



Memory Hierarchies & Shared Memory Systems

Cosmin E. Oancea cosmin.oancea@diku.dk

Department of Computer Science (DIKU) University of Copenhagen

October 2024 PMPH Lecture Slides



LAB/CUDA

Intro & Simple

Map Programming

Scan &

Reduce

Sparse Vect

W

2

3

Course	Organ	1172	tion
Course	Organ	IIZa	ILIUI

HARDWARE

Trends

Vector Machine

In Order

Processor

Cache

	Coherence	Parallelism	Matrix Mult		
4	Interconnection	Case Studies &	Transpose & Matrix		
	Networks	Optimizations	Matrix Mult		
5	Memory	Optimising	Sorting & Profiling &		
	Consistency	Locality	Mem Optimizations		
6	OoO, Spec	Thread-Level	Project		
	Processor	Speculation	Work		
Thre	Three narative threads: the path to complex & good design:				

Design Space tradeoffs, constraints, common case, trends. Reasoning: from simple to complex, Applying Concepts.

SOFTWARE

List HOM

(Map-Reduce)

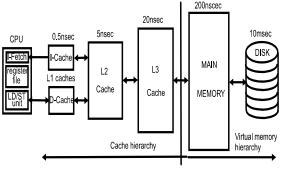
VLIW Instr

Scheduling

Reasoning About

- The Pyramid of Memory Levels
- Cache Design
 - Cache Mappings
 - Replacement & Write (Back/Through) Policies
 - The Four Types of Cache Misses
- Improving Performance: Lockup-Free Cache and Prefetching
- 4 Cache Coherence in Bus-Based Shared-Memory Multiprocessors
 - Simple Protocol for Write-Through Caches
 - Design Space: MSI, MESI, MOESI Protocols
 - Multiphase Cache Protocols
 - Cache Miss Classification (Updated)

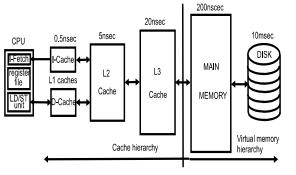




Memory goes at electronic speed, Disk at mechanical speed.

Locality Principle:



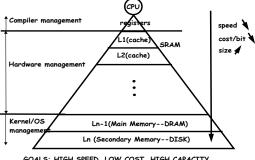


Memory goes at electronic speed, Disk at mechanical speed.

Locality Principle:

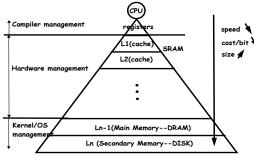
- small set of addresses accessed at a time, named working set, ⇒ low miss rate,
- lacktriangle when program transitions \Rightarrow abrupt change of working sets \Rightarrow high miss rate,
- Temporal Locality: a referenced item is likely to be accessed again soon,
- Spatial Locality: items close-by a referenced item likely to be accessed soon,
- Spatial ⇒ Temporal at block/page level.





GOALS: HIGH SPEED, LOW COST, HIGH CAPACITY INCLUSION COHERENCE



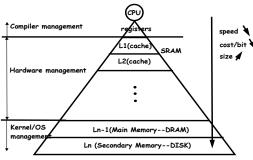


GOALS: HIGH SPEED, LOW COST, HIGH CAPACITY INCLUSION COHERENCE

- Illusion of a monolithic memory of lowest cost, largest capacity & fastest average access time.
- Larger caches are slower because speed dominated by wire delays (do not scale with technology).

 Coherence for single cores: instrs executed out of order & speculatively, but the result is as if instrs executed one at a time in program order & monolithic memory





GOALS: HIGH SPEED, LOW COST, HIGH CAPACITY INCLUSION COHERENCE

- Illusion of a monolithic memory of lowest cost, largest capacity & fastest average access time.
- Larger caches are slower because speed dominated by wire delays (do not scale with technology).

- Coherence for single cores: instrs executed out of order & speculatively, but the
 result is as if instrs executed one at a time in program order & monolithic memoryor
 "a load must return the value of the previous store to the same address".
- Inclusion: Cache level j includes i (j > i) ⇒ locations at level i are also cached & has same or more restrictive rights than level j. (Helps coherence.)

- The Pyramid of Memory Levels
- Cache Design
 - Cache Mappings
 - Replacement & Write (Back/Through) Policies
 - The Four Types of Cache Misses
- Improving Performance: Lockup-Free Cache and Prefetching
- 4 Cache Coherence in Bus-Based Shared-Memory Multiprocessors
 - Simple Protocol for Write-Through Caches
 - Design Space: MSI, MESI, MOESI Protocols
 - Multiphase Cache Protocols
 - Cache Miss Classification (Updated)



Cache Performance

- Average Memory Access Time (AMAT): $AMAT = hit time + miss rate \times miss penalty$
- Miss Rate $\equiv 1.0$ Hit Rate $\equiv \%$ of accesses not satisfied at highest level: Miss Rate ≡ (# misses in L1) / (# processor references)
- Misses Per Instruction (MPI): MPI = (# misses in L1) / (# instructions) Easier to use than Miss Rate: CPI = CPI₀ + MPI×MissPenalty
- Miss Penalty: average delay per miss caused in the processor: If processor blocks on misses ⇒ miss latency (time to bring a block from mem) In an OoO processor cannot be measured directly \neq miss latency
- Miss Rate and Penalty can be defined at every cache level. Normalized to: # of processor references or # of accesses from the lower level.



