

# Primitive Operations

- Assignments (A)
- Comparisons (C)
- Boolean expressions (B)
- Array indexing (I)
- Record selector or object reference (R)
- Arithmetic operations
  - Add, subtract (S)
  - Multiplication, division (D)
- Trigonometric operations (e.g., sin, cos, tan) (T)
- Calling a method, function, procedure, routine (M)

# Worst Case Running Time T(n)

## Counting Assignments, Comparisons & Indexing

```
currentMax ← A[0]
for k ← 1 to n-1 do
    if currentMax < A[k] then
        currentMax ← A[k]
    end
end
return currentMax
```

- How has the input to be arranged to produce the best case and the worst case?

### Worst case

- 1 A + 1 I +
  - 1 A + (n-1)\*{
    - 1 A + 1 S +
    - 1 C +
    - 1 C + 1 I +
    - 1 A + 1 I +}
  - }
  - 1 C (to terminate loop) +
  - 1 A
- $$T(n) = 5 + (n-1)*7$$
- $$T(n) = 7n - 2$$

# Worst Case Running Time T(n)

## Counting Assignments & Comparisons

```
currentMax ← A[0]
for k ← 1 to n-1 do
    if currentMax < A[k] then
        currentMax ← A[k]
    end
end
return currentMax
```

- How has the input to be arranged to produce the best case and the worst case?

### Worst case

- 1 A +
  - 1 A + (n-1)\*{
    - 1 A +
    - 1 C +
  - 1 C +
  - 1 A +
  - }
  - 1 C (to terminate loop) +
  - 1 A
- $$T(n) = 3 + (n-1)*4$$
- $$T(n) = 4n - 1$$

# Design & Analysis of Algorithms

## Go Hand in Hand

For almost all computational problems *many* candidate solutions are possible, but most of them we don't want! Sometimes we even want only one.

### *Examples*

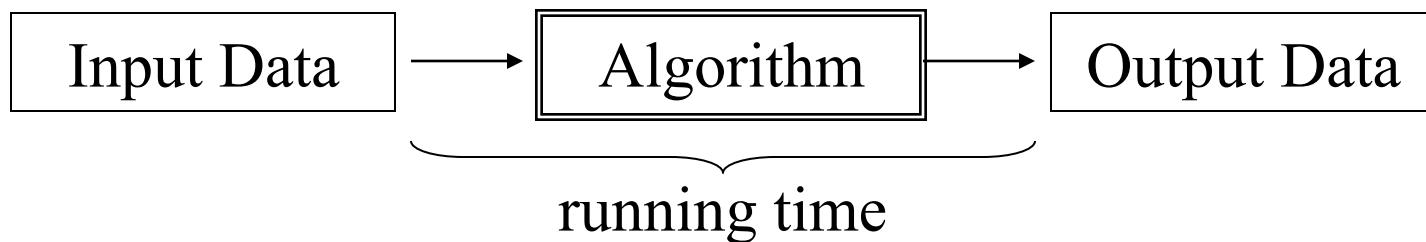
1. **Sorting** Any sequence of  $n$  given numbers is a possible candidate solution for a sorted sequence of these numbers.
2. **15-puzzle** Any sequence of moves is a possible candidate solution to solve the puzzle.



# Tools for Algorithm Analysis

- Language for describing algorithms
  - *pseudo-code*
- Metric for measuring algorithm running time
  - *primitive operations*
- Most common approach for characterizing running times
  - *Worst-case analysis*

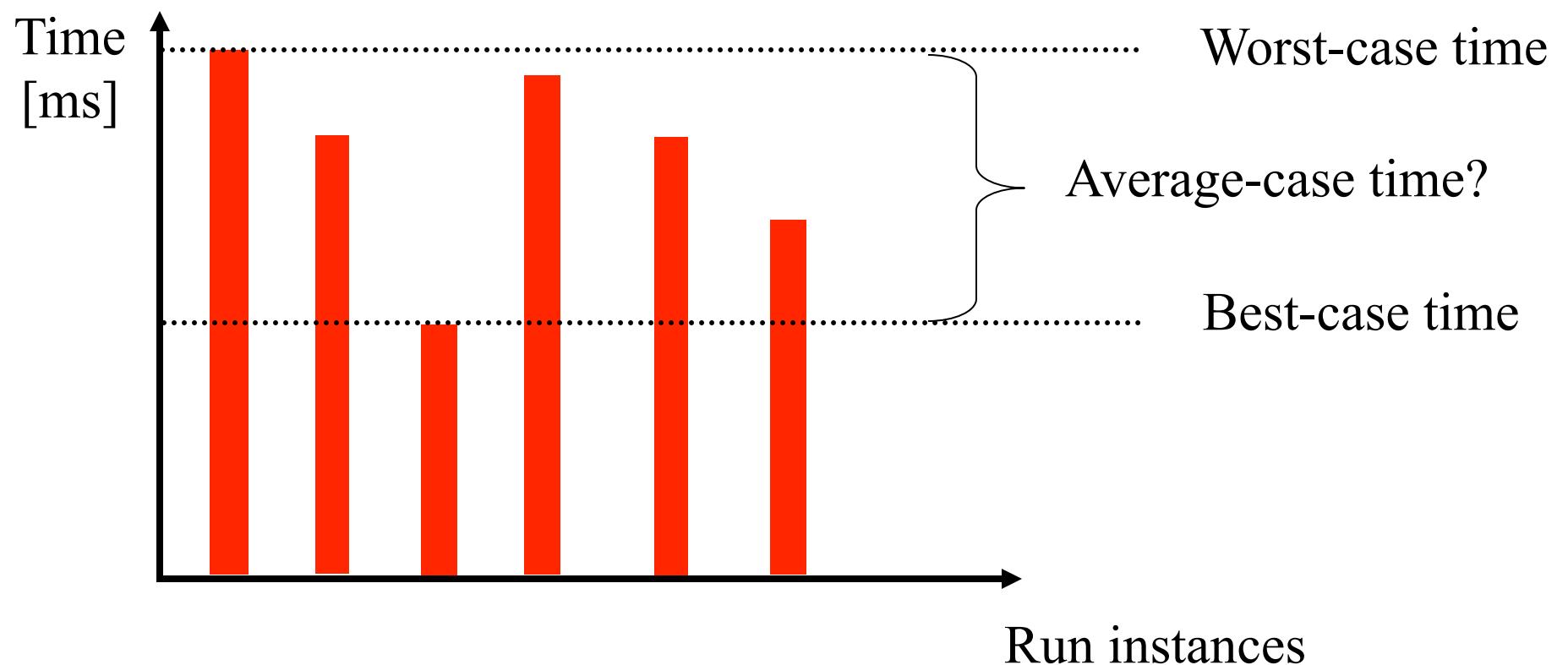
# Algorithm analysis



## Categories of algorithm running times

- Best case analysis  $T_b(n)$
- Average-case analysis  $T_a(n)$
- Worst-case analysis  $T(n)$

# Best-case, Average-case and Worst-case Analysis



# Determining the Average-case Time

- Calculate expected running times based on a given input distribution
- Typically the analysis requires heavy math and probability theory

# Determining the Best-case and Worst-case Running Time

- Worst-case  $T(n)$ 
  - What is the maximum number of primitive operations (depending on  $n$ ) executed by the algorithm, taken over all inputs of size  $n$ ?
  - Worst-case analysis is most common and may aid in the design of the algorithm (e.g., Linear Median algorithm)
- Best-case  $T_b(n)$ 
  - What is the minimum number of primitive operations (depending on  $n$ ) executed by the algorithm, given the most advantageous or best input configuration of size  $n$ ?

