

## 2.B BASIS AND DIMENSION

Note Title

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$V$  vector space ;  $v_1, \dots, v_k \in V$ . Just as in  $\mathbb{R}^n$  we have:

**Linear Combinations:**  $v = c_1 v_1 + c_2 v_2 + \dots + c_k v_k$  ,  $c_i \in \mathbb{R}$

**Span:**  $\text{Span}\{v_1, \dots, v_k\}$  all linear combinations in  $\{v_1, \dots, v_k\}$

**L.D. :** There  $c_i$  not all zero s.t.  $c_1 v_1 + \dots + c_k v_k = 0$

**L.I. :** If  $c_1 v_1 + \dots + c_k v_k = 0 \implies c_1 = c_2 = \dots = c_k = 0$

**Def<sup>n</sup>** If  $V = \text{Span}\{v_1, \dots, v_k\}$  we say that  $\{v_1, \dots, v_k\}$  is a spanning set of  $V$ .

This means that all vectors in  $V$  are lin. combos in  $\{v_1, \dots, v_k\}$ .

Example: Is  $S = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ -1 \end{bmatrix} \right\}$  a spanning set of  $\mathbb{R}^2$ ? What about  $K = \left\{ \begin{bmatrix} -1 \\ 2 \end{bmatrix}, \begin{bmatrix} 4 \\ -8 \end{bmatrix} \right\}$ ?

Sol<sup>n</sup>: Let  $v = \begin{bmatrix} a \\ b \end{bmatrix}$  be any vector in  $\mathbb{R}^2$  (no restrictions on  $a$  and  $b$ )

See if there are  $c_1, c_2$  :  $\begin{bmatrix} a \\ b \end{bmatrix} = c_1 \begin{bmatrix} 1 \\ 1 \end{bmatrix} + c_2 \begin{bmatrix} 0 \\ -1 \end{bmatrix}$ .

Solve the lin. sys.  $\left[ \begin{array}{cc|c} 1 & 0 & a \\ 1 & -1 & b \end{array} \right] \underset{\text{ref}}{\sim} \left[ \begin{array}{cc|c} 1 & 0 & a \\ 0 & 1 & a-b \end{array} \right]$  Yes it's solvable for all  $a, b$

So  $S$  is a spanning set of  $\mathbb{R}^2$ .

About  $K$ : The lin. sys. is  $\left[ \begin{array}{cc|c} -1 & 4 & a \\ 2 & -8 & b \end{array} \right] \sim \left[ \begin{array}{cc|c} 1 & -4 & -a \\ 0 & 0 & 2a-b \end{array} \right]$

This system has no solutions, if  $2a-b \neq 0$ .

For example the vector  $\begin{bmatrix} 0 \\ 1 \end{bmatrix}$  is not a lin. comb. in  $K$

So  $K$  does not span  $\mathbb{R}^2$ .

Example: Let  $p = -1 + x - 2x^2$  in  $P_3$ . Show that  $p \in \text{Span}\{P_1, P_2, P_3\}$  where

$$P_1 = \underline{x - x^2 + x^3}, \quad P_2 = 1 + x + 2x^3, \quad P_3 = 1 + x$$

Sol<sup>n</sup> Need  $c_1, c_2, c_3$  (if they exist) st.  $p = c_1 P_1 + c_2 P_2 + c_3 P_3$ .  
So,

$$-1 + x - 2x^2 = c_1 (x - x^2 + x^3) + c_2 (1 + x + 2x^3) + c_3 (1 + x) \quad \left. \vphantom{-1 + x - 2x^2} \right\}$$

$$\text{or } -1 + x - 2x^2 = (c_2 + c_3) + (c_1 + c_2 + c_3)x - c_1 x^2 + (c_1 + 2c_2)x^3$$

Hence:  $c_2 + c_3 = -1$ ,  $c_1 + c_2 + c_3 = 1$ ,  $-c_1 = -2$ ,  $c_1 + 2c_2 = 0$  LINEAR SYSTEM!

Solve to get  $c_1 = 2$ ,  $c_2 = -1$ ,  $c_3 = 0$ . YES in the span:  $p = 2P_1 - P_2$  (check)

Note: Aug. mat. of sys.  $\left[ \begin{array}{ccc|c} 0 & 1 & 1 & -1 \\ 1 & 1 & 1 & 1 \\ -1 & 0 & 0 & -2 \end{array} \right]$  Anything special about the columns?  $\left( \begin{bmatrix} 0 \\ 1 \\ -1 \end{bmatrix} \leftrightarrow x - x^2 + x^3 \right)$

Example: Check  $\{1+x, -1+x, 4-x^2, 2+x^3\}$  for L.I. in  $P_3$

Sol<sup>n</sup> Let  $c_1(1+x) + c_2(-1+x) + c_3(4-x^2) + c_4(2+x^3) = 0$ . This yields the sys

$$\begin{array}{l} 1+x \\ \text{etc} \end{array} \left[ \begin{array}{cccc|c} 1 & -1 & 4 & 2 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{array} \right] \sim \left[ \begin{array}{cccc|c} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{array} \right] \Rightarrow \begin{array}{l} c_1 = 0 \\ c_2 = 0 \\ c_3 = 0 \\ c_4 = 0 \end{array} \quad \text{YES. L.I.}$$

NOTE: Let  $W \subseteq V$  ( $V$  v.s.). Another way (usually easy!) to show that  $W$  is a subspace is to prove that  $W$  is the span of vectors. This is valid because the span is always a subspace.