Cache Mapping

- Cache behavior mostly dictated by: cache size and
- The mapping of memory blocks to cache lines. (Each cache line hosts multiple mem blocks at different times.)
- direct or set-associative or fully-associative mapping.

Physical Address:

Memory Block Address		Block Offset
TAG	Cache Index	Block Offset

Cache Acess Has Two Phases:

cache index use index bits to fetch tags and data from the set.

tag check check tag to detect hit/miss (and status bits).

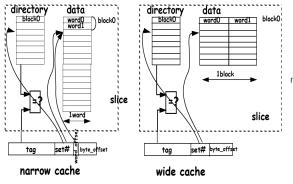
Cache:

- Data Memory, i.e., the cached copy of the memory block +
- Directory Memory, one entry per cache line containing TAG (ID) & status bits: valid, dirty, reference, cache coherence.



Direct-Mapped Cache

Cache Slicing: a memory block is always mapped in the same cache line, e.g., at index: (Block Address / Block Size) mod (# of cache lines).



Two Phases:

Index + Tag Check

Data-Entry Size:

narrow: directory length < data length; takes several cycles to load a memory block;

wide: equal: on a miss, the data is reloaded in one cycle of data memory.

- + fast access time on a hit
- several blocks competing on the same line ⇒ high miss rate



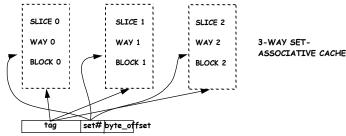
Set-Associative Cache

Cache is partitioned into a set of lines:

- access to each set is directly mapped, but
- a block may reside in any set!

read requires one cycle: all 3 directory and data entries fetched in ||, then the tag is compared in || with the tag bits of each slice. A hit selects the corresponding word, if all miss \Rightarrow cache miss!

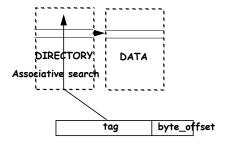
write requires at least two mem cycles (can be pipelined): one to check the hit or miss, and then one to write into data memory.





Full-Associative Cache

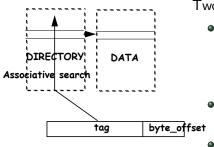
Very different structure than an all-way set-associative cache: to find the block all directories must be checked in parallel!





Full-Associative Cache

Very different structure than an all-way set-associative cache: to find the block all directories must be checked in parallel!



Two Steps:

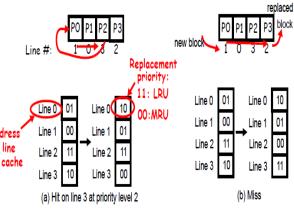
- I tag check ⇒ tag bus lines throughout the directory; comparator associated with each dir entry, then
- on match the row line is activated and data returned.
- load & store requires 2 cycles.

Content-Addressable Memory (CAM) slower & less dense than RAM. (signal propag, comparison, etc.)

Small Caches: intuition says they should be fully associative because potential for conflict in hot sets is damaging to performance (?).

Replacement Policies (Selects a Victim Block)

Random, Least Recently Used (LRU), FIFO, Pseudo-LRU: maintain replacement bits.



← (a) LRU Example

← (b) FIFO Example

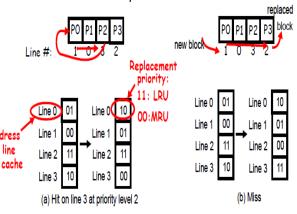
(history bits updated

only on a miss by simple increment)



Replacement Policies (Selects a Victim Block)

Random, Least Recently Used (LRU), FIFO, Pseudo-LRU: maintain replacement bits.



← (a) LRU Example

← (b) FIFO Example

(history bits updated

only on a miss by simple

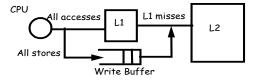
increment)

Direct Mapper \Rightarrow No Need. Set/Fully Associative \Rightarrow Per-Set/Cache Replacement.



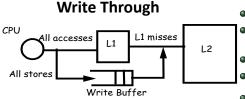
Write Policies

Write Through



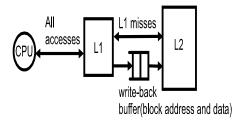


Write Policies



- write to next level on all writes
- use a write buffer to avoid stalls; loads must check the buffer first!
- Used for small 1st-level caches:
- simple, no inconsistency on levels
- but large store traffic

Write Back



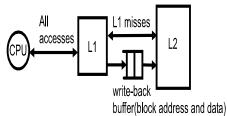


Write Policies

Write Through CPU All accesses L1 L1 misses L2 Write Buffer

- write to next level on all writes
- use a write buffer to avoid stalls; loads must check the buffer first!
- Used for small 1st-level caches:
- simple, no inconsistency on levels
- but large store traffic

Write Back



- write to next level on replacement
- write happens only on a miss
- IN BOTH CASES: a load checks the buffer first (consistency)!
- Write Miss: always allocate on write back; design choice in write through!



