









# On the convergence of the Krasnoselskij iteration for strictly pseudocontractive operators

Diego Deplano<sup>†</sup>, Sergio Grammatico<sup>‡</sup>, Mauro Franceschelli<sup>†</sup>

<sup>†</sup>Department of Electrical and Electronic Engineering, University of Cagliari, Italy <sup>‡</sup>Delft Center for Systems and Control, TU Delft, The Netherlands

IEEE European Control Conference 2025 – June 23-27 – Thessaloniki, Greece

- Introduction
- 2 From strict pseudocontractions to enriched weak contractions
- 3 Main results in  $\ell_{\infty}$  normed Banach spaces with examples
- 4 Conclusions



DOWNLOAD

#### Outline

- Introduction
- Prom strict pseudocontractions to enriched weak contractions
- $\bigcirc$  Main results in  $\ell_{\infty}$  normed Banach spaces with examples
  - 4 Conclusions



ARXIV

DOWNLOAD

ARXIV

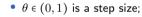
DOWNLOAD

## The Krasnoselskij iteration

We study nonlinear discrete-time iterations of the following kind:

$$x(k+1) = (1-\theta)x(k) + \theta T(x(k)), \qquad k \in \mathbb{N},$$

#### where:



•  $T: \mathbb{R}^n \to \mathbb{R}^n$  is an operator.

What are the most general property for the operator  $\top$  and the largest values of  $\theta$  ensuring the convergence of the iteration?

Diego Deplano

## The Krasnoselskij iteration

We study nonlinear discrete-time iterations of the following kind:

$$\boldsymbol{x}(k+1) = (1-\theta)\boldsymbol{x}(k) + \theta\mathsf{T}(\boldsymbol{x}(k)), \qquad k \in \mathbb{N},$$

where:



ARXIV

- $\theta \in (0,1)$  is a step size;
- $T: \mathbb{R}^n \to \mathbb{R}^n$  is an operator.

What are the most general property for the operator T and the largest values of  $\theta$  ensuring the convergence of the iteration?

Diego Deplano



Figure: Multi-Robot Systems



Figure: Smart grids



Figure: Distributed optimization



Figure: Neural Networks

ARXIV

DOWNLOAD

# Literature review with timeline on the iteration $x(k+1) = (1-\theta)x(k) + \theta T(x(k))$

**1955** - Krasnoselskij (*sufficient*):

- Uniformly convex Banach spaces
- T is nonexpansive and  $\theta = 1/2$

M. A. Krasnoselskij (1955), "Two remarks on the method of successive approximations", Uspekhi matematicheskikh nauk.

**1966** - Edelstein (*sufficient*):

- Strictly convex Banach spaces
- T is nonexpansive and  $\theta \in (0,1)$

M. Edelstein (1966), "A remark on a theorem of MA Krasnoselski", Amer. Math. Monthly.

1976 - Ishikawa (sufficient):

- General Banach spaces (no convexity assumptions)
- T is nonexpansive and  $\theta \in (0,1)$

S. Ishikawa (1976), "Fixed points and iteration of a nonexpansive mapping in a Banach space", Proc. Amer. Math. Soc.

ARXIV



DOWNLOAD

# Literature review with timeline on the iteration $x(k+1) = (1-\theta)x(k) + \theta T(x(k))$

2007 - Marino and Xu (sufficient):

- Hilbert spaces
- T is  $\kappa$ -strictly pseudocontractive and  $\theta \in (0, 1 \kappa)$

G. Marino and H.K. Xu (2007), "Weak and strong convergence theorems for strict pseudo-contractions in Hilbert spaces", Journal of Mathematical Analysis and Applications.

2018 - Belgioioso, Fabiami, Blanchini, Grammatico (necessary and sufficient):

- Hilbert spaces and linear maps
- T is  $\kappa$ -strictly pseudocontractive and  $\theta \in (0, 1 \kappa)$

Belgioioso, F. Fabiani, F. Blanchini, and S. Grammatico (2018), "On the convergence of discrete-time linear systems: A linear time-varying mann iteration converges iff its operator is strictly pseudocontractive", IEEE Control Systems Letters.

Is strict pseudocontractivity also sufficient in Banach spaces?

