

Recursive - AS(S, f, O, i, n)

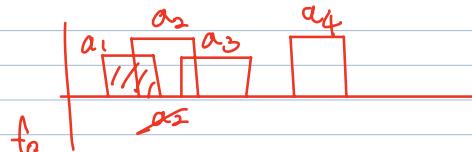
activities

1. $m \leftarrow i+1$

2. while $m < n$ and $S_m < f_i$

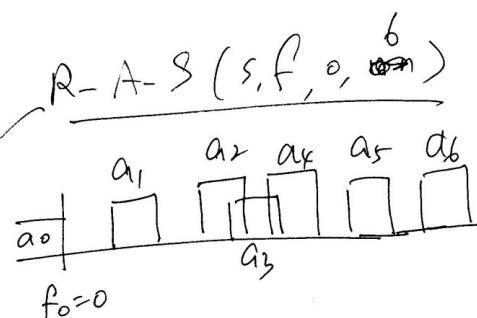
Recursive - AS($S, f, O, 8$)

Recursive - AS($f, t, n, 8$)

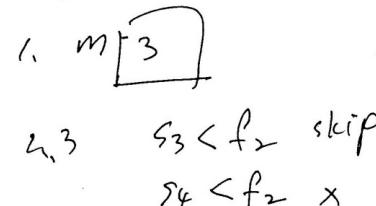
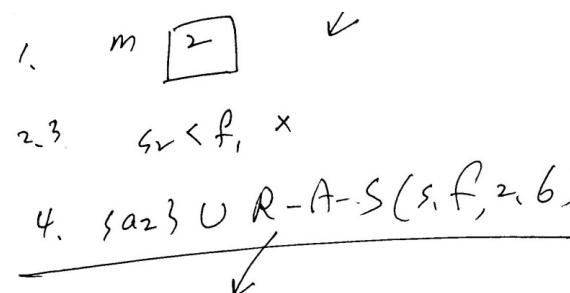
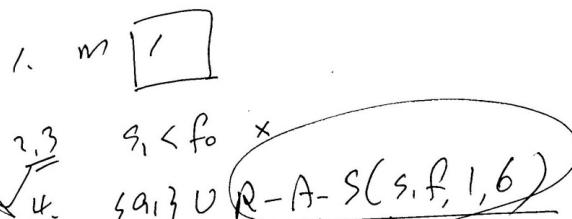


RECURSIVE-ACTIVITY-SELECTOR(s, f, i, n)

- 1 $m \leftarrow i + 1$
- 2 **while** $m \leq n$ and $s_m < f_i$ \triangleright Find the first activity in $S_{i,n+1}$.
- 3 **do** $m \leftarrow m + 1$
- 4 **if** $m \leq n$
- 5 **then return** $\{a_m\} \cup \text{RECURSIVE-ACTIVITY-SELECTOR}(s, f, m, n)$
- 6 **else return** \emptyset



(s_1, f_1)
 (s_2, f_2)
 \vdots
 (s_6, f_6)



4. $\{a_4\} \cup \text{R-A-S}(s, f, 4, 6)$

Greedy versus dynamic programming

A: 0-1 knapsack problem

output

an optimal load

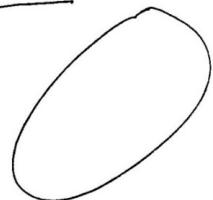
B: fractional knapsack problem

subject to the

weight constraint

$\leq W$

input



knapsack $\leq W$
pounds
 $w!$ an integer

items

(value, weight)

(v_1, w_1)

(v_2, w_2)

:

(v_n, w_n)

A: binary choice (0/1)

B: fractional choice.

an instance

\leq 50 pounds.

an optimal sol

A: item2, item3

total weight \leq 50
total value ~~180~~ \$.

	\$ value	pounds weight
item1	60	10
item2	100	20
item3	120	30

other possibilities

item 1, item 2 160 \$
 30 pounds

item #1 item 3 180 \$
40 pounds

- 1 -

B: value / weight
↓ item 1 - val.
↓ item 2 - val.
↓ item 3 - val.

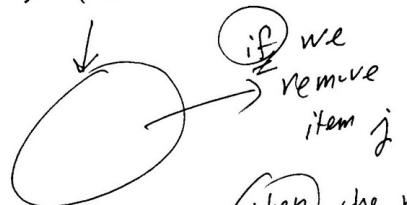
10 pounds - item 1 60¢
20 pounds - item 2 100¢
 30 pounds

$$120 \times \frac{5}{3} = 30 \text{ pounds} \quad \frac{1700 \text{ m}^3 - 80 \text{ ft}^3}{240 \text{ ft}}$$

Optimal substructure property

A : an optimal load

= the most valuable load $\leq W$ pounds.



if we remove item j

then the remaining load must be optimal

$\leq W - w_j$ such that

out of $(n-1)$ items, one can select subject to $\leq W - w_j$

A' an optimal sol
of a subproblem
 $n-1$ items except item j
 $, W - w_j$

What if NOT?

that is,

① an optimal load $\leq W$

but

② not an optimal sol
for A'

contradiction!

② means \exists a better sol for A'

with \nearrow — we can have a better sol than ① — Not possible.

Optimal substructure property

an optimal load $\leq w$

if we remove w of item j

then the remaining load must be optimal $\leq w-w$

w_j : weight of item j

$(n-1)$ items and $w_j - w$ pounds of item j

what if NOT — contradiction!

Greedy choice property

A: (no)

B: (yes) – criterion
value / weight

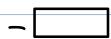
⇒ A cannot be solvable by a greedy algorithm, but B can be solvable by a greedy algorithm

16.4

a metroid

1930's whiting

↓ independence



A metroid $M = (S, I)$

① $S \neq \emptyset$, finite set

② $I \subseteq \mathcal{P}^S$ such that

1) if _____ then _____
2) if _____ then _____

\mathcal{P}^S power set

$\mathcal{P}^S = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$

$\mathcal{P}^S = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$

2. 1) if $x \in I$ then all subsets of $x \in I$

Ex) $I = \{\dots, \{a, b\}, \dots\}$
 $\{a\}$ \emptyset $\{b\}$

2. 2) if $x \in I$, $y \in I$, $|x| > |y|$

then $\exists a \in x - y \quad \exists a \in y \in I$
some element must exist in the set $x - y$

Ex $x = \{1, 2, 3\}$
 $y = \{2, 4\}$

$$x - y = \{1, 3\}$$

Ex) $I = [\dots, \{a, b\}, \{c\}, \dots]$
 $x - y = \{a, b\}$ also $\in I$
 $y + a = \{a, c\}$
 $y + b = \{b, c\}$

Ex) $S = \{a, b, c, d, e\}$

$I_1 = \{\emptyset, \{a, b\}\}$ Not a subset $C, S, I_1 \cancel{\in}$

$$I_2 = \{ \varnothing, \underline{\{a, b\}}, \underline{\{a\}}, \underline{\{b\}} \} \quad (S, I_2)$$

$$\begin{aligned} I_3 &= \sum \emptyset, \sum a, b^3, \sum a^3, \sum b^3, \sum c^3 \quad (S, I_3) \text{ (1) } \checkmark \\ &\downarrow \quad x = \sum a, b^3 \quad x - y = \sum a, b^3 \\ &\quad y = \sum c^3 \quad \downarrow \\ &\quad \sum a, c^3 \\ &\quad \sum b, c^3 \end{aligned}$$

2.) the structure of a problem \longleftrightarrow a way to solve the problem

if JC is a melt
the underlying structure

~~ASP~~ ASP는 원래 고정된 method를 갖기 때문에.

selection 16.5이/가지간선명

\longleftrightarrow 1 unit time

16.5 Unit task scheduling problem

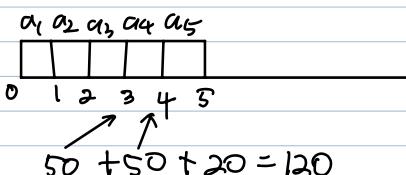
- \cap unit tasks
 - deadlines $\leq n$
positive integers
 - penalties: positive real nos

Assumption $p_1 \geq p_2 \geq p_3 \cdots \geq p_n$ n!

minimize total penalties incurs by missing deadlines.

Ex	d	p
a ₁	2	100
a ₂	3	60
a ₃	1	50
a ₄	2	50
a ₅	4	20

$$a_1, a_2, a_3, a_4, a_5$$



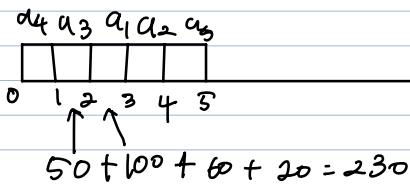
A bratte toure.

$O(n!)$

\downarrow

총 5! (120) 가지 경우

$$G = \{a_1, a_2, \dots, a_5\}$$



A matroid

$$M = (S, \mathcal{I})$$

$$\begin{matrix} S = \{ & \dots & \} \\ \downarrow & & \\ I = \{ & \dots & \} \end{matrix}$$

$$\begin{matrix} S = \{ a_1, \dots, a_n \} \\ \hline I = \{ & \dots & \} \end{matrix}$$

$$S = \{ a_1, a_2, a_3, \dots, a_n \}$$

(I)

$$x = \underline{\underline{\{ \}}}$$

a subset x of $S \in \mathcal{I}$

iff

All tasks in x can be finished

w/o penalties

$$\underline{\{ a_1, a_2, a_3 \}} \in \mathcal{I}$$

?

$$\underline{\{ a_3, a_1, a_2 \}} \in \mathcal{I}$$

$$\cancel{\{ a_1, a_2, a_3 \}} \in \mathcal{I}$$

deadline = $\begin{matrix} 2 & 1 & 2 \\ \swarrow & \downarrow & \searrow \\ 1 & & 2 \end{matrix}$ so it cannot be member of \mathcal{I}

11/3

16.4 A matroid \rightarrow 12.3 graphic matroid

16.5 unit test scheduling prob
solvable by greedy algorithms

Ch.23

Graphic matroid

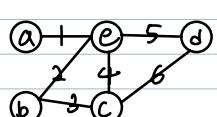
- an undirected matroid

$$G = (V, E) \quad M_G = (S_G, \mathcal{I}_G) \longrightarrow S_G = E$$

a subset of E called $\underline{\underline{\{ \}}} \in \mathcal{I}_G$
iff x is acyclic

$$\text{Ex } G = (V, E)$$

$$M_G = (S_G, \mathcal{I}_G)$$



$$S_G = \{1, 2, 3, 4, 5, 6\}$$

$$S_G = \underline{\underline{\{ \}}} \uparrow 3$$

$$\mathcal{I}_G = \{ \{1, 2, 3\}, \{1, 3, 4\}, \emptyset, \{1, 2, 3\}, \{1, 2, 5\}, \{1, 2, 6\}, \{1, 3, 5\}, \{1, 3, 6\}, \{2, 3, 4\}, \{2, 3, 5\}, \{2, 3, 6\}, \{3, 4, 5\}, \{3, 4, 6\}, \{4, 5, 6\} \}$$

22X43 → 사이트

$\{1, 3\}$
 $\{1, 4\}$
 \vdots
 $\{6\}$

$\{1, 2, \cancel{3}, 4\}$

2, 3, 4가 140보다여서

Unit Task Scheduling Problem

Ex

	a_1	a_2	a_3	a_4	a_5
d	2	1	3	2	4
(P)	50	40	30	30	10

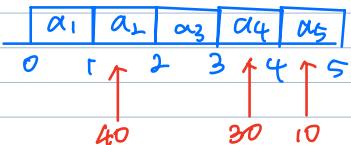
a brute way

$O(n!)$ → 모든경우제작



$O(n^2)$

\min penalty



$$M = (S, I)$$

$$S = \{a_1, a_2, \dots, a_n\}$$

(I)

a subset of $S \in I$

iff all tasks in I can be finished by deadline

possibility

	a_1	a_2	a_3	a_4	a_5
d	2	1	3	2	4
(P)	50	40	30	30	10

$\{a_2, a_4, a_5\} \in I$

$\cancel{a_1}$

$\cancel{a_3}$

$\cancel{a_6}$

$\{a_5, a_4, a_2\}$

$\{a_1, a_2, a_3\}$

$\{a_1, a_2, a_3\}$

deadline 2 1 2 3
 $\{a_1, a_2, a_4\} \notin I$

$\{a_2, \cancel{a_1}, \cancel{a_3}\}$

16.4

$M = (S, I)$

$$S = \emptyset \quad \emptyset = \emptyset$$

$$I = \emptyset \quad \emptyset \quad \text{member of } I$$

(1) if $x \in I$, then $\emptyset \in I$

(2) if $x \in I, y \in I$ $|x| < |y|$
then $\exists a \in y - x, \{a\} \cup x \in I$

prove

$$(1) \{a_1, a_2, a_3, a_4, a_5\} \in I$$

$\frac{\text{defn}}{\text{defn of } I}$

$\begin{matrix} a_1 & a_2 & a_3 \\ 1 & 2 & 3 \end{matrix}$

prove

$$(2) x = \{a_2, a_3, a_4, a_5\}$$

$$f = \{a_2, a_3\}$$

$\frac{x}{f}$

a_2, a_3 - 예상자인

~~a_2, a_3~~ - 예상자인

$$x - f = \{a_4, a_5\}$$

$\frac{\{a_2, a_3, a_4, a_5\}}{\{a_2, a_3\}}$

$\exists a \in x - f, \{a\} \cup f \in I$

$\frac{\{a_2, a_3, a_4, a_5\}}{\{a_2, a_3, a_4\}}$

A matroid and greedy algorithms – section 16.4

S : a finite set ($\neq \emptyset$)

I : a set of subsets of S

such that

① if $x \in I$ then

all of x 's subsets are
members of I .

$$S = \{1, 2, 3\}$$

$$I_1 = \{\emptyset, \{1\}\}$$

$$I_2 = \{\{1\}, \{2\}\}$$

$$I_3 = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}\}$$

(S, I_1) matroid

② if $x \in I, y \in I, |x| > |y|$ then $(S, I_1) \times (S, I_3) \times$
 $\exists a \in x - y$ such that
 $\{a\} \cup y \in I$.

$$\{1, 3\} - \{2\} = \{1\}$$

at least $\{2\} \cup \{1\}$
or

$\{2\} \cup \{2\}$
must be a member of I_3 .

