

California Department of Transportation



ADMINISTRATION
DIVISION OF PROCUREMENT AND CONTRACTS
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<https://dot.ca.gov/programs/procurement-and-contracts/>

***** CERTIFIED SMALL BUSINESS ONLY *****

April 10, 2025

**Invitation for Price Quote (IFPQ)
IFPQ # 01A6494
Notice to Prospective Contractors**

You are invited to review and respond to this Small Business (SB) Invitation for Price Quote (IFPQ), **01A6494** entitled **Window, Insulated Panel, Rain Gutter, and Down Drain Replacement/ Installation Services and Painting Services in Mendocino and Lake County**. In submitting your quote, you must comply with the instructions found herein.

"This solicitation is authorized pursuant to Government Code Section 14838.7, which provides for the award of contracts for the acquisition of construction, including the erection, construction, alteration, repair, or improvement of any State structure, building, road, or other State improvement of any kind, that has **an estimated value of greater than \$5,000.00, but not more than \$461,000.00** to a certified SB, including a micro business (MB), SB for the purpose of public works (SB-PW), or a disabled veteran business enterprise (DVBE). A bid over **\$461,000.00** will result in rejection of your bid due to non-responsiveness."

This contract requires Prevailing Wages if the total bid amount exceeds \$15,000. If the total bid amount is under \$15,000 then Prevailing Wage language will be removed prior to award. Refer to **Attachment 4, Proposed Form of Agreement**, for requirement details.

In the opinion of the Caltrans, this IFPQ is complete and without need of explanation; however, if you have questions, or should you need any clarifying information, the contact person for this IFPQ is:

Wendy Kwong
California Department of Transportation (Caltrans)
Email address: Wendy.Kwong@dot.ca.gov
Phone: (279) 789-4474

Please note that no **verbal** information given will be binding upon Caltrans unless such information is issued in writing as an official addendum.

*Technical questions regarding this solicitation will be addressed, in writing and at a Site Inspection. See **Section C1, Time Schedule**, for more details.

ALL REQUIRED DOCUMENTS ARE EITHER ATTACHED (ATTACHMENTS 1 & 2) OR THE DOCUMENT LINK IS PROVIDED ON ATTACHMENT 3, REQUIRED DOCUMENTS.

Sincerely,

A handwritten signature in cursive script that reads 'Wendy Kwong'.

Contract Analyst

A) Purpose and Description of Services

1. Contractor shall provide all labor, tools, materials, parts, supplies, vehicles, equipment, travel and incidentals necessary to provide minor repairs and replacement of the windows, rain gutters and down drains at the Boonville Maintenance Station (MS) in Mendocino County and minor repairs and replacement of the windows, insulated panels and exterior painting of the office/shop building at the Lakeport MS in Lake County.
2. Refer to the **Proposed Form of Agreement, Exhibit A**, for a more complete description of services.

B) Bidder's Minimum Qualifications

1. By submitting its bid, Bidder certifies, under penalty of perjury, that its Contractors State License Board (CSLB) license is in a classification appropriate to the work to be undertaken as identified in the **Proposed Form of Agreement, Exhibit A**. This requirement has also been added in the **Proposed Form of Agreement, Exhibit E**. Refer to **Section C, Price Quotation Requirements and Information, Contractor's License**, for submittal requirements. Caltrans will verify bidder's Contractor License, and any Subcontractor Licenses, thus it is not necessary for bidders to provide a copy.
2. Bidder shall possess at the time of bid submittal and for the duration of the Agreement, a valid and current registration with the Department of Industrial Relations (DIR).
3. Bidder shall be a certified small business registered with the California Department of General Services (DGS).
4. Failure of Bidder to sufficiently provide any or all the minimum qualifications, in the opinion of Caltrans, will result in the Bidder's bid deemed non-responsive.

C) Price Quotation Requirements and Information

1. Time Schedule

It is recognized that time is of the essence. All bidders are hereby advised of the following schedule and will be expected to adhere to the required dates and times.

Event	Date	Time (Pacific Time)
IFPQ available to prospective bidders	04/10/2025	9:00AM
Mandatory Site Inspection	04/17/2025	10:00AM
Written Question Submittal	04/21/2025	2:00PM
Final Date for Quote Submission	04/24/2025	2:00PM
Proposed Award Date (estimate)	05/01/2025	5:00PM

2. Mandatory Site Inspection

- A. A mandatory site inspection is scheduled at **10:00AM, April 17, 2025, at the Lakeport MS, 3218 Hill Road East, Lakeport, CA 95453 in Lake County** for the purpose of discussing concerns regarding this IFPQ.
- B. In the event a potential prime contractor is unable to attend the mandatory site inspection, an authorized representative may attend on its behalf. The representative may only sign in for one (1) company. Subcontractors may not represent a potential prime contractor at site inspection

- C. All prospective contractors are to be assembled at Caltrans at **10:00AM, April 17, 2025, at the Lakeport MS, 3218 Hill Road East, Lakeport, CA 95453 in Lake County**. Bidders must sign in (on the sheet provided) upon arrival and sign out upon completion of the walk-through activities. Failure to comply with these provisions **may result in the rejection of your quote**. Caltrans will conduct the site inspection of the facilities and disseminate any additional information to participants, if necessary.

3. Reasonable Accommodation

For bidders who have and need assistance due to a physical impairment, a reasonable accommodation will be provided upon request for the site inspection. The bidder must call the designated Contract Analyst contact no later than the fifth (5th) working day prior to the scheduled date and time of the site inspection to arrange for a reasonable accommodation.

4. Questions and Answers

- A. Questions regarding this IFPQ must be submitted in writing. Bidders are encouraged to submit their written questions by **April 21, 2025**.
- B. Written questions must include the individual's name, firm name, complete address, and must reference **IFPQ No. 01A6494**. Questions must be sent to the following email:

Email: Wendy.Kwong@dot.ca.gov

- C. Written responses to all questions will be collectively compiled and posted as an Addendum to the Cal eProcure website (<https://caleprocure.com/pages/index.aspx>). It is the responsibility of the Bidder to access the website for any changes or addenda that may be posted. Refer to **Section C1, Time Schedule**, for the schedule of events and dates/times. Bidder can contact the Contract Analyst named above.

5. ADM-1412 – Price Quote Proposal

The total price quote proposal for this Agreement must not exceed **\$461,000.00**. A bid amount more than **\$461,000.00** will be deemed non-responsive and will be rejected.

6. Costs Included in Bid Rates

Quotation prices/rates shall include the cost of employer payments to or on behalf of employees, subsistence, travel, compensation insurance premiums, unemployment contributions, social security taxes, contract bond premiums, and any other taxes or assessments, **including, but not limited to, sales and use taxes** required by law or otherwise and no additional allowance will be made thereof unless separate payment provisions in the Agreement should specifically so provide.

7. Employment of Undocumented Workers

No State agency or department, as defined in Public Contract Code Section 10335.7, that is subject to this code, shall award a public works contract to a bidder or contractor, nor shall a bidder or contractor be eligible to bid for or receive a public works contract, who has, in the preceding five (5) years, been convicted of violating a State or Federal law regarding the employment of undocumented workers (Pub. Cont. Code Section 6101).

8. State Prevailing Wages

State General Prevailing Wage Rates will apply for the County of Humboldt as described in the attached **Proposed Form of Agreement**. The predetermined general prevailing wage rates published by the Director of Industrial Relations (DIR) may be obtained via the Internet at <https://www.dir.ca.gov/OPRL/DPreWageDetermination.htm> or by contacting the Contract Analyst listed on the first page of this solicitation. It is the bidder's responsibility to use the correct classification determination published by the DIR. By signing the attached **Bid/Bidder Certification Sheet**, the Bidder acknowledges this is a public works contract subject to Labor Code Sections 1720-1861, and that if awarded this Agreement, it will be the Bidder's responsibility to ensure that

all prevailing requirements are met, including but not limited to the payment of appropriate prevailing wages rates to all employees who participate and perform services under this Agreement, Department of Industrial Relations registration, submittal of weekly certified payroll records, and employment of apprentices throughout the duration of the Agreement.

9. Mandatory Organic Waste Recycling

Contractor generating organic waste or commercial solid waste shall comply with SB 1383; also, Contractor will arrange for recycling services required by this section in a manner that is consistent with State and local laws and requirements, including a local ordinance or local jurisdiction's franchise agreement, applicable to the collection, handling, or recycling of organic waste and commercial solid waste. This requirement does not modify, limit, or abrogate Contractor's right to sell or donate its recyclable organic waste materials consistent with the requirements of Public Resources Code Section 42649.8 et seq. When applicable, Contractor must comply with these provisions.

10. Motor Carrier Permit Requirements

- A. Contractor is responsible for determining whether California Vehicle Code Sections 34601 and 34620 require Contractor to have a valid Motor Carrier Permit (MCP) issued by the Department of Motor Vehicles (DMV) in order for Contractor to lawfully perform any part or aspect of the work described in Exhibit A, Scope of Work, and, if California Vehicle Code Sections 34601 and 34620 do require same for any part or aspect of such work, Contractor must have a valid MCP(s) issued from the DMV for its services as a Motor Carrier of Property under this Agreement. Contractor shall pay any required fees necessary to obtain and maintain in good standing during the entire term of this Agreement any such required MCP(s).
- B. MCP(s), if any, required for Contractor's Motor Carriers of Property under California Vehicle Code Sections 34601 and 34620 shall be on file with Contractor for the duration of this Agreement. Upon request of Caltrans Contract Manager or their designee, Contractor must immediately provide to Caltrans a copy of the required MCP(s), if any.

11. Contractor Registration Program

- A. No Contractor or Subcontractor may be listed on a bid proposal for a contract with prevailing wages unless registered with the DIR, pursuant to Labor Code Section 1725.5 (with limited exceptions from this requirement for bid purposes only under Labor Code Section 1771.1(a)).
- B. No Contractor or Subcontractor may be awarded a contract with prevailing wages unless registered with DIR pursuant to Labor Code Section 1725.5.
- C. Caltrans will verify each of the registration numbers provided by the bidder prior to Agreement award. Bidders that do not possess the required DIR registration will be deemed non-responsive and rejected from further consideration in the solicitation process.

12. Contractor's License

Bidder must have, at time of **quote submittal** and for the duration of the contract, a valid, current **Class B - General Building Contractor** license issued by the CSLB, for the type of work to be performed. Bidder shall obtain, pay for, and maintain, in good standing, all necessary licenses and permits to accomplish the work. Bidders will be considered non-responsive unless proper licensing requirements are met. An invalid license will result in rejection of the bid. Positive verification of a valid license issued by the CSLB will be performed by Caltrans (Bus. and Prof. Code Section 7028.15).

13. Subcontractors

Bidder may subcontract portions of the work as defined in the attached **Proposed Form of Agreement**. If a Subcontractor(s) is used, complete the **Bidder Declaration (GSPD-05-105)**. Bidder must ensure Subcontractor(s) shall have all necessary licenses, permits, and/or

certifications to accomplish its portion of the work. Failure of a Subcontractor(s) to have the proper licenses, permits, and/or certifications, shall be cause for rejection of price quote. Contractor may only subcontract portions of the work to a qualified Department of General Services (DGS) Certified Small Business (SB)/Micro Business (MB)/SB for the purpose of Public Works (SB-PW), or Disabled Veteran Business Enterprise (DVBE), with the same status of Contractor. No work may be subcontracted to any business not certified as a SB/MB/SB-PW or DVBE by DGS. Contractor must ensure the Subcontractor(s) is DGS Certified SB/MB/SB-PW or DVBE and has all necessary licenses, permits, and/or certifications to accomplish its portion of the work.

14. Standard Title VI/Nondiscrimination Assurances (DOT Order No. 1050.2A)

Caltrans, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Statute 252, 42 USC Sections 2000d to 2000d-4) and the Regulations, hereby notifies all bidders that it will affirmatively ensure that any Agreement entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, or national origin in consideration for an award.

15. Non-Collusion Declaration for Public Works

Bidder must submit a non-collusion declaration for Public Works. The **Non-Collusion Declaration for Public Works** is provided as a link in **Attachment 3, Required Documents**.

16. Bonds

Payment Bond Requirement: If the successful bidder's bid exceeds \$25,000, the successful bidder shall furnish a Payment Bond for not less than 100 percent (100%) of the total amount payable by the terms of this Agreement. The Payment Bond is due prior to the start date of work. No work may commence without receipt of a valid Payment Bond. The Payment Bond must be in effect at all times for the duration of the Agreement. If the Payment Bond expires during the term of the Agreement, a new Payment Bond must be provided to the Caltrans Contact Manager not less than 10 business days prior to its expiration. Failure to maintain a Payment Bond for the full term of this Agreement will be grounds for termination for default in addition to other legal remedies Caltrans may have. Inadequate or lack of a Payment Bond does not negate Contractor's obligations under this Agreement.

17. Insurance

A. The bidder who receives the Agreement award must provide a Certificate of Insurance providing proof of insurance to the Division of Procurement and Contracts within 10 working days from the date of notification of award. The State's Office of Risk and Insurance Management will review insurance certificates and/or proof of self-insurance documentation before execution of the Agreement. Refer to the **Proposed Form of Agreement, Exhibit E**, for the applicable and specific Insurance requirements and coverage limits.

B. The insurance carrier shall provide an endorsement for the additional insured statement as follows:

Caltrans, State of California, its officers, agents, and employees shall be included as additional insured, but only with respect to work performed for the State of California under this Agreement.

C. The additional insured endorsement must accompany the certificate of insurance.

D. Satisfying a Self-Insured Retention (SIR)

All insurance required by this Agreement must allow, but not require, the State to pay any SIR and/or act as Contractor's agent in satisfying any SIR. The choice to pay any SIR and/or act as Contractor's agent in satisfying any SIR is at the State's discretion. If the State chooses to pay any SIR and/or act as Contractor's agent in satisfying any SIR, Contractor shall reimburse the

State for the same.

E. Available Coverages/Limits

In the event the insurance coverages obtained by Contractor is broader in scope than, and/or the limits are higher than, those required under the Agreement, all such broader coverage and/or higher limits available to Contractor shall also be available and applicable to the State.

18. California Civil Rights Laws

Any person that submits a bid or proposal to, or otherwise proposes to enter into or renew an Agreement with, a State agency with respect to any Agreement in the amount of one hundred thousand dollars (\$100,000) or more shall certify, under penalty of perjury, at the time the bid or proposal is submitted or the Agreement is renewed, that they satisfy all of the conditions set forth in California Public Contract Code Section 2010 and they shall execute the **California Civil Rights Laws Certification (DOT ADM-0076)**, provided as a link in **Attachment 3, Required Documents**, completed, signed, and returned with its bid or proposal.

19. Darfur Contracting Act

- A. The Darfur Contracting Act, Public Contract Code Section 10475-10481, applies to any company that currently or within the previous three (3) years has had business activities or other operations outside of the United States. The Act was passed by the California Legislature and signed into law by the Governor to preclude State agencies generally from contracting with “scrutinized” companies that do business in the African nation of Sudan (of which the Darfur region is a part), for the reasons described in Public Contract Code Section 10475. All bidders shall complete the **Darfur Contracting Act Certification (DOT ADM-0077)**, provided as a link in **Attachment 3, Required Documents**, and submit with the proposal.
- B. If your company has not, within the previous three (3) years, had any business activities or other operations outside of the United States, complete **Option 1** on the ADM-0077.
- C. A scrutinized company is a company doing business in Sudan as defined in Public Contract Code Section 10476. Scrutinized companies are ineligible to, and cannot, bid on or submit a proposal for an Agreement with a State agency for goods or services (Pub. Cont. Code Section 10477(a)).
- D. Therefore, Public Contract Code Section 10478(a) requires a company that currently has (or within the previous three (3) years has had) business activities or other operations outside of the United States to certify that it is not a “scrutinized” company, during the Agreement award process with a State agency.
- E. A scrutinized company may still, however, submit a bid or proposal for a Contract with a State agency for goods or services if the company first obtains permission from the Department of General Services (DGS) according to the criteria set forth in Public Contract Code Section 10477(b).

