# Advanced Programming Concurrent programming

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#### Goals

Week 4 (last week):

- monads revisited;
- free monads;
- monads for programming with side effects;
- monadic input/output;
- monadic exceptions;
- updatable references;
- free monads for factoring out implementations of computations.

Week 5 (this week):

- concurrency;
- channels and threads;
- client-server programming.

#### **Concurrent programming: Basic concepts**

- A *buffer* is a data structure for adding and removing data items.
- A thread is a sequential computation to be executed (run).
- A *message* is a data item intended for being sent from one thread to another thread.
- A channel is a message buffer.
- A thread can fork (spawn) a new concurrent thread.
- A thread can *create* a new channel.
- A thread can write (add) a message to a channel.
- A thread can read (remove) a message from a channel.

## **Concurrent programming: Basic concepts**

- Message buffers: Many different types.
  - Unbounded-size FIFO message queue with atomic read and write. (Haskell: Chan a.)
  - Unbounded-size FIFO message queue with composable atomic access operations. (Haskell: TChan a and TQueue a.)
  - Bounded-size FIFO message queue; e.g. Unix pipes. (Haskell: TBQueue a and BChan a.)
  - One-element buffer. (Haskell: MVar a and TMVar a.)
  - Single-assignment buffer: One-element buffer with peek instead of remove. (Haskell: IVar a and Future a.)
  - Priority queue: reads (removes) the highest-priority message.
- We study only the standard Haskell unbounded FIFO message queues in AP.

#### Thread states

■ A thread can be in one of the following states:

Running: Currently executing.

Runnable: Not running, but ready to run.

Blocked: Blocked on a blocking operation.

Finished: Terminated (no operations left to execute).

#### Processes and threads

- A *process* is a unit of computation and private storage to be executed.
- For each process the operating or run-time system maintains a *thread set*, a set of running, runnable and blocked threads.
  - Initially, the thread set contains a single runnable thread, the *entry point* of the process.
  - When a running thread spawns (forked) a new thread, the new thread is added to the thread set as a runnable thread.
  - When a running thread terminates normally, it is removed from the thread set.
  - When a running thread *blocks*, its status changes to blocked, and the scheduler changes the state of a runnable thread to running.
- A process terminates normally when the thread set becomes empty.
- A process *deadlocks* (is deadlocked) when the thread set is nonempty, but all threads are permanently blocked (cannot become unblocked).

## **Blocking and nonblocking operations**

- An operation is either *blocking* or *nonblocking*.
- It is nonblocking if will unconditionally complete execution; e.g. adding two numbers.
- It is *blocking* if its completion depends on a condition that does not (yet) hold; e.g. a nonempty message queue (channel).
  - The thread containing it is stopped with status "blocked".
  - The blocked operation is *unblocked* when the condition holds.
  - The thread containing changes status from "blocked" to runnable.
- Single-threaded programming: There is always just one thread. The process stops on a blocking operation until it becomes unblocked.
- Multi-threaded programming: There are multiple threads. Switch to another runnable thread when the running thread becomes blocked.
  - Use cases: I/O (especially reads), pipe-based programming (programming with finite-sized FIFO message buffers).
  - Nonuse cases: Code with no blocking operations.
    - Reasoning about (correctness of) concurrent thread executions is *very hard*. Don't use multi-threaded programming unless you *need* it.

## Channel-based programming in Haskell

```
import Control . Concurrent
  (Chan,
              -- :: Tvpe -> Tvpe
                -- FIFO message queues (channels)
   ThreadId.
               -- :: Tvpe
                -- thread ids
    forkIO,
                -- :: IO () -> IO ThreadId
                -- nonblocking fork of new thread
   newChan,
               -- :: IO (Chan a)
                -- generate new message queue with elements of type a
               -- :: Chan a -> IO a
   readChan.
                -- read from message queue, blocks on empty queue
               -- :: Chan a -> a -> IO ()
   writeChan,
                -- nonblocking write to message queue
    killThread. -- :: ThreadId -> IO ()
                -- kill thread with given thread id
   threadDelay -- :: Int -> IO ()
                -- delay thread for given number of microseconds
```

# **Example: Concurrent logging**

```
0: logger3 = do
1:
  c <- newChan
    let readPrintLoop = do
         r <- readChan c
2:
3:
         putStr r
4:
         readPrintLoop
5: forkIO readPrintLoop
6: writeChan c "1"
7: writeChan c "2"
8: writeChan c "3"
```

