Towards Practical First-Order Model Counting

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Abstract

First-order model counting (FOMC) is the problem of counting the number of models of a sentence in first-order logic. Since lifted inference techniques rely on reductions to variants of FOMC, the design of scalable methods for FOMC has attracted attention from both theoreticians and practitioners over the past decade. Recently, a new approach based on first-order knowledge compilation was proposed. This approach, called Crane, instead of simply providing the final count, generates definitions of (possibly recursive) functions that can be evaluated with different arguments to compute the model count for any domain size. However, this approach is not fully automated, as it requires manual evaluation of the constructed functions. The primary contribution of this work is a fully automated compilation algorithm, called Gantry, which transforms the function definitions into C++ code equipped with arbitrary-precision arithmetic. These additions allow the new FOMC algorithm to 21 scale to domain sizes over 500,000 times larger than the current state of the art, as demonstrated through experimental results.

- **2012 ACM Subject Classification** Theory of computation → Automated reasoning; Theory of computation \rightarrow Logic and verification; Mathematics of computing \rightarrow Combinatorics
- Keywords and phrases first-order model counting, knowledge compilation, lifted inference

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For the entire paper:

- Finish adding DOIs or URLs to (non-book) references (and reformatting indentation) (and check reference formatting)
- Sentence vs formula: be consistent and not confusing
- 15 pages excluding references
- Go through the rest of formatting instructions (in GTD)

1 Introduction

- We would like to clarify that the main contribution of this work consists of everything needed to complement recursive function definitions with the necessary base cases. This process includes identifying the base cases and their corresponding formulas, transforming them (including applying a new smoothing procedure), and recursively reusing Crane. C++ code generation, although relatively straightforward, is crucial for the usability of the algorithm.
- Focus more on current work

First-order model counting (FOMC) is the task of determining the number of models for a sentence in first-order logic over a specified domain. The weighted variant, WFOMC, computes the total weight of these models, linking logical reasoning with probabilistic frameworks [33]. It builds upon earlier efforts in weighted model counting for propositional logic [4] and broader attempts to bridge logic and probability [16, 18, 21]. WFOMC is central to lifted inference, which enhances the efficiency of probabilistic calculations by exploiting symmetries [13]. Lifted inference continues to advance, with applications extending to constraint satisfaction problems [25] and probabilistic answer set programming [1]. Moreover, WFOMC has proven effective at reasoning over probabilistic databases [8] and probabilistic logic programs [19]. FOMC algorithms have also facilitated breakthroughs in discovering integer sequences [23] and developing recurrence relations for these sequences [6]. Recently, these algorithms have been extended to perform sampling tasks [34].

The complexity of FOMC is generally measured by data complexity, with a formula classified as liftable if it can be solved in polynomial time relative to the domain size [11]. While all formulas with up to two variables are known to be liftable [30, 32], Beame et al. [3] demonstrated that liftability does not extend to all formulas, identifying an unliftable formula with three variables. Recent work has further extended the liftable fragment with additional axioms [24, 29] and counting quantifiers [14], expanding our understanding of liftability.

- Don't assume that the reader knows FOMC and FOMC algorithms
- It would be nice to give the necessary introduction to FOKC, or CRANE (an intuitive explanation early in the paper)
- The principal motivation of a knowledge compilation-based approach to FOMC comes from its ability to find polynomial-time solutions, where the polynomial has a lower degree compared to, e.g., FASTWFOMC [6]. The ability of the algorithm to mathematically describe recursive solutions is also noteworthy as the only other available alternative is to guess them [2].

FOMC algorithms are diverse, with approaches ranging from first-order knowledge compilation (FOKC) to local search [17], Monte Carlo sampling [7], and anytime approximation [27]. Among these, FOKC-based algorithms are particularly prominent, transforming formulas into structured representations such as circuits or graphs. Notable examples include FOR-CLIFT [33] and its successor Crane [6]. Another important algorithm, FastWFOMC [28], uses cell enumeration as its foundation.

The Crane algorithm marked a significant step forward, expanding the range of formulas handled by FOMC algorithms. However, it had notable limitations: it required manual evaluation of function definitions to compute model counts and introduced recursive functions without proper base cases, making it more complex to use. To address these shortcomings, we present Gantry, a fully automated FOMC algorithm that overcomes the constraints of its predecessor. Gantry can handle domain sizes over 500,000 times larger than previous

algorithms and simplifies the user experience by automatically handling base cases and compiling function definitions into efficient C++ programs.

In Section 2, we cover some preliminaries, and in Section 3, we detail all our technical contributions. Finally, in Section 4, we present our experimental results, demonstrating Gantry's performance compared to other FOMC algorithms, and, in Section 5, we conclude the paper by discussing promising avenues for future work.

2 Preliminaries

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In Section 2.1, we summarise the basic principles of first-order logic. Then, in Section 2.2, we formally define (W)FOMC and discuss the distinctions between three variations of first-order logic used for FOMC. Finally, in Section 2.3, we introduce the terminology used to describe the output of the original Crane algorithm, i.e., functions and equations that define them.

We use \mathbb{N}_0 to represent the set of non-negative integers. In both algebra and logic, we write $S\sigma$ to denote the application of a substitution σ to an expression S, where $\sigma = [x_1 \mapsto y_1, x_2 \mapsto y_2, \dots, x_n \mapsto y_n]$ signifies the replacement of all instances of x_i with y_i for all $i = 1, \dots, n$.

2.1 First-Order Logic

In this section, we will review the basic concepts of first-order logic as they are used in FOKC algorithms. We begin by introducing the format used internally by FORCLIFT and its descendants. Afterwards, we provide a high-level description of how an arbitrary sentence in first-order logic is transformed into this internal format.

