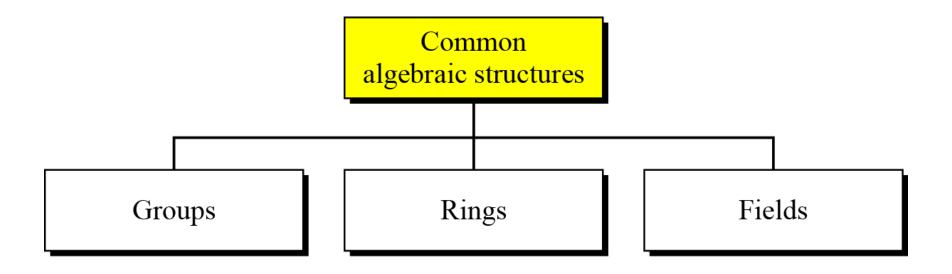
# MATHEMATICS OF CRYPTOGRAPHY PART II ALGEBRAIC STRUCTURES

#### **ALGEBRAIC STRUCTURES**

- Cryptography requires sets of integers and specific operations that are defined for those sets.
- The combination of the set and the operations that are applied to the elements of the set is called an algebraic structure.
- Three common algebraic structures: groups, rings, and fields.

## ALGEBRAIC STRUCTURES(cont.)



Common algebraic structure

#### Groups

- A group (G) is a set of elements with a binary operation (•) that satisfies four properties (or axioms).
  - Closure
  - Associativity
  - Existence of identity
  - Existence of inverse

#### Closure

- If a and b are elements of G, then c = a•b is also an element of G.
- Associativity
  - If a, b and c are elements of G, then (a•b) •c=a•(b•c)
- Existence of identity
  - For all a in G, there exist an element e, called the identity element, such that e•a=a•e=a
- Existence of inverse
  - For each a in G, there exists an element a', called the inverse of a, such that a a' = a' a = e

- A Commutative group (Abelian group) is group in which the operator satisfies four properties plus an extra property that is commutativity.
  - For all a and b in G, we have a  $\bullet$  b = b  $\bullet$  a

- Application
  - Although a group involves a single operation, the properties imposed on the operation allow the use of a pair of operations!!!!
  - How???

#### Example

The set of residue integers with the addition operator,

$$G = \langle Zn, + \rangle$$

is a commutative group.

Check the properties.....

#### • Example:

— The set Zn\* with the multiplication operator, G = <Zn\*, ×>, is also an abelian group.

#### Example:

– Let us define a set G = < {a, b, c, d}, ●> and the operation as shown in Table.

| • | а | b | С | d |
|---|---|---|---|---|
| а | а | b | c | d |
| b | b | c | d | а |
| c | С | d | а | b |
| d | d | а | b | С |

#### Example:

- A very interesting group is the permutation group.
- The set is the set of all permutations, and the operation is composition: applying one permutation after another.
- Check for properties....
  - Is the group abelian?????

#### • Example(cont.):

| 0       | [1 2 3] | [1 3 2] | [2 1 3] | [2 3 1] | [3 1 2] | [3 2 1] |
|---------|---------|---------|---------|---------|---------|---------|
| [1 2 3] | [1 2 3] | [1 3 2] | [2 1 3] | [2 3 1] | [3 1 2] | [3 2 1] |
| [1 3 2] | [1 3 2] | [1 2 3] | [2 3 1] | [2 1 3] | [3 2 1] | [3 1 2] |
| [2 1 3] | [2 1 3] | [3 1 2] | [1 2 3] | [3 2 1] | [1 3 2] | [2 3 1] |
| [2 3 1] | [2 3 1] | [3 2 1] | [1 3 2] | [3 1 2] | [1 2 3] | [2 1 3] |
| [3 1 2] | [3 1 2] | [2 1 3] | [3 2 1] | [1 2 3] | [2 3 1] | [1 3 2] |
| [3 2 1] | [3 2 1] | [2 3 1] | [3 1 2] | [1 3 2] | [2 1 3] | [1 2 3] |

Operation table for permutation group

- In the previous example, we showed that a set of permutations with the composition operation is a group.
- This implies that using two permutations one after another cannot strengthen the security of a cipher.
- Because we can always find a permutation that can do the same job because of the closure property.

