# $FTP \underbrace{Algorithms}_{\overline{C}heat\ Sheet}$

Diego Gil

Herbstsemester 2024/25

# Contents

#### Search and Analysis 1

#### 2 Data Structures

#### 2.1 Trees

#### 2.1.1**KD-Trees**

**Problem Type:** Construction of a KD-Tree from 2D points

#### What to Look For:

- Set of 2D points given as coordinates
- Request to build a KD-Tree
- Questions about tree properties (height, leaves)

Given Points:  $P = \{(1,3), (12,1), (4,5), (5,4), ($ (10,11),(8,2),(2,7)

# Solution Strategy:

- 1. Sort points by x-coordinate (root level)
- 2. Find median point
- 3. Split into left/right subtrees
- 4. Repeat with y-coordinates for next level
- 5. Continue alternating x/y until all points placed

#### **Detailed Solution:**

# 1. Root Level (x-split)

• Sorted x: (1,3),(2,7),(4,5),(5, 4),(8,2),(10,11),(12,1)

• Median (5,4) becomes root  $\ell_1$ 

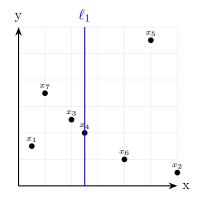


Figure 1: \* Coordinate Split at Root Level

## 2. Tree Structure

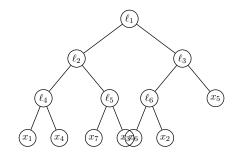


Figure 2: \* KD-Tree Structure

# 3. Final Properties

• Height: 3 (counting from 0)

• Leaves: 7 (all original points)

• Second leaf from left: (4,5)

# Exam Tips:

- 1. Always start by sorting points on current dimension
- 2. Mark median point clearly in your sorting
- 3. Draw coordinate system with splitting lines
- 4. Keep track of which dimension you're splitting on:
  - Level 0: x-coordinate
  - Level 1: y-coordinate
  - Level 2: x-coordinate
  - And so on...
- 5. Verify tree properties at the end

## Common Mistakes to Avoid:

- Don't forget to alternate dimensions
- Don't skip sorting at each level
- Don't mix up left (<) and right (>) subtrees
- Don't forget to verify final tree properties

# **KD-Tree Complexity Analysis**

**Problem Type:** Complexity proof for KD-Tree construction

#### What to Look For:

- Proof of time complexity  $O(n \log n)$
- Proof of space complexity O(n)
- Recursive analysis

# Solution Strategy:

- 1. Prove space complexity first (easier)
- 2. Analyze recursive structure
- 3. Set up recurrence relation
- 4. Apply Master Theorem

# Space Complexity Proof:

- 1. For  $n=2^k$  points:
  - Internal nodes (parents):  $2^k 1$
  - Total nodes:  $2^k + 2^{k-1} = n + n/2 = 3n/2 < 3n$
- 2. For general n (not power of 2):
  - Find t where  $2^{t-1} < n < 2^t$

  - Internal nodes  $n_p$ :  $2^{t-2} < n_p < 2^{t-1}$  Total nodes:  $3 \cdot 2^{t-2} < n + n_p < 3 \cdot 2^{t-1}$
  - Therefore:  $n + n_p < 3n$
- 3. Each node uses O(1) storage
- 4. Total storage:  $O(1) \cdot O(n) = O(n)$

# Time Complexity Proof:

- 1. At each recursion:
  - Split n points into two subsets of n/2
  - Finding median costs O(n)

2. Recurrence relation:

$$T(n) = \begin{cases} O(1) & \text{if } n = 1\\ 2T(n/2) + O(n) & \text{if } n > 1 \end{cases}$$

- 3. Apply Master Theorem:
  - Similar to Merge-Sort analysis
  - Results in  $T(n) = O(n \log n)$

# Key Points for Exam:

- Space complexity proof:
  - Count nodes for power of 2
  - Extend to general case
  - Multiply by constant storage
- Time complexity proof:
  - Identify recursive pattern
  - Write recurrence relation
  - Apply Master Theorem
- Remember median finding is O(n)

## Common Mistakes to Avoid:

- Don't forget to account for non-power-of-2 cases
- Don't ignore constant factors in space analysis
- Remember to justify linear median finding
- Don't skip the Master Theorem application

# 3 Graph Algorithms

# 3.1 Graph Representations

## 3.1.1 Graph Transpose

**Problem Type:** Computing transpose  $G^T$  of a directed graph G = (V, E)

# What to Look For:

- Graph representation type (matrix/list)
- Direction of edges must be reversed
- Time complexity analysis required

## **Key Definitions:**

- $G^T = (V, E^T)$  where  $E^T = \{(v, u) \mid (u, v) \in E\}$
- |V| = n (number of vertices)
- |E| (number of edges)

# Solution for Adjacency Matrix:

1. Given matrix  $M_G$ , create  $M_G^T$  by swapping entries:

$$M = \begin{pmatrix} m_{11} & m_{12} & \cdots & m_{1n} \\ m_{21} & m_{22} & \cdots & m_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ m_{n1} & m_{n2} & \cdots & m_{nn} \end{pmatrix}$$

$$M^{T} = \begin{pmatrix} m_{11} & m_{21} & \cdots & m_{n1} \\ m_{12} & m_{22} & \cdots & m_{n2} \\ \vdots & \vdots & \ddots & \vdots \\ m_{1n} & m_{2n} & \cdots & m_{nn} \end{pmatrix}$$