# Examples: Worst-case, Best-case and Average-case Analysis

## Basic units: A & C

```
a = 3 * n  
cnt = 1  
while a > n do  
    a = a - 1  
    cnt = cnt + 1  
end
```

$$T(n) = 2 + 2n(3)+1$$

$$T(n) = 3 + 6n$$

$$T_b(n) = T(n)$$

## Basic units: A & C

```
A: array[0..n-1]  
k = 0  
while k < n do  
    if A[k] = key then  
        return k  
end  
    k = k + 1  
end  
return “not found”
```

$$T(n) = 1 + n(3) + 2$$

$$T(n) = 3 + 3n$$

$$T_b(n) = 4$$

$$T_a(n) = 3 + 3n/2$$

# Examples: Worst-case, Best-case and Average-case Analysis

## Basic units: A & C

A: array[0..n-1]

Swap: 3 A per swap

For: 2 A per iteration;  
ignore initial A in loops

```
for k=0 to n-1 do
    for j=0 to n-1 do
        if A[k]<=A[j] then
            swap(A[k],A[j])
        end
    end
end
```

end

$$T(n) = (6n+2)n$$

$$T(n) = 6n^2 + 2n$$

## Basic units: A & C

For: 2 A per iteration;  
ignore initial A;  
ignore return A

s = 0

```
for k=1 to n do
    s = s + k*k
end
return s
```

$$T(n) = 1 + 3n$$

# Structure of a Recursive Algorithm

**Algorithm** recursiveAlgorithm( $n$ )

**if**  $n = 1$  **then**

*base-case*

**else**

*induction-step*

recursiveAlgorithm( $n-1$ )

**end**

- Let the worst case running time of recursiveAlgorithm be  $T(n)$

- Then

$$T(n) = \begin{cases} c_1 & \text{if } n = 1 \\ T(n-1) + c_2 & \text{otherwise} \end{cases}$$

# Structure of a Recursive Algorithm

**Algorithm** recursiveMax ( $A, n$ ) :

**Input:** An array  $A$  storing  $n \geq 1$  integers.

**Output:** The maximum element in  $A$ .

```
if  $n = 1$  then return  $A[0]$  end  
return max(recursiveMax( $A, n-1$ ),  $A[n-1]$ )
```

- **Base case:** 3 operations ( $n=1$ ,  $A[0]$ , return)
- **Induction step:**  $T(n-1)+7$  ops ( $n=1$ ,  $A$ ,  $n-1$ ,  $n-1$ ,  $A[n-1]$ , call, max)

# Solving Recurrence Equation by Repeated Substitution

$$T(n) = T(n - 1) + 7$$

$$T(n - 1) = T(n - 2) + 7$$

$$T(n - 2) = T(n - 3) + 7$$

...

$$T(2) = T(1) + 7$$

$$T(1) = 3$$

$$T(1) = 3$$

$$T(2) = 3 + 7 = 10$$

$$T(3) = 3 + 7 + 7 = 17$$

$$T(4) = 3 + 7 + 7 + 7 = 24$$

...

$$T(n) = 3 + 7(n - 1)$$

$$T(n) = 3 + 7(n - 1)$$

$$T(n) = 7n - 4$$

$$T(n) \in O(n)$$

$$T(n) = c_1 + c_2(n - 1)$$

$$T(n) \in O(n)$$

# Asymptotic Notation

- Evaluating running time in detail as for `arrayMax` and `recursiveMax` is cumbersome
- Fortunately, there are asymptotic notations which allow us to characterize the main factors affecting an algorithm's running time without going into detail
- A good notation for large inputs
- **Big-Oh  $O(\cdot)$** 
  - Little-Oh  $o(\cdot)$
- Big-Omega  $\Omega(\cdot)$ 
  - Little-Omega  $\omega(\cdot)$
- Big-Theta  $\Theta(\cdot)$

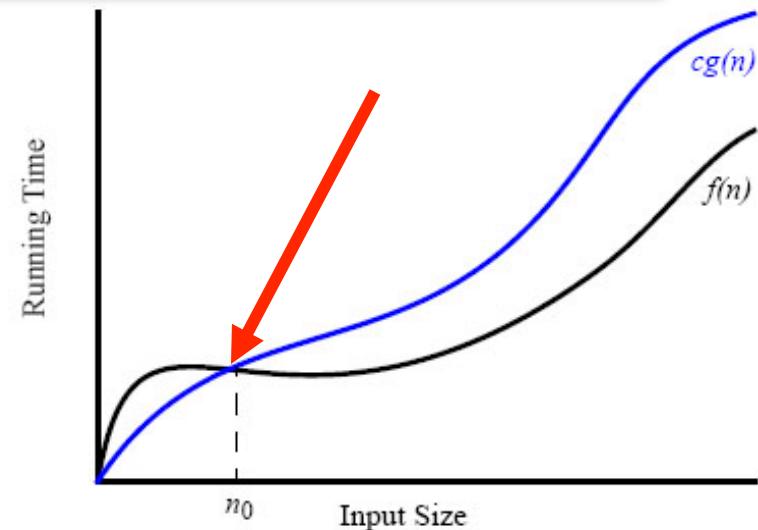
# Big-Oh Notation

- Formal definition
- Most frequently used
- Is a good measure for *large* inputs

# Formal Definition of Big-Oh Notation

Let  $f: \mathbb{N} \rightarrow \mathbb{R}$  and  $g: \mathbb{N} \rightarrow \mathbb{R}$ .  $f(n)$  is  $O(g(n))$  if and only if  
there exists a real constant  $c > 0$   
and an integer constant  $n_0 > 0$   
such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .  
 $\mathbb{N}$ : non-negative integers  
 $\mathbb{R}$ : real numbers

- We say
  - $f(n)$  is *order*  $g(n)$
  - $f(n)$  is *big-Oh* of  $g(n)$
- Visually, this says that the  $f(n)$  curve must eventually fit under the  $cg(n)$  curve.



# Big-Oh: Examples

- $f(n) = 4n + 20n^4 + 117$   
 $O(f(n))$  is ?
- $f(n) = 1083$   
 $O(f(n))$  is ?
- $f(n) = 3\log n$   
 $O(f(n))$  is ?
- $f(n) = 3\log n + \log \log n$   
 $O(f(n))$  is ?
- $f(n) = 2^{17}$   
 $O(f(n))$  is ?
- $f(n) = 33/n$   
 $O(f(n))$  is ?
- $f(n) = 2^{\log_2 n}$   
 $O(f(n))$  is ?
- $f(n) = 1^n$   
 $O(f(n))$  is ?

# Big-Oh: Examples

- $f(n) = 4n + 20n^4 + 117$   
 $O(f(n))$  is **O( $n^4$ )**  
**P:**  $4n + 20n^4 + 117 \leq 90n^4$
- $f(n) = 1083$   
 $O(f(n))$  is **O(1)**
- $f(n) = 3 \log n$   
 $O(f(n))$  is **O(log n)**  
**P:**  $3 \log n \leq 4 \log n$
- $f(n) = 3\log n + \log \log n$   
 $O(f(n))$  is **O(log n)**  
**P:**  $3\log n + \log \log n \leq 4 \log n$
- $f(n) = 2^{17}$   
 $O(f(n))$  is **O(1)**  
**P:**  $2^{17} \leq 1 \cdot 2^{17}$
- $f(n) = 33/n$   
 $O(f(n))$  is **O(1/n)**  
**P:**  $33/n \leq 33(1/n)$  for  $n \geq 1$
- $f(n) = 2^{\log_2 n}$   
 $O(f(n))$  is **O(n)**  
**P:**  $2^{\log_2 n} = n$  by log def
- $f(n) = 1^n$   
 $O(f(n))$  is **O(1)**  
**P:**  $1^n = 1$  by exponential def

# Theorem

- **R1:** If  $d(n)$  is  $O(f(n))$ , then  $ad(n)$  is  $O(f(n))$ ,  $a > 0$
- **R2:** If  $d(n)$  is  $O(f(n))$  and  $e(n)$  is  $O(g(n))$ , then  $d(n)+e(n)$  is  $O(f(n)+g(n))$
- **R3:** If  $d(n)$  is  $O(f(n))$  and  $e(n)$  is  $O(g(n))$ , then  $d(n)e(n)$  is  $O(f(n)g(n))$
- **R4:** If  $d(n)$  is  $O(f(n))$  and  $f(n)$  is  $O(g(n))$ , then  $d(n)$  is  $O(g(n))$
- **R5:** If  $f(n) = a_0 + a_1n + \dots + a_dn^d$ ,  $d$  and  $a_k$  are constants, then  $f(n) O(n^d)$
- **R6:**  $n^x$  is  $O(a^n)$  for any fixed  $x > 0$  and  $a > 1$
- **R7:**  $\log n^x$  is  $O(\log n)$  for any fixed  $x > 0$
- **R8:**  $\log^x n$  is  $O(n^y)$  for any fixed constants  $x > 0$  and  $y > 0$

# Names of Most Common Big Oh Functions

- Constant  $O(1)$
- Logarithmic  $O(\log n)$
- Linear  $O(n)$
- Quadratic  $O(n^2)$
- Polynomial  $O(n^k)$  k is a constant
- Exponential  $O(2^n)$
- Exponential  $O(a^n)$  a is a constant and  $a > 1$

# L' Hôpital's Rule

$$\lim_{n \rightarrow b} \frac{f_1(n)}{f_2(n)} = \lim_{n \rightarrow b} \frac{f'_1(n)}{f'_2(n)} = \begin{cases} 0, & \text{then } f_2(n) \text{ grows faster} \\ 0 < x < \infty, & \text{then inconclusive} \\ \infty, & \text{then } f_1(n) \text{ grows faster} \end{cases}$$

