# Empowering Domain Recursion in Symmetric Weighted First-Order Model Counting

# 23rd January 2022

# 1 Basic Definitions

### Things I might need to explain.

- notation: Im
- atom, (positive/negative) literal, constant, predicate, variable, literal variable
- inequality constraint. Notation: (x, y), where x is a variable (of the clause), and y is either a variable or a constant.
- Vars,  $Vars(c) = Vars(L) \cup Vars(C)$
- Doms on both formulas and clauses. Doms $(c) = \operatorname{Im} \delta_c$ , and Doms $(\phi) = \bigcup_{c \in \phi} \operatorname{Doms}(c)$ .
- size of a domain, how each domain is partitioned into two during compilation.
- maybe: notation for partial function, notation for powerset, domain size
- notation for projection (or avoid it?)
- WMC
- constraint removal operation
- two parts: compilation and inference.
- introduce and use arrows for bijections, injections, set inclusions, etc.
- Ø for an empty partial map.
- notation for variable substitution for literal and constraint sets: S[x/V], where S is the set, V is a set of variable, and x is the new constant or variable. Note that for constraint sets this could mean that a variable and a constant need to switch places.
- During inference, there is a domain size map size:  $\mathcal{D} \to \mathbb{N}_0$ .

Let  $\mathcal{V}$  be the set of circuit nodes.

#### **TODO**

- later: maybe mathcal instead of mathscr
- maybe  $\pi$  is global enough to have a more unique name
- maybe  $c[\beta, \gamma]$  is better than  $c * (\beta, \gamma)$
- capitalise variable names.

**Definition 1.** A domain is a set with elements not used anywhere else. Let  $\mathscr{D}$  be the set of all domains and  $\mathscr{C} \subset \mathscr{D}$  be the subset of domains introduced as a consequence of constraint removal. Note that both sets (can) expand during the compilation phase.

Let  $\pi: \mathscr{D} \to \mathscr{D}$  be a partial endomorphism on  $\mathscr{D}$  that denotes the *parent* relation, i.e., if  $\pi(d) = e$  for some  $d, e \in \mathscr{D}$ , then we call e the parent (domain) of d, and e a child of d. Intuitively,  $\pi$  arranges all domains into a forest—thus, we often use graph theoretical terminology to describe properties of and relationships between domains.

**Definition 2.** A clause is a triple  $c = (L, C, \delta_c)$ , where L is the set of literals, C is a set of inequality constraints, and  $\delta_c$ :  $Vars(c) \to \mathcal{D}$  is a function that maps all variables in c to their domains. Two clauses c and  $d = (L', C', \delta_d)$  are isomorphic (written  $c \cong d$ ) if there is a bijection  $\beta$ :  $Vars(c) \to Vars(d)$  such that  $c\beta = d\beta$ . TODO: we will always use this subscript notation for the  $\delta$ 's. Equality of clauses is defined in the usual way (i.e., all variables, domains, etc. must match).

A formula is a set of clauses.

We use hash codes to efficiently check whether a recursive relationship between two formulas is plausible. (It is plausible if the formulas are equal up to variables and domains.) The hash code of a clause  $c = (L, C, \delta_c)$  combines the hash codes of the sets of constants and predicates in c, the numbers of positive and negative literals, the number of inequality constraints |C|, and the number of variables  $|\operatorname{Vars}(c)|$ . The hash code of a formula  $\phi$  combines the hash codes of all its clauses and is denoted  $\#\phi$ .