Classification of Cache Misses

The Four C's:

Cold (Compulsory) misses: first reference of a block,

Capacity misses: insufficient space for data/code,

Conflict misses: two memory blocks map to the same cache line,

Coherence misses, e.g., another thread has modified the needed value.

How to measure them:



Classification of Cache Misses

The Four C's:

Cold (Compulsory) misses: first reference of a block,

Capacity misses: insufficient space for data/code,

Conflict misses: two memory blocks map to the same cache line.

Coherence misses, e.g., another thread has modified the needed value.

How to measure them:

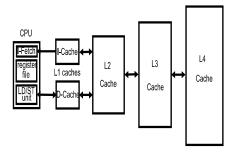
Cold: simulate infinite cache size,

Capacity: simulate fully-assoc cache and subtract cold misses

Conflict: simulate cache and subtract cold and capacity misses.



Multi-Level Cache Hierarchies



1st and 2nd levels on chip; 3rd and 4th mostly off chip

We will assume Cache Inclusion A block

- misses in $L_i \Rightarrow$ must be brought in all L_i , i > i.
- is replaced in $L_i \Rightarrow$ must be removed in all $L_i, j > i$.
- replication but good for coherence.

Cache Exclusion. A block:

- is in $L_i \Rightarrow$ then it is not in any other level.
- misses in $L_i \Rightarrow$ all copies are removed from all levels > i.
- is replaced in $L_i \Rightarrow$ allocated in j + 1.
- size is the sum of all caches, but horrible for coherence. C. Oancea: Shared Memory Systems



Cache Parameters

Large Caches: slower (wire delays), more complex, less capacity misses.

Larger Block Size:

- exploits spatial locality, but
- if too big ⇒ increased number of capacity misses
- big blocks increase miss penalty.

Higher Set Associativity (SA):

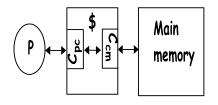
- reduces the number of conflict misses;
- increases the hit latency;
- 8-16 ways SA as good as fully associative;
- A 2-way SA cache of size N has similar miss rate with a direct mapped of size $2 \times N$.



- - Cache Mappings
 - Replacement & Write (Back/Through) Policies
 - The Four Types of Cache Misses
- Improving Performance: Lockup-Free Cache and Prefetching
- - Simple Protocol for Write-Through Caches
 - Design Space: MSI, MESI, MOESI Protocols
 - Multiphase Cache Protocols
 - Cache Miss Classification (Updated)



Lockup-Free Caches



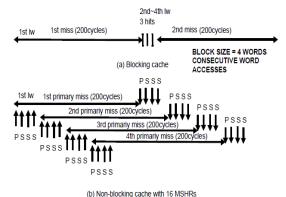
Cache is a Two-Ported Device: Memory & Processor.

 C_{pc} : cache to processor interf C_{cm} : cache to memory interface

- Needed to support Prefetching & Dynamically-Scheduled OoO Single Proces & Core MultiThreading & Multi Cores
- A Lockup-Free Cache does not block on a miss, but keeps accepting proc requests,
- hence, it allows concurrent processing of multiple hits/misses.
- Cache has to bookkeep all pending misses:
 - Miss Status Handling Register (MSHR) contains address of the pending miss + destination block in cache + destination register.
 - MSHR used to complete a miss and to avoid sending multiple miss requests per block. # of MSHRs limits the # of pending misses (at a time).
 - Data dependencies eventually block the processor.



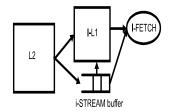
Lockup-Free Caches (Continuation)



- Primary Miss (P) is the first miss to a block
- Secondary Miss (S) next accesses to same block (due to pending P)
 - Many more misses than Blocking Cache, which has only Ps.
 - Needs MSHRs for both P and S misses
 - Misses are overlapped with computation and other misses.



Hardware Prefetching

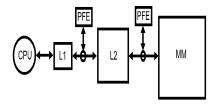


Sequential Prefetching Of Instrs:

I-Fetch Miss \Rightarrow fetch 2 blocks instead of 1.

2nd block stored in i-STREAM buffer:

- (1) If I-STREAM hits ⇒ block moved to L1
- (2) Not accessed \Rightarrow I-STREAM blocks overlaid.
- (3) Prefetch Buffer avoids cache pollution.
- (4) Applicable to data but less effective.



Hardware Prefetch Engines (PFE):

- detect strides in stream of missing addresses by observing the bus then start fetching ahead.
- (2) naturally triggered by speculative exec:
- (3) prefetch is harmless, i.e., exception ⇒ prefetch dropped.
- (4) but might polute caches.



Software Prefetching

- Prefetch instrs: non-blocking & non-binding (load in-cache only)
- E.g., prefetch instructions may be inserted in the loop's body to prefetch data needed by future iterations:

HL Code	MIPS Code	
Loop: for(i=1000;i>0;i) A[i]=A[i]+s	L.D F2, O(R1) PREF -24(R1) ADD.D F4, F2, F0 S.D F4, O(R1) SUBI R1, R1, #8 SUBI R2, R2, #1 BNEZ R2, Loop	PREF -24(R1) prefetches the elements of A 3 iterations ahead.