20. Price Quote Submittal

- A. The price quote should be emailed as described below. The price quote must be received by the Caltrans, Division of Procurement and Contracts (DPAC), by dates and times shown in **Section C, Price Quotation Requirements and Information**.
- B. The price quote email should be clearly marked with the IFPQ number, title, and **‘PRICE QUOTATION SUBMITTAL’**, as shown in the following example:

IFPQ Number: 01A6494
PRICE QUOTATION SUBMITTAL–DO NOT OPEN

- C. All quotes shall include the documents identified in the IFPQ; see **Attachment 3, Required Documents**. Quotes not including the required documents may be deemed non-responsive. A non-responsive price quote is one that does not meet the basic quote requirements.
- D. Only an individual who is legally authorized to bind the proposing firm contractually shall sign all documents requiring a signature, and each document must bear a signature.
- E. **Email to:** Wendy.Kwong@dot.ca.gov
- F. Pursuant to Government Code Section 14838.7, Caltrans must receive at least two (2) price quotes from certified SB/MBs or at least two (2) price quotes from DVBES before it can make an Agreement award. Therefore, at Caltrans' discretion, if only one price quote is received, the timeframe for receipt of price quotes may be extended in order to receive the minimum number of quotes required by the Government Code. All prospective bidders will be notified via email or telephone of any extensions.
- G. Price quotes must include the performance of all the services described herein. Any attempt to modify the Price Quote Proposal document to deviate from the work specifications will not be considered and will cause a price quote to be rejected.
- H. A price quote may be rejected if it is conditional, incomplete, or if it contains any alterations of form or other irregularities of any kind. Caltrans may reject any price quote on the basis that it is not responsive or from responsible bidder and may waive any immaterial deviation in a quote. Caltrans' waiver of an immaterial defect shall in no way modify the IFPQ document or excuse the bidder from full compliance with all requirements if awarded the Agreement.
- I. Costs for developing price quotes and in anticipation of award of the Agreement are entirely the responsibility of the bidder and shall not be charged to Caltrans.
- J. A bidder may modify a price quote after its submission by first withdrawing the original price quote and then by resubmitting a new price quote prior to the price quote submittal deadline. Bidder modifications offered in any other manner, oral or written, will not be considered.
- K. A bidder may withdraw a price quote by, prior to price quote submission deadline, submitting a written withdrawal request to Caltrans, at Wendy.Kwong@dot.ca.gov, signed by the bidder or an agent authorized in accordance with **Section L**, below. A bidder may thereafter submit a new price quote prior to the price quote submittal deadline. Price quotes may not be withdrawn without cause subsequent to the price quote submittal deadline.
- L. Only an individual who is authorized to bind the bidding firm contractually shall sign the attached **Bid/Bidder Certification Sheet**. The signature must also indicate the title or position that the individual holds in the firm. An unsigned price quote may be cause for bid rejection.
- M. Caltrans may modify the IFPQ prior to the date fixed for submission of price quotations by the issuance of an addendum sent to all parties who received an IFPQ package.
- N. Caltrans reserves the right to reject all price quotes for reasonable cause.
- O. Bidders are cautioned not to rely on Caltrans during its evaluation process to discover and report to the bidder any defects and errors in the submitted documents. Before submitting their documents, bidders should carefully proof them for errors and full adherence to the IFPQ requirements.
- P. Where applicable, bidder should carefully examine work sites and specifications. Bidder shall investigate conditions, character, and quality of surface or subsurface materials or obstacles that might be encountered. No additions or increases to the Agreement amount will be made due to a lack of careful examination of work sites and specifications.
- Q. Caltrans does not accept alternate Agreement language from a bidder. A price quote with such language will be considered a counter proposal and will be rejected. **The State's General**

Terms and Conditions (GTC 02/2025) are not negotiable. The **GTC 02/2025** may be viewed at Internet site <https://www.dgs.ca.gov/OLS/Resources/Page-Content/Office-of-Legal-Services-Resources-List-Folder/Standard-Contract-Language>.

21. Evaluation and Selection

- A. At the time of quote opening, each price quote will be checked for the presence or absence of required information in conformance with the submission requirements of this IFPQ.
- B. Caltrans will check the price quote submittal package to verify it received all required documents. Positive verification of required documents will be performed to determine its responsiveness to the State's needs.
- C. Price quotes that contain false or misleading statements, or which provide references, which do not support an attribute or condition claimed by the bidder, shall be rejected.
- D. The Agreement, if awarded, shall be awarded to the responsible bidder who submits the lowest price quote and meets all the specifications. A price quote meets the specifications if it complies with all the requirements in this solicitation. In the event of a tie quote, Caltrans will draw lots to determine the successful bidder. Only one (1) price quote may be submitted by an entity: individual, firm, partnership, corporation, joint venture, or combination thereof. Receipt of more than one (1) price quote from an entity will result in all quotes from that entity being rejected and returned to the bidder.

22. Standard Conditions of Service

- A. Service shall not begin before the start date set forth in the Agreement. After all approvals have been obtained, and the Agreement is executed should Contractor fail to commence work at the agreed upon time, Caltrans, upon five (5) days written notice to contractor, reserves the right to terminate the Agreement. In addition, contractor shall be liable to Caltrans for the difference between contractor's quote price and the actual cost of performing the work by the second low bidder or by another contractor.
- B. All performance under the Agreement shall be completed on or before the expiration date of the Agreement.
- C. Antitrust Provisions
 - 1) Bidder offers and agrees, and will require all of his other Subcontractors and suppliers to agree, that if the bid is accepted to assign to the awarding body all rights, title, and interest in and to all causes of action they may have under Section 4 of the Clayton Act (15 USC Section 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code) arising from purchases of goods, services, or materials pursuant to the public works Agreement or subcontract. The assignment made by Contractor and all additional assignments made by Subcontractors and suppliers shall be deemed to have been made and will become effective at the time the awarding body tenders final payment to Contractor without further acknowledgment or the necessity of tendering to the awarding body any written assignments.
 - 2) If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under Government Code Sections 4550-4554, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, and attributable overcharges that were paid by the assignor but were not paid by the public body as a part of the price quote, less the expenses incurred in obtaining that portion of the recovery.
 - 3) Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under Government Code Sections 4550-

4554 if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action (See Government Code Section 4554).

- D. If bidder is awarded Agreement and refuses to sign Agreement presented for signature within time and manner required, bidder will be liable to Caltrans for actual damages resulting to Caltrans therefrom or 10 percent (10%) of the amount quoted, whichever is less.
- E. Loss Leader usage is prohibited in this Solicitation: It is unlawful for any person engaged in business within this State to sell or use any article or product as a "loss leader" as defined in Business and Professions Code Section 17030. "Loss Leader" means any article or product sold at less than cost: a) where the purpose is to induce, promote, or encourage the purchase of other merchandise; or b) where the effect is a tendency or capacity to mislead or deceive purchasers of prospective purchasers; or c) where the effect is to divert trade from or otherwise injure competitors.
- F. No oral understanding or agreement shall be binding on either party.

23. Executive Order N-6-22 – Russia Sanctions

On March 4, 2022, Governor Gavin Newsom issued Executive Order [N-6-22](#) (the EO) regarding Economic Sanctions against Russia and Russian entities and individuals. "Economic Sanctions" refers to sanctions imposed by the U.S. government in response to Russia's actions in Ukraine, as well as any sanctions imposed under state law unless the contract has been Federalized (i.e., there is federal participation in any phase). By submitting a bid or proposal, Contractor represents that it is not a target of Economic Sanctions. Should the State determine Contractor is a target of Economic Sanctions or is conducting prohibited transactions with sanctioned individuals or entities, that shall be grounds for rejection of Contractor's bid/proposal any time prior to contract execution, or, if determined after contract execution, shall be grounds for termination by the State.

24. Executive Order N-12-23 – Generative Artificial Intelligence (GenAI) Technology Use and Reporting

- A. The State of California seeks to realize the potential benefits of GenAI, through the development and deployment of GenAI tools, while balancing the risks of these new technologies.
- B. Bidder / Offeror must notify the State in writing if it: (1) intends to provide GenAI as a deliverable to the State; or (2), intends to utilize GenAI, including GenAI from third parties, to complete all or a portion of any deliverable that materially impacts: (i) functionality of a State system, (ii) risk to the State, or (iii) Contract performance. For avoidance of doubt, the term "materially impacts" shall have the meaning set forth in State Administrative Manual (SAM) § 4986.2 Definitions for GenAI.
- C. Failure to report GenAI to the State may result in disqualification. The State reserves the right to seek any and all relief to which it may be entitled to as a result of such non-disclosure.
- D. Upon notification by a Bidder / Offeror of GenAI as required, the State reserves the right to incorporate GenAI Special Provisions into the final contract or reject bids/offers that present an unacceptable level of risk to the State.
- E. Government Code 11549.64 defines "Generative Artificial Intelligence (GenAI)" as an artificial intelligence system that can generate derived synthetic content, including text, images, video, and audio that emulates the structure and characteristics of the system's training data.

PRICE QUOTE PROPOSAL

ADM-1412 (REV. 10/2024)

Attachment 1**Contractor's Name (Please Print):**

Item Number	Estimated Quantity	Unit of Measure	Item	Unit Price (Price Per Unit of Measure)	Total (Estimated Quantity X Unit Price)
1	1	Lump Sum	All labor, tools, materials, parts, supplies, vehicles, equipment, travel and incidentals necessary to replace the windows at the Boonville Maintenance Station per STD 213, Exhibit A, Scope of Work.		\$
2	1	Lump Sum	All labor, tools, materials, parts, supplies, vehicles, equipment, travel and incidentals necessary to replace the rain gutters and down drains at the Boonville Maintenance Station per STD 213, Exhibit A, Scope of Work.		\$
3	1	Lump Sum	All labor, tools, materials, parts, supplies, vehicles, equipment, travel and incidentals necessary to provide minor repairs to replace the rain gutters and down drains at the Boonville Maintenance Station per STD 213, Exhibit A, Scope of Work.		\$
4	1	Lump Sum	All labor, tools, materials, parts, supplies, vehicles, equipment, travel and incidentals necessary to replace the windows and insulated panels at the Lakeport Maintenance Station per STD 213, Exhibit A, Scope of Work.		\$
5	1	Lump Sum	All labor, tools, materials, parts, supplies, vehicles, equipment, travel and incidentals necessary to paint the exterior of the office/shop building at the Lakeport Maintenance Station per STD 213, Exhibit A, Scope of Work.		\$
1) The above quantities are estimates only and are given as a basis for comparison of quotes. No guarantee is made or implied as to the exact quantity that will be needed. 2) In case of discrepancy between the unit price and the total set forth for a unit basis item, the unit price shall prevail. 3) Please do not alter, modify, or change this quote proposal sheet. Any alterations, modifications, or changes to this quote proposal sheet will be grounds to reject the quote. 4) Each line item must be quoted. Please do not leave any unit price column blank or this quote proposal sheet will be deemed non-responsive.				Total Proposal	\$

Attachment 2
Bid/Bidder Certification Sheet

Only an individual who is authorized to bind the bidding firm contractually shall sign the Bid/Bidder Certification Sheet. The signature must indicate the title or position that the individual holds in the firm. This Bid/Bidder Certification Sheet must be signed and returned along with all "required attachments" as an entire package. The price quote must be transmitted in accordance with IFPQ instructions.

- A. Our all-inclusive price quote is submitted and marked "**Price Quotation Submittal–Do Not Open**".
- B. All required attachments are included with this certification sheet.
- C. The signature affixed hereon and dated certifies compliance with all the requirements of this proposal document. The signature below authorizes the verification of this certification.
- D. The signature and date affixed hereon certifies that this proposal is a firm offer for a 90-day period.

An Unsigned Bid/Bidder Certification Sheet May Be Cause for Bid Rejection

1. Company Name	2. Telephone Number ()	2a. Fax Number ()
2b. Email Address		
3. Address		
Indicate your organization type:		
4. <input type="checkbox"/> Sole Proprietorship	5. <input type="checkbox"/> Partnership	6. <input type="checkbox"/> Corporation
Indicate the applicable employee and/or corporation number:		
7. Federal Employee ID No. (FEIN)	8. California Corporation No.	
Indicate the Department of Industrial Relations information:		
9. Contractor Registration Number		
Indicate applicable license and/or certification information:		
10. Contractors State License Board Number	11. PUC License Number CAL-T-	12. Required Licenses/Certifications
13. Proposer's Name (Print)		14. Title
15. Signature		16. Date
17. Are you certified with the Department of General Services, Office of Small Business and Disabled Veteran Business Enterprise Services (OSDS) as:		
a. Small Business Enterprise Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, enter certification number: _____		
b. Disabled Veteran Business Enterprise Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, enter your service code below: _____		
Note: A copy of your Certification is required to be included if either of the above items is checked "Yes".		
Date application was submitted to OSDS, if an application is pending: _____		

**Attachment 2
Bid/Bidder Certification Sheet**

Completion Instructions for Bid/Bidder Certification Sheet

Complete the numbered items on the Bid/Bidder Certification Sheet by following the instructions below.

Item Numbers	Instructions
1, 2, 2a, 2b, 3	Must be completed. These items are self-explanatory.
4	Check if your firm is a sole proprietorship. A sole proprietorship is a form of business in which one person owns all the assets of the business in contrast to a partnership and corporation. The sole proprietor is solely liable for all the debts of the business.
5	Check if your firm is a partnership. A partnership is a voluntary agreement between two or more competent persons to place their money, effects, labor, and skill, or some or all of them in lawful commerce or business, with the understanding that there shall be a proportional sharing of the profits and losses between them. An association of two or more persons to carry on, as co-owners, a business for profit.
6	Check if your firm is a corporation. A corporation is an artificial person or legal entity created by or under the authority of the laws of a state or nation, composed, in some rare instances, of a single person and his successors, being the incumbents of a particular office, but ordinarily consisting of an association of numerous individuals.
7	Enter your Federal employee tax identification number.
8	Enter your corporation number assigned by the California Secretary of State. This information is used to verify if a corporation is in good standing and qualified to conduct business in California.
9	Enter your Contractor Registration Number assigned by the Department of Industrial Relations (DIR). This Information will be used to determine if you are registered with DIR.
10	Complete if your firm holds a California contractor's license. This information will be used to verify possession of a contractor's license for public works agreements.
11	Complete if your firm holds a PUC license. This information will be used to verify possession of a PUC license for public works agreements.
12	Complete, if applicable, by indicating the type of license and/or certification that your firm possesses and that is required for the type of services being procured.
13, 14, 15, 16	Must be completed. These items are self-explanatory.
17	If certified as a Small Business Enterprise, place a check in the "Yes" box, and enter your certification number on the line. If certified as a Disabled Veteran Business Enterprise, place a check in the "Yes" box and enter your service code on the line. If you are not certified to one or both, place a check in the "No" box. If your certification is pending, enter the date your application was submitted to the Department of General Services, Office Small Business and DVBE Services. To get certified, go to https://www.dgs.ca.gov/PD/About/Page-Content/PD-Branch-Intro-Accordion-List/Office-of-Small-Business-and-Disabled-Veteran-Business-Enterprise/Certification-Program .

**Attachment 3
Required Documents**

The following documents should be submitted, or your bid may be considered non-responsive.

Do **not** submit **this checklist**, the **Proposed Form of Agreement**, company advertisements, brochures, informational pamphlets, or any other document unless specifically noted in the IFPQ Requirements and/or as listed below.

- ☐ Attachment 1 – Price Quote Proposal (ADM-1412)
- ☐ Attachment 2 – Bid/Bidder Certification Sheet
- ☐ Bidder Declaration (GSPD 05105) [Bidder Declaration](#)
- ☐ Non-Collusion Declaration [Non-Collusion Declaration](#)
- ☐ California Civil Rights Laws Certification (DOT ADM-0076) [California Civil Rights Laws Certification](#)
- ☐ [Darfur Contracting Act Certification](#)
- ☐ Copy of valid and current registration with the Department of Industrial Relations (DIR).
- ☐ Copy of certified small business registration with the Department of General Services (DGS).

