

PROOF OF A CONJECTURE OF GALVIN

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ABSTRACT. We prove that if the set of unordered pairs of real numbers is colored by finitely many colors, there is a set of reals homeomorphic to the rationals whose pairs have at most two colors. Our proof uses large cardinals and it verifies a conjecture of Galvin from the 1970s. We extend this result to an essentially optimal class of topological spaces in place of the reals.

1. INTRODUCTION

In this paper we present a result that sheds light on a general problem about the behavior of an arbitrary relational structure of the form $(\mathbb{R}, S_1, \dots, S_n)$ on ‘large’ subsets of \mathbb{R} . A general result of Ehrenfeucht–Mostowski [4], anticipated already in the seminal paper of Ramsey [19], shows that such problems can be reduced to problems about finite colorings of the symmetric cubes $[\mathbb{R}]^k$ (the set of all k -element sets of real numbers), where the integer k is closely related to the arity of the (finite list of) relations of the given structure on \mathbb{R} . In other words, in our general problem we could restrict ourselves to relational structures of the form (\mathbb{R}, E) , where E is a single equivalence relation with finitely many equivalence classes on an appropriate symmetric cube $[\mathbb{R}]^k$. Answering a question of Knaster, in 1933, Sierpiński [22] has shown that a well-ordering $<_{\text{wo}}$ of \mathbb{R} can be used in defining a particular equivalence relation E_k^S on the finite symmetric cube $[\mathbb{R}]^k$ with $k!(k-1)!$ classes by comparing the behaviors of the well-ordering $<_{\text{wo}}$ and the usual ordering on a given k -element set s as well as recording the ordering of distances between consecutive elements of s when enumerated increasingly according to the usual ordering of \mathbb{R} . What Sierpiński’s proof shows is that the number $k!(k-1)!$ of equivalence classes of E_k^S cannot be reduced by restricting it to any uncountable, or more generally, nonempty and dense in itself subset of \mathbb{R} . This feature of Sierpiński’s proof was first put forward by Galvin in a letter to Laver ([9]), and it was reiterated few years later when Baumgartner proved that in this problem \mathbb{R} cannot be replaced by any countable topological space. Baumgartner [1, page 182] explicitly states the 2-dimensional version of Galvin’s conjecture solved here, with an opinion that this is probably the most interesting open problem in this area. More precisely, we show using large cardinals that if X is an arbitrary uncountable set of reals and E is an equivalence relation on $[X]^2$, then there is $Y \subseteq X$ homeomorphic to \mathbb{Q} such that $E|Y^2$ is coarser than $E_2^S|Y^2$. In fact we shall isolate what appears to be the optimal general topological condition on the space X that guarantees this conclusion with E_2^S replaced by an appropriate equivalence relation on $[X]^2$ that has exactly 2 classes when restricted to any topological copy of \mathbb{Q} inside X .

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We finish this introduction with comments on the methods behind the proofs of these results. Given a space X satisfying certain conditions and a finite coloring $c : [X]^2 \rightarrow l$, we use large cardinals to construct a topological copy $Y \subseteq X$ of \mathbb{Q} such that $[Y]^2$ uses no more than 2 colors. In hindsight the conditions on X are made in order to allow us a construction using large cardinals of another space Z together with a continuous map $f : Z \rightarrow X$ such that Z is a Baire space, that f is not constant on any nonempty open subset of Z , and that the induced coloring $c_f : [Z]^2 \rightarrow l + 1$ (given by $c_f(x, y) = c(f(x), f(y))$ if $f(x) \neq f(y)$ and $c_f(x, y) = l$ if $f(x) = f(y)$) is in some sense Baire measurable. Thus the problem is transferred to Z where it becomes possible to use Banach-Mazur games to construct a copy of \mathbb{Q} which uses only two colors of c_f and on which f is one-to-one. The conditions on X which allow us (using large cardinals) such transfer to a Baire space Z and a continuous nowhere constant map f had been already used in the paper [26], which in turn was motivated by a problem of Haydon [11] from the theory of differentiability in the context of general Banach spaces. It should also be noted that large cardinals are introduced into the construction of Z and $f : Z \rightarrow X$ through the ideas behind the stationary tower forcing of Woodin [31], which in turn was inspired by the groundbreaking work of Foreman, Magidor and Shelah [8]. The only use of the hypothesis on the existence of large cardinals is Theorem 27, which is equivalent to the well-known fact that the stationary tower up to a suitably large cardinal is precipitous. It is also worth noting that Theorem 27 is applied only once in the proof of the main result to get Lemma 43.

We believe that applying large cardinals to structural Ramsey theory is a new idea that will give us more results of this kind. In fact, we are now investigating the higher-dimensional version of Galvin's Conjecture stating that for every integer $k \geq 2$, an arbitrary coloring of $[\mathbb{R}]^k$ can be reduced to the Sierpiński coloring on a topological copy of \mathbb{Q} . We do not know if large cardinals are necessary for the results in this paper. However it would not be surprising if some large cardinal is needed for our main Theorem 16 in view of a result from [26] which proves the non-existence of a certain kind of topological space of size \aleph_1 by applying Theorem 27, and the fact that this result from [26] is known to be not provable without the use of large cardinals.

Finally, we mention that the precise forms of our results are explained in Sections 2 and 3 where we comment on their general interest and how they are related to other areas of mathematics.

2. RAMSEY DEGREE CALCULUS

In this section we state the general form of our result for sets of reals, putting it into the context of other results in this area. Suppose that \mathcal{C} is some class of structures and that A is a structure that embeds into every member of \mathcal{C} . One of the goals of Ramsey theory is to find for each natural number $k \geq 1$, the smallest number t_k such that for every natural number $l \geq 1$, for every structure $B \in \mathcal{C}$, and for every coloring that assigns one of l colors to each k -element subset of B , there exists a substructure $X \subseteq B$ which is isomorphic to A and has the property that at most t_k colors occur among the k -element subsets of X . This natural number t_k , if it exists, is called the *k -dimensional Ramsey degree of the structure A within the class \mathcal{C}* . Determining this number produces a finite basis modulo restriction to a copy of A for the class of all colorings that assign one of finitely many colors to each k -element subset of some structure $B \in \mathcal{C}$.

The problem of computing the Ramsey degrees of A in a class of structures \mathcal{C} can be formulated as an expansion problem. Let us say that R is a *finitary relation on A* to mean that there is an integer $k \geq 1$ so that R consists of k -tuples from

A. The *expansion problem for A within \mathcal{C}* asks for a list of finitely many finitary relations R_1, \dots, R_n on A that are *atomic* for the structures in \mathcal{C} in the following sense: to each structure $B \in \mathcal{C}$ and an arbitrary finitary relation S on B , it is possible to associate a substructure $X \subseteq B$ and an isomorphism $\varphi : A \rightarrow X$ such that the restriction of S to X is definable without quantifiers from the images of R_1, \dots, R_n under φ . Determining the Ramsey degrees of A within \mathcal{C} solves the expansion problem for A within \mathcal{C} . Frequently, the atomic finitary relations that solve an expansion problem turn out to be purely order-theoretic in nature. An example of such a computation of canonical forms for arbitrary finitary relations on \mathbb{N} via Ramsey's original theorem can be found in Chapter 1 of [27] (Theorem 1.7 of [27]). This computation was originally done by Ramsey in [19]; a closely related result was rediscovered by Erdős and Rado [5]. It should be clear that the richer the structure of A is the more informative is a solution to the expansion problem for A in \mathcal{C} .

Expansion problems for various pairs $\langle A, \mathcal{C} \rangle$ occur frequently in topological dynamics in the form of questions about representations of the universal minimal flow of the automorphism group of an ultrahomogeneous structure. See [15] for further details on the connections between Ramsey theory and topological dynamics of automorphism groups, where a precise correspondence is given between Ramsey degree calculus and representation theory for universal minimal flows of such groups.

In this paper, it will be proved, assuming large cardinals, that the 2-dimensional Ramsey degree of the topological space \mathbb{Q} of the rationals within the class of all regular, non-left-separated spaces with a point countable base is at most 2. Our result is provably optimal for metrizable spaces. The following terminology will make certain results easier to state.

Definition 1. Let X be any set. For any cardinal number κ , $[X]^\kappa$ is the collection of subsets of X of cardinality κ , and $[X]^{<\kappa}$ denotes the collection of subsets of X that have cardinality less than κ .

Let X and Y be topological spaces. For natural numbers $k, l, t \geq 1$, we will write

$$X \rightarrow (Y)_{l,t}^k$$

to mean that for every set L of cardinality l and every coloring $c : [X]^k \rightarrow L$, there exist a subspace $Y' \subseteq X$ homeomorphic to Y and a subset $T \subseteq L$ of cardinality t such that $\{c(v) : v \in [Y']^k\} \subseteq T$. If $t = 1$, then it is not recorded in this notation, i.e., we write $X \rightarrow (Y)_l^k$ instead of $X \rightarrow (Y)_{l,1}^k$.

For a natural number $k \geq 1$, the *k -dimensional Ramsey degree of a space Y inside the space X* , if it exists, is the least natural number $t \geq 1$ with the property that $X \rightarrow (Y)_{l,t}^k$ for all $l < \omega$.

Using the terminology of Definition 1, one of the important consequences of the main result of this paper may be stated as follows.

Theorem 2. *Assume that for every ordinal α , there is an inner model N of ZFC such that $V_\alpha \subseteq N$ and that in N there is a Woodin cardinal greater than α . Let X be a non σ -discrete metric space. Then the 2-dimensional Ramsey degree of \mathbb{Q} in X is 2.*

Corollary 3. *Assume either that there is a proper class of Woodin cardinals or an uncountable strongly compact cardinal. Let X be a non σ -discrete metric space. Then the 2-dimensional Ramsey degree of \mathbb{Q} in X is 2.*

That non σ -discreteness is an optimal restriction in this theorem and its corollary follows from the result below.

Theorem 4 ([29]). *If X is a σ -discrete metric space, then there is $c : [X]^2 \rightarrow \omega$ such that $c''[Y]^2 = \omega$ for all $Y \subseteq X$ homeomorphic to \mathbb{Q} .*

It follows that the Ramsey degree of \mathbb{Q} does not exist (is infinite) in any σ -discrete metric space. The equivalence stated in the following corollary encapsulates Corollary 3 and the fact that it is optimal for metrizable spaces.

Corollary 5. *Assume either that there is a proper class of Woodin cardinals or an uncountable strongly compact cardinal. Then the following are equivalent for every metrizable space X :*

- (a) X is not σ -discrete;
- (b) $X \rightarrow (\mathbb{Q})_{l,2}^2$ for every natural number $l \geq 1$.

Note that condition (a) is equivalent to $X \rightarrow (\omega + 1)_\omega^1$, so we have here an analogy between Corollary 5 and a theorem of Todorćević from [23] stating that for any partial order P , $P \rightarrow (\omega)_\omega^1$ if and only if $P \rightarrow (\alpha)_k^2$ for all $\alpha < \omega_1$ and $k < \omega$.

We will briefly comment on the large cardinal hypotheses of Theorem 2 and its Corollary 3. It is possible that the universe contains a strongly compact cardinal which also happens to be the only measurable cardinal. Therefore the existence of a strongly compact cardinal does not imply the existence of any Woodin cardinals even though the consistency strength of a strongly compact cardinal is much greater than that of a proper class of Woodins. So the two disjuncts in the hypothesis of Corollary 3 are formally independent of each other. Nevertheless the hypothesis stated in Theorem 2, though more technical, is weaker than either of these disjuncts. The hypothesis of Theorem 2 can be immediately obtained from the existence of a strongly compact cardinal using the results of Jensen and Steel [13]. In fact, it is a theorem (see Theorem .04 in [30]) that if one assumes that \square_{λ^+} fails for a proper class of singular strong limit cardinals λ , then for every ordinal α , there is an inner model N of ZFC such that $V_\alpha \subseteq N$ and in N there is a proper class of Woodin cardinals. Furthermore the hypothesis of Theorem 2 is an entirely local statement that does not even imply the existence of an inaccessible cardinal in V . So it is much weaker than either the existence of a strongly compact cardinal or the existence of a proper class of Woodin cardinals, although not in consistency strength. Interestingly, the hypothesis of Theorem 2 turns out to be equivalent to the statement that Σ_2^1 -determinacy holds in V and all of its set generic extensions.

The special case of Theorem 2 restricted to uncountable sets $X \subseteq \mathbb{R}$ is particularly interesting because in this case, it is simple to describe a coloring that uses both colors on every dense-in-itself subset of X . Recall how Sierpiński's coloring $s : [\mathbb{R}]^2 \rightarrow \{0, 1\}$ is defined by comparing a well-ordering of the reals $<_{\text{wo}}$ with the usual ordering $<$: given a pair $\{x, y\} \in [\mathbb{R}]^2$, we assign $s(\{x, y\}) = 0$ if and only if $<_{\text{wo}}$ and $<$ agree on $\{x, y\}$. To see that this coloring establishes $\mathbb{R} \nrightarrow (\mathbb{Q})_2^2$, note that any monochromatic subset of \mathbb{R} must either be well-ordered or reverse well-ordered by $<$. Hence no subset of \mathbb{R} which contains a \mathbb{Z} -chain in the usual ordering can be monochromatic. Let E^S be the equivalence relation on $[\mathbb{R}]^2$ that has the two sets $s^{-1}(i) (i < 2)$ as equivalence classes. The restriction of Corollary 3 to uncountable sets $X \subseteq \mathbb{R}$ implies that every equivalence relation on $[X]^2$ with finitely many classes must be coarser than E^S on a copy of \mathbb{Q} . A single Woodin cardinal suffices for this restriction of Corollary 3.

Corollary 6. *Assume either that there is a Woodin cardinal or an uncountable strongly compact cardinal. Let X be an uncountable set of reals. Then for every equivalence relation E on $[X]^2$ with finitely many equivalence classes, there is $Y \subseteq X$ homeomorphic to \mathbb{Q} such that $E \restriction [Y]^2$ is coarser than $E^S \restriction [Y]^2$.*

Proof. To see this, let $l \geq 1$ be a natural number and let $c : [X]^2 \rightarrow l$ be a coloring which is giving us an equivalence relation on $[X]^2$ with l classes. Define a new coloring $d : [X]^2 \rightarrow l \times 2$ by setting $d(\{x, y\}) = \langle c(\{x, y\}), s(\{x, y\}) \rangle$, for every $\{x, y\} \in [X]^2$. Here s is Sierpiński's coloring defined above from an arbitrary well-ordering of \mathbb{R} . Applying Corollary 3, there must be a set $Y \subseteq X$ as well as colors $i, j < l$ such that Y is homeomorphic to \mathbb{Q} and $\{c(v) : v \in [Y]^2\} \subseteq \{\langle i, 0 \rangle, \langle j, 1 \rangle\}$. If $i = j$, then c is constant on $[Y]^2$. And if $i \neq j$, then c is equivalent to s on $[Y]^2$, with the color i playing the role of the color 0 of s and j playing the role of 1. \dashv

Theorem 2 also implies that any well-ordering $<_{\mathbf{wo}}$ solves the 2-dimensional expansion problem for \mathbb{Q} within the class of all uncountable sets of reals.