- Works for both load and stores, but
- data must be prefetched at perfect time:
 not too early (cache polution), not too late (not in cache),
- Instructional overhead & requires non-blocking cache,
- Done for arrays, but also for pointer accesses.



Faster Hit Times

Princeton vs Harvard Cache:

- Princeton: unified instr/data cache ⇒ can use whole cache
- Harvard: split instr/data cache \Rightarrow optimized for access type.
- Pipelined Machine: FstLC Harvard & SndLC Princeton.

Pipeline Cache Accesses:

- Especially useful for stores:
- Pipeline Tag Check and Data Store (2 mem cycles)
- Separate Read/Write Ports to cache, optimized for each
- Also useful for I-Caches and Load in D-Caches
- ↑ pipeline length, but must split cache accesses into stages!



What Should First Level Cache (FLC) Be?



What Should First Level Cache (FLC) Be?

Keep the cache simple and fast:

- Favors direct-mapped cache:
 - less multiplexing
 - overlap of tag and use of data.
- Interestingly, the size of FLC tends to decrease and associativity goes up as FLCs try to keep up with CPU.

Processor	L1 Data Cache
Alpha 21164	8KB, direct mapped
Alpha 21364	64KB, 2-way
MPC 750	32KB, 8-way, PLRU
PA 8500	1MB, 4-way, PLRU
Classic Pentium	16KB, 4-way, LRU
Pentium-II	16KB, 4-way, PLRU
Pentium-III	16KB, 4-way, PLRU
Pentium-IV	8KB, 4-way, PLRU
MIPS R10K/12K	32KB, 2-way, LRU
UltraSparc-Ili	16KB, direct mapped
UltraSparc-III	64KB, 4-way, random

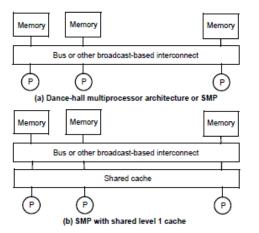


- The Pyramid of Memory Levels
- 2 Cache Design
 - Cache Mappings
 - Replacement & Write (Back/Through) Policies
 - The Four Types of Cache Misses
- Improving Performance: Lockup-Free Cache and Prefetching
- Cache Coherence in Bus-Based Shared-Memory Multiprocessors
 - Simple Protocol for Write-Through Caches
 - Design Space: MSI, MESI, MOESI Protocols
 - Multiphase Cache Protocols
 - Cache Miss Classification (Updated)



Organization of Bus-Based Shared-Memory SMPs

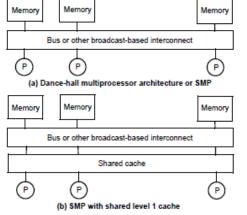
Design space of cache coherence for small scale SMPs assuming a broadcast-based interconnect, such as a bus.





Organization of Bus-Based Shared-Memory SMPs

Design space of cache coherence for small scale SMPs assuming a broadcast-based interconnect, such as a bus.

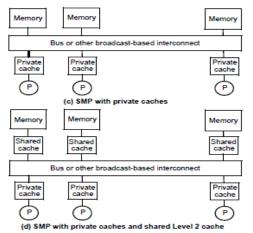


- Dance-Hall: implicit coherency but not realistic!
- Cache hierarchy vital for SMPs:
- hides memory & interconnect latency,
- saves mem & interconnect bandwidth
- Shared Cache between processor & interconnect:
- + constructive sharing of cache resources.
- interconnect latency added to the critical mem access path \Rightarrow effective when very few processors.



Organization of Bus-Based Shared-Memory SMPs

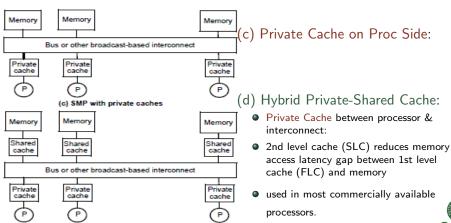
Design space of cache coherence for small scale SMPs assuming a broadcast-based interconnect, such as a bus.





Organization of Bus-Based Shared-Memory SMPs

Design space of cache coherence for small scale SMPs assuming a broadcast-based interconnect, such as a bus.



(d) SMP with private caches and shared Level 2 cache

Informal Definition of Cache Coherence



Informal Definition of Cache Coherence

Definition (Sequential Cache Coherence)

A load must return the value of the latest store in process order to the same address. (Simple, but check the write buffers.)

Definition (Cache Coherence in Multiprocessors)

A cache system is cache coherent *iff* all processors, at any time, have a consistent view of the last globally written value to each location.

Coherence Problem: pervasive & performance critical

- sharing of data, implicit communication,
- thread migration, software not informed \Rightarrow hardware must solve the problem.



Locking, Barrier, Point-to-Point Synchronization

```
Barrier and
                                       Point-to-Point Synchronization
                                         T_1
T_1
                    T_2
                                                                T_2
BAR := BAR + 1
                    BAR := BAR + 1
                                                                FLAG := 1:
                                       while(FLAG == 0):
while( BAR < 2 ); while( BAR < 2 );
                                         print A
. . .
```

Point-to-Point: no need for critical section; producer-consumer sync.

Barrier: all threads have to reach it before executing beyond it.