ARXIV Isaasi≡



DOWNLOAD

Diego Deplano

# Literature review with timeline on the iteration $x(k+1) = (1-\theta)x(k) + \theta T(x(k))$

2025 - D. Deplano, S. Grammatico, and M. Franceschelli (sufficient):

- Banach spaces with p-norms with  $p \in (1, \infty)$
- T is  $\kappa$ -strictly pseudocontractive and  $\theta^r \in \left(0, \frac{1-\kappa}{c_p}\right)$  with  $r = \min\{p, 2\}$  and  $c_p \ge 1$  is a constant.
- D. Deplano, S. Grammatico, and M. Franceschelli (2025), "On the convergence of the Krasnoselskij iteration for strictly pseudocontractive operators", IEEE European Control Conference.

2025 - D. Deplano, S. Grammatico, and M. Franceschelli (sufficient):

- Banach spaces with ∞-norms
  - T is (b,c)-enriched weakly contractive and  $\theta \in (0,\frac{1}{b+1})$ .
- D. Deplano, S. Grammatico, and M. Franceschelli (2025), "Non-Euclidean Enriched Contraction Theory for Monotone Operators and Monotone Dynamical Systems", under review, available in arXiv.

ARXIV



JUWNLUAD

## Outline

- Introduction
- 2 From strict pseudocontractions to enriched weak contractions
- 3 Main results in  $\ell_{\infty}$  normed Banach spaces with examples
  - 4 Conclusions



DOWNLOAD

#### **Definition**

Given a real Hilbert space  $(\mathbb{R}^n, \|\cdot\|)$ , an operator  $T : \mathbb{R}^n \to \mathbb{R}^n$  is called  $\kappa$ -strictly pseudocontractive if, for some  $\kappa \in (0, 1)$  and for all  $x, y \in \mathbb{R}^n$ , it satisfies

$$\|\mathsf{T}(x) - \mathsf{T}(y)\|^2 \le \|x - y\|^2 + \kappa \|x - y - (\mathsf{T}(x) - \mathsf{T}(y))\|^2.$$

#### Definition

Given a real Banach space  $(\mathbb{R}^n, \|\cdot\|_p)$ , an operator  $T : \mathbb{R}^n \to \mathbb{R}^n$  is called  $\kappa$ -strictly pseudocontractive if for some  $\kappa \in (0,1)$  and for all  $x, y \in \mathbb{R}^n$ , there exists a linear mapping  $\mathsf{L}_{\mathsf{j}_T(x-y)} \in \mathsf{J}_T(x-y)$  with  $r = \min\{p,2\}$  such that

$$\mathsf{L}_{\mathsf{j}_r(\boldsymbol{x}-\boldsymbol{y})}(\mathsf{T}(\boldsymbol{x}) - \mathsf{T}(\boldsymbol{y})) \leq \|\boldsymbol{x} - \boldsymbol{y}\|_p^r - \frac{1-\kappa}{r}\|\boldsymbol{x} - \boldsymbol{y} - (\mathsf{T}(\boldsymbol{x}) - \mathsf{T}(\boldsymbol{y}))\|_p^r,$$

where  $L_z$  denotes a linear mapping  $L_z(x) = z^T x$  and  $J_r$  is the generalized dual mapping containing all continuous linear mappings such that

$$\mathsf{J}_r(oldsymbol{x}) = \{\mathsf{L}_{oldsymbol{z}}: \mathbb{R}^n 
ightarrow \mathbb{R} \mid oldsymbol{x}^ op oldsymbol{z} = \|oldsymbol{x}\|_p^r, \|oldsymbol{x}\|_p^{r-1} = \|oldsymbol{z}\|_q, \,\, oldsymbol{z} \in \mathbb{R}^n\},$$

where  $r \in [1,2]$  and  $p,q \in [1,\infty]$  are Holder's conjugate

ARXIV

DOWNLOAD

Diego Deplano

#### **Definition**

Given a real Hilbert space  $(\mathbb{R}^n, \|\cdot\|)$ , an operator  $T : \mathbb{R}^n \to \mathbb{R}^n$  is called  $\kappa$ -strictly pseudocontractive if, for some  $\kappa \in (0, 1)$  and for all  $x, y \in \mathbb{R}^n$ , it satisfies

$$\|\mathsf{T}(x) - \mathsf{T}(y)\|^2 \le \|x - y\|^2 + \kappa \|x - y - (\mathsf{T}(x) - \mathsf{T}(y))\|^2.$$