2. Example:

$$M = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix}, M^T = \begin{pmatrix} 1 & 3 \\ 2 & 4 \end{pmatrix}$$

- 3. Time Complexity:  $\Theta(n^2)$ 
  - Must swap  $n^2 n$  entries (excluding diagonal)
  - Each swap is O(1)

# Solution for Adjacency List:

- 1. Create empty adjacency lists for  $G^T$ : O(n)
- 2. For each vertex v in G:
  - For each edge (v, w) in v's adjacency list
  - Add v to w's list in  $G^T$
- 3. Time Complexity:  $\Theta(|V| + |E|)$ 
  - Creating lists: O(|V|)
  - Processing edges: O(|E|)

# Comparison:

- Matrix:  $\Theta(n^2)$  always
- List:  $\Theta(|V| + |E|)$  which is better for sparse graphs
- List requires more complex implementation

#### Common Mistakes to Avoid:

- Don't forget self-loops (diagonal elements)
- Don't count diagonal elements in matrix swaps
- Remember to initialize all new lists in adjacency list solution
- Don't confuse |V| and |E| in complexity analysis

# 3.2 Shortest Paths

# 3.2.1 Dijkstra's Algorithm Limitations

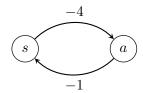
**Problem Type:** Counterexample for Dijkstra with negative weights

# What to Look For:

- Directed graph with negative weights
- Minimal example showing algorithm failure
- Negative cycle demonstration

#### Solution:

1. Consider this directed graph:



- 2. Why Dijkstra fails:
  - Initial distance to a: -4
  - After one cycle: -5
  - After two cycles: −6
  - Continues to decrease indefinitely

## **Key Properties:**

- Any negative cycle causes Dijkstra to fail
- Algorithm assumes:
  - Edge weights are non-negative
  - Shortest paths exist (no negative cycles)
- For negative weights, use Bellman-Ford instead

#### Common Mistakes to Avoid:

- Single negative edge isn't enough
- Example must have negative total cycle weight
- Remember: Bellman-Ford can detect negative cycles

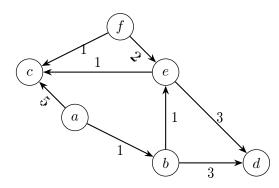
# 3.2.2 Dijkstra's Algorithm Step-by-Step

**Problem Type:** Tracing Dijkstra's algorithm iterations

## What to Look For:

- Starting vertex (source)
- Number of iterations to analyze
- Distance values after specific iterations
- Edge weights and graph structure

# Example Graph:



#### Initial State:

- Source vertex a: distance = 0
- All other vertices: distance =  $\infty$
- No vertices marked as visited

# After Two Iterations:

- 1. First Iteration:
  - Visit a
  - Update: b.d = 1, c.d = 5
- 2. Second Iteration:
  - Visit b (closest unvisited)
  - Update: d.d = 4, e.d = 2

#### Final State After 2 Iterations:

- Visited:  $\{a, b\}$
- Distances:
  - -c.d = 5 (via a)
  - -d.d = 4 (via b)
  - -e.d = 2 (via b)
  - $-f.d = \infty$  (no path found yet)

# **Key Points:**

- Always visit closest unvisited vertex
- Update distances through latest visited vertex
- Keep track of visited set
- Remember: distances are cumulative

#### Common Mistakes to Avoid:

- Don't forget to mark vertices as visited
- Only update distances through current vertex
- Check all edges from current vertex
- Remember to compare new paths with existing ones

## 3.3 BFS Properties

#### 3.3.1 BFS Limitations with Shortest Paths

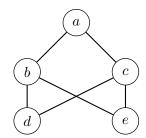
**Problem Type:** Counterexample showing BFS limitations

## What to Look For:

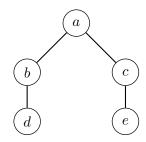
- Graph must have multiple shortest paths
- Some shortest paths cannot be discovered by BFS
- Example should be minimal

#### Solution:

1. Consider this undirected graph G:



2. Consider subgraph G' (a valid shortest path tree):



## **Key Observations:**

- G' contains shortest paths from a to all vertices
- These paths are unique in G'
- BFS can never produce exactly G' because:
  - If it visits b before c: will use (b, e) instead of (c, e)
  - If it visits c before b: will use (c, d) instead of (b, d)
- No vertex ordering in BFS can produce G'

# Important Properties:

- BFS always finds shortest paths
- But cannot find all possible shortest path trees
- Order of vertex processing affects which paths are found

• Some valid shortest path trees are impossible for BFS

# Common Mistakes to Avoid:

- Don't confuse "shortest path" with "shortest path
- Remember BFS guarantees shortest paths but not specific trees
- Example must work for all possible vertex orderings
- Graph should be minimal (removing edges breaks the property)