# 2 Identifying Possibilities for Recursion

**Definition 3** (Notation). For any clause  $c = (L, C, \delta_c)$ , bijection  $\beta$ :  $Vars(c) \to V$  (for some set of variables V) and function  $\gamma$ :  $Doms(c) \to \mathcal{D}$ , let  $c * (\beta, \gamma) = d$  be the clause with all occurrences of any variable  $v \in Vars(c)$  in L and C replaced with  $\beta(v)$  (so Vars(d) = V) and  $\delta_d : V \to \mathcal{D}$  defined as  $\delta_d := \gamma \circ \delta_c \circ \beta^{-1}$ . In other words,  $\delta_d$  is the unique function that makes the following diagram commute:

$$\begin{aligned} \operatorname{Vars}(c) & \rightarrowtail^{\beta} V = \operatorname{Vars}(d) \\ \delta_c & & \downarrow^{\exists ! \delta_d} \\ \operatorname{Doms}(c) & \xrightarrow{\gamma} \mathscr{D}. \end{aligned}$$

The function traceAncestors returns null if domain  $d \in \mathcal{D}$  is not an ancestor of domain  $e \in \mathcal{D}$ . Otherwise, it returns true if the size of e is guaranteed to be strictly smaller than the size of d (i.e., there is domain created by the constraint removal rule on the path from d to e) and false if their sizes will be equal at some point during inference.

Notation: For partial functions  $\alpha, \beta \colon A \to B$  such that  $\alpha|_{\operatorname{dom}(\alpha) \cap \operatorname{dom}(\beta)} = \beta|_{\operatorname{dom}(\alpha) \cap \operatorname{dom}(\beta)}$ , we write  $\alpha \cup \beta$  for the unique partial function such that  $\alpha \cup \beta|_{\operatorname{dom}(\alpha)} = \alpha$ , and  $\alpha \cup \beta|_{\operatorname{dom}(\beta)} = \beta$ .

<sup>&</sup>lt;sup>1</sup>In the context of functions, the domain of a function f retains its usual meaning and is denoted dom(f).

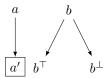


Figure 1: The forest of domains from Example 1. The directed edges correspond to the pairs of domains in  $\pi$  but in the opposite direction, e.g.,  $\pi(a') = a$ . The domain enclosed in a rectangle is the only domain created by constraint removal, i.e.,  $\mathscr{C} = \{a'\}$ .

#### **TODO**

- explain why  $\rho \cup \gamma$  is possible
- explain what the second return statement is about and why a third one is not necessary
- mention which one is the main function, what each function takes and returns
- explain the yield keyword
- in the example below: write down both formula using the Forclift format

The algorithm could be improved in two ways:

- by constructing a domain map first and then using it to reduce the number of viable variable bijections.
- by similarly using the domain map  $\rho$ .

However, it is not clear that this would result in an overall performance improvement, since the number of variables in instances of interest never exceeds three and the identity bijection is typically the right one.

Diagramatically, constructDomainMap attempts to find  $\gamma \colon \mathrm{Doms}(c) \to \mathrm{Doms}(d)$  such that the following diagram commutes (and returns null if such a function does not exist):

$$\begin{array}{ccc}
\operatorname{Vars}(c) & \xrightarrow{\beta} & \operatorname{Vars}(d) \\
\delta_c \downarrow & & \downarrow \delta_d \\
\operatorname{Doms}(c) & \xrightarrow{\gamma} & \operatorname{Doms}(d) \\
\downarrow & & \downarrow \\
\mathscr{D} & \xrightarrow{\varrho} & \mathscr{D}.
\end{array}$$

#### **Example 1.** Let $\phi$ be the formula

$$\forall X \in a. \forall Y \in b. \forall Z \in b. Y \neq Z \implies \neg p(X,Y) \lor \neg p(X,Z) \tag{1}$$

$$\forall X \in a. \forall Y \in b. \forall Z \in a. X \neq Z \implies \neg p(X, Y) \lor \neg p(Z, Y). \tag{2}$$

and  $\psi$  be the formula

$$\forall X \in a'. \forall Y \in b^{\perp}. \forall Z \in b^{\perp}. Z \neq Y \implies \neg p(X, Y) \lor \neg p(X, Z)$$
(3)

$$\forall X \in a'. \forall Y \in b^{\perp}. \forall Z \in a'. X \neq Z \implies \neg p(X, Y) \lor \neg p(Z, Y) \tag{4}$$