#### Definition

Given a real Banach space  $(\mathbb{R}^n,\|\cdot\|_p)$ , an operator  $\mathsf{T}:\mathbb{R}^n\to\mathbb{R}^n$  is called  $\kappa$ -strictly pseudocontractive if, for some  $\kappa\in(0,1)$  and for all  $x,y\in\mathbb{R}^n$ , there exists a linear mapping  $\mathsf{L}_{\mathsf{j}_r(x-y)}\in\mathsf{J}_r(x-y)$  with  $r=\min\{p,2\}$  such that

$$\mathsf{L}_{\mathsf{j}_r(\boldsymbol{x}-\boldsymbol{y})}(\mathsf{T}(\boldsymbol{x})-\mathsf{T}(\boldsymbol{y})) \leq \|\boldsymbol{x}-\boldsymbol{y}\|_p^r - \frac{1-\kappa}{r}\|\boldsymbol{x}-\boldsymbol{y}-(\mathsf{T}(\boldsymbol{x})-\mathsf{T}(\boldsymbol{y}))\|_p^r,$$

where  $L_z$  denotes a linear mapping  $L_z(x) = z^T x$  and  $J_r$  is the generalized dual mapping containing all continuous linear mappings such that

$$\mathsf{J}_r(\boldsymbol{x}) = \{\mathsf{L}_{\boldsymbol{z}} : \mathbb{R}^n \to \mathbb{R} \mid \boldsymbol{x}^{\mathsf{T}} \boldsymbol{z} = \|\boldsymbol{x}\|_p^r, \|\boldsymbol{x}\|_p^{r-1} = \|\boldsymbol{z}\|_q, \ \boldsymbol{z} \in \mathbb{R}^n\},$$

where  $r \in [1, 2]$  and  $p, q \in [1, \infty]$  are Holder's conjugate.

ARXIV

DOWNLOAD

Diego Deplano

# Main convergence result in Banach spaces equipped with a p-norm with $p \in (1, \infty)$

#### Theorem 2 and Lemma 5 in [R1]

Consider a real Banach space  $(\mathbb{R}^n, \|\cdot\|_p)$  and a map  $T : \mathbb{R}^n \to \mathbb{R}^n$  such that  $fix(T) \neq \emptyset$ . Then, the Krasnoselskij iteration

$$x(k+1) = (1-\theta)x(k) + \theta T(x(k)), \qquad k \in \mathbb{N},$$

converges if T is  $\kappa$ -strictly pseudocontractive for any  $p \in (1, \infty)$  and

$$\theta^{r-1} < (1-\kappa)/c_p,$$
 with  $r = \min\{p, 2\},$ 

where the best possible constant  $c_n$  is given by:

$$c_p = \begin{cases} p - 1 & \text{if } p \ge 2\\ (1 + t_p^{p-1})(1 + t_p)^{1-p} & \text{if } p \in (1, 2) \end{cases},$$

with  $t_p$  being the unique solution of  $(p-2)t^{p-1} + (p-1)t^{p-2} = 1$ .

[R1] D. Deplano, S. Grammatico, and M. Franceschelli (2025), "On the convergence of the Krasnoselskij iteration for strictly pseudocontractive operators", IEEE European Control Conference.

ARXIV



DOWNLOAD

#### Brief discussion of the result

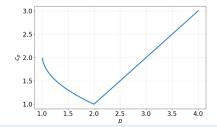
**Good outcome** - Correction of existing results in the literature:

- The results in the following two works actually hold only in Hilbert spaces:
  - C. E. Chidume and N. Shahzad (2010). "Weak convergence theorems for a finite family of strict pseudocontractions", Nonlinear Analysis: Theory, Methods & Applications.
  - D.R. Sahu and A. Petrusel (2011). "Strong convergence of iterative methods by strictly pseudocontractive mappings in Banach spaces", Nonlinear Analysis: Theory, Methods & Applications.

The results in the following work actually hold only in Banach spaces with [2,3]-norms:

 P. Cholamjiak and S. Suantai (2010). "Weak convergence theorems for a countable family of strict pseudocontractions in Banach spaces", Fixed Point Theory and Applications.

**Bad outcome** - The bound is still very mild, especially for  $p \gg 2$ .



ARXIV



DOWNLOAD

Diego Deplano

## An alternative property to strict pseudocontractivity

#### Definition

Given a real Banach space  $(\mathbb{R}^n, \|\cdot\|)$ , an operator  $\mathsf{T}: \mathbb{R}^n \to \mathbb{R}^n$  is called (b, c)-enriched weakly contractive if, for some  $b \ge 0$ ,  $c \in [0, b+1]$  and for all  $x, y \in \mathbb{R}^n$ , it satisfies

$$||b(x-y) + T(x) - T(y)|| \le (b-c+1)||x-y||.$$

A (b, c)-enriched weakly contractive operator is:

- Contractive if 0 = b < c < 1:</li>
  - Weakly contractive (= nonexpansive) if b = c = 0;
  - Enriched nonexpansive if b > c = 0.