(S, I) is a matroid.

If the underlying structure of an optimization problem is a matroid,
the problem is solvable by a greedy algorithm.

What about the other direction? That is, can we say that

if an optimization problem is solvable by a greedy algorithm then the underlying
structure of the problem is a matroid

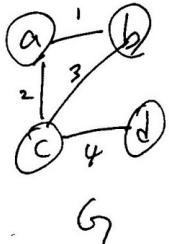
NOT always!

Def. Let $G = (V, E)$ be an undirected graph.

The graphic matroid $M_G = (S_G, I_G)$ is defined as follows.

$$S_G = E$$

I_G : a subset of E x is a member of I_G iff x is acyclic.



$$M_G = (S_G, I_G)$$

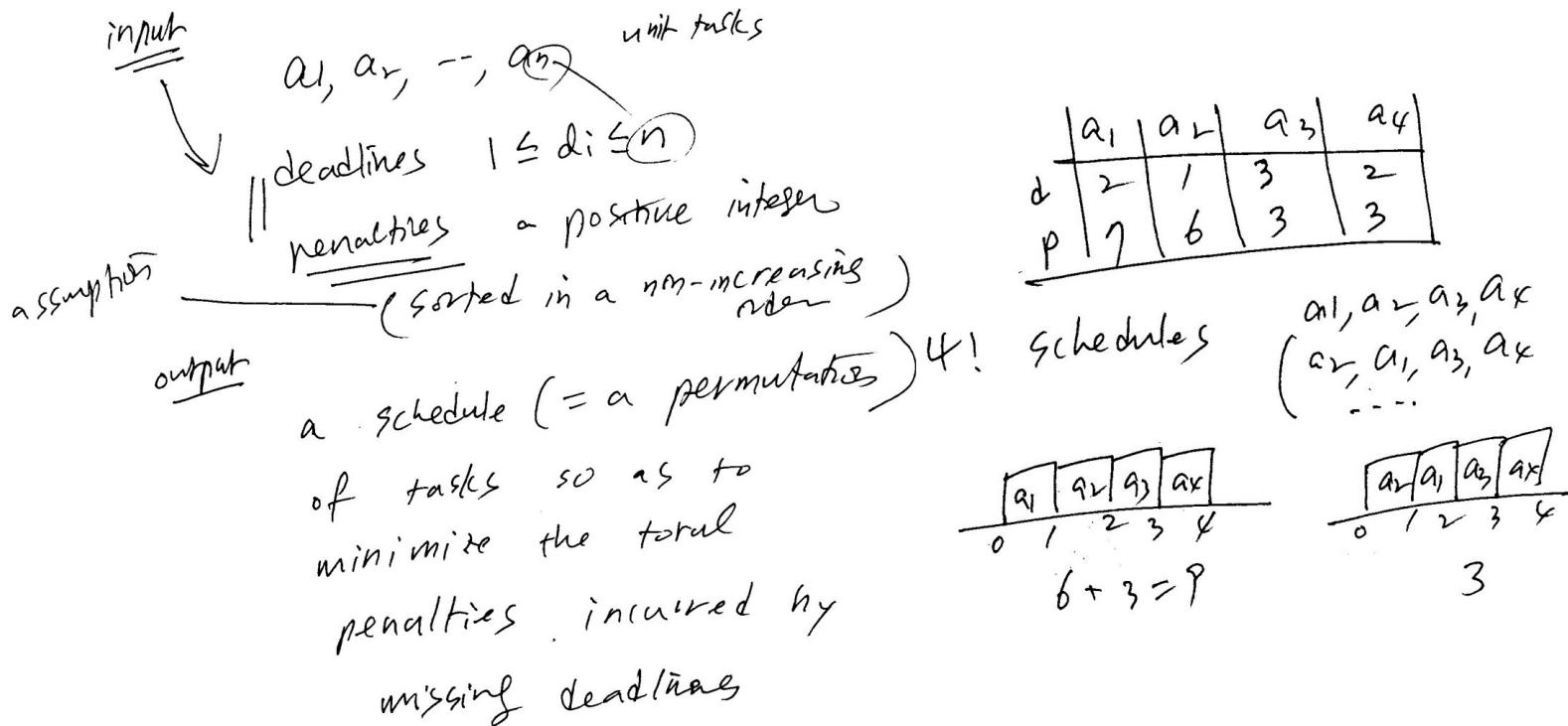
$$S_G = \{1, 2, 3, 4\}$$

$$I_G = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{1, 2\}, \{1, 3\}, \{1, 4\},$$

$$\{2, 3\}, \{2, 4\}, \{3, 4\}, \{1, 2, 3\} \text{ & } \{1, 2, 4\}, \{1, 3, 4\}, \{2, 3, 4\}\}$$

unit task scheduling problem

(16.5 textbook)



The underlying structure of the unit task scheduling problem is a matroid

a matroid
 $M = (S, I)$

2 properties.

① Let S be $\{a_1, a_2, \dots, a_n\}$

then define I as

a set of subsets of S

such that

X consists of activities
that can be schedulable
without penalties.

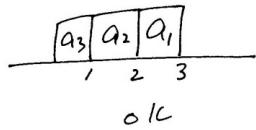
	a_1	a_2	a_3	\dots	a_n
d	d_1	d_2	d_3	\dots	d_n
p	p_1	p_2	p_3	\dots	p_n

$1 \leq d_i \leq$
 $p_1 \geq p_2 \geq \dots$

1st property is satisfied

	a ₁	a ₂	a ₃	a ₄	a ₅	a ₆
d	4	3	1	2	4	3
p	70	60	50	50	40	30

$$\{a_1, a_2, a_3\} \in I$$



OLC

$\{a_2, a_3, a_4, a_6\} \notin I$
it is not possible to schedule
all of a_2, a_3, a_4, a_6 without
penalties.
 \therefore As long as x consists of activities
schedulable without penalties,
 $x \in I$

What about the 2nd property (to be a matroid)?

let $N_t(A)$ be the # of tasks in A

whose deadlines $\leq t$ ($N_0(A) = 0$)

Ex.

	a_1	a_2	a_3	a_4	a_5
d	2	1	3	4	2
p	50	40	30	30	10

$$A = \{ \overset{1}{\tilde{a}_1}, \overset{1}{a_2}, \overset{3}{a_3} \}$$

$$A = \{ \overset{1}{a_2}, \overset{4}{a_4}, \overset{2}{a_5} \}$$

$$N_1(A) = 1 \quad a_2$$

$$N_2(A) = 2 \quad a_2, a_5$$

$$N_3(A) = 3 \quad \cancel{a_1}, a_2, a_4, a_5$$

$$N_4(A) = 2 \quad \underline{a_1, a_2}$$

$$N_5(A) = 3 \quad \underline{a_1, a_2, a_3}$$

$t = 0, 1, \dots, n$

$N_t(A)$ ↘ $\# \{ t \text{ tasks in } A \text{ whose deadlines } \leq t \}$

	a_1	a_2	a_3	a_4	a_5
d	2	1	3	2	4
P	50	40	30	30	10

$$A = \{a_2, a_3, a_5\}$$

$$N_0(A) = 0$$

$$N_1(A) = 1$$

$$N_2(A) = 1$$

$$N_3(A) = 2$$

$$N_4(A) = 3$$

$$N_5(A) = 3$$

Consider any two members $x, y \in I$, $|x| > |y|$

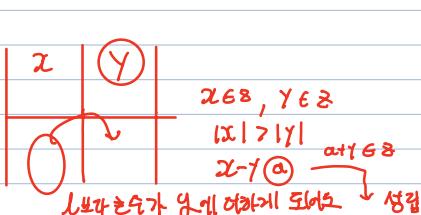
Create a table

t	$N_t(x)$	$N_t(y)$
0	0	0
1	1	1
2	2	2
→ 3	3	2
→ 4	4	3
→ 5	4	3

$$x = \{a_1, a_2, a_3, a_5\}$$

$$y = \{a_2, a_4, a_5\}$$

Select the largest value t such that $N_t(x) \leq N_t(y)$



2nd property

Unit task scheduling problem

input :		a_1, a_2, \dots, a_n	d_1, d_2, \dots, d_n	$1 \leq d_i \leq n$	selection of tasks	obs
d	P	a_1, a_2, \dots, a_n	d_1, d_2, \dots, d_n	$1 \leq d_i \leq n$		<ol style="list-style-type: none"> 1) # of tasks max 2) without penalty

$$P | P_1, P_2, \dots, P_n \quad P_1 \geq P_2 \geq \dots \geq P_n$$

八 18

Consider 2 members of $I \setminus x, y$

such that $|x| > |y|$

→ We show that there MUST exist
an element a in $x \cup y$ such
that $\{a\} \cup y \in I$

Construct a table

t	$N_t(x)$	$N_t(y)$
0	0	0

Observation

Let k be the largest t such that

$$N_t(x) \leq N_t(y)$$

- x must contain more tasks with deadline $k+1$ than y

Construct a table

t	$N_t(x)$	$N_t(y)$
0	0	0

Let a be the task $\in X - Y$
with deadline $k+1$

$\rightarrow \{a\} \cup y \in I$ why?

- ① y is a member of I
 \rightarrow schedulable without penalties
- ② $a \notin$ deadline $k+1$
- ③ maximum value of deadlines
in $y = k$

$\therefore \{a\} \cup y$ schedulable
without penalties

How can we exploit the structural property to solve the problem?

- a "greedy" algorithm

$$X \leftarrow \emptyset$$

for $i \leftarrow 1$ to n do ~~$O(n^2)$~~
 if $X \cup \{a_i\} \in I$ ~~algorithm~~
 then $X \leftarrow X \cup \{a_i\}$
return X

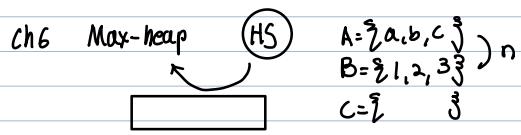
	a_1	a_2	a_3	a_4	a_5	a_6	a_7
d	4	2	4	3	1	4	6
p	70	60	50	40	30	20	10

Fig 1b, n
textbook

$X = \emptyset$
 $X \cup \{a_1\} \in I \quad \therefore X = \{a_1\}$
 $X \cup \{a_2\} \in I \quad \therefore X = \{a_1, a_2\}$
 $X \cup \{a_3\} \in I \quad \therefore X = \{a_1, a_2, a_3\}$
 $X \cup \{a_4\} \in I \quad \therefore X = \{a_1, a_2, a_3, a_4\}$
 $X \cup \{a_5\} \notin I$
 $X \cup \{a_6\} \notin I$
 $X \cup \{a_7\} \in I \quad \therefore X = \{a_1, a_2, a_3, a_4, a_7\}$

~~total penalty~~
= ~~50~~ 50

Ch 21 data structures disjoint sets



11/17/22

special data structure for disjoint set
Union find data structure.

Qs → static structure X

In S → X

MS → X

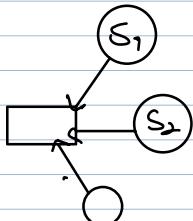
HS → O use data structure. → depending on Algorithm we use may or may not need datastructure.



$$S_1 = \{a, b, c\}$$

$$S_2 = \{1, 2, 3\}$$

$$S_3 = \{x, y\}$$



①

② operation

stack
- push
- pop

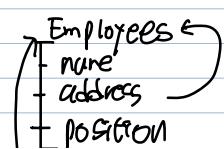
FIFO

queue
- Enque
- dequeue

FIFO



Data Type but Abstract



int x, y
x+y
x-y
⋮

01234567

1 -
OPS - operation $\in \{ \text{find-set}, \text{union} \}$. (Similar to ds)

special data structure for disjoint set

$\begin{bmatrix} -\text{find-set}(b) = a \\ -\text{union}(a, b) = \{ \dots \} \\ -\text{create}(x) = \{ x \} \\ \text{make-set} \end{bmatrix}$

1. Connected component

Ch 23
2. Kruskal's Al
METI

$$S_1 = \{a, b, c\}$$

$$S_2 = \{1, 2, 3\}$$

$$S_3 = \{x, y\}$$

3 operations.

time-complexity of this Data Structure is not determined by single operation.

use two parameter m, n .

$O(100)$

m : total number of these 3 operations together

n : the number of make-set operations

$O(20)$

① ↗ good example of Linked list

$O(n + mn)$

② $O(mn)$: a function of n (Even this is a function it is smaller than 5, so this function works almost as the same as a constant.)



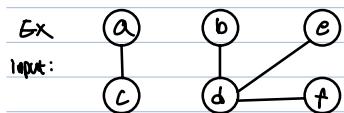
Pg 18

- find - Set(b) = a
- union (a, b) = Σ _____ 3
- create (x) = Σx^3

these 3 operations are interdependent
ex) union (c) \Rightarrow 4을 처리하면 create (c) \Rightarrow 4를 해야 함.