#### Finite Group

If the set has a finite number of elements;
 otherwise, it is an infinite group.

#### Order of a Group |G|

- The number of elements in the group.
- If the group is finite, its order is finite

#### Subgroups

A subset H of a group G is a subgroup of G if H itself is a group with respect to the operation on G

- Subgroups(cont.)
  - If G=<S, •> is a group, H=<T, •> is a group under the same operation, and T is a nonempty subset of S, then H is a subgroup of G
    - If a and b are members of both groups, then c=a•b is also member of both groups
    - The group share the same identity element
    - If a is a member of both groups, the inverse of a is also a member of both groups
    - The group made of the identity element of G, H=<{e}, ●>, is a subgroup of G
    - Each group is a subgroup of itself

#### • Exercise:

- Is the group  $H = \langle Z_{10}, + \rangle$  a subgroup of the group  $G = \langle Z_{12}, + \rangle$ ?

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- Is the group  $H = \langle Z_{10}, + \rangle$  a subgroup of the group  $G = \langle Z_{12}, + \rangle$ ?

#### Solution:

The answer is no. Although H is a subset of G, the operations defined for these two groups are different. The operation in H is addition modulo 10; the operation in G is addition modulo 12.

- Cyclic subgroups
  - If a subgroup of a group can be generated using the power of an element, the subgroup is called the cyclic subgroup.

$$a^n \to a \bullet a \bullet \dots \bullet a \quad (n \text{ times})$$

- Four cyclic subgroups can be made from the group  $G = \langle Z_6, + \rangle$ .
- They are  $H_1 = \langle \{0\}, + \rangle$ ,  $H_2 = \langle \{0, 2, 4\}, + \rangle$ ,  $H_3 = \langle \{0, 3\}, + \rangle$ , and  $H_4 = G$ .

$$0^0 \bmod 6 = 0$$

$$1^{0} \mod 6 = 0$$
  
 $1^{1} \mod 6 = 1$   
 $1^{2} \mod 6 = (1 + 1) \mod 6 = 2$   
 $1^{3} \mod 6 = (1 + 1 + 1) \mod 6 = 3$   
 $1^{4} \mod 6 = (1 + 1 + 1 + 1) \mod 6 = 4$   
 $1^{5} \mod 6 = (1 + 1 + 1 + 1 + 1) \mod 6 = 5$ 

$$2^0 \mod 6 = 0$$
  
 $2^1 \mod 6 = 2$   
 $2^2 \mod 6 = (2 + 2) \mod 6 = 4$ 

$$3^0 \mod 6 = 0$$
  
 $3^1 \mod 6 = 3$ 

$$4^0 \mod 6 = 0$$
  
 $4^1 \mod 6 = 4$   
 $4^2 \mod 6 = (4 + 4) \mod 6 = 2$ 

$$5^{0} \mod 6 = 0$$
  
 $5^{1} \mod 6 = 5$   
 $5^{2} \mod 6 = 4$   
 $5^{3} \mod 6 = 3$   
 $5^{4} \mod 6 = 2$   
 $5^{5} \mod 6 = 1$ 

- Exercise:
  - Find out the cyclic subgroups for group  $G = \langle Z_{10} *, \times \rangle$ .

• Three cyclic subgroups can be made from the group  $G = \langle Z_{10}^*, \times \rangle$ . G has only four elements: 1, 3, 7, and 9. The cyclic subgroups are  $H_1 = \langle \{1\}, \times \rangle$ ,  $H_2 = \langle \{1, 9\}, \times \rangle$ , and  $H_3 = G$ .

$$1^0 \mod 10 = 1$$

$$3^0 \mod 10 = 1$$
  
 $3^1 \mod 10 = 3$   
 $3^2 \mod 10 = 9$   
 $3^3 \mod 10 = 7$ 

$$7^0 \mod 10 = 1$$
 $7^1 \mod 10 = 7$ 
 $7^2 \mod 10 = 9$ 
 $7^3 \mod 10 = 3$ 

$$9^0 \mod 10 = 1$$
  
 $9^1 \mod 10 = 9$ 

- Cyclic group
  - A cyclic group is a group that is its own cyclic subgroup.