Remark: We note that for  $c \in (b, b+1]$ , the coefficient on the right-hand side (b-c+1) is strictly less than one < 1, yielding a weak form of contractivity rather than nonexpansiveness.

ARXIV



## Equivalency in Hilbert spaces

#### Proposition 1 in [R2]

Let  $(\mathbb{R}^n, \|\cdot\|)$  be a real Hilbert space. An operator  $T: \mathbb{R}^n \to \mathbb{R}^n$  is  $\kappa$ -strictly pseudocontractive if and only if it is (b,0)-enriched weakly contractive with

$$b = \frac{k}{1-k}$$
, or equivalently  $k = \frac{b}{b+1}$ .

[R2] D. Deplano, S. Grammatico, and M. Franceschelli (2025), "Non-Euclidean Enriched Contraction Theory for Monotone Operators and Monotone Dynamical Systems", under review, available in arXiv.

Diego Deplano

ARXIV

DOWNLOAD

### Equivalency in Hilbert spaces

#### Proposition 1 in [R2]

Let  $(\mathbb{R}^n, \|\cdot\|)$  be a real Hilbert space. An operator  $T: \mathbb{R}^n \to \mathbb{R}^n$  is  $\kappa$ -strictly pseudocontractive if and only if it is (b,0)-enriched weakly contractive with

$$b = \frac{k}{1-k}$$
, or equivalently  $k = \frac{b}{b+1}$ .

 $b = \frac{1}{1-k}$ , or equivalently  $k = \frac{b}{b+1}$ .

[R2] D. Deplano, S. Grammatico, and M. Franceschelli (2025), "Non-Euclidean Enriched Contraction Theory for Monotone Operators and Monotone Dynamical Systems", under review, available in arXiv.

Enriched weak contractivity appears to be the perfect candidate property to generalize convergence results for the Krasnoselskij iteration in Banach spaces.

DOWNLOAD

ARXIV

Diego Deplano

## Outline

- Introduction
- Prom strict pseudocontractions to enriched weak contractions
- **3** Main results in  $\ell_{\infty}$  normed Banach spaces with examples
  - 4 Conclusions



DOWNLOAD

## Convergence result

#### Theorem 4 in [R2]

Consider an operator T that is (b,c)-enriched weakly contractive with  $b>0,\ c\geq 0$ . and w.r.t.

$$\|\boldsymbol{x}\|_{\infty,[\boldsymbol{\eta}]^{-1}} = \max_{i=1,\cdots,n} \frac{1}{\eta_i} |x_i|, \quad \text{for some} \quad \boldsymbol{\eta} \in \boldsymbol{\eta} \in \mathbb{R}^n_+,$$

If c > 0, then the Krasnoselskij iteration converges to the unique fixed point  $fix(T) = \{x^*\}$  for

$$\theta \in \left(0, \frac{1}{b+1}\right].$$

Moreover, it holds that

$$\|\boldsymbol{x}(k+1) - \boldsymbol{x}^*\| \le (1 - \theta c) \|\boldsymbol{x}(k) - \boldsymbol{x}^*\|, \quad \forall k \in \mathbb{N}.$$

If  $c = 0 \land \text{fix}(\mathsf{T}) \neq \emptyset$ , the iteration converges for  $\theta \in (0, 1/(b+1))$  and fixed-point set is not a singleton.

[R2] D. Deplano, S. Grammatico, and M. Franceschelli (2025), "Non-Euclidean Enriched Contraction Theory for Monotone Operators and Monotone Dynamical Systems", under review, available in arXiv.

ARXIV



#### Frame Title

#### Theorem 1 in [R2]

Let  $T: \mathbb{R}^n \to \mathbb{R}^n$  be a Lipschitz operator. For  $b \ge 0$ ,  $\eta \in \mathbb{R}^n_+$ , the following statements are equivalent:

- (i) T is (b,c)-enriched weakly contractive w.r.t.  $\|\cdot\|_{\infty,[n]^{-1}}$ ;
- (ii)  $|bI + DT(\boldsymbol{x})| \boldsymbol{\eta} \leq (b c + 1) \boldsymbol{\eta}$  for all  $\boldsymbol{x} \in \mathbb{R}^n$ .