A term can be either a variable or a constant. An atom can be either $P(t_1, \ldots, t_m)$ (i.e., $P(\mathbf{t})$) for some predicate P and terms t_1, \ldots, t_m or x = y for some terms x and y. The arity of a predicate is the number of arguments it takes, i.e., m in the case of the predicate P mentioned above. We write P/m to denote a predicate along with its arity. A literal can be either an atom (i.e., a positive literal) or its negation (i.e., a negative literal). An atom is ground if it contains no variables, i.e., only constants. A clause is of the form $\forall x_1 \in \Delta_1. \ \forall x_2 \in \Delta_2 \ldots \ \forall x_n \in \Delta_n. \ \phi(x_1, x_2, \ldots, x_n)$, where ϕ is a disjunction of literals that only contain variables x_1, \ldots, x_n (and any constants). We say that a clause is a (positive) unit clause if there is only one literal with a predicate, and it is a positive literal. Finally, a formula is a conjunction of clauses. Throughout the paper, we will use set-theoretic notation, interpreting a formula as a set of clauses and a clause as a set of literals.

▶ Remark. Conforming with previous work [33], the definition of a clause includes universal quantifiers for all variables within. While it is possible to rewrite the entire formula with all quantifiers at the front [9], the format we describe has proven itself convenient to work with.

There are two crucial preprocessing steps that transform an arbitrary sentence in first-order logic into the form used internally: Skolemization and rewriting the sentence as a conjunction of clauses. We describe the former in more detail. Skolemization [32] is a procedure that transforms a formula with existential quantifiers into a formula without existential quantifiers with the same WFOMC. (Note that it is different from the standard Skolemization that introduces function symbols [10].)

► Example 1. Skolemization transforms

$$\forall x \in \Gamma. \ \exists y \in \Delta. \ P(x, y) \tag{1}$$

Logic	Sorts	Constants	Variables	Quantifiers	Additional atoms
FO	one or more	✓	unlimited	,	x = y
C^2	one	×	two	\forall , \exists , $\exists^{=k}$, $\exists^{\leq k}$, $\exists^{\geq k}$	_
$UFO^2 + CC$	one	X	two	\forall	P = m

Table 1 A comparison of the three logics used in FOMC. The 2^{nd} – 5^{th} columns refer to: the number of sorts, support for constants, the maximum number of variables, and supported quantifiers, respectively. The last column lists supported atoms in addition to those of the form $P(\mathbf{t})$ for a predicate P/n and an n-tuple of terms \mathbf{t} . Here: k and m are non-negative integers, with the latter depending on the domain size, P represents a predicate, and x and y are terms.

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$$(\forall x \in \Gamma. \ Z(x)) \land$$

$$(\forall x \in \Gamma. \ \forall y \in \Delta. \ Z(x) \lor \neg P(x,y)) \land$$

$$(\forall x \in \Gamma. \ S(x) \lor Z(x)) \land$$

$$(\forall x \in \Gamma. \ \forall y \in \Delta. \ S(x) \lor \neg P(x,y)).$$

$$(2)$$

We will see how, with suitable weights on the new predicates S/1 and Z/1, the WFOMC of Formula (2) is equal to the FOMC of Formula (1).

Make sure to revisit this in the future

2.2 FOMC Algorithms and Their Logics

Shorten the part about the three logics

In Table 1, we outline the differences among three first-order logics commonly used in FOMC: FO, C^2 , and $UFO^2 + CC$. First, FO is the input format for FORCLIFT* and its extensions $CRANE^{\dagger}$ and GANTRY. Second, C^2 is often used in the literature on FASTWFOMC and related methods [14, 15]. Finally, $UFO^2 + CC$ is the input format supported by the most recent implementation of FASTWFOMC[‡]. The notation we use to refer to each logic is standard in the case of C^2 and $UFO^2 + CC$ [26] and redefined to be more specific in the case of FO. All three logics are function-free, and domains are always assumed to be finite. As usual, we presuppose the *unique name assumption*, which states that two constants are equal if and only if they are the same constant [20].

In FO, each term is assigned to a *sort*, and each predicate P/n is assigned to a sequence of n sorts. Each sort has its corresponding domain. These assignments to sorts are typically left implicit and can be reconstructed from the quantifiers. For example, $\forall x,y \in \Delta$. P(x,y) implies that variables x and y have the same sort. On the other hand, $\forall x \in \Delta$. $\forall y \in \Gamma$. P(x,y) implies that x and y have different sorts, and it would be improper to write, for example, $\forall x \in \Delta$. $\forall y \in \Gamma$. $P(x,y) \lor x = y$. FO is also the only logic to support constants, formulas with more than two variables, and the equality predicate. While we do not explicitly refer to sorts in subsequent sections of this paper, the many-sorted nature of FO is paramount to the algorithms presented therein.

^{*} https://github.com/UCLA-StarAI/Forclift

[†] https://doi.org/10.5281/zenodo.8004077

[†] https://github.com/jan-toth/FastWFOMC.jl

▶ Remark. In the case of FORCLIFT and its extensions, support for a formula as valid input does not imply that the algorithm can compile the formula into a circuit or graph suitable for lifted model counting. However, it is known that FORCLIFT compilation is guaranteed to succeed on any FO formula without constants and with at most two variables [30, 32].

Compared to FO, C^2 and $UFO^2 + CC$ lack support for constants, the equality predicate, multiple domains, and formulas with more than two variables. The advantage that C^2 brings over FO is the inclusion of counting quantifiers. That is, alongside \forall and \exists , C^2 supports $\exists^{=k}$, $\exists^{\leq k}$, and $\exists^{\geq k}$ for any positive integer k. For example, $\exists^{=1}x$. $\phi(x)$ means that there exists exactly one x such that $\phi(x)$, and $\exists^{\leq 2}x$. $\phi(x)$ means that there exist at most two such x. UFO² + CC, on the other hand, does not support any existential quantifiers but instead incorporates (equality) cardinality constraints. For example, |P| = 3 constrains all models to have precisely three positive literals with the predicate P.