Corollary 7. *Assume either that there is a Woodin cardinal or an uncountable strongly compact cardinal. Let $<_{\mathbf{wo}}$ be any well-ordering of \mathbb{R} and let $<$ be the usual ordering of \mathbb{R} . Then for every uncountable $X \subseteq \mathbb{R}$ and every binary relation $M \subseteq X^2$, there exists a set $Y \subseteq X$, which is homeomorphic to \mathbb{Q} , such that $M \cap Y^2$ is equal to one of the following relations restricted to Y : $\top, \perp, =, \neq, <, >, \leq, \geq, <_{\mathbf{wo}}, >_{\mathbf{wo}}, \leq_{\mathbf{wo}}, \geq_{\mathbf{wo}}, < \cap <_{\mathbf{wo}}, < \cap >_{\mathbf{wo}}, > \cap <_{\mathbf{wo}}, > \cap >_{\mathbf{wo}}, \leq \cap \leq_{\mathbf{wo}}, \leq \cap \geq_{\mathbf{wo}}, \geq \cap \leq_{\mathbf{wo}},$ and $\geq \cap \geq_{\mathbf{wo}}$.*

Proof. This is similar to the proof of Theorem 1.7 in [27] where in the crucial step we use Corollary 3 in place of Ramsey's theorem. \dashv

Just as with Corollary 3, Corollaries 6 and 7 follow from the weaker hypothesis that there is an inner model N of ZFC that contains all sets of reals and has a Woodin cardinal relative to N .

A weak form of the conclusion of Theorem 2 when we restrict the class of all non σ -discrete metric spaces to the singleton $\{\mathbb{R}\}$ was first conjectured by Galvin in the 1970s ([9]), and Galvin's conjecture, even in this weak form, remained unproved until our work. In an earlier unpublished note, Galvin had proved that for every coloring of $[\mathbb{Q}]^2$ into finitely many colors, there exists a $Y \subseteq \mathbb{Q}$ which is order-isomorphic to \mathbb{Q} such that at most 2 colors occur in $[Y]^2$. This was generalized by Laver, who showed that for each natural number $k \geq 1$, there exists a number t_k with the property that for every coloring of $[\mathbb{Q}]^k$ into finitely many colors, there exists a $Y \subseteq \mathbb{Q}$ which is order-isomorphic to \mathbb{Q} such that at most t_k colors occur in $[Y]^k$. The optimal value of t_k was computed by Devlin [3]. A recent exposition of Devlin's work can be found in Chapter 6 of [27].

Baumgartner [1] was the first to prove that a significant difference emerges when the topological structure of \mathbb{Q} is considered instead of its order structure. Note that if a set of reals is homeomorphic to \mathbb{Q} , then it contains a subset which is order isomorphic to \mathbb{Q} , but the reverse is false. In [1], Baumgartner established the special case of Theorem 4 saying that \mathbb{Q} fails to have finite Ramsey degree in dimension 2 within any countable metrizable space.

Results of Shelah in [20] and [21] hinted at the truth of Theorem 3 for the space \mathbb{R} . Assuming suitable large cardinals, Shelah constructed a model of set theory where for any natural number $l \geq 1$ and any coloring $c : [\mathbb{R}]^2 \rightarrow l$, there is an uncountable set $X \subseteq \mathbb{R}$ such that c uses at most 2 colors on $[X]^2$. Shelah's result is a consistency result and not a direct implication from large cardinals. In Shelah's model, the cardinality of \mathbb{R} is quite large, for example it is a fixed point of the \aleph -operation, and the colorings of the pairs of sets of reals of size \aleph_1 are not controlled. Indeed, by a well-known theorem of Todorćević [24], if X is any set of size \aleph_1 , then there is a coloring of $[X]^2$ into \aleph_1 many colors so that every uncountable subset of X contains a pair of every color. The Ramsey degrees of \mathbb{Q} in more general

topological spaces which do not contain a homeomorphic copy of \mathbb{R} are unknown in Shelah's model.

3. RAMSEY DEGREES WITHIN A WIDER CLASS OF SPACES

We begin by observing that there is a natural generalization of Sierpiński's coloring to any Tychonoff space.

Definition 8. A topological space $\langle X, \mathcal{T} \rangle$ is called a *Tychonoff space* if it is a T_1 -space and for every $x \in X$ and every closed set $F \subseteq X$ such that $x \notin F$, there exists a continuous function $f : X \rightarrow [0, 1]$ such that $f(x) = 0$ and $f(y) = 1$ for $y \in F$.

It is a well-known fact that every Tychonoff space of weight $\kappa \geq \aleph_0$ is embeddable in to $[0, 1]^\kappa$.

Definition 9. Let $\kappa \geq \aleph_0$ be a cardinal. Define an ordering $<_{\text{lex}}$ on $[0, 1]^\kappa$ by stipulating that for any $f, g \in [0, 1]^\kappa$,

$$f <_{\text{lex}} g \leftrightarrow [f \neq g \text{ and } f(\Delta(f, g)) < g(\Delta(f, g))],$$

where $<$ denotes the usual order on $[0, 1]$ and $\Delta(f, g) = \min\{\alpha < \kappa : f(\alpha) \neq g(\alpha)\}$.

It is easy to see that $<_{\text{lex}}$ is a linear order on $[0, 1]^\kappa$. Now fix any well-order $<_{\text{wo}}$ of $[0, 1]^\kappa$ and define Sierpiński's coloring $s : [[0, 1]^\kappa]^2 \rightarrow 2$ with respect to $<_{\text{wo}}$ by stipulating that for any $\{f, g\} \in [[0, 1]^\kappa]^2$, $s(\{f, g\}) = 0$ if and only if $<_{\text{wo}}$ and $<_{\text{lex}}$ agree on $\{f, g\}$.

Lemma 10. Suppose $Y \subseteq [0, 1]^\kappa$ is non-empty and dense in itself. Then there exist $Y_0, Y_1 \subseteq Y$ such that Y_0 and Y_1 are both non-empty and dense in themselves, and $\forall y_0 \in Y_0 \forall y_1 \in Y_1 [y_0 <_{\text{lex}} y_1]$.

Proof. Y , being non-empty and dense in itself, contains at least 2 distinct elements. Thus $\Delta(Y) = \{\Delta(f, g) : f, g \in Y \text{ and } f \neq g\}$ is a non-empty subset of κ . Define $\alpha = \min(\Delta(Y))$. Fix $f, g \in Y$ with $f \neq g$ and $\alpha = \Delta(f, g)$. Without loss of generality, $f(\alpha) < g(\alpha)$. Find a rational number q with $f(\alpha) < q < g(\alpha)$, and define $U = \{h \in [0, 1]^\kappa : h(\alpha) < q\}$ and $V = \{h \in [0, 1]^\kappa : h(\alpha) > q\}$. Both U and V are open subsets of $[0, 1]^\kappa$. Furthermore, $f \in Y \cap U = Y_0$ and $g \in Y \cap V = Y_1$. Therefore $Y_0, Y_1 \subseteq Y$ are both non-empty and dense in themselves. Now consider any $h \in Y_0$ and $h' \in Y_1$. Then $h, h' \in Y$ and $h(\alpha) < q < h'(\alpha)$. In particular, $h \neq h'$ and $\Delta(h, h') \leq \alpha$. On the other hand, since $h, h' \in Y$, $\alpha \leq \Delta(h, h')$. So $\alpha = \Delta(h, h')$, and $h(\Delta(h, h')) = h(\alpha) < h'(\alpha) = h'(\Delta(h, h'))$, whence $h <_{\text{lex}} h'$. \dashv

Theorem 11. Suppose $\langle X, \mathcal{T} \rangle$ is a Tychonoff space. The 2-dimensional Ramsey degree of \mathbb{Q} in X is at least 2.

Proof. We may assume that $\langle X, \mathcal{T} \rangle$ is a subspace of $[0, 1]^\kappa$, for some $\kappa \geq \aleph_0$. Let $s : [[0, 1]^\kappa]^2 \rightarrow 2$ be Sierpiński's coloring defined with respect to an arbitrary well-ordering $<_{\text{wo}}$ of $[0, 1]^\kappa$. Then $s|_{[X]^2} : [X]^2 \rightarrow 2$. Note that any subset of X that is monochromatic with respect to s must be either well-ordered or reverse well-ordered by $<_{\text{lex}}$. However if $Y \subseteq X$ is non-empty and dense in itself, then by repeated application of Lemma 10, it is possible to construct a set $Z \subseteq Y$ which is a \mathbb{Z} -chain with respect to $<_{\text{lex}}$. Therefore any $Y \subseteq X$ which is non-empty and dense in itself is neither well-ordered nor reverse well-ordered by $<_{\text{lex}}$, and hence, fails to be monochromatic for s . \dashv

Baumgartner has computed the Ramsey degrees of the converging sequence, which is most naturally represented as the ordinal $\omega + 1 = \omega \cup \{\omega\}$ with its topology induced by the \in -ordering on ordinals, inside \mathbb{Q} . For example, Baumgartner [1] has

shown that $X \not\rightarrow (\omega + 1)_2^2$ for every countable topological space X and that on the other hand, $\mathbb{Q} \rightarrow (\omega + 1)_{l,2}^2$, for all $l < \omega$. Thus the space $\omega + 1$ has 2-dimensional Ramsey degree 2 in the class of all countable dense in itself metrizable spaces. It turns out that trying to extend Baumgartner's computation to an optimal class of spaces in which the converging sequence has finite Ramsey degrees will also give us hints towards an optimal class of spaces where the Ramsey degrees of \mathbb{Q} are finite. For example, it is not difficult to show that if X is any uncountable set of reals, then $X \rightarrow (\omega + 1)_l^2$ for all $l < \omega$, i.e., that the 2-dimensional Ramsey degree of $\omega + 1$ in the class of all uncountable sets of reals is equal to 1. This was generalized in an unpublished note of the second author from 1996 (extending a previous result from [29]) as follows.

Definition 12. Let $\langle X, \mathcal{T} \rangle$ be a topological space. A base $\mathcal{B} \subseteq \mathcal{T}$ is said to be *point-countable* if for each $x \in X$, $\{U \in \mathcal{B} : x \in U\}$ is countable.

Theorem 13 ([28]). *The following are equivalent for an arbitrary regular space X with a point-countable base:*

- (1) *there is no well-ordering of X with all initial segments closed in X ;*
- (2) $X \rightarrow (\omega + 1)_2^2$;
- (3) $X \rightarrow (\omega + 1)_l^k$ for all natural numbers $k, l \geq 1$.

It turns out that the negation of (1) of Theorem 13 is one of the standard smallness requirements on a space, which in the class of metrizable spaces, is equivalent to σ -discreteness. Thus we have the following definition.

Definition 14. A topological space $\langle X, \mathcal{T} \rangle$ is said to be *left-separated* if there exists a well-ordering $<_{\text{wo}}$ of X so that for each $x \in X$, $\{y \in X : y <_{\text{wo}} x\}$ is a closed set.

The proof of the implication from (2) to (1) in Theorem 13 has some information of interest to us here. To see this assume that (1) fails and fix a well-ordering $<_{\text{wo}}$ on X with all initial segments closed. So for every $y \in X$ we can fix a closed neighborhood U_y of y which is disjoint from $\{x \in X : x <_{\text{wo}} y\}$. Define $c : [X]^2 \rightarrow 2$ by letting $c(x, y) = 0$ iff $y \in U_x$ for all pairs $x, y \in X$ satisfying $x <_{\text{wo}} y$. It is easily checked that subsets Y of X for which c is constant on $[Y]^2$ must be discrete. So in particular $X \not\rightarrow (\omega + 1)_2^2$, and therefore $X \not\rightarrow (\mathbb{Q})_2^2$. In [10], Gerlits and Szentmiklóssy have given an interesting variation of left separation which is equivalent to it in the class of spaces with a point countable base. It is condition (1) of the following Corollary.

Corollary 15. *The following are equivalent for every regular space X with a point countable base:*

- (1) *there is a neighborhood assignment $U_x (x \in X)$ such that for all infinite $Y \subseteq X$ there is $y \in Y$ such that $\{x \in Y : y \notin U_x\}$ is infinite;*
- (2) *there is a well-ordering of X with all initial segments closed;*
- (3) $X \not\rightarrow (\omega + 1)_3^2$.

Proof. The equivalence of (2) and (3) is by Theorem 13. It is clear that (2) implies (1) using a neighborhood assignment such that $U_y \cap \{x \in X : x <_{\text{wo}} y\} = \emptyset$ for all $y \in X$, where $<_{\text{wo}}$ is a well-ordering on X with all initial segments closed. To show that (1) implies (3), consider the coloring $c : [X]^2 \rightarrow 3$ defined as follows, where $<_{\text{wo}}$ is a fixed well-ordering of X and where we assume that the neighborhood assignment $U_x (x \in X)$ witnessing (1) consists of closed neighborhoods. For $x <_{\text{wo}} y$, set $c(x, y) = 0$ if $x \notin U_y$ and $y \notin U_x$; set $c(x, y) = 1$ if $x \in U_y$; finally, set $c(x, y) = 2$ if $x \notin U_y$ but $y \in U_x$. Note that if $Y \subseteq X$ is such that $c''[Y]^2 = \{1\}$, then Y must be finite or else we would contradict (1). Note also that any $Y \subseteq X$ such that $c''[Y]^2 = \{0\}$ or $c''[Y]^2 = \{2\}$ must be discrete. So the coloring c witnesses (3). \dashv

Another result pointing us in the same direction is Todorćević's solution, through large cardinals, to a problem of Haydon. A space X is called *universally meager* if every continuous function from a Baire space into X must be constant on some non-meager subset of its domain. We recall that the dual notion of a *universally null* set is well-studied, and especially its strengthening, the notion of a *strong measure zero* set due to E. Borel [2]. Recall that Borel [2] conjectured that his notion coincides with the countability requirement for sets of reals, a conjecture which was proved to be consistent by Laver [17] much later. Thus, since the notion of universally meager is a strengthening of the direct dual of the notion of universally null, following the analogy, it is natural to conjecture that all universally meager sets of reals must be countable. Answering a question of Haydon [11], Todorćević showed in [26] that the existence of an uncountable strongly compact cardinal implies that if X is any regular space with a point-countable base, then X is universally meager if and only if it is left-separated.

The above surveyed results lead to the following project.

General Problem. *Discover the optimal class of regular topological spaces in which the 2-dimensional Ramsey degree of \mathbb{Q} is at most 2, and more generally, the k -dimensional Ramsey degree of \mathbb{Q} is at most $k!(k-1)!$.*

In this paper, we will address the general problem in dimension 2 for all regular spaces with point-countable bases. Our main theorem is the following.

