

# Weighted Model Counting with Conditional Probabilities

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## 1 Introduction

**Legend.**

**F** Feedback (round 1).

**F2** Feedback (round 2).

**FP** Feedback from the review panel.

- My own idea.

**The big TODO list.**

- Look into ways to theoretically justify the performance benefits. Some suggestions:
    - the number of variables,
    - the number of clauses/CPTs/lines in CPTs,
    - the proportion of edges that exist,
    - average degree,
    - average number of clauses that a variable is in,
    - the number of clusters in the linear/tree-like decomposition.
  - Have an example of how the ADDs function in this situation. If not for the paper, then at least for slides. Use the framework to check its correctness.
- F Give a concrete example of something impossible to represent using WMC.
- Describe the changes to the Cachet DIMACS CNF format (and mention that, if needed, the assumption that  $\Pr(A) + \Pr(\neg A) = 1$  can be discarded). Instead of `w 1 0.1`, we have `w 1 2 0.1` and `w 1 -2 0.5`. This provides half of the information; the remaining half can be deduced, but the algorithm itself can support weights that are not necessarily probabilities. Ditto for non-binary values (the shorter version).
  - Rename the repository into ‘conditional-wmc’.
  - Give some examples (and/or a proof) of why this is correct when combined with arbitrary clauses (i.e., conjunctions of disjunctions).
  - Turn the saved PDFs into citations.
  - Add a mini theorem: my model can express any probability distribution on the BA (just like BNs can express any discrete distribution).

- F What are the main claims, what are the main takeaways, intuitive [??] of theorems to follow. To do this, we appeal to algebraic constructions to define the main concepts for introducing measures on Boolean algebras.
- F Can you say something here about factorized vs non-factorized weight function definitions? That is, factorized is when  $w$  maps literals to  $R_{\geq 0}$ , non-factorized is when  $w$  maps models to  $R_{\geq 0}$  and
- come up with nice example when non-factorized weights are intuitive;
  - clarify that the factorized definition have is w.r.t. models, in case some one gets confused. [It doesn't have to be, if the BA is not free—P.]
- F2 The paper at this stage is very technical—the danger is that WMC/SRL people may not be able to follow it and so would be hard to get accepted. Without clear target audience, [??] get work accepted. My main high level suggestion is that let us tease apart what you have and see if a story emerges. That is, let us attempt to write a paper **with examples** and see whether with significant motivation, we have a story emerging. Below, sample text needed to adequately motivate [??] for WMC/SRL community.
- FP Clear up questions about independence between literals.
- F2 Preliminaries: explain with examples: models = elements [atoms] of algebra.
- WMC as a Measure
    - F2 Need to explain how WMC and NWMC connects to standard definitions of WMC and  $\Pr(\phi \mid e) = \text{WMC}(\phi \wedge e) / \text{WMC}(e)$ .
    - F2 You need to explain what precisely these mean in logic and models and weight functions are usually defined and understood.
      - Be careful about mentioning ideals, filters, and quotients.
  - What Measures are WMC-Definable: proofs need to be updated and propositions could be phrased in a better way, but the gist should be the same.
  - Extending the Algebra.
    - F2 If you prove (b) above, you could motivate why weights on literals is attractive and whether there is a way to augment the expressivity of WMC while still maintaining literal level weights. Hence this action.
    - F2 You need to explain the significance of this result.
  - Make up my mind about  $a, b$  vs.  $x, y$  and stick to it (maybe  $x, y$ ).
  - Decide on terminology: coproducts/pushouts vs (amalgamated) free products. Probably the former.
  - Terminology: ‘with generating set  $S$ ’  $\rightarrow$  ‘over  $S$ ’.
  - Perhaps reorder the section of preliminaries into paragraphs, i.e., a paragraph for order, for homomorphisms, etc. This would take up less space.
  - Notation: if  $L$  denotes literals, then it doesn't denote a generating set.
  - There is a new version of ADDMC.

## Experimental setup.

- Algorithms<sup>1</sup>
  - ADDMC [9] (rediscovered the multiplicativity of BAs in different words) (with optimal settings)
  - Cachet [24]
  - c2d [8]
  - d4 [20] (closed source, boo!)
  - miniC2D [23]
- Encodings:
  - -d02 [7]
  - -sbk05 [25]
  - -cd05 [3]
  - -cd06 [4] (supposed to be the best)
  - mine

All except mine are from Ace 3.0<sup>2</sup> and should be compiled with `-encodeOnly` (i.e., don't compile the CNF into an AC) and `-noEclause` (i.e., only use standard syntax) flags.