- ▶ **Definition 2** (Model). Let ϕ be a formula in FO. For each predicate P/n in ϕ , let $(\Delta_i^P)_{i=1}^n$ be a list of the corresponding domains. Let σ be a map from the domains of ϕ to their interpretations as sets such that the sets are pairwise disjoint, and the constants in ϕ are included in the corresponding domains. A structure of ϕ is a set M of ground literals defined by adding to M either $P(\mathbf{t})$ or $\neg P(\mathbf{t})$ for every predicate P/n in ϕ and n-tuple $\mathbf{t} \in \prod_{i=1}^n \sigma(\Delta_i^P)$. A structure is a model if it makes ϕ valid.
- ▶ Remark. The distinctness of domains is important in two ways. First, in terms of expressiveness, a clause such as $\forall x \in \Delta$. P(x,x) is valid if predicate P is defined over two copies of the same domain and invalid otherwise. Second, having more distinct domains makes the problem more decomposable for the FOKC algorithm. With distinct domains, the algorithm can make assumptions or deductions about, e.g., the first domain of predicate P without worrying how (or if) they apply to the second domain.

While this work focuses on FOMC, we still define the weighted variant of the problem as Skolemization relies on weights even for unweighted FOMC.

Even though we are evaluating the algorithms on FOMC benchmarks, for sentences with existential quantifiers, computing FOMC using Gantry requires the use of WFOMC. For such sentences, Skolemization introduces predicates with non-unary weights that must be accounted for to compute the correct model count.

- ▶ **Definition 3** (WFOMC instance). A WFOMC instance comprises: a formula ϕ in FO, two (rational) weights $w^+(P)$ and $w^-(P)$ assigned to each predicate P in ϕ , and σ as described in Definition 2. Unless specified otherwise, we assume all weights to be equal to 1.
- ▶ **Definition 4** (WFOMC [33]). Given a WFOMC instance (ϕ, w^+, w^-, σ) as in Definition 3, the (symmetric) weighted first-order model count (WFOMC) of ϕ is

$$\sum_{M \models \phi} \prod_{P(\mathbf{t}) \in M} w^{+}(P) \prod_{\neg P(\mathbf{t}) \in M} w^{-}(P), \tag{3}$$

where the sum is over all models of ϕ .

Example 5 (Counting functions). To define predicate P as a function from a domain Δ to itself, in C^2 one would write $\forall x \in \Delta$. $\exists^{=1} y \in \Delta$. P(x, y). In $\mathsf{UFO}^2 + \mathsf{CC}$, the same could be written as

$$(\forall x, y \in \Delta. \ S(x) \lor \neg P(x, y)) \land (|P| = |\Delta|), \tag{4}$$

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where $w^{-}(S) = -1$. Although Formula (4) has more models compared to its counterpart in C^2 , the negative weight $w^-(S) = -1$ makes some of the terms in Equation (3) cancel out. Equivalently, in FO we would write 174

$$(\forall x \in \Gamma. \ \exists y \in \Delta. \ P(x,y)) \land (\forall x \in \Gamma. \ \forall y, z \in \Delta. \ P(x,y) \land P(x,z) \Rightarrow y = z). \tag{5}$$

The first clause asserts that each x must have at least one corresponding y, while the second statement adds the condition that if x is mapped to both y and z, then y must equal z. It is important to note that Formula (5) is written with two domains instead of just one. However, we can still determine the correct number of functions by assuming that the sizes of Γ and Δ are equal. This formulation, as observed by Dilkas and Belle [6], can prove beneficial in enabling FOKC algorithms to find efficient solutions.

2.3 Algebra

We write expr for an arbitrary algebraic expression. In the context of algebra, a constant is a non-negative integer. Likewise, a variable can either be a parameter of a function or a variable introduced through summation, such as i in the expression $\sum_{i=1}^{n} expr.$ A function 185 call is $f(x_1, \ldots, x_n)$ (or $f(\mathbf{x})$ for short), where f is an n-ary function, and each x_i is an 186 algebraic expression consisting of variables and constants. A (function) signature is function call that contains only variables. Given two function calls $f(\mathbf{x})$ and $f(\mathbf{y})$, we say that $f(\mathbf{y})$ 188 matches $f(\mathbf{x})$ if $x_i = y_i$ whenever $x_i, y_i \in \mathbb{N}_0$. An equation is $f(\mathbf{x}) = \exp \mathbf{r}$, where $f(\mathbf{x})$ is a signature. 190

- **Definition 6** (Base case). Let $f(\mathbf{x})$ be a function call where each x_i is either a constant or a variable. Then function call $f(\mathbf{y})$ is a base case of $f(\mathbf{x})$ if $f(\mathbf{y}) = f(\mathbf{x})\sigma$, where σ is a substitution that replaces one or more x_i with a constant.
- **Example 7.** In equation f(m,n) = f(m-1,n) + nf(m-1,n-1), the only constant is 1, and the variables are m and n. The equation contains three function calls: one on the 195 left-hand side (LHS), and two on the right-hand side (RHS). The function call on the LHS is a signature. Function calls such as f(4,n), f(m,0), and f(8,1) are all considered base cases of f(m, n) (only some of which are useful).

