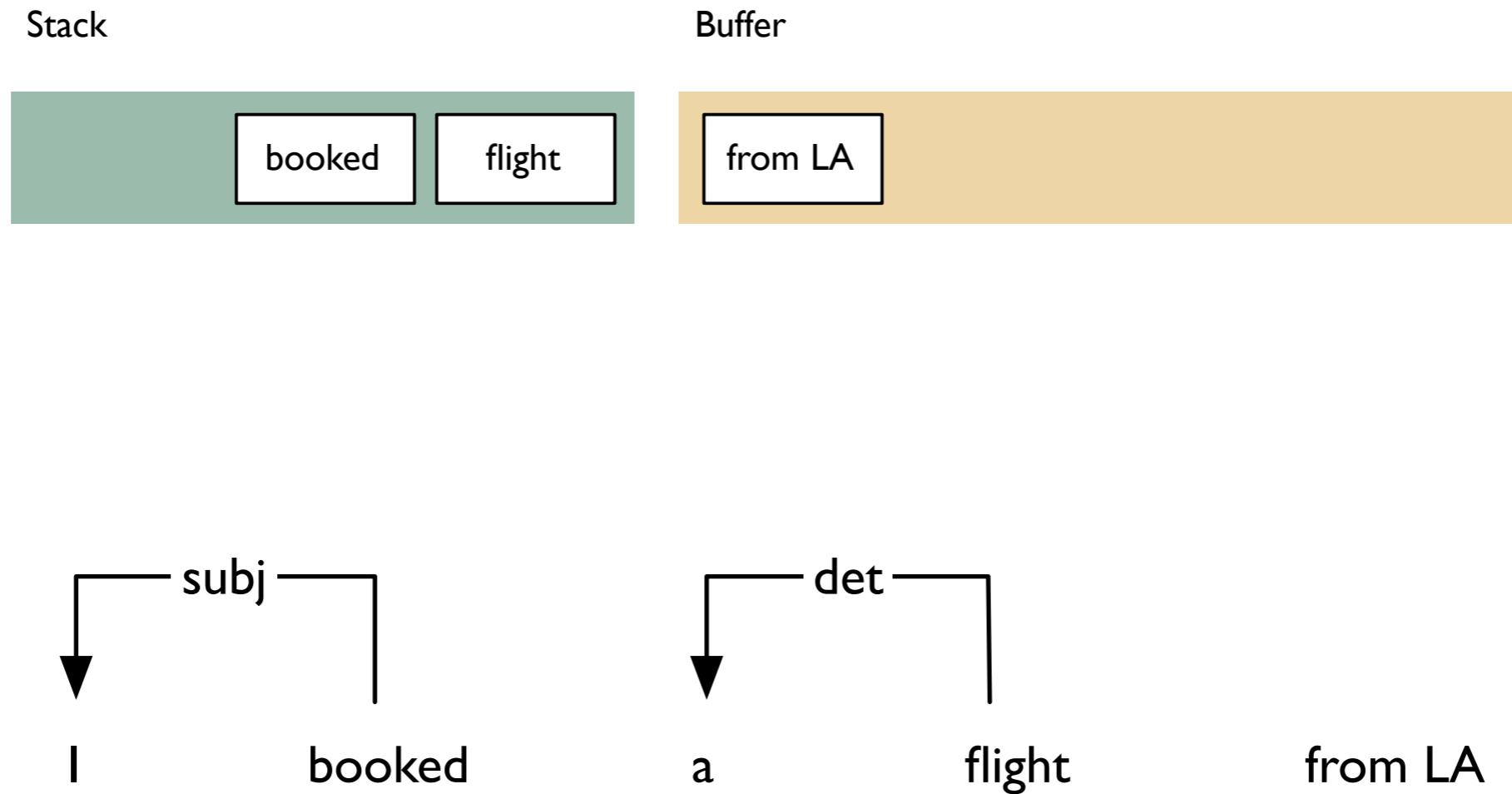


la-det



The arc-standard algorithm

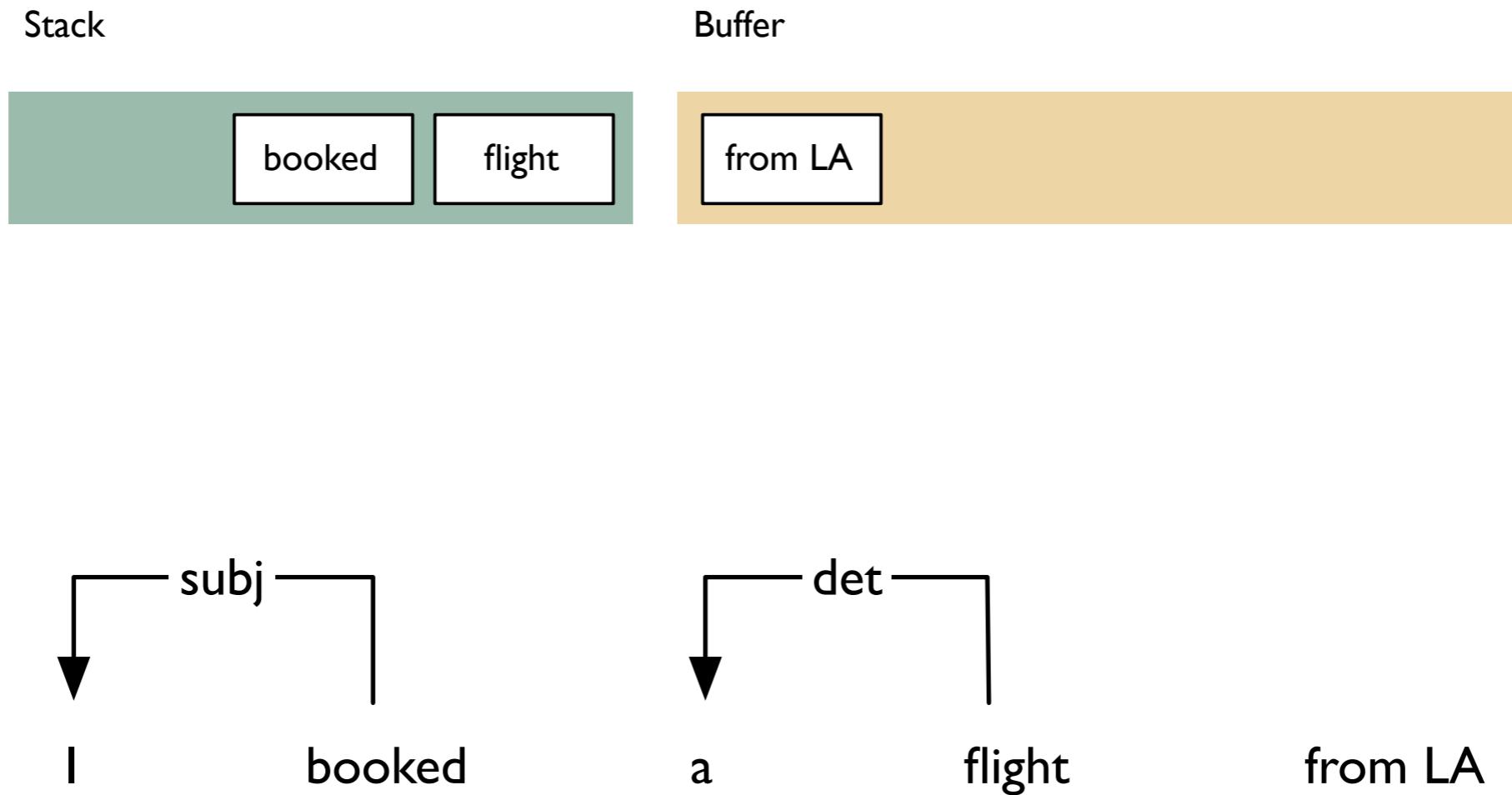
Example run





The arc-standard algorithm

Example run

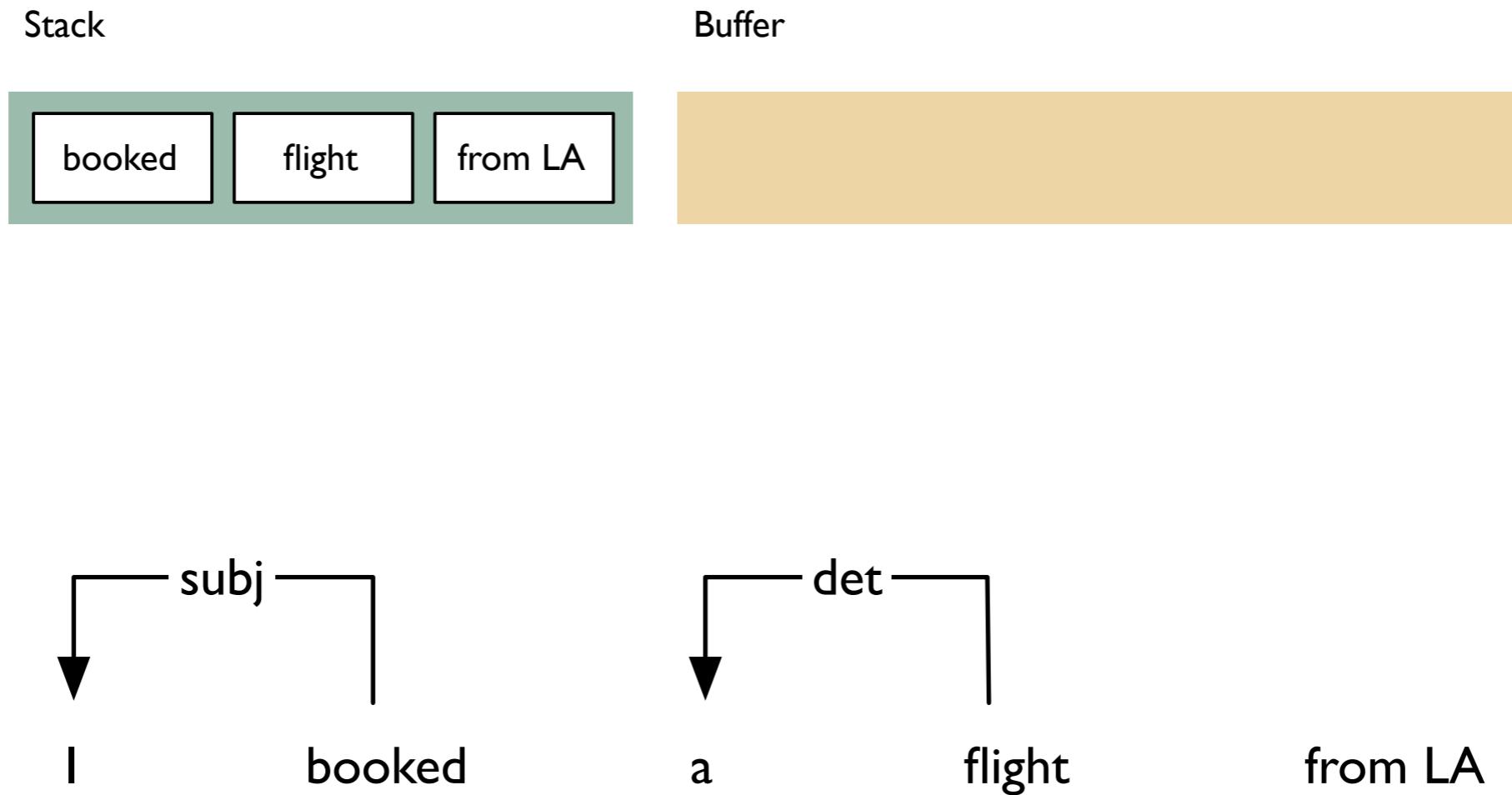


sh



The arc-standard algorithm

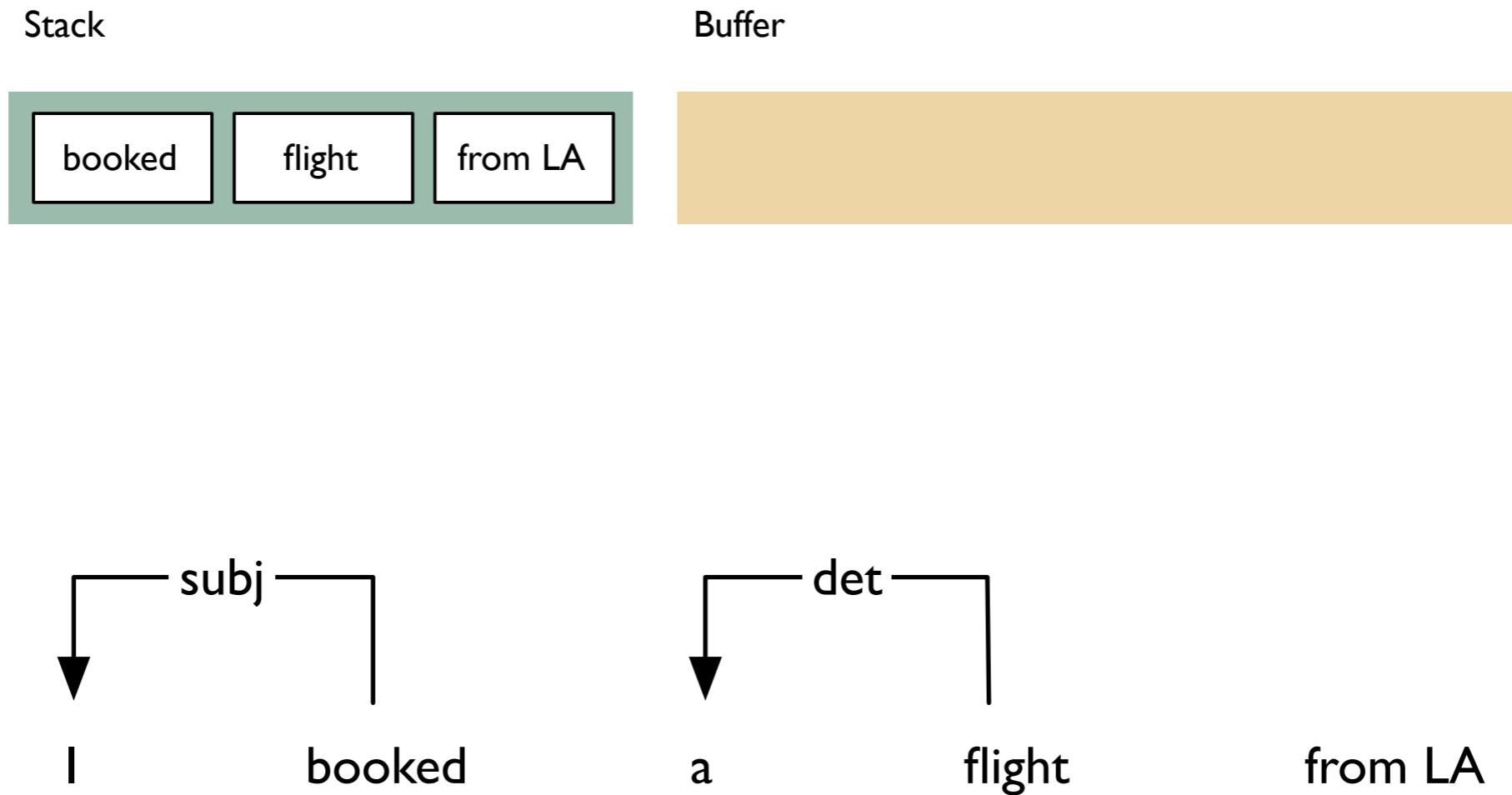
Example run





The arc-standard algorithm

Example run