Theorem 16. *Assume that for every ordinal α , there exists an inner model N of ZFC such that $V_\alpha \subseteq N$ and that in N there is a Woodin cardinal greater than α . If X is any regular space that is not left-separated and has a point-countable base, then the 2-dimensional Ramsey degree of \mathbb{Q} within X is at most 2.*

Corollary 17. *Assume either that there is a proper class of Woodin cardinals or one uncountable strongly compact cardinal. Then the conclusion of Theorem 16 holds.*

Note that Theorem 2 immediately follows from Theorem 16 because metrizable spaces have point countable bases, and they are left-separated if and only if they are σ -discrete. Furthermore, if X is also a Tychonoff space, then by Theorem 11, the conclusion of Theorem 16 can be improved to say that the 2-dimensional Ramsey degree of \mathbb{Q} in X is exactly equal to 2. Note that metrizable spaces are Tychonoff.

We will be treating higher dimensions and regular spaces without point-countable bases in forthcoming papers. There are in fact indications that dimensions greater than or equal to three behave very differently from dimension two. Our work on higher dimensions will appear in [18] soon.

4. NOTATION

Our set-theoretic notation is standard. If λ is an infinite cardinal, then $H(\lambda)$ denotes the set of all sets that are hereditarily of cardinality $< \lambda$. The notation $M \prec H(\lambda)$ means that $\langle M, \in \rangle$ is an elementary submodel of the structure $\langle H(\lambda), \in \rangle$. For any A , $\mathcal{P}(A)$ denotes the powerset of A – that is, $\mathcal{P}(A) = \{a : a \subseteq A\}$. For any A and B , A^B is the collection of all functions from B to A . If δ is an ordinal, then $A^{<\delta} = \bigcup_{\gamma < \delta} A^\gamma$. If f is a function, then $\text{dom}(f)$ denotes the domain of f , and if $X \subseteq \text{dom}(f)$, then $f''X$ is the image of X under f – that is, $f''X = \{f(x) : x \in X\}$.

5. SOME PRELIMINARIES

Properties of stationary sets will be used extensively in the proof of the main result. In this section, we will collect together important facts needed in Section 6. Most of this material is standard. We will need to deal only with stationary subsets

of $[A]^{<\aleph_1}$, for various sets A . Other more general notions of stationarity have been considered in the literature. For example, one could talk about stationary subsets of $\mathcal{P}(A)$, for any non-empty set A . The interested reader may consult [16] or [12].

Definition 18. Let A be a non-empty set. $C \subseteq [A]^{<\aleph_1}$ is called a *club* in $[A]^{<\aleph_1}$ if the following two things hold:

- (1) for any $N \in [A]^{<\aleph_1}$, there exists $M \in C$ with $N \subseteq M$;
- (2) for any $0 < \xi < \aleph_1$ and for any sequence $\langle M_\zeta : \zeta < \xi \rangle$ of elements of C , if $\forall \zeta' \leq \zeta < \xi [M_{\zeta'} \subseteq M_\zeta]$, then $\bigcup_{\zeta < \xi} M_\zeta \in C$.

We say that $S \subseteq [A]^{<\aleph_1}$ is *stationary* in $[A]^{<\aleph_1}$ if $S \cap C \neq \emptyset$, for every $C \subseteq [A]^{<\aleph_1}$ which is a club in $[A]^{<\aleph_1}$. And $S \subseteq [A]^{<\aleph_1}$ is said to be *non-stationary* in $[A]^{<\aleph_1}$ if it is not stationary in $[A]^{<\aleph_1}$.

One of the salient facts about the non-stationary subsets of $[A]^{<\aleph_1}$ is that they form a normal σ -ideal.

Theorem 19 (Jech [12]). *Let A be a non-empty set. If \mathcal{F} is any countable family of non-stationary subsets of $[A]^{<\aleph_1}$, then $\bigcup \mathcal{F}$ is also a non-stationary subset of $[A]^{<\aleph_1}$. If S is a stationary subset of $[A]^{<\aleph_1}$, and F is a function such that $\text{dom}(F) = S$ and $\forall M \in S [F(M) \in M]$, then there exists an m so that $\{M \in S : F(M) = m\}$ is a stationary subset of $[A]^{<\aleph_1}$.*

The last statement of Theorem 19 is usually called *the pressing down lemma*. The following theorem is a well-known fact about clubs and stationary sets. It governs the behavior of clubs and stationary sets under projections and pullbacks. The reader may refer to Kanamori [14] or to Jech [12] for a proof. This theorem below is true even when \aleph_1 is replaced with an arbitrary regular uncountable cardinal. It is also true for the more general notion of club and stationary set in $\mathcal{P}(X)$. The proof of a version that is applicable to the more general notion of club and stationary set may be found in Larson [16].

Theorem 20. *Let X and Y be non-empty sets with $X \subseteq Y$. Then the following hold:*

- (1) *if $C \subseteq [X]^{<\aleph_1}$ is a club in $[X]^{<\aleph_1}$, then*

$$C^{\uparrow Y} = \left\{ M \in [Y]^{<\aleph_1} : M \cap X \in C \right\}$$

is a club in $[Y]^{<\aleph_1}$;

- (2) *if $S \subseteq [Y]^{<\aleph_1}$ is stationary in $[Y]^{<\aleph_1}$, then*

$$S_{\downarrow X} = \{M \cap X : M \in S\}$$

is stationary in $[X]^{<\aleph_1}$;

- (3) *if $C \subseteq [Y]^{<\aleph_1}$ is a club in $[Y]^{<\aleph_1}$, then*

$$C_{\downarrow X} = \{M \cap X : M \in C\} \subseteq [X]^{<\aleph_1}$$

and $C_{\downarrow X}$ contains a club in $[X]^{<\aleph_1}$;

- (4) *if $S \subseteq [X]^{<\aleph_1}$ is stationary in $[X]^{<\aleph_1}$, then*

$$S^{\uparrow Y} = \left\{ M \in [Y]^{<\aleph_1} : M \cap X \in S \right\}$$

is stationary in $[Y]^{<\aleph_1}$.

Note the asymmetry between (1) and (3), and the symmetry between (2) and (4). We will really only make use of (2) and (4). The relevance of stationary sets to left-separation of topological spaces is taken up next.

Definition 21. Let $\langle X, \mathcal{T} \rangle$ be a topological space. For any $A \subseteq X$, \bar{A} will denote the closure of A . Given a base $\mathcal{B} \subseteq \mathcal{T}$ and a $Y \subseteq X$, \mathcal{B}_Y will denote $\{U \in \mathcal{B} : U \cap Y \neq \emptyset\}$.

Theorem 22 is a deep characterization of regular left-separated spaces having a point-countable base in terms of non-stationarity of the collection of all countable closed subsets of the space. It first appears in Fleissner [6]. Indeed the theorem is also valid for T_1 spaces. However, all of our spaces are assumed to be regular because we would like to be able to find subspaces homeomorphic to \mathbb{Q} within them.

Theorem 22 (see [6]). *If $\langle X, \mathcal{T} \rangle$ is a regular space which has a point-countable base, then $\langle X, \mathcal{T} \rangle$ is not left-separated if and only if $\{N \in [X]^{<\aleph_1} : \bar{N} \setminus N \neq \emptyset\}$ is stationary in $[X]^{<\aleph_1}$.*

As mentioned in the introduction, metrizable spaces that are not σ -discrete are one class of examples of regular non-left-separated spaces with point-countable bases. Another example is a special stationary Aronszajn line. One of the benefits of a point-countable base is that any countable set which is sufficiently closed under definable operations must contain all the members of the base around any point in its closure. This fact is proved in the next lemma, which will enable us to apply the pressing down lemma.

Lemma 23. *Let $\langle X, \mathcal{T} \rangle$ be a topological space with a point-countable base $\mathcal{B} \subseteq \mathcal{T}$. Let χ be any uncountable regular cardinal and suppose that $M \prec H(\chi)$ with $|M| = \aleph_0$ and $\langle X, \mathcal{T} \rangle, \mathcal{B} \in M$. If $x \in \bar{X \cap M} \setminus M$, then $\mathcal{B}_{\{x\}} \subseteq M$.*

Proof. Consider any $U \in \mathcal{B}_{\{x\}}$. U is an open set with $x \in U$, and so $U \cap X \cap M \neq \emptyset$. Choose $y \in U \cap M$. Thus $\{y\} \in M$ and $\mathcal{B}_{\{y\}} \in M$. Since \mathcal{B} is point-countable, $\mathcal{B}_{\{y\}}$ is a countable set. Therefore $\mathcal{B}_{\{y\}} \subseteq M$. As $U \in \mathcal{B}_{\{y\}}$, $U \in M$. This shows $\mathcal{B}_{\{x\}} \subseteq M$, as needed. \dashv

The countable stationary tower will be our main tool for proving Theorem 16. Building on the groundbreaking work of Foreman, Magidor, and Shelah [8], Woodin introduced the stationary tower in [31] and established a wide variety of results in set theory with it. Larson [16] provides an excellent and accessible introduction to the stationary tower and its applications. A more advanced reference is Woodin [32]. Towers of ideals, including several variants of the stationary tower, and their associated generic elementary embeddings are studied in Foreman [7]. Kanamori [14] provides an introduction to large cardinals.

Definition 24. Let δ be a strongly inaccessible cardinal. As usual, V_δ denotes $\{a : \text{rank}(a) < \delta\}$. The *countable stationary tower up to δ* , denoted $\mathbb{Q}_{<\delta}$, is defined to be the collection of all $\langle A, S \rangle \in V_\delta$ such that A is a non-empty set and $S \subseteq [A]^{<\aleph_1}$ is stationary in $[A]^{<\aleph_1}$. Elements of $\mathbb{Q}_{<\delta}$ will sometimes be called *conditions in $\mathbb{Q}_{<\delta}$* , or simply *conditions*.

An ordering on $\mathbb{Q}_{<\delta}$ is defined as follows. For $\langle A, S \rangle, \langle B, T \rangle \in \mathbb{Q}_{<\delta}$, define $\langle B, T \rangle \leq \langle A, S \rangle$ to mean that $B \supseteq A$ and $T \subseteq S^{\uparrow B}$. It is easily checked that \leq is a partial order on $\mathbb{Q}_{<\delta}$. Observe also that for any $\langle B, T \rangle, \langle A, S \rangle \in \mathbb{Q}_{<\delta}$, $\langle B, T \rangle \leq \langle A, S \rangle$ if and only if $B \supseteq A$ and $T \downarrow_A \subseteq S$.

If $p \in \mathbb{Q}_{<\delta}$ and $D \subseteq \mathbb{Q}_{<\delta}$, then D is said to be *dense below p* if for each $\langle A, S \rangle \leq p$, there exists $\langle B, T \rangle \in D$ with $\langle B, T \rangle \leq \langle A, S \rangle$.

Fix a strongly inaccessible cardinal $\delta > \omega$ for the remainder of this section. The following lemma will be useful in conjunction with Lemma 23 and the pressing down lemma.

Lemma 25. *Let $\langle X, \mathcal{T}, \mathcal{B} \rangle \in V_\delta$ be a regular topological space where $\mathcal{B} \subseteq \mathcal{T}$ is a point-countable base. If $\langle X, \mathcal{T} \rangle$ is not left-separated, then*

$$p = \left\langle X, \left\{ N \in [X]^{<\aleph_1} : \overline{N} \setminus N \neq \emptyset \right\} \right\rangle \in \mathbb{Q}_{<\delta}.$$

Moreover, the collection of all $\langle B, T \rangle \leq p$ with the property that there exists an uncountable regular cardinal χ such that $B = H(\chi)$ and

$$\forall M \in T \left[|M| = \aleph_0 \text{ and } M \prec H(\chi) \text{ and } \langle X, \mathcal{T} \rangle, \mathcal{B} \in M \text{ and } \overline{X \cap M} \setminus M \neq \emptyset \right]$$

is dense below p .

Proof. The hypotheses together with Theorem 22 imply that X is a non-empty set, $p \in V_\delta$, and that p is a condition in $\mathbb{Q}_{<\delta}$. For the second part, let $\langle A, S \rangle \leq p$. Fix an uncountable regular cardinal χ with $\{A, \langle X, \mathcal{T} \rangle, \mathcal{B}\} \subseteq H(\chi)$ and $H(\chi) \in V_\delta$. Let $B = H(\chi)$. Since $A \subseteq B$, $\langle B, S^{\uparrow B} \rangle \leq \langle A, S \rangle$. Now it is well-known that $C = \left\{ M \in [B]^{<\aleph_1} : M \prec H(\chi) \text{ and } \{A, \langle X, \mathcal{T} \rangle, \mathcal{B}\} \subseteq M \right\}$ is a club in $[B]^{<\aleph_1}$. Let $T = C \cap S^{\uparrow B}$. Then $\langle B, T \rangle \leq \langle B, S^{\uparrow B} \rangle \leq \langle A, S \rangle \leq p$ and it is as required. \dashv

Todorćević [26] defines an ideal $\mathcal{I}_{\omega_1}(\delta)$ as follows. Let us say that a set $T \subseteq [\delta]^{<\aleph_1}$ depends on a bounded set of coordinates in δ , if there exists a bounded subset $A \subseteq \delta$ with the property that for all $M, M' \in [\delta]^{<\aleph_1}$, if $M \cap A = M' \cap A$, then $M \in T$ if and only if $M' \in T$. $\mathcal{F}_{\omega_1}(\delta)$ denotes the collection of all $T \subseteq [\delta]^{<\aleph_1}$ that depend on a bounded set of coordinates in δ . For a bounded subset $A \subseteq \delta$ and a function $f : A^{<\omega} \rightarrow A$, C_f denotes $\left\{ M \in [\delta]^{<\aleph_1} : f''((M \cap A)^{<\omega}) \subseteq M \right\}$. $\mathcal{I}_{\omega_1}(\delta)$ is the collection of all $T \subseteq [\delta]^{<\aleph_1}$ for which there exist a bounded $A \subseteq \delta$ and a function $f : A^{<\omega} \rightarrow A$ such that $T \cap C_f = \emptyset$. Finally, $\mathcal{B}_{\omega_1}(\delta) = \mathcal{F}_{\omega_1}(\delta) \setminus \mathcal{I}_{\omega_1}(\delta)$. While we will not be working with any of these collections directly, it is worth noting that there is a natural one-to-one correspondence between the members of $\mathbb{Q}_{<\delta}$ and $\mathcal{B}_{\omega_1}(\delta)$.

We now consider a version of the Banach-Mazur game played with conditions in $\mathbb{Q}_{<\delta}$. It is also similar to the precipitous game (see [12]).