Let  $b^*$  be the minimum b such that the above hold, then

$$0 \leq b^{\star} \leq \max\{0, \mathsf{diagL}(-\mathsf{T})\}, \quad \text{where} \quad \mathsf{diagL}(-\mathsf{T}) \coloneqq \underset{\boldsymbol{x} \in \mathbb{R}^n}{\operatorname{ess sup}} \max_{i \in \{1, \dots, n\}} (-\mathsf{T}(\boldsymbol{x}))_{ii}.$$

[R2] D. Deplano, S. Grammatico, and M. Franceschelli (2025), "Non-Euclidean Enriched Contraction Theory for Monotone Operators and Monotone Dynamical Systems", under review, available in arXiv.

ARXIV

DOWNLOAD

Diego Deplano

# Relationship with strongly monotone operators: definitions

#### Definition 12 and Equation (5) in [R3]

An operator  $F: \mathbb{R}^n \to \mathbb{R}^n$  is called c-strongly monotone w.r.t.  $\|\cdot\|_{\infty, \lceil n \rceil^{-1}}$  if for all  $x, y \in \mathbb{R}^n$  it holds

$$\min_{i \in I_{\infty}([\boldsymbol{\eta}]^{-1}\boldsymbol{y})} \frac{(\mathsf{F}_{i}(\boldsymbol{x}) - \mathsf{F}(\boldsymbol{y}))(\boldsymbol{x}_{i} - \boldsymbol{y}_{i})}{\eta_{i}^{2}} \geq c \|\boldsymbol{x} - \boldsymbol{y}\|_{\infty,[\boldsymbol{\eta}]^{-1}}.$$

where  $I_{\infty}(v) = \{i \in \{1, ..., n\} \mid |v_i| = ||v||_{\infty} \}.$ 

#### Lemma 14 in [R3]

A Lipschitz operator  $F: \mathbb{R}^n \to \mathbb{R}^n$  is c-strongly monotone w.r.t.  $\|\cdot\|_{\infty, \lceil n \rceil^{-1}}$  if and only if

$$[-DF(x)]_{M} \eta \leq -c\eta$$
 for almost every  $x \in \mathbb{R}^n$ ,

where  $[M]_{M}$  is the Metzler majorant of the matrix M.

[R3] A. Davydov, S. Jafarpour, A.V. Proskurnikov, and F. Bullo (2024). "Non-Euclidean monotone operator theory and applications". Journal of Machine Learning.

ARXIV



## Relationship with strongly monotone operators: equivalency of the two classes

#### Theorem 2 in [R2]

Let  $T: \mathbb{R}^n \to \mathbb{R}^n$  be a Lipschitz operator. Consider the following statements:

- (i) T is (b,c)-enriched weakly contractive w.r.t.  $\|\cdot\|_{\infty, \lceil n \rceil^{-1}}$ ;
- (ii) F := I T is *c*-strongly monotone w.r.t.  $\|\cdot\|_{\infty, \lceil n \rceil^{-1}}$ .
- Then,  $(i) \Rightarrow (ii)$  holds for all  $b \ge 0$  and  $(i) \Leftarrow (ii)$  holds for  $b \ge \text{diagL}(-T)$ .

[R2] D. Deplano, S. Grammatico, and M. Franceschelli (2025), "Non-Euclidean Enriched Contraction Theory for Monotone Operators and Monotone Dynamical Systems", under review, available in arXiv.

ARXIV



OMNLOAD

## Relationship with strongly monotone operators: a numerical example

Consider the matrix

$$A = \frac{1}{2} \begin{bmatrix} -3 & 0 & 1 & -3 \\ 3 & -15 & -12 & -1 \\ 2 & -1 & -5 & -5 \\ -2 & 0 & -1 & -6 \end{bmatrix}.$$

and consider the Krasnoselskij iteration:

$$\boldsymbol{x}(k+1) = (1-\theta)\boldsymbol{x}(k) + \theta A\boldsymbol{x}(k).$$

The operator  $A: \boldsymbol{x} \mapsto A\boldsymbol{x}$  is (b,0)-enriched weakly contractive w.r.t.  $\|\cdot\|_{\infty,[\eta]^{-1}}$  with  $\boldsymbol{\eta} = [0.09,1,0.22,0.07]^{\mathsf{T}}$  and b=4 because it holds  $|bI+A|\boldsymbol{\eta} \leq (b+1)\boldsymbol{\eta}$ .

The iteration converges for  $\theta \in (0, \frac{1}{b+1})$ , i.e.,  $\theta \in (0, 0.2)$  according to Th. 4 in [R2].

[R2] D. Deplano, S. Grammatico, and M. Franceschelli (2025), "Non-Euclidean Enriched Contraction Theory for Monotone Operators and Monotone Dynamical Systems", under review, available in arXiv.