#### **Example: Concurrent logging**

■ Execution of logger3 process:

```
Channel s
                            Thread set
                                                  Output stream
 23456
                            t1 |-> 1
    c1 |-> [7
                            t1 \mid -> 5
                            t1 |-> 6, t2 |-> 2
    c1 |-> [7
                          t1 |-> 7, t2 |-> 2
    c1 |-> ["1"]
    c1 |-> ["1", "2"]
                         t1 |-> 8, t2 |-> 2
    c1 |-> ["1", "2", "3"] t2 |-> 2
    c1 |-> ["2", "3"] t2 |-> 2
    c1 |-> ["3"]
                           t2 I-> 2
                                                   12
10
                            t2 I-> 2
    c1 |-> []
                                                   123
```

#### Observe:

- The thread set has at most one running thread at any time: Execution is *sequential*.
- There is a permanently blocked thread, but not a runnable thread at the end. The process is *deadlocked*.

#### Concurrency versus parallelism

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- Concurrency: Multiple threads may exist simultaneously.
  - Typical scenario: Threads trying to get access (read from/write to) to a shared resource are blocked, e.g. a file. Other threads are executed until they get unblocked.
- Parallelism: Executing multiple operations at the same time ("in parallel").
  - SIMD: Same instruction applied to multiple data elements at the same time, e.g. adding +1 to all elements of a sequence.
  - MIMD: Different instructions applied to multiple data elements at the same time.
- Concurrent computation is a priori *sequential*.
  - At any given point at most one thread is running.
  - The scheduler switches between runnable threads.
- Concurrent threads may be *implemented* using MIMD parallelism: Multiple runnable threads execute in parallel.
  - Parallel execution *should* preserve sequential semantics to ensure program is hardware independent.

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■ But often it doesn't. Programmer needs to craft code carefully. Advanced Programming

# **Intermediate summary**

- Concurrent programming: Programming with threads.
  - Channel-based concurrent programming: Use message buffers for communication between threads.
- Concurrency versus parallelism: Different concepts.

## Cooperative and preemptive scheduling

- A scheduler is a special thread that *schedules* threads for execution.
  - If multiple threads are runnable, it selects which to run (and runs it)
- A scheduler can be
  - cooperative: threads *yield* control explicitly;
  - preemptive: threads are preempted (stopped) after running for a certain period of time;
  - both.
- Haskell's *Green* thread management systems is *both*:
  - cooperative: there is a yield command;
  - preemptive: threads are preempted after a (relatively long) period of running time (default: 20 ms).
- Green threads are light-weight (relatively fast creation, thread switching, deletion), managed by the runtime system (not the OS).

#### **Example: Concurrent threads sharing state in the OS**

```
runThread :: IO ()
runThread = do
   t <- forkIO $ putStrLn "Hello there."
   print t</pre>
```

- The main thread is not preempted and runs to completion (takes less than 20 ms).
- What is the output?

#### Asynchronous evaluation

Consider

```
1 let y = f(x)
2 in ... -- many steps
3 g(y, ...) -- the result y is needed
```

where f is an expensive (slow) function to apply.

- Lazy evaluation of f(x) (Haskell):
  - $\blacksquare$  y is bound to a pure thunk for evaluating f(x) fast!
  - thunk evaluation starts when y is needed slow!
- Eager evaluation of f(x) (ML-family languages, Java-family languages, C++/C):
  - $\blacksquare$  f(x) is evaluated before binding result to y slow!
  - Result value is available immediately when needed fast!
  - But result not needed long after it is computed.
- Idea: Perform evaluation of f(x) concurrently. When needed get the result or wait for it if evaluation is not finished yet.

# **Asynchronous evaluation**

■ Let's look at some code.

#### Summary

- Concurrent programming: Programming with threads.
  - Channel-based concurrent programming: Use message buffers freely for communication between threads. There can be multiple threads reading from a channel.
  - Actor-style programming (Erlang): Attach a single thread reading from a channel (mailbox).
- Concurrency versus parallelism: Different concepts.
- Asynchronous programming:
  - useful when threads are executed in parallel or there are blocking operations;
  - not useful when threads are executed on a single core and there are no blocking operations.