Definition 26. Define a two-player game $\mathcal{D}(\delta)$ as follows. Two players Empty and Non-Empty take turns playing conditions in $\mathbb{Q}_{<\delta}$, with Empty making the first move. When one of the players has played $\langle A_n, S_n \rangle \in \mathbb{Q}_{<\delta}$, his opponent is required to play $\langle A_{n+1}, S_{n+1} \rangle \leq \langle A_n, S_n \rangle$. Thus each run of the game produces a sequence

Empty	$\langle A_0, S_0 \rangle$	$\langle A_2, S_2 \rangle$	\dots
Non-Empty	$\langle A_1, S_1 \rangle$	\dots	

such that for each $n \in \omega$, $\langle A_{2n}, S_{2n} \rangle$ has been played by Empty, $\langle A_{2n+1}, S_{2n+1} \rangle$ has been played by Non-Empty and $\langle A_{n+1}, S_{n+1} \rangle \leq \langle A_n, S_n \rangle$. Non-Empty wins this particular run of $\mathcal{D}(\delta)$ if and only if there exists a sequence $\langle N_l : l \in \omega \rangle$ such that $\forall l \in \omega [N_l \in S_l]$ and $\forall k \leq l [N_k = N_l \cap A_k]$.

The following important theorem tells us that if δ is a suitable large cardinal, then the Empty player does not have a winning strategy in $\mathcal{D}(\delta)$. It is equivalent to the well-known fact that the generic ultrapower of the universe induced by $\mathbb{Q}_{<\delta}$ is well-founded. A version of this theorem for the collection $\mathcal{B}_{\omega_1}(\delta)$ was proved by Todorćević in [26]. In fact, Theorem 27 also follows from the proof of Lemma 2.3 from Todorćević [26] via the correspondence between elements of $\mathbb{Q}_{<\delta}$ and $\mathcal{B}_{\omega_1}(\delta)$ discussed earlier. Alternatively, the proof of Lemma 2.5.6 from Larson [16] can be easily adapted to prove Theorem 27.

Theorem 27 (Woodin). *If δ is a Woodin cardinal or an uncountable strongly compact cardinal, then Empty does not have a winning strategy in $\mathcal{D}(\delta)$.*

6. MAIN THEOREM

Fix, once and for all, an uncountable cardinal δ , which is either Woodin or strongly compact. Fix in addition a regular topological space $\langle X, \mathcal{T}, \mathcal{B} \rangle \in V_\delta$, where $\mathcal{B} \subseteq \mathcal{T}$ is a point-countable base and $\langle X, \mathcal{T} \rangle$ is not left-separated. Put $A_0 = X$ and $S_0 = \{N \in [X]^{<\aleph_1} : \overline{N} \setminus N \neq \emptyset\}$. Note that $\langle A_0, S_0 \rangle \in \mathbb{Q}_{<\delta}$.

Definition 28. Fix a function $F : S_0 \rightarrow X$ such that $F(N) \in \overline{N} \setminus N$, for each $N \in S_0$. If $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$, then for any $M \in S$, $M \cap A_0 \in S_0$, and we will abuse notation and write $F(M)$ to mean $F(M \cap A_0)$.

We will first prove a sequence of simple lemmas establishing some useful properties of F and of the neighborhoods in \mathcal{B} . The first property is that F is “nowhere constant”, meaning that the preimage of every point in A_0 is non-stationary.

Lemma 29. *For any $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$ and any $x \in A_0$, $\{M \in S : F(M) = x\}$ is non-stationary in $[A]^{<\aleph_1}$.*

Proof. Suppose for a contradiction that $S' = \{M \in S : F(M) = x\}$ is stationary in $[A]^{<\aleph_1}$. Note that $C = \{M \in [A]^{<\aleph_1} : \{x\} \subseteq M\}$ is a club in $[A]^{<\aleph_1}$. Choose $M \in S$ with $x \in M$ and $F(M) = x$. Put $N = M \cap A_0$. Then $x \in N$. However $x = F(M) = F(N) \in \overline{N} \setminus N$. This is a contradiction completing the proof. \dashv

The next property concerns the “largeness” of neighborhoods of points in X . For any condition in $\mathbb{Q}_{<\delta}$ below $\langle A_0, S_0 \rangle$, every neighborhood of almost every point in the image of that condition has large intersection with the same image. This is proved by a simple application of the pressing-down lemma.

Definition 30. For each $x \in X$, fix an enumeration $\langle U_{x,n} : n \in \omega \rangle$ of the set $\{U \in \mathcal{B} : x \in U\}$. For any $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$, we will say that $M \in S$ is *bad* if there exists $n \in \omega$ such that $\{M' \in S : F(M') \in U_{F(M),n}\}$ is non-stationary in $[A]^{<\aleph_1}$.

Lemma 31. *Suppose $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$. Then $\{M \in S : M \text{ is bad}\}$ is non-stationary.*

Proof. Write $S_1 = \{M \in S : M \text{ is bad}\}$. Assume for a contradiction that S_1 is stationary in $[A]^{<\aleph_1}$. Then $\langle A, S_1 \rangle \leq \langle A, S \rangle \leq \langle A_0, S_0 \rangle$. Applying Lemma 25, there exists $\langle B, T \rangle \leq \langle A, S_1 \rangle$ with the property that $B = H(\chi)$ where χ is an uncountable regular cardinal, and for all $K \in T$, $K \prec H(\chi)$, $|K| = \aleph_0$ and $\langle X, \mathcal{T} \rangle, \mathcal{B} \in K$. For any $K \in T$, $M = K \cap A \in S_1$, and so M is bad, which means that there exists $n \in \omega$ so that $\{M' \in S : F(M') \in U_{F(M),n}\}$ is non-stationary in $[A]^{<\aleph_1}$. Note that $F(K) = F(M)$ and that $U_{F(K),n} \in K$ because of Lemma 23. Thus for each $K \in T$, we have $U_K \in K$ such that $F(K) \in U_K$ and $\{M' \in S : F(M') \in U_K\}$ is non-stationary in $[A]^{<\aleph_1}$. By the pressing down lemma, there exists U so that

$$T' = \{K \in T : U_K = U\}$$

is stationary in $[B]^{<\aleph_1}$. Since $T' \neq \emptyset$, $\{M' \in S : F(M') \in U\}$ is non-stationary in $[A]^{<\aleph_1}$. On the other hand, $T'_{\downarrow A}$ is stationary in $[A]^{<\aleph_1}$ and

$$T'_{\downarrow A} \subseteq \{M' \in S : F(M') \in U\}.$$

This is a contradiction that concludes the proof. \dashv

Lemma 31 says that for any $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$, the set $\{M \in S : M \text{ is not bad}\}$ is almost equal to S . Therefore once all the bad points in S have been thrown away, none of the remaining points can be bad in what's left. So there is no need to repeat the operation of throwing away bad points. This is what Lemma 33 says.

Definition 32. For any $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$, define $\tilde{S} = \{M \in S : M \text{ is not bad}\}$. By Lemma 31, $\langle A, \tilde{S} \rangle \in \mathbb{Q}_{<\delta}$ and $\langle A, \tilde{S} \rangle \leq \langle A, S \rangle$.

Lemma 33. Let $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$. For any $M \in \tilde{S}$ and any $n \in \omega$,

$$\left\{ M' \in \tilde{S} : F(M') \in U_{F(M),n} \right\}$$

is stationary in $[A]^{<\aleph_1}$.

Proof. Take any $M \in \tilde{S}$ and any $n \in \omega$. Then M is not bad in S , which means that $\{M' \in S : F(M') \in U_{F(M),n}\}$ is stationary in $[A]^{<\aleph_1}$. Since $S \setminus \tilde{S}$ is non-stationary in $[A]^{<\aleph_1}$, it follows that $\tilde{S} \cap \{M' \in S : F(M') \in U_{F(M),n}\} = \{M' \in \tilde{S} : F(M') \in U_{F(M),n}\}$ is stationary in $[A]^{<\aleph_1}$. \dashv

Definition 34. Fix $l \in \omega$ with $l > 0$ and fix $c : [X]^2 \rightarrow l$. Suppose $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$ and $\langle B, T \rangle \leq \langle A_0, S_0 \rangle$. For any $i \in l$ and any $M \in S$, define $\mathcal{K}(c, i, M, B, T)$ to be $\{M' \in T : F(M) \neq F(M') \text{ and } c(F(M), F(M')) = i\}$. We will say that M is i -large in $\langle B, T \rangle$ w.r.t. c if $\mathcal{K}(c, i, M, B, T)$ is stationary in $[B]^{<\aleph_1}$.

For any $i, j \in l$, the pair $\langle \langle A, S \rangle, \langle B, T \rangle \rangle$ is said to be $\langle i, j \rangle$ -saturated w.r.t. c if for any $\langle A', S' \rangle \leq \langle A, S \rangle$ and any $\langle B', T' \rangle \leq \langle B, T \rangle$, both of the clauses below hold:

- (1) $\{M \in S' : M \text{ is } i\text{-large in } \langle B', T' \rangle \text{ w.r.t. } c\}$ is stationary in $[A']^{<\aleph_1}$;
- (2) $\{K \in T' : K \text{ is } j\text{-large in } \langle A', S' \rangle \text{ w.r.t. } c\}$ is stationary in $[B']^{<\aleph_1}$.

Intuitively, if a pair $\langle \langle A, S \rangle, \langle B, T \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c , then the colors i and j occur in every rectangle whose sides are conditions below $\langle A, S \rangle$ and $\langle B, T \rangle$ in $\mathbb{Q}_{<\delta}$. More precisely, any rectangle whose base is a condition below $\langle A, S \rangle$ and whose height is a condition below $\langle B, T \rangle$, must contain many vertical columns with a large collection of i -colored points, and also many horizontal rows with a large collection of j -colored points.

Lemma 35. Suppose $\langle A', S' \rangle \leq \langle A, S \rangle \leq \langle A_0, S_0 \rangle$ and that $\langle B', T' \rangle \leq \langle B, T \rangle \leq \langle A_0, S_0 \rangle$. For any $i \in l$ and any $M \in S'$, $\mathcal{K}(c, i, M, B, T) = \mathcal{K}(c, i, M \cap A, B, T)$. Also if M is i -large in $\langle B', T' \rangle$ w.r.t. c , then M is i -large in $\langle B, T \rangle$ w.r.t. c . Further, if M is i -large in $\langle B', T' \rangle$ w.r.t. c , then $M \cap A$ is i -large in $\langle B, T \rangle$ w.r.t. c .

Proof. Indeed $M \cap A \in S$ and $F(M) = F(M \cap A)$ and so

$$\begin{aligned} \mathcal{K}(c, i, M, B, T) &= \{M' \in T : F(M \cap A) \neq F(M') \text{ and } c(F(M \cap A), F(M')) = i\} \\ &= \mathcal{K}(c, i, M \cap A, B, T). \end{aligned}$$

Moreover if M is i -large in $\langle B', T' \rangle$ w.r.t. c , then $\mathcal{K}(c, i, M, B', T')$ is stationary in $[B']^{<\aleph_1}$. Since $(\mathcal{K}(c, i, M, B', T'))_{\downarrow B}$ is stationary in $[B]^{<\aleph_1}$ and since we have that $(\mathcal{K}(c, i, M, B', T'))_{\downarrow B} \subseteq \mathcal{K}(c, i, M, B, T) \subseteq T \subseteq [B]^{<\aleph_1}$, it follows that $\mathcal{K}(c, i, M, B, T)$ is stationary in $[B]^{<\aleph_1}$. Hence M is i -large in $\langle B, T \rangle$ w.r.t. c . Finally if M is i -large in $\langle B', T' \rangle$ w.r.t. c , then by what we have remarked up to now, $\mathcal{K}(c, i, M, B, T) = \mathcal{K}(c, i, M \cap A, B, T)$ is stationary in $[B]^{<\aleph_1}$, and so $M \cap A$ is i -large in $\langle B, T \rangle$ w.r.t. c . \dashv

The next lemma expresses the simple fact that for a fixed row or column in any rectangle, there must be a color that occurs frequently along that row or column.

Lemma 36. Suppose $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$ and $\langle B, T \rangle \leq \langle A_0, S_0 \rangle$. For each $M \in S$ and for each $K \in T$, there exists $\langle i, j \rangle \in l \times l$ such that M is i -large in $\langle B, T \rangle$ w.r.t. c and K is j -large in $\langle A, S \rangle$ w.r.t. c .

Proof. Put $x = F(M)$ and $y = F(K)$. By Lemma 29, $T' = \{K' \in T : F(K') \neq x\}$ is stationary in $[B]^{<\aleph_1}$ and $S' = \{M' \in S : F(M') \neq y\}$ is stationary in $[A]^{<\aleph_1}$. For each $i < l$, let $T'_i = \{K' \in T' : c(F(M), F(K')) = i\}$ and let $S'_i = \{M' \in S' : c(F(K), F(M')) = i\}$. There must be a pair $\langle i, j \rangle \in l \times l$ such that $T'_i \subseteq [B]^{<\aleph_1}$ is stationary and $S'_j \subseteq [A]^{<\aleph_1}$ is stationary because $\bigcup_{i < l} T'_i = T'$ and $\bigcup_{i < l} S'_i = S'$. Since $T'_i \subseteq \mathcal{K}(c, i, M, B, T) \subseteq [B]^{<\aleph_1}$ and $S'_j \subseteq \mathcal{K}(c, j, K, A, S) \subseteq [A]^{<\aleph_1}$, M is i -large in $\langle B, T \rangle$ w.r.t. c and K is j -large in $\langle A, S \rangle$ w.r.t. c . \dashv

It is obvious from the definition that the property of being $\langle i, j \rangle$ -saturated is hereditary. We state this below as a separate fact because it will be useful, but we will omit the trivial proof.

Lemma 37. *Suppose $\langle A', S' \rangle \leq \langle A, S \rangle \leq \langle A_0, S_0 \rangle$ and $\langle B', T' \rangle \leq \langle B, T \rangle \leq \langle A_0, S_0 \rangle$. For any $\langle i, j \rangle \in l \times l$, if $\langle \langle A, S \rangle, \langle B, T \rangle \rangle$ is $\langle i, j \rangle$ -saturated, then so is $\langle \langle A', S' \rangle, \langle B', T' \rangle \rangle$.*

The next lemma will play an important role in the rest of the proof. It asserts the existence of a single pair of colors $\langle i, j \rangle$ and a condition in $\mathbb{Q}_{<\delta}$ with the property that every condition below it in $\mathbb{Q}_{<\delta}$ can be split into an $\langle i, j \rangle$ -saturated pair. The proof is an exhaustion argument.