The relevant domains and the definition of  $\pi$  is in Fig. 1. Since  $\#\phi = \#\psi$ , and the formulas are non-empty, the algorithm proceeds with the for-loops on Lines 6 to 8. Suppose c in the algorithm refers to Eq. (1), and d to Eq. (3). Since both clauses have three variables, in the worst case, function generateMaps would have 3! = 6 bijections to check. Suppose the identity bijection is picked first. Then constructDomainMap is called with the following parameters:

- $V = \{ X, Y, Z \},$
- $\delta_c = \{ X \mapsto a, Y \mapsto b, Z \mapsto b \},$
- $\delta_d = \{ X \mapsto a', Y \mapsto b^{\perp}, Z \mapsto b^{\perp} \},$
- $\beta = \{ X \mapsto X, Y \mapsto Y, Z \mapsto Z \},$
- $\rho = \emptyset$ .

Since  $\delta_i(Y) = \delta_i(Z)$  for  $i \in \{c, d\}$ , constructDomainMap returns  $\gamma = \{a \mapsto a', b \mapsto b^{\perp}\}$ . Thus, generateMaps yields its first pair of maps  $(\beta, \gamma)$  to Line 8. Furthermore, the pair satisfies the  $c * (\beta, \gamma) = d$  condition.

Since  $\pi(a') = a$ , and  $a' \in \mathscr{C}$ , traceAncestors(a, a') returns true, which sets foundConstraintRemoval' to true as well. When e = b, however, traceAncestors(b,  $b^{\perp}$ ) returns false since  $b^{\perp}$  is a descendant of b but not created by the constraint removal compilation rule. On Line 18, a recursive call to identifyRecursion( $\phi'$ ,  $\psi'$ ,  $\gamma$ , true) is made, where  $\phi'$  and  $\psi'$  are new formulas with one clause each: Eq. (2) and Eq. (4), respectively.

Again we have two non-empty formulas with equal hash codes, so generateMaps is called with c set to Eq. (2), d set to Eq. (4), and  $\rho = \{a \mapsto a', b \mapsto b^{\perp}\}$ . Suppose Line 22 picks the identity bijection first again. Then constructDomainMap is called with the following parameters:

- $V = \{ X, Y, Z \},$
- $\delta_c = \{ X \mapsto a, Y \mapsto b, Z \mapsto a \},$
- $\delta_d = \{ X \mapsto a', Y \mapsto b^{\perp}, Z \mapsto a' \},$
- $\beta = \{ X \mapsto X, Y \mapsto Y, Z \mapsto Z \},$
- $\rho = \{ a \mapsto a', b \mapsto b^{\perp} \}.$

Since  $\beta$  and  $\rho$  'commute' (TODO: as in the diagram above), and there are no new domains in Doms(c) and Doms(d),  $\gamma$  exists and is equal to  $\rho$ . Again, the returned pair  $(\beta, \gamma)$  satisfies the condition  $c * (\beta, \gamma) = d$ . This  $\gamma$  passes the traceAncestors checks exactly the same way as the one before, and Line 18 calls identifyRecursion( $\emptyset$ ,  $\emptyset$ ,  $\rho$ , true), which immediately returns  $\rho = \{a \mapsto a', b \mapsto b^{\perp}\}$  as the final answer. This means that one can indeed use a circuit for WMC( $\phi$ ) to compute WMC( $\psi$ ) by replacing every mention of a with a' and every mention of b with  $b^{\perp}$ .

#### 2.1 Evaluation

 $WMC(Ref_{\rho}(n), size) = WMC(n, size')$  (n is the target circuit node), where size' is defined as

$$\operatorname{size}'(x) = \begin{cases} \operatorname{size}(\rho(x)) & \text{if } x \in \operatorname{dom}(\rho) \\ \operatorname{size}(x) & \text{otherwise} \end{cases}$$

for all  $x \in \mathcal{D}$ .