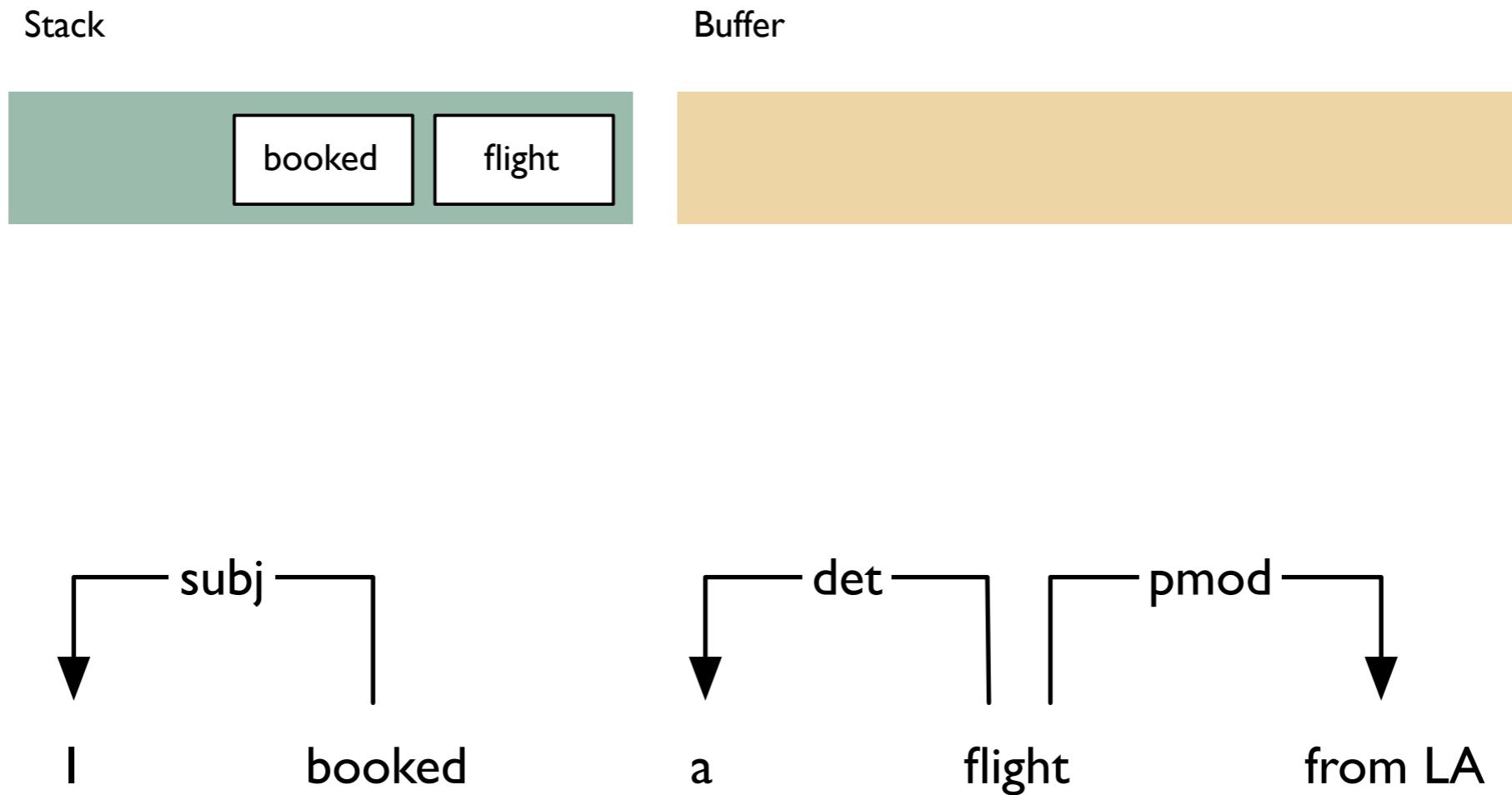


ra-pmod



The arc-standard algorithm

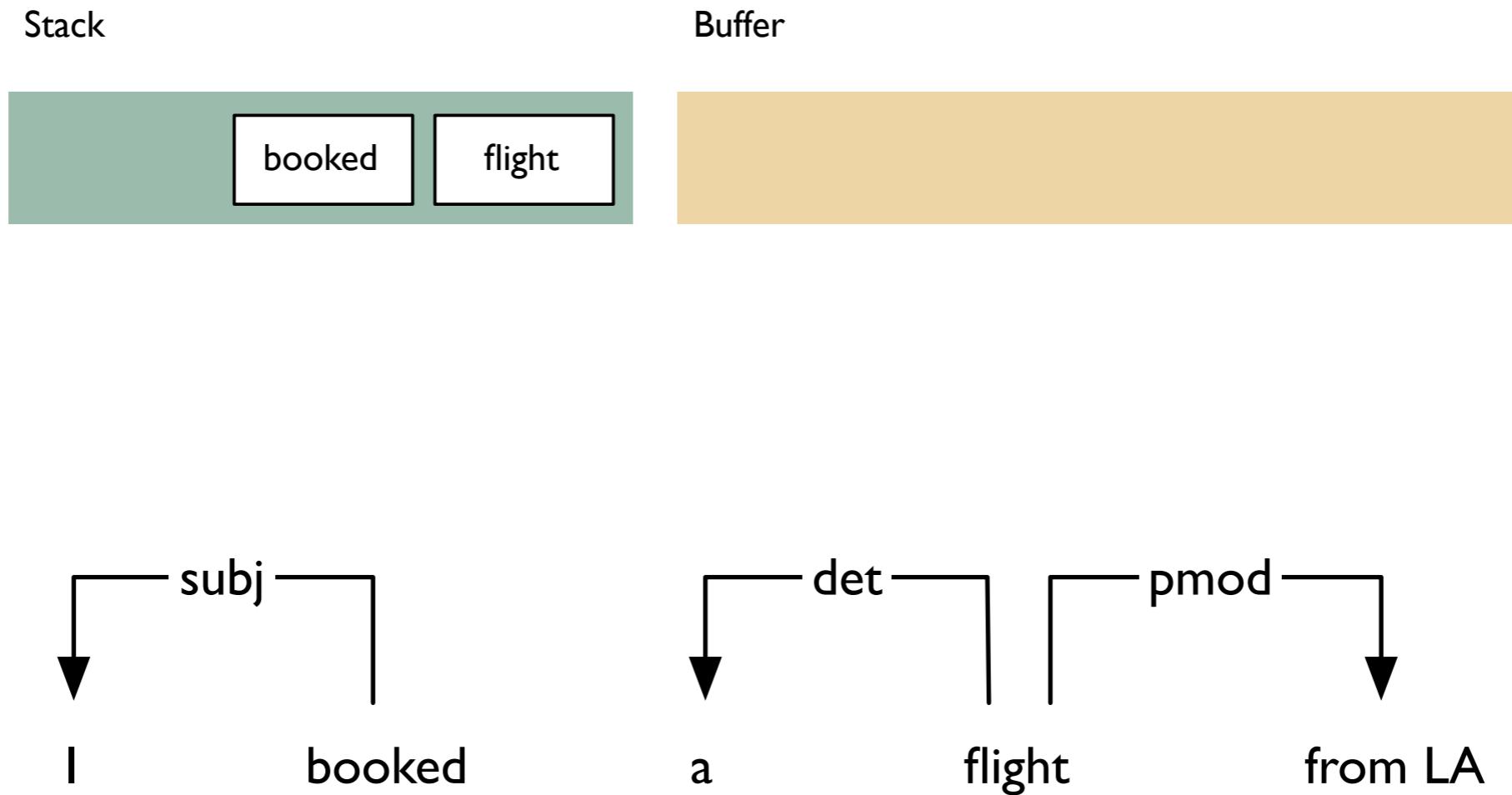
Example run





The arc-standard algorithm

Example run

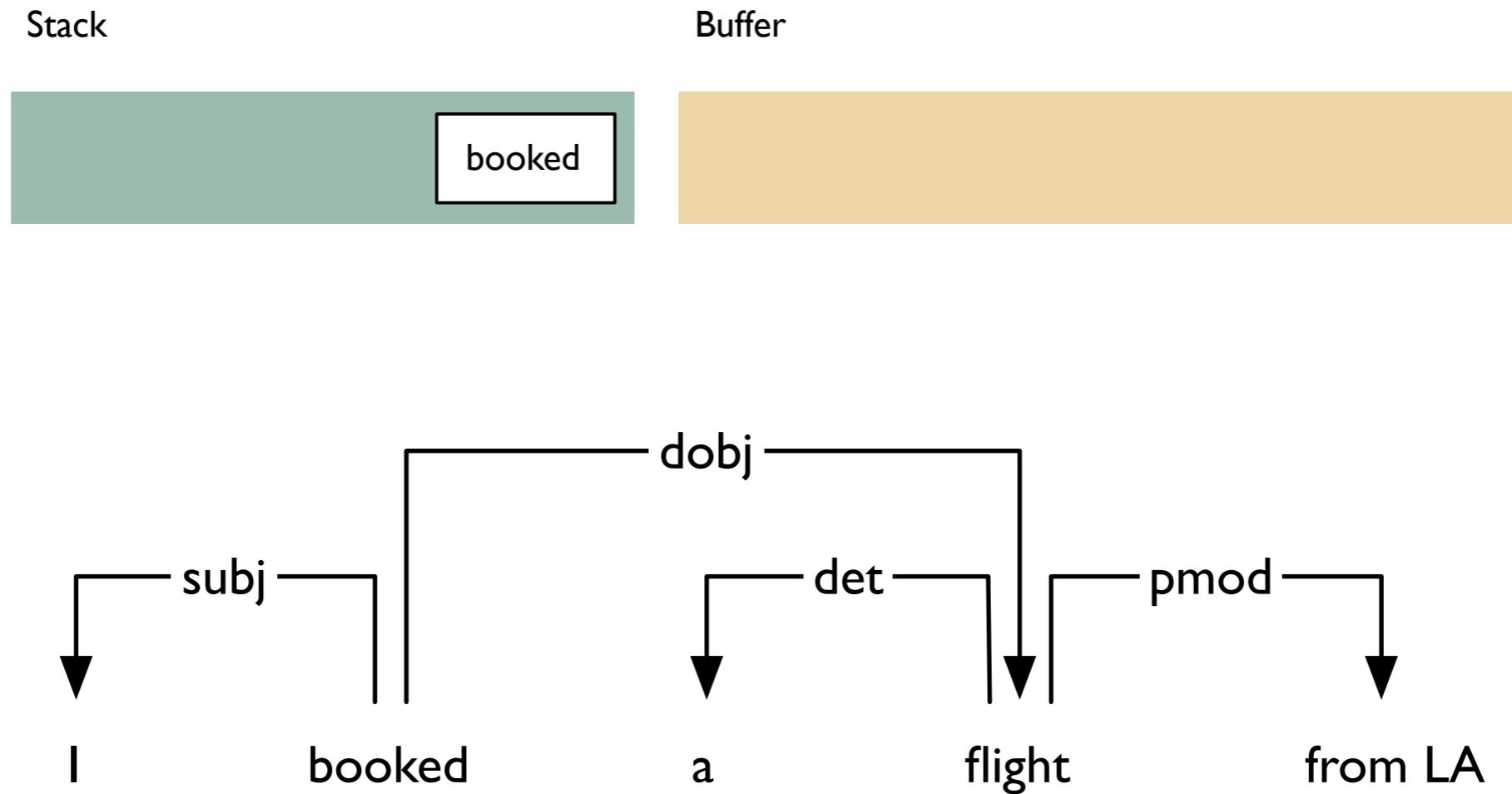


ra-dobj



The arc-standard algorithm

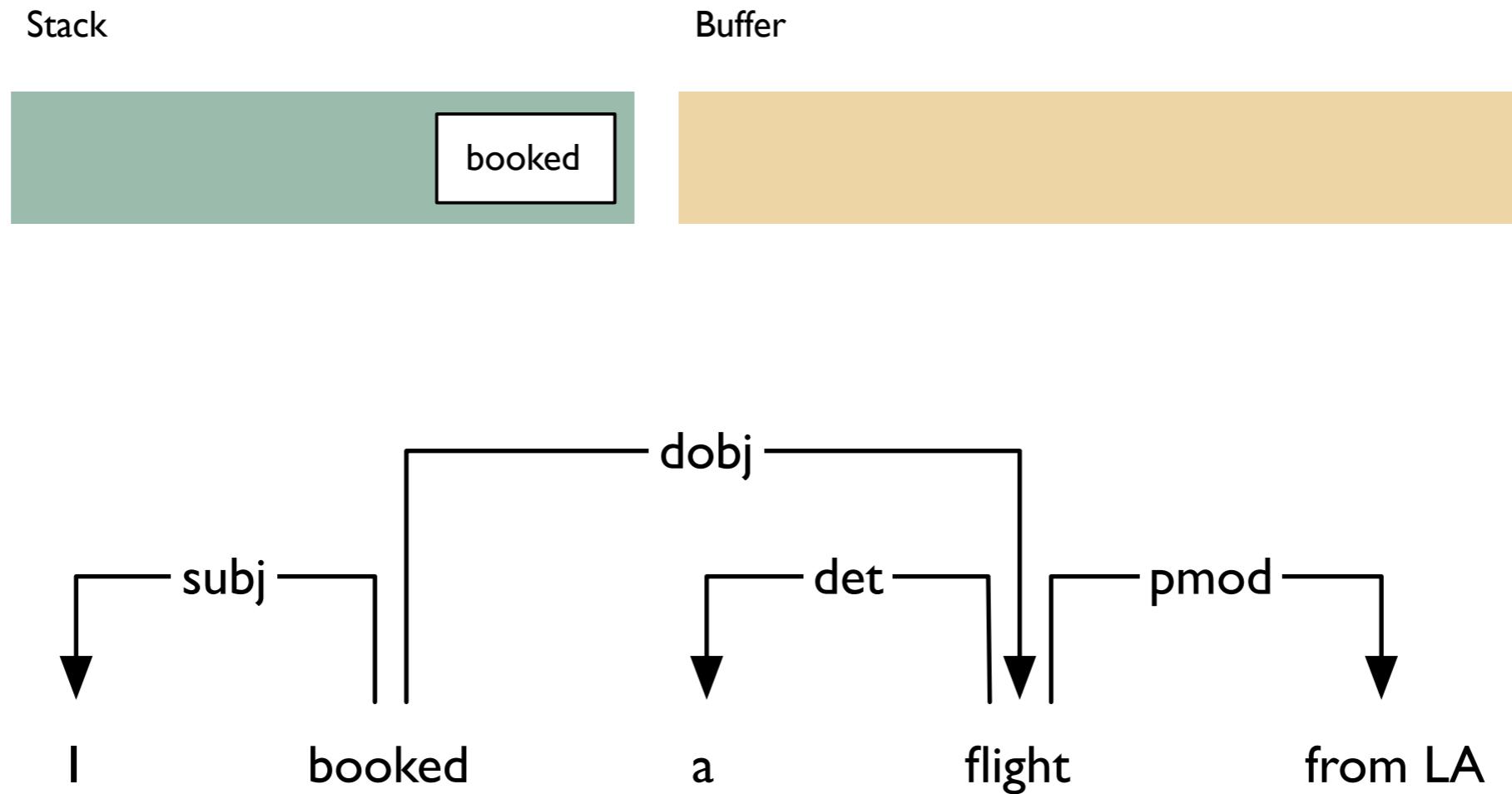
Example run





The arc-standard algorithm

Example run



done!