$$\{e, g, g^2, \dots, g^{n-1}\}\$$
, where  $g^n = e$ 

- Cyclic group(cont.)
- Example:
  - Three cyclic subgroups can be made from the group  $G = < Z10*, \times>$ .
  - The cyclic subgroups are  $H1 = \langle \{1\}, \times \rangle$ ,  $H2 = \langle \{1, 9\}, \times \rangle$ , and H3 = G.
  - The group  $G = \langle Z_{10} *, \times \rangle$  is a cyclic group with two generators, g = 3 and g = 7.
  - The group  $G = \langle Z_6, + \rangle$  is a cyclic group with two generators, g = 1 and g = 5.

- Lagrange's Theorem
  - Assume that G is a group, and H is a subgroup of G. If the order of G and H are |G| and |H|, respectively, then, based on this theorem, |H| divides |G|.
- Order of an Element
  - The order of an element is the order of the cyclic group it generates.

#### Example:

– In the group  $G = \langle Z_6, + \rangle$ , the orders of the elements are:

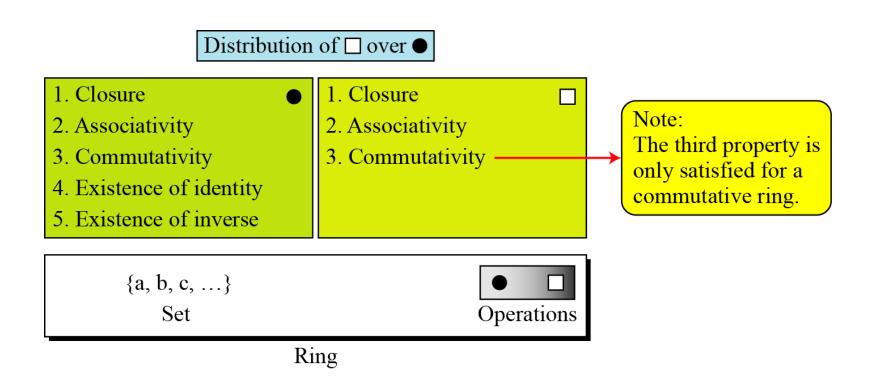
- In the group  $G = \langle Z_{10}^*, \times \rangle$ , the orders of the elements are: ord(1) = 1, ord(3) = 4, ord(7) = 4, ord(9) = 2.

# Ring

- A ring, R = <{...}, •,■>, is an algebraic structure with two operations.
- First operation must satisfy all five properties
- Second operation must satisfy only the first two
- In addition, second operation must be distributed over first
  - i.e. for all a, b, and c elements of R, we have,  $a \blacksquare (b \bullet c) = (a \blacksquare b) \bullet (a \blacksquare c)$  and  $(a \bullet b) \blacksquare c = (a \blacksquare c) \bullet (a \blacksquare c)$

# Ring(cont.)

Commutative Ring

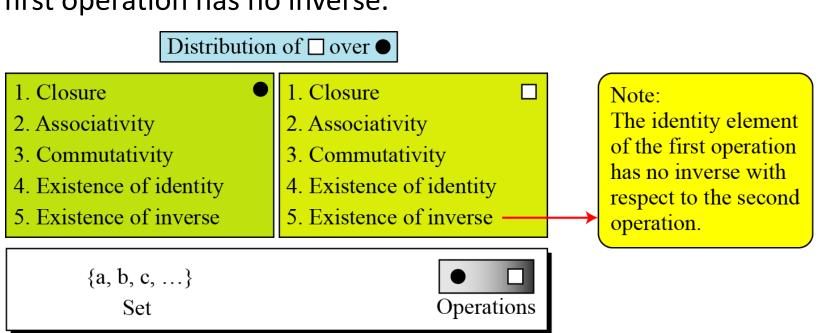


# Ring(cont.)

- The set Z with two operations, addition and multiplication, is a commutative ring.
- We show it by  $R = \langle Z, +, \times \rangle$ .
- Addition satisfies all of the five properties;
   multiplication satisfies only three properties.