A connected component = Σ 3

- an undirected graph
(or a directed G_i)
SCC

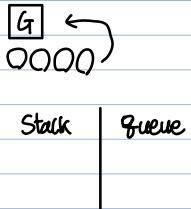


Output: $\Sigma a, c^3$ $\Sigma b, d, e, f^3$

input: Undirected graph $G = (U, E)$

Output: connected components

we should use a DS for disjoint sets
[- make-set ()]
[- find-set ()]
[- union ()]



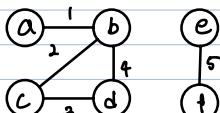
Ch 23 MSTP

Prim's Alg

Kruskal's Alg

coincidentally using the same data structure

Ex)



Σa^3 Σb^3 Σc^3 Σd^3 Σe^3 Σf^3
 $\Sigma a, b^3$

5 edges $\Sigma \{1, 2, 3, 4, 5\}$
edge 1이 존재하려면 (a, b) 가 존재해야만 \rightarrow find-set (a) \rightarrow union (a, b)
 (a, b) 가 존재해야만 \rightarrow find-set (b)
After these two executed combine (a, b)

at the end {

Σ , Σ , Σ

↓

11/18/24

Ch 21 a data structure for disjoint sets

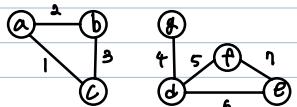
m, n

- (1) make-set
- (2) union
- (3) find-set

$\left. \begin{matrix} n \\ m \end{matrix} \right\}$

- $O(m + n \alpha(n))$
- $O(m \alpha(n))$

Find Connected Components (CC)



- (1) make-set
- (2) union
- (3) find-set

$G \quad \{a, b, c\}, \{e, f\}, \{d\}$

1. $\{a\}, \{b\}, \{c\}, \{d\}, \{e\}, \{f\} \rightarrow$ Similar to Kruskal's Alg. (Ch 23)

2. for each edge (in an arbitrary order)

(x, y) (3) find-set

$$\text{find-set}(x) = \text{find-set}(y)$$

returns same?

if $\text{find-set}(x) \neq \text{find-set}(y) \rightarrow$ use union(x, y)

let's check

for edge 1. $\{a, c\}, \{b\}, \{d\}, \{e\}, \{f\}$

edge 2. $\{a, b, c\}, \{d\}, \{e\}, \{f\}$

for edge 3. $\text{find-set}(b) \neq \text{find-set}(c)$, so keep the same set

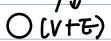
edge 4 $\{a, b, c\}, \{d, f\}, \{e\}$

edge 5 $\{a, b, c\}, \{d, f\}, \{e\}$

edge 6 $\{a, b, c\}, \{d, f, e\}$

edge 7 "

$O(m \cdot \alpha(n))$



$O(n + E)$

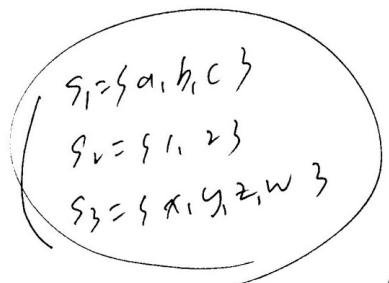
Ch 21. data structures for disjoint sets

a disjoint set data structure

maintains a collection of disjoint sets

$$\text{intersection} = \emptyset$$

make-set(x) : creates a new set with x as a member



each S_i is identified by a representative

$S_1: b \quad S_2: 1 \quad S_3: z$

any member can be a representative

Union (x, y) :

$$\left\{ \begin{array}{c} x \\ y \end{array} \right\} \cup \left\{ \begin{array}{c} z \\ w \end{array} \right\}$$

representation

find-set(x) :

$$\left\{ x \right\}$$

returns a pointer to the representative of the set containing x

Time complexity

make-set(x), union(x,y), find-set(x)

time complexity

- always analyzed it in terms of

parameters
 $n =$ make-set operations
 $m =$ make-set
union
find-set } operations together

$$\underline{m \geq n}$$

Theorem 21.1

$$O(m + n \lg n)$$

Theorem 21.3

$$O(m \alpha(n))$$

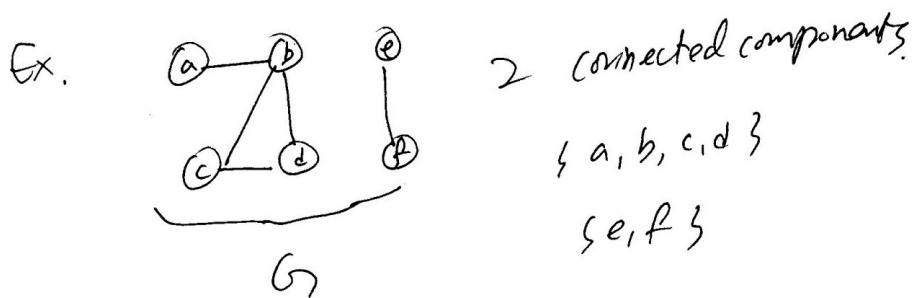
$\alpha(n)$ - a function
that grows
very slowly.

$$\text{for } n \leq 10^{80}, \alpha(n) \leq 5$$

connected component
 - a subgraph of an
 undirected graph G'
 in which for
 each pair of nodes in G'
 \exists a path
 In general, nodes of G'

Can we determine
 connected components
 of an undirected graph
 using disjoint set data
 structure?

YES!



CONNECTED-COMPONENTS(G)

```
1  for each vertex  $v \in V[G]$ 
2      do MAKE-SET( $v$ )
3  for each edge  $(u, v) \in E[G]$ 
4      do if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
5          then UNION( $u, v$ )
```

SAME-COMPONENT(u, v)

```
1  if FIND-SET( $u$ ) = FIND-SET( $v$ )
2      then return TRUE
3      else return FALSE
```

Time complexity for CC(G)

number of MAKE-SET operations
= number of nodes = V

number of FIND-SET operations and
UNION operations
 \leq number of edges = E

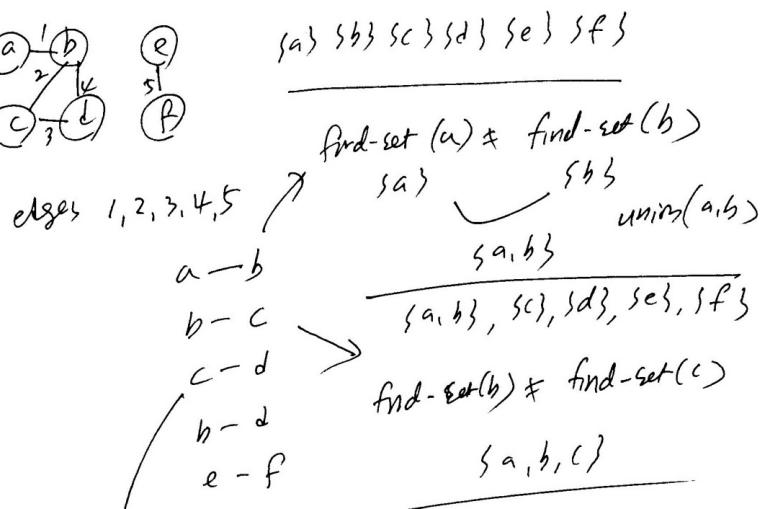
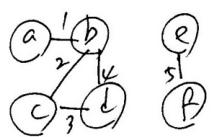
Using the 2nd implementation
 $O((V+E) \alpha(V))$

CONNECTED-COMPONENTS(G)

```

1  for each vertex  $v \in V[G]$ 
2    do MAKE-SET( $v$ )
3  for each edge  $(u, v) \in E[G]$ 
4    do if FIND-SET( $u$ ) ≠ FIND-SET( $v$ )
      then UNION( $u, v$ )
5

```



$\overbrace{\{a, b, c\}, \{d\}, \{e\}, \{f\}}$
 $\text{find-set}(c) \quad \text{find-set}(d)$
 $\overbrace{\{a, b, c, d\}, \{e\}, \{f\}}$
 $\text{find-set}(b) = \text{find-set}(d)$
 $\text{find-set}(c) \quad \text{find-set}(f)$
 $\overbrace{\{a, b, c, d\}, \{e, f\}}$

Ch 2.2

Understand Graph

① representation of graphs

linked list (Adjacency lists)

matrix (adj)

Graph search Alg
BFS

② Breadth first search Alg → Queue
(BFS)

take graph as an input

Alg(G)

prog(G)

③ Depth first search Alg → Stack
(DFS)

without stack (backtrack)

↳ a compiler

A systematic way

to visit each and every node
in a graph

Representation

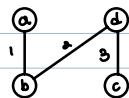
"adjacency"

2 nodes

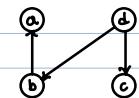
"Incidence"

undirected (a node, an edge)

Ex



a, b - adjacent
d, b - "



(a is adjacent to b)
(b is NOT adjacent to a (because of direction))
(b is adjacent to d)
(d is NOT adjacent to b (""))

"Incidence"

edge 1 is incident on a, b
"touches"

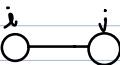
① adjacency matrix

both

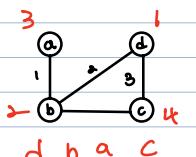
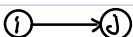
n nodes

	1	2	3	4	n nodes
1	1	0	1	0	0's, 1's
2	0	1	0	1	
3	1	1	0	0	
4	0	0	1	1	
n	1	2	3	4	

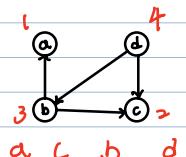
it when undirected graph G



when directed graph G



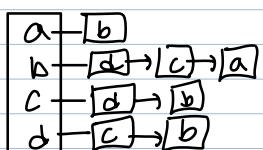
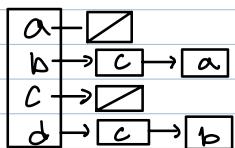
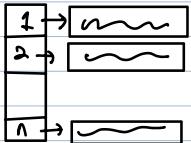
- d b a c -



a c b d

$$d \begin{bmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 \end{bmatrix} \quad a \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$

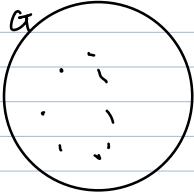
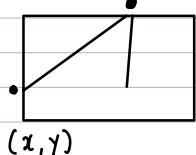
② adjacency lists



① adjacency matrix → 0을 많이 낭비하기 때문에
② adjacency lists ↪ better memory usage.

"sparse"

Program



a sparse graph

Ch 22 Elementary graph algorithms

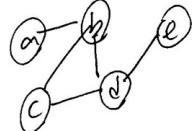
22.1 representations of graphs

① adjacency matrix

② adjacency lists

both directed & undirected graphs.

Ex. undirected graph
5x5 matrix (5 nodes)

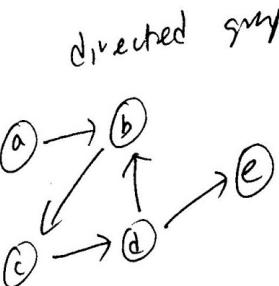


	a	b	c	d	e
a	X				
b		X			
c			X		
d				X	
e					X

binary 0/1 value.

all others : 0

Symmetric

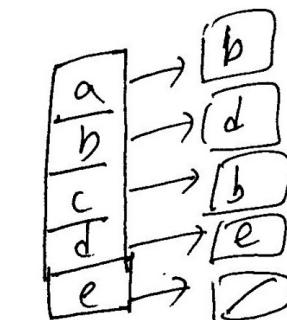
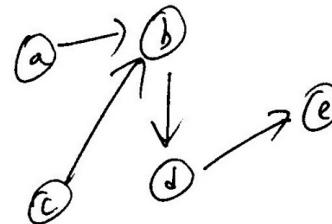
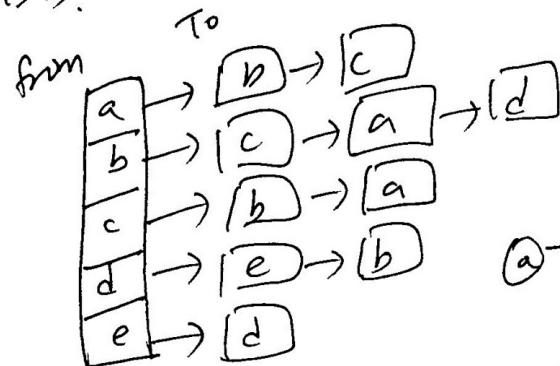
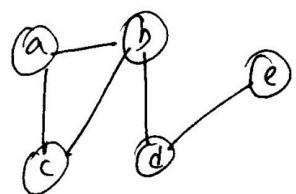


from all others 0.

to

	a	b	c	d	e
a	X				
b		X			
c			X		
d				X	
e					X

adjacency lists.

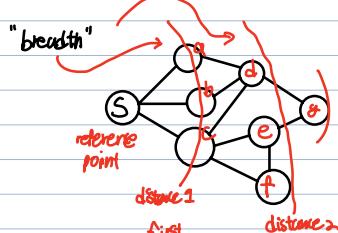


22. BFS (G, S)

Graph searching.