Complexity and optimality

- Time complexity is linear, $O(n)$, since we only have to treat each word once
- This can be achieved since the algorithm is greedy, and only builds one tree, in contrast to Eisner's algorithm, where all trees are explored
- There is no guarantee that we will even find the best tree given the model, with the arc-standard model
- There is a risk of error propagation
- An advantage is that we can use very informative features, for the ML algorithm



UPPSALA
UNIVERSITET

Training a guide



Guides

- We need a guide that tells us what the next transition should be.
- The task of the guide can be understood as **classification**: Predict the next transition (class), given the current configuration.



Training a guide

- We let the parser run on gold-standard trees.
- Every time there is a choice to make, we simply look into the tree and do ‘the right thing’™.
- We collect all (configuration, transition) pairs and train a classifier on them.
- When parsing unseen sentences, we use the trained classifier as a guide.



Training a guide

- The number of (configuration, transition) pairs is far too large.
- We define a set of **features** of configurations that we consider to be relevant for the task of predicting the next transition.

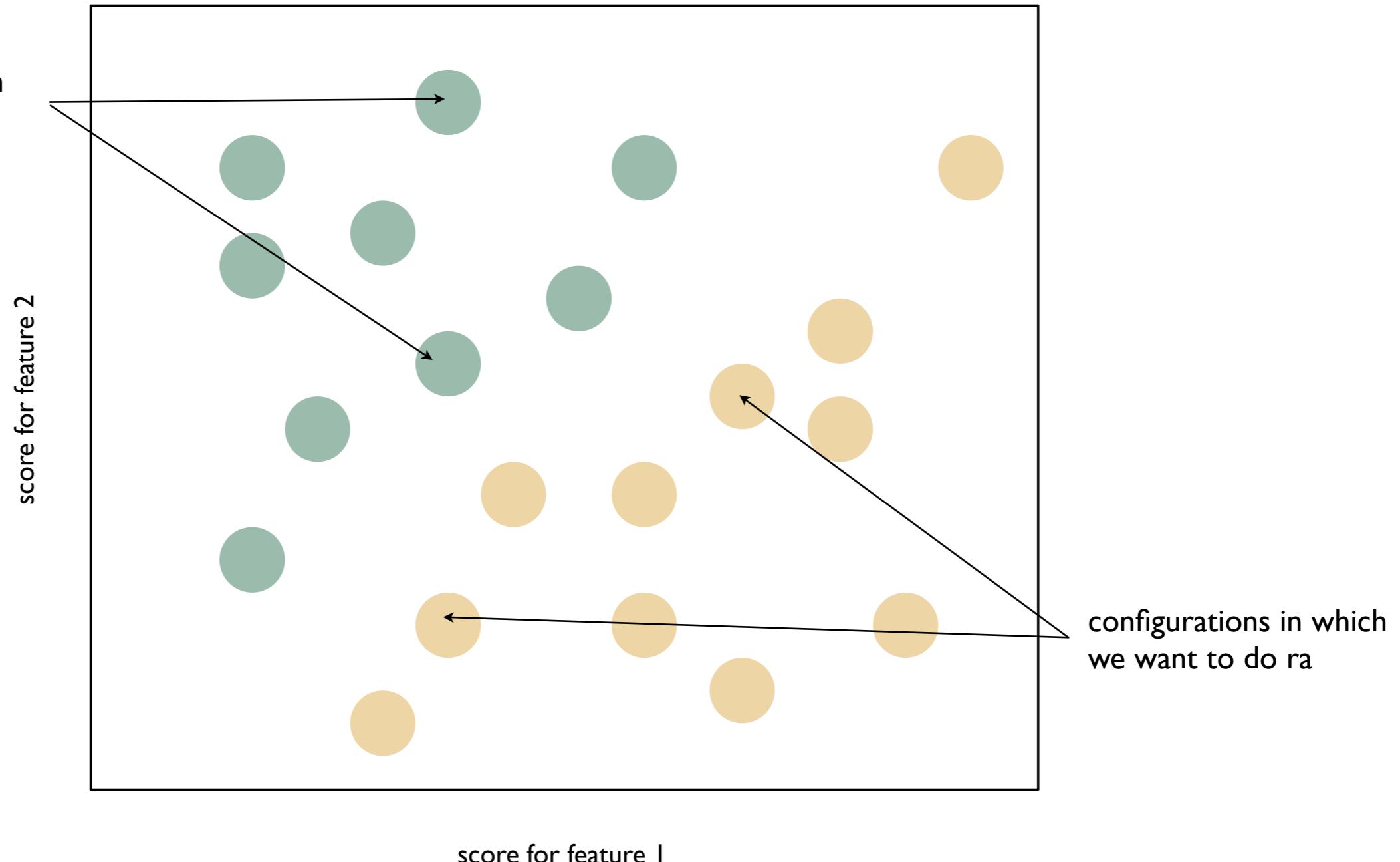
Example: word forms of the topmost two words on the stack and the next two words in the buffer

- We can then describe every configuration in terms of a **feature vector**.