- need critical section to increment BAR + read/reset BAR fine.
- but even assuming the writes to bar do not interleave, i.e., atomic, with the current cache design:



Locking, Barrier, Point-to-Point Synchronization

```
Barrier and
                                      Point-to-Point Synchronization
                                        T_1
T_1
                    T_2
BAR := BAR + 1
                   BAR := BAR + 1
                                                               FLAG := 1:
                                      while( FLAG == 0 ) :
while( BAR < 2 ); while( BAR < 2 );
                                       print A
```

Point-to-Point: no need for critical section; producer-consumer sync.

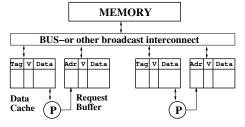
Barrier: all threads have to reach it before executing beyond it.

- need critical section to increment BAR + read/reset BAR fine.
- but even assuming the writes to bar do not interleave, i.e., atomic, with the current cache design:
- write back: P1 and P2 write M, then barrier, then both read M \Rightarrow if cache line not evicted, both read their private-cache value.
- write through: P1 writes M (mem updated), then P2 writes M, barrier \Rightarrow P1 will read an inconsistent value next from its private cache.



Simplifying Assumptions:

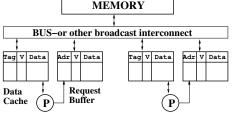
- blocking, write-through, write-allocate, single-level private cache as in (c)
- when granted access, cache controller owns the bus until transaction completes.





Simplifying Assumptions:

- blocking, write-through, write-allocate, single-level private cache as in (c)
- when granted access, cache controller owns the bus until transaction completes.



Local cache updated last:

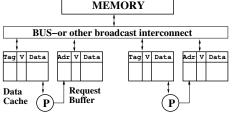
"last globally written value" cannot be released until all other procs can see the new value.

Rd Miss inserted in (request) buffer, V(alid) bit set. When bus acquired \Rightarrow BusRd request placed on bus & returns copy of mem block.



Simplifying Assumptions:

- blocking, write-through, write-allocate, single-level private cache as in (c)
- when granted access, cache controller owns the bus until transaction completes.



Local cache updated last:

"last globally written value" cannot be released until all other procs can see the new value.

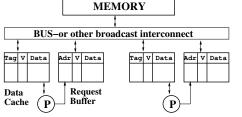
Rd Miss inserted in (request) buffer, V(alid) bit set. When bus acquired ⇒ BusRd request placed on bus & returns copy of mem block.

Wr Hit: value and address inserted in buffer.



Simplifying Assumptions:

- blocking, write-through, write-allocate, single-level private cache as in (c)
- when granted access, cache controller owns the bus until transaction completes.



Local cache updated last:

"last globally written value" cannot be released until all other procs can see the new value.

Rd Miss inserted in (request) buffer, V(alid) bit set. When bus acquired ⇒ BusRd request placed on bus & returns copy of mem block.

Wr Hit: value and address inserted in buffer. When acquired, BusWrite request on bus ⇒ updates memory AND invalidates all remote copies (clears V). Local cache updated just before releasing bus.

Wr Miss like Wr Hit, but BusRdX on bus (also brings block from mem). For no-write-allocate: like WR Hit, but local cache not updated.

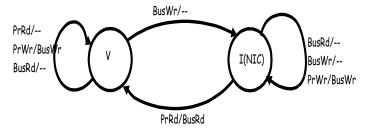
Specify Behavior via Finite State Machine (FSM)

Each memory block in a private cache is represented by a FST:

- Imagine P identical FSM working together (one per cache line),
- Actually, FSM shows the cache behavior w.r.t. a memory block.
- 2 states: Valid or Invalid (not in cache), requires 1 bit (V)

For example a write (hit) in valid state remains in valid, but triggers a BusWrite which may cause other caches to transition to invalid.

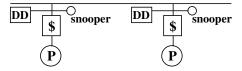
Figure below assumes a no-write-allocate policy. Why?

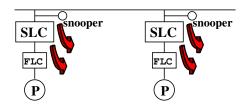




Copy Invalidation By Bus Snooping

- Bus interface can monitor (snoop) traffic &
- if tag matches \Rightarrow invalidate (local) cache entry (clear V).

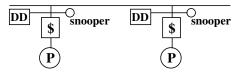


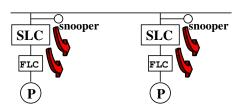




Copy Invalidation By Bus Snooping

- Bus interface can monitor (snoop) traffic &
- if tag matches ⇒ invalidate (local) cache entry (clear V).



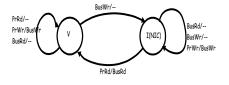


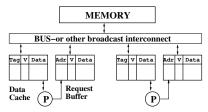
Dual Directory (DD) is a copy of cache directory, kept consistent on updates (rare). DD filters out bus requests to avoid conflicts with CPU.

Inclusion ⇒ SLC contains bit indicating whether block is in FLC, and is used to filter out transactions from FLC (since SLC far less busy than FLC)



Example of Subtle Issue



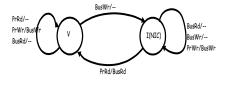


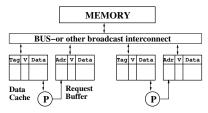
FST specifies the high-level behavior of cache as a whole. The use of request buffer is not safe, and will be fixed later.