ARXIV

DOWNLOAD

JUWNLUAD

Diego Deplano

## Relationship with strongly monotone operators: a numerical example

The Krasnoselskij iteration can be re-written as the forward step method on the matrix F = I - A:

$$\boldsymbol{x}(k+1) = (1-\theta)\boldsymbol{x}(k) + \theta A \boldsymbol{x}(k) = \boldsymbol{x}(k) - \theta (I-A)\boldsymbol{x}(k) = \boldsymbol{x}(k) - \theta F \boldsymbol{x}(k).$$

where

$$F = \frac{1}{2} \begin{bmatrix} 5 & 0 & -1 & 3 \\ -3 & 17 & 12 & 1 \\ -2 & 1 & 7 & 5 \\ 2 & 0 & 1 & 8 \end{bmatrix}.$$

The operator  $\mathbf{F}: \boldsymbol{x} \mapsto F\boldsymbol{x}$  is 0-strongly monotone w.r.t.  $\|\cdot\|_{\infty, [n]^{-1}}$  with  $\boldsymbol{\eta} = [0.09, 1, 0.22, 0.07]^{\mathsf{T}}$ because it holds  $[-DF(x)]_{M} \eta \leq 0$ .

The iteration converges for  $\theta \in (0, \frac{1}{\text{diagl}(F)})$ , i.e.,  $\theta \in (0, 0.117)$  according to Th. 26(iii) in [R3].

[R3] A. Davydov, S. Jafarpour, A.V. Proskurnikov, and F. Bullo (2024). "Non-Euclidean monotone operator theory and applications". Journal of Machine Learning.

ARXIV

DOWNLOAD

## Application to zero-finding algorithms for monotone operators

#### Theorems 4 and 5 in [R2]

Let  $F: \mathbb{R}^n \to \mathbb{R}^n$  be a Lipschitz operator. If  $\operatorname{zer}(F) \neq \emptyset$  and F is c-strongly monotone with c > 0 and w.r.t.  $\|\cdot\|_{\infty, \lceil n \rceil^{-1}}$ , then the iteration ruled by

$$\boldsymbol{x}(k+1) = \mathsf{S}_{\theta\mathsf{F}}(\boldsymbol{x}(k)) = \boldsymbol{x}(k) - \theta\mathsf{F}(\boldsymbol{x}(k))$$

converges to an element of  $\operatorname{zer}(\mathsf{F}) \coloneqq \{ \boldsymbol{x} \in \mathbb{R}^n : \mathsf{F}(\boldsymbol{x}) = \boldsymbol{0} \}$  for every

$$\theta \in \left(0, \frac{1}{b'+1}\right), \quad \text{with} \quad b' = \min\{b \geq 0 | (b-c+1)I - D\mathsf{F}(\boldsymbol{x}) | \boldsymbol{\eta} \leq (b+1)\boldsymbol{\eta}, \boldsymbol{\eta} > 0\},$$

where  $b' \leq \text{diagL}(\mathsf{F}) - 1$ . Moreover, the optimal convergence rate is achieved for  $\theta = 1/(b^* + 1)$  where

$$(b^*, c^*) = \underset{b \ge 0, c \ge 0, \boldsymbol{\eta} > 0, \boldsymbol{x} \in \mathbb{R}^n}{\operatorname{argmin}} \quad 1 - \frac{c}{b+1},$$
s.t. 
$$|(b+1)I - D\mathsf{F}(x)| \boldsymbol{\eta} \le (b-c+1)\boldsymbol{\eta},$$

$$c \le b+1.$$

ARXIV



DOWNLOAD

## Application to zero-finding algorithms for monotone operators

We consider operators  $T:=\Phi\circ A$  resulting from the composition of a nonlinear diagonal operator  $\Phi$  with an affine operator A. This class of functions arises, for instance, in the context of training infinite-depth weight-tied neural network by solving fixed-point problems of the form

$$\boldsymbol{x}(k+1) = \mathsf{T}(\boldsymbol{x}(k)) \coloneqq \Phi(A\boldsymbol{x}(k) + \boldsymbol{b}),$$

where  $\Phi: \mathbb{R}^n \to \mathbb{R}^n$  plays the role of a nonlinear activation function while A, b play the role of weight matrix and bias terms, ruling the continuous-time dynamics  $\dot{x}(t) = -x(t) + \Phi(Ax + b)$ .