$\begin{matrix} \rightarrow \\ 0 \\ , \\ 0 \end{matrix}$

source of the graph (any node)



같은 distance 이면 | b, a, c

같은 breadth 이면 | c, a, b

이유는 오른쪽 순서는 | a, b, c

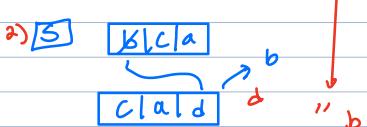
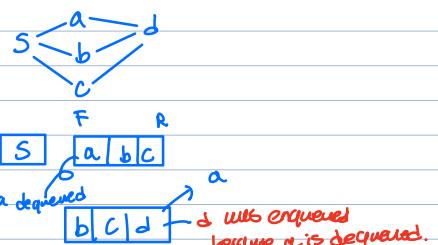
설명입니다

for each node x

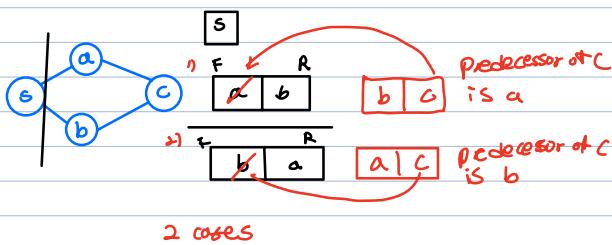
① color [x] : white, grey, black

② $\pi(x)$
the predecessor
"from which edge"
No predecessor

all three possible
In order to identify predecessor
all possible 3,
we need to recognize algorithm



Pg 24



2 cases

$V[G] - S \rightarrow G \rightarrow B$

- For each node x
- ① $C[x]$
 - ② $\pi(x)$
 - ③ $d(x)$

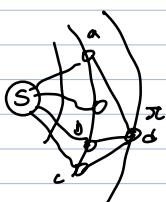
$\begin{bmatrix} 1 \\ 9 \end{bmatrix}$ pop una da
 \hline
 $\begin{bmatrix} 10 \\ 18 \end{bmatrix}$

11 / 10
 OS
 a queue
 BFS (Breadth first) : graph searching
 visited / not
 $\begin{bmatrix} 1 \\ 9 \end{bmatrix}$
 "prop"
 $\begin{bmatrix} 10 \\ 18 \end{bmatrix}$
 main logic
 18 lines

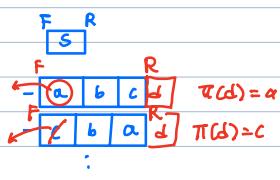
for each node x

- $C[x]$ c: color : ① while \rightarrow grey \rightarrow blank
- $\pi[x]$:
- $d(x)$: distance

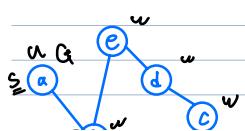
Adj M
 Adj lists
 BFS (G, S)
 $\begin{bmatrix} 1 \\ 9 \end{bmatrix}$
 "prop"
 $\begin{bmatrix} 10 \\ 18 \end{bmatrix}$
 while $\Theta \neq \emptyset$



$\begin{bmatrix} 1 \\ 9 \end{bmatrix}$
 18 lines
 both use queue OS
 Difference:
 BFS - Standard que
 Prim's A_B - G_S

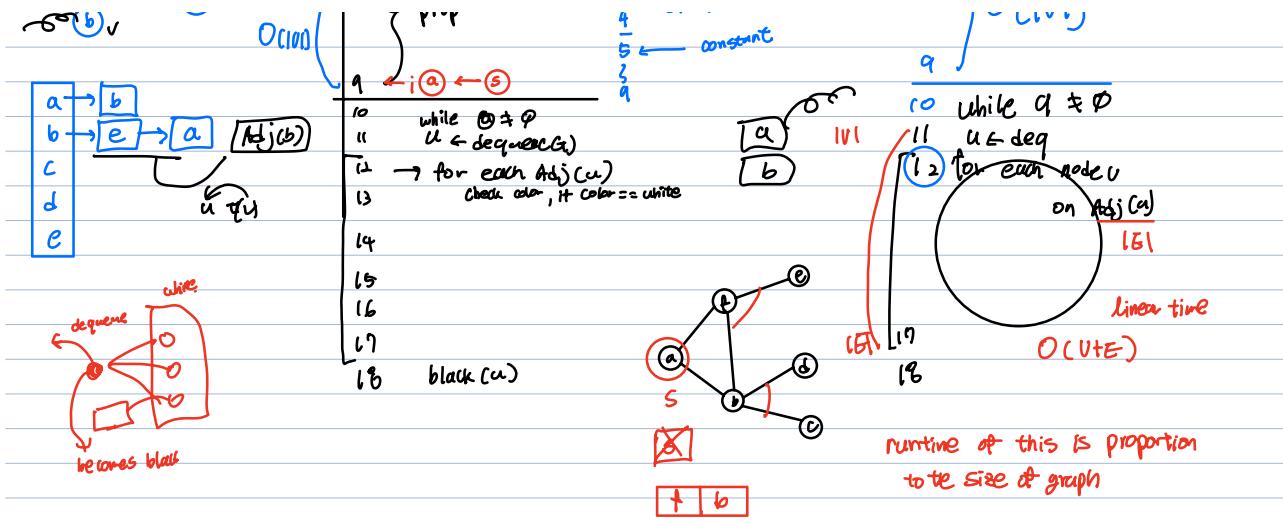


Adj (x)



BFS (G, S)
 $\begin{bmatrix} 1 \\ 9 \end{bmatrix}$ "prop"
 O($|V|$)

17 Drivis



- $T(X)$
- $C(X)$ Essential
- $d(X)$

why do we need?
 - helpful for other Algs

$O(V+E)$

Input: G an undirected graph
 adj lists

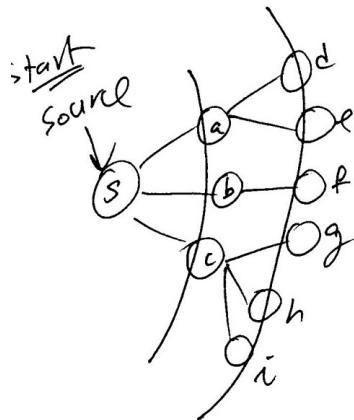
Output:

if G is connected, yes otherwise NO

for each node $d(v)$

22.2 breadth first search

both directed / undirected graphs.



a, b, c
equidistant
from s
at the same
breadth

d, e, f, g, h, i are at the
same breadth

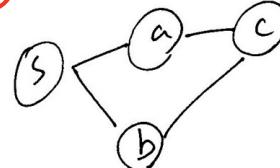
all of {a, b, c} must be
visited BEFORE any one
of {d, e, f, g, h, i} is visited
"breadth-first"

main logic

```
BFS( $G, s$ )  
1  for each vertex  $u \in V[G] - \{s\}$   
2      do  $\text{color}[u] \leftarrow \text{WHITE}$   
3           $d[u] \leftarrow \infty$   
4           $\pi[u] \leftarrow \text{NIL}$   
5   $\text{color}[s] \leftarrow \text{GRAY}$   
6   $d[s] \leftarrow 0$   
7   $\pi[s] \leftarrow \text{NIL}$   
8   $Q \leftarrow \emptyset$   
9  ENQUEUE( $Q, s$ )  
10 while  $Q \neq \emptyset$   
11     do  $u \leftarrow \text{DEQUEUE}(Q)$  First location of queue  
12        for each  $v \in \text{Adj}[u]$   
13            do if  $\text{color}[v] = \text{WHITE}$   
14                then  $\text{color}[v] \leftarrow \text{GRAY}$   
15                 $d[v] \leftarrow d[u] + 1$   
16                 $\pi[v] \leftarrow u$   
17                ENQUEUE( $Q, v$ )  
18            color[u]  $\leftarrow \text{BLACK}$ 
```

(S) source node

for each node x ,
 $\text{color}(x)$: white, gray, black
 $d(x)$: from the source node
 $\pi(x)$: the predecessor.



s, a, b, c
a possibility

s, b, a, c
a different possibility

$\pi(c) = a$
 ~~$\pi(c) = b$~~

BFS (G, A)

line 1 - 8 : initialization.

line 10 - 18 iterative part

while loop

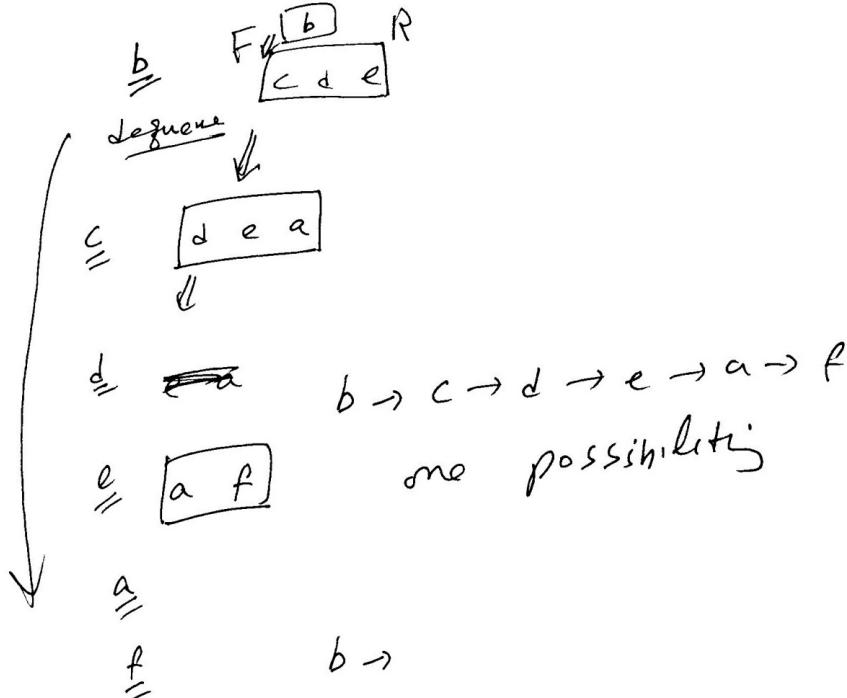
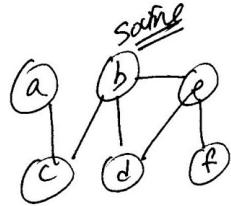
while the Queue is not empty

$\forall i$: sequence (u_i)

$\forall u_i$: if color is white, update it & enqueue.

 if u_i 's adjacent nodes are processed, $\text{color}(u) = \text{black}$.

 i.e. when u_i 's adjacent nodes are processed,



BFS(G, s)

```
1  for each vertex  $u \in V[G] - \{s\}$ 
2      do  $color[u] \leftarrow$  WHITE
3           $d[u] \leftarrow \infty$ 
4           $\pi[u] \leftarrow$  NIL
5   $color[s] \leftarrow$  GRAY
6   $d[s] \leftarrow 0$ 
7   $\pi[s] \leftarrow$  NIL
8   $Q \leftarrow \emptyset$ 
9  ENQUEUE( $Q, s$ )
10 while  $Q \neq \emptyset$ 
11     do  $u \leftarrow$  DEQUEUE( $Q$ )
12        for each  $v \in Adj[u]$ 
13            do if  $color[v] =$  WHITE
14                then  $color[v] \leftarrow$  GRAY
15                     $d[v] \leftarrow d[u] + 1$ 
16                     $\pi[v] \leftarrow u$ 
17                    ENQUEUE( $Q, v$ )
18         $color[u] \leftarrow$  BLACK
```

Time complexity

line 1 ~ line 4 : $|V|$

line 5,6,7,8,9 : constant time

line 10 ~ 18 : at most $|V|$

line 12 ~ 17 : at most $|E|$

$O(V + E)$

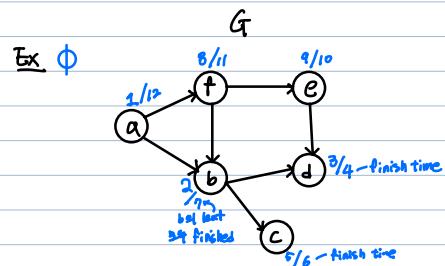
linear in the size of the input graph!

22.3 Depth first search (G) Adj m Adj lists

- Both undirected, directed graph, we can use DFS

Ch 21

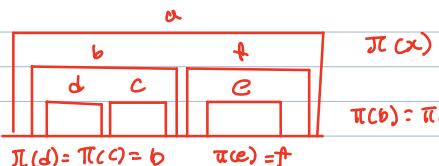
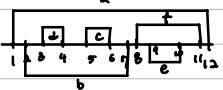
E Connected components



For each node $\in G$

- $c(x)$
- $\pi(x)$
- $d(x)$ (discovery time) ~~distance~~ discovered for the first time
- $f(x)$ (finish time)

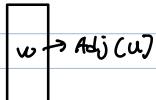
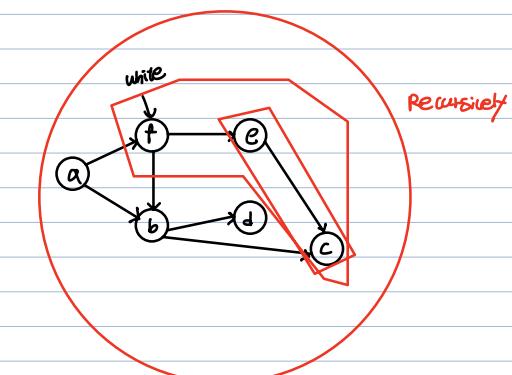
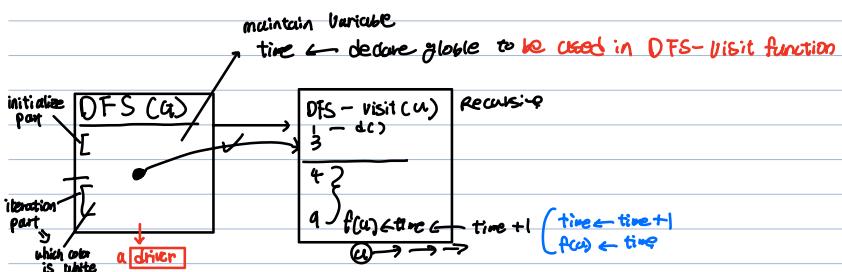
We can "linearize"