#### Field

• A field, denoted by  $F = \langle \{...\}, \bullet, \blacksquare \rangle$  is a commutative ring in which the second operation satisfies all five properties defined for the first operation except that the identity of the first operation has no inverse.



Field

#### Finite Fields

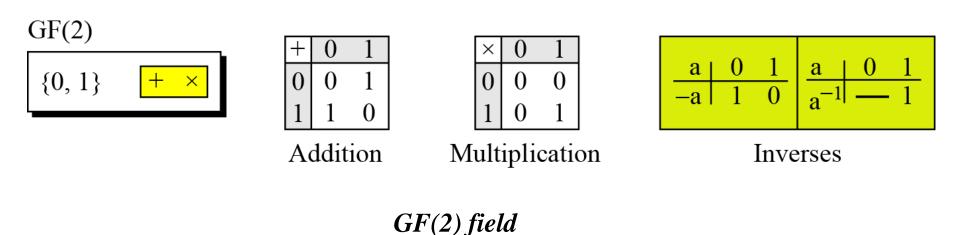
– Galois showed that for a field to be finite, the number of elements should be  $p^n$ , where p is a prime and n is a positive integer.

A Galois field, GF(p<sup>n</sup>), is a finite field with p<sup>n</sup> elements.

#### • GF(p) Fields

- When n = 1, we have GF(p) field.
- This field can be the set  $Z_p$ , {0, 1, ..., p 1}, with two arithmetic operations.

A very common field in this category is GF(2) with the set {0, 1} and two operations, addition and multiplication.



 We can define GF(5) on the set Z₅ (5 is a prime) with addition and multiplication operators.

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GF(5)  $\{0, 1, 2, 3, 4\} + \times$ 

| +      | 0   | 1 | 2           | 3 | 4 |
|--------|-----|---|-------------|---|---|
| 0      | 0   | 1 | 2<br>3<br>4 | 3 | 4 |
| 1      | 1   | 2 | 3           | 4 | 0 |
| 2      | 2 3 | 3 | 4           | 0 | 1 |
| 2<br>3 | 3   | 4 | 0           | 1 | 2 |
| 4      | 4   | 0 | 1           | 2 | 3 |

Addition

| × | 0 | 1 | 2   |   | 4 |
|---|---|---|-----|---|---|
| 0 | 0 | 0 | 0 2 | 0 | 0 |
| 1 | 0 | 1 | 2   | 3 | 4 |
| 2 | 0 |   | 4   | 1 | 3 |
| 3 | 0 | 3 | 1 3 | 4 | 2 |
| 4 | 0 | 4 | 3   | 2 | 1 |

Multiplication

Additive inverse

Multiplicative inverse

GF(5) field

#### • Summary:

| Algebraic<br>Structure | Supported<br>Typical Operations   | Supported<br>Typical Sets of Integers |
|------------------------|-----------------------------------|---------------------------------------|
| Group                  | $(+ -) \text{ or } (\times \div)$ | $\mathbf{Z}_n$ or $\mathbf{Z}_n^*$    |
| Ring                   | (+ −) and (×)                     | Z                                     |
| Field                  | $(+ -)$ and $(\times \div)$       | $\mathbf{Z}_p$                        |

# GF(2<sup>n</sup>) FIELDS

- In cryptography, we often need to use four operations(addition, subtraction, multiplication and division).
- In other words, we need to use fields.
- However, when we work with computers, the positive integers are stored in the computers as n-bit words in which n is usually 8,16,32 and so on.
- Range of integers is 0 to 2<sup>n</sup> 1
- Hence modulus is ?????
- What if we want to use field?????

# GF(2<sup>n</sup>) FIELDS (cont.)

#### Solution 1

- Use GF(p), with the set Zp, where p is the largest prime number less than 2<sup>n</sup>
- But the problem ???

#### Solution 2

- Use GF(2<sup>n</sup>)
- Use a set of 2<sup>n</sup> words
- The elements in this set are n-bit words
- E.g. for n=3, the set is  $\{000,001,010,011,100,101,110,111\}$

# GF(2<sup>n</sup>) FIELDS (cont.)

- Solution 2
  - But the problem???