Technical Contributions

- The algorithm's recursive nature and its interaction with Crane could be explained more clearly
- More emphasis on the motivation for each part of Gantry (e.g., how FindBaseCases addresses key issues in CRANE) would add depth to the contribution
- this section should be expanded by 1 page ("a clear discussion of the innovation aspect and technical parts")

Figure 1 provides an overview of Gantry's workflow. Section 3.1 describes the main algorithm for completing the definitions of recursive functions with a sufficient set of base cases. Sections 3.2 and 3.3 describe subsidiary algorithms for constructing a set of base cases and their corresponding logical formulas. Section 3.4 explains the post-processing techniques for ensuring accurate model counting. Additionally, Section 3.5 explains the process of compiling equations into C++ code, greatly expanding upon the range of formulas that could previously be handled by similar approaches [12].

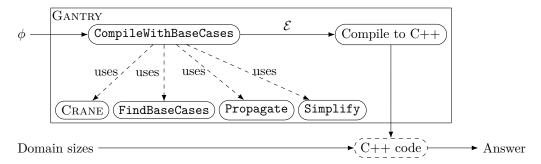


Figure 1 The outline of using Gantry to compute the model count of a formula ϕ . First, the formula is compiled into a set of equations, which are then used to create a C++ program. This program can be executed with different command line arguments to calculate the model count of ϕ for different domain sizes. To accomplish this, the CompileWithBaseCases procedure makes use of the FOKC algorithm of Crane, algebraic simplification techniques (denoted as Simplify), and two other auxiliary procedures.

Algorithm 1 CompileWithBaseCases(ϕ)

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Input: formula \phi
Output: set \mathcal{E} of equations

1 (\mathcal{E}, \mathcal{F}, \mathcal{D}) \leftarrow \text{Crane}(\phi);

2 \mathcal{E} \leftarrow \text{Simplify}(\mathcal{E});

3 foreach base case f(\mathbf{x}) \in \text{FindBaseCases}(\mathcal{E}) do

4 \psi \leftarrow \mathcal{F}(f);

5 foreach index i such that x_i \in \mathbb{N}_0 do \psi \leftarrow \text{Propagate}(\psi, \mathcal{D}(f, i), x_i);

6 \mathcal{E} \leftarrow \mathcal{E} \cup \text{CompileWithBaseCases}(\psi);
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Somewhere: Recall that each equation is either the definition (did I define what a definition is?) of a function or the definition of a base case of a function. (I could put this in the preliminaries as definitions and then reference them below)

3.1 Completing the Definitions of Functions

Before describing the main contribution of this work, let us review the essential aspects of FOKC as realised by Crane. The input formula is compiled into: set \mathcal{E} of equations, map \mathcal{F} from function names to formulas, and map \mathcal{D} from function names and argument indices to domains. \mathcal{E} can contain any number of functions, one of which (denoted by f) represents the solution to the FOMC problem. To compute the FOMC for particular domain sizes, f must be evaluated with those domain sizes as arguments. \mathcal{D} records this correspondence between function arguments and domains.

Algorithm 1 presents our overall approach for compiling a formula into equations that include the necessary base cases. To begin, we use the FOKC algorithm of the original Crane to compile the formula into the three components: \mathcal{E} , \mathcal{F} , and \mathcal{D} . After some algebraic simplification, \mathcal{E} is passed to the FindBaseCases procedure (see Section 3.2). For each base case $f(\mathbf{x})$, we retrieve the logical formula $\mathcal{F}(f)$ associated with the function name f and simplify it using the Propagate procedure (explained in detail in Section 3.3). We do this by iterating over all indices of \mathbf{x} , where x_i is a constant, and using Propagate to simplify ψ by assuming that domain $\mathcal{D}(f,i)$ has size x_i . Finally, on line 6, CompileWithBaseCases recurses

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on these simplified formulas and adds the resulting base case equations to \mathcal{E} . Example 8 below provides more detail. 226

▶ Remark. Although CompileWithBaseCases starts with a call to CRANE, the proposed algorithm is not just a post-processing step for FOKC because Algorithm 1 is recursive and 228 can issue more calls to Crane on various derived formulas.

Move this example right after the definition of WFOMC (or near the definition of a model)

▶ Example 8 (Counting bijections). Consider the following formula (previously examined by Dilkas and Belle [6]) that defines predicate P as a bijection between two sets Γ and Δ :

$$(\forall x \in \Gamma. \ \exists y \in \Delta. \ P(x,y)) \land \\ (\forall y \in \Delta. \ \exists x \in \Gamma. \ P(x,y)) \land \\ (\forall x \in \Gamma. \ \forall y, z \in \Delta. \ P(x,y) \land P(x,z) \Rightarrow y = z) \land \\ (\forall x, z \in \Gamma. \ \forall y \in \Delta. \ P(x,y) \land P(z,y) \Rightarrow x = z).$$

We specifically examine the first solution returned by Gantry for this formula.

After line 2, we have

$$\mathcal{E} = \left\{ f(m,n) = \sum_{l=0}^{n} \binom{n}{l} (-1)^{n-l} g(l,m), \\ g(l,m) = g(l-1,m) + mg(l-1,m-1) \right\};$$
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$$\mathcal{D} = \{ (f,1) \mapsto \Gamma, (f,2) \mapsto \Delta, (g,1) \mapsto \Delta^{\top}, (g,2) \mapsto \Gamma \},$$

where Δ^{\top} is a new domain. (We omit the definition of \mathcal{F} as the formulas can get a bit verbose.) Then FindBaseCases identifies two base cases: g(0,m) and g(l,0). In both cases, CompileWithBaseCases recurses on the formula $\mathcal{F}(g)$ simplified by assuming that one of the domains is empty. In the first case, we recurse on the formula $\forall x \in \Gamma$. $S(x) \vee \neg S(x)$, where S is a predicate introduced by Skolemization with weights $w^+(S) = 1$ and $w^-(S) = -1$. Hence, we obtain the base case $q(0,m)=0^m$. In the case of q(l,0), Propagate $(\psi, \Gamma, 0)$ returns an empty formula, resulting in g(l,0) = 1.