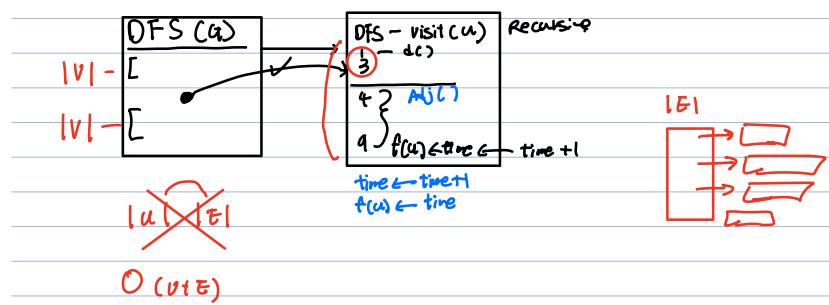
$\pi(x)$

$$\pi(b) = \pi(f) = a$$

$\pi(x)$ \rightarrow x 가 갈 수 있게 되는 이유 노드



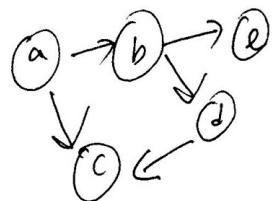
Runtive



22.3 depth first search

depth - first

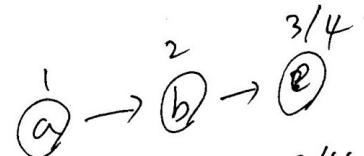
both directed /
undirected



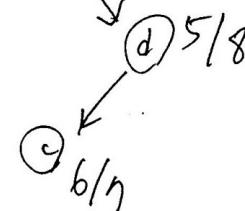
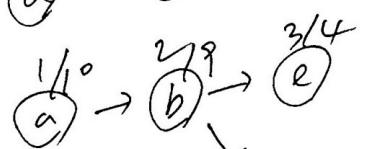
$$\begin{array}{c}
 a, b, e, \\
 \hline
 a, b, d, \\
 \hline
 a, c, b,
 \end{array}$$

discover
finish

time stamp



d/f



$\text{DFS}(G)$

```

1  for each vertex  $u \in V[G]$ 
2    do  $\text{color}[u] \leftarrow \text{WHITE}$ 
3     $\pi[u] \leftarrow \text{NIL}$ 
4   $\text{time} \leftarrow 0$ 
5  for each vertex  $u \in V[G]$ 
6    do if  $\text{color}[u] = \text{WHITE}$ 
7      then  $\text{DFS-VISIT}(u)$ 

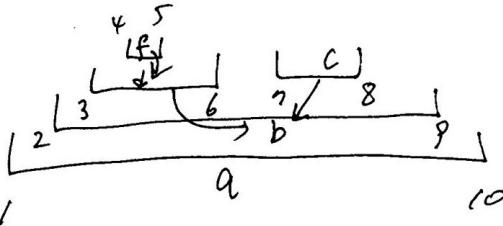
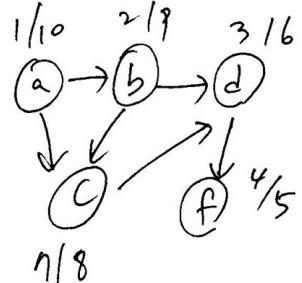
```

$\text{DFS-VISIT}(u)$

```

1   $\text{color}[u] \leftarrow \text{GRAY}$     ▷ White vertex  $u$  has just been discovered.
2   $\text{time} \leftarrow \text{time} + 1$ 
3   $d[u] \leftarrow \text{time}$ 
4  for each  $v \in \text{Adj}[u]$     ▷ Explore edge  $(u, v)$ .
5    do if  $\text{color}[v] = \text{WHITE}$ 
6      then  $\pi[v] \leftarrow u$ 
7         $\text{DFS-VISIT}(v)$ 
8   $\text{color}[u] \leftarrow \text{BLACK}$     ▷ Blacken  $u$ ; it is finished.
9   $f[u] \leftarrow \text{time} \leftarrow \text{time} + 1$ 

```



time is incremented by 1, AND
 $f[u]$ is updated with "time"

$$\begin{array}{ll} \pi(c) = b & \pi(b) = a \\ \pi(f) = d & \pi(d) = b \end{array}$$

$\text{DFS}(G)$

```
1 for each vertex  $u \in V[G]$ 
2   do  $\text{color}[u] \leftarrow \text{WHITE}$ 
3    $\pi[u] \leftarrow \text{NIL}$ 
4    $\text{time} \leftarrow 0$ 
5 for each vertex  $u \in V[G]$ 
6   do if  $\text{color}[u] = \text{WHITE}$ 
7     then  $\text{DFS-VISIT}(u)$ 
```

$\text{DFS-VISIT}(u)$

```
1  $\text{color}[u] \leftarrow \text{GRAY}$        $\triangleright$  White vertex  $u$  has just been discovered.
2  $\text{time} \leftarrow \text{time} + 1$ 
3  $d[u] \leftarrow \text{time}$ 
4 for each  $v \in \text{Adj}[u]$      $\triangleright$  Explore edge  $(u, v)$ .
5   do if  $\text{color}[v] = \text{WHITE}$ 
6     then  $\pi[v] \leftarrow u$ 
7        $\text{DFS-VISIT}(v)$ 
8    $\text{color}[u] \leftarrow \text{BLACK}$      $\triangleright$  Blacken  $u$ ; it is finished.
9    $f[u] \leftarrow \text{time} \leftarrow \text{time} + 1$ 
```

time complexity

$O(|V| + |E|)$

$\text{DFS}(G)$

line1 ~ line3 $O(|V|)$

linear in the size of the input graph

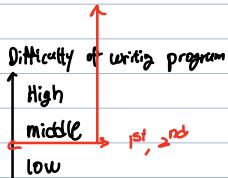
line 5 iterations at most $O(|V|)$

$\text{DFS-VISIT}(u)$

line4 at most $O(|E|)$

Ch 23 min spanning tree problem

- Prim's ← P.Q 6.5
- Kruskal's disjoint set Ch 21

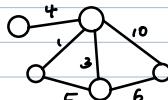


Input: a connected weighted undirected graph $G = (V, E)$

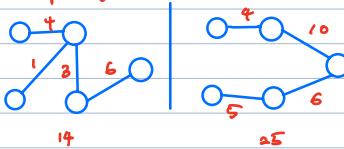
Output: a tree that consists of all nodes in V and edges in E

subjected to
sum of weights $\rightarrow \min$

$$|V| = n$$



a spanning tree



11/14 | 9

Ch 23 min spanning tree prob → an optimization problem

① (Ch 16, 18 ASLP, MCM, ASP, UTSP, minimize max minimize max of/ of/ tree)

— ② decision prob
Y/N
T/F

③ — counting problem

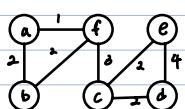
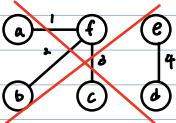
input: G , a, b (two nodes)

output: # of paths from a to b

1) 2) 3)
Input: an undirected, weighted, connected graph $G = (V, E)$

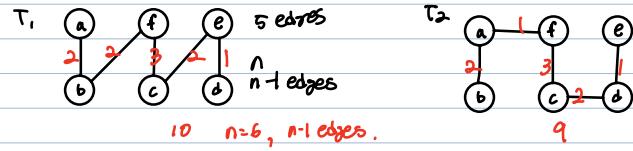
- 1) undirected: no direction
- 2) weighted: each node is assigned a pos real #
- 3) connected: for each different nodes a, b , \exists a path between a, b

Ex



Output: a spanning tree T ← every node, edges from G

such that the sum of all edge weights is min



This problem is solvable by Greedy Algorithm

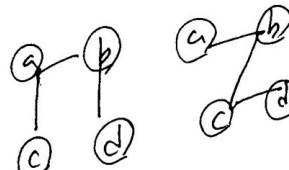
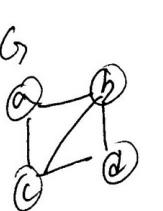
- (1) Kruskal's Alg. \rightarrow disjoint set DS
 - (2) Prim's Alg. \rightarrow Priority Queue
-) common denominator
Generic - MST (G_t, w)
graph weights

Greedy Algorithm & DS

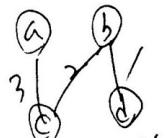
Ch 23 minimum spanning tree

a spanning tree (G_t)
 connected
 an undirected graph
 - a subgraph of G such that
 all nodes of G are included
 and it forms a tree

Ex.

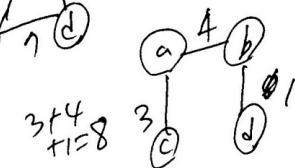


$$3+1=4$$

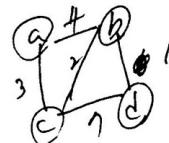


$$3+4$$

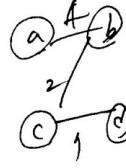
$$+1$$



Ex



weights: positive
real #s.



$$4+2+1$$

$$=13$$

~~not min~~

~~not min~~

GENERIC-MST(G, w)

```

1  $A \leftarrow \emptyset$  A starts with an empty set , each iteration Add nodes and edges.
2 while  $A$  does not form a spanning tree,
3   do find an edge  $(u, v)$  that is safe for  $A$ 
4 Greedy Way  $A \leftarrow A \cup \{(u, v)\}$ 
5 return  $A$ 
       $n-1$  edges

```

"safe" – addition of an edge (u, v) does not cause a problem and "minimum" is still maintained

$X \leftarrow \emptyset$

whether tree exists a cycle or not.

- | - not a cycle
- | - min weight

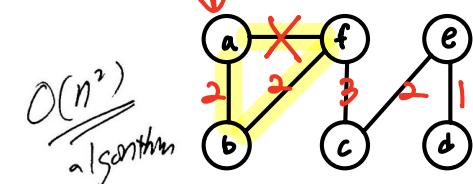
for $i \leftarrow 1$ to n do

if $X \cup \{a_i\} \in I$

then $X \leftarrow X \cup \{a_i\}$

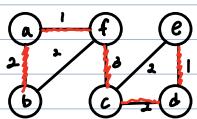
return X

unit task scheduling problem



$O(n^2)$
algorithm

Ex



$$A = \underbrace{\begin{pmatrix} & & & \\ & & & \\ & & & \\ & & & \end{pmatrix}}_{n \text{ nodes}} \xrightarrow{0} A = \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix}$$

$$\Rightarrow A = \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix} (a, f), (e, d)$$

다음은 가장 짧은 경로를 찾기 때문에

$$M = \delta, T$$

$$I = \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix} \quad \text{16.5}$$

unsp

$$X = \overbrace{\begin{pmatrix} & & \\ & & \\ & & \end{pmatrix}}^1$$

$$\Rightarrow A = \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix} (a, f), (e, d), (c, d)$$

$$\Rightarrow A = \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix} (a, b),$$

\Downarrow \exists (c, e)는 이제 cycle을 만드므로 선택 불가

$$\Rightarrow A = \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix} (f, c),$$

\Downarrow

MST-KRUSKAL(G, w)

```
1   $A \leftarrow \emptyset$                                 disjoint set data structure
2  for each vertex  $v \in V[G]$ 
3    do MAKE-SET( $v$ )
4  sort the edges of  $E$  into nondecreasing order by weight  $w$ 
5  for each edge  $(u, v) \in E$ , taken in nondecreasing order by weight
6    do if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7      then  $A \leftarrow A \cup \{(u, v)\}$ 
8      UNION( $u, v$ )
9  return  $A$ 
```

priority queue

disjoint set data structure

MST-PRIM(G, w, r)

```
1  for each  $u \in V[G]$ 
2    do  $key[u] \leftarrow \infty$ 
3     $\pi[u] \leftarrow \text{NIL}$ 
4   $key[r] \leftarrow 0$ 
5   $Q \leftarrow V[G]$                                 priority queue (special queue)
6  while  $Q \neq \emptyset$ 
7    do  $u \leftarrow \text{EXTRACT-MIN}(Q)$ 
8      for each  $v \in Adj[u]$ 
9        do if  $v \in Q$  and  $w(u, v) < key[v]$ 
10       then  $\pi[v] \leftarrow u$ 
11            $key[v] \leftarrow w(u, v)$ 
```

Kruskal's Alg.