## GF(2<sup>n</sup>) FIELDS (cont.)

- Solution 2
  - But the problem???
  - 2<sup>n</sup> is not prime
  - Need to define operations on the set of elements in GF(2<sup>n</sup>)

# GF(2<sup>n</sup>) FIELDS (cont.)

- Let us define a GF(2<sup>2</sup>) field in which the set has four 2-bit words: {00, 01, 10, 11}.
- We can redefine addition and multiplication for this field in such a way that all properties of these operations are satisfied.

| <b>Identity: 00</b> |    |    |    |    | Ide       | enti  | ity: | 01   |    |
|---------------------|----|----|----|----|-----------|-------|------|------|----|
| 11                  | 11 | 10 | 01 | 00 | 11        | 00    | 11   | 01   | 10 |
| 10                  | 10 | 11 | 00 | 01 | 10        | 00    | 10   | 11   | 01 |
| 01                  | 01 | 00 | 11 | 10 | 01        | 00    | 01   | 10   | 11 |
| 00                  | 00 | 01 | 10 | 11 | 00        | 00    | 00   | 00   | 00 |
| $\bigoplus$         | 00 | 01 | 10 | 11 | $\otimes$ | 00    | 01   | 10   | 11 |
| Addition            |    |    |    |    | Mu        | ltıp. | lica | tıon |    |

An example of  $GF(2^2)$  field

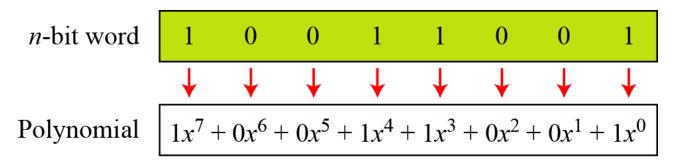
#### Polynomials

A polynomial of degree n – 1 is an expression of the form

$$f(x) = a_{n-1}x^{n-1} + a_{n-2}x^{n-2} + \dots + a_1x^1 + a_0x^0$$

• where  $x^i$  is called the ith term and  $a_i$  is called coefficient of the *i*th term.

We can represent the 8-bit word (10011001) using a polynomial.



First simplification

$$1x^7 + 1x^4 + 1x^3 + 1x^0$$

Second simplification

$$x^7 + x^4 + x^3 + 1$$

- Find the 8-bit word related to the polynomial  $x^5 + x^2 + x$ , we first supply the omitted terms.
- Since n = 8, it means the polynomial is of degree 7. The expanded polynomial is,

$$0x^7 + 0x^6 + 1x^5 + 0x^4 + 0x^3 + 1x^2 + 1x^1 + 0x^0$$

This is related to the 8-bit word 00100110.

- Operations on polynomials
  - Actually involves two operations
    - Operation on coefficients and operation on polynomials
  - Hence, need to define two fields
  - What for coefficient??
  - What for polynomials???

- Operations on polynomials
  - Actually involves two operations
    - Operation on coefficients and operation on polynomials
  - Hence, need to define two fields
  - What for coefficient??
  - What for polynomials???

- GF(2) and GF(2<sup>n</sup>) is the answer....

#### Modulus

- For the sets of polynomials in  $GF(2^n)$ , a group of polynomials of degree n is defined as the modulus.
- Such polynomials are referred to as irreducible polynomials.

- irreducible polynomials.
  - No polynomial in the set can divide this polynomial
  - Can not be factored into a polynomial with degree of less than n

| Degree | Irreducible Polynomials  |
|--------|--|
| 1      | (x+1),(x)  |
| 2      | $(x^2 + x + 1)$  |
| 3      | $(x^3 + x^2 + 1), (x^3 + x + 1)$   |
| 4      | $(x^4 + x^3 + x^2 + x + 1), (x^4 + x^3 + 1), (x^4 + x + 1)$  |
| 5      | $(x^5 + x^2 + 1), (x^5 + x^3 + x^2 + x + 1), (x^5 + x^4 + x^3 + x + 1),$<br>$(x^5 + x^4 + x^3 + x^2 + 1), (x^5 + x^4 + x^2 + x + 1)$ |

Polynomial addition

Addition and subtraction operations on polynomials are the same operation.