Lemma 38. *There exist $\langle i, j \rangle \in l \times l$ and $\langle A_1, S_1 \rangle \leq \langle A_0, S_0 \rangle$ such that for any $\langle A_2, S_2 \rangle \leq \langle A_1, S_1 \rangle$, there exist $\langle A, S \rangle \leq \langle A_2, S_2 \rangle$ and $\langle B, T \rangle \leq \langle A_2, S_2 \rangle$ such that $\langle \langle A, S \rangle, \langle B, T \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c .*

Proof. Since $l > 0$, we can enumerate the members of $l \times l$ as $\{\langle i_1, j_1 \rangle, \dots, \langle i_{l^2}, j_{l^2} \rangle\}$. Suppose that the statement of the lemma fails. Then there exists a sequence $\langle A_0, S_0 \rangle \geq \langle A_1, S_1 \rangle \geq \dots \geq \langle A_{l^2}, S_{l^2} \rangle$ such that for each $1 \leq k \leq l^2$, $\langle A_k, S_k \rangle$ has the property that for any $\langle A, S \rangle \leq \langle A_k, S_k \rangle$ and for any $\langle B, T \rangle \leq \langle A_k, S_k \rangle$, $\langle \langle A, S \rangle, \langle B, T \rangle \rangle$ is not $\langle i_k, j_k \rangle$ -saturated w.r.t. c . Next build three sequences

$$\begin{aligned} \langle A_{l^2}, S_{l^2} \rangle &= \langle A'_0, S'_0 \rangle \geq \langle A'_1, S'_1 \rangle \geq \dots \geq \langle A'_{l^2}, S'_{l^2} \rangle, \\ \langle A_{l^2}, S_{l^2} \rangle &= \langle B'_0, T'_0 \rangle \geq \langle B'_1, T'_1 \rangle \geq \dots \geq \langle B'_{l^2}, T'_{l^2} \rangle, \text{ and} \\ \langle S_1^*, T_1^* \rangle, \dots, \langle S_{l^2}^*, T_{l^2}^* \rangle &\text{ such that:} \end{aligned}$$

- (1) for each $1 \leq k \leq l^2$, $S_k^* \subseteq S'_k$ is non-stationary in $[A'_k]^{<\aleph_1}$ and $T_k^* \subseteq T'_k$ is non-stationary in $[B'_k]^{<\aleph_1}$.
- (2) for each $1 \leq k \leq l^2$,

$$\begin{aligned} \text{either } S_k^* &= \{M \in S'_k : M \text{ is } i_k\text{-large in } \langle B'_k, T'_k \rangle \text{ w.r.t. } c\} \\ \text{or } T_k^* &= \{K \in T'_k : K \text{ is } j_k\text{-large in } \langle A'_k, S'_k \rangle \text{ w.r.t. } c\}. \end{aligned}$$

Suppose for a moment that this has been accomplished. Then for each $1 \leq k \leq l^2$, $(S_k^*)^{\uparrow A'_{l^2}}$ is non-stationary in $[A'_{l^2}]^{<\aleph_1}$ and $(T_k^*)^{\uparrow B'_{l^2}}$ is non-stationary in $[B'_{l^2}]^{<\aleph_1}$. Therefore if $S^* = S'_{l^2} \setminus \left(\bigcup_{1 \leq k \leq l^2} (S_k^*)^{\uparrow A'_{l^2}}\right)$, then $\langle A'_{l^2}, S^* \rangle \leq \langle A'_{l^2}, S'_{l^2} \rangle \leq \langle A_0, S_0 \rangle$, and if $T^* = T'_{l^2} \setminus \left(\bigcup_{1 \leq k \leq l^2} (T_k^*)^{\uparrow B'_{l^2}}\right)$, then $\langle B'_{l^2}, T^* \rangle \leq \langle B'_{l^2}, T'_{l^2} \rangle \leq \langle A_0, S_0 \rangle$. Choose $M^* \in S^*$ and $K^* \in T^*$. Apply Lemma 36 to find $1 \leq k \leq l^2$ such that M^* is i_k -large in $\langle B'_{l^2}, T^* \rangle$ w.r.t. c and K^* is j_k -large in $\langle A'_{l^2}, S^* \rangle$ w.r.t. c . Note that $M^* \cap A'_k \in S'_k \setminus S_k^*$ and $K^* \cap B'_k \in T'_k \setminus T_k^*$. By Lemma 35, $M^* \cap A'_k$ is i_k -large in $\langle B'_k, T'_k \rangle$ w.r.t. c and $K^* \cap B'_k$ is j_k -large in $\langle A'_k, S'_k \rangle$ w.r.t. c . However these facts contradict (2) because they imply that $S_k^* \neq \{M \in S'_k : M \text{ is } i_k\text{-large in } \langle B'_k, T'_k \rangle \text{ w.r.t. } c\}$ and $T_k^* \neq \{K \in T'_k : K \text{ is } j_k\text{-large in } \langle A'_k, S'_k \rangle \text{ w.r.t. } c\}$.

To construct such sequences, proceed by induction. To start, let $\langle A'_0, S'_0 \rangle = \langle A_{l^2}, S_{l^2} \rangle = \langle B'_0, T'_0 \rangle$. Now suppose that $0 \leq k < k+1 \leq l^2$ and that $\langle A'_k, S'_k \rangle \leq$

$\langle A_{l^2}, S_{l^2} \rangle$ and $\langle B'_k, T'_k \rangle \leq \langle A_{l^2}, S_{l^2} \rangle$ are given. Then $\langle A'_k, S'_k \rangle \leq \langle A_{k+1}, S_{k+1} \rangle$ and $\langle B'_k, T'_k \rangle \leq \langle A_{k+1}, S_{k+1} \rangle$. By the choice of $\langle A_{k+1}, S_{k+1} \rangle$, $\langle \langle A'_k, S'_k \rangle, \langle B'_k, T'_k \rangle \rangle$ is not $\langle i_{k+1}, j_{k+1} \rangle$ -saturated w.r.t. c . Therefore we can find $\langle A'_{k+1}, S'_{k+1} \rangle \leq \langle A'_k, S'_k \rangle$ and $\langle B'_{k+1}, T'_{k+1} \rangle \leq \langle B'_k, T'_k \rangle$ such that *either*

$$\{M \in S'_{k+1} : M \text{ is } i_{k+1}\text{-large in } \langle B'_{k+1}, T'_{k+1} \rangle \text{ w.r.t. } c\}$$

is non-stationary in $[A'_{k+1}]^{<\aleph_1}$ *or*

$$\{K \in T'_{k+1} : K \text{ is } j_{k+1}\text{-large in } \langle A'_{k+1}, S'_{k+1} \rangle \text{ w.r.t. } c\}$$

is non-stationary in $[B'_{k+1}]^{<\aleph_1}$. If the first alternative happens, then define

$$S_{k+1}^* = \{M \in S'_{k+1} : M \text{ is } i_{k+1}\text{-large in } \langle B'_{k+1}, T'_{k+1} \rangle \text{ w.r.t. } c\} \subseteq S'_{k+1}$$

and $T_{k+1}^* = \emptyset$, while if the second alternative occurs, then define $S_{k+1}^* = \emptyset$ and

$$T_{k+1}^* = \{K \in T'_{k+1} : K \text{ is } j_{k+1}\text{-large in } \langle A'_{k+1}, S'_{k+1} \rangle \text{ w.r.t. } c\} \subseteq T'_{k+1}.$$

It is clear that $\langle A'_{k+1}, S'_{k+1} \rangle$, $\langle B'_{k+1}, T'_{k+1} \rangle$, S_{k+1}^* , and T_{k+1}^* are as required. This completes the construction and the proof. \dashv

We would like to point out that in certain special circumstances, it is possible to ensure that $i = j$ in Lemma 38. Suppose for a moment that X^2 is a Baire space, that c is Baire measurable, and that the Kuratowski-Ulam theorem is applicable in every open subset of X^2 . Under these circumstances, $\mathbb{Q}_{<\delta}$ may be replaced everywhere by the co-ideal of non-meager subsets of X . By Baire measurability, there must be a color i and open sets $U_0, U_1 \subseteq X$ such that the i -colored points are comeager relative to $U_0 \times U_1$. By Kuratowski-Ulam, almost all the points in almost all vertical sections of $U_0 \times U_1$ must have color i . In fact, under these assumptions, the rest of our proof can be completed using the co-ideal of non-meager sets to produce a homeomorphic copy of \mathbb{Q} that is monochromatic in the color i . This should be compared to a theorem of Todorcevic [27] saying that if $c : [\mathbb{Q}]^2 \rightarrow \mathbb{N}$ is any continuous coloring, where \mathbb{N} is given the discrete topology, then there exists a monochromatic $Y \subseteq \mathbb{Q}$ which is homeomorphic to \mathbb{Q} .

The next lemma will only be used in the final construction. It is a simple consequence of the fact that the non-stationary sets form a σ -ideal.

Lemma 39. *Suppose $\mathcal{F} \subseteq \mathbb{Q}_{<\delta}$ is a countable family so that*

$$\forall \langle B, T \rangle \in \mathcal{F} [\langle B, T \rangle \leq \langle A_0, S_0 \rangle].$$

Suppose $k \in l$. Let $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$ have the property that for any $\langle A', S' \rangle \leq \langle A, S \rangle$ and for any $\langle B, T \rangle \in \mathcal{F}$, $\{M' \in S' : M' \text{ is } k\text{-large in } \langle B, T \rangle \text{ w.r.t. } c\}$ is stationary in $[A']^{<\aleph_1}$. Then for any $\langle A', S' \rangle \leq \langle A, S \rangle$,

$$\{M' \in S' : \exists \langle B, T \rangle \in \mathcal{F} [M' \text{ is not } k\text{-large in } \langle B, T \rangle \text{ w.r.t. } c]\}$$

is non-stationary in $[A']^{<\aleph_1}$.

Proof. We argue by contradiction. If there exists an $\langle A', S' \rangle \leq \langle A, S \rangle$ for which the statement of the lemma fails, then there exists a set $S'' \subseteq S'$ which is stationary in $[A']^{<\aleph_1}$ and has the property that for any $M' \in S''$, there exists $\langle B_{M'}, T_{M'} \rangle \in \mathcal{F}$ such that M' is not k -large in $\langle B_{M'}, T_{M'} \rangle$ w.r.t. c . Since \mathcal{F} is a countable set, it follows that there exists $\langle B, T \rangle \in \mathcal{F}$ so that $S^* = \{M' \in S'' : \langle B, T \rangle = \langle B_{M'}, T_{M'} \rangle\}$ is stationary in $[A']^{<\aleph_1}$. Thus $\langle A', S^* \rangle \leq \langle A, S \rangle$ and so by the hypothesis on $\langle A, S \rangle$, $\{M' \in S^* : M' \text{ is } k\text{-large in } \langle B, T \rangle \text{ w.r.t. } c\}$ is stationary in $[A']^{<\aleph_1}$. In particular this set is non-empty, which contradicts the choice of S'' , concluding the proof. \dashv

In view of Lemma 38, we fix for the remainder of this section pairs $\langle i, j \rangle \in l \times l$ and $\langle A_1, S_1 \rangle \leq \langle A_0, S_0 \rangle$ such that for any $\langle A_2, S_2 \rangle \leq \langle A_1, S_1 \rangle$, there exist $\langle A, S \rangle \leq \langle A_2, S_2 \rangle$ and $\langle B, T \rangle \leq \langle A_2, S_2 \rangle$ such that $\langle \langle A, S \rangle, \langle B, T \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c . We will ensure that all the pairs in the homeomorphic copy of \mathbb{Q} which we are going to construct inside X are colored either i or j .

Lemma 40 is another application of the pressing down lemma. Lemma 41 is proved using Lemmas 40 and 38. Item (2) of Lemma 41 is implied by item (1), but it is stated for emphasis.

Lemma 40. *Suppose $\langle A, S \rangle \leq \langle A_0, S_0 \rangle$. For each $n \in \omega$, there exists U so that $\{M' \in S : U = U_{F(M'),n}\}$ is stationary in $[A]^{<\aleph_1}$.*

Proof. By Lemma 25, there exists $\langle B, T \rangle \leq \langle A, S \rangle$ with the property that there exists an uncountable regular cardinal χ such that $B = H(\chi)$ and for each $M \in T$, $|M| = \aleph_0$, $M \prec H(\chi)$, and $\langle X, T \rangle, B \in M$. Consider any $M \in T$. Then $F(M) \in \overline{M} \cap \overline{X} \setminus M$. So by Lemma 23, $B_{\{F(M)\}} \subseteq M$. In particular, $U_{F(M),n} \in M$. Thus by the pressing down lemma there exists U such that

$$T' = \{M \in T : U = U_{F(M),n}\} \subseteq [B]^{<\aleph_1}$$

is stationary. So $T'_{\downarrow A} \subseteq [A]^{<\aleph_1}$ is stationary. Since

$$T'_{\downarrow A} \subseteq \{M' \in S : U = U_{F(M'),n}\} \subseteq S \subseteq [A]^{<\aleph_1},$$

$\{M' \in S : U = U_{F(M'),n}\}$ is also stationary in $[A]^{<\aleph_1}$. \dashv

Lemma 41. *Suppose $\langle A, S \rangle \leq \langle A_1, S_1 \rangle$ and $n \in \omega$. There exist $\langle A', S' \rangle, \langle B', T' \rangle \leq \langle A, \tilde{S} \rangle$ and there exists U satisfying the following:*

- (1) *for all $M' \in S'$, $U = U_{F(M'),n}$ and for all $K' \in T'$, $U = U_{F(K'),n}$;*
- (2) *for each $M' \in S'$, $F(M') \in U$ and for each $K' \in T'$, $F(K') \in U$;*
- (3) *$\langle \langle A', S' \rangle, \langle B', T' \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c .*

Proof. Since $\langle A, \tilde{S} \rangle \leq \langle A, S \rangle \leq \langle A_1, S_1 \rangle \leq \langle A_0, S_0 \rangle$, Lemma 40 applies and implies that there exists U so that $S^* = \{M \in \tilde{S} : U = U_{F(M),n}\}$ is stationary in $[A]^{<\aleph_1}$. So $\langle A, S^* \rangle \leq \langle A, \tilde{S} \rangle$ and by Lemma 31, $\langle A, (\tilde{S}^*) \rangle \leq \langle A, S^* \rangle$. Choose any $M^* \in (\tilde{S}^*)$. Then $S^{**} = \{M \in S^* : F(M) \in U_{F(M^*),n} = U\}$ is stationary in $[A]^{<\aleph_1}$. Therefore, $\langle A, S^{**} \rangle \leq \langle A, S^* \rangle \leq \langle A_1, S_1 \rangle$, and by the choice of $\langle A_1, S_1 \rangle$, there exist $\langle A', S' \rangle, \langle B', T' \rangle \leq \langle A, S^{**} \rangle$ such that $\langle \langle A', S' \rangle, \langle B', T' \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c . It is clear that $\langle A', S' \rangle$ and $\langle B', T' \rangle$ are as required. \dashv

Definition 42. Suppose $x \in A_0$ and $\langle A, S \rangle \leq \langle A_1, S_1 \rangle$. We will say that x is an $\langle i, j \rangle$ -winner in $\langle A, S \rangle$ if there exists $M \in \tilde{S}$ with $F(M) = x$ and there exists a sequence $\langle \langle A_{x,n}, S_{x,n} \rangle, \langle B_{x,n}, T_{x,n} \rangle : n \in \omega \rangle$ satisfying the following conditions:

- (1) for each $n \in \omega$, $\langle A_{x,n}, S_{x,n} \rangle, \langle B_{x,n}, T_{x,n} \rangle \leq \langle A, \tilde{S} \rangle$, and

$$\langle A_{x,n+1}, S_{x,n+1} \rangle, \langle B_{x,n+1}, T_{x,n+1} \rangle \leq \langle A_{x,n}, \tilde{S}_{x,n} \rangle;$$

- (2) for each $n \in \omega$, there exists $M \in \tilde{S}_{x,n}$ with $F(M) = x$, and moreover for each $M' \in S_{x,n}$, $F(M') \in U_{x,n}$ and for each $K' \in T_{x,n}$, $F(K') \in U_{x,n}$;
- (3) for each $n \in \omega$, $\langle \langle A_{x,n}, S_{x,n} \rangle, \langle B_{x,n}, T_{x,n} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c ;
- (4) for each $n \in \omega$, for each $K' \in T_{x,n}$, $F(K') \neq x$ and $c(x, F(K')) = i$.