It is worth noting that these base cases overlap when l=m=0 but remain consistent since $0^0 = 1$. Generally, let ϕ be a formula with two domains Γ and Δ , and let $n, m \in \mathbb{N}_0$. 246 Then the FOMC of Propagate (ϕ, Δ, n) assuming $|\Gamma| = m$ is the same as the FOMC of Propagate (ϕ, Γ, m) assuming $|\Delta| = n$. 248

Finally, the main responsibility of the Simplify procedure is to handle the algebraic pattern $\sum_{m=0}^{n} [a \leq m \leq b] f(m)$. Here: n is a variable, $a, b \in \mathbb{N}_0$ are constants, and f is an expression that may depend on m. Additionally, $[a \le m \le b] = \begin{cases} 1 & \text{if } a \le m \le b \\ 0 & \text{otherwise} \end{cases}$ Simplify transforms this pattern into $f(a) + f(a+1) + \cdots + f(\min\{n,b\})$. For instance, in the case of Example 8, Simplify transforms $g(l,m) = \sum_{k=0}^{m} [0 \le k \le 1] {m \choose k} g(l-1,m-k)$

Identifying a Sufficient Set of Base Cases

into g(l, m) = g(l-1, m) + mg(l-1, m-1).

Prove correctness?

Algorithm 2 FindBaseCases(\mathcal{E})

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Algorithm 2 summarises the implementation of FindBaseCases. It considers two types of arguments when a function f calls itself recursively: constants and arguments of the form $x_i - c_i$. Here, c_i is a constant, and x_i is the i-th argument of the signature of f. When the argument is a constant c_i , a base case with c_i is added. In the second case, a base case is added for each constant from 0 up to (but not including) c_i .

Example 9. Consider the recursive function g from Example 8. FindBaseCases iterates over two function calls: g(l-1,m) and g(l-1,m-1). The former produces the base case g(0,m), while the latter produces both g(0,m) and g(l,0).

It can be shown that the base cases identified by FindBaseCases are sufficient for the algorithm to terminate.⁴ For the remainder of this section, let \mathcal{E} denote the equations returned by CompileWithBaseCases.

Theorem 10 (Termination). Let f be an n-ary function in \mathcal{E} and $\mathbf{x} \in \mathbb{N}_0^n$. Then the evaluation of $f(\mathbf{x})$ terminates.

We prove Theorem 10 using double induction. First, we apply induction to the number of functions in \mathcal{E} . Then, we use induction on the arity of the 'last' function in \mathcal{E} according to some topological ordering. We begin with a few observations that stem from previous [6, 33] and this work.

- Dbservation 11. For each function f, there is precisely one equation $e \in \mathcal{E}$ with $f(\mathbf{x})$ on the LHS where all x_i 's are variables (i.e., e is not a base case). We refer to e as the definition of f.
- Observation 12. There is a topological ordering of all functions $(f_i)_i$ in \mathcal{E} such that equations in \mathcal{E} with f_i on the LHS do not contain function calls to f_j with j > i. This condition prevents mutual recursion and other cyclic scenarios.
- Observation 13. For each equation $(f(\mathbf{x}) = \exp \mathbf{r}) \in \mathcal{E}$, the evaluation of expr terminates when provided with the values of all relevant function calls.
- ▶ Corollary 14. If f is a non-recursive function with no function calls on the RHS of its definition, then the evaluation of any function call $f(\mathbf{x})$ terminates.

⁴ Note that characterising the fine-grained complexity of the solutions found by Gantry or other FOMC algorithms is an emerging area of research. These questions have been partially addressed in previous work [6, 26] and are orthogonal to the goals of this section.

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▶ Observation 15. For each equation $(f(\mathbf{x}) = \exp \mathbf{r}) \in \mathcal{E}$, if \mathbf{x} contains only constants, then expr cannot include any function calls to f.

Additionally, we introduce an assumption about the structure of recursion.

- Assumption 16. For each equation $(f(\mathbf{x}) = \exp \mathbf{r}) \in \mathcal{E}$, every recursive function call $f(\mathbf{y}) \in \exp \mathbf{r}$ satisfies the following:
- Each y_i is either $x_i c_i$ or c_i for some constant c_i .
- There exists i such that $y_i = x_i c_i$ for some $c_i > 0$.

Finally, we assume a particular order of evaluation for function calls using the equations in \mathcal{E} . Specifically, we assume that base cases are considered before the recursive definition.

The exact order in which base cases are considered is immaterial.

Assumption 17. When multiple equations in \mathcal{E} match a function call $f(\mathbf{x})$, preference is given to an equation with the most constants on its LHS.

With the observations and assumptions mentioned above, we are ready to prove Theorem 10. For readability, we divide the proof into several lemmas of increasing generality.

Lemma 18. Assume that \mathcal{E} consists of just one unary function f. Then the evaluation of a function call f(x) terminates for any $x \in \mathbb{N}_0$.

Proof. If f(x) is captured by a base case, then its evaluation terminates by Corollary 14 and Observation 15. If f is not recursive, the evaluation of f(x) terminates by Corollary 14. Otherwise, let f(y) be an arbitrary function call on the RHS of the definition of f(x). If g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there exists g is a constant, then there is a base case for g is a constant, then there exists g is a constant, then there is a base case for g is a constant, then there exists g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case for g is a constant, then there is a base case g is a constant, then there is a base case g is a constant, then there is a base case g is a constant.