Example

$$f_1(n) = n^2 \quad f'_1(n) = 2n$$

$$f_2(n) = e^n \quad f'_2(n) = e^n$$

$$\lim_{n \rightarrow b} \frac{n^2}{e^n} = \lim_{n \rightarrow b} \frac{2n}{e^n} = \lim_{n \rightarrow b} \frac{2}{e^n} = \lim_{n \rightarrow b} \frac{0}{e^n} = 0$$

thus,  $f_2(n)$  grows faster

# Order the Functions by Growth!

- $n^2$     $\log n$     $2^n$     $\log^2 n$     $n$     $\sqrt{n}$     $n \log n$     $n^3$
- Use L' Hôpital's rule when in doubt
- For example, compare  $\log n$  and  $\sqrt{n}$  using L' Hôpital's rule

# Function Growth Comparison Example

$$f_1(n) = \log n \quad f_1'(n) = \frac{1}{n \ln 2} = \frac{n^{-1}}{\ln 2} = c_1 n^{-1} \quad f_1''(n) = -c_1 n^{-2}$$

$$f_2(n) = \sqrt{n} = n^{\frac{1}{2}} \quad f_2'(n) = \frac{n^{-\frac{1}{2}}}{2} = c_2 n^{-\frac{1}{2}} \quad f_2''(n) = \frac{-n^{-\frac{3}{2}}}{4} = c_3 n^{-\frac{3}{2}}$$

$$\lim_{n \rightarrow b} \frac{\log n}{\sqrt{n}} = \lim_{n \rightarrow b} \frac{c_1 n^{-1}}{c_2 n^{-\frac{1}{2}}} = \lim_{n \rightarrow b} \frac{-c_1 n^{-2}}{c_3 n^{-\frac{3}{2}}} = \lim_{n \rightarrow b} c_4 n^{-\frac{1}{2}} = \lim_{n \rightarrow b} \frac{c_4}{\sqrt{n}} = 0$$

thus,  $f_2(n)$  grows faster

# Reading

- Read Section 1.4 in textbook

# Quiz

## Which statement is True?

1.  $2^n$  is  $O(n!)$  ?

2.  $n!$  is  $O(2^n)$  ?

## Quiz: $2^n$ is $O(n!)$ is true

*Proof.* Let  $n \geq 4$ . Then  $n! > 2^n$ .

Therefore, for  $n_0 = 4$ ,  $c = 1$ , and  $n \geq n_0$

Prove the claim by induction.

# Quiz: $2^n$ is $O(n!)$ is true

**Induction on  $n$ .** We need to show that

$$n! > 2^n \text{ for all } n \geq 4 \quad (\text{hypothesis})$$

**Base case:**  $n = 4$

$$4! > 2^4 \Leftrightarrow \cancel{4} \cdot \cancel{3} \cdot \cancel{2} \cdot 1 > \cancel{2} \cdot \cancel{2} \cdot \cancel{2} \cdot 2 \quad \text{ok } 3 > 2$$

$n \rightarrow n+1$ : Show:  $(n+1)! > 2^{n+1}$  for all  $n \geq 4$

$$(n+1)! = (n+1) n! > (n+1)2^n > 2 \cdot 2^n = 2^{n+1}$$

$\Leftrightarrow$

$$(n+1)! > 2^{n+1}$$

Hypothesis:  $n! > 2^n$

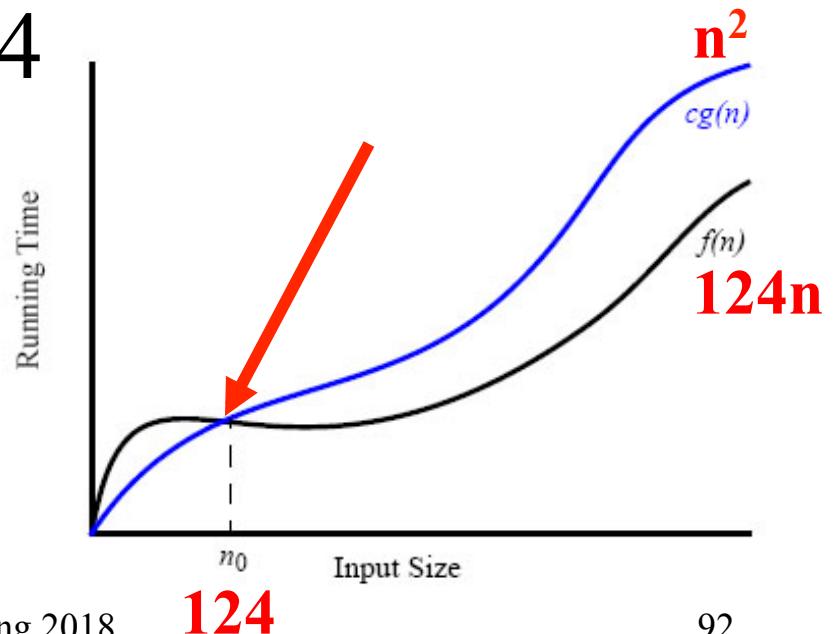
$n+1 > 4$

# Quiz

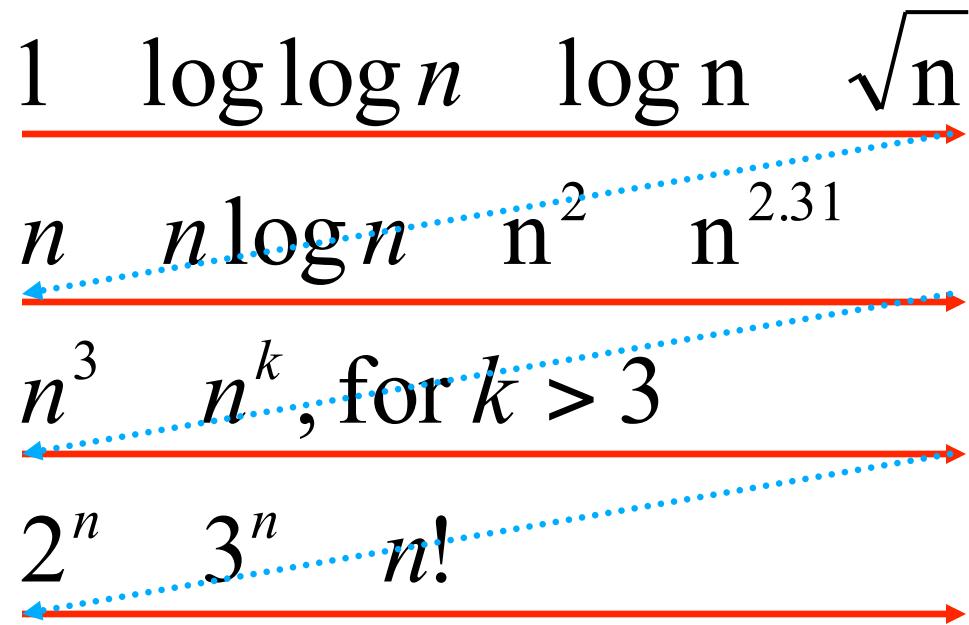
- Are there two functions such that  $O(n^2)$  is smaller (“faster”) than  $O(n)$  for *small* inputs?

# Quiz: $O(n^2)$ can be “faster” than $O(n)$ for small inputs

- $124n > n^2$  for  $n = 1..123$
- $124n = n^2$  for  $n = 124$
- $124n < n^2$  for  $n > 124$