- Datasets<sup>3</sup>
  - binary Bayesian networks from Sang et al.<sup>4</sup> [25]
    - \* Grid (networks) (ratio 75 means that 75% of the nodes are deterministic),
    - \* Plan recognition (problems),
    - \* Deterministic quick medical reference (what do the numbers mean? the README doesn't say).
  - Bayesian networks available with Ace
    - \* 2004-pgm [6]
    - \* 2005-ijcai [3]. The Genie/Smile files have their own citation data that I should probably extract. This is the only dataset that has some non-binary networks.
    - \* 2006-ijar [6]
  - ProbLog [10]
  - probabilistic programs [16]

## Contributions.

- WMC defines a measure over a BA.
- WMC with weights on literals imposes an independence assumption. (Measures are 'slightly' more expressive than WMC with weights on models because they apply to non-atomic BAs.)
- A BA can be augmented with new literals in order to support any measure.
- (Maybe) a lower bound on the number of new literals needed in order to support any measure.

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<sup>1</sup><http://beyondnp.org/pages/solvers/model-counters-exact/>

<sup>2</sup><http://reasoning.cs.ucla.edu/ace/>

<sup>3</sup>There might be more at <https://meelgroup.github.io/>.

<sup>4</sup><https://www.cs.rochester.edu/u/kautz/Cachet/>

- Alternatively, one can use coproducts and pushouts to define a BA with precisely the right independence and conditional independence conditions. (This requires a relaxed version of WMC.)
- This results in a smaller problem for WMC algorithms (w.r.t. both the number of literals and the length of the theory) and is optimal for, e.g., Bayesian networks.
- (Maybe) this results in faster inference (?)

#### Notable previous/related work.

- Hailperin’s approach to probability logic [15]
- Nilsson’s (somewhat successful) probabilistic logic [22]
- Logical induction: a big paper with a good overview of previous attempts to assign probabilities to logical sentences in a sensible way [13]
- Measures on Boolean algebras
  - On possibility and probability measures in finite Boolean algebras [2]
  - Representation of conditional probability measures [19]

#### Notes.

- Thesis: many important computational problems are solved by encoding them as WMC. But if the weight function is extended to ‘conditional probabilities’, the problem becomes easier because current approaches need workarounds in order to be independent.
- Shorter thesis: many important problems are encoded as WMC, but they can be encoded in a better way if we allow for conditional probabilities.
- We extend the Gaifman graph to add edges when two variables occur in the same CPT (e.g., including the edge from  $A$  to  $B$  when the CPT is  $\Pr(A \mid B)$ ).
- Extra benefit: one does not need to come up with a way to turn some probability distribution into a fully independent one.
- Trivial CPTs such as  $\Pr(A \mid B) = \Pr(\neg A \mid B) = 0.5$ , the ADDs of which simplify to a single number, are put in the first cluster.
- Observation: by inspecting the BN, we could identify CPTs that could be completely ignored, but maybe good heuristics take care of that anyway.
- Observation: ADDs have a restrict command. I’m not going to use it, but it could be nice.
- Alternative scenario to consider for future work: #SAT solver generates solutions which are then used to restrict the ADDs that calculate probabilities.
- Important future work: replacing ADDs with AADDs<sup>5</sup> is likely to bring performance benefits. Other extensions:
  - FOADDs can represent first order statements;
  - XADDs can replace WMI for continuous variables;
  - ADDs with intervals can do approximations.

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<sup>5</sup><https://github.com/ssanner/dd-inference>

- In preliminary results, the SBK05 encoding gives the wrong answer in 60 QMR instances (all with evidence files). Sometimes that answer is  $\gg 1$ .
- Similarly, cd06 is supposed to be the fastest, but seems to be one of the slowest (along with cd05). Perhaps the best encoding for arithmetic circuits has very little to do with the best encoding for CNF WMC solvers?
- When the Bayesian network has an evidence file, we compute the probability of evidence. Otherwise, we pick the last (i.e., last to be mentioned) node in the Bayesian network to be the goal (the positive value for binary networks and the last value in the general case). This applies to the Grid data set (as intended) and also to two instances of Plan Reconstruction and roughly half of 2004-PGM.
- Bayesian networks are often solved in a compile once, query many times fashion. This can be achieved using ADDMC by selecting a subset  $S$  of variable we may want to query over and running ADDMC while excluding  $S$  from variable elimination/projection/ $\exists$ .
- cd05 relaxes the encoding so much that extra models become possible. They are supposed to be filtered out by the algorithm, but mine can't do that because it doesn't deal with models. Same for cd06 because it's based on cd05.
- For ground ProbLog, we can encode a program

```
p :: a :- b
q :: a :- c
```

into  $P(a \mid b) = p$ ,  $P(a \mid c) = q$  instead of having clauses  $b \Rightarrow a$ ,  $c \Rightarrow a$ . Some logical structure is likely to remain.