- P1 and P2 issue write hits to block A.
- Assume P1 acquire bus while P2 waits in buffer. What happens?



Example of Subtle Issue





FST specifies the high-level behavior of cache as a whole.

The use of request buffer is not safe, and will be fixed later.

- P1 and P2 issue write hits to block A.
- Assume P1 acquire bus while P2 waits in buffer. What happens?
- P1 issues a BusWrite, which invalidates the P2's cache ⇒
- When P2 acquires bus, it has to check V bit in cache, and send a BusRdX, rather than BusWrite request.



MSI Protocol for Write Back Caches

Simple Protocol suffers performance bottlenecks:

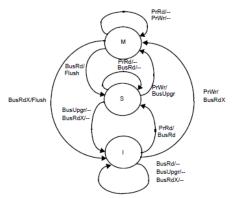
All writes launch bus transactions.



MSI Protocol for Write Back Caches

Simple Protocol suffers performance bottlenecks:

- All writes launch bus transactions.
- Key Insight: most blocks accessed exclusively by one processor ⇒ accesses to non-shared block cannot interfere with other caches!

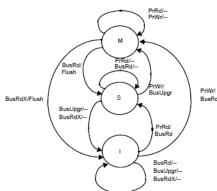




MSI Protocol for Write Back Caches

Simple Protocol suffers performance bottlenecks:

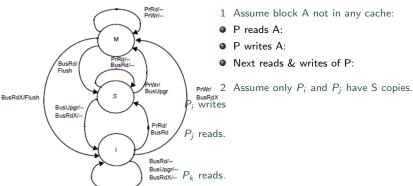
- All writes launch bus transactions.
- Key Insight: most blocks accessed exclusively by one processor ⇒ accesses to non-shared block cannot interfere with other caches!



- State V split into M(odified) & S(hared):
- M local copy is the only up-to-date one, read & writes performed locally! (Extra state bit M for write-back cache.)
- S several remote copies available & all copies in S and memory are up-to-date!
 Reads operate locally, but a write must invalidate all remote copies (via BusUpgr).
 - I local copy invalid or not in cache.
 Who provides the value on a read miss?
 If exists, necessarily by a remote copy in M;
 Operation named Flush: forward block
 copy to requester & also update memory.
 Otherwise, either by a copy in S or mem.

MSI Protocol: Examples

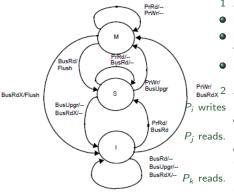
- BusRd requests a copy with no intent to modify.
- BusRdX requests a copy with intent to modify (and invalidates remote copies).
- BusUpgr invalidate remote copies.
- Flush forwards copy to requester & update memory.





MSI Protocol: Examples

- BusRd(X) requests copy with (no) intent to modify.
- BusUpgr invalidate remote copies.
- Flush forward copy to requester & update memory.



1 Assume block A not in any cache:

- $\bullet \ \mathsf{P} \ \mathsf{reads} \ \mathsf{A} \colon \mathsf{I} \to \mathsf{S}$
- P writes A: S → M and launches BusUpgrd to invalidate remote copies,
- Next reads & writes of P: execute locally.

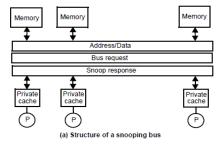
 $\frac{PrWr}{BusRdX}$ 2 Assume only P_i and P_j have S copies:

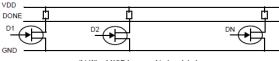
writes P_i : $S \to M$ and launches BusUpgr, on which P_i : $S \to I$

 P_j reads. $P_j\colon \mathsf{I} \to \mathsf{S}$ and launches BusRd, on which $P_i\colon \mathsf{M} \to \mathsf{S}$ and flushes its (only up-to-date) copy to P_j and memory.

 P_k reads. P_k : I \rightarrow S and launches BusRd, and the value is brought from memory. All copies are in state S.

MSI: Hardware Structures



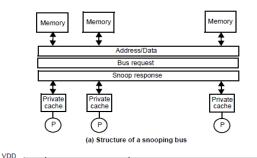


(b) Wired-NOR bus used in handshakes

- Transaction starts by supplying address/data and a request.
- and triggers a snoop action, e.g., invalidate tag, and reply, e.g., when have all completed it?
- Synchronous reply:
- Asynchronous by handshake (b): NOR(D1,...,Dn)⇒ DONE, i.e.,
 - Fetch from Remote or Memory?



MSI: Hardware Structures



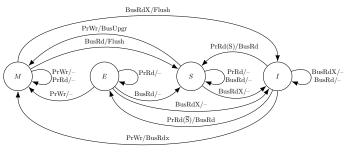
DONE
D1
D2
DN
ONE
DN
ON

- Transaction starts by supplying address/data and a request.
- and triggers a snoop action, e.g., invalidate tag, and reply, e.g., when have all completed it?
- Synchronous reply: establishes an upper-bound latency that factors in conflicts ⇒ use DualDirectory.
- Asynchronous by handshake (b): DONE = NOR(D1,..,Dn), i.e., If any Di is 1 Then DONE driven to ground 0 Else to supply volt 1.
 - Fetch from Remote or Memory? REMOTE=NOR(M1,..,Mn), where Mi is 1 when block in cache i is in state M. Similar handshake.
- Initiate memory access in PARALLEL with snoop action, but memory responds only after REMOTE is known as 1.
- To reduce miss latency when it triggers replacement of M block
 - \Rightarrow move block to victim buffer, which also supports snooping. C. Oancea: Shared Memory Systems Oct 2024

MESI Protocol for Write Back Caches

MSI: read miss followed by write require TWO bus accesses.