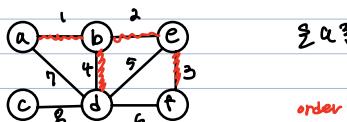
- make-set }
 - find-set }
 - union }

Connect components Ch2f
 For each edge
 [0 0 0 0 0] - Any arbitrary order

Prim's

① a sorted seq
 ② $A \leftarrow A \cup \{ \}$

MST - Kruskal (G, w)



$\frac{1}{2}a\bar{3}, \frac{1}{2}b\bar{3}, \frac{1}{2}c\bar{3}, \frac{1}{2}d\bar{3}, \frac{1}{2}e\bar{3}, \frac{1}{2}f\bar{3}$

order

1. $A = \{ \}$	2. (a,b)
v	$(a,b) \leftarrow (b,e)$
3.	(b,d)
$\begin{matrix} 5 \\ 8 \end{matrix}$	$x(e,d)$
	$x(d,f)$
	$x(a,d)$
	$x(c,d)$

$A = \{ (a,b) \}$
 $A = \{ (a,b), (b,e) \}$
 $A = \{ (a,b), (b,c), (e,f) \}$
 $A = \{ (a,b), (b,c), (e,f), (d,f) \}$
 $e, d \text{ seg } T \Rightarrow 4 \text{ set all seg. } \rightarrow \text{skip}$

$A = \{ (c,d) \}$

11/15/24

Ch23 min spanning tree problem

- ① Kruskal's Alg $\rightarrow O(E \log V)$
 ② Prim's Alg $\rightarrow O(E \log V)$

Generic MST (G, w)

$A \leftarrow \emptyset$
 select a safe edge
 for A

① an edge with min weight at that moment
 ② $A = \{ \}$ $\{ \}$ acyclic (does not form a cycle)

$n-1$ edges
 tree $\{ \}$ $\{ \}$ $\{ \}$ $\{ \}$ $\{ \}$

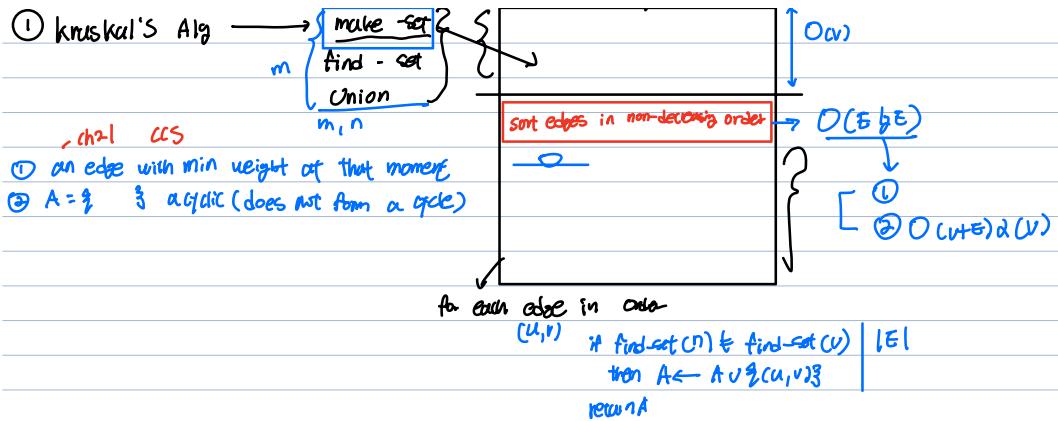
$|V| = n$

$$G = (V, E)$$

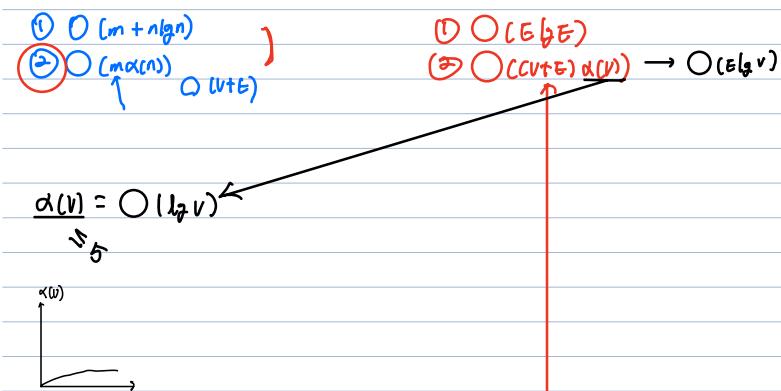
n

Kruskal (G, w)

$$G = (V, E)$$



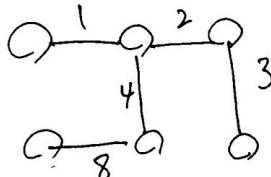
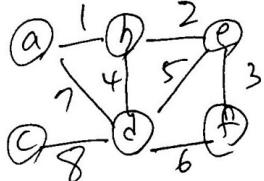
Time complexity



Kruskal's Alg (G, w)

- ① undirected
- ② connected $\rightarrow |E| \geq |V| - 1$
- ③ weighted

$$(|V| \times |V|) \geq |E| \geq |V| - 1$$



MST-KRUSKAL(G, w)

- ```

1 A $\leftarrow \emptyset$
2 for each vertex $v \in V[G]$
3 do MAKE-SET(v)
4 sort the edges of E into nondecreasing ord
5 for each edge $(u, v) \in E$, taken in nondecr
6 do if FIND-SET(u) \neq FIND-SET(v)
7 then $A \leftarrow A \cup \{(u, v)\}$
8 UNION(u, v)
9 return A

```

{a} {b} {c} {d} {e} {f}

- (a, b)
- (b, c) ↓
- (c, d)
- (d, e)
- (e, f)

(a, d)  
(c, d)

$\{a, b\}$

{a, b, e}

{a, b, e, f}

{a,b,c,d,e,f}

$$\text{find-set}(d) = \text{find-set}(f)$$

cycle !!.

$\text{frnd-set}(a) = \text{frnd-set}(d)$   
cycle!!

{ a, b, e, f, d, c }

```

MST-KRUSKAL(G, w)
1 $A \leftarrow \emptyset$
2 for each vertex $v \in V[G]$
3 do MAKE-SET(v)
4 sort the edges of E into nondecreasing order by weight w
5 for each edge $(u, v) \in E$, taken in nondecreasing order by weight
6 do if FIND-SET(u) \neq FIND-SET(v)
7 then $A \leftarrow A \cup \{(u, v)\}$
8 UNION(u, v)
9 return A

```

time complexity

line 1,2,3 – initialization (line 3 :  $|V|$  times MAKE-SET operation)

line 4 –  $O(|E| * \log |E|)$

line 5,6,7,8  $O(|E|)$  FIND-SET, UNION operations

$O((V + E) * \alpha(V))$

$|E| \geq |V| - 1$  because  $G$  is a connected graph  $\alpha(V) = O(\log V) = O(\log E)$

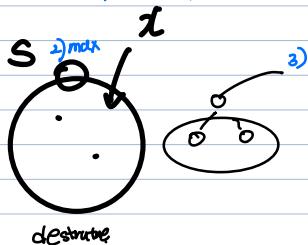
Therefore  $O(E \log E)$ , but  $|E| < |V| * |V|$  and  $O(E \log V)$

## 6.5 Priority Queue

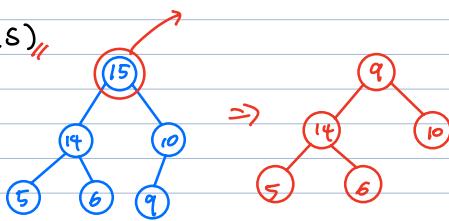
↳ A data structure

- $O(\lg n)$
- ① Insert ( $S, x$ )
  - ② Maximum ( $S$ )
  - ③ Extract - max ( $\rightarrow$ )
  - ④ Increase - key ( $S, x, k$ )
- Ch 6
- |                |                      |
|----------------|----------------------|
| min PQ         | min heap             |
| - min ( $S$ )  | - $\text{E-min} (S)$ |
| - decrease key |                      |

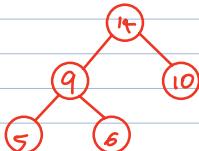
i) Insert ( $S, x$ )



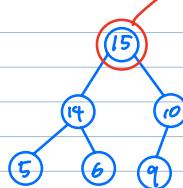
① H - Extract - max ( $S$ )



$O(h)$   $O(\lg n)$   
max-heapify ( $S, i$ )



Max ( $S$ )



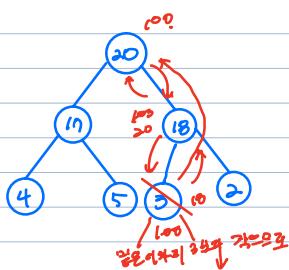
A

|    |    |    |   |   |   |
|----|----|----|---|---|---|
| 15 | 14 | 10 | 5 | 6 | 9 |
|----|----|----|---|---|---|

destructive

index number

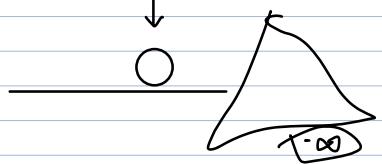
② H - increase - key ( $S, x, k$ )



|    |    |    |   |   |   |   |
|----|----|----|---|---|---|---|
| 20 | 17 | 18 | 4 | 5 | X | 2 |
|----|----|----|---|---|---|---|

100

③ Max-heap - insert ( $S, x$ )



A ***priority queue*** is a data structure for maintaining a set  $S$  of elements, each with an associated value called a ***key***. A ***max-priority queue*** supports the following operations.

$\text{INSERT}(S, x)$  inserts the element  $x$  into the set  $S$ . This operation could be written as  $S \leftarrow S \cup \{x\}$ .

$\text{MAXIMUM}(S)$  returns the element of  $S$  with the largest key.

$\text{EXTRACT-MAX}(S)$  removes and returns the element of  $S$  with the largest key.

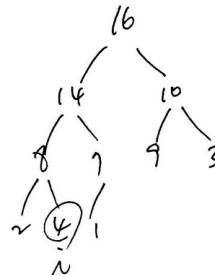
$\text{INCREASE-KEY}(S, x, k)$  increases the value of element  $x$ 's key to the new value  $k$ , which is assumed to be at least as large as  $x$ 's current key value.

Data structure vs abstract data type

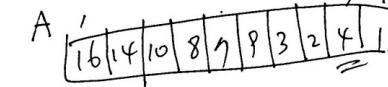
Data type – int, float, char, etc

HEAP-EXTRACT-MAX( $A$ )

- 1 **if**  $heap\text{-size}[A] < 1$
- 2   **then error** "heap underflow"
- 3  $max \leftarrow A[1]$
- 4  $A[1] \leftarrow A[heap\text{-size}[A]]$
- 5  $heap\text{-size}[A] \leftarrow heap\text{-size}[A] - 1$
- 6 MAX-HEAPIFY( $A, 1$ )
- 7 **return**  $max$

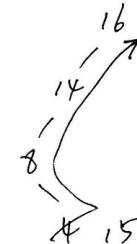


Heap increase-key ( $A, i, key$ )



①  $A[i] \leftarrow key$

②



HEAP-INCREASE-KEY( $A, i, key$ )

- 1 **if**  $key < A[i]$  *error / key가 BST[]에 있는지 확인.*
- 2   **then error** "new key is smaller than current key"
- 3  $A[i] \leftarrow key$
- 4 **while**  $i > 1$  and  $A[\text{PARENT}(i)] < A[i]$
- 5   **do exchange**  $A[i] \leftrightarrow A[\text{PARENT}(i)]$
- 6     $i \leftarrow \text{PARENT}(i)$

MAX-HEAP-INSERT( $A, key$ )

- 1  $heap\text{-size}[A] \leftarrow heap\text{-size}[A] + 1$
- 2  $A[heap\text{-size}[A]] \leftarrow -\infty$
- 3 HEAP-INCREASE-KEY( $A, heap\text{-size}[A], key$ )

$O(\lg n)$

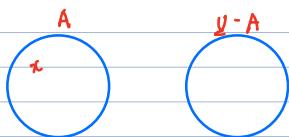
Prim's Alg. ( $G, w, r$ ) of BFS - ch22.

selection of a safe edge

$$G = (V, E)$$

Dijkstra's - ch25

$$- [PQ]$$

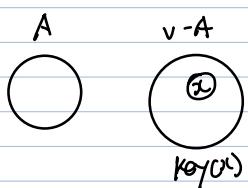
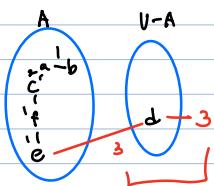
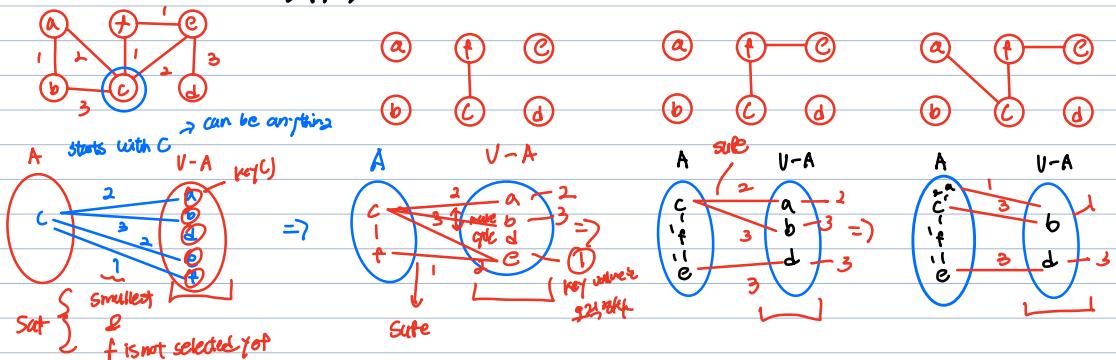


two sets of nodes

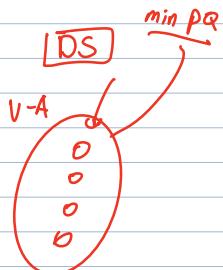
DFG ( $G$ )

BFS ( $G, s$ )

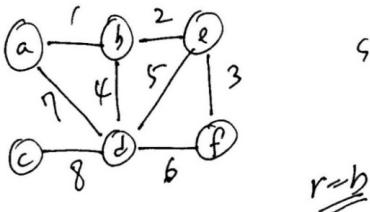
Ex



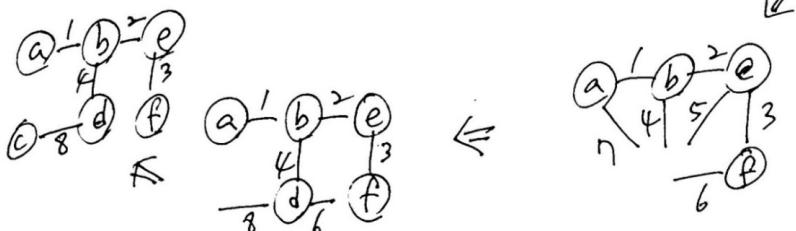
min of all edges  
that connect  $\pi$  & some node in A



# Prim's algorithm



start at an arbitrary  
node  $r$



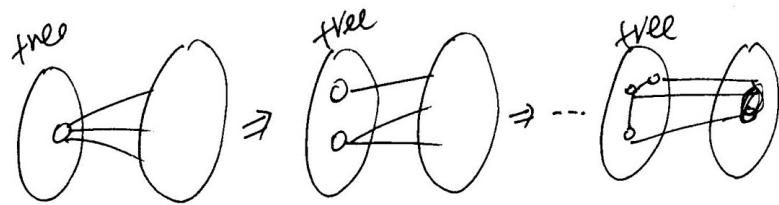
$A = \{r\}$   
each time,

(1) a selection is made

a “safe” edge “e” that  
connects 2 sets of  
nodes A and B with the  
property that

- addition of “e” to A does not cause a problem (“cycle”)
  - $\text{weight}(e)$  is minimum among the candidate nodes

(2) updates are done



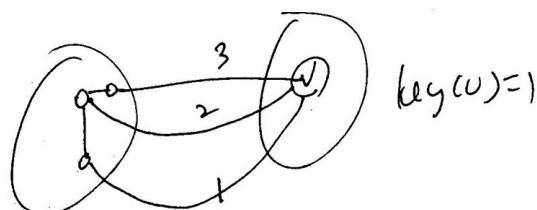
always 2 sets where  $\text{union} = V$        $\text{key}(v)$ : the min weight of  
disjoint any edge connecting  
 $v$  to a node in  
the tree.

in each step a safe edge that  
connects the 2 sets is selected.

a data structure that maintains  
all nodes that are NOT in the tree

based on key values.