TODO: still need to connect this to tryCache.

# 3 New Operations

#### 3.1 Constraint Removal

TODO: introduce the Forclift-style notation (e.g., the compile operator) and the arbitrary formula  $\phi$ .

**Preconditions.** There is a domain  $d \in \mathcal{D}$  and an element  $e \in d$  such that:

- for each clause  $c = (L, C, \delta_c) \in \phi$  and variable  $v \in Vars(c)$ , either  $\delta_c(v) \neq d$  or  $(v, e) \in C$ ;
- e does not occur in any literal of any clause of  $\phi$ .

**Operator.** First, we introduce a new domain (i.e.,  $\mathscr{D} := \mathscr{D} \sqcup \{d'\}$ ), add it to  $\mathscr{C}$  (i.e.,  $\mathscr{C} := C \sqcup \{d'\}$ ), and set  $\pi(d') := d$ . Then  $CR(\phi) = CR_{d \mapsto d'}(COMPILE(\phi'))$ . The new formula  $\phi'$  is defined by replacing every clause  $(L, C, \delta) \in \phi$  by a clause  $c' = (L, C', \delta') \in \phi'$ , where

$$C' = \{ (x, y) \in C \mid y \neq e \},\$$

and

$$\delta'(x) = \begin{cases} d' & \text{if } \delta(x) = d\\ \delta(x) & \text{otherwise} \end{cases}$$

for all  $x \in Vars(c') \subseteq Vars(c)$ .

**Example 2.** Let  $\phi = \{c_1, c_2, c_e\}$  be a formula with clauses (constants lowercase, variables uppercase)

$$c_{1} = (\emptyset, \{ (Y, X) \}, \{ X \mapsto b^{\top}, Y \mapsto b^{\top} \}),$$

$$c_{2} = (\{ \neg p(X, Y), \neg p(X, Z) \}, \{ (X, x), (Y, Z) \}, \{ X \mapsto a, Y \mapsto b^{\perp}, Z \mapsto b^{\perp} \}),$$

$$c_{3} = (\{ \neg p(X, Y), \neg p(Z, Y) \}, \{ (X, x), (Z, X), (Z, x) \}, \{ X \mapsto a, Y \mapsto b^{\perp}, Z \mapsto a \}).$$

Domain a and with its element  $x \in a$  satisfy the preconditions for constraint removal. The operator introduces a new domain a' and transforms  $\phi$  to  $\phi' = (c'_1, c'_2, c'_3)$ , where

$$c'_{1} = c_{1}$$

$$c'_{2} = (\{ \neg p(X,Y), \neg p(X,Z) \}, \{ (Y,Z) \}, \{ X \mapsto a', Y \mapsto b^{\perp}, Z \mapsto b^{\perp} \})$$

$$c'_{3} = (\{ \neg p(X,Y), \neg p(Z,Y) \}, \{ (Z,X) \}, \{ X \mapsto a', Y \mapsto b^{\perp}, Z \mapsto a' \}).$$

Evaluation.

$$WMC(CR_{d\mapsto d'}(n), size) = \begin{cases} WMC(n, size \cup \{ d' \mapsto size(d) - 1 \}) & \text{if } size(d) > 0 \\ 0 & \text{otherwise.} \end{cases}$$

# 3.2 A Generalisation of Domain Recursion

TODO: Compare with the original. The original version of domain recursion is here [1]. TODO: introduce  $\phi$ .

**Precondition.** Domain recursion can be applied to domain  $d \in \mathcal{D}$  if there is a clause c with a literal variable  $v \in \text{Vars}(L_c)$  such that  $\delta_c(v) = d$ .

**Operator.** First, introduce a new constant x. Then  $DR(\phi) = DR_d(Compile(\phi'))$ , where  $\phi'$  is generated by Algorithm 2.