▶ **Lemma 19.** Generalising Lemma 18, let \mathcal{E} be a set of equations for one n-ary function f for some $n \ge 1$. Then the evaluation of $f(\mathbf{x})$ terminates for any $\mathbf{x} \in \mathbb{N}_0^n$.

Proof. If f is non-recursive, the evaluation of $f(\mathbf{x})$ terminates by previous arguments. We proceed by induction on n, with the base case of n=1 handled by Lemma 18. Assume that n>1. Any base case of f can be seen as a function of arity n-1, since one of the parameters is fixed. Thus, the evaluation of any base case terminates by the inductive hypothesis. It remains to show that the evaluation of the recursive equation for f terminates, but that follows from Observation 13.

Proof of Theorem 10. We proceed by induction on the number of functions n. The base case of n = 1 is handled by Lemma 19. Let $(f_i)_{i=1}^n$ be some topological ordering of these n > 1 functions. If $f = f_j$ for j < n, then the evaluation of $f(\mathbf{x})$ terminates by the inductive hypothesis since f_j cannot call f_n by Observation 12. Using the inductive hypothesis that all function calls to f_j (with j < n) terminate, the proof proceeds similarly to the Proof of Lemma 19.

3.3 Propagating Domain Size Assumptions

Algorithm 3, called Propagate, modifies the formula ϕ based on the assumption that $|\Delta| = n$.

When n = 0, some clauses become vacuously satisfied and can be removed. When n > 0,

partial grounding is performed by replacing all variables quantified over Δ with constants.

(None of the formulas examined in this work had n > 1.) Algorithm 3 handles these two

Algorithm 3 Propagate (ϕ, Δ, n)

```
Input: formula \phi, domain \Delta, n \in \mathbb{N}_0
     Output: formula \phi'
 1 \phi' \leftarrow \emptyset;
 2 if n=0 then
          foreach clause C \in \phi do
 3
                if \Delta \notin \text{Doms}(C) then \phi' \leftarrow \phi' \cup \{C\};
 5
                      C' \leftarrow \{ l \in C \mid \Delta \not\in Doms(l) \};
  6
                     if C' \neq \emptyset then
  7
                          l \leftarrow an arbitrary literal in C';
  8
                           \phi' \leftarrow \phi' \cup \{ C' \cup \{ \neg l \} \};
10 else
          D \leftarrow \text{a set of } n \text{ new constants in } \Delta;
11
          foreach clause C \in \phi do
12
                (x_i)_{i=1}^m \leftarrow the variables in C with domain \Delta;
13
                if m = 0 then \phi' \leftarrow \phi' \cup \{C\};
14
                else \phi' \leftarrow \phi' \cup \{ C[x_1 \mapsto c_1, \dots, x_m \mapsto c_m] \mid (c_i)_{i=1}^m \in D^m \};
```

cases separately. For a literal or a clause C, the set of corresponding domains is denoted as Doms(C).

In the case of n = 0, there are three types of clauses to consider:

1. those that do not mention Δ ,

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- 2. those in which every literal contains variables quantified over Δ , and
- 3. those that have some literals with variables quantified over Δ and some without.

Clauses of Type 1 are transferred to the new formula ϕ' without any changes. For clauses of Type 2, C' is empty, so these clauses are filtered out. As for clauses of Type 3, a new kind of smoothing is performed, which will be explained in Section 3.4.

In the case of n > 0, n new constants are introduced. Let C be an arbitrary clause in ϕ , and let $m \in \mathbb{N}_0$ be the number of variables in C quantified over Δ . If m = 0, C is added directly to ϕ' . Otherwise, a clause is added to ϕ' for every possible combination of replacing the m variables in C with the n new constants.

Example 20. Let $C \equiv \forall x \in \Gamma$. $\forall y, z \in \Delta$. $\neg P(x, y) \lor \neg P(x, z) \lor y = z$. Then $\mathrm{Doms}(C) = \mathrm{Doms}(\neg P(x, y)) = \mathrm{Doms}(\neg P(x, z)) = \{\Gamma, \Delta\}$, and $\mathrm{Doms}(y = z) = \{\Delta\}$. A call to Propagate($\{C\}$, Δ , 3) would result in the following formula with nine clauses:

```
 \forall x \in \Gamma. \ \neg P(x,c_1) \lor \neg P(x,c_1) \lor c_1 = c_1) \land 
 (\forall x \in \Gamma. \ \neg P(x,c_1) \lor \neg P(x,c_2) \lor c_1 = c_2) \land 
 \vdots 
 (\forall x \in \Gamma. \ \neg P(x,c_3) \lor \neg P(x,c_3) \lor c_3 = c_3).
```

Here, c_1 , c_2 , and c_3 are the new constants.

3.4 Smoothing the Base Cases

Smoothing modifies a circuit to reintroduce eliminated atoms, ensuring the correct model count [5, 33]. In this section, we describe a similar process performed on lines 7–9 of Algorithm 3. Line 7 checks if smoothing is necessary, and lines 8 and 9 execute it. If the condition on line 7 is not satisfied, the clause is not smoothed but omitted.

Suppose Propagate is called with arguments $(\phi, \Delta, 0)$, i.e., we are simplifying the formula ϕ by assuming that the domain Δ is empty. Informally, if there is a predicate P in ϕ unrelated to Δ , smoothing preserves all occurrences of P even if all clauses with P become vacuously satisfied.

Example 21. Let ϕ be

$$(\forall x \in \Delta. \ \forall y, z \in \Gamma. \ Q(x) \lor P(y, z)) \land$$

$$(\forall y, z \in \Gamma'. \ P(y, z)),$$

$$(7)$$

where $\Gamma' \subseteq \Gamma$ is a domain introduced by a compilation rule. It should be noted that P, as a relation, is a subset of $\Gamma \times \Gamma$.