# Most Common Functions in Algorithm Analysis Ordered by Growth

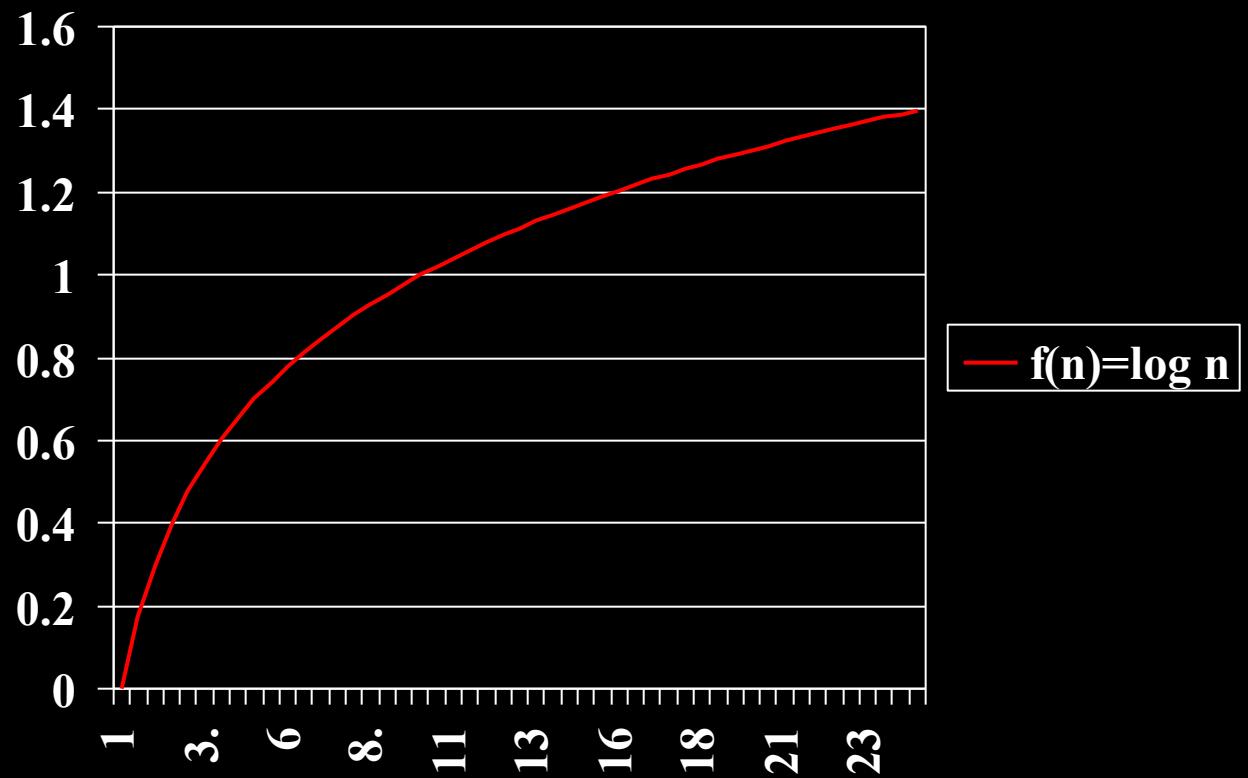


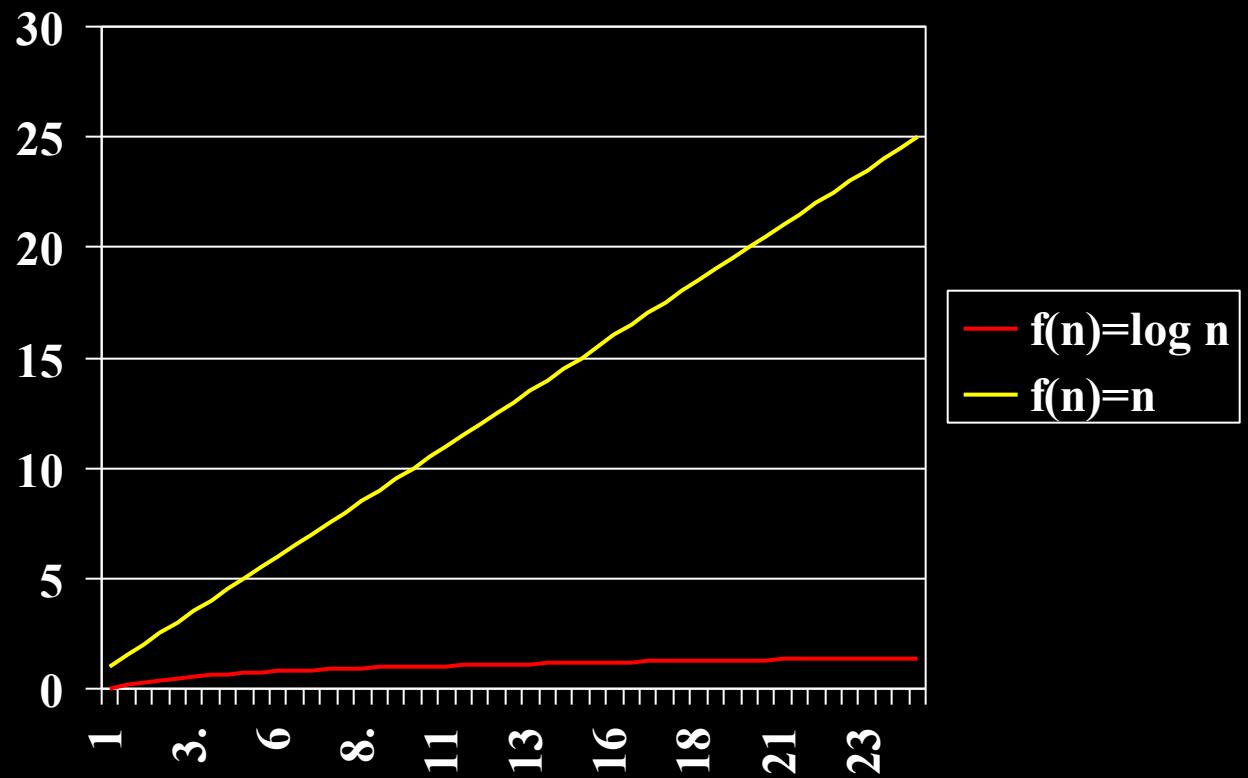
# Examples of Most Common Big Oh Functions

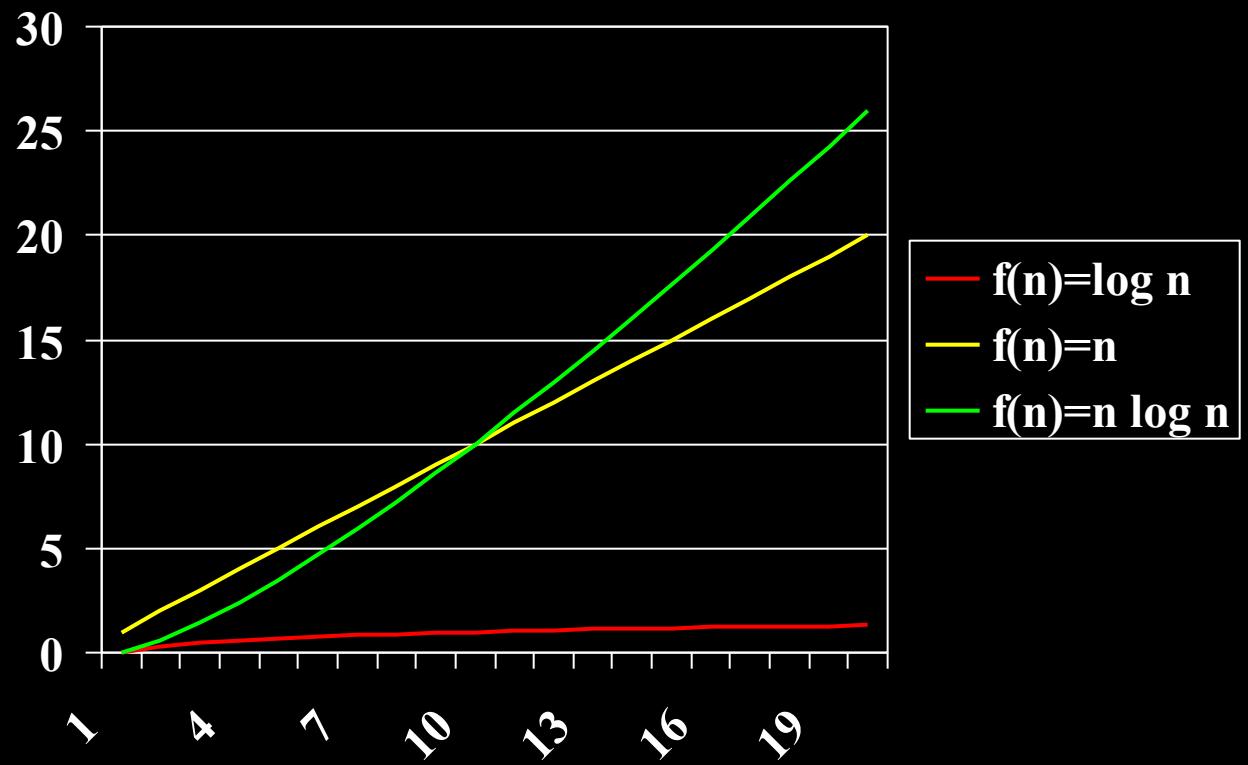
- Constant time  $O(1)$
- Logarithmic  $O(\log n)$
- Linear  $O(n)$
- Quadratic  $O(n^2)$
- Polynomial  $O(n^k)$
- Exponential  $O(a^n)$