**Example 3.** Let  $\phi = \{c_1, c_2\}$  be a formula, where

$$c_1 = (\{ \neg p(X,Y), \neg p(X,Z) \}, \{ (Z,Y) \}, \{ X \mapsto a, Y \mapsto b, Z \mapsto b \}),$$
  
$$c_2 = (\{ \neg p(X,Y), \neg p(Z,Y) \}, \{ (Z,X) \}, \{ X \mapsto a, Y \mapsto b, Z \mapsto a \}).$$

While domain recursion is possible on both domains, here we illustrate how it works on a.

Suppose Line 2 picks  $c = c_1$  first. Then  $V = \{X\}$ . Both subsets of V satisfy the conditions on Line 4 and generate new clauses

$$(\{ \neg p(X,Y), \neg p(X,Z) \}, \{ (Z,Y), (X,x) \}, \{ X \mapsto a, Y \mapsto b, Z \mapsto b \}),$$

(from  $W = \emptyset$ ) and

$$(\{ \neg p(x, Y), \neg p(x, Z) \}, \{ (Z, Y) \}, \{ Y \mapsto b, Z \mapsto b \})$$

(from W = V).

When  $c = c_2$ , then  $V = \{X, Z\}$ . The subset W = V fails to satisfy the first condition because of the  $Z \neq X$  constraint; without this condition, the resulting clause would have an unsatisfiable constraint  $x \neq x$ . The other three subsets of V all generate clauses for  $\phi'$ :

$$(\{\neg p(X,Y), \neg p(Z,Y)\}, \{(Z,X), (X,x), (Z,x)\}, \{X \mapsto a, Y \mapsto b, Z \mapsto a\})$$
 (from  $W = \emptyset$ ), 
$$(\{\neg p(x,Y), \neg p(Z,Y)\}, \{(Z,x)\}, \{Y \mapsto b, Z \mapsto a\})$$
 (from  $W = \{X\}$ ), and 
$$(\{\neg p(X,Y), \neg p(x,Y)\}, \{(X,x)\}, \{X \mapsto a, Y \mapsto b,\})$$
 (from  $W = \{Z\}$ ).

#### Evaluation.

$$WMC(DR_d(n), size) = \begin{cases} WMC(n, size) & \text{if } size(d) > 0\\ 1 & \text{otherwise.} \end{cases}$$

One is picked as the multiplicative identity.

# 4 Other Topics

- $\bullet$  domains, smoothing, and avoiding infinite cycles
- new rules that don't create nodes (e.g., duplicate removal, unconditional contradiction detection, etc.)

## 5 Circuit Evaluation

TODO: algebraic/graphical representations

TODO: describe evaluation of: and, counting, constraint removal, ref, unit, contradiction, improved domain recursion. Most of this will be from [2].

# 6 Examples

## 6.1 Counting Partial Injections

### References

[1] VAN DEN BROECK, G. On the completeness of first-order knowledge compilation for lifted probabilistic inference. In Advances in Neural Information Processing Systems 24: 25th Annual Conference on Neural Information Processing Systems 2011. Proceedings of a meeting held 12-14 December 2011, Granada, Spain (2011), J. Shawe-Taylor, R. S. Zemel, P. L. Bartlett, F. C. N. Pereira, and K. Q. Weinberger, Eds., pp. 1386–1394.

[2] VAN DEN BROECK, G., TAGHIPOUR, N., MEERT, W., DAVIS, J., AND DE RAEDT, L. Lifted probabilistic inference by first-order knowledge compilation. In *IJCAI 2011, Proceedings of the 22nd International Joint Conference on Artificial Intelligence, Barcelona, Catalonia, Spain, July 16-22, 2011* (2011), T. Walsh, Ed., IJCAI/AAAI, pp. 2178–2185.