- Potential criticism may be that this is a step backwards and doesn't allow us to use SAT-based techniques for probabilistic inference. However, they can still be used for the 'theory+query' part.
- We don't compare 'compile times' because our encoding time is linear, so we would easily beat everyone else.
- The claim behind this paper is that allowing for conditional probabilities in the context of weighted model counting seems to be a good idea. Bayesian networks and ADDMC are only particular examples. This should also work with Cachet.
- For the ProbLog  $\rightarrow$  WMC conversion, check out this guy.<sup>6</sup>

## 2 Preliminaries

**Definition 1.** A *Boolean algebra* (BA) is a tuple  $(\mathbf{B}, \wedge, \vee, \neg, 0, 1)$  consisting of a set  $\mathbf{B}$  with binary operations *meet*  $\wedge$  and *join*  $\vee$ , unary operation  $\neg$  and elements  $0, 1 \in \mathbf{B}$  such that the following axioms hold for all  $a, b, c \in \mathbf{B}$ :

- both  $\wedge$  and  $\vee$  are associative and commutative;
- $a \vee (a \wedge b) = a$ , and  $a \wedge (a \vee b) = a$ ;
- 0 is the identity of  $\vee$ , and 1 is the identity of  $\wedge$ ;
- $\vee$  distributes over  $\wedge$  and vice versa;
- $a \vee \neg a = 1$ , and  $a \wedge \neg a = 0$ .

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<sup>6</sup><https://users.ics.aalto.fi/ttj/>

For clarity and succinctness, we will occasionally use three other operations that can be defined using the original three<sup>7</sup>:

$$\begin{aligned} a \rightarrow b &= \neg a \vee b, \\ a \leftrightarrow b &= (a \wedge b) \vee (\neg a \wedge \neg b), \\ a + b &= (a \wedge \neg b) \vee (\neg a \wedge b). \end{aligned}$$

We can also define a partial order  $\leq$  on  $\mathbf{B}$  as  $a \leq b$  if  $a = b \wedge a$  (or, equivalently,  $a \vee b = b$ ) for all  $a, b \in \mathbf{B}$ . Furthermore, let  $a < b$  denote  $a \leq b$  and  $a \neq b$ . For the rest of this paper, let  $\mathbf{B}$  refer to the BA  $(\mathbf{B}, \wedge, \vee, \neg, 0, 1)$ . For any  $S \subseteq \mathbf{B}$ , we write  $\bigvee S$  for  $\bigvee_{x \in S} x$  and call it the *supremum* of  $S$ . Similarly,  $\bigwedge S = \bigwedge_{x \in S} x$  is the *infimum*. By convention,  $\bigwedge \emptyset = 1$  and  $\bigvee \emptyset = 0$ . For any  $a, b \in \mathbf{B}$ , we say that  $a$  and  $b$  are *disjoint* if  $a \wedge b = 0$ .

**Definition 2** ([17, 21]). An element  $a \neq 0$  of  $\mathbf{B}$  is an *atom* if, for all  $x \in \mathbf{B}$ , either  $x \wedge a = a$  or  $x \wedge a = 0$ . Equivalently,  $a \neq 0$  is an atom if there is no  $x \in \mathbf{B}$  such that  $0 < x < a$ . We say that  $\mathbf{B}$  is *atomic* if for every  $a \in \mathbf{B} \setminus \{0\}$ , there is an atom  $x$  such that  $x \leq a$ .

**Lemma 3** ([12]). For any two distinct atoms  $a, b \in \mathbf{B}$ ,  $a \wedge b = 0$ .

**Lemma 4** ([14]). The following are equivalent:

- $\mathbf{B}$  is atomic.
- For any  $x \in \mathbf{B}$ ,  $x = \bigvee_{\text{atoms } a \leq x} a$ .
- 1 is the supremum of all atoms.