E(xclusive) State entered on a read miss, when block is only in mem. Uses a S(hared) bus line to detect whether the copy will be unique.

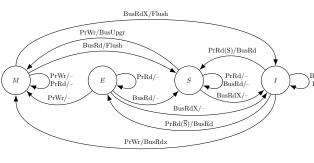




MESI Protocol for Write Back Caches

MSI: read miss followed by write require TWO bus accesses.

E(xclusive) State entered on a read miss, when block is only in mem. Uses a S(hared) bus line to detect whether the copy will be unique.



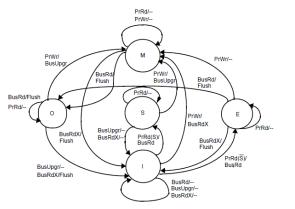
- A read miss transitions from I to S if shared line is 1, and to E otherwise.
- $\begin{tabular}{ll} & \blacksquare & \mbox{If a block in E is} \\ & \mbox{$^{\rm BusRdX}_{\rm BusRd}/$} \mbox{$^{\rm L}$} \mbox{$\rm push} \mbox{$\rm d$} \mbox{$\rm d$}$
 - Transition S → M uses BusUpgr, because memory block is already in the cache.



MOESI: A General Class of Protocols

MOESI adds a notion of ownership:

- memory is eventually updated by owner (not at every write)
- allows cache-to-cache transfers between owner and requester (even when access was not exclusive).

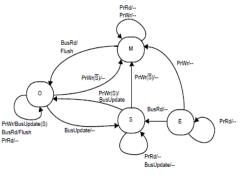


Ownership transfered to another cache or memory when block is invalidated or replaced!



Write Back: M(O)ESI Update Protocol

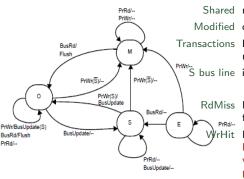
Dragon Multiprocessor (Xerox PARC 1980): Same states as MOESI, but Invalid omitted to simplify.





Write Back: M(O)ESI Update Protocol

Dragon Multiprocessor (Xerox PARC 1980): Same states as MOESI, but Invalid omitted to simplify.



Shared multiple copies, memory is clean.

Modified one copy, memory is stale, etc.

Transactions BusRd requests a copy. BusUpdate updates

remote copies.

 ${}^{\text{PNM}}\bar{\text{S}}$ bus line indicates whether remote copies exist.

RdMiss If no other cached copies, block loaded from mem in E, Otherwise in S.

> If no other copies $E \rightarrow M$ without using bus. Else (Shared line 1) all copies are updated via BusUpdate, and the update is propagated from owner.

Under which program behavior is invalidation or update protocol best



Comparison Invalidate vs Update Protocol

Write-Run of an access sequence to the same block is the set of consecutive writes of the same processor before encountering a read/write of another processor.

Example: Write Run Length of $R_1, W_1, R_1, W_1, W_2, R_2$ is 2.

Bandwidth (B) for a Write-Run of length N:

INVALIDATE B(UPGRADE) + B(READ MISS)

UPDATE N \times B(UPDATE)

Assuming B(UPGRADE) \equiv B(UPDATE) then

Update outperforms Invalidate (i.e., uses less bandwidth) when



Comparison Invalidate vs Update Protocol

Write-Run of an access sequence to the same block is the set of consecutive writes of the same processor before encountering a read/write of another processor.

Example: Write Run Length of $R_1, W_1, R_1, W_1, W_2, R_2$ is 2.

Bandwidth (B) for a Write-Run of length N:

INVALIDATE B(UPGRADE) + B(READ MISS)

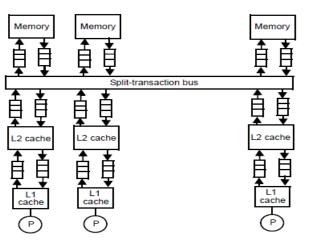
UPDATE N \times B(UPDATE)

Assuming $B(UPGRADE) \equiv B(UPDATE)$ then Update outperforms Invalidate (i.e., uses less bandwidth) when N < 1 + B(READ MISS)/B(UPDATE)

This becomes: $\mathbb{N} < 1 + \mathbb{S}$, where \mathbb{S} is the # of words in a cache line, (because the update protocol updates only one word.)

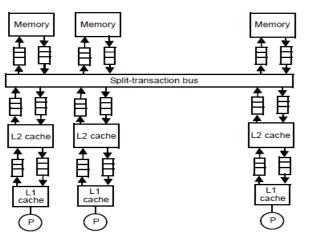


Multi-Phase Snoopy Cache Protocols





Multi-Phase Snoopy Cache Protocols



So far we have assumed:

- single level of private cache,
- and atomic pipelined buses.