- Example
- Let us do  $(x^5 + x^2 + x) \oplus (x^3 + x^2 + 1)$  in GF(2<sup>8</sup>). We use the symbol  $\oplus$  to show that we mean polynomial addition. The following shows the procedure:

$$0x^{7} + 0x^{6} + 1x^{5} + 0x^{4} + 0x^{3} + 1x^{2} + 1x^{1} + 0x^{0} \oplus 0x^{7} + 0x^{6} + 0x^{5} + 0x^{4} + 1x^{3} + 1x^{2} + 0x^{1} + 1x^{0}$$

$$0x^{7} + 0x^{6} + 1x^{5} + 0x^{4} + 1x^{3} + 0x^{2} + 1x^{1} + 1x^{0} \to x^{5} + x^{3} + x + 1$$

- Short cut method
  - Addition in GF(2) means the exclusive-or (XOR) operation.
  - So we can exclusive-or the two words, bits by bits, to get the result.
  - In the previous example,  $x^5 + x^2 + x$  is 00100110 and  $x^3 + x^2 + 1$  is 00001101.
  - The result is 00101011 or in polynomial notation  $x^5 + x^3 + x + 1$ .

#### Multiplication

- The coefficient multiplication is done in GF(2).
- The multiplying  $x^i$  by  $x^j$  results in  $x^{i+j}$ .
- The multiplication may create terms with degree more than n-1, which means the result needs to be reduced using a modulus polynomial.

#### Example

- Find the result of  $(x^5 + x^2 + x) \otimes (x^7 + x^4 + x^3 + x^2 + x)$  in GF(28) with irreducible polynomial  $(x^8 + x^4 + x^3 + x + 1)$ .

$$P_{1} \otimes P_{2} = x^{5}(x^{7} + x^{4} + x^{3} + x^{2} + x) + x^{2}(x^{7} + x^{4} + x^{3} + x^{2} + x) + x(x^{7} + x^{4} + x^{3} + x^{2} + x)$$

$$P_{1} \otimes P_{2} = x^{12} + x^{9} + x^{8} + x^{7} + x^{6} + x^{9} + x^{6} + x^{5} + x^{4} + x^{3} + x^{8} + x^{5} + x^{4} + x^{3} + x^{2}$$

$$P_{1} \otimes P_{2} = (x^{12} + x^{7} + x^{2}) \mod (x^{8} + x^{4} + x^{3} + x + 1) = x^{5} + x^{3} + x^{2} + x + 1$$

 To find the final result, divide the polynomial of degree 12 by the polynomial of degree 8 (the modulus) and keep only the remainder.

Polynomial division with coefficients in GF(2)

$$x^{4} + 1$$

$$x^{8} + x^{4} + x^{3} + x + 1$$

$$x^{12} + x^{7} + x^{2}$$

$$x^{12} + x^{8} + x^{7} + x^{5} + x^{4}$$

$$x^{8} + x^{5} + x^{4} + x^{2}$$

$$x^{8} + x^{4} + x^{3} + x + 1$$

Remainder 
$$x^5 + x^3 + x^2 + x + 1$$

#### Example:

- In GF (2<sup>4</sup>), find the inverse of  $(x^2 + 1)$  modulo  $(x^4 + x + 1)$ .

#### Solution

– The answer is  $(x^3 + x + 1)$ 

| q           | $r_{I}$         | $r_2$       | r   | $t_I$           | $t_2$           | t               |
|-------------|-----------------|-------------|-----|-----------------|-----------------|-----------------|
| $(x^2 + 1)$ | $(x^4 + x + 1)$ | $(x^2 + 1)$ | (x) | (0)             | (1)             | $(x^2 + 1)$     |
| (x)         | $(x^2 + 1)$     | (x)         | (1) | (1)             | $(x^2 + 1)$     | $(x^3 + x + 1)$ |
| (x)         | (x)             | (1)         | (0) | $(x^2 + 1)$     | $(x^3 + x + 1)$ | (0)             |
|             | (1)             | (0)         |     | $(x^3 + x + 1)$ | (0)             |                 |

#### Example:

– In GF(2<sup>8</sup>), find the inverse of (x<sup>5</sup>) modulo ( $x^8 + x^4 + x^3 + x + 1$ ).