Now, let us reason manually about the model count of ϕ when $\Delta = \emptyset$. Predicate Q can only take one value, $Q = \emptyset$. The value of P is fixed over $\Gamma' \times \Gamma'$ by Clause (7), but it can vary freely over $(\Gamma \times \Gamma) \setminus (\Gamma' \times \Gamma')$ since Clause (6) is vacuously satisfied by all structures. Therefore, the correct FOMC should be $2^{|\Gamma|^2 - |\Gamma'|^2}$. However, without line 9, Propagate would simplify ϕ to $\forall y, z \in \Gamma'$. P(y, z). In this case, P is a subset of $\Gamma' \times \Gamma'$. This simplified formula has only one model: $\{P(y, z) \mid y, z \in \Gamma'\}$. By including line 9, Propagate transforms ϕ to

$$(\forall y, z \in \Gamma. \ P(y, z) \lor \neg P(y, z)) \land (\forall y, z \in \Gamma'. \ P(y, z)),$$

367 which retains the correct model count.

It is worth mentioning that the choice of l on line 8 of Algorithm 3 is inconsequential because any choice achieves the same goal: constructing a tautological clause that retains the literals in C'.

3.5 Generating C++ Code

In this section, we will describe the final step of Gantray as outlined in Figure 1, i.e., translating the set of equations \mathcal{E} into C++ code. Recall that this step is crucial for the usability of the algorithm, otherwise function definitions would remain purely mathematical, with no convenient way to compute the model count for particular domain sizes. Once a C++ program is produced, it can be executed with different command-line arguments to compute the model count of the formula for various domain sizes.

See Algorithm 4 for the typical structure of a generated C++ program. Each equation in \mathcal{E} is compiled into a C++ function, along with a separate cache for memoisation. Hence, Algorithm 4 has a function and a cache for $f(\cdot,\cdot)$, $g(\cdot,\cdot)$, $g(\cdot,0)$, and $g(0,\cdot)$. The implementation of an equation consists of three parts. First (on line 5), we check if the arguments are already present in the corresponding cache. If so, we simply return the cached value. Second (on lines 6 and 7), for each base case, we check if the arguments match the base case (as defined in Section 2.3). If so, the arguments are redirected to the C++ function for that base case. Finally, if none of the above cases apply, we evaluate the arguments based on the expression on the RHS of the equation, store the result in the cache, and return it.

Algorithm 4 A sketch of the C++ program for the bijection-counting problem in Example 8, particularly highlighting the recursive definition of function g.

```
{\bf 1} initialise {\sf Cache}_{g(0,m)},\, {\sf Cache}_{g(l,0)},\, {\sf Cache}_g,\, {\rm and}\,\, {\sf Cache}_f;
 2 Function g_{0,m}(m): ...
 з Function g_{l,0}(l): . . .
 4 Function g(l, m):
        if (l, m) \in \mathsf{Cache}_q then return \mathsf{Cache}_q(l, m);
        if l = 0 then return g_{0,m}(m);
 6
        if m = 0 then return g_{l,0}(l);
        r \leftarrow g(l-1,m) + mg(l-1,m-1);
 9
        \mathsf{Cache}_{q}(l,m) \leftarrow r;
        return r;
11 Function f(m, n): ...
12 Function Main:
        (m,n) \leftarrow \texttt{ParseCommandLineArguments()};
        return f(m,n);
```

4 Experimental Evaluation

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- Experiments could be expanded by 1 page ("a more thorough and independent practical assessment")
- The difference between Gantry-Greedy and Gantry-BFS is in how the algorithm chooses which compilation rule to apply to a formula. The former uses greedy search: there is a list of rules, and the first applicable rule is the one that gets used, disregarding all the others. The latter uses a combination of greedy and breadth-first search (BFS). That is, each compilation rule is identified as either greedy or non-greedy. Greedy rules are applied as soon as possible at any stage of the compilation process. BFS is executed over all applicable non-greedy rules, identifying the solution that can be constructed using the smallest number of such rules.

Our empirical evaluation sought to compare the runtime performance of Gantry with the current state of the art, namely FastWFOMC and ForcLift. It is worth remarking that ForcLift does not support arbitrary precision, and returns error for cases that requires arbitrary precision reasoning. Our experiments involve two versions of Gantry: Gantry-Greedy and Gantry-Bfs. Like its predecessor, Gantry has two modes for applying compilation rules to formulas: one that uses a greedy search algorithm similar to ForcLift and another that combines greedy and breadth-first search.

The experiments were conducted using an Intel Skylake 2.4 GHz CPU with 188 GiB of memory and CentOS 7. C++ programs were compiled using the Intel C++ Compiler 2020u4. FASTWFOMC ran on Julia 1.10.4, while the other algorithms were executed on the Java Virtual Machine 1.8.0 201.

14 Towards Practical First-Order Model Counting

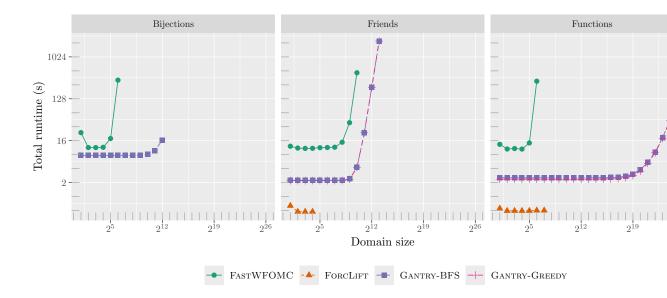


Figure 2 The runtime of the algorithms as a function of the domain size. Note that both axes are on a logarithmic scale.