A More Realistic Model:

- multi-level private cache hierarchy
- a split transaction (pipelined) bus request & response phases

Different caches/memory cannot consume requests at the same rate. FIFO requests buffers smooth out differences, and have a profound impact on the protocol design! C. Oancea: Shared Memory Systems

Atomic Transaction Disadvantages

Example: bus clocked at 100MHz can transfer 3 parallel segments in one cycle: (1) a request, (2) an address and (3) 256-bits of data. Assume no caches and that memory is banked and can supply a 32-byte cache block in 200 ns. What fraction of the time will the atomic bus be idle?



Atomic Transaction Disadvantages

Example: bus clocked at 100MHz can transfer 3 parallel segments in one cycle: (1) a request, (2) an address and (3) 256-bits of data. Assume no caches and that memory is banked and can supply a 32-byte cache block in 200 ns. What fraction of the time will the atomic bus be idle?

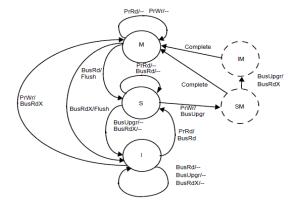
```
1 clock cycle: 1sec / freq = 10ns.
Bus is idle: 200/220 = 91\% of time.
```



Transient Non-Atomic Cache States for MSI

Need to improve the FSMs to cope with non-atomic transactions!

For example, we need to add transient states SM and IM.

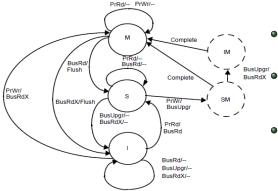




Transient Non-Atomic Cache States for MSI

Need to improve the FSMs to cope with non-atomic transactions!

For example, we need to add transient states SM and IM.



- On a P1 write hit a block in S transitions to SM and enqueues BusUpgr.
- If a BusUpgr received from P2 before P1 transact completed, Then P1 goes to IM and BusRdX replaces BusUpgr in buffer.
- Eventually, when transition completed, i.e., request sent and reply received, P1 goes to M.

Split-Transaction Bus

Pipelines a sequence of phases in a bus transaction, e.g., arbitration, transfer, response.

Dividing a transaction into subtransactions \Rightarrow Tradeoff between



Split-Transaction Bus

Pipelines a sequence of phases in a bus transaction, e.g., arbitration, transfer, response.

Dividing a transaction into subtransactions \Rightarrow Tradeoff between additional latency (repeated bus arbitration) and better bandwidth.

Pipeline stages must be balanced to maximize throughput.

For example, if both request and response transfer use the address bus, they can only be pipelined:

Request	Request	Request	
arbitration	transfer	acknowledgment	

Response	Response
arbitration	transfer





Multi-Level Cache Issues

Adding another level of private cache offers benefits:

- shorter miss penalty to next level,
- filters out snoop actions to first level \Rightarrow
- less proc-bus conflicts on L1 cache & less snoop latency!
- especially if cache inclusion is maintained (e.g., it can be forced by evicting an L1 block when is evicted from L2.)

Write Policy is important to reduce snoop overhead:

 If L1 is write-back Then L2's copy is inconsistent and dirty miss requests must be serviced by L1.



Multi-Level Cache Issues

Adding another level of private cache offers benefits:

- shorter miss penalty to next level,
- ullet filters out snoop actions to first level \Rightarrow
- less proc-bus conflicts on L1 cache & less snoop latency!
- especially if cache inclusion is maintained (e.g., it can be forced by evicting an L1 block when is evicted from L2.)

Write Policy is important to reduce snoop overhead:

- If L1 is write-back Then L2's copy is inconsistent and dirty miss requests must be serviced by L1.
- If L1 is write-through and inclusion is maintained \Rightarrow L2 is consistent and can service all miss requests from other processors,
- which can significantly improve performance.

True vs False Sharing

True Sharing Communicates Values (Essential):

- Two processors access the same word. Remember:
- Update protocol better for Fine-Grained Sharing (short Write Runs)
- Invalidate better for Coarse-Grain Sharing: N > 1+b, where b is # of words in a cache line, N is the write-run length.

False Sharing Does Not Communicate Values (pure overhead)

- P1 and P2 access two different words in the same block.
- Write Invalidate causes false sharing misses, e.g., P1 write W1 then P2 reads W2, where W1 and W2 are distinct words in the same block.
- Write Update causes false sharing updates to dead copies.



Essential vs Non-Essential Misses

Assume A, B, C belong to same block B1, and D to another block

Time	Proc1	Proc2	Proc3	Miss Type
1	R_A			Cold
2		R_B		Cold
3			R _C	Cold
4			R_D (evict B1)	Cold
5	$W_\mathcal{A}$			
6		$R_{\mathcal{A}}$		True Sharing
7	W_B			
8		$R_{\mathcal{A}}$		False Sharing
9			R_C	Replacement

Cold, True Sharing (coherence), and replacement (conflict or capacity) misses are Essential; False Sharing misses are Non Essential.

Same reasoning can be applied to memory traffic.

Classification of Misses