The following documents will be requested of the WINNING BIDDER at time of contract award; they are NOT required at time of bid submittal:

- ☐ Contractor Certification Clauses (CCC 04/2017) [Contractor Certification Clauses](#)
- ☐ Payment Bond [Payment Bond](#)
- ☐ Insurance (Requirements outlined in Proposed Form of Agreement, Exhibit E, Items 1 & 2)
- ☐ Payee Data Record (STD 204) [Payee Data Record](#)

Attachment 4
Proposed Form of Agreement

Note to Bidders: The following pages represent a sample of the Agreement that will be awarded, if any, from this **IFPQ**. Please review it carefully and present any questions in writing to the contact identified for this **IFPQ**.

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES

STANDARD AGREEMENT

STD 213 (Rev. 04/2020)

AGREEMENT NUMBER

01A6494

PURCHASING AUTHORITY NUMBER (If Applicable)

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

California Department of Transportation (Caltrans)

CONTRACTOR NAME

TBD

2. The term of this Agreement is:

START DATE

June 1, 2025 (estimate) or upon Caltrans approval, whichever is later

THROUGH END DATE

November 30, 2025 (estimate)

3. The maximum amount of this Agreement is:

\$TBD

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement.

Exhibits	Title	Pages
Exhibit A	Scope of Work	12
Exhibit B	Budget Detail and Payment Provisions	7
Exhibit C *	General Terms and Conditions (GTC 02/2025)	Online
Exhibit D	Special Terms and Conditions	6
Exhibit E	Additional Provisions	5
Attachment 1	Price Quote Proposal, ADM1412 (Attached Upon Award)	1
Attachment 2	Bidder Declaration (GSPD 05105) (Attached Upon Award)	2
Attachment 3	Boonville Photos and Specs	10
Attachment 4	Lakeport Photos and Specs	7

Items shown with an asterisk (), are hereby incorporated by reference and made part of this agreement as if attached hereto.**These documents can be viewed at <https://www.dgs.ca.gov/OLS/Resources>***IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.****CONTRACTOR**

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

TBD

CONTRACTOR BUSINESS ADDRESS

CITY

STATE

ZIP

PRINTED NAME OF PERSON SIGNING

TITLE

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES

STANDARD AGREEMENT

STD 213 (Rev. 04/2020)

AGREEMENT NUMBER

01A6494

PURCHASING AUTHORITY NUMBER (If Applicable)

STATE OF CALIFORNIA

CONTRACTING AGENCY NAME

California Department of Transportations (Caltrans)

CONTRACTING AGENCY ADDRESS

1727 30th Street, MS 65

CITY

Sacramento

STATE

CA

ZIP

95816

PRINTED NAME OF PERSON SIGNING

Jeshpreet Grewal

TITLE

Contract Officer

CONTRACTING AGENCY AUTHORIZED SIGNATURE

DATE SIGNED

CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL

EXEMPTION (If Applicable)

PCC 10107

SCM 10.00

Exhibit A
Public Works (State)

Scope of Work

1. Contractor agrees to provide to the California Department of Transportation (Caltrans) window, insulated panel, rain gutter, and down drain replacement and repair services and painting services as described herein:

Contractor shall provide all labor, tools, materials, parts, supplies, vehicles, equipment, travel and incidentals necessary to provide minor repairs and replacement of the windows, rain gutters and down drains at the Boonville Maintenance Station (MS) in Mendocino County and minor repairs and replacement of the windows, insulated panels and exterior painting of the office/shop building at the Lakeport MS in Lake County.

2. The services shall be performed at the following Caltrans District 01 locations in Mendocino and Lake Counties:
 - A. Boonville MS – 13550, State Highway 128, Boonville, CA 95415 in Mendocino County.
 - B. Lakeport MS – 3218 Hill Road East, Lakeport, CA 95453 in Lake County.
3. Any reference to Caltrans Contract Manager shall also include designee.
4. This Agreement will commence on **June 1, 2025 (estimate)**, or upon approval by Caltrans, whichever is later, and no work shall begin before that time. This Agreement is of no effect unless approved by Caltrans. Contractor shall not receive payment for work performed prior to approval of the Agreement and before receipt of notice to proceed by Caltrans Contract Manager. This Agreement will expire on **November 30, 2025 (estimate)**. These services shall be provided during normal business hours Monday through Friday 7:00 a.m. to 4:00 p.m., excluding State holidays. The parties may amend this Agreement as permitted by law.
5. All inquiries during the term of this Agreement will be directed to the project representatives listed below:

California Department of Transportation (Caltrans)	Contractor TBD
Section/Unit: District 01/Maintenance	Project Manager: TBD
Caltrans Contract Manager: TBD	
Address: 1656 Union Street Eureka, CA 95501	Address: TBD
Business Phone Number: TBD	Business Phone Number: TBD
Email: TBD	Email: TBD

6. Detailed Description of Work

- A. Contractor shall provide all labor, tools, materials, parts, supplies, vehicles, equipment, and incidentals necessary to replace the windows, rain gutters and down drains at the Boonville MS.
- B. Contractor shall provide all labor, tools, materials, vehicles, equipment, and incidentals necessary to replace the windows, insulated panels, and paint the exterior of the office/shop building at the Lakeport MS.

Exhibit A
Public Works (State)

C. Rain gutter and down drains services (Boonville MS)

- 1) Contractor shall replace the rain gutters/down drains necessary for a complete, securely fastened, and weather-tight installation.
- 2) Contractor shall include all necessary minor repair and patch work to replace the rain gutter and down drains.
- 3) Contractor shall remove existing rain gutters and down drains. The new rain gutters and down drains shall be 24-gauge aluminum or thicker, seamless, continuous, and commercial grade. The rain gutters shall be six (6) inches wide. The down drains shall be the same material as the rain gutters and be three inches by four inches (3"x4") in cross section.
- 4) Contractor shall construct rain gutter and down drains on the entire perimeter of the roof line. Contractor shall construct/install rain gutter hanger clips spaced at no more than 36".
- 5) Contractor shall install two (2) down drains on each side of the building for a total of eight (8). The down drains shall be adequately spaced on each side and the locations shall be approved by Caltrans Contract Manager

D. Window and insulated panel services

- 1) The quantity and location of windows at the Boonville MS is on **Boonville Photos and Specs, Attachment 3**. All materials and windows shall be field measured to verify size and location.
- 2) The quantity and location of windows at the Lakeport MS is on **Lakeport Photos and Specs, Attachment 4**. All materials, windows, and insulated panels shall be field measured to verify size and location.
- 3) There are two (2) rows of windows on the East and North side of the building. The lower windows shall open and be "Insulated Awning" type. The upper row of windows shall not open. **This paragraph applies to the Lakeport MS only.**
- 4) The upper row of windows shall fit inside of the existing window trim. Existing glass shall be removed, and new window/frames installed. The new installation shall contain double pane retrofit windows. **This paragraph applies to the Lakeport MS only.**
- 5) All new insulated panels shall be replaced with the same or better energy efficient standards and must be classified as "Class A" insulated with a minimum R-factor of R-19 or greater per panel. **This paragraph applies to the Lakeport MS only.**
- 6) Contractor shall remove and dispose of the existing windows. New windows shall be commercial grade with the high efficiency and meet the criteria below. The window frames may be vinyl. **This is applicable to both locations.**
- 7) Windows shall be installed in a professional manner, following industry standards. The windows shall have a sealed weather tight installation. Contractor shall use window trim and silicone sealant where needed, directed, or manufactures recommendations require, and/or needed for a complete installation. **This is applicable to both locations.**

Exhibit A
Public Works (State)

- 8) Windows shall have a 10-year manufacture warranty. **This is applicable to both locations.**
- 9) The windows shall meet Thermal Transmittance: shall confirm to National Fenestration Rating Council (NFRC) 100 maximum whole window U-factor of 0.32 or less. **This is applicable to both locations.**
- 10) Windows shall meet Solar Heat-Gain Coefficient (SHGC): NFRC 200 maximum whole-window SHGC of 0.40 or less. **This is applicable to both locations.**
- 11) Manufacturers: Subject to compliance with requirements, provide products by one of the following or other products preapproved by Caltrans Contract Manager:
 - a) Arcadia, Incorporated
 - b) Bliss Nor-Am Doors & Windows Limited
 - c) Crittall Windows Limited
 - d) Hope's Windows, Incorporated
 - e) Optimum Window Manufacturing Corporation
 - f) Torrance Steel Window Company
 - g) Milgard Window Company
- 12) Windows shall meet Visual Light Transmittance: 0.42 minimum. **This is applicable to both locations.**

E. Painting Services

- 1) Contractor shall prepare and paint the exterior of the office/shop building that together have an approximate surface area of 4500 square feet.
- 2) Exterior painting shall include metal, siding, fascia, eaves, molding, rain gutter with down drains, and a porch awning, as directed. Exterior work is deemed to include all necessary preparation work and patch and repair work of walls, doors, cleaning of existing surfaces, scraping, and sanding, miscellaneous caulking, hole filling, and pressure washing of various surfaces.
- 3) Painting will consist of one (1) coat of stain blocking primer and two (2) coats of commercial high-performance paint coatings.
- 4) All necessary materials used in connection with ordered services will be purchased from major manufacturers specializing in the production and sales of commercial paint products that can be obtained locally.
- 5) All finish material shall be approved by Caltrans Contract Manager in writing in advance of purchase of material in quantities greater than amounts sufficient to provide samples, if necessary. Product description and application data, manufacturers name, trade name, and product numbers for each coating to be applied shall be provided to Caltrans Contract Manager in writing.
- 6) Additional coats of paint will not be authorized without inspection and/or approval of Caltrans Contract Manager unless additional coats of paint are necessary to obtain uniform coverage, free from defects and blemishes. Caltrans shall not be charged by Contractor, for any additional coats of paint.

Exhibit A
Public Works (State)

- 7) Hardware, hardware accessories, various cover plates, lighting fixtures, discussion plates and similar items in place prior to painting shall be removed or loosened as required for paint operations and shall be replaced upon completion of work.
- 8) All windows, hardware, hardware accessories, various cover plates, lighting fixtures, discussion plates, plastic laminates, composite materials, concrete, asphalt, baked enamel finishes and similar items shall be free of paint. Windows and doors shall be freely operable upon completion of work.
- 9) Painting shall be performed on dry surfaces in weather conditions that are satisfactory according to the manufacture's recommendations.
- 10) Coatings shall be applied to a uniform finish free of skips, cloudiness, spotting, holidays, laps, brush marks, runs, sags, curtains, ropiness, improper cutting-in, overspray, drips, ridges, waves, orange peel and excessive variations in color and texture. Coatings shall be applied to all exposed surfaces, including tops and bottoms of wood and metal doors, and other surfaces not necessarily visible from eye level, as appropriate.
- 11) Moving and replacement of equipment, removal and replacement of hardware and light fixtures shall be the responsibility of Contractor.
- 12) Work includes completed preparation and finishing of all surfaces as indicated by Caltrans Contract Manager except surfaces specifically excluded.
- 13) Work on this contract will happen in three (3) phases and Contract Manager approval is required before and after each phase:
 1. Surface Preparation
 2. Priming
 3. Painting
- 14) Surfaces that are excluded and not to be painted unless otherwise specified:
 - a) Aluminum, copper, brass, stainless steel, nickel or chrome
 - b) Finish hardware
 - c) Exterior asphalt, concrete and decking
 - d) Electrical fixtures and receptacles
 - e) Items with complete factory finish, as appropriate to industry standard
 - f) Code required labels, equipment identification and performance rating plates
 - g) Other surfaces as indicated or as appropriate to industry standard

7. Delivery, Storage, and Application

- A. Paint, stain, putty, and other temperature-sensitive material shall be delivered to site in sealed, labeled containers and stored in a well-ventilated area at manufacturer's recommended ambient air temperature. Contractor shall ensure that new patch, putty, joint compound, plaster, and other such materials are sufficiently dried and cured before painting.

Exhibit A
Public Works (State)

- B. Contractor shall not apply materials during fog, rain, mist, or when inclement weather is expected within the drying period specified by manufacturer.
- C. Rags, waste, and combustible rubbish shall be stored in approved metal containers and removed from the work site daily.
- D. Contractor shall take all precautions to prevent fire.

8. Protection

- A. Drop cloths shall be used to protect unpainted surfaces, lawns, shrubbery and adjacent surfaces against paint and damage. Contractor shall furnish sufficient drop cloths, shields, masking, and protective equipment to prevent over spray or splatter from damaging surfaces not to be painted. Contractor shall repair any damage resulting from inadequate protection with no charge to Caltrans.
- B. Hardware, metal finishes, plumbing fixtures, glass, and electrical wall plates shall be removed to protect such items from damage prior to work. Masking is required for exposed outlets or a switch if over brushing is anticipated. Contractor shall store, clean, and replace items upon completion of work.
- C. Contractor shall protect all surfaces, equipment, and fixtures from damage resulting from use of ladders and fixed, movable and hanging scaffolding, planking and staging. Contractor shall repair any damage resulting from inadequate protection with no charge to Caltrans.
- D. General cleaning shall be used to thoroughly clean existing surfaces to be recoated shall be thoroughly cleaned of dirt and other surface contaminants by scrubbing with detergent wash, tri-sodium phosphate wash or other cleaner as appropriate, and bristle or wire-brushing or hand-scraping as appropriate to prepare each surface for painting. Power washing may be used only upon specific approval from Caltrans Contract Manager and is limited to the material appropriate pressure. Remove mildew from affected surfaces with a solution of tri-sodium phosphate and bleach, or other appropriate mildew wash. Contractor shall avoid contact with aluminum windows and door frames if solution would cause damage. Contractor is to take necessary precautions to prevent damage from washing and other activities. Contractor shall rinse landscaping to avoid damage. Washed surfaces shall be rinsed and allowed to thoroughly dry prior to painting.
- E. Surfaces shall be de-glossed as appropriate to the type of surface by sanding or other means prior to priming and painting.
- F. Dust, grit, and foreign matter shall be removed from wood surfaces. Surfaces shall be sanded, and the dust removed. Contractor shall spot-prime knots, pitch streaks and sappy sections with sealer of equal parts shellac and alcohol or other appropriate stain-blocking primer where surfaces are to be painted. Contractor shall fill holes, cracks, and other defects after priming (as needed) and spot-prime repairs after patching or filler material have fully cured per manufacturer recommendations. The color of filler shall match the color of the stained wood.
- G. Patching material, caulk, joint compound, primer, plaster, stain, clear finishes, primer, paint, topcoat, and other material shall be allowed to dry per the manufacturer's recommended drying period before any material is applied onto it.

Exhibit A
Public Works (State)

- H. Removal all oil and contamination from ferrous, galvanized and aluminum surfaces, scheduled to be painted, shall be washed with a compliant solvent.
- I. Metal Preparation shall consist of sanding and scraping shop-primed metal to remove loose primer and rust. Contractor shall touch up bare, abraded, and damaged areas with metal primer or other equivalent material. Feather edges to make touch-up patches inconspicuous as appropriate.