#### Example:

– In GF(2<sup>8</sup>), find the inverse of ( $x^5$ ) modulo ( $x^8 + x^4 + x^3 + x + 1$ ).

#### Solution

| q              | $r_I$                 | $r_2$                | r                     | $t_1$              | $t_2$                   | t                       |
|----------------|-----------------------|----------------------|-----------------------|--------------------|-------------------------|-------------------------|
| $(x^3)$        | $(x^8 + x^4 + x^3 -$  | $+x+1$ ) $(x^5)$     | $(x^4 + x^3 + x + 1)$ | (0)                | (1)                     | $(x^3)$                 |
| (x+1)          | $(x^5)$ (2)           | $x^4 + x^3 + x + 1$  | $(x^3 + x^2 + 1)$     | (1)                | $(x^3)$                 | $(x^4 + x^3 + 1)$       |
| (x)            | $(x^4 + x^3 + x + 1)$ | 1) $(x^3 + x^2 + 1)$ | (1)                   | $(x^3)$            | $(x^4 + x^3 + 1)$       | $(x^5 + x^4 + x^3 + x)$ |
| $(x^3 + x^2 +$ | 1) $(x^3 + x^2 + 1)$  | (1)                  | (0)                   | $(x^4 + x^3 + 1)$  | $(x^5 + x^4 + x^3 + x)$ | (0)                     |
|                | (1)                   | (0)                  |                       | $(x^5 + x^4 + x^3$ | (0)                     |                         |

- A better algorithm: Obtain the result by repeatedly multiplying a reduced polynomial by x.
- Example:
  - Find the result of multiplying  $P_1 = (x^5 + x^2 + x)$  by  $P_2 = (x^7 + x^4 + x^3 + x^2 + x)$  in  $GF(2^8)$  with irreducible polynomial  $(x^8 + x^4 + x^3 + x + 1)$

#### • Solution:

– We first find the partial result of multiplying  $x^0$ ,  $x^1$ ,  $x^2$ ,  $x^3$ ,  $x^4$ , and  $x^5$  by  $P_2$ . Note that although only three terms are needed, the product of  $x^m \otimes P_2$  for m from 0 to 5 because each calculation depends on the previous result.

| Powers   | Operation                               | New Result                  | Reduction |  |
|--|---|-----------------------------|-----------|--|
| $x^0 \otimes P_2$  |   | $x^7 + x^4 + x^3 + x^2 + x$ | No        |  |
| $x^1 \otimes P_2$  | $x \otimes (x^7 + x^4 + x^3 + x^2 + x)$ | $x^5 + x^2 + x + 1$         | Yes       |  |
| $x^2 \otimes P_2$  | $x \otimes (x^5 + x^2 + x + 1)$         | $x^6 + x^3 + x^2 + x$       | No        |  |
| $x^3 \otimes P_2$  | $x \otimes (x^6 + x^3 + x^2 + x)$       | $x^7 + x^4 + x^3 + x^2$     | No        |  |
| $x^4 \otimes P_2$  | $x \otimes (x^7 + x^4 + x^3 + x^2)$     | $x^5 + x + 1$               | Yes       |  |
| $x^5 \otimes P_2$  | $x \otimes (x^5 + x + 1)$               | $x^6 + x^2 + x$             | No        |  |
| $\mathbf{P_1} \times \mathbf{P_2} = (x^6 + x^2 + x) + (x^6 + x^3 + x^2 + x) + (x^5 + x^2 + x + 1) = x^5 + x^3 + x^2 + x + 1$ |   |                             |           |  |

#### • Exercise:

Find the result of multiplying  $P_1 = (x^3 + x^2 + x + 1)$  by  $P_2 = (x^2 + 1)$  in GF(2<sup>4</sup>) with irreducible polynomial ( $x^4 + x^3 + 1$ )

#### • Exercise:

Find the result of multiplying (10101) by (10000) in  $GF(2^5)$  using  $(x^5 + x^2 + 1)$  as modulus.