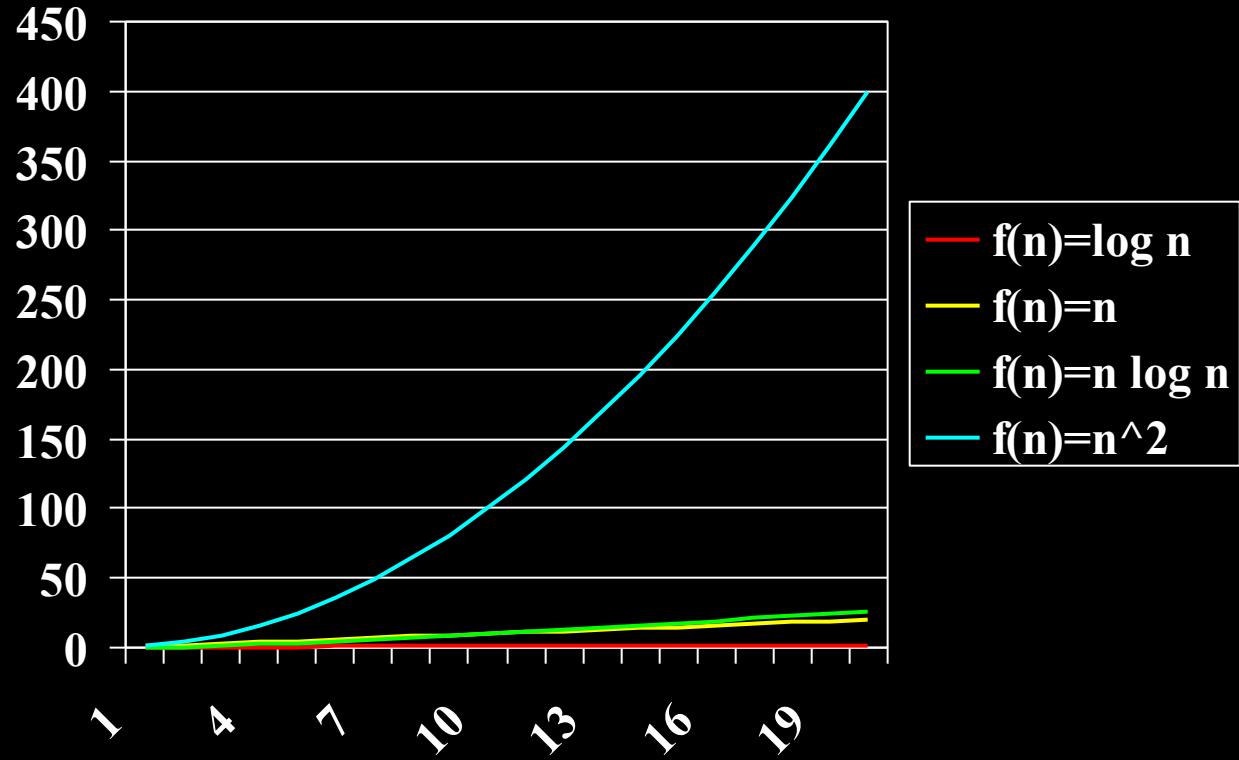
# Examples of Most Common Big Oh Functions

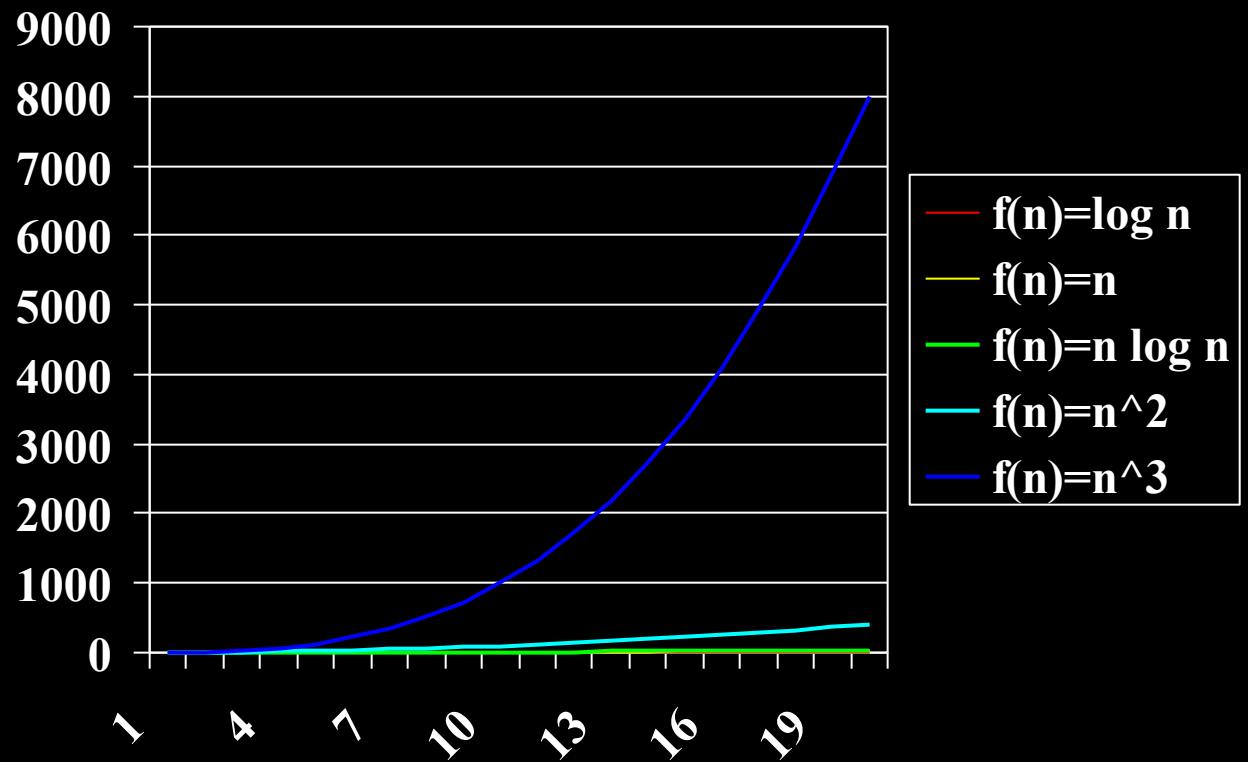
Constant	$O(1)$	Hash search
Logarithmic	$O(\log n)$	Tree search
Linear	$O(n)$	Linear search
		Linear median
	$O(n \log n)$	Heapsort
Quadratic	$O(n^2)$	Insertionsort
Cubic	$O(n^3)$	Transitive closure
Polynomial	$O(n^k)$	
Exponential	$O(2^n)$	Graph colouring
Exponential	$O(a^n)$	NP-hard problems