Training a guide

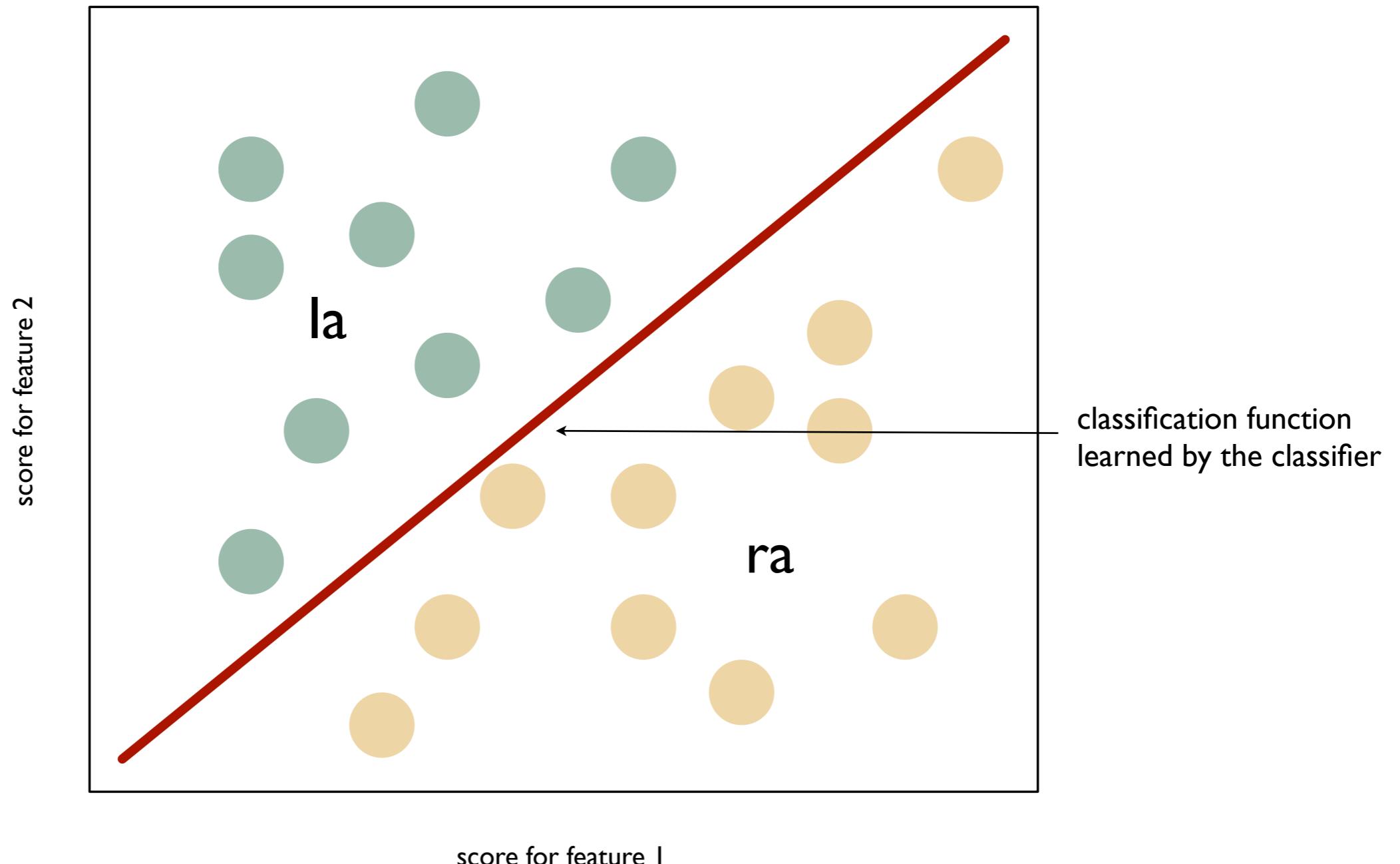
configurations in which
we want to do la





Transition-based dependency parsing

Training a guide





Training a guide

- In practical systems, we have thousands of features and hundreds of transitions.
- There are several machine-learning paradigms that can be used to train a guide for such a task.

Examples: perceptron, decision trees,
support-vector machines, memory-based learning



Example features

	Attributes				
Adress	FORM	LEMMA	POS	FEATS	DEPREL
Stack[0]	X	X	X	X	
Stack[1]				X	
Ldep(Stack[0])					X
Rdep(Stack[0])					X
Buffer[0]	X	X	X	X	
Buffer[1]				X	
Ldep(Buffer[0])					X
Ldep(Buffer[0])					X
...					

- Combinations of addresses and attributes (e.g. those marked in the table)
- Other features, such as distances, number of children, ...



UPPSALA
UNIVERSITET

Alternative transition models



Alternatives

- The arc-standard model as I presented it is just one example of a transition model
 - In the book you can see another version of the arc-standard model, where arcs are added between the topmost word on the stack and the tpmost word on the buffer
- There are many other alternatives



Arc-eager model

- Contains four transitions:
 - Shift
 - Reduce
 - Left-arc
 - Right-arc
- Advantage: not strictly bottom-up, can create arcs earlier than in the arc-standard model



Non-projective transition model

- Allows non-projective parsing by adding a swap transition
- Contains four transitions:
 - Shift
 - Swap
 - Left-arc
 - Right-arc
- Runtime is $O(n^2)$ in the worst case (but usually less in practice)



Transition models in Maltparser

- Nivre family
 - Arcs created between stack and buffer
 - arc-eager model
 - arc-standard (variant from course book)
 - Stack family
 - Arcs between two topmost words on stack
 - arc-standard model (from slides)
 - models with swap transition
 - Other families available as well



UPPSALA
UNIVERSITET

Evaluation of dependency parsing



Evaluation of dependency parsers

- **labelled attachment score (LAS):**
percentage of correct arcs,
relative to the gold standard
- **labelled exact match (LEM):**
percentage of correct dependency trees,
relative to the gold standard
- **unlabelled attachment score/exact match (UAS/
UEM):**
the same, but ignoring arc labels