9. Materials

- A. The first application of a coating shall be applied prior to any deterioration of the newly prepared surface.
- B. Materials for use in this project shall be the best-grade products of the types specified as regularly manufactured by recognized paint and varnish manufacturers, or as approved by Caltrans Contract Manager. Materials not bearing manufacturer's identification as standard best-grade product of Contractor's regular line will not be accepted.
- C. All materials to be delivered to the job site in the original packages and containers bearing the name of the manufacturer, and all containers shall be new and unopened. Caltrans Contract Manager shall direct the storage of material(s) on the premises. Safeguarding and fire protection shall be the responsibility of Contractor.
- D. When the quality or type of material required for any surface is not specifically indicated, such surfaces shall be painted and finished with materials equal to or better than those previously used.
- E. All paint and finishes applied shall be durable and washable. Surfaces shall stand up well under such washing as is required to remove pencil marks, ink, ordinary soil, or other marks, without showing discoloration, loss of gloss, stain or other damage caused by normal use.
- F. Proprietary names, when used to designate materials or identify color, are not intended to imply or suggest the product of these manufacturers but are intended to establish a basis for comparison.
- G. Materials and processes shall comply with California Air Resources Board requirements and applicable state and local ordinances. In the case of conflicts between regulatory requirements and specified materials, regulatory requirements shall govern. Submit alternative materials to Caltrans Contract Manager for approval prior to their use.
- H. Coatings shall be applied in accordance with manufacturer's instructions and at the application rate recommended by the manufacturer to achieve dry-film thickness resulting in a durable, good quality job. A minimum of a single coat of stain blocking primer is required for all painted areas, followed by two top or finish coats. Thinning will be allowed only when recommended by the manufacturer (i.e., evaporative loss of moisture in paint during high heat, low humidity conditions, or for use in some spray equipment). Coatings shall be applied only when surfaces are dry, properly prepared, and environmental conditions are appropriate as recommended by manufacturer and discussed elsewhere. Spray painting of surfaces shall be allowed only upon approval from Caltrans Contract Manager.
- I. Each application of material shall be dried (and cured if appropriate) In accordance with manufacturer's recommendations before applying successive coatings. Cleaning and

**Exhibit A
Public Works (State)**

painting shall be scheduled so that dust and other contaminants from the cleaning and preparation process will not fall on wet, newly coated surfaces.

- J. Coatings shall be applied by any combination of spray, brush and or roller. Rollers shall be of a type that does not leave a stippled texture in the paint film.
- K. Upon request, Caltrans Contract Manager, Contractor shall provide Caltrans Contract Manager with small quantities of leftover paint or other coating in clearly labeled small containers suitable for long-term storage (i.e., quart-sized paint cans) for touch-up purposes.

10. Paint Selection

- A. Materials for use in this project shall be the best made products for the type of material to be repaired and or painted. Caltrans Contract Manager shall select color, type, grade, sheen, and other qualities. When needed, Contractor shall make recommendations on paint system selections based upon his or her expert opinion or from other information sources trusted by Contractor. When possible, use materials with low odor and low or no Volatile Organic Compounds (VOC).
- B. For exterior walls, paint appropriate to architecture of structure shall be used. Examples include but are not limited:
 - 1) Dunn-Edwards Permashell Eggshell (low luster)
 - 2) PPG Permanizer Exterior
 - 3) California Ultra 2010 Low Luster
 - 4) True Value EasyCare Ultra Premium
 - 5) Benjamin Moore Regal Select Exterior
 - 6) Sherwin Exterior Acrylic Latex
- C. For exterior trim subject to wear and tear such as doors, door frames, and Window frames, Semi-Gloss or Gloss paint is required. Examples of Semi-Gloss or paint includes are semi-gloss and gloss versions of the section above or other paint approved by Caltrans Contract Manager.

11. Submittals

- A. Contractor shall submit to Caltrans Contract Manager within ten (10) business days after approval of the Agreement: work drawings showing layout details, product specifications, material dimensions, order of work details, and storage requirements. No work shall begin prior to a written approval from Caltrans Contract Manager.
- B. Contractor shall provide to Caltrans Contract Manager a schedule of planned work timelines with estimated end dates of each step of the project within ten (10) business days after approval of the Agreement.

12. Use of Caltrans Utilities

- A. Contractor may obtain electrical power and water from existing Caltrans outlets.
- B. Contractor, to be included within the lump sum price quote, shall obtain any additional electrical power and water or other utilities required for its operations and shall make and maintain the necessary service connections.

**Exhibit A
Public Works (State)**

- C. Contractor shall provide and pay for telephone service required. Caltrans' telephone facilities shall not be used by Contractor or its personnel.
- D. Contractor shall provide adequate temporary lighting to perform the work in this Agreement.

13. Sanitary Facilities

- A. When operational, Caltrans-owned sanitary facilities will be available for use by Contractor's employees during normal Caltrans working hours. Tools shall not be cleaned nor shall cleaning liquids be disposed of in Caltrans sanitary facilities or sewers.
- B. When Caltrans-owned sanitary facilities are not operational, Contractor shall provide and pay for wash facilities, drinking water fixtures, paper cups and temporary toilet units for its personnel. Facilities shall include the periodic flushing, waste removal, and cleaning of such facilities. Units shall be maintained in a clean and sanitary condition, including a supply of toilet tissue, toilet seat covers, and paper towels. Waste material shall be disposed of off-site in a lawful manner. Temporary toilet units shall be single occupant units of the chemical, aerated recirculation, or combustion type, properly vented, and fully enclosed with a glass fiber reinforced polyester shell or similar nonabsorbent material. This shall be done at Contractor's sole expense.
- C. No area is available within the Agreement limits for the exclusive use of Contractor. Contractor shall arrange with Caltrans Contract Manager for areas to store equipment and materials within the work area.

14. Compliance

All work performed under this Agreement shall be in accordance with the following:

- A. California Code of Regulations (CCR) Titles [8](#), [17](#), [19](#), [24](#).
- B. All State and Local regulations having jurisdiction over the Department of Transportation (Caltrans) facilities.
- C. Applicable manufacturer's operating and maintenance installation instructions.
- D. Standards set forth by the [California Occupational Safety & Health Administration](#) (CAL-OSHA).
- E. Regulations set forth by the [California High Patrol](#) (CHP).
- F. Work performed and material used must be in compliance with applicable Federal, State, and Local laws, regulations, and codes, including conformity with rules for control of volatile organic compound emissions adopted by Air Quality Control Board in air basin in which the coatings are applied, and for hazardous waste or hazardous materials. Although it is not necessary to obtain building permits to perform work on State-owned properties; work is subject to inspection by local agency code enforcement. Many properties are covered under the National Historic Preservation Act, Section 106 (16 USC, 470) and/or other Federal, State, or Local criteria and therefore require strict approval of proposed work and material selected.

15. Contractor's Responsibility

- A. Contractor shall ensure that only qualified and competent employee(s) are permitted on the job site and that the work shall be safely performed by the highest industry

Exhibit A
Public Works (State)

standards. Contractor shall take all the necessary precautions to prevent injury or hazard to Caltrans employee(s) and/or to the general public.

- B. Contractor shall conduct its operations as to avoid damage to the property of Caltrans or to adjacent property. If any property is damaged by reason of Contractor's operations, such property shall be replaced or restored at Contractor's sole expense and to the satisfaction of Caltrans Contract Manager and/or property owner.
- C. Contractor shall avoid causing unreasonable inconveniences to any person(s) doing business on Caltrans property. In the event Contractor's operation creates a condition hazardous to the Caltrans property occupants or the public, Contractor shall provide warning signs alerting to any dangerous conditions at Contractor's own expense and without cost to Caltrans.
- D. Contractor shall conduct its operations to offer the least possible obstruction and inconvenience to the public. Whenever Contractor's operations create a condition hazardous to the public, Contractor shall provide, entirely at their own expense, adequate signage warning the public of any dangerous conditions to be encountered and shall furnish, erect, and maintain such fences, barricades, lights, signs, and other devices as necessary to prevent accidents and avoid property damage or injury to the public.
- E. Contractor shall conduct its operations in such a manner to avoid persons or damage to the property of Caltrans, or to adjacent properties. If such personal injury or property damage occurs by reason of Contractor's operations, Contractor shall be fully responsible for compensating those injured, or restoration or repair of the property.
- F. Failure to use proper tools and equipment for the specified work will constitute non-conformance and may result in possible termination of Contractor from this Agreement. Caltrans' inspection of Contractor's trucks, tools, and equipment does not certify or warrant the condition of those trucks, tools, and/or equipment for future use.
- G. All debris produced as a byproduct of surface preparation shall be disposed of by Contractor. All packaging and waste materials shall be disposed of by Contractor. Contractor shall assume all responsibility for all waste or trash materials produced during this work and properly dispose of them. No waste or trash will be deposited in Caltrans dumpsters without express written permission of Caltrans Contract Manager. Contractor shall be responsible for all costs associated with the clean-up and removal of the waste or trash.
- H. Contractor shall comply with all applicable federal, state, county, city, and municipal laws, ordinances, rules, and regulations which in any manner affect those engaged or employed in the work to be performed. All permits and licenses, registrations, and certificated required by local ordinances must be obtained and paid for by Contractor.
- I. Caltrans, or any employee of Caltrans, shall not be answerable or accountable in any manner for any loss or damage that may occur to Contractor's operator, in truck equipment, tools, or materials.
- J. Contractor hereby unconditionally guarantees that the work done will be done in accordance with the terms of the Agreement, and further guarantees that the work done in this Agreement will be and remain free of defects in workmanship and materials for a period of one (1) year from the date of acceptance of the work by Caltrans Contract

Exhibit A
Public Works (State)

Manager. Contractor hereby agrees to repair or replace any and all building work, together with any other adjacent work which may be displaced in so doing, that may prove to be not in accordance with the terms of the Agreement or that may be defective in its workmanship or material within the guarantee period specified, ordinary wear and tear and unusual abuse or neglect excepted, without any expense whatsoever to Caltrans.

- K. Contractor further agrees that within ten (10) calendar days after being notified in writing by Caltrans of any building work not in accordance with the requirements of the Agreement or any defects in the building work, Contractor shall commence and prosecute with due diligence all work necessary to fulfill the terms of the guarantee and shall complete the work within a reasonable period of time. In the event Contractor fails to comply, Contractor does hereby authorize Caltrans to proceed to have such work done at Contractor's sole expense and shall honor and pay the cost and charges therefore upon demand. Caltrans shall be entitled to all costs and expenses, including reasonable attorney's fees, necessarily incurred upon Contractor's refusal to honor and pay the above costs and charges.
- L. Any work or material found to be substandard or out of compliance with building codes will subject Contractor to a "call-back" to repair or redo the job and bring the job up to standard or up to code. Any call-back labor and material required will be at Contractor's sole expense. If substandard work by Contractor necessitates a third call-back to complete or correct a job, it may constitute grounds for Agreement termination. Failure to begin work and to complete work as required by this Agreement shall be considered grounds for termination of this Agreement for default.

16. Discovery of Asbestos, Lead-Based Paint, or Other Hazardous Substances/Material

- A. If Contractor discovers or suspects asbestos, lead-based paint, or other known hazardous substances/materials in the area affected by the Agreement, Contractor shall **STOP WORK IMMEDIATELY**. Contractor shall verbally notify Caltrans Contract Manager of these findings immediately and confirm verbal notification in writing within twenty-four (24) hours. Contractor is not responsible for any work related to clean up or disposal of these substances.
- B. Continuation of work shall not commence until Contractor has been authorized to do so in writing, by Caltrans Contract Manager.
- C. Should it become necessary to terminate a service request, Contractor shall be compensated only for work in progress or actually completed to Caltrans Contract Manager's satisfaction. No payment shall be made for delay or lost profits anticipated for uncompleted work.
- D. Failure to notify Caltrans Contract Manager of the discovery of asbestos, lead-based paint or other hazardous substances/materials may result in immediate termination of the Agreement for default. Contractor shall be responsible for all damages arising from failure to disclose the discovery of any hazardous substances.
- E. No hazardous materials or waste shall be handled by Contractor. Contractor shall notify Caltrans Contract Manager of any hazardous materials immediately upon discovery.

Exhibit A
Public Works (State)

17. Damages to State Property

In the event that any Caltrans property is damaged as a result of the actions of Contractor, or its employees, Contractor shall repair, at its sole expense, the damage which has occurred as a direct result of Contractor, or its employees. Repair efforts must be performed in a manner in which ensures all warranties are maintained for any products that are damaged. Repair efforts shall include the full costs for all required labor and materials. If the repair must be completed by an outside entity, Caltrans Contract Manager will approve of who shall be hired to complete the work.

18. Code of Conduct

Contractor and its employees shall be aware that they are working on Caltrans property and be courteous and respectful at all times. Contractor and its employees shall refrain from using loud voices, inappropriate language, and shall conduct themselves in a professional manner at all times. If Contractor's employees display any behavior that Caltrans Contract Manager deems inappropriate or offensive, Caltrans Contract Manager will have the right to remove that employee from doing further work on this Agreement.

19. Final Clean Up

- A. Contractor shall leave the work site in a neat and clean condition. Contractor shall clean and keep the area in an orderly, safe, and clean condition including, but not limited to, spills and smudges. If work site is not left in a clean and orderly condition, Contractor shall be called back to correct the condition at no extra charge to Caltrans.
- B. Contractor shall own and assume all responsibility for litter, waste, debris, and similar materials arising from or produced by the work performed under this Agreement. Contractor shall haul away and legally discard any materials or debris caused by its work actions from the job site.
- C. Contractor's bid shall include the cost to dispose of and/or recycle freon, to include any discarded materials resulted from installs, repairs. Contractor shall be responsible for such disposal, recycling costs and shall be included in the **Price Quote Proposal, Attachment 1**.

20. Inspection and Acceptance for Payment

- A. It is the responsibility of Contractor to notify Caltrans Contract Manager that the work is complete and ready for inspection. Work will be accepted only after Contractor has adjusted equipment, demonstrated that it fulfills the requirements of any drawings and service request, and has furnished all required certificates. Work is subject to inspection and acceptance by Caltrans Contract Manager.
- B. Caltrans Contract Manager will have the ultimate responsibility and authority to determine whether Contractor's satisfied Contractor's duties and obligations under the Agreement, including specifically whether Contractor delivered all work product and deliverables and whether Contractor's work product and deliverables satisfied all of the applicable contract requirements.
- C. Approval of work constitutes approval for payment and not transference or termination of Contractor's responsibility to perform work in accordance with the terms of the

Exhibit A
Public Works (State)

Agreement, and any work that needs corrections shall be at Contractor's sole cost and expense in a timely manner.

- D. Regardless of any prior inspections and acceptances of work during the term of this Agreement, all work is subject to final inspection and approval by Caltrans Contract Manager.
- E. Failure to complete work, as required by this Agreement, shall be considered grounds for termination of this Agreement for default, per **Exhibit D, Section 2, Termination**.

21. Warranty

Upon completion and acceptance of work by Caltrans Contract Manager, Contractor shall provide a warranty for the period of one (1) year for the term of this agreement against defects and deficient workmanship. Contractor shall guarantee all parts, components, and services that were provided under this Agreement. Parts manufacturer shall provide Caltrans the manufacturer's warranty on new equipment and associate parts.

22. Waste Disposal

Prior to the commencement of waste disposal, Contractor must adhere to the provisions highlighted in Senate Bill 1383 (Lara) of 2016 Title 14, CCR, General Provisions section 18981.2, Public Resources Code sections 42652 et. Seq.

Exhibit B
Public Works—State

Budget Detail and Payment Provisions

1. Invoicing and Payment

- A. For services satisfactorily rendered and approved by Caltrans Contract Manager, and upon receipt and approval of the invoices, Caltrans agrees to compensate Contractor in accordance with the **Price Quote Proposal, Attachment 1**, and this **Exhibit B**. Incomplete or disputed invoice(s) shall be returned to Contractor, unpaid, for correction.
- B. Contractor will be paid a lump sum of **\$TBD**, in arrears, in full payment for all work and services performed under this Agreement.
- C. Each invoice shall include:
 - 1) Agreement Number
 - 2) Dates of Service
 - 3) Location of Service
- D. Each invoice shall be submitted in triplicate to:

California Department of Transportation (Caltrans)
District 01/Maintenance
Attention: Contract Manager TBD
1656 Union Street
Eureka, CA 95501
- E. Contractor shall submit a certified copy of all payroll records for verification by Caltrans Contract Manager with each invoice. Delinquent or inadequate certified payrolls or other required documents will result in the withholding of payment until such documents are submitted by Contractor.