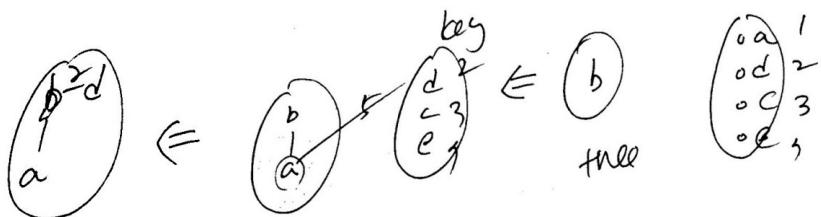
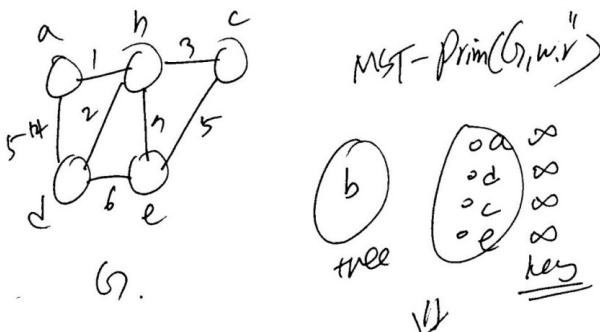
Ex.



```

while $Q \neq \emptyset$
do $u \leftarrow \text{EXTRACT-MIN}(Q)$
for each $v \in \text{Adj}[u]$
do if $v \in Q$ and $w(u, v) < \text{key}[v]$
then $\pi[v] \leftarrow u$
 $\text{key}[v] \leftarrow w(u, v)$

```



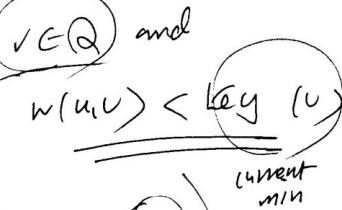
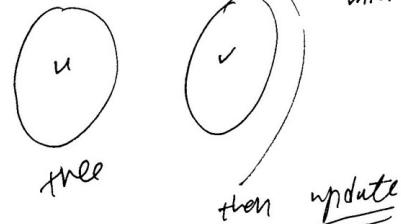
$u \in \text{Extract-Min } Q$

$r$  is the 1st node extracted.

for each  $v \in \text{Adj}(u)$

if  $v \in Q$  and  
 $w(u, v) < \text{key}(v)$

not in the tree



MST-PRIM( $G, w, r$ )

```
1 for each $u \in V[G]$
2 do $key[u] \leftarrow \infty$
3 $\pi[u] \leftarrow \text{NIL}$
4 $key[r] \leftarrow 0$
5 $Q \leftarrow V[G]$ ← Insert all v tree nodes in Q
[Priority Q]
6 while $Q \neq \emptyset$
7 do $u \leftarrow \text{EXTRACT-MIN}(Q)$
8 for each $v \in Adj[u]$
9 do if $v \in Q$ and $w(u, v) < key[v]$
10 then $\pi[v] \leftarrow u$
11 $key[v] \leftarrow w(u, v)$
```

Line 11 – DecreaseKey( $A, i, k$ )

Time complexity

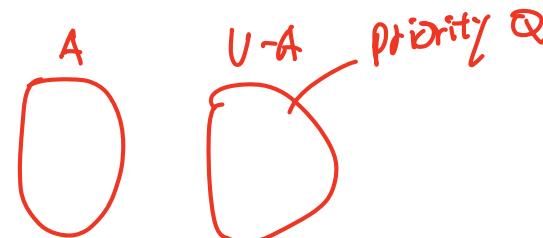
Line 1~5  $O(|V|)$

Line 6  $O(|V|)$  iterations

Line 7  $O(\log V)$

Line 8~11  $O(|E|)$

Therefore  $O(V \log V + E \log V) = O(E \log V)$



11 (2) 8

Ch23 MSTP

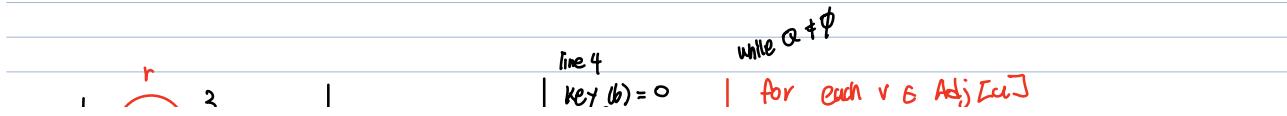
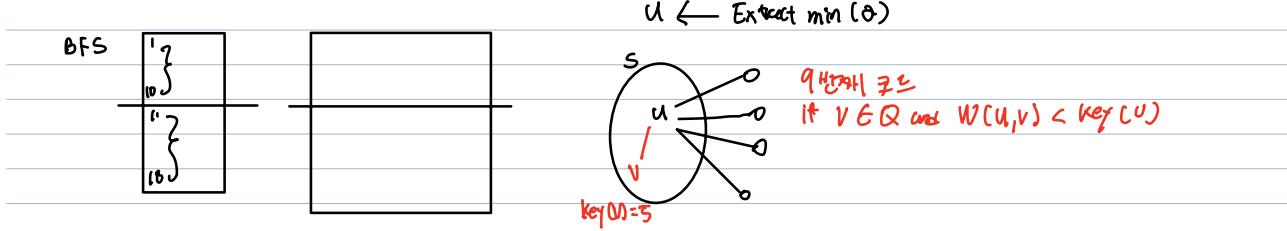
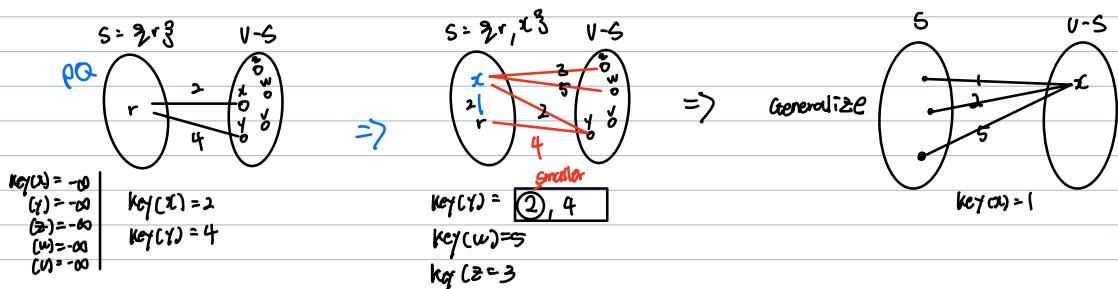
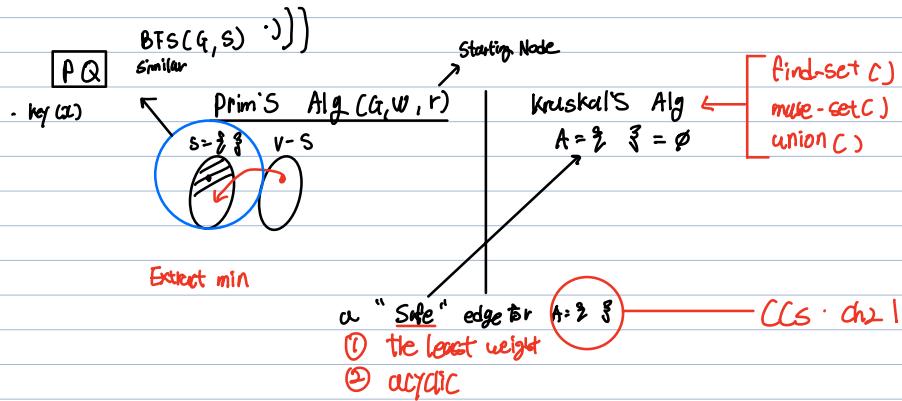
weighted  
connected  
undirected

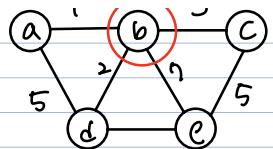
a spanning tree ( $G$ )

$$G = (V, E)$$

selection of  $n-1$  edges

$$|V| = n$$

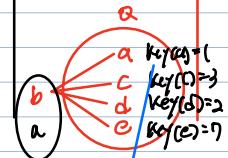




$\text{Key}(a) = \infty$   
 $b \quad \infty$   
 $c \quad \infty$   
 $d \quad \infty$   
 $e \quad \infty$

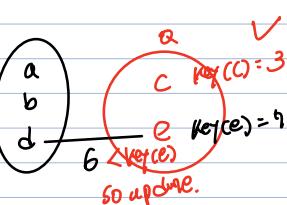
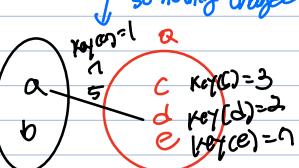
$u: b$   
 $a$   
 $d$   
 $e$   
 $c$

"pq"  
 $E.$

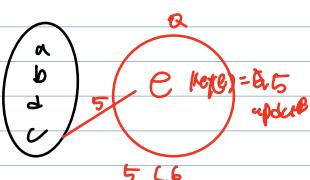
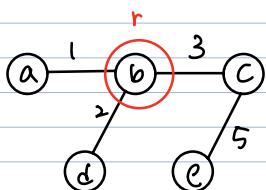


if  
 $\begin{cases} ① \quad v \in Q \\ ② \quad w(u,v) < \text{key}(v) \end{cases}$

then we now update key value  
 $\text{key}(v) \leftarrow w(u,v)$



so update.

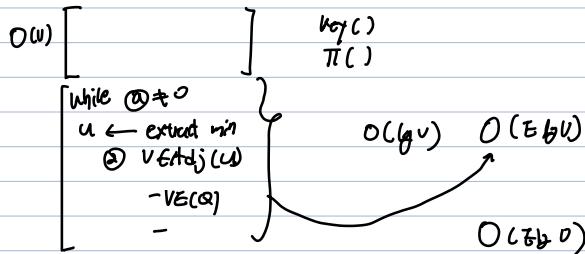


5 < 6

11/22 24

### Ch 23 Prim's Alg

p.40



p.41

The transitive closure ( $G^*$ )  
 $\xrightarrow{\text{directed graph}}$

#<sup>n</sup>  
 $\xrightarrow{\text{nxn}}$  a boolean matrix  
 $0 \text{ or } 1$

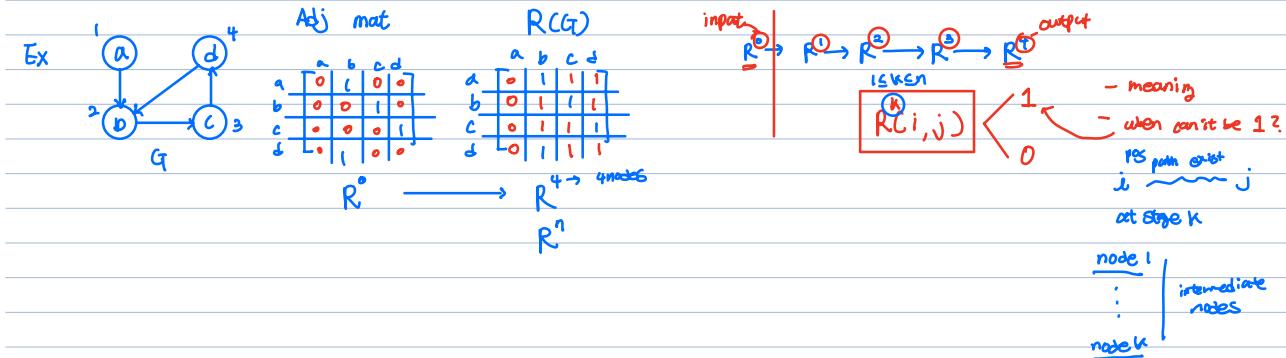
$\xrightarrow{R}$   $i \quad | \quad | \quad |$   $1 \leq i, j \leq n$

reaching?  $i \quad | \quad | \quad |$  when can we have 1?