#### **Assumption**

The nonlinear diagonal operator  $\Phi(x) = [\phi_1(x_1), \dots, \phi_n(x_n)]^{\mathsf{T}}$  satisfies, for some  $d_1 \leq d_2$ :

$$\frac{\phi_i(x) - \phi_i(x)}{x - y} \in [d_1, d_2], \quad \forall x, y \in \mathbb{R}, x \neq y.$$

The most standard activation functions in machine learning satisfy these bounds, e.g., Leaky ReLu

$$LReLU(x, \alpha) = max\{\alpha x, x\}, \text{ with } \alpha \in [0, 1]$$

satisfies it with  $d_1 = \alpha$  and  $d_2 = 1$ .

ARXIV

DOWNLOAD

Diego Deplano

ARXIV

DOWNLOAD

## Application to zero-finding algorithms for monotone operators

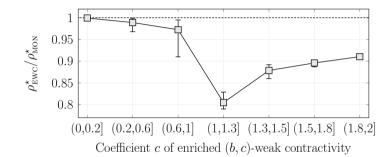
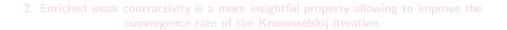


Figure: Display of the ratio  $\rho_{\rm EWC}^{\star}/\rho_{\rm MON}^{\star}$  between the convergence rates averaged over 100 instances for different number of features  $n \in [5,200]$ : values lower than 1 denote an improvement in the convergence rate obtained by exploiting (b,c)-enriched weak contractivity instead of c-strong monotonicity.

Diezo Deolano University of Cagliari, Italy

## Relationship with strongly monotone operators: take-home message

1. Enriched weak contractivity and strong monotonicity are equivalent classes.





ARXIV

## Relationship with strongly monotone operators: take-home message

1. Enriched weak contractivity and strong monotonicity are equivalent classes.





ARXIV

## Relationship with monotone dynamical systems

#### **Definition 1 and 3 in [R4]**

A discrete-time dynamical system

$$\boldsymbol{x}(k+1) = \mathsf{T}(\boldsymbol{x}(k))$$

is called monotone if the operator  $T: \mathbb{R}^n \to \mathbb{R}^n$  is order-preserving, i.e.,

$$oldsymbol{x} \leq oldsymbol{y} \Rightarrow \mathsf{T}(oldsymbol{x}) \leq \mathsf{T}(oldsymbol{y}), \qquad orall oldsymbol{x}, oldsymbol{y} \in \mathbb{R}^n.$$

ARXIV



DOWNLOAD

## Theorem 5 in [R4]

A Lipschitz operator  $T: \mathbb{R}^n \to \mathbb{R}^n$  is order-preserving if and only if its Jacobian matrix is nonnegative almost everywhere, i.e.,

$$(D\mathsf{T}(\boldsymbol{x}))_{ij} \geq 0$$
 for almost every  $\boldsymbol{x} \in \mathbb{R}^n, i, j \in \{1, \dots, n\}.$ 

[R4] D. Deplano, M. Franceschelli, and A. Giua (2020), "Nonlinear Perron-Frobenius approach for stability and consensus of discrete-time multi- agent systems", Automatica.

Diego Deplano

## Relationship with monotone dynamical systems

#### **Definition 7 in [R2]**

An operator  $T: \mathbb{R}^n \to \mathbb{R}^n$  is called c-strictly  $\eta$ -subhomogeneous if, for some  $c \geq 0$  and for all  $x \in \mathbb{R}^n$ , it satisfies

$$T(x + \theta \eta) \le T(x) + \theta(1 - c)\eta, \quad \forall \theta > 0.$$

# ARXIV



#### Corollary 3 in [R2]

Consider a discrete-time dynamical system x(k+1) = T(x(k)) and assume it is monotone. Then, the following statements are equivalent:

- (i) T is (b,c)-enriched weakly contractive w.r.t.  $\|\cdot\|_{\infty,[n]^{-1}}$ ;
- (ii) T is c-strictly  $\eta$ -subhomogeneous.

[R2] D. Deplano, S. Grammatico, and M. Franceschelli (2025), "Non-Euclidean Enriched Contraction Theory for Monotone Operators and Monotone Dynamical Systems", under review, available in arXiv.