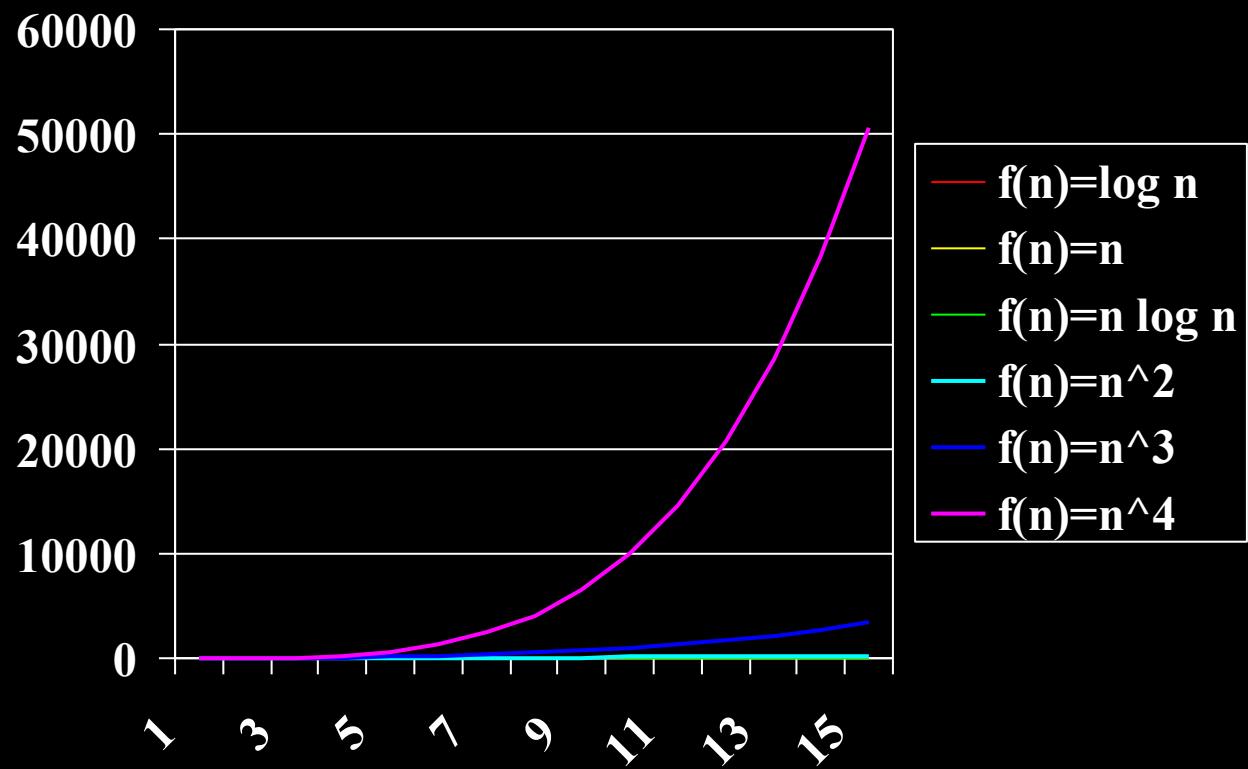


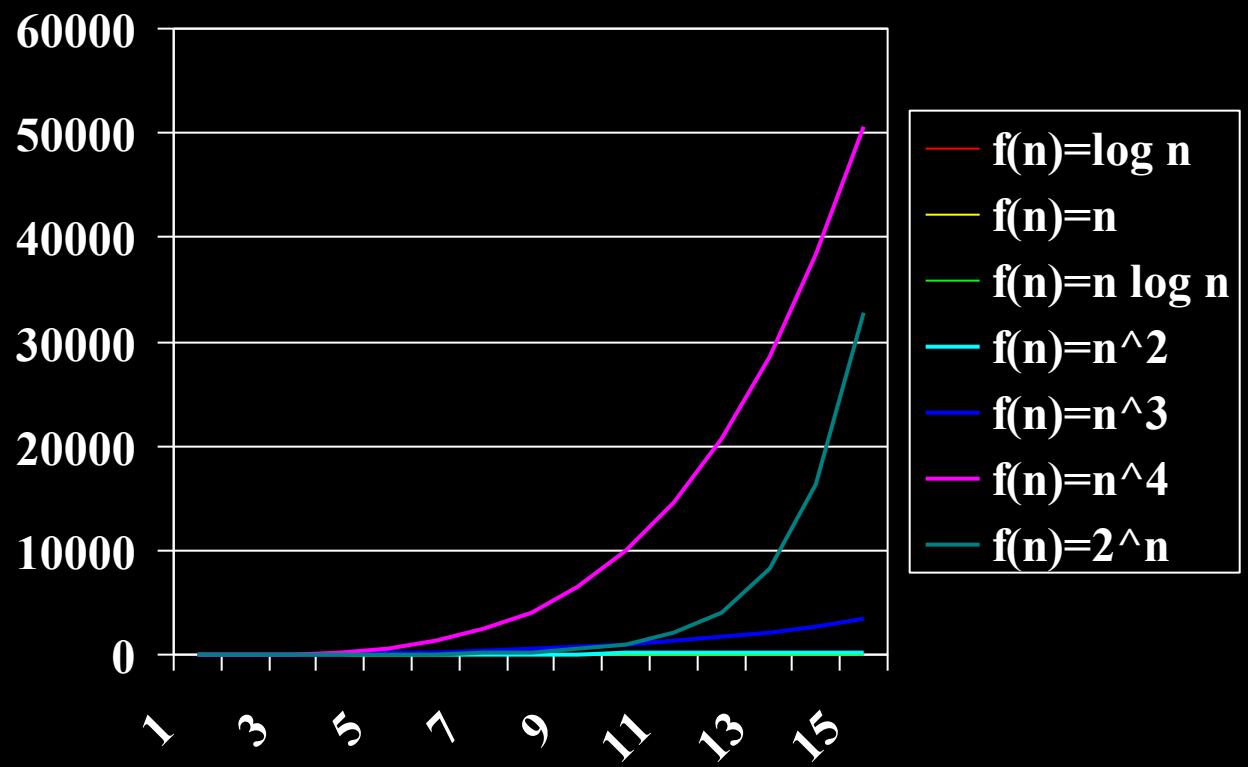


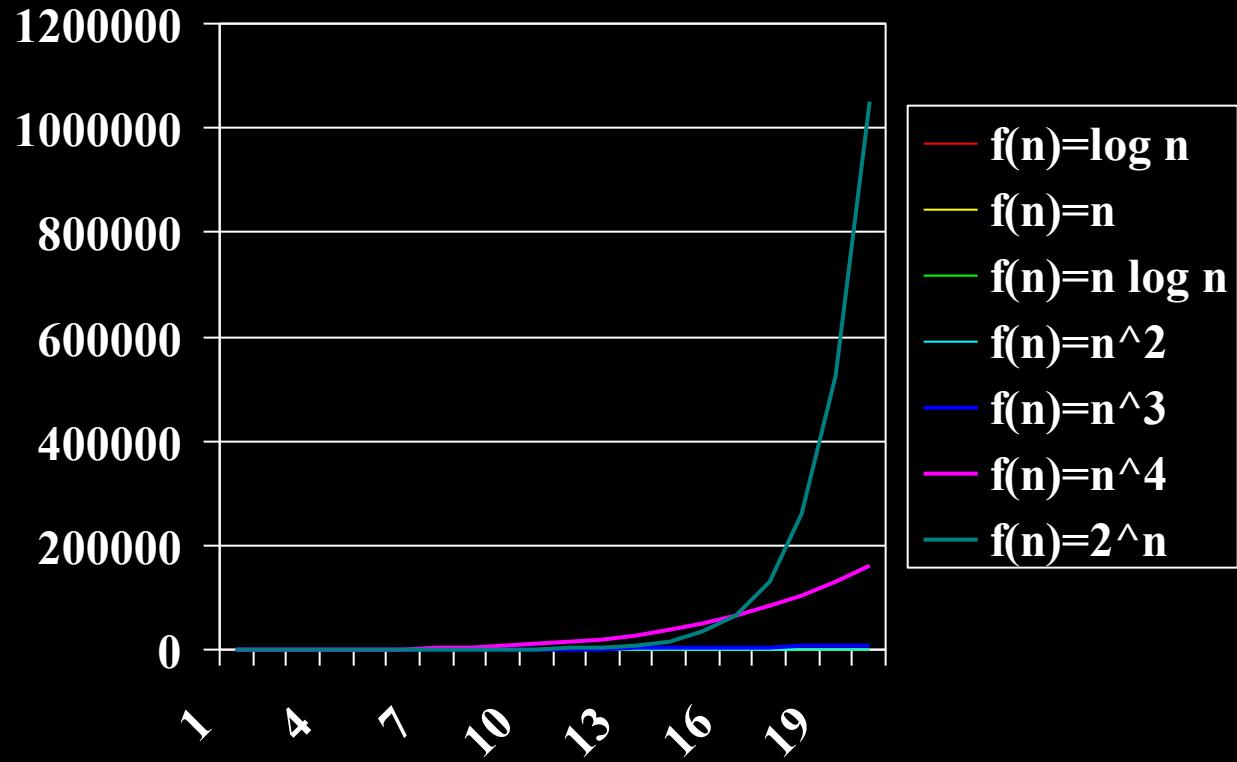












# Functions Ordered by Growth and Rate

n	$\log n$	n	$n \log n$	$n^2$	$n^3$	$2^n$	n!
10	3.3	10	33	$10^2$	$10^3$	$10^3$	$10^6$
$10^2$	6.6	$10^2$	$6.6 \cdot 10^2$	$10^4$	$10^6$	$10^{30}$	$10^{158}$
$10^3$	10	$10^3$	$1.0 \cdot 10^3$	$10^6$	$10^9$		
$10^4$	13	$10^4$	$1.3 \cdot 10^4$	$10^8$	$10^{12}$		
$10^5$	17	$10^5$	$1.7 \cdot 10^5$	$10^{10}$	$10^{15}$		
$10^6$	20	$10^6$	$2.0 \cdot 10^6$	$10^{12}$	$10^{18}$		

Assume a computer executing  $10^{12}$  operations per second.

To execute  $2^{100}$  operations takes  $4 \cdot 10^{10}$  years.

To execute  $100!$  operations takes much longer still.

# Functions Ordered by Growth and Rate

- $\log n$
  - $\log^2 n$
  - $\sqrt{n}$
  - $n$
  - $n \log n$
  - $n^2$
  - $n^3$
- 

- $2^n$



P = class of polynomial time algorithms

NP = class of *nondeterministic*  
polynomial time algorithms

# A million (US-) dollar question

[http://www.claymath.org/millennium/P\\_vs\\_NP/](http://www.claymath.org/millennium/P_vs_NP/)

- $P = NP?$
- Obviously  $P \subseteq NP$ .
- There is a bunch of problems (so called NP-complete problems) for which one assumes that none of those can be solved in polynomial time.
- Examples: Graph coloring, Independent Set, Generalized 15-Puzzle
- Widely assumed:  $P \neq NP$

# P = NP?



“I can’t find an efficient algorithm, but neither can all these famous people.”