2. Budget Contingency Clause

- A. It is mutually understood between the parties that this Agreement may have been written before ascertaining the availability of congressional or legislative appropriation of funds, for the mutual benefit of both parties in order to avoid program and fiscal delays that would occur if the Agreement were executed after that determination was made.
- B. This Agreement is valid and enforceable only if sufficient funds are made available to Caltrans by United States Government or California State Legislature for the purpose of this program. In addition, this Agreement is subject to any additional restrictions, limitations, conditions, or any statute enacted by the Congress or the State Legislature that may affect the provisions, terms, or funding of this Agreement in any manner.
- C. It is mutually agreed that if Congress or State Legislature does not appropriate sufficient funds for the program, Agreement shall be amended to reflect any reduction in funds.
- D. Pursuant to Government Code Section 927.13, no late payment penalty shall accrue during any time period for which there is no Budget Act in effect, nor on any payment or refund that is the result of a federally mandated program or that is directly dependent upon the receipt of federal funds by a state agency.
- E. Caltrans has the option to terminate the Agreement under the 30-day termination clause or to amend the Agreement to reflect any reduction of funds.

Exhibit B
Public Works—State

3. Prompt Payment Clause

- A. Payment will be made in accordance with, and within the time specified in, Government Code, Chapter 4.5, commencing with Section 927 and all agreements must comply with Public Contract Code Sections 10262 and 10262.5.
- B. Pursuant to Public Contract Code Section 10262, Contractor shall pay its Subcontractor(s) within seven (7) calendar days from receipt of each payment made to Contractor by Caltrans.
- C. Failure of Contractor adhering to Public Contract Code Section 10262 may result in termination of this Agreement per Public Contract Code Section 10253 and disciplinary action by Contractors State License Board may be implemented.
- D. Any subcontract entered into as a result of this Agreement shall contain all the provisions of this clause.

4. Cost Limitation

- A. Total amount of this Agreement shall not exceed **\$TBD**.
- B. It is understood and agreed that this total is an estimate, and that Caltrans will pay only for those services actually rendered as authorized by Caltrans Contract Manager up to the total amount set forth in **Section 4A**, above.

5. Rates

Contractor shall perform work under this Agreement for the lump sum bid rate quoted.
See **Price Quote Proposal (ADM-1412)**, Sheet 1 of 1.

6. Costs Included in Bid Rates

- A. The cost of employer payments to or on behalf of employees, subsistence, travel, compensation insurance premiums, unemployment contributions, social security taxes, Agreement bond premiums, and any other taxes or assessments including sales and use taxes required by law or otherwise shall be included in the Agreement rates and no additional allowance will be made thereof, unless separate payment provision should specifically so provide.
- B. Contractor shall make travel and subsistence payments to each worker in compliance with Labor Code Sections 1773.1 and 1773.9. Travel and subsistence requirements are available on the of Department of Industrial Relations (DIR) website at <https://www.dir.ca.gov/OPRL/DPreWageDetermination.htm>.

7. Cost Principles

- A. Contractor agrees that the Contract Cost Principles and Procedures, 48 CFR, Part 31, and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, in 2 CFR, Part 200, shall be used to determine the allowable individual items of cost.
- B. Any costs for which payment has been made to Contractor that are determined by subsequent audit to be unallowable under 48 CFR Part 31 or 2 CFR Part 200 are subject to repayment by Contractor to Caltrans.

Exhibit B
Public Works–State

- C. Any subcontract entered into as a result of this Agreement shall contain all the provisions of this clause.

8. Payroll Records

- A. Contractor and each Subcontractor shall comply with the following provisions. Contractor shall be responsible for compliance by its Subcontractors.
- 1) Each Contractor and Subcontractor shall keep accurate payroll records and supporting documents as mandated by California Labor Code Section 1776 and as defined in Section 16000 of Title 8 of the California Code of Regulations, showing the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by Contractor or Subcontractor in connection with the public work. Each payroll record shall contain or be verified by a written declaration that it is made under penalty of perjury, stating both of the following:
 - a) The information contained in the payroll record is true and correct.
 - b) Employer has complied with requirements of Labor Code Sections 1771, 1811, and 1815 for any work performed by its employees on the public works project.
 - 2) The payroll records enumerated under **paragraph 1**, above, shall be certified. The certified payrolls and records related to employee wages, fringe benefits, payroll tax and deductions shall be available for inspection and copying by Caltrans representative at all reasonable hours at Contractor's principal office. Contractor shall provide copies of certified payrolls or permit inspection of its records as follows:
 - a) A certified copy of an employee's payroll record shall be made available for inspection or furnished to employee or employee's authorized representative on request.
 - b) A certified copy of all payroll records enumerated in **paragraph 1**, above, shall be made available for inspection or furnished upon request to a representative of Caltrans, the Division of Labor Standards Enforcement, and the Division of Apprenticeship Standards of the of Industrial Relations. Certified payrolls submitted to Caltrans, the Division of Labor Standards Enforcement, and the Division of Apprenticeship Standards shall not be altered or obliterated by Contractor.
 - c) The public shall not be given access to certified payroll records by Contractor. Contractor is required to forward any requests for certified payrolls to Caltrans Contract Manager by both email and regular mail on the business day following receipt of the request.
 - 3) Each Contractor shall submit a certified copy of the records enumerated in **paragraph 1**, above, to the entity that requested the records within 10 days after receipt of a written request.
 - 4) Any copy of records made available for inspection as copies and furnished upon request to the public or any public agency by Caltrans shall be marked or obliterated in such a manner as to prevent disclosure of each individual's name, address and

Exhibit B
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social security number. The name and address of Contractor awarded the Agreement or performing the Agreement shall not be marked or obliterated.

- 5) Contractor shall inform Caltrans of the location of the records enumerated under **paragraph 1**, above, including the street address, city, and county, and shall, within five (5) working days, provide a notice of a change of location and address.
 - 6) Contractor or Subcontractor shall have 10 days in which to comply subsequent to receipt of written notice requesting the records enumerated in **paragraph 1**, above. In the event Contractor or Subcontractor fails to comply within the 10-day period, they shall, as a penalty to Caltrans, forfeit one hundred dollars (\$100) for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated. Such penalties shall be withheld by Caltrans from payments then due. A Contractor is not subject to a penalty assessment pursuant to this section due to the failure of a Subcontractor to comply with this section.
- B. The penalties specified in **paragraph 6**, above, for noncompliance with the provisions of said Section 1776 will be deducted from any monies due or which may become due to Contractor. Penalties assessed for failure to submit certified payrolls are forfeitures and not retentions that will be returned to Contractor.
 - C. Payrolls shall contain the full name, address and social security number of each employee, the correct work classification (including apprentices, if applicable), rate of pay, daily and weekly number of hours worked, itemized deductions made, and actual wages paid. The payroll shall be accompanied by a "Statement of Compliance" signed by the employer or employer's agent indicating that the payrolls are correct and complete and that the wage rates contained therein are not less than those required by the Agreement. The "Statement of Compliance" shall be on forms furnished by Caltrans or on any form with identical wording. Any payroll that does not include the required "Statement of Compliance" will be deemed inadequate and unacceptable. Contractor shall be responsible for the submission of copies of payrolls of all Subcontractors.
 - D. Contractor and each Subcontractor shall preserve their payroll records for a period of three (3) years from the date of completion of the Agreement.
 - E. Contractor shall submit a certified copy of all payroll records for verification by Caltrans Contract Manager with each invoice. When progress payments are called for, Contractor shall submit a certified copy of all payroll records for verification for the work completed to date with each invoice. Delinquent or inadequate certified payrolls or other required documents will result in the withholding of payment until such documents are submitted by Contractor.
 - F. Any subcontract entered into as a result of this Agreement shall contain all the provisions of this clause.

9. Penalty

- A. Contractor and any Subcontractor under Contractor shall comply with Labor Code Sections 1774 and 1775. In accordance with said Labor Code Section 1775, Contractor shall forfeit, as a penalty to Caltrans, not more than two hundred dollars (\$200) for each calendar day, or portion thereof, for each worker paid less than the prevailing rates for such work or craft in which such worker is employed for any public work done under the

Exhibit B
Public Works—State

Agreement by them, or by any Subcontractor under them, in violation of the provisions of the Labor Code and, in particular, Labor Code Sections 1775 to 1780, inclusive.

- B. The amount of this forfeiture shall be determined by Labor Commissioner and shall be based on consideration of the mistake, inadvertence, or neglect of Contractor or Subcontractor in failing to pay the correct rate of prevailing wages, or the previous record of Contractor or Subcontractor in meeting their prevailing wage obligations, or a Contractor's willful failure to pay the correct rates of prevailing wages. A mistake, inadvertence, or neglect in failing to pay the correct rate of prevailing wages is not excusable if Contractor or Subcontractor had knowledge of the obligations under the Labor Code. Any contractor that executes and receives a copy of this Agreement is deemed to have knowledge of their obligations regarding the Labor Code's prevailing wage requirements. In addition to the penalty and pursuant to Labor Code Section 1775, the difference between the prevailing wage rates and the amount paid to each worker for each calendar day, or portion thereof, for which each worker was paid less than the prevailing wage rate shall be paid to each worker by Contractor or Subcontractor.
- C. If a worker employed by a Subcontractor on a public works project is not paid the general prevailing per diem wages by Subcontractor, Prime Contractor of the project is not liable for any penalties described above unless Prime Contractor had knowledge of that failure of Subcontractor to pay the specified prevailing rate of wages to those workers or unless Prime Contractor fails to comply with all the following requirements:
 - 1) The Agreement executed between Contractor and Subcontractor for the performance of work on the public works project shall include a copy of the provisions of Labor Code Sections 1771, 1775, 1776, 1777.5, 1813, and 1815.
 - 2) Contractor shall monitor the payment of the specified general prevailing rate of per diem wages by the Subcontractor to the employees by periodic review of the certified payroll records of the Subcontractor.
 - 3) Upon becoming aware of failure of Subcontractor to pay his or her workers the specific prevailing rate of wage, Contractor shall diligently take corrective action to halt or rectify the failure, including, but not limited, to retaining sufficient funds due the Subcontractor for work performed on the public works project.
 - 4) Prior to making final payment to the Subcontractor for work performed on the public works project, Contractor shall obtain an affidavit signed under penalty of perjury for the Subcontractor that the Subcontractor has paid the specified general prevailing rate of per diem wages to his or her employees on the public works project and any amounts due pursuant to Labor Code Section 1813.
- D. Pursuant to Labor Code Section 1775, Caltrans shall notify Contractor on a public works project within 15 days of receipt of a complaint that a Subcontractor has failed to pay workers the general prevailing rate of per diem wages.
- E. If Caltrans determines that employees of a Subcontractor were not paid the general prevailing rate of per diem wages and if Caltrans did not retain sufficient money under the Agreement to pay those employees the balance of wages owed under the general prevailing rate of per diem wages, Contractor shall withhold an amount of moneys due Subcontractor sufficient to pay those employees the general prevailing rate of per diem wages if requested by Caltrans.

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- F. Any subcontract entered into as a result of this Agreement shall contain all the provisions of this clause.

10. State General Prevailing Wage Rates

- A. Contractor agrees to comply with all the applicable provisions of the Labor Code including those provisions requiring the payment of not less than the general prevailing rate of wages. Contractor further agrees to the penalties and forfeitures provided in said Code in the event a violation of any of the provisions occurs in the execution of this Agreement.
- B. Pursuant to Labor Code Section 1771.5, not less than general prevailing wage rate of per diem wages and general prevailing rate of per diem wages for holiday and overtime work for work of a similar character in the county in which the work is to be performed shall be paid to all workers employed on this Agreement, if this Agreement is for:
- 1) More than \$25,000 for public works construction, or
 - 2) More than \$15,000 for the alteration, demolition, installation, repair, or maintenance of public works.
- C. Any subcontract entered into as a result of this Agreement shall contain all of the provisions of this clause.

11. State Prevailing Wage Rate Determinations

- A. The General Prevailing Wage Rate Determinations applicable to the project are available and on file with the Caltrans Regional/District Labor Compliance Office. These wage rate determinations are made a specific part of this Agreement by reference pursuant to Labor Code Section 1773.2. Any special wage rate determinations applicable to this project are attached.
- B. General Prevailing Wage Rate Determinations applicable to this project may also be obtained from the DIR website at:
<https://www.dir.ca.gov/OPRL/DPreWageDetermination.htm>.
- C. After award of the Agreement, and prior to commencing work, all applicable General Prevailing Wage Rate Determinations are to be obtained by Contractor from DIR. These wage rate determinations are to be posted by Contractor at the job site in accordance with Labor Code Section 1773.2.
- D. After the award of the Agreement, questions pertaining to predetermined wage rates should be directed to the Caltrans Labor Compliance Office:

Caltrans Headquarters Labor Compliance Office
1120 N Street, MS-44
Sacramento, CA 95814
Email: labor.compliance@dot.ca.gov

12. Hours of Labor

- A. Eight (8) hours labor constitutes a legal day's work. Contractor shall forfeit, as a penalty to the State of California, twenty-five dollars (\$25) for each worker employed in the execution of the Agreement by Contractor or any Subcontractor under Contractor for each calendar day during which such worker is required or permitted to work more than eight (8) hours in any one calendar day and 40 hours in any one calendar week in

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violation of the provisions of the Labor Code, and in particular Sections 1810 to 1815 thereof, inclusive, except that work performed by employees in excess of eight (8) hours per day, and 40 hours during any one week, shall be permitted upon compensation for all hours worked in excess of eight (8) hours per day and 40 hours in any week, at not less than one and one-half times the basic rate of pay, as provided in Section 1815.

- B. Any subcontract entered into as a result of this Agreement shall contain all the provisions of this clause.

13. Employment of Apprentices

- A. Where the prime contract is \$30,000 or more, Contractor and any Subcontractors under them shall comply with all applicable requirements of Labor Code Sections 1777.5, 1777.6 and 1777.7 in the employment of apprentices.
- B. Contractors and Subcontractors are required to comply with all Labor Code requirements regarding the employment of apprentices, including mandatory ratios of journey level to apprentice workers. Prior to commencement of work, Contractors and Subcontractors are advised to contact the State Division of Apprenticeship Standards, P. O. Box 420603, San Francisco, California 94142-0603, or one of its branch offices, for additional information regarding the employment of apprentices and for the specific journey-to-apprentice ratios for the Agreement work. Prime Contractor is responsible for all Subcontractors' compliance with these requirements. Penalties for failure to comply with apprenticeship requirements are specified in Labor Code Section 1777.7.
- C. Any subcontract entered into as a result of this Agreement shall contain all the provisions of this clause.

Exhibit D
Public Works—State

Special Terms and Conditions

1. Settlement of Disputes

- A. Any dispute concerning a question of fact arising under this Agreement that is not disposed of by agreement shall be decided by Caltrans Contract Officer, who may consider any written or verbal evidence submitted by Contractor. The decision of Caltrans Contract Officer, issued in writing, shall be Caltrans' final decision on the dispute.
- B. Neither the pendency of a dispute nor its consideration by Caltrans Contract Officer will excuse Contractor from full and timely performance in accordance with the terms of the Agreement.

2. Termination

- A. If, after award and execution of the Agreement, Contractor's performance is unsatisfactory, the Agreement may be terminated immediately for default. Additionally, Contractor may be liable to Caltrans for damages including the difference between Contractor's original bid price and the actual cost of performing the work by another Contractor. Default is defined as Contractor failing to perform services required by the Agreement in a satisfactory manner.
- B. Caltrans reserves the right to terminate this Agreement for any or no cause upon 30 days' written notice to Contractor. Upon such termination, no compensation shall be due or payable to Contractor except for compensation earned through the date of termination.
- C. The State may terminate this Agreement immediately for good cause. The term "good cause" may be defined as "impossibility of performance" or "frustration of purpose," but does not include material breach, default, or termination without cause. In this instance, the Agreement termination shall be effective as of the date indicated on the State's notification to Contractor.
- D. In the event that the total Agreement amount is expended prior to the expiration date, Caltrans may, at its discretion, terminate this Agreement with 30 days' notice to Contractor.

3. Retention of Records/Audits

- A. For the purpose of determining compliance with Government Code Section 8546.7, Contractor and Subcontractors shall maintain all books, documents, papers, accounting records, and other evidence pertaining to the performance of the Agreement, including but not limited to, the costs of administering the Agreement. All parties shall make such materials available at their respective offices at all reasonable times during the Agreement period and for three (3) years from the date of final payment under the Agreement. Caltrans, the State Auditor, Federal Highway Administration (FHWA), or any duly authorized representative of the Federal government having jurisdiction under Federal laws or regulations (including the basis of Federal funding in whole or in part) shall have access to Contractor's books, records, and documents that are pertinent to the Agreement for audits, examinations, excerpts, and transactions, and copies thereof shall be furnished if requested.