We would like to point out certain features of Definition 42. Intuitively speaking, the sequence of sets $\langle T_{x,n} : n \in \omega \rangle$ is converging to the $\langle i, j \rangle$ -winner x . Moreover x has color i with all of the points in $T_{x,n}$ for all n , and the pair $\langle \langle B_{x,k}, T_{x,k} \rangle, \langle B_{x,n}, T_{x,n} \rangle \rangle$ is $\langle i, j \rangle$ -saturated for all $n < k$. These properties of an $\langle i, j \rangle$ -winner are formulated and proved in Lemma 44. And they are essentially

the only properties of an $\langle i, j \rangle$ -winner that will be used in the final construction. Thus the condition $\langle A_{x,n}, S_{x,n} \rangle$ is not directly used at all, though it is the reservoir from which the future $\langle B_{x,k}, T_{x,k} \rangle$ are drawn. Also in item (2) of Definition 42, the property that $F(M) = x$ for some $M \in \tilde{S}_{x,n}$ will not be used, though it is automatically ensured by the proof that $\langle i, j \rangle$ -winners exist.

The next lemma is the key to the final construction. It asserts that almost every point in any condition below $\langle A_1, S_1 \rangle$ is an $\langle i, j \rangle$ -winner in that condition. Its proof appeals to Theorem 27, and it is the only place in the proof of Theorem 46 where the assumption that δ is Woodin or strongly compact is essential.

Lemma 43. *For any $\langle A, S \rangle \leq \langle A_1, S_1 \rangle$,*

$$\{M \in S : F(M) \text{ is not an } \langle i, j \rangle\text{-winner in } \langle A, S \rangle\}$$

is non-stationary in $[A]^{<\aleph_1}$.

Proof. Suppose not. Then

$$S' = \{M \in \tilde{S} : F(M) \text{ is not an } \langle i, j \rangle\text{-winner in } \langle A, S \rangle\}$$

is stationary in $[A]^{<\aleph_1}$. Thus $\langle A, S' \rangle \leq \langle A, \tilde{S} \rangle \leq \langle A, S \rangle \leq \langle A_1, S_1 \rangle$. Applying Lemma 41 with $\langle A, S' \rangle$ in place of $\langle A, S \rangle$, choose $\langle C_0, R'_0 \rangle, \langle B_0, T_0 \rangle \leq \langle A, S' \rangle$ and U_0 so that for each $M' \in R'_0$, $F(M') \in U_0 = U_{F(M'),0}$, for each $K' \in T_0$, $F(K') \in U_0 = U_{F(K'),0}$, and $\langle \langle C_0, R'_0 \rangle, \langle B_0, T_0 \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c . In particular, $R_0 = \{M' \in R'_0 : M' \text{ is } i\text{-large in } \langle B_0, T_0 \rangle \text{ w.r.t. } c\}$ is stationary in $[C_0]^{<\aleph_1}$. Now define a strategy for Empty in $\mathcal{D}(\delta)$ as follows. Suppose that σ is a partial run of $\mathcal{D}(\delta)$ with $|\sigma| = 2n$ (for some $n \in \omega$), during which Empty has followed his strategy. If $n = 0$, then Empty plays $\langle C_0, \tilde{R}_0 \rangle$. If $n > 0$, then $\sigma(2n-1) \leq \sigma(0) = \langle C_0, \tilde{R}_0 \rangle \leq \langle A_1, S_1 \rangle$. Applying Lemma 41 with $\sigma(2n-1)$ in place of $\langle A, S \rangle$, Empty chooses $\langle C_\sigma, R'_\sigma \rangle, \langle B_\sigma, T_\sigma \rangle \leq \sigma(2n-1)$ and U_n so that for each $M' \in R'_\sigma$, $F(M') \in U_n = U_{F(M'),n}$, for each $K' \in T_\sigma$, $F(K') \in U_n = U_{F(K'),n}$, and $\langle \langle C_\sigma, R'_\sigma \rangle, \langle B_\sigma, T_\sigma \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c . In particular, $R_\sigma = \{M' \in R'_\sigma : M' \text{ is } i\text{-large in } \langle B_\sigma, T_\sigma \rangle \text{ w.r.t. } c\}$ is stationary in $[C_\sigma]^{<\aleph_1}$. Empty then plays $\langle C_\sigma, \tilde{R}_\sigma \rangle \leq \sigma(2n-1)$ as the $2n$ -th move of this run. This concludes the definition of a strategy for Empty in $\mathcal{D}(\delta)$.

Since Empty does not have a winning strategy, there is a complete run of $\mathcal{D}(\delta)$ in which Empty follows the strategy defined above and loses. Therefore there exist sequences $\langle \langle C_n, R_n \rangle : n \in \omega \rangle$, $\langle \langle \langle C_{2n}, R'_{2n} \rangle, \langle B_{2n}, T_{2n} \rangle \rangle : n \in \omega \rangle$, and $\langle U_n : n \in \omega \rangle$ satisfying the following:

- (1) Non-Empty wins the run of $\mathcal{D}(\delta)$ given by

Empty	$\langle C_0, \tilde{R}_0 \rangle$	$\langle C_2, \tilde{R}_2 \rangle$	\dots
Non-Empty	$\langle C_1, R_1 \rangle$	$\langle C_3, R_3 \rangle$	\dots

- (2) for each $n \in \omega$, for each $M' \in R'_{2n}$, $F(M') \in U_n = U_{F(M'),n}$, for each $K' \in T_{2n}$, $F(K') \in U_n = U_{F(K'),n}$, and $\langle \langle C_{2n}, R'_{2n} \rangle, \langle B_{2n}, T_{2n} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c ;
(3) for each $n \in \omega$, $R_{2n} = \{M' \in R'_{2n} : M' \text{ is } i\text{-large in } \langle B_{2n}, T_{2n} \rangle \text{ w.r.t. } c\}$ is stationary in $[C_{2n}]^{<\aleph_1}$;
(4) for each $n > 0$, $\langle C_{2n}, R'_{2n} \rangle, \langle B_{2n}, T_{2n} \rangle \leq \langle C_{2n-1}, R_{2n-1} \rangle$.

There is a sequence $\langle M_n : n \in \omega \rangle$ so that

$$\forall n \in \omega \left[M_{2n} \in \tilde{R}_{2n} \text{ and } M_{2n+1} \in R_{2n+1} \right] \text{ and } \forall n \leq k < \omega [M_n = M_k \cap C_n]$$

because Non-Empty wins. Define $x = F(M_0)$. Note that for any $n > 0$, $F(M_{2n}) = F(M_0) = x$. Furthermore, $M_0 \cap A \in S'$, which means that $M_0 \cap A \in \tilde{S}$ and $x = F(M_0 \cap A)$ is not an $\langle i, j \rangle$ -winner in $\langle A, S \rangle$. We will get a contradiction by showing that x is an $\langle i, j \rangle$ -winner in $\langle A, S \rangle$.

First note that if we let $M = M_0 \cap A$, then $M \in \tilde{S}$ and $x = F(M)$. Now define a sequence $\langle \langle A_{x,n}, S_{x,n} \rangle, \langle B_{x,n}, T_{x,n} \rangle : n \in \omega \rangle$ as follows. Fix $n \in \omega$ and define $\langle A_{x,n}, S_{x,n} \rangle = \langle C_{2n}, R_{2n} \rangle$. Note that $M_{2n} \in R_{2n}$, whence $M_{2n} \in R'_{2n}$ and M_{2n} is i -large in $\langle B_{2n}, T_{2n} \rangle$ w.r.t. c , which means that

$$T_{x,n} = \{K' \in T_{2n} : F(M_{2n}) \neq F(K') \text{ and } c(F(M_{2n}), F(K')) = i\}$$

is stationary in $[B_{2n}]^{<\aleph_1}$. Defining $B_{x,n} = B_{2n}$, we have that $\langle B_{x,n}, T_{x,n} \rangle = \langle B_{2n}, T_{x,n} \rangle \leq \langle B_{2n}, T_{2n} \rangle$. Moreover by the definition of $T_{x,n}$, for any $K' \in T_{x,n}$, $x \neq F(K')$ and $c(x, F(K')) = i$, which is what (4) of Definition 42 says. Also $\langle \langle C_{2n}, R'_{2n} \rangle, \langle B_{2n}, T_{2n} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c , $\langle A_{x,n}, S_{x,n} \rangle = \langle C_{2n}, R_{2n} \rangle \leq \langle C_{2n}, R'_{2n} \rangle$, and $\langle B_{x,n}, T_{x,n} \rangle \leq \langle B_{2n}, T_{2n} \rangle$, which implies that

$$\langle \langle A_{x,n}, S_{x,n} \rangle, \langle B_{x,n}, T_{x,n} \rangle \rangle$$

is $\langle i, j \rangle$ -saturated w.r.t. c , satisfying (3) of Definition 42. Next, note that $M_{2n} \in \tilde{R}_{2n} = \tilde{S}_{x,n}$ and $F(M_{2n}) = x$. Note also that since $M_{2n} \in R'_{2n}$, $U_n = U_{F(M_{2n}),n} = U_{x,n}$. Moreover for any $M' \in S_{x,n}$, $F(M') \in U_n = U_{x,n}$, and for any $K' \in T_{x,n}$, $F(K') \in U_n = U_{x,n}$. Hence (2) of Definition 42 is satisfied. Furthermore, if $n = 0$, then $\langle A_{x,n}, S_{x,n} \rangle \leq \langle C_{2n}, R'_{2n} \rangle = \langle C_0, R'_0 \rangle \leq \langle A, \tilde{S} \rangle$ and $\langle B_{x,n}, T_{x,n} \rangle \leq \langle B_{2n}, T_{2n} \rangle = \langle B_0, T_0 \rangle \leq \langle A, S' \rangle \leq \langle A, \tilde{S} \rangle$. If $n > 0$, then $\langle C_{2n}, R'_{2n} \rangle, \langle B_{2n}, T_{2n} \rangle \leq \langle C_{2n-1}, R_{2n-1} \rangle \leq \langle C_0, \tilde{R}_0 \rangle \leq \langle A, \tilde{S} \rangle$, and so $\langle A_{x,n}, S_{x,n} \rangle \leq \langle C_{2n}, R'_{2n} \rangle \leq \langle A, \tilde{S} \rangle$ and $\langle B_{x,n}, T_{x,n} \rangle \leq \langle B_{2n}, T_{2n} \rangle \leq \langle A, \tilde{S} \rangle$. Thus $\langle A_{x,n}, S_{x,n} \rangle, \langle B_{x,n}, T_{x,n} \rangle \leq \langle A, \tilde{S} \rangle$ always holds. Finally we have that $\langle A_{x,n+1}, S_{x,n+1} \rangle \leq \langle C_{2n+2}, R'_{2n+2} \rangle \leq \langle C_{2n+1}, R_{2n+1} \rangle \leq \langle A_{x,n}, \tilde{S}_{x,n} \rangle$ and that

$$\langle B_{x,n+1}, T_{x,n+1} \rangle \leq \langle B_{2n+2}, T_{2n+2} \rangle \leq \langle C_{2n+1}, R_{2n+1} \rangle \leq \langle A_{x,n}, \tilde{S}_{x,n} \rangle.$$

Thus $\langle A_{x,n+1}, S_{x,n+1} \rangle, \langle B_{x,n+1}, T_{x,n+1} \rangle \leq \langle A_{x,n}, \tilde{S}_{x,n} \rangle$ holds, and so (1) of Definition 42 holds.

This concludes the verification that x is an $\langle i, j \rangle$ -winner in $\langle A, S \rangle$. Since this yields a contradiction, the proof is complete. \dashv

Lemma 44. *Suppose $x \in A_0$ and that $\langle A, S \rangle \leq \langle A_1, S_1 \rangle$. If x is an $\langle i, j \rangle$ -winner in $\langle A, S \rangle$, then there exists a sequence $\langle \langle B_{x,n}, T_{x,n} \rangle : n \in \omega \rangle$ such that the following hold for each $n \in \omega$:*

- (1) $\langle B_{x,n}, T_{x,n} \rangle \leq \langle A, S \rangle$;
- (2) for each $K' \in T_{x,n}$, $F(K') \in U_{x,n}$;
- (3) for any $n < k < \omega$, $\langle \langle B_{x,k}, T_{x,k} \rangle, \langle B_{x,n}, T_{x,n} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c ;
- (4) for each $K' \in T_{x,n}$, $F(K') \neq x$ and $c(x, F(K')) = i$.

Proof. By the definition of an $\langle i, j \rangle$ -winner in $\langle A, S \rangle$, there exists a sequence

$$\langle \langle \langle A_{x,n}, S_{x,n} \rangle, \langle B_{x,n}, T_{x,n} \rangle \rangle : n \in \omega \rangle$$

satisfying (1)–(4) of Definition 42. We argue that $\langle \langle B_{x,n}, T_{x,n} \rangle : n \in \omega \rangle$ has the required properties. Indeed, from (1) of Definition 42, $\langle B_{x,n}, T_{x,n} \rangle \leq \langle A, \tilde{S} \rangle \leq \langle A, S \rangle$, for each $n \in \omega$. Next, (2) and (4) of this lemma follow from (2) and (4) of Definition 42 respectively. Finally, for any $n \in \omega$ and for any $n < k < \omega$, $\langle B_{x,k}, T_{x,k} \rangle \leq \langle A_{x,n}, S_{x,n} \rangle$ by (1) of Definition 42. If $n \in \omega$, then $\langle \langle A_{x,n}, S_{x,n} \rangle, \langle B_{x,n}, T_{x,n} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c , whence for any $n < k < \omega$, $\langle \langle B_{x,k}, T_{x,k} \rangle, \langle B_{x,n}, T_{x,n} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c . \dashv

Definition 45. If $P \subseteq \omega^{<\omega}$ is a downwards closed subtree, we say that σ is a *leaf node* of P if $\sigma \in P$, but there is no $m \in \omega$ for which $\sigma \frown \langle m \rangle \in P$. $L(P)$ will denote the collection of all leaf nodes of P . $N(P)$ will denote $P \setminus L(P)$. Thus $P = L(P) \cup N(P)$.