Example: Show that  $S = \left\{ \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}, x_1 + x_2 = 0 \right\}$  is a subspace of  $\mathbb{R}^3$ .

Sol<sup>n</sup>  $S = \left\{ \begin{bmatrix} x_1 \\ -x_1 \\ x_3 \end{bmatrix}, x_1, x_3 \text{ any} \right\} = \left\{ x_1 \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix} + x_3 \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, x_1, x_3 \text{ any} \right\} = \text{Span} \left\{ \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}$

YES, a subspace.

## BASIS

Look at the sets of vectors in  $\mathbb{R}^3$ .

$$S_1 = \left\{ \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}$$

L.I.  
(but not spanning  $\mathbb{R}^3$ )

$$S_2 = \left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\},$$

L.I. and Spanning  $\mathbb{R}^3$

$$S_3 = \left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right\}$$

Spanning  $\mathbb{R}^3$   
but not L.I.

$$\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \text{ not a l.c. of } \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} a \\ b \\ c \end{bmatrix} = a \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + b \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} + c \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

This is the best  
It's a minimal spanning set  
+ a maximal lin. ind. set

Basis

$$\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

**Def** A subset  $B$  of a v.s. space  $V$  is a basis of  $V$ , if

1.  $B$  is L.I.
2.  $B$  spans  $V$

**Fact :** Every v.s. has at least one basis.

Examples: ✓  $\mathbb{R}^n$  : the standard basis  $\left\{ \overset{=e_1}{\begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}}, \overset{=e_2}{\begin{bmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{bmatrix}}, \dots, \overset{=e_{n-1}}{\begin{bmatrix} 0 \\ \vdots \\ 1 \\ 0 \end{bmatrix}}, \overset{=e_n}{\begin{bmatrix} 0 \\ \vdots \\ 0 \\ 1 \end{bmatrix}} \right\}$

✓  $M_{m \times n}$  : the standard basis: All  $E_{ij} = \begin{bmatrix} 0 & \dots & 1 & \dots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \dots & 0 & \dots & 0 \end{bmatrix}$  all rest  
1  $\leq i \leq m$   
1  $\leq j \leq n$

$P_n$  : the standard basis:  $\{1, x, \dots, x^n\}$

Example: Show that  $B = \{1+x, -1+x, x^2\}$  is a basis of  $P_2$ .

Sol<sup>n</sup> (a) **Spanning**: Show that every  $p = a + bx + cx^2$  in  $P_2$  is a lin. comb. in  $B$ .

$$\Rightarrow a + bx + cx^2 = c_1(1+x) + c_2(-1+x) + c_3x^2$$
$$\Rightarrow = (c_1 - c_2) + (c_1 + c_2)x + c_3x^2$$
$$\left. \begin{array}{l} c_1 - c_2 = a \\ c_1 + c_2 = b \\ c_3 = c \end{array} \right\}$$

Lin. sys.  $\left[ \begin{array}{ccc|c} 1 & -1 & 0 & a \\ 1 & 1 & 0 & b \\ 0 & 0 & 1 & c \end{array} \right] \sim \left[ \begin{array}{ccc|c} 1 & 0 & 0 & \frac{1}{2}(a+b) \\ 0 & 1 & 0 & \frac{1}{2}(b-a) \\ 0 & 0 & 1 & c \end{array} \right]$  Solvable for all  $a, b, c$ .

So Spanning set

(b) **L.I.**:  $c_1(1+x) + c_2(-1+x) + c_3x^2 = 0 \Rightarrow \left[ \begin{array}{ccc|c} 1 & -1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{array} \right] \sim \left[ \begin{array}{ccc|c} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{array} \right] \begin{array}{l} c_1=0 \\ c_2=0 \\ c_3=0 \end{array}$

So L.I.

$B$  is a basis of  $P_2$ .

**FACT:** If a v.s.  $V$  has a finite basis  $B$  with  $n$  elements, then all other bases of  $V$  have also  $n$  elements.

**Def<sup>n</sup>:** This common number  $n$  is called the dimension of  $V$ .

$$\dim V = n$$

Examples: •  $\dim \mathbb{R}^n = n$

•  $\dim M_{m \times n} = m \cdot n$

•  $\dim P_n = n+1$



Example: Show that  $S = \left\{ \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}, -5x_1 + x_2 = 0 \right\}$  is a subspace of  $\mathbb{R}^3$ .

Then find a basis for  $S$  and the dimension of  $S$ .

Sol<sup>n</sup>  $S = \left\{ \begin{bmatrix} x_1 \\ 5x_1 \\ x_3 \end{bmatrix}, x_1, x_3 \text{ any} \right\} = \left\{ x_1 \begin{bmatrix} 1 \\ 5 \\ 0 \end{bmatrix} + x_3 \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, x_1, x_3 \text{ any} \right\} = \text{Span} \left\{ \begin{bmatrix} 1 \\ 5 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}$

$S$  is a subspace, since it's the span of 2 vectors

So  $\begin{bmatrix} 1 \\ 5 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$  span  $S$ . They are also L.I. so

$\left\{ \begin{bmatrix} 1 \\ 5 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}$  is a basis of  $S$ .

Hence,

$$\dim S = 2 \quad \checkmark$$