Exhibit D
Public Works–State

- B. Any subcontract entered into as a result of this Agreement shall contain all the provisions of this clause.

4. Subcontractors

- A. Nothing contained in this Agreement or otherwise, shall create any contractual relation between Caltrans and any Subcontractors, and no subcontract shall relieve Contractor of its responsibilities and obligations hereunder. Contractor agrees to be as fully responsible to Caltrans for the acts and omissions of its Subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by Contractor. Contractor's obligation to pay its Subcontractors is an independent obligation from Caltrans obligation to make payments to Contractor.
- B. Contractor shall perform the work contemplated with resources available within its own organization and no portion of the work shall be subcontracted, except for Subcontractors listed on the **Bidder Declaration (GSPD-05-105), Attachment 2**.
- C. Contractor may only subcontract portions of the work to a qualified DGS-Certified Small Business (SB)/Micro Business (MB) or Disabled Veteran Business Enterprise (DVBE), with the same status of Contractor. No work may be subcontracted to any business not certified as a SB/MB or DVBE by DGS. Contractor must ensure that the Subcontractor(s) is/are DGS certified SB/MB or DVBE and have all necessary licenses, permits, certifications and/or equivalent to accomplish its portion of the work. Failure of a Subcontractor(s) to have the proper licenses, permits, certifications and/or equivalent, including the DGS SB/MB or DVBE Certification, may be cause for termination per Exhibit D, **Item 2 above**.
- D. Any subcontract in excess of \$25,000 entered into as a result of this Agreement shall contain all of the provisions stipulated in this Agreement to be applicable to Subcontractors.
- E. Any substitution of Subcontractors shall comply with the requirements of Public Contract Code Sections 4100 et seq. and must be approved in writing by Caltrans Contract Manager in advance of assigning work to a substitute Subcontractor.

5. Reporting Disabled Veteran Business Enterprise (DVBE) Utilization

If this Agreement requires DVBE participation, it is the responsibility of Contractor to track DVBE participation requirement progress and Contractor must report the actual amount paid to certified Subcontractors. Contractors must comply with Government Code Section 14841 and Military and Veterans Code Sections 999.5(d) and 999.7 by reporting the actual utilization of certified Subcontractor(s) during the performance of this Agreement. Contractor shall prepare and submit the Report of Utilization of Small/Micro Business and Disabled Veteran Business Enterprise State Funded Contracts Only (ADM-3059) (<https://forms.dot.ca.gov/v2Forms/servlet/FormRenderer?frmid=ADM3059>) to Caltrans Contract Manager with each invoice.

If Contractor fails to submit the ADM-3059 with the final invoice, Caltrans Contract Manager shall withhold \$10,000, or the full payment if it is less than \$10,000, from the final payment on the Agreement until Caltrans Contract Manager receives a complete and satisfactory ADM-3059. Caltrans Contract Manager shall notify Contractor by email that Contractor must submit a complete and satisfactory ADM-3059 within 30 days from the date of the notice. If

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Contractor fails to fully complete and submit the ADM-3059 within this 30-day period, Caltrans shall permanently withhold payment of the final invoice.

Upon Caltrans Contract Manager's request, Contractor shall provide proof of payment for the work performed by the DVBE subcontractor(s).

6. Reporting Small Business/Micro Business (SB/MB) Utilization

If SB/MB Subcontractor participation is a requirement of this Agreement, Contractor must report the actual amount paid to certified Subcontractors. Contractor must comply with Government Code Section 14841 by reporting the actual utilization of certified Subcontractor(s) during the performance of this Agreement. Contractor shall prepare and submit the Report of Utilization of Small/Micro Business and Disabled Veteran Business Enterprise State Funded Contracts Only (ADM-3059)

(<https://forms.dot.ca.gov/v2Forms/servlet/FormRenderer?frmID=ADM3059>) to Caltrans Contract Manager with each invoice.

7. DVBE Participation (Not Required)

Caltrans has not established a DVBE participation requirement for this Agreement. However, Contractor shall be fully informed respecting the California Public Contract Code Sections 10115 et seq., which is incorporated by reference. Contractor is urged to obtain DVBE Subcontractor participation should clearly defined portions of the work become available.

8. Laws to be Observed

Contractor shall keep fully informed of all existing and future laws, including: State and Federal, county and municipal ordinances and regulations including but not limited to Senate Bill 1383 of 2016 Title 14, CCR, General Provisions section 18981.2, Public Resources Code sections 42652 et. seq., and of all such orders and decrees of bodies or tribunals having any jurisdiction or authority over the same, which in any manner affect those engaged or employed in the work, the materials used in the work, or which in any way affect the conduct of the work. Contractor shall at all times observe and comply with and shall cause all agents and employees to observe and comply with, all such existing and future laws, ordinances, regulations, orders, and decrees of bodies or tribunals having any jurisdiction or authority over the Agreement. Contractor shall protect and indemnify the State of California and all officers and employees thereof connected with the work against any claim, injury, or liability arising from or based on the violation of any such law, ordinance, regulation, order, or decree, whether by Contractor, its Subcontractor(s), or an employee(s). If any discrepancy or inconsistency is discovered in the plans, drawings, specification, or Agreement for the work in relation to any such law, ordinance, regulation, order, or decree, Contractor shall immediately report the same to Caltrans Contract Manager in writing.

9. Specific Legal References

Any reference to specific statutes, regulations, or other legal authority in this Agreement shall not relieve Contractor from the responsibility of complying with all existing and future laws, ordinances, regulations, orders, and decrees of bodies or tribunals having any jurisdiction or authority over the Agreement.

Exhibit D
Public Works—State

10. Equipment Indemnification

- A. Contractor shall indemnify Caltrans for any claims against Caltrans for loss or damage to Contractor's property or equipment during its use under this Agreement and shall, at Contractor's own expense, maintain such fire, theft, liability, or other insurance as deemed necessary for this protection. Contractor assumes all responsibility which may be imposed by law for property damage or personal injuries caused by defective equipment furnished under this Agreement or by operations of Contractor or Contractor's employees under this Agreement.
- B. Any subcontract entered into as a result of this Agreement shall contain all the provisions of this clause.

11. Force Majeure

Except for defaults of Subcontractors, neither party shall be liable to the other for any delay in, or failure of, performance, nor shall any such delay in, or failure of, performance constitute default, if such delay or failure is (directly or indirectly) caused by "Force Majeure" without the fault, intentional act, or negligence of Contractor. As used in this section, "Force Majeure" shall include, but shall not be limited to, acts of God, fire, flood, earthquake, other natural disaster, nuclear accident, strike, lockout, riot, freight embargo, interruption in service by a regulated utility, or governmental statutes or regulations superimposed after the fact.

If a delay in, or failure of, performance by Contractor arises out of a default of its Subcontractor, and if such default of its Subcontractor arises out of causes beyond the (direct or indirect) control of both Contractor and Subcontractor, and without the fault, intentional act, or negligence of either of them, Contractor shall not be liable for damages of such delay or failure, unless the supplies or services to be furnished by the Subcontractor were obtainable from other sources in sufficient time to permit Contractor to meet the required performance schedule.

12. Employment of Undocumented Workers

By signing this Agreement, Contractor swears or affirms that it has not, in the preceding five (5) years, been convicted of violating a State or Federal law relative to the employment of undocumented workers.

13. Bonds

Payment Bond Requirement: If the total contract price exceeds \$25,000, Contractor shall furnish a Payment Bond for not less than one hundred percent (100%) of the total amount payable by the terms of this Agreement. The Payment Bond is due prior to the start date of work. No work may commence without receipt of a valid Payment Bond. The Payment Bond must be in effect at all times for the duration of the Agreement. If the Payment Bond expires during the term of the Agreement, a new Payment Bond must be provided to Caltrans Contract Manager not less than 10 business days prior to its expiration. Failure to maintain a Payment Bond for the full term of this Agreement will be grounds for termination for default in addition to other legal remedies Caltrans may have. Inadequate or lack of a Payment Bond does not negate Contractor's obligations under this Agreement.

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Public Works–State

14. Assumption of Risk and Indemnification Regarding Exposure to Environmental Health Hazards

In addition to, and not a limitation of, Contractor's indemnification obligations contained elsewhere in this Agreement, Contractor hereby assumes all risks of the consequences of exposure of Contractor's employees, agents, Subcontractors, Subcontractors' employees, and any other person, firm, or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, to any and all environmental health hazards, local and otherwise, in connection with the performance of this Agreement. Such hazards include, but are not limited to, bodily injury and/or death resulting in whole or in part from exposure to infectious agents and/or pathogens of any type, kind, or origin. Contractor also agrees to take all appropriate safety precautions to prevent any such exposure to Contractor's employees, agents, Subcontractors, Subcontractors' employees, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement. Contractor also agrees to indemnify and hold harmless Caltrans, the State of California, and each and all of their officers, agents and employees, from any and all claims and/or losses accruing or resulting from such exposure. Except as provided by law, Contractor also agrees that the provisions of this paragraph shall apply regardless of the existence or degree of negligence or fault on the part of Caltrans, the State of California, and/or any of their officers, agents and/or employees.

15. Mandatory Organic Waste Recycling

It is understood and agreed that pursuant to Public Resources Code Sections 42649.8 et seq., if Contractor generates two (2) cubic yards or more of organic waste or commercial solid waste per week, Contractor shall arrange for organic waste or commercial waste recycling services that separate/source organic waste for organic waste recycling. Contractor shall provide proof of compliance, i.e., organic waste recycling services or commercial waste recycling services that separate/source organic waste recycling, upon request from Caltrans Contract Manager.

16. ADA Compliance

All entities that provide electronic or information technology or related services that will be posted online by Caltrans must be in compliance with Government Code Sections 7405 and 11135 and the Web Content Accessibility Guidelines (WCAG) 2.0 or subsequent version, published by the Web Accessibility Initiative of the World Wide Web Consortium at a minimum Level AA success. All entities will respond to and resolve any complaints/deficiencies regarding accessibility brought to their attention.

17. Electronic Signatures

Each party agrees that the electronic signatures, whether digital or encrypted, of the parties included in this Agreement are intended to authenticate this writing and to have the same force and effect as manual signatures for this Agreement. Documents that are referenced by this Agreement may still require manual signatures

18. Executive Order N-6-22 – Russia Sanctions

On March 4, 2022, Governor Gavin Newsom issued Executive Order [N-6-22](#) (the EO) regarding Economic Sanctions against Russia and Russian entities and individuals. "Economic Sanctions" refers to sanctions imposed by the U.S. government in response to

Exhibit D
Public Works–State

Russia's actions in Ukraine, as well as any sanctions imposed under state law unless the contract has been Federalized (i.e. there is federal participation in any phase). The EO directs state agencies to terminate contracts with, and to refrain from entering any new contracts with, individuals or entities that are determined to be a target of Economic Sanctions. Accordingly, should the State determine Contractor is a target of Economic Sanctions or is conducting prohibited transactions with sanctioned individuals or entities, that shall be grounds for termination of this agreement. The State shall provide Contractor advance written notice of such termination, allowing Contractor at least 30 calendar days to provide a written response. Termination shall be at the sole discretion of the State.

Exhibit E
Public Works–State

Additional Provisions

1. General Provisions Required in all Insurance Policies

- A. Deductible: Contractor is responsible for any deductible or self-insured retention contained within the insurance program.
- B. Coverage Term: Coverage must be in force for the complete term of this Agreement. If insurance expires during the term of this Agreement, a new certificate must be received by Caltrans Contract Manager at least ten (10) days prior to the expiration of the insurance. Any new insurance must continue to comply with the original terms of this Agreement **01A6494**.
- C. Policy Cancellation or Termination and Notice of Non-Renewal: Contractor shall provide, to Caltrans Contract Manager within five (5) business days, following receipt by Contractor, a copy of any cancellation or non-renewal of insurance required by this Agreement. In the event Contractor fails to keep, in effect at all times, the specified insurance coverage, the State may, in addition to any other remedies it may have, terminate this Agreement upon the occurrence of such event, subject to the provisions of this Agreement.
- D. Primary Clause: Any required insurance contained in this Agreement shall be primary, and not excess or contributory, to any other insurance carried by the State.
- E. Inadequate Insurance: Inadequate or lack of insurance does not negate Contractor's obligations under this Agreement.
- F. Endorsements: Any required endorsements requested by the State must be physically attached to all requested certificates of insurance and not substituted by referring to such coverage on the certificate of insurance.
- G. Insurance Carrier Required Rating: All insurance companies must carry a rating acceptable to the Department of General Services, Office of Risk and Insurance Management (ORIM). If Contractor is self-insured for a portion or all of its insurance, review of financial information including a letter of credit may be required. Department of General Services, ORIM Website: <https://www.dgs.ca.gov/ORIM>.
- H. Contractor shall include all its Subcontractors as insureds under Contractor's insurance or supply evidence of insurance to the State equal to the policies, coverages, and limits required of Contractor.
- I. The State will not be responsible for any premiums or assessments on the policy.

2. Insurance Requirements

- A. Commercial General Liability
 - 1) Contractor shall maintain general liability on an occurrence form with limits not less than **\$1,000,000** per occurrence and **\$2,000,000** aggregate for bodily injury and property damage liability. The policy shall include coverage for liabilities arising out of premises, operations, independent contractors, products, completed operations, personal and advertising injury, and liability assumed under an insured Agreement. This insurance shall apply separately to each insured against whom claim is made or suit is brought subject to Contractor's limit of liability.

Exhibit E
Public Works–State

2) The policy must include:

Caltrans, State of California, its officers, agents, and employees are included as additional insured, but only with respect to work performed for the State of California under this Agreement.

3) The additional insured endorsement must accompany the certificate of insurance.

4) This endorsement must be supplied under form acceptable to the Department of General Services, Office of Risk and Insurance Management.

B. Automobile Liability

Contractor shall maintain motor vehicle liability with limits not less than **\$1,000,000** combined single limit per accident. Such insurance shall cover liability arising out of a motor vehicle including owned, hired and non-owned motor vehicles. The same additional insured designation and endorsement required for general liability is to be provided for this coverage.

C. Workers' Compensation and Employer's Liability

Contractor shall maintain statutory workers' compensation and employer's liability coverage for all its employees who will be engaged in the performance of the Agreement. Employer's liability limits of **\$1,000,000** are required. When work is performed on State owned or controlled property the workers' compensation policy shall contain a waiver of subrogation in favor of the State. The waiver of subrogation endorsement shall be provided to Caltrans Contract Manager.

D. Satisfying a Self-Insured Retention (SIR)

All insurance required by this Agreement must allow, but not require, the State to pay any SIR and/or act as Contractor's agent in satisfying any SIR. The choice to pay any SIR and/or act as Contractor's agent in satisfying any SIR is at the State's discretion. If the State chooses to pay any SIR and/or act as Contractor's agent in satisfying any SIR, Contractor shall reimburse the State for the same.

E. Available Coverages/Limits

In the event the insurance coverages obtained by Contractor is broader in scope than, and/or the limits are higher than, those required under the contract, all such broader coverage and/or higher limits available to Contractor shall also be available and applicable to the State.

3. Licenses and Permits

A. Contractor shall be properly licensed in accordance with the laws of the State of California and shall possess a **Class B - General Building Contractor** license issued by the California Contractors State Licensing Board (CSLB).

B. Contractor shall be an individual or firm qualified to do business in California and shall obtain at its expense all license(s) and permit(s) required by law for accomplishing any work required in connection with this Agreement.

C. If Contractor is headquartered in the State of California, Contractor must possess a business license or equivalent from the city/county in which it is headquartered. If Contractor is a corporation or other business entity (apart from a sole proprietorship or

Exhibit E
Public Works—State

general partnership), then Contractor must be registered and active/in good standing with the California Secretary of State.