If $\sigma, \tau \in \omega^{<\omega}$ are incomparable, then

$$\Delta(\sigma, \tau) = \min \{m \in \text{dom}(\sigma) \cap \text{dom}(\tau) : \sigma(m) \neq \tau(m)\}.$$

We say $\sigma <_{\text{lex}} \tau$ if σ and τ are incomparable and $\sigma(\Delta(\sigma, \tau)) < \tau(\Delta(\sigma, \tau))$.

We are now ready to prove the main theorem. We will organize the construction of the homeomorphic copy of \mathbb{Q} by associating every node of the tree $\omega^{<\omega}$ to a point in the copy. This makes certain features of the construction easier to visualize. For instance, the points associated to the successors of a node converge to the point associated to that node. Since the construction is inductive, the homeomorphic copy of \mathbb{Q} is naturally well-ordered by the order in which the points are chosen. Our scheme explicitly displays the interplay between this well-ordering and the lexicographic ordering of the tree, as well as the correspondence between this interplay and the colors i and j . Of course we know from Sierpiński's example that such a close correspondence is unavoidable. The sequence of trees $\langle P_m : m \in \omega \rangle$ in the proof of Theorem 46 below serves as a bookkeeping device ensuring that once a point has been chosen, all of its neighborhoods are eventually considered and met.

Theorem 46. *There is a non-empty countable $Y \subseteq X$ such that Y is dense in itself and $c''[Y]^2 \subseteq \{i, j\}$.*

Proof. We may choose a sequence $\langle P_m : m \in \omega \rangle$ satisfying the following conditions:

- (1) for each $m \in \omega$, $P_m \subseteq \omega^{<\omega}$ is a non-empty downwards closed subtree of finite height;
- (2) for each $m \in \omega$ there exists $\sigma_m \in L(P_m)$ such that

$$P_{m+1} = P_m \cup \{(\sigma_m) \frown \langle n \rangle : n \in \omega\};$$

- (3) $P_0 = \{\emptyset\}$ and $\omega^{<\omega} = \bigcup_{n \in \omega} P_n$.

It is clear that for each $m \in \omega$, $L(P_{m+1}) = (L(P_m) \setminus \{\sigma_m\}) \cup \{(\sigma_m) \frown \langle n \rangle : n \in \omega\}$ and that $N(P_{m+1}) = N(P_m) \cup \{\sigma_m\}$. Also if $m < m' < \omega$, then $\sigma_m \neq \sigma_{m'}$ and $\sigma_m \in N(P_{m'})$. Finally, observe that for each $\sigma \in \omega^{<\omega}$, there exists $m \in \omega$ with $\sigma = \sigma_m$, and that $m+1$ is the minimal $m^* \in \omega$ with $\sigma \in N(P_{m^*})$. We will construct two sequences $\langle x_{m+1} : m \in \omega \rangle$ and $\langle F_m : m \in \omega \rangle$ such that the following conditions hold at each $m \in \omega$:

- (4) $x_{m+1} \in X$ and $F_m : L(P_m) \rightarrow \mathbb{Q}_{<\delta}$; for a $\sigma \in L(P_m)$, we will write $\langle B_{m,\sigma}, T_{m,\sigma} \rangle$ instead of $F_m(\sigma)$;
- (5) for each $\sigma \in L(P_m)$, $\langle B_{m,\sigma}, T_{m,\sigma} \rangle \leq \langle A_1, S_1 \rangle$, and furthermore for each $m' \leq m$ and for each $\sigma \in L(P_{m'}) \cap L(P_m)$, $T_{m,\sigma} \subseteq T_{m',\sigma}$;
- (6) for each $m' < m$ and for each $\sigma \in L(P_m)$, if $\sigma_{m'} \subsetneq \sigma$, then for each $K \in T_{m,\sigma}$, $F(K) \neq x_{m'+1}$ and $c(F(K), x_{m'+1}) = i$; if $\sigma_{m'} <_{\text{lex}} \sigma$, then for each $K \in T_{m,\sigma}$, $F(K) \neq x_{m'+1}$ and $c(F(K), x_{m'+1}) = j$; if $\sigma <_{\text{lex}} \sigma_{m'}$, then for each $K \in T_{m,\sigma}$, $F(K) \neq x_{m'+1}$ and $c(F(K), x_{m'+1}) = i$;
- (7) for any $\sigma, \tau \in L(P_m)$, if $\sigma <_{\text{lex}} \tau$, then $\langle \langle B_{m,\tau}, T_{m,\tau} \rangle, \langle B_{m,\sigma}, T_{m,\sigma} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c ;
- (8) there exists $K \in T_{m,\sigma_m}$ so that $x_{m+1} = F(K)$;
- (9) for each $n \in \omega$, $\langle B_{(m+1),((\sigma_m) \frown \langle n \rangle)}, T_{(m+1),((\sigma_m) \frown \langle n \rangle)} \rangle \leq \langle B_{m,\sigma_m}, T_{m,\sigma_m} \rangle$, and furthermore for each $K \in T_{(m+1),((\sigma_m) \frown \langle n \rangle)}$, $F(K) \in U_{x_{m+1},n}$.

Suppose for a moment that these two sequences can be built. Define $Y = \{x_{m+1} : m \in \omega\}$. Clearly $Y \subseteq X$, Y is countable, and Y is non-empty. We first verify that Y is dense in itself. Indeed, fix $m, n \in \omega$. We must find some $m' \in \omega$ for which

$x_{m'+1} \in U_{x_{m+1},n}$ and $x_{m'+1} \neq x_{m+1}$. Put $\tau = (\sigma_m)^\frown \langle n \rangle$. Then $\tau \in L(P_{m+1})$. Let $m' \in \omega$ be so that $\tau = \sigma_{m'}$. It is easy to see that $m+1 \leq m'$. By (9), for each $K \in T_{m+1,\tau}$, $F(K) \in U_{x_{m+1},n}$. By (6) applied to $m < m'$ and $\tau \in L(P_{m'})$, since $\sigma_m \subsetneq \tau$, we have that for each $K \in T_{m',\tau}$, $F(K) \neq x_{m+1}$. By (5) applied to $m+1 \leq m'$ and $\tau \in L(P_{m+1}) \cap L(P_{m'})$, we have that $T_{m',\tau} \subseteq T_{m+1,\tau}$. Finally by (8) applied to m' , we have that there exists $K \in T_{m',\tau}$ so that $x_{m'+1} = F(K)$. Thus $x_{m'+1} = F(K) \neq x_{m+1}$. Also $K \in T_{m+1,\tau}$, whence $x_{m'+1} = F(K) \in U_{x_{m+1},n}$, as needed. This verifies that Y is dense in itself. We next check that $c''[Y]^2 \subseteq \{i, j\}$. Consider any $m' < m < \omega$. We will verify that $x_{m'+1} \neq x_{m+1}$ and that $c(x_{m'+1}, x_{m+1}) \in \{i, j\}$. Apply (8) to find $K \in T_{m,\sigma_m}$ so that $x_{m+1} = F(K)$. We see that $\sigma_{m'} \neq \sigma_m$, that $\sigma_{m'} \in N(P_m)$, and that $\sigma_m \in L(P_m)$. In particular, we cannot have $\sigma_m \subseteq \sigma_{m'}$. Hence by (6), we have the following three possibilities: if $\sigma_{m'} \subsetneq \sigma_m$, then $x_{m+1} \neq x_{m'+1}$ and $c(x_{m+1}, x_{m'+1}) = i$; if $\sigma_{m'} <_{\text{lex}} \sigma_m$, then $x_{m+1} \neq x_{m'+1}$ and $c(x_{m+1}, x_{m'+1}) = j$; if $\sigma_m <_{\text{lex}} \sigma_{m'}$, then $x_{m+1} \neq x_{m'+1}$ and $c(x_{m+1}, x_{m'+1}) = i$. This is as required.

To finish the proof, it suffices to construct sequences $\langle x_{m+1} : m \in \omega \rangle$ and $\langle F_m : m \in \omega \rangle$ satisfying (4)–(9). We do this by induction. So fix $m^* \in \omega$ and assume that $\langle x_{m'+1} : m' < m' + 1 < m^* \rangle$ and $\langle F_{m'} : m' < m^* \rangle$ have been defined. We will define F_{m^*} and if $m^* \neq 0$, then also x_{m^*} . Since $L(P_0) = P_0 = \{\emptyset\}$, when $m^* = 0$, we only need to ensure that $\langle B_{0,\emptyset}, T_{0,\emptyset} \rangle$ is defined and that it is below $\langle A_1, S_1 \rangle$. So we define $\langle B_{0,\emptyset}, T_{0,\emptyset} \rangle = \langle A_1, S_1 \rangle$. Now suppose that $m^* = m+1$, for some $m \in \omega$. Note that since $\sigma_m \in L(P_m)$, every $\sigma \in L(P_m) \setminus \{\sigma_m\}$ is incomparable to σ_m . Therefore $L(P_m) \setminus \{\sigma_m\} = \mathcal{G}_0 \cup \mathcal{G}_1$, where $\mathcal{G}_0 = \{\sigma \in L(P_m) : \sigma_m <_{\text{lex}} \sigma\}$ and $\mathcal{G}_1 = \{\sigma \in L(P_m) : \sigma <_{\text{lex}} \sigma_m\}$. Applying Lemma 39, we conclude that

$$\{K' \in T_{m,\sigma_m} : \exists \sigma \in \mathcal{G}_0 [K' \text{ is not } j\text{-large in } \langle B_{m,\sigma}, T_{m,\sigma} \rangle \text{ w.r.t. } c]\}$$

is non-stationary in $[B_{m,\sigma_m}]^{<\aleph_1}$ and also that

$$\{K' \in T_{m,\sigma_m} : \exists \sigma \in \mathcal{G}_1 [K' \text{ is not } i\text{-large in } \langle B_{m,\sigma}, T_{m,\sigma} \rangle \text{ w.r.t. } c]\}$$

is non-stationary in $[B_{m,\sigma_m}]^{<\aleph_1}$. Further, Lemma 43 tells us that

$$\{K' \in T_{m,\sigma_m} : F(K') \text{ is not an } \langle i, j \rangle\text{-winner in } \langle B_{m,\sigma_m}, T_{m,\sigma_m} \rangle\}$$

is non-stationary in $[B_{m,\sigma_m}]^{<\aleph_1}$. Therefore we may choose $K' \in T_{m,\sigma_m}$ such that the following things are satisfied: $\forall \sigma \in \mathcal{G}_1 [K' \text{ is } i\text{-large in } \langle B_{m,\sigma}, T_{m,\sigma} \rangle \text{ w.r.t. } c]$, $\forall \sigma \in \mathcal{G}_0 [K' \text{ is } j\text{-large in } \langle B_{m,\sigma}, T_{m,\sigma} \rangle \text{ w.r.t. } c]$, and $F(K')$ is an $\langle i, j \rangle$ -winner in $\langle B_{m,\sigma_m}, T_{m,\sigma_m} \rangle$. Define $x_{m+1} = F(K') = F(K' \cap A_0) \in X$. By Lemma 44, there exists a sequence $\langle \langle B_{(m+1),((\sigma_m)^\frown \langle n \rangle)}, T_{(m+1),((\sigma_m)^\frown \langle n \rangle)} \rangle : n \in \omega \rangle$ such that the following hold for each $n \in \omega$:

- (10) $\langle B_{(m+1),((\sigma_m)^\frown \langle n \rangle)}, T_{(m+1),((\sigma_m)^\frown \langle n \rangle)} \rangle \leq \langle B_{m,\sigma_m}, T_{m,\sigma_m} \rangle$;
- (11) for each $K \in T_{(m+1),((\sigma_m)^\frown \langle n \rangle)}$, $F(K) \in U_{x_{m+1},n}$;
- (12) for any $n < k < \omega$,

$$\langle \langle B_{(m+1),((\sigma_m)^\frown \langle k \rangle)}, T_{(m+1),((\sigma_m)^\frown \langle k \rangle)} \rangle, \langle B_{(m+1),((\sigma_m)^\frown \langle n \rangle)}, T_{(m+1),((\sigma_m)^\frown \langle n \rangle)} \rangle \rangle$$

is $\langle i, j \rangle$ -saturated w.r.t. c ;

- (13) for each $K \in T_{(m+1),((\sigma_m)^\frown \langle n \rangle)}$, $F(K) \neq x_{m+1}$ and $c(x_{m+1}, F(K)) = i$.

