

## Lecture 1: Introduction

The goal of this class is to teach you to **solve** computation problems, and to communicate that your solutions are **correct** and **efficient**.

### Problem

- Binary relation from **problem inputs** to **correct outputs**
- Usually don't specify every correct output for all inputs (too many!)
- Provide a verifiable **predicate** (a property) that correct outputs must satisfy
- **Bounded** inputs
  - In this room, is there a pair of students with same birthday?
  - Not general, small input
- **Unbounded** inputs (generalization, arbitrarily large)
  - Given any set of  $n$  students, is there a pair of students with same birthday?
  - (Size of input is often called ' $n$ ', but not always!)

### Algorithm

- Procedure mapping each input to a **single** output (deterministic)
- Algorithm **solves** a problem if returns a correct output for every problem input
- Birthday Matching
  - Maintain **record** of names and birthdays (initially empty)
  - Interview each student in some order
    - \* If birthday exists in record, return found pair!
    - \* Else add name and birthday to record
  - Return None if last student interviewed without success

## Correctness

- Programs/algorithms have constant size
- For **bounded** inputs, can use case analysis
- For **unbounded** inputs, algorithm must be **recursive** or loop in some way
- Must use **induction** (why recursion is such a key concept in computer science)
- Birthday Matching
  - Induct on first  $k$ : number of students in record
  - **Base case**:  $k = 0$ , record has no match, and algorithm does not find one
  - If first  $k$  contains a match, already returns correctly by induction, thus so does  $k + 1$
  - Else first  $k$  do not have match, so if first  $k + 1$  has match, it contains  $k + 1$
  - Algorithm then checks whether birthday of student  $k + 1$  already exists in first  $k$  □

## Efficiency

- Produces a correct output in **polynomial time** with respect to input size  $n$
- (Sometimes no efficient algorithm exists for a problem, L20)
- Asymptotic Notation (from prereq)
  - Upper bounds ( $O$ ), lower bounds ( $\Omega$ ), tight bounds ( $\Theta$ )
  - $\in$ ,  $=$ , is, order
  - Particles in universe estimated  $< 10^{100}$

input	constant	logarithmic	linear	log-linear	quadratic	polynomial	exponential
$n$	$\Theta(1)$	$\Theta(\log n)$	$\Theta(n)$	$\Theta(n \log n)$	$\Theta(n^2)$	$\Theta(n^c)$	$2^{\Theta(n^c)}$
1000	1	$\approx 10$	1000	$\approx 10,000$	1,000,000		$2^{1000} \approx 10^{301}$

## Model of Computation (Word-RAM)

- **Memory:** Addressable sequence of machine words
- **Machine word:** block of  $w$  bits (word size, a  $w$ -bit Word-RAM)
- **Processor** supports many **constant time** operations on words:
  - **integer** arithmetic:  $(+, -, *, //, \%)$
  - **logical** operators:  $(\&\&, ||, !, ==, <, >, <=, ==>)$
  - **(bitwise** arithmetic:  $(\&, |, <<, >>, \dots)$
  - Given word  $a$ , can **read** word at address  $a$ , **write** word to address  $a$
- Memory address must be able to access every place in memory
- Assumption:  $w \geq \#$  bits to represent largest memory address ( $\log_2 n$ )
- 32-bit words  $\rightarrow$  max  $\sim 4$  GB memory
- 64-bit words  $\rightarrow$  max  $\sim 10^{10}$  GB of memory
- **Python** is a more complicated model of computation, implemented on a Word-RAM

## Data Structure

- A **data structure** is a way to store non-constant data, that supports a set of operations
- Collection of operations is called an **interface**
  - Sequence: Extrinsic order to items (first, last,  $n$ th)
  - Set: Intrinsic order to items (queries based on item keys)
- Data structures may implement the same interface with different performance
- **Static Array** - fixed width slots, fixed length, static sequence interface
  - `StaticArray(n)`: allocate a new static array of size  $n$  in  $\Theta(n)$  time
  - `StaticArray.at(i)`: return word stored at array index  $i$  in  $\Theta(1)$  time
  - `StaticArray.set(i, x)`: write word  $x$  to array index  $i$  in  $\Theta(1)$  time
- Stored word can hold the address of a larger object
- Like Python `tuple` plus `set(i, x)`
- Python `list` is a **dynamic array** (see L02)

```

1 def birthday_match(students):
2     '''
3     Find a pair of students with the same birthday
4     Input: tuple of student (name, bday) tuples
5     Output: tuple of student names or None
6     '''
7     n = len(students)                # O(1)
8     record = StaticArray(n)          # O(n)
9     for k in range(n):               # n
10        (name1, bday1) = students[k]  # O(1)
11        for i in range(k):            # k      Check if in record
12            (name2, bday2) = record.at(i) # O(1)
13            if bday1 == bday2:         # O(1)
14                return (name1, name2)  # O(1)
15        record.set(k, (name1, bday1))  # O(1)
16    return None                       # O(1)

```

## Analysis

- Two loops: outer  $k \in \{0, \dots, n-1\}$ , inner is  $i \in \{0, \dots, k\}$
- Running time is  $O(n) + \sum_{k=0}^{n-1} (O(1) + k \cdot O(1)) = O(n^2)$
- Quadratic in  $n$  is **polynomial**. Efficient?
- Can do better using different data structure!

## How to Solve an Algorithms Problem

1. Reduce to a problem you already know (use data structure or algorithm)

### Search Problem (Data Structures)

Static Array (L01)  
 Linked List (L02)  
 Dynamic Array (L02)  
 Sorted Array (L03)  
 Direct-Access Array (L04)  
 Hash Table (L04)  
 Balanced Binary Tree (L06-L07)  
 Binary Heap (L08)

### Sort Algorithms

Insertion Sort (L03)  
 Selection Sort (L03)  
 Merge Sort (L03)  
 Counting Sort (L05)  
 Radix Sort (L05)  
 AVL Sort (L07)  
 Heap Sort (L08)

### Shortest Path Algorithms

Breadth First Search (L09)  
 DAG Relaxation (L11)  
 Depth First Search (L10)  
 Topological Sort (L10)  
 Bellman-Ford (L12)  
 Dijkstra (L13)  
 Johnson (L14)  
 Floyd-Warshall (L18)

2. Design your own (recursive) algorithm

- Brute Force
- Decrease and Conquer
- Divide and Conquer
- **Dynamic Programming** (L15-L19)
- Greedy / Incremental