Diego Deplano

## Application to nonlinear consensus in monotone multi-agent systems

#### Theorem 6 in [R2]

Consider a discrete-time MAS with agents dynamics

$$x_i(k+1) = x_i(k) - \theta \sum_{i=1}^n a_{ij} f_{ij} \Big( x_i(k) - x_j(k) \Big),$$

If the local interaction rules  $f_{ij}$ , with  $i=1,\ldots,n$ , are Lipschitz with constant  $L\geq 0$  and satisfy:

0  $\partial f_{ii}/\partial x \ge 0$  for almost every  $x \in \mathbb{R}$ ;

then the state trajectories globally, asymptotically converge to one of its equilibrium points, if any, for

$$\theta \in (0, [L \max_{i=1,\dots,n} |\mathcal{N}_i|]^{-1}).$$

If it further holds that:

- 2  $f_{ij}(0) = 0$  and  $f_{ij}(x) \neq 0$  a.e. in a neighborhood of 0;
- $\mathfrak{S}$  the graph  $\mathcal{G}$  has a globally reachable node:

then the MAS converges asymptotically to a consensus state.

ARXIV



DOWNLOAD

## Relationship with monotone dynamical systems: take-home message

1. Enriched weak contractivity is equivalent to strict subhomogeneity for monotone dynamical systems.



ARXIV

2. Enriched weak contractivity allows to generalize known results about agreement in monotone multi-agent systems to non-continuously differentiable transition mappings.

Diego Deplano University of Cagliari, Italy

## Relationship with monotone dynamical systems: take-home message

1. Enriched weak contractivity is equivalent to strict subhomogeneity for monotone dynamical systems.



DOWNLOAD

ARXIV

Diego Deplano

## Outline

- Introduction
- Prom strict pseudocontractions to enriched weak contractions
- ${\color{red} {\bf 3}}$  Main results in  $\ell_{\infty}$  normed Banach spaces with examples
- 4 Conclusions



UWNLUAD

# Novel contributions presented in this talk

#### Contribution for Banach spaces equipped with a p-norms and $p \in (1, \infty)$

- Derivation of the strictest condition ensuring convergence of the Krasnoselskij iteration for  $\kappa$ -strictly pseudocontractive operators.
- D. Deplano, S. Grammatico, and M. Franceschelli (2025), "On the convergence of the Krasnoselskij iteration for strictly pseudocontractive operators", IEEE European Control Conference.

#### Contributions for Banach spaces equipped with a ∞-norm

- Derivation of the strictest condition ensuring convergence of the Krasnoselskij iteration for (b,c)-enriched weakly contractive operators.
- Enriched weak contractivity and strong monotonicity are equivalent classes of operators, but the former allows to determine better convergence rates;
- The transition mapping of monotone dynamical systems is enriched weakly contractive if and only if T is subhomogeneous, ...
- D. Deplano, S. Grammatico, and M. Franceschelli (2025), "Non-Euclidean Enriched Contraction Theory for Monotone Operators and Monotone Dynamical Systems", under review, available in arXiv.

ARXIV



Diego Deplano

## Novel contributions presented in this talk

#### Contribution for Banach spaces equipped with a p-norms and $p \in (1, \infty)$

- Derivation of the strictest condition ensuring convergence of the Krasnoselskij iteration for  $\kappa$ -strictly pseudocontractive operators.
- D. Deplano, S. Grammatico, and M. Franceschelli (2025), "On the convergence of the Krasnoselskij iteration for strictly pseudocontractive operators", IEEE European Control Conference.

#### Contributions for Banach spaces equipped with a $\infty$ -norm

- Derivation of the strictest condition ensuring convergence of the Krasnoselskij iteration for (b,c)-enriched weakly contractive operators.
- Enriched weak contractivity and strong monotonicity are equivalent classes of operators, but the former allows to determine better convergence rates;
- The transition mapping of monotone dynamical systems is enriched weakly contractive if and only if T is subhomogeneous, ...
- D. Deplano, S. Grammatico, and M. Franceschelli (2025), "Non-Euclidean Enriched Contraction Theory for Monotone Operators and Monotone Dynamical Systems", under review, available in arXiv.

ARXIV



OWNLOAD

## Applications corroborating the theoretical findings

#### Zero finding algorithms for monotone operators

We derive sufficient conditions for the convergence of the forward step method applied to monotone operators and simulate it on linear operators and nonlinear diagonal operators.

#### Nonlinear consensus in monotone multi-agent systems

We derive sufficient conditions on the Lipschitz, nonlinear, heterogeneous, and asymmetric interaction rule between agents ensuring their convergence to a consensus state.

ARXIV 上記



JUWNLUAD











# On the convergence of the Krasnoselskij iteration for strictly pseudocontractive operators

Thank you for your attention!

Diego Deplano

Email: diego.deplano@unica.it

Webpage: https://diegodeplano.github.io/