**Algorithm 1:** A recursive function for checking whether one can reuse the circuit for computing  $\text{WMC}(\phi)$  to compute  $\text{WMC}(\psi)$ . Both  $\phi$  and  $\psi$  are formulas, and  $\rho$ :  $\text{Doms}(\phi) \to \text{Doms}(\psi)$  is a partial map.

```
1 Function identifyRecursion(\phi, \psi, \rho = \emptyset, foundConstraintRemoval = false):
         if |\phi| \neq |\psi| or \#\phi \neq \#\psi then return null;
         if \phi = \emptyset then
 3
             if foundConstraintRemoval then return \rho;
 4
             return null;
 5
         foreach clause c \in \phi do
 6
             foreach clause d \in \psi such that \#d = \#c do
 7
                  foreach (\beta, \gamma) \in \text{generateMaps}(c, d, \rho) such that c * (\beta, \gamma) = d do
 8
                       foundConstraintRemoval' \leftarrow foundConstraintRemoval:
 9
                       suitableBijection ← true;
10
                       foreach e \in Doms(c) do
11
                            \mathsf{foundConstraintRemoval}'' \leftarrow \mathsf{traceAncestors}(e,\,\gamma(e));
12
                            if foundConstraintRemoval" = null then
13
                                suitableBijection \leftarrow false;
                                break;
15
                           if foundConstraintRemoval '' then foundConstraintRemoval ' \leftarrow \texttt{true};
16
                       if suitableBijection then
17
                            \rho'' \leftarrow \text{identifyRecursion}(\phi \setminus \{c\}, \psi \setminus \{d\}, \rho \cup \gamma, \text{foundConstraintRemoval'});
                           if \rho'' \neq \text{null then return } \rho'';
19
             return null;
20
   Function generateMaps (c, d, \rho):
21
         foreach bijection \beta: Vars(c) \rightarrow \text{Vars}(d) do
22
             \gamma \leftarrow \texttt{constructDomainMap}(\texttt{Vars}(c), \, \delta_c, \, \delta_d, \, \beta, \, \rho);
23
             if \gamma \neq null then yield (\beta, \gamma);
24
25 Function constructDomainMap(V, \delta_c, \delta_d, \beta, \rho):
         \gamma \leftarrow \emptyset;
26
         foreach v \in V do
27
             if \delta_c(v) \in \text{dom}(\rho) and \rho(\delta_c(v)) \neq \delta_d(\beta(v)) then return null;
28
             if \delta_c(v) \not\in \text{dom}(\gamma) then \gamma \leftarrow \gamma \cup \{\delta_c(v) \mapsto \delta_d(\beta(v))\};
29
             else if \gamma(\delta_c(v)) \neq \delta_d(\beta(v)) then return null;
30
        return \gamma;
31
32 Function traceAncestors (d, e):
         foundConstraintRemoval \leftarrow false;
33
         while e \neq d and e \in dom(\pi) do
34
             if e \in \mathcal{C} then foundConstraintRemoval \leftarrow true;
35
             e \leftarrow \pi(e);
36
         if e = d then return foundConstraintRemoval;
37
38
         return null;
```

## Algorithm 2: Formula transformation for domain recursion

```
Input: formula \phi, domain d \in \mathcal{D}, and constant x

Output: formula \phi'

1 \phi' \leftarrow \emptyset;

2 for each clause c = (L, C, \delta) \in \phi do

3 V \leftarrow \{v \in \text{Vars}(L) \mid \delta(v) = d\};

4 for each subset W \subseteq V such that W^2 \cap C = \emptyset and

W \cap \{v \in \text{Vars}(C) \mid (v, y) \in C \text{ for some constant } y\} = \emptyset do

5 \phi' \leftarrow \phi' \cup \{(L[x/W], C[x/W] \cup \{(v, x) \mid (v \in V \setminus W)\}, \delta')\};

\phi' \in \mathcal{O} is the restriction of \delta to the new set of variables

*/
```