Garey and Johnson. *Computers and Intractability: A guide to the theory of NP-completeness*  
Freeman; 1979. ISBN 0-7167-1044-7.

# Logarithms and Exponential Functions

- Review properties of Logarithms and exponents

$$\log_b a = c \text{ if } a = b^c$$

$$\log ac = \log a + \log c$$

$$\log a / c = \log a - \log c$$

$$\log a^c = c \log a$$

$$\log_b a = \frac{\log_c a}{\log_c b}$$

$$b^{\log_c a} = a^{\log_c b}$$

$$(b^a)^c = b^{ac}$$

$$b^a b^c = b^{a+c}$$

$$b^a / b^c = b^{a-c}$$

# Useful Summations Formulas

$$\sum_{k=1}^n k = \frac{n(n+1)}{2} \quad (\text{Gauss})$$

$$\sum_{k=1}^n k^2 = \frac{2n^3 + 3n^2 + n}{6} = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_{k=1}^n k^3 = \left[ \frac{n(n+1)}{2} \right]^2$$

$$\int_{a-1}^b f(x)dx \leq \sum_{k=a}^b f(k) \leq \int_a^{b+1} f(x)dx$$

# Big-Omega Notation

Let  $f: \mathbb{N} \rightarrow \mathbb{R}$  and  $g: \mathbb{N} \rightarrow \mathbb{R}$ .

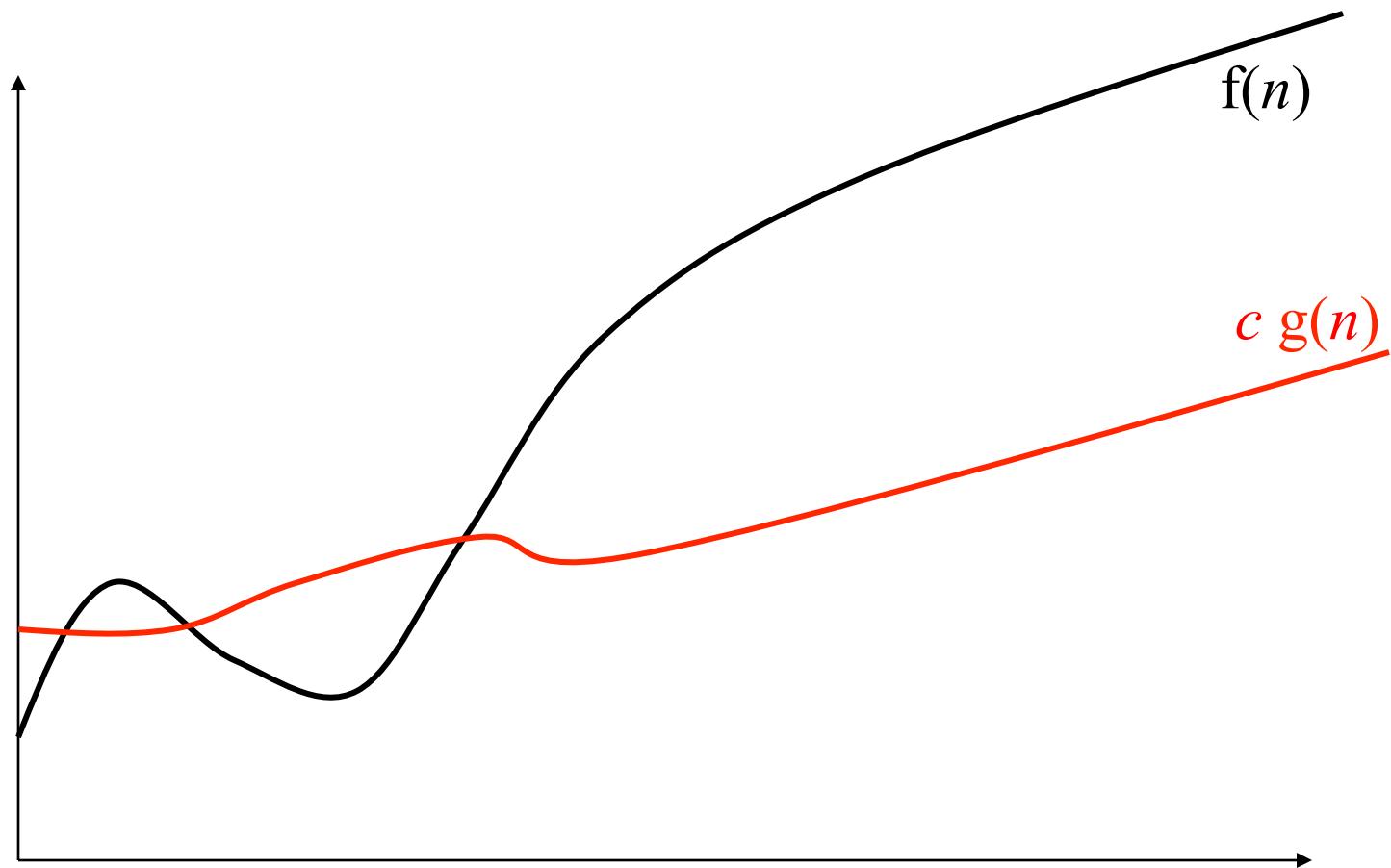
$f(n)$  is  $\Omega(g(n))$

if and only if

$g(n)$  is  $O(f(n))$

$\mathbb{N}$ : non-negative integers  
 $\mathbb{R}$ : real numbers

$$f(n) = \Omega(g(n))$$



# Quiz: What is true, what is false?

$f(n)$  is  $\Omega(g(n))$   
iff  
 $g(n)$  is  $O(f(n))$

1.  $2^n$  is  $\Omega(n!)$

Previous results  
 $2^n$  is  $O(n!)$  is true  
 $n!$  is not  $O(2^n)$

2.  $n!$  is  $\Omega(2^n)$

# $2^n$ is $\Omega(n!)$ is false

$$\begin{aligned}f(n) &= 2^n \\g(n) &= n!\end{aligned}$$

$$\begin{aligned}f(n) \text{ is } \Omega(g(n)) \\ \text{iff} \\ g(n) \text{ is } O(f(n))\end{aligned}$$

We know  $2^n$  is  $O(n!)$  but  $n!$  is not  $O(2^n)$ .

Since  $2^n$  is  $\Omega(n!)$  iff  $n!$  is  $O(2^n)$ , the claim is false.

$n!$  is  $\Omega(2^n)$  is true

$$\begin{aligned}f(n) &= n! \\g(n) &= 2^n\end{aligned}$$

$$\begin{aligned}f(n) \text{ is } \Omega(g(n)) \\ \text{iff} \\ g(n) \text{ is } O(f(n))\end{aligned}$$

We know  $2^n$  is  $O(n!)$  but  $n!$  is not  $O(2^n)$ .

Since  $n!$  is  $\Omega(2^n)$  iff  $2^n$  is  $O(n!)$ , the claim is true.

# Big-Theta Notation

Let  $f: \mathbb{N} \rightarrow \mathbb{R}$  and  $g: \mathbb{N} \rightarrow \mathbb{R}$ .

$f(n)$  is  $\Theta(g(n))$

if and only if

$f(n)$  is  $O(g(n))$  and  $f(n)$  is  $\Omega(g(n))$ .

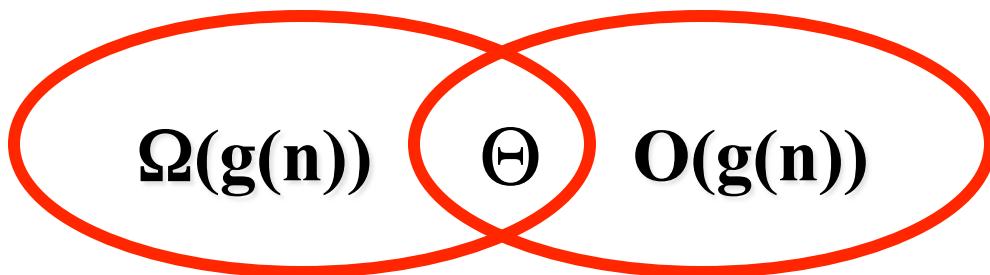
# Big-Theta: Examples

- $3n + 1$  is  $\Theta(n)$
- $2n^2 + 3n + 1$  is  $\Theta(n^2)$

Review the examples  
for Big-Oh notation  
and solve those for  
big-Omega, big-Theta!