- D. If Contractor is headquartered outside the State of California, Contractor must submit to Caltrans a copy of its business license or equivalent. If Contractor is a foreign (outside of California) corporation or other business entity (apart from a sole proprietorship or general partnership), then Contractor must be registered and active/in good standing with the California Secretary of State.
- E. In the event any license(s) and/or permit(s) expire at any time during the term of this Agreement, Contractor agrees to provide Caltrans Contract Manager a copy of the renewed license(s) and/or permit(s) within 30 days following the expiration date. In the event Contractor fails to keep in effect at all times all required license(s) and permit(s), Caltrans may, in addition to any other remedies it may have, terminate this Agreement upon occurrence of such event.

4. Licensed Contractor Standards for Quality of Work

- A. Licensed Contractors must observe professional standards for quality of work, or the California Contractors State License Board (CSLB) will invoke disciplinary action.
- B. Notice is hereby given that certain actions by Contractor, including, but not limited to, the following, constitute grounds for disciplinary action once Caltrans has notified the license board of all violations:
 - 1) A willful departure from plans and specifications or disregard of trade standards for good and workmanlike construction in any material respect that might prejudice the Caltrans owner of the property upon which you perform work (Bus. and Prof. Code, 7109).
 - 2) The failure to observe and comply with all the applicable labor laws (Bus. and Prof. Code Section 7110).
 - 3) Material failure to complete this Agreement (Bus. and Prof. Code 7113).
- C. Should Caltrans determine that the work or materials provided vary materially from the specifications, or, that defective work when completed was not performed in a workmanlike manner, then Contractor warrants that they shall perform all necessary repairs, replacement and corrections needed to restore the property according to the Agreement plans and specifications, all at no further or additional cost to Caltrans.

5. Prohibition of Delinquent Taxpayers

Public Contract Code Section 10295.4 prohibits the State from entering into an Agreement for goods or services with any taxpayer, whose name appears on either list maintained by the California Department of Tax and Fee Administration or the Franchise Tax Board pursuant to Revenue Taxation Code Sections 7063 and 19195, respectively, of the 500 largest tax delinquencies. Public Contract Code Section 10295.4 provides no exceptions to these prohibitions.

6. Debarment and Suspension Certification

- A. Contractor's signature affixed herein shall constitute a certification under penalty of perjury under the laws of the State of California, that Contractor or any person associated therewith in the capacity of owner, partner, director, officer, or manager:

Exhibit E
Public Works—State

- 1) is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
 - 2) has not been suspended, debarred, voluntarily excluded, or determined ineligible by any Federal agency within the past three (3) years;
 - 3) does not have a proposed debarment pending; and
 - 4) has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years.
- B. Any exceptions to this certification must be disclosed to Caltrans. Exceptions will not necessarily result in denial of recommendation for award but will be considered in determining bidder responsibility. Disclosures must indicate the party to whom the exceptions apply, the initiating agency, and the dates of agency action.

7. Interfacing with Pedestrian and Vehicular Traffic

Pursuant to the authority contained in California Vehicle Code Section 591, Caltrans has determined that within such areas as are within the limits of the project and are open to public traffic, Contractor shall comply with all of the requirements set forth in Divisions 11, 12, 13, 14, and 15 of California Vehicle Code. Contractor shall take all necessary precautions for safe operations of Contractor's equipment and the protection of the public from injury and damage from such property.

8. Motor Carrier Permit Requirements

- A. Contractor is responsible for determining whether California Vehicle Code Sections 34601 and 34620 require Contractor to have a valid Motor Carrier Permit (MCP) issued by the Department of Motor Vehicles (DMV) in order for Contractor to lawfully perform any part or aspect of the work described in **Exhibit A, Scope of Work**, and, if California Vehicle Code Sections 34601 and 34620 do require same for any part or aspect of such work, Contractor must have a valid MCPs issued from the DMV for its services as a Motor Carrier of Property under this Agreement. Contractor shall pay any required fees necessary to obtain and maintain in good standing during the entire term of this Agreement the any such required MCP(s).
- B. MCP(s), if any, required for Contractor's Motor Carriers of Property under California Vehicle Code Sections 34601 and 34620 shall be on file with Contractor for the duration of this Agreement. Upon request of Caltrans Contract Manager, Contractor must immediately provide to Caltrans a copy of the required MCP(s), if any.

9. Small Business or Disabled Veteran Business Enterprise Certification

- A. Contractor shall maintain its status as a Department of General Services (DGS) certified Small Business (SB)/Microbusiness (MB)/Small Business for the purpose of public works (SB-PW), or Disabled Veteran Business Enterprise (DVBE), as applicable, throughout the term of this Agreement.
- B. Subcontractor must also maintain its certification with the DGS OSDS as a SB/MB/SB-PW or DVBE, as applicable, for the duration of this Agreement.

Exhibit E
Public Works—State

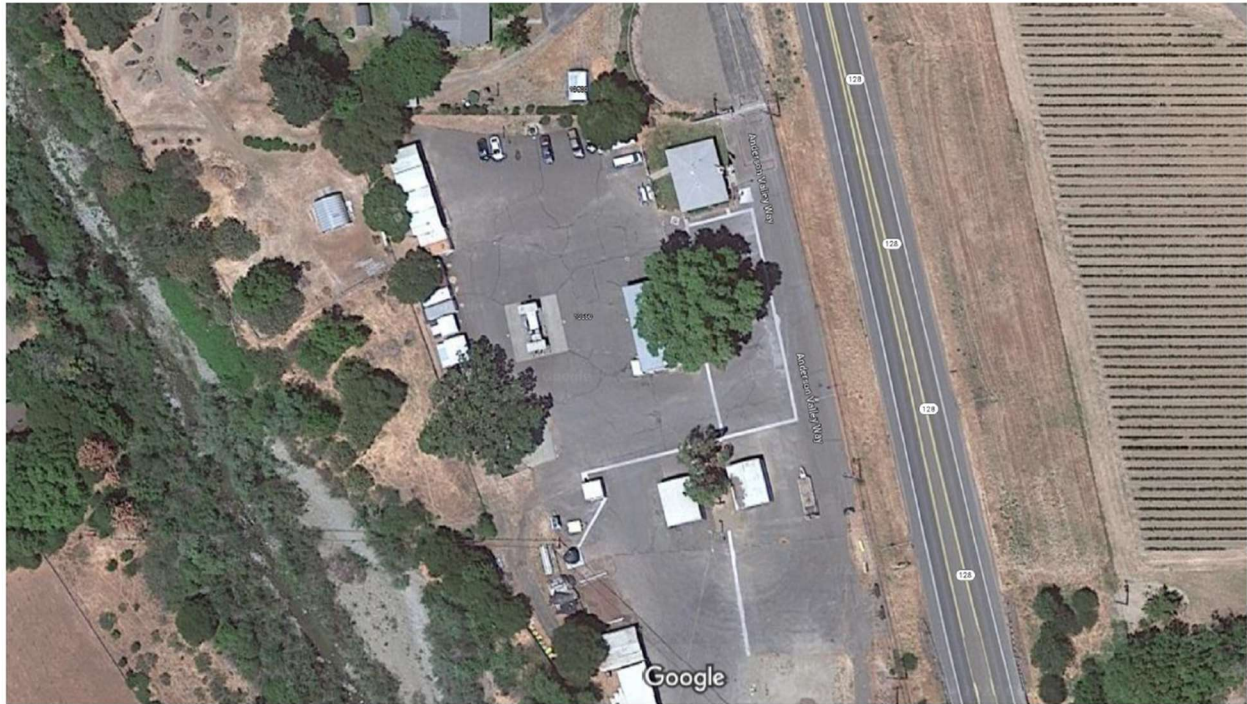
10. Contractor Registration Program

No Contractor or Subcontractor may be awarded a contract or engage in the performance of any contract for with prevailing wages, unless registered with the Department of Industrial Relations pursuant to Labor Code Section 1725.5.

ATTACHMENT 3
BOONVILLE PHOTOS AND SPECS

Contractor's Name TBD
Agreement Number 01A6494
Page 1 of 10

Boonville Maintenance Station Windows and rain gutter contract



Improvements to Office exterior

ATTACHMENT 3

Boonville Quantities

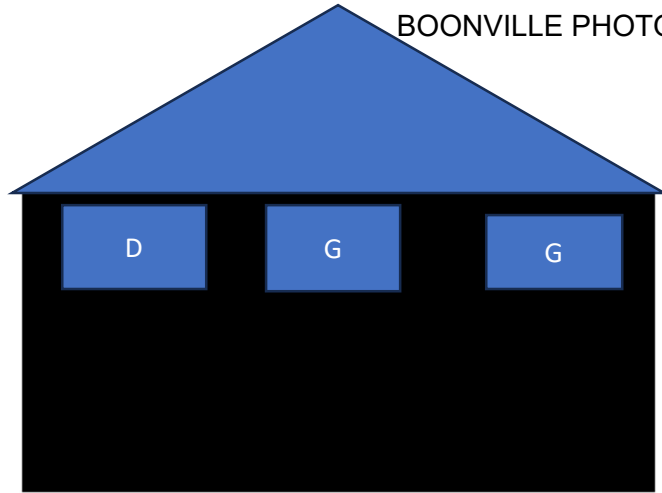
BOONVILLE PHOTOS AND SPECS

Contractor's Name TBD
 Agreement Number 01A6494
 Page 2 of 10

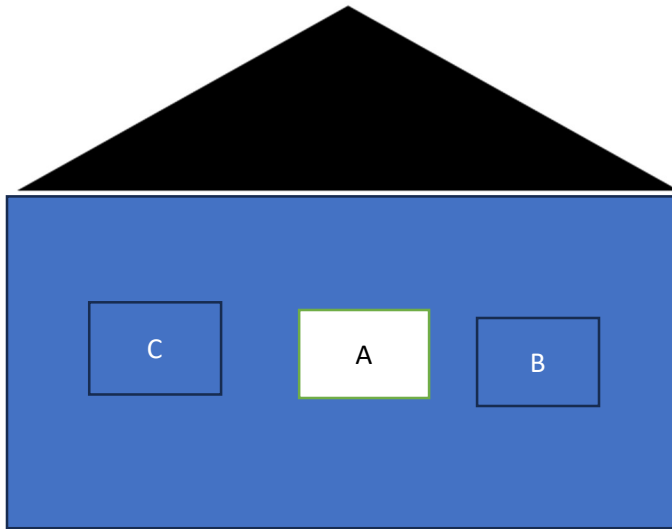
DIRECTION (Side of the buiding)	Window Code (See Page 2 & 3)	Window Width	Window Height	Window Quantity (EA)	Quantity of Rain Gutter to be removed and replaced (FT)	Quantity of Rain Gutter to be removed and replaced (FT)
WEST SIDE	Location Code					
	E	4' 6"	2' 2"	1	45	31
	A	4' 8"	4' 3"	1		
	C	3' 4"	3 2.5"	1		
SOUTH SIDE	TYPE					
	C	3' 4"	3' 2.5"	1	45	30
	A	4' 8"	4' 3"	1		
	B	3' 4"	4' 2.5"	1		
EAST SIDE	TYPE					
	B	3' 4"	4' 2.5"	1	35	30
	A	4' 8"	4' 3"	1		
	F	6'	4' 3"	1		
NORTH SIDE	TYPE					
	D	4' 8"	3' 2.5"	1	35	32
	G	3' 4"	2' 2"	1		
	G	3' 4"	2' 2"	1		
SUMS				12	160	123

ATTACHMENT 3
BOONVILLE PHOTOS AND SPECS

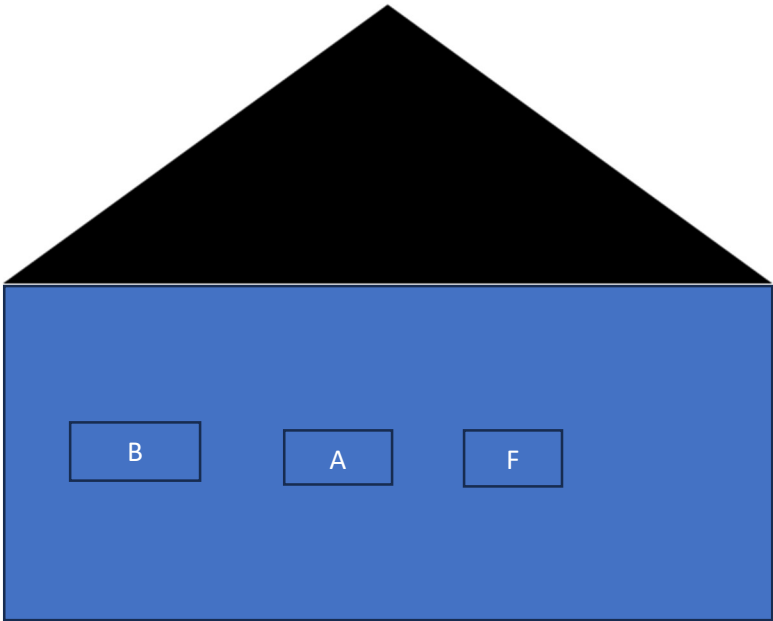
Contractor's Name TBD
Agreement Number 01A6494
Page 3 of 10