4.1 Benchmarks

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- More benchmarks from NOT my work
- Make sure it's clear what the 'raw' instances are
- Don't emphasise that the benchmarks come from my previous work

We compare these algorithms using three benchmarks from previous studies. The first benchmark is the function-counting problem from Example 5, previously examined by Dilkas and Belle [6]. The second benchmark is a variant of the well-known 'Friends and Smokers' Markov logic network [22, 31]. In C^2 , FO, and $UFO^2 + CC$, this problem can be formulated as

$$(\forall x,y \in \Delta. \ S(x) \land F(x,y) \Rightarrow S(y)) \land (\forall x \in \Delta. \ S(x) \Rightarrow C(x))$$

or, equivalently, in conjunctive normal form as

$$(\forall x, y \in \Delta. \ S(y) \lor \neg S(x) \lor \neg F(x, y)) \land (\forall x \in \Delta. \ C(x) \lor \neg S(x)).$$

Finally, we include the bijection-counting problem previously utilised by Dilkas and Belle [6].

Its formulation in FO is described in Example 8. The equivalent formula in C² is

$$\forall x \in \Delta. \ \exists^{=1} y \in \Delta. \ P(x,y) \land (\forall y \in \Delta. \ \exists^{=1} x \in \Delta. \ P(x,y)).$$

Similarly, in $UFO^2 + CC$ the same formula can be written as

$$(\forall x, y \in \Delta. \ R(x) \lor \neg P(x, y)) \land (\forall x, y \in \Delta. \ S(x) \lor \neg P(y, x)) \land (|P| = |\Delta|),$$

where $w^{-}(R) = w^{-}(S) = -1$.

Shrink/restructure to fit into the margins

The three benchmark families cover a wide range of possibilities. The 'friends' benchmark stands out as it uses multiple predicates and can be expressed in FO using just two variables

without cardinality constraints or counting quantifiers. The 'functions' benchmark, on the other hand, can still be handled by all the algorithms, but it requires cardinality constraints, counting quantifiers, or more than two variables. Lastly, the 'bijections' benchmark is an example of a formula that FASTWFOMC can handle but FORCLIFT cannot.

For evaluation purposes, we ran each algorithm on each benchmark using domains of sizes $2^1, 2^2, 2^3$, and so on, until an algorithm failed to handle a domain size due to timeout, out of memory error, or out of precision errors. While we separately measured compilation and inference time, we primarily focus on total runtime, dominated by the latter.

4.2 Results

- On the 'friends' and 'functions' benchmarks, FORCLIFT runs until the model count exceeds $2^{31} 1$.
- We are not aware of any formulas on which Gantry scales worse compared to either ForcLift or FastWfomc. The one advantage that FastWfomc has over Gantry is its support for counting quantifiers.
- Regarding programming languages and accuracy, we verified that the answers match for smaller domain sizes. Also, although written in different languages, both GANTRY and FASTWFOMC use the GNU Multiple Precision Arithmetic Library.

Figure 2 presents a summary of the experimental results. Only FASTWFOMC and GANTRY-BFS could handle the bijection-counting problem. For this benchmark, the largest domain sizes these algorithms could accommodate were 64 and 4096, respectively. On the other two benchmarks, FORCLIFT had the lowest runtime. However, due to its finite precision, it only scaled up to domain sizes of 16 and 128 for 'friends' and 'functions', respectively. FASTWFOMC outperformed FORCLIFT in the case of 'friends', but not 'functions', as it could handle domains of size 1024 and 64, respectively. Furthermore, both GANTRY-BFS and GANTRY-GREEDY performed similarly on both benchmarks. Similarly to the 'bijections' benchmark, GANTRY significantly outperformed the other two algorithms, scaling up to domains of size 8192 and 67,108,864, respectively.

Another aspect of the experimental results that deserves separate discussion is compilation. Both Julia and Scala use just-in-time (JIT) compilation, which means that FASTWFOMC and FORCLIFT take longer to run on the smallest domain size, where most JIT compilation occurs. In the case of GANTRY, it is only run once per benchmark, so the JIT compilation time is included in its overall runtime across all domain sizes. Additionally, while FORCLIFT's compilation is generally faster than that of GANTRY, neither significantly affects overall runtime. Specifically, FORCLIFT compilation typically takes around 0.5 s, while GANTRY compilation takes around 2.3 s.

Based on our experiments, which algorithm should be used in practice? If the formula can be handled by FORCLIFT and the domain sizes are reasonably small, FORCLIFT is likely the fastest algorithm. In other situations, GANTRY is expected to be significantly more efficient than FASTWFOMC regardless of domain size, provided both algorithms can handle the formula.

5 Conclusion and Future Work

In this work, we have presented a scalable automated FOKC-based approach to FOMC.

Our algorithm involves completing the definitions of recursive functions and subsequently translating all function definitions into C++ code. Empirical results demonstrate that

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GANTRY can scale to larger domain sizes than FASTWFOMC while supporting a wider range of formulas than FORCLIFT. The ability to efficiently handle large domain sizes is 456 particularly crucial in the weighted setting, as illustrated by the 'friends' example discussed in 457 Section 4, where the model captures complex social networks with probabilistic relationships. Without this scalability, the practical usefulness of these models would be limited. 459

Future directions for research include conducting a comprehensive experimental comparison of FOMC algorithms to better understand their comparative performance across various formulas. The capabilities of Gantry could also be characterised theoretically, e.g. by proving completeness for specific logic fragments like C^2 . Additionally, the efficiency of FOMC algorithms can be further analysed using fine-grained complexity, which would provide more detailed insights into the computational demands of different formulas.

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