For each $\sigma \in L(P_m) \setminus \{\sigma_m\}$, if $\sigma \in \mathcal{G}_0$, then define $B_{m+1,\sigma} = B_{m,\sigma}$ and

$$T_{m+1,\sigma} = \{K \in T_{m,\sigma} : F(K') \neq F(K) \text{ and } c(F(K'), F(K)) = j\},$$

which is a stationary subset of $[B_{m,\sigma}]^{<\aleph_1}$. If $\sigma \in \mathcal{G}_1$, then set $B_{m+1,\sigma} = B_{m,\sigma}$ and

$$T_{m+1,\sigma} = \{K \in T_{m,\sigma} : F(K') \neq F(K) \text{ and } c(F(K'), F(K)) = i\},$$

which is a stationary subset of $[B_{m,\sigma}]^{<\aleph_1}$. Note that for all $\sigma \in L(P_m) \setminus \{\sigma_m\}$, $\langle B_{m+1,\sigma}, T_{m+1,\sigma} \rangle \leq \langle B_{m,\sigma}, T_{m,\sigma} \rangle$. This finishes the definition of F_{m+1} and x_{m+1} . It is simple to verify (4), (5), (8), and (9). We will go through the verification of (6) and (7). To check (7), fix any $\sigma, \tau \in L(P_{m+1})$ and suppose that $\sigma <_{\text{lex}} \tau$. If $\sigma, \tau \in L(P_m) \setminus \{\sigma_m\}$, then the induction hypothesis applies and implies that $\langle \langle B_{m,\tau}, T_{m,\tau} \rangle, \langle B_{m,\sigma}, T_{m,\sigma} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c . Since we have $\langle B_{m+1,\tau}, T_{m+1,\tau} \rangle \leq \langle B_{m,\tau}, T_{m,\tau} \rangle$ and $\langle B_{m+1,\sigma}, T_{m+1,\sigma} \rangle \leq \langle B_{m,\sigma}, T_{m,\sigma} \rangle$, it follows that $\langle \langle B_{m+1,\tau}, T_{m+1,\tau} \rangle, \langle B_{m+1,\sigma}, T_{m+1,\sigma} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c . Next if $\sigma = (\sigma_m)^\frown \langle n \rangle$ and $\tau = (\sigma_m)^\frown \langle k \rangle$ for some $n, k \in \omega$, then $n < k$, and by (12), $\langle \langle B_{m+1,\tau}, T_{m+1,\tau} \rangle, \langle B_{m+1,\sigma}, T_{m+1,\sigma} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c . Now suppose that $\sigma \in L(P_m) \setminus \{\sigma_m\}$ and that $\tau = (\sigma_m)^\frown \langle n \rangle$, for some $n \in \omega$. Then $\sigma <_{\text{lex}} \sigma_m$, and since $\sigma, \sigma_m \in L(P_m)$, the induction hypothesis applies and implies that $\langle \langle B_{m,\sigma_m}, T_{m,\sigma_m} \rangle, \langle B_{m,\sigma}, T_{m,\sigma} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c . Since we know that $\langle B_{m+1,\sigma}, T_{m+1,\sigma} \rangle \leq \langle B_{m,\sigma}, T_{m,\sigma} \rangle$ and $\langle B_{m+1,\tau}, T_{m+1,\tau} \rangle \leq \langle B_{m,\sigma_m}, T_{m,\sigma_m} \rangle$, we conclude that $\langle \langle B_{m+1,\tau}, T_{m+1,\tau} \rangle, \langle B_{m+1,\sigma}, T_{m+1,\sigma} \rangle \rangle$ is also $\langle i, j \rangle$ -saturated w.r.t. c . In the case when $\sigma = (\sigma_m)^\frown \langle n \rangle$ for some $n \in \omega$ and $\tau \in L(P_m) \setminus \{\sigma_m\}$, we have that $\sigma_m <_{\text{lex}} \tau$. Since $\sigma_m, \tau \in L(P_m)$, the induction hypothesis tells us that $\langle \langle B_{m,\tau}, T_{m,\tau} \rangle, \langle B_{m,\sigma_m}, T_{m,\sigma_m} \rangle \rangle$ is $\langle i, j \rangle$ -saturated w.r.t. c . Since we know that $\langle B_{m+1,\tau}, T_{m+1,\tau} \rangle \leq \langle B_{m,\tau}, T_{m,\tau} \rangle$ and $\langle B_{m+1,\sigma}, T_{m+1,\sigma} \rangle \leq \langle B_{m,\sigma_m}, T_{m,\sigma_m} \rangle$, we conclude that $\langle \langle B_{m+1,\tau}, T_{m+1,\tau} \rangle, \langle B_{m+1,\sigma}, T_{m+1,\sigma} \rangle \rangle$ is also $\langle i, j \rangle$ -saturated w.r.t. c . This verifies (7).

To verify (6), fix $m' \in \omega$ with $m' < m + 1$ and fix $\sigma \in L(P_{m+1})$. Suppose first that $\sigma \in L(P_m) \setminus \{\sigma_m\}$. If $m' < m$, then the induction hypothesis together with the fact that $T_{m+1,\sigma} \subseteq T_{m,\sigma}$ gives what is needed. Now suppose that $m' = m$. Then we cannot have $\sigma_m \subsetneq \sigma$. If $\sigma_m <_{\text{lex}} \sigma$, then $\sigma \in \mathcal{G}_0$ and by the definition of $T_{m+1,\sigma}$, for each $K \in T_{m+1,\sigma}$, $x_{m+1} \neq F(K)$ and $c(x_{m+1}, F(K)) = j$. Similarly if $\sigma <_{\text{lex}} \sigma_m$, then $\sigma \in \mathcal{G}_1$ and by the definition of $T_{m+1,\sigma}$, for each $K \in T_{m+1,\sigma}$, $x_{m+1} \neq F(K)$ and $c(x_{m+1}, F(K)) = i$. This finishes the case when $\sigma \in L(P_m) \setminus \{\sigma_m\}$. Next suppose that $\sigma = (\sigma_m)^\frown \langle n \rangle$, for some $n \in \omega$. Observe that $\sigma_{m'} \in P_m$ and hence that $\sigma_{m'} \neq (\sigma_m)^\frown \langle k \rangle$ for any $k \in \omega$. Note also that $\sigma_m \in L(P_m)$. Furthermore, we know that $\langle B_{m+1,\sigma}, T_{m+1,\sigma} \rangle \leq \langle B_{m,\sigma_m}, T_{m,\sigma_m} \rangle$. Therefore for any $K \in T_{m+1,\sigma}$, $K \cap B_{m,\sigma_m} \in T_{m,\sigma_m}$ and $F(K) = F(K \cap B_{m,\sigma_m})$. Now suppose that $\sigma_{m'} \subsetneq \sigma$. Then $\sigma_{m'} \subseteq \sigma_m$. If $\sigma_{m'} = \sigma_m$, then $m = m'$ and by (13) we have that for each $K \in T_{m+1,\sigma}$, $F(K) \neq x_{m+1}$ and $c(x_{m+1}, F(K)) = i$, as required. So assume that $\sigma_{m'} \subsetneq \sigma_m$. Then $m' < m$ and by the induction hypothesis for each $K \in T_{m,\sigma_m}$, $F(K) \neq x_{m'+1}$ and $c(F(K), x_{m'+1}) = i$. Therefore for each $K \in T_{m+1,\sigma}$, $F(K) = F(K \cap B_{m,\sigma_m}) \neq x_{m'+1}$ and $c(F(K), x_{m'+1}) = c(F(K \cap B_{m,\sigma_m}), x_{m'+1}) = i$. This finishes the case when $\sigma_{m'} \subsetneq \sigma$. Next assume that $\sigma_{m'} <_{\text{lex}} \sigma$. Then $\sigma_{m'} <_{\text{lex}} \sigma_m$ and $m' < m$. So by the induction hypothesis, for each $K \in T_{m,\sigma_m}$, $F(K) \neq x_{m'+1}$ and $c(F(K), x_{m'+1}) = j$. Therefore for any $K \in T_{m+1,\sigma}$, $F(K) = F(K \cap B_{m,\sigma_m}) \neq x_{m'+1}$ and $c(F(K), x_{m'+1}) = c(F(K \cap B_{m,\sigma_m}), x_{m'+1}) = j$. Finally assume that $\sigma <_{\text{lex}} \sigma_{m'}$. Then $\sigma_m <_{\text{lex}} \sigma_{m'}$ and $m' < m$. So by the induction hypothesis, for each $K \in T_{m,\sigma_m}$, $F(K) \neq x_{m'+1}$ and $c(F(K), x_{m'+1}) = i$. Therefore for any $K \in T_{m+1,\sigma}$, $F(K) = F(K \cap B_{m,\sigma_m}) \neq x_{m'+1}$ and $c(F(K), x_{m'+1}) = c(F(K \cap B_{m,\sigma_m}), x_{m'+1}) = i$. This concludes the verification of (6).

Therefore sequences $\langle x_{m+1} : m \in \omega \rangle$ and $\langle F_m : m \in \omega \rangle$ having the required properties can be constructed. This finishes the proof of the theorem. \dashv

It is not necessary for δ to be a real Woodin cardinal for our proof of Theorem 46 to work. The following is a corollary to the proof of Theorem 46.

Corollary 47. *Fix a regular topological space $\langle X, \mathcal{T}, \mathcal{B} \rangle$, where $\mathcal{B} \subseteq \mathcal{T}$ is a point-countable base and $\langle X, \mathcal{T} \rangle$ is not left-separated. Fix $l \in \omega$ with $l > 0$ and fix*

$c : [X]^2 \rightarrow l$. Suppose there exist N and δ so that N is an inner model of ZFC, $\delta \in N$ is a Woodin cardinal relative to N , $\langle X, \mathcal{T}, \mathcal{B}, c \rangle \in V_\delta^N$, and $\mathcal{B}^\omega \subseteq N$. Then there exist $\langle i, j \rangle \in l \times l$ and a non-empty countable $Y \subseteq X$ such that Y is dense in itself and $c''[Y]^2 \subseteq \{i, j\}$.

Proof. Work in N . It is clear that $\langle X, \mathcal{T} \rangle$ is still a regular topological space with base $\mathcal{B} \subseteq \mathcal{T}$. For any $x \in X$, $\mathcal{B}_{\{x\}} = \{U \in \mathcal{B} : x \in U\}$ is a countable non-empty set in V , and so there is an onto function $f : \omega \rightarrow \mathcal{B}_{\{x\}}$. Note that $f \in \mathcal{B}^\omega$. So $f \in N$ because of the hypothesis that $\mathcal{B}^\omega \subseteq N$. Therefore \mathcal{B} is point-countable in N . Next suppose that $<_{\text{wo}}$ is a well-ordering of X in N . Then since $\langle X, \mathcal{T} \rangle$ is not left-separated in V and since $<_{\text{wo}}$ well-orders X in V , it follows that $\exists x \in X \forall U \in \mathcal{T} \exists z \in X [(z \not<_{\text{wo}} x \wedge z \notin U) \vee (z \in U \wedge z <_{\text{wo}} x)]$. This is Δ_0 and clearly relativizes to N . Hence $\langle X, \mathcal{T} \rangle$ is not left-separated in N . Since δ is Woodin in N , $\langle X, \mathcal{T}, \mathcal{B}, c \rangle \in V_\delta^N$, and $c : [X]^2 \rightarrow l$, the proof of Theorem 46 is applicable in N . Therefore there exist $Y \in N$ and $i, j \in l$ such that according to N : Y is non-empty, Y is countable, $Y \subseteq X$, $\forall y \in Y \forall U \in \mathcal{T} [y \in U \implies \exists y' \in Y [y' \neq y \wedge y' \in U]]$, and $\forall v \in [Y]^2 [c(v) = i \vee c(v) = j]$. It is clear that all of these properties relativize up to V . Hence Y is as required. \dashv

The hypothesis of Corollary 47 is fairly weak and does not even imply the existence of an inaccessible cardinal in V . Recent work in inner model theory shows that frequently occurring situations like the failure of \square automatically lead to satisfaction of Corollary 47's hypothesis for all non-left-separated spaces with point-countable bases. We now state some corollaries to Theorem 46. Corollary 48 establishes Theorem 16 which, as we pointed out in Section 3, implies Theorem 3.

Corollary 48. *Assume that for every ordinal α , there exists an inner model N of ZFC such that $V_\alpha \subseteq N$ and that there is a Woodin cardinal greater than α in N . Then for every regular topological space $\langle X, \mathcal{T} \rangle$ which is not left-separated and has a point-countable base, every $0 < l < \omega$, and every coloring $c : [X]^2 \rightarrow l$, there exists $Y \subseteq X$ such that Y is homeomorphic to the rationals and c realizes at most two colors on Y . In particular, this conclusion holds if there exists a proper class of Woodin cardinals or one uncountable strongly compact cardinal.*

Proof. Let X, \mathcal{T}, l , and c be given. Let $\mathcal{B} \subseteq \mathcal{T}$ be a point-countable base for $\langle X, \mathcal{T} \rangle$. Let α be so that $\langle X, \mathcal{T}, \mathcal{B}, c \rangle \in V_\alpha$. Use the hypothesis to find N and δ such that N is an inner model of ZFC, $V_{\alpha+\omega} \subseteq N$, $\delta > \alpha$, $\delta \in N$, and δ is a Woodin cardinal in N . Then $\langle X, \mathcal{T}, \mathcal{B}, c \rangle \in V_\delta^N$ and $\mathcal{B}^\omega \subseteq N$. Therefore the conclusion follows by applying Corollary 47.

To prove the final statement of the corollary, assume that there is an uncountable strongly compact cardinal κ . By a well-known theorem of Solovay, \square_{λ^+} fails for all $\lambda \geq \kappa$. By Theorem .04 in [30], if \square_{λ^+} fails for any proper class of singular strong limit λ , then for every ordinal α , there exists an inner model N of ZFC such that $V_\alpha \subseteq N$ and in N there exists a proper class of Woodin cardinals. The conclusion now follows exactly as in the previous paragraph. \dashv

Corollary 49. *Assume the P -ideal dichotomy. Then the 2-dimensional Ramsey degree of \mathbb{Q} in any regular topological space which is not left-separated and has a point-countable base is at most 2.*

Proof. By a well-known theorem of Todorcevic [25], the P -ideal dichotomy implies the failure of \square_κ for all $\kappa \geq \omega_1$. Hence the conclusion can be derived by using Theorem .04 from [30] like in the proof of Corollary 48. \dashv

We do not know of a direct proof of Corollary 49 that does not go through recent developments in inner model theory. Corollary 50 establishes Theorem 2 for

all uncountable sets of reals. Note that a single Woodin cardinal suffices for this special case of Theorem 2 as every set of reals is a member of V_δ when δ is the least Woodin cardinal.

Corollary 50. *Suppose that X is any uncountable set of reals, that $0 < l < \omega$, and that $c : [X]^2 \rightarrow l$. Suppose there exist N and δ such that N is an inner model of ZFC, $X, c, \delta \in N$, and δ is a Woodin cardinal in N . Then there exists $Y \subseteq X$ such that Y is homeomorphic to the rationals and c realizes at most two colors on Y . In particular, the conclusion holds if there exists at least one Woodin cardinal or one uncountable strongly compact cardinal.*

Proof. Work in N , where δ is a Woodin cardinal. X is an uncountable set of reals because it is so in V . Therefore if \mathcal{T} is the usual topology on X as calculated inside N , then there is in N a countable base $\mathcal{B} \subseteq \mathcal{T}$, $\langle X, \mathcal{T} \rangle$ is regular and not left-separated, and $\langle X, \mathcal{T}, \mathcal{B} \rangle \in V_\delta^N$. Since $c \in N$ and $c : [X]^2 \rightarrow l$, the proof of Theorem 46 is applicable inside N . So we get $Y \in N$ and $i, j \in l$ such that according to N : Y is non-empty, Y is countable, $Y \subseteq X$, $\forall y \in Y \forall n \in \omega \exists y' \in Y \left[y' \neq y \wedge |y' - y| < \frac{1}{n+1} \right]$, and $\forall v \in [Y]^2 [c(v) = i \vee c(v) = j]$. It is clear that all of these properties relativize up to V . Hence Y is as required. \dashv

We would like to note that it is easy to modify the proof of Theorem 46 to show that the conclusion of Corollary 50 also holds if there is a precipitous ideal on ω_1 . It is not known at present whether any large cardinal hypothesis proves the existence of a precipitous ideal on ω_1 . However the existence of a precipitous ideal on ω_1 is equal in consistency strength to the existence of one measurable cardinal (see [12]), which is considerably lower in consistency strength than the existence of one Woodin cardinal. Hence a measurable cardinal puts an upper bound on the consistency strength of the statement that the 2-dimensional Ramsey degree of \mathbb{Q} within the class of all uncountable sets of real numbers is 2. We do not know whether this statement has any large cardinal strength. However, as mentioned in the introduction, it would not be surprising if the much more general Theorem 16 turns out to be equiconsistent with some large cardinal axiom.

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