# Intuition of Asymptotic Terminology

- Big-Oh:  $O(g(n))$  upper bound; functions that grow no faster than  $g(n)$
- Big-Omega:  $\Omega(g(n))$  lower bound; functions that grow at least as fast than  $g(n)$
- Big-Theta:  $\Theta(g(n))$  asymptotic equivalence; functions that grow at the same rate as  $g(n)$



# Asymptotic Notation

- Big-Oh  $O(\cdot)$
- Big-Omega  $\Omega(\cdot)$
- Big-Theta  $\Theta(\cdot)$
- Little-Oh  $o(\cdot)$
- Little-Omega  $\omega(\cdot)$

# Little-Oh Notation

Let  $f: \mathbb{N} \rightarrow \mathbb{R}$  and  $g: \mathbb{N} \rightarrow \mathbb{R}$ .

$f(n) \text{ is } o(g(n))$

if and only if

for any constant  $c > 0$  there is a constant  $n_0 > 0$   
such that  $f(n) \leq c \cdot g(n)$  for  $n \geq n_0$ .

## Examples: Little-Oh

- $2n$  is  $o(n^2)$
- $2n^2$  is ***not***  $o(n^2)$ ! [but  $2n^2$  is  $O(n^2)$ ]

# Intuition of Asymptotic terminology

- Big-Oh: upper bound
- Big-Omega: lower bound
- Big-Theta: asymptotic equivalence
- Little-Oh: less than (in asymptotic sense).  
The bound is not asymptotically tight.

# Little-Omega Notation

Let  $f: \mathbb{N} \rightarrow \mathbb{R}$  and  $g: \mathbb{N} \rightarrow \mathbb{R}$ .

$f(n)$  is  $\omega(g(n))$

if and only if

$g(n)$  is  $o(f(n))$ .

# Little-Omega

- $2n^2$  is  $\omega(n)$
- If  $f(n)$  is  $\omega(g(n))$  then  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$  .

# Intuition of Asymptotic Terminology

- Big-Oh: upper bound
- Big-Omega: lower bound
- Big-Theta: asymptotic equivalence
- Little-Oh: less than (in asymptotic sense).  
The bound is not asymptotically tight.
- Little-Omega: greater than (in asymptotic sense).  
The bound is not asymptotically tight.

# Properties of Algorithms

- Correctness
  - Without correctness all other properties are irrelevant
- Efficiency
  - Time complexity
  - Space complexity
  - Running time
  - Program/code complexity
    - Easy to read and understand
    - Modifiability
    - Extensibility
    - Maintainability
- Robustness
  - Collinearity
  - Cocircularity
  - Degenerate input
  - Duplicate input
  - Small input sets
  - Special cases
  - Numerical stability
  - No round off errors
- Termination
  - Can we prove that the algorithm terminates?

# Find an Algorithm to solve Prefix Averages Problem

- Note: Efficiency and Design go hand in hand!

## Prefix Averages

*Input:* An  $n$ -element array  $X$  of numbers.

*Output:* An  $n$ -element array  $A$  of numbers such that  
 $A[k]$  is the average of elements  $X[0], \dots, X[k]$

<b>X</b>	12	3	7	24	4	1	1
<b>A</b>	12	7.5	7.3	11.5	10	8.5	7.4

# Algorithm PrefixAverages

*Input:* An  $n$ -element array  $X$  of numbers.

*Output:* An  $n$ -element array  $A$  of numbers such that  $A[k]$  is the average of elements  $X[0], \dots, X[k]$

Let  $A$  be an array of  $n$  numbers.

**for**  $k \leftarrow 0$  **to**  $n-1$  **do**

$a \leftarrow 0$

**for**  $j \leftarrow 0$  **to**  $k$  **do**

$a \leftarrow a + X[j]$

**end**

$A[k] \leftarrow a / (k+1)$

**end**

**return**  $A$

}  $k + 1$  times

$$1 + 2 + 3 + \dots + n = ?$$

# Worst-Case Running Time of Algorithm PrefixAverages

$$1 + 2 + 3 + \dots + n =$$

$$\sum_{i=1}^n k = \frac{1}{2} n(n+1) \text{ is } O(n^2)$$

# Quiz: Can we solve the problem faster?

## Prefix Averages

*Input:* An  $n$ -element array  $X$  of numbers.

*Output:* An  $n$ -element array  $A$  of numbers such that  $A[k]$  is the average of elements  $X[0], \dots, X[k]$

# Quiz

- $A[0] = ?$
- $A[1] = ?$
- $A[2] = ?$
- $\vdots$
- $A[k-1] = ?$
- $A[k] = ?$

# Quiz

- $A[0] = X[0]$
- $A[1] = ?$
- $A[2] = ?$
- $\vdots$
- $A[k-1] = ?$
- $A[k] = ?$

# Quiz

- $A[0] = X[0]$
  - $A[1] = (X[0] + X[1])/2$
  - $A[2] = ?$
- 
- $A[k-1] = ?$
  - $A[k] = ?$

# Quiz

- $A[0] = X[0]$
- $A[1] = (X[0] + X[1])/2$
- $A[2] = (X[0] + X[1] + X[2])/3$
- $\vdots$
- $A[k-1] = ?$
- $A[k] = ?$

# Quiz

- $A[0] = X[0]$
- $A[1] = (X[0] + X[1])/2$
- $A[2] = (X[0] + X[1] + X[2])/3$
- $\vdots$
- $A[k-1] = (X[0] + X[1] + \dots + X[k-1])/k$
- $A[k] = (X[0] + X[1] + \dots + X[k-1]+X[k])/(k+1)$

# Quiz

- $A[0] = X[0]$
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# Quiz

- $A[0] = X[0]$

- $A[1] = (X[0] + X[1])/2$

- $A[2] = (X[0] + X[1] + X[2])/3$

⋮

- $A[k-1] = (X[0] + X[1] + \dots + X[k-1])/k$

- $A[k] = (X[0] + X[1] + \dots + X[k-1] + X[k])/(k+1)$

*Idea:* Use part of the computation for  $A[k-1]$  when computing  $A[k]$ !

# Algorithm PrefixAverages2

*Input:* An  $n$ -element array  $X$  of numbers.

*Output:* An  $n$ -element array  $A$  of numbers such that  $A[k]$  is the average of elements  $X[0], \dots, X[k]$

Let  $A$  be an array of  $n$  numbers.

```
s←0  
for  $k \leftarrow 0$  to  $n-1$  do  
     $s \leftarrow s + X[k]$   
     $A[k] \leftarrow s / (k+1)$  } n times  
end  
return  $A$ 
```

$O(n)$

# PrefixAverages vs. PrefixAverages2

- PrefixAverages runs in *quadratic* time  $O(n^2)$
- PrefixAverages2 runs in *linear* time  $O(n)$
- Thus, PrefixAverages2 is **more** efficient!
- The analysis drove the design of PrefixAverages2 to a certain extent

# Correctness of Algorithms

- Once an algorithm has been properly specified, one can prove its *correctness*.
- That is the algorithm yields a required result for every legitimate input in a finite amount of time (i.e., it halts with the correct output).  
For some algorithms, a correctness proof is easy to obtain; for others it is very complex
- Mathematical induction is a common approach in proofing correctness
- Tracing the performance as in computing asymptotic complexity does not proof correctness

# Implementing an Algorithm

- Most algorithms are eventually implemented as computer programs written in a programming language (e.g., coding in Java, C++, C#)
- In the transition from pseudo-code to code, correctness, efficiency and ease of understanding may be lost
- Formal verification is limited to small programs
- The validity of an implementation of an algorithm is established by testing
- Program testing can be used very effectively to show the presence of bugs but never to show their absence.

E.W. Dijkstra, EWD 303

<http://www.cs.utexas.edu/~EWD/transcriptions/EWD03xx/EWD303.html>

# Optimality

- When is an algorithm optimal with respect to efficiency, complexity of implementation, maintainability, modifiability, extensibility, etc.?
- There are tradeoffs among all these criteria when implementing an algorithm
- Efficiency: What is the minimum amount of effort *any* algorithm will need to solve the given problem?
- For some problems we know the answer
  - Sorting  $\Omega(n \log n)$
  - Searching  $\Omega(1)$
  - Selection  $\Omega(n)$

# How can we prove correctness of an algorithm?

- Using standard proof techniques like
  - Induction
  - Contra attack
  - Counterexample
  - Loop invariants

# How can we prove correctness of an algorithm?

- Using standard proof techniques like
  - Induction
  - Contra attack
  - Counterexample
  - Loop invariants

# Counterexample

- Used to justify a claim is false.
- *Claim:*  $a^2 + b^2 = (a+b)^4$  for all  $a, b$ 
  - Let  $a, b = 1$ . Then  $1+1 = 2^4$  is false.
  - Therefore  $a^2 + b^2 \neq (a+b)^4$ .