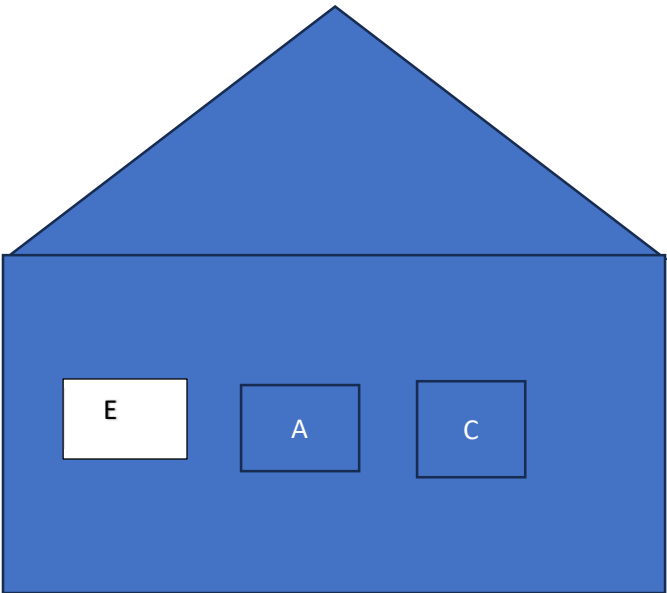
NORTH



SOUTH



EAST



WEST

Boonville Maintenance Station (MS) Northside



Boonville MS Eastside



ATTACHMENT 3
BOONVILLE PHOTOS AND SPECS

Contractor's Name TBD
Agreement Number 01A6494
Page 6 of 10

Boonville MS Southside



ATTACHMENT 3
BOONVILLE PHOTOS AND SPECS

Contractor's Name TBD
Agreement Number 01A6494
Page 7 of 10

Boonville MS





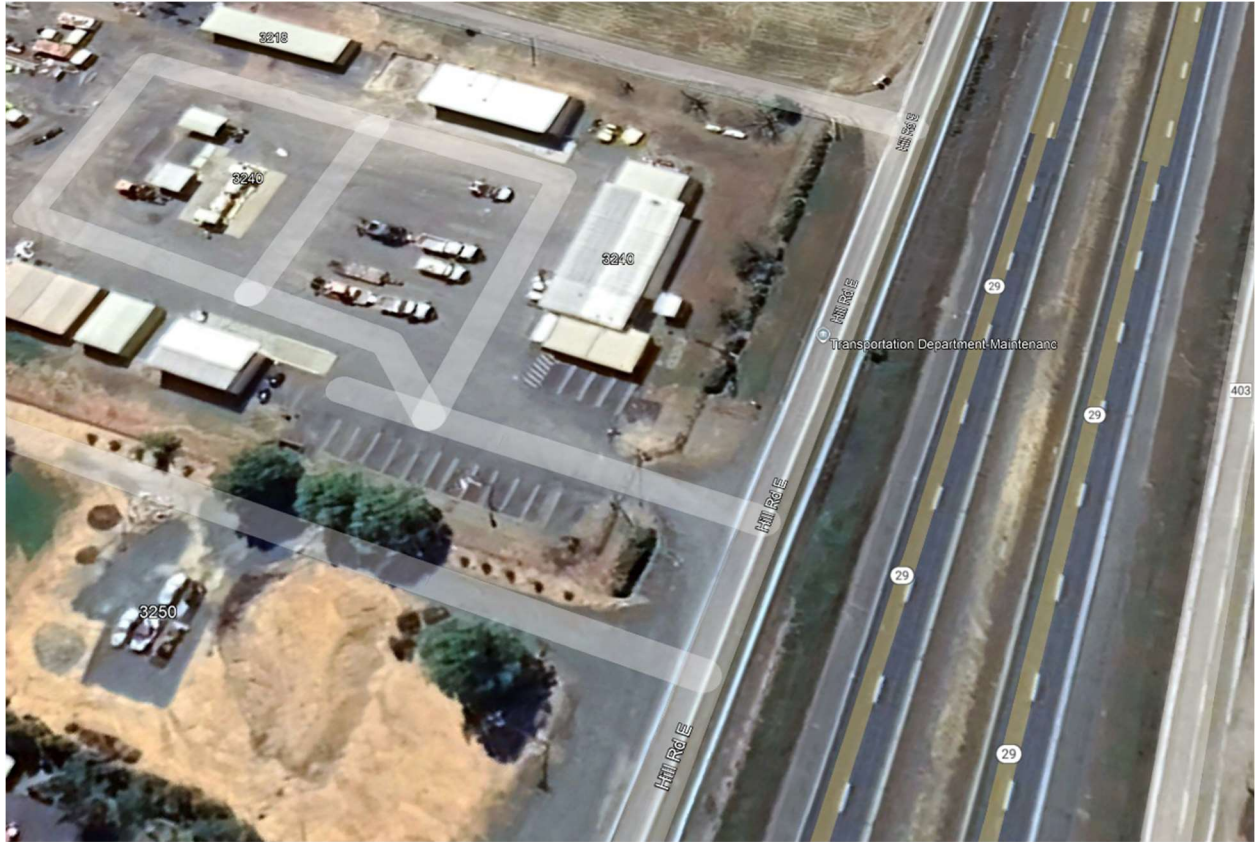




ATTACHMENT 4
LAKEPORT PHOTOS AND SPECS

Contractor's Name TBD
Agreement Number 01A6494
Page 1 of 7

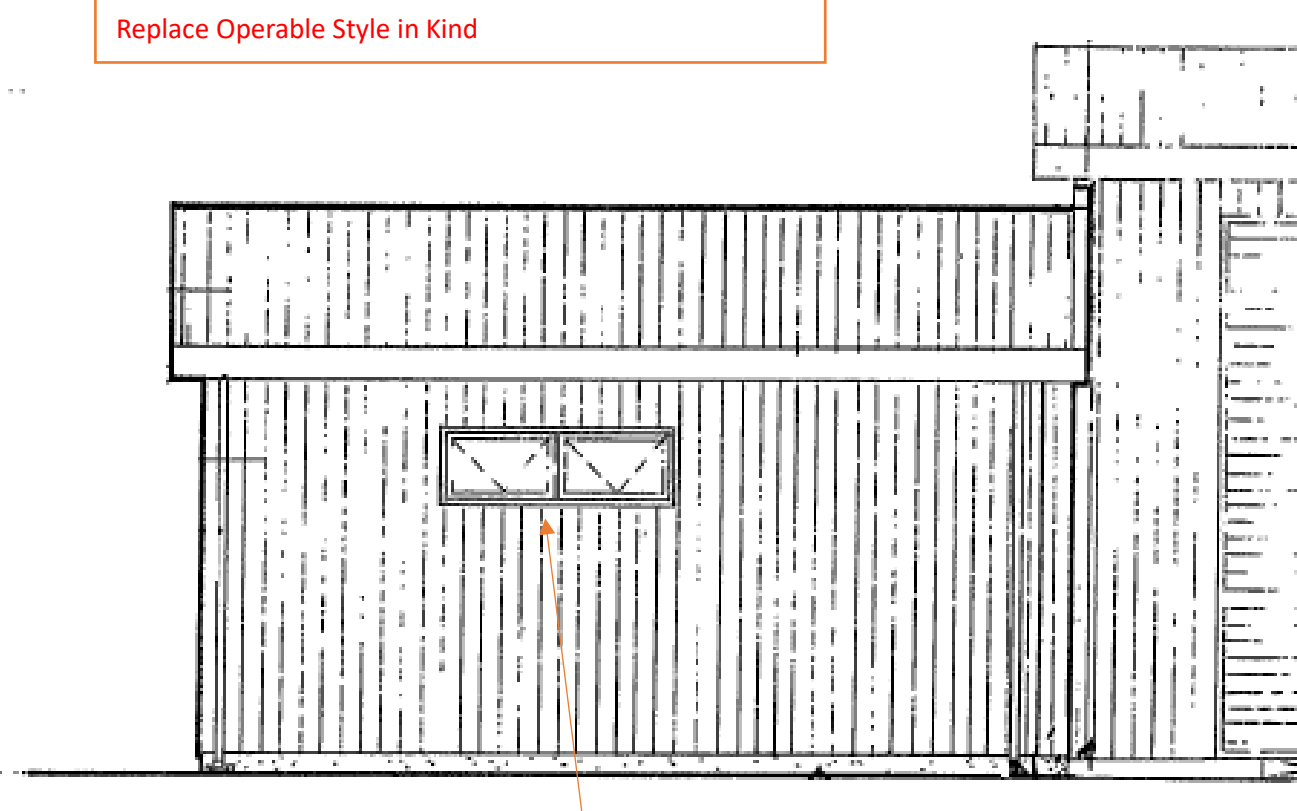
Lakeport Maintenance Station Windows and Paint exterior contract



Improvements to the Office/shop exterior

Window Size (W x H), Field Verify

Replace Operable Style in Kind



6' X 1'10" x 1ea

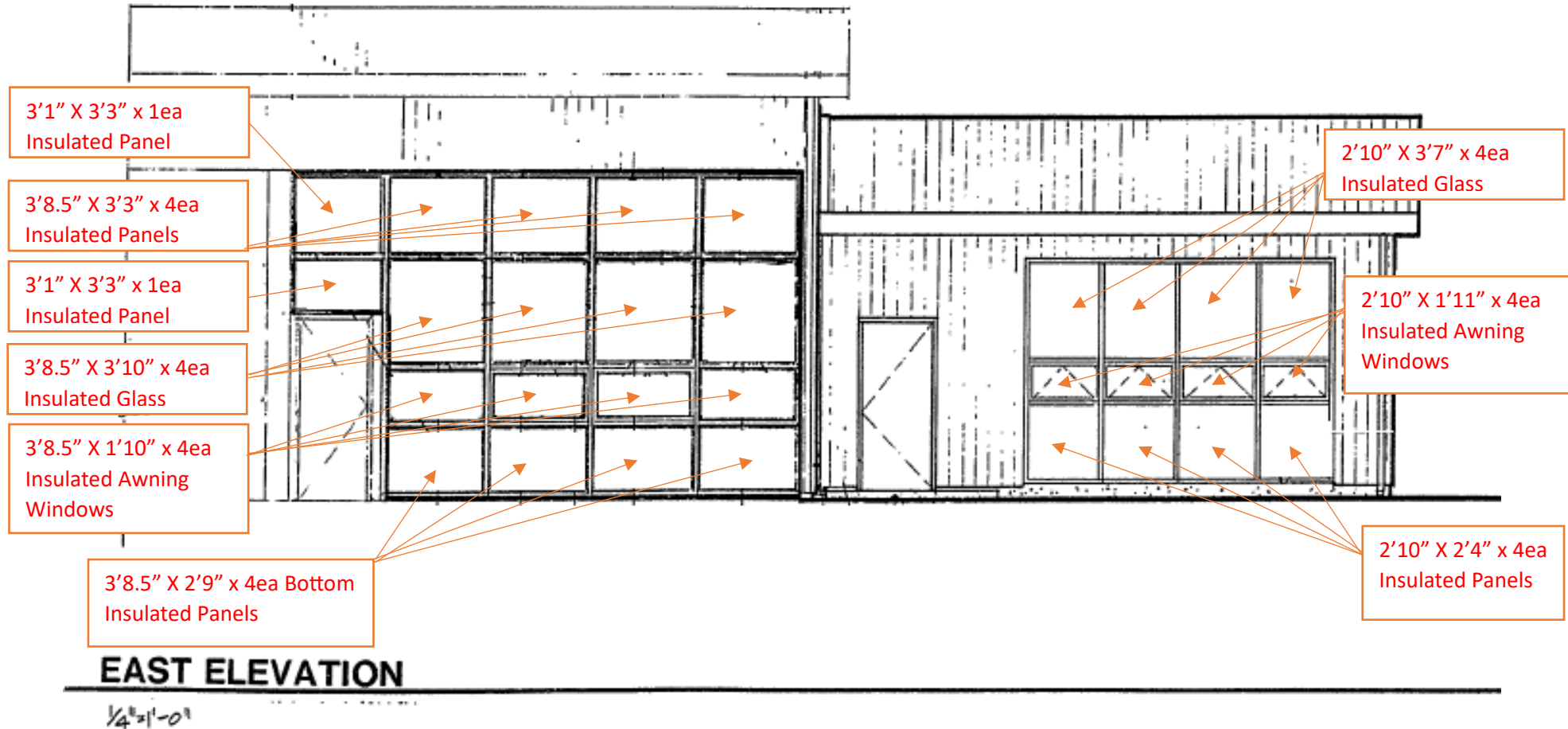
Dual Awning Windows

WEST ELEVATION

$\frac{1}{4}" = 1'-0"$

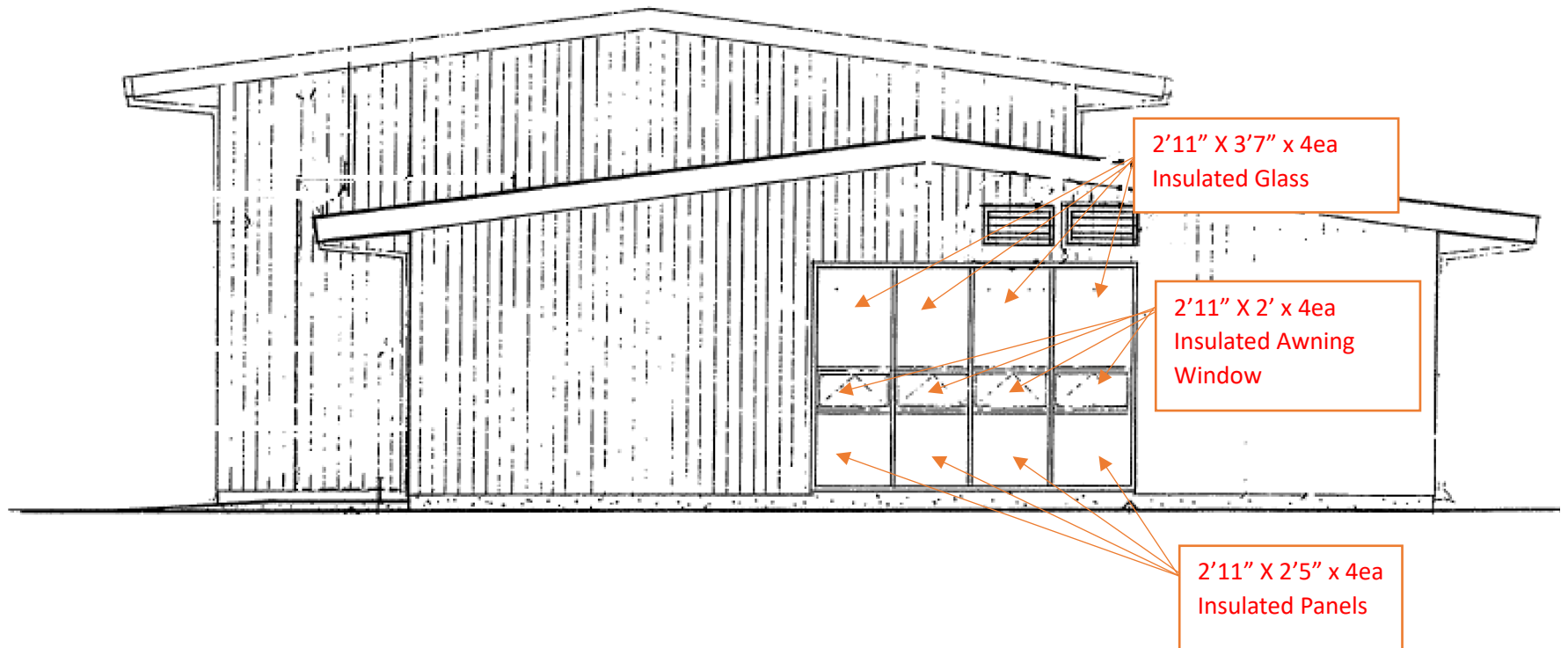
Window Size (W x H), Field Verify

Replace Operable Style in Kind



Window Size (W x H), Field Verify

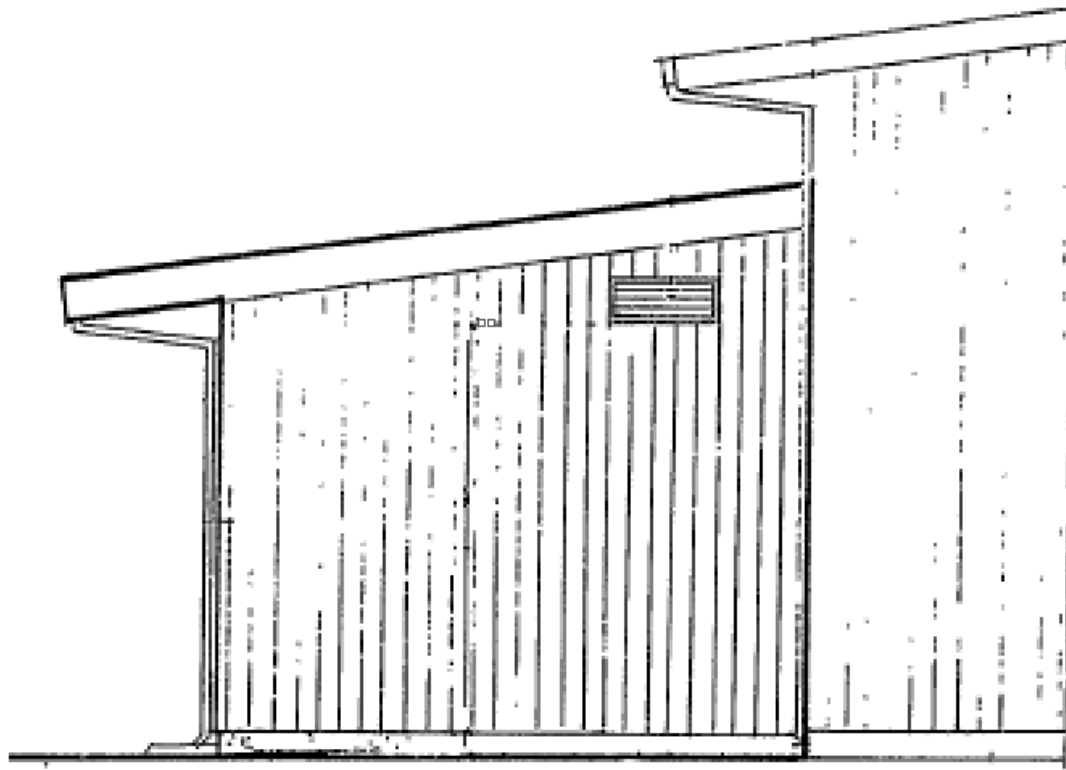
Replace Operable Style in Kind



NORTH ELEVATION

1/4" = 1'-0"

24 1 1 1 1



SOUTH ELEVATION

1/4" = 1'-0"

Lakeport MS Northside



Lakeport MS Westside



Lakeport MS Eastside