[ ] [ ] [ ]

$R[i, j]$  is 1 if  $\exists$  a pos length path from node  $i$  to node  $j$

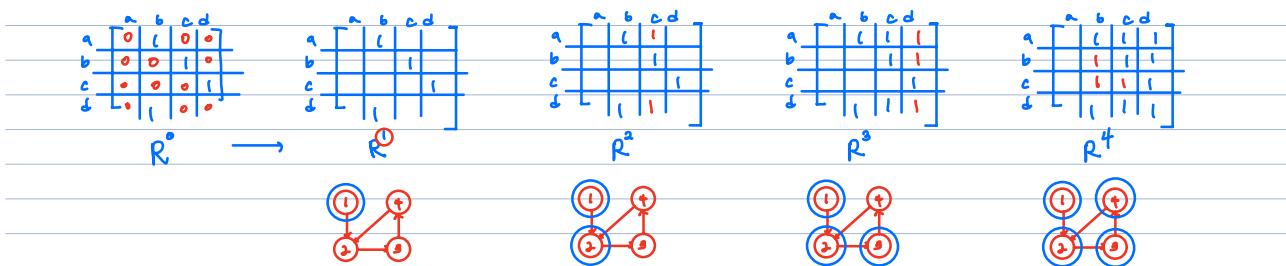
Warshall's Algorithm  $\approx$  Floyd's



$$R^k(i,j) = 1$$

base case

$$\left\{ \begin{array}{l} \textcircled{1} R^{k-1}(i,j) = 1 \\ \textcircled{2} R^{k-1}(l,k) = 1 \\ R^{k-1}(k,j) = 1 \end{array} \right\} \Rightarrow$$



$$\begin{matrix} n \times n & \textcircled{1} & K & n \\ & \textcircled{2} & \sim & n \\ & \textcircled{3} & O & n \end{matrix}$$

Reachability

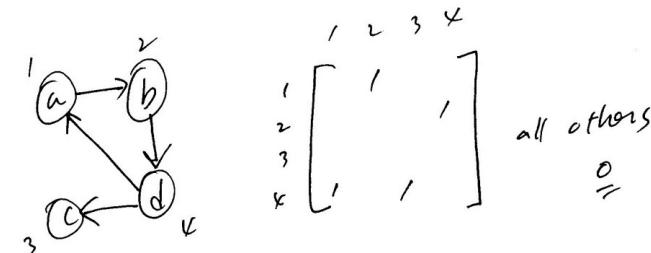
the transitive closure of a directed graph with  $n$  vertices is the  $n$  by  $n$  boolean matrix, where the element in  $i$ th row and  $j$ th column is 1 if there exists a directed path of a positive length from the  $i$ th vertex to the  $j$ th vertex. otherwise, the element is 0.

example: consider a directed graph that consists of 4 nodes, a, b, c, d and 4 edges, (a,b), (b,d), (d,a), (d,c)

the adjacency matrix and the transitive closure as follows:

|   | a | b | c | d | row $\rightarrow$ column |
|---|---|---|---|---|--------------------------|
| a | 0 | 1 | 0 | 0 |                          |
| b | 0 | 0 | 0 | 1 |                          |
| c | 0 | 0 | 0 | 0 |                          |
| d | 1 | 0 | 1 | 0 |                          |

|   | 1 | 1 | 1 | 1 |
|---|---|---|---|---|
| 1 | 1 | 1 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 |
| 1 | 1 | 1 | 1 | 1 |



$$A = \{a, b, c, d\}$$

$$R = \{(a, b), (b, d), (d, a), (d, c)\}$$



$$R^t = \{(a, a), (a, b), (a, c), (a, d), (b, a), (b, b), (b, c), (b, d), (c, a), (c, b), (c, d), (d, a), (d, b), (d, c), (d, d)\}$$

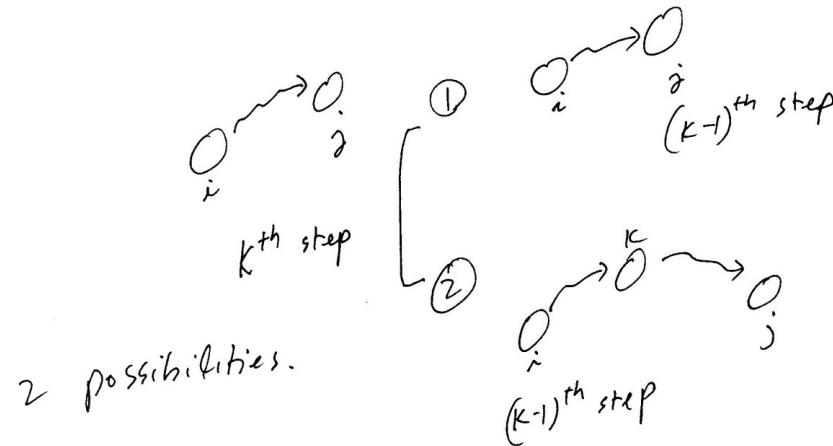
```

R^{0} ← adjacency matrix of the input directed graph
for k = 1 to n
 for i = 1 to n
 for j = 1 to n
 R^k[i, j] = R^{k-1}[i, j] or (R^{k-1}[i, k] and R^{k-1}[k, j])
return R^n

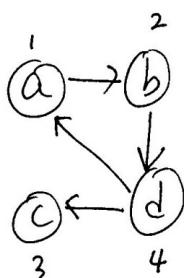
```

$$R^k(i, j) = \text{or} \left( R^{k-1}(i, j), \quad \uparrow \right)$$

$$\text{and} \left( R^{k-1}(i, l), R^{k-1}(l, j) \right)$$



Ex



adjacency matrix =  $R^0$

$$R^0 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 1 & 0 & 1 & 0 \\ 2 & 0 & 0 & 0 \\ 3 & 0 & 0 & 1 \\ 4 & 0 & 0 & 0 \end{bmatrix}$$

$$R^1 = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 \end{bmatrix} \quad R^2 = ?$$

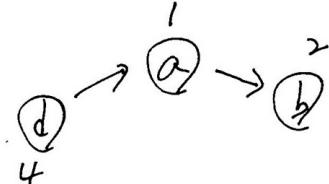
node 2 is an  
intermediate vertex

$$R^1 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 1 & 1 & & \\ 2 & & 1 & \\ 3 & & & \\ 4 & 1 & 0 & 1 \end{bmatrix}$$

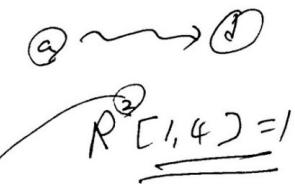
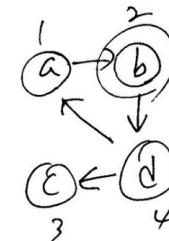
new

---

node 1 is an  
intermediate vertex



$$R^2 = \begin{bmatrix} 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 \end{bmatrix}$$



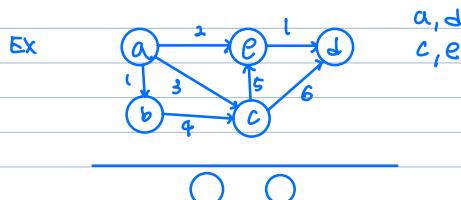
$$R^3 = R^2 \quad R^4 = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

$$R^1[4,2] = 1$$

## Floyd's Alg

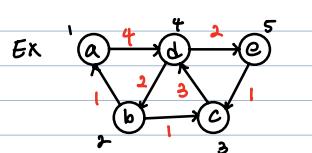
All pairs shortest distances problem  
paths

Dijkstra's Alg      distances  
single source shortest paths



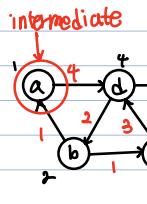
Distance Matrix

a distance matrix



|   | a | b | c | d | e |
|---|---|---|---|---|---|
| a | 0 | ∞ | ∞ | 4 | ∞ |
| b | 1 | 0 | 1 | ∞ | ∞ |
| c | ∞ | ∞ | 0 | 3 | ∞ |
| d | ∞ | 2 | ∞ | 0 | 2 |
| e | ∞ | ∞ | 1 | ∞ | 0 |

⇒

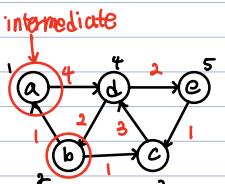


|   | a | b | c | d | e |
|---|---|---|---|---|---|
| a | 0 | 1 | 4 | 4 | ∞ |
| b | 1 | 0 | 1 | 5 | ∞ |
| c | ∞ | 0 | 0 | 3 | ∞ |
| d | 2 | 3 | 0 | 0 | 2 |
| e | 1 | 4 | 0 | 0 | 0 |

$D^0$

$D'$

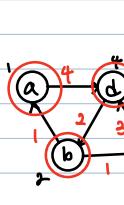
intermediate



|   | a | b | c | d | e |
|---|---|---|---|---|---|
| a | 0 | 1 | 4 | 4 | ∞ |
| b | 1 | 0 | 1 | 5 | ∞ |
| c | ∞ | 0 | 0 | 3 | ∞ |
| d | 3 | 2 | 3 | 0 | 2 |
| e | 1 | 4 | 0 | 0 | 0 |

$D^2$

$D^3$



|   | a | b | c | d | e |
|---|---|---|---|---|---|
| a | 0 | 6 | 7 | 4 | 6 |
| b | 1 | 0 | 1 | 4 | 6 |
| c | 6 | 5 | 0 | 3 | 5 |
| d | 3 | 2 | 3 | 0 | 2 |
| e | 1 | 4 | 0 | 0 | 0 |

$D^4$

|   | a | b | c | d | e |
|---|---|---|---|---|---|
| a | 0 | 6 | 7 | 4 | 6 |
| b | 1 | 0 | 1 | 4 | 6 |
| c | 6 | 5 | 0 | 3 | 5 |
| d | 3 | 2 | 3 | 0 | 2 |
| e | 1 | 4 | 0 | 0 | 0 |

$D^5$

$$D_{(i,j)} = \min(D_{(i,j)}, D_{(i,k)} + D_{(k,j)})$$

floyd = 5157272727

$$R^k_{(i,j)} = \text{or } (R^{k-1}_{(i,j)}, \text{and } (R^{k-1}_{(i,k)}, R^{k-1}_{(k,j)}))$$

Marshall = 1 or 0



$$D \Rightarrow D^0 \Rightarrow D^1 \Rightarrow D^2 \dots \Rightarrow D^n$$

Floyd's algorithm solves all-pairs shortest paths problem

example: consider a directed graph that consists of 4 vertices, a,b,c,d and 5 edges, (a,c), (b,a), (d,a), (c,d), (c,b). assume that each has has the following weights:

|       |     |
|-------|-----|
| (a,c) | - 3 |
| (b,a) | - 2 |
| (d,a) | - 6 |
| (c,d) | - 1 |
| (c,b) | - 7 |

the distance matrix for this graph is as follows:

|          |          |          |          |
|----------|----------|----------|----------|
| 0        | infinity | 3        | infinity |
| 2        | 0        | infinity | infinity |
| infinity | 7        | 0        | 1        |
| 6        | infinity | infinity | 0        |

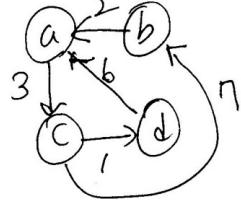
at stage  $\leq k$

$$D^{(k)}[i,j] = \min \left( D^{(k-1)}[i,j], D^{(k-1)}[i,k] + D^{(k-1)}[k,j] \right)$$

```
D <- distance matrix of the input directed graph
for k = 1 to n
 for i = 1 to n
 for j = 1 to n
 D[i,j] = min{D[i,j], D[i,k] + D[k,j]}
return D
```



min distance from i to j at stage  $\leq k$

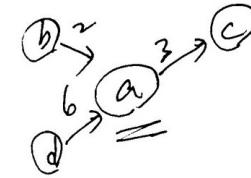


$$D = \begin{array}{c|ccccc} & a & b & c & d \\ \hline a & 0 & \infty & 3 & \infty \\ b & 2 & 0 & \infty & \infty \\ c & \infty & 7 & 0 & 1 \\ d & 6 & \infty & \infty & 0 \end{array}$$

$D_0 = D$

node a  
node c  
intermediate

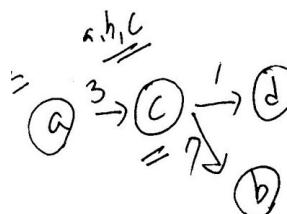
$$D_1 = \begin{array}{c|ccccc} & & c & & \\ \hline a & & & & \\ b & & & 5 & \\ d & & & 9 & \end{array}$$



$$D_1 = \begin{array}{c|ccccc} & a & b & c & d \\ \hline a & 0 & \infty & 3 & \infty \\ b & 2 & 0 & 5 & \infty \\ c & \infty & 7 & 0 & 1 \\ d & 6 & \infty & 9 & 0 \end{array}$$

node b  
node c

$$D_2 = \begin{array}{c|ccccc} & a & & & & \\ \hline a & 0 & & & & \\ b & & 0 & & & \\ c & 9 & & 0 & & \\ d & & & & 0 & \end{array}$$



$D_4 \quad c \rightarrow d \rightarrow a$

$$D_4 = \begin{array}{c|ccccc} & a & b & c & d \\ \hline a & 0 & 10 & 3 & 4 \\ b & 2 & 0 & 5 & 6 \\ c & 7 & 7 & 0 & 1 \\ d & 6 & 16 & 9 & 0 \end{array}$$

$$D_5 = \begin{array}{c|ccccc} & a & b & & d \\ \hline a & & 10 & & 4 \\ b & & & & 6 \\ c & & & & \\ d & & & & \end{array}$$

## Single source shortest distances (paths) problem – Dijkstra's algorithm

```

1 S = {1}
2 for i = 2 to n do
3 D[i] = C[1,i] // initialization
4 for i= 1 to n-1
5 choose a vertex w in V - S such that
6 D[w] is a minimum
7 add w to S
8 for each vertex v in V - S
9 D[v] = min(D[v], D[w]+C[w,v])

```