Word- vs sentence-level AS

- Example: 2 sentence corpus
 - sentence 1: 9/10 arcs correct
 - sentence 2: 15/45 arcs correct
- Word-level (*micro-average*):
$$(9+15)/(10+45) = 24/55 = 0.436$$
- Sentence-level (*macro-average*):
$$(9/10+15/45)/2 = (0.9+0.33)/2 = 0.617$$
- Word-level evalution is normally used



UPPSALA
UNIVERSITET

Projectivity

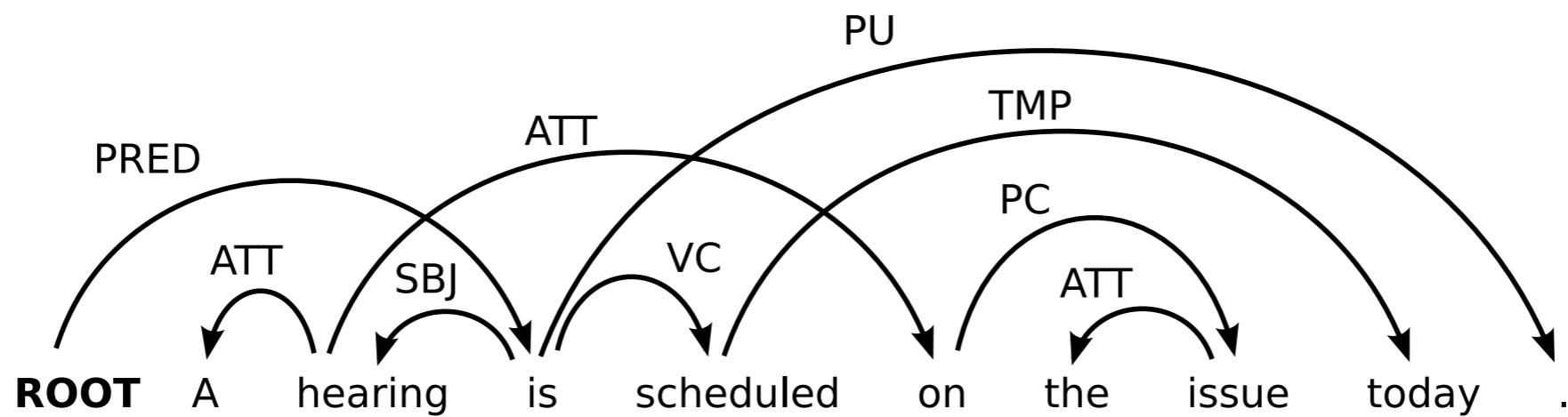
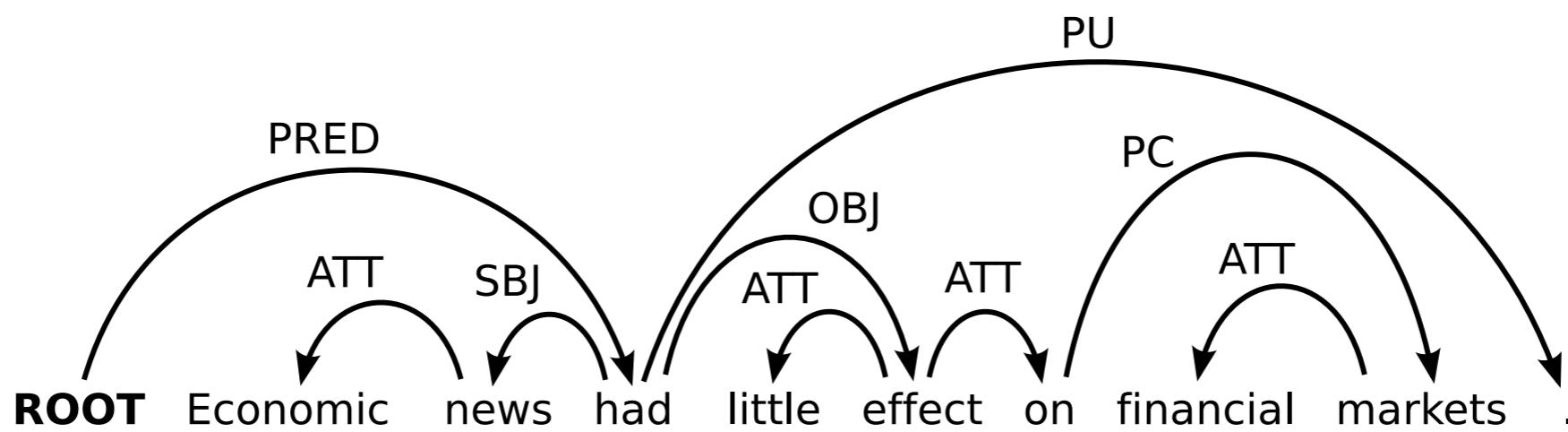


Projectivity

- A dependency tree is projective if:
 - For every arc in the tree, there is a directed path from the head of the arc to all words occurring between the head and the dependent (that is, the arc (i,l,j) implies that $i \rightarrow^* k$ for every k such that $\min(i, j) < k < \max(i, j)$)



Projective and non-projective trees





Projectivity and dependency parsing

- Many dependency parsing algorithms can only handle projective trees
 - Including all algorithms we have discussed in detail
- Non-projective trees do occur in natural language
 - How often depends on language (and treebank)



Non-projective dependency parsing

- Variants of transition-based parsing
 - Using a swap-transition
 - Using more than one stack
 - Pseudo-projective parsing (seminar 2)
- Graph-based parsing
 - Minimum spanning tree algorithms
- ...



Summary

- In transition-based dependency parsing one does not score graphs but computations, sequences of (configuration, transition) pairs.
- In its simplest form, transition-based dependency parsing uses classification.
- One specific instance of transition-based dependency parsing is the arc-standard algorithm.



The end of the course

- Seminar 2, Pseudo-projective parsing
 - Easier and shorter article than last seminar,
some more general questions
- Assignment 3: Disambiguation in arc-factored and
transition-based parsing
- Assignment 4: Try and evaluate MaltParser
- Course evaluation in the student portal