**Lemma 5** ([14]). All finite BAs are atomic.

**Definition 6** ([11, 17]). A *measure* on  $\mathbf{B}$  is a function  $m: \mathbf{B} \rightarrow \mathbb{R}_{\geq 0}$  such that:

- $m(0) = 0$ ;
- $m(a \vee b) = m(a) + m(b)$  for all  $a, b \in \mathbf{B}$  whenever  $a \wedge b = 0$ .

If  $m(1) = 1$ , we call  $m$  a *probability measure*. Also, if  $m(x) > 0$  for all  $x \neq 0$ , then  $m$  is *strictly positive*.

**Definition 7** ([14]). Let  $\mathbf{A}$  and  $\mathbf{B}$  be BAs. A (*Boolean*) *homomorphism* from  $\mathbf{A}$  to  $\mathbf{B}$  is a map  $f: \mathbf{A} \rightarrow \mathbf{B}$  such that:

- $f(x \wedge y) = f(x) \wedge f(y)$ ,
- $f(x \vee y) = f(x) \vee f(y)$ ,
- $f(\neg x) = \neg f(x)$

for all  $x, y \in \mathbf{A}$ .

**Lemma 8** (Homomorphisms preserve order [14]). Let  $f: \mathbf{A} \rightarrow \mathbf{B}$  be a homomorphism between two BAs  $\mathbf{A}$  and  $\mathbf{B}$ . Then, for any  $x, y \in \mathbf{A}$ , if  $x \leq y$ , then  $f(x) \leq f(y)$ .

**Lemma 9** ([26]). For any  $a, b \in \mathbf{B}$ ,  $a \leq b$  if and only if  $a \wedge \neg b = 0$ .

**Lemma 10** ([14]). Let  $m: \mathbf{B} \rightarrow \mathbb{R}_{\geq 0}$  be a measure. Then for all  $a, b \in \mathbf{B}$ , if  $a \leq b$ , then  $m(a) \leq m(b)$ .

<sup>7</sup>We use  $+$  to denote symmetric difference because it is the additive operation of a Boolean ring.

**Definition 11** ([18]). Let  $S$  be a set, and let  $\mathbf{B}$  be a BA. Then  $\mathbf{B}$  is a *free BA over  $S$*  if there is a map  $S \rightarrow \mathbf{B}$  such that for any BA  $\mathbf{C}$  and map  $S \rightarrow \mathbf{C}$ , there is a unique homomorphism  $\mathbf{B} \rightarrow \mathbf{C}$  that makes

$$\begin{array}{ccc} S & \longrightarrow & \mathbf{B} \\ & \searrow & \vdots \\ & & \mathbf{C}. \end{array}$$

commute. A BA  $\mathbf{B}$  is *free* if  $S$  exists.

**Lemma 12** ([26]). *A finite BA is free if and only if it has  $2^{2^n}$  elements for some  $n \in \mathbb{N}$ . It then has  $2^n$  atoms and  $n$  generators.*

### 3 WMC as a Measure

**Definition 13.** Let  $\mathcal{L}$  be a propositional (or first-order) logic, and let  $\Delta$  be a theory in  $\mathcal{L}$ . We can define an equivalence relation on formulas in  $\mathcal{L}$  as

$$\alpha \sim \beta \quad \text{if and only if} \quad \Delta \vdash \alpha \leftrightarrow \beta$$

for all  $\alpha, \beta \in \mathcal{L}$ . Let  $[\alpha]$  denote the equivalence class of  $\alpha \in \mathcal{L}$  with respect to  $\sim$ . We can then let  $B(\Delta) = \{[\alpha] \mid \alpha \in \mathcal{L}\}$  and define the structure of a BA on  $B(\Delta)$  as

$$\begin{aligned} [\alpha] \vee [\beta] &= [\alpha \vee \beta], \\ [\alpha] \wedge [\beta] &= [\alpha \wedge \beta], \\ \neg[\alpha] &= [\neg\alpha], \\ 1 &= [\alpha \rightarrow \alpha], \\ 0 &= [\alpha \wedge \neg\alpha] \end{aligned}$$

for all  $\alpha, \beta \in \mathcal{L}$ . Then  $B(\Delta)$  is the *Lindenbaum-Tarski algebra* of  $\Delta$  [18, 27].

**Example 14.** Let  $\mathcal{L}$  be a propositional logic with  $p$  and  $q$  as its only atoms. Then  $L = \{p, q, \neg p, \neg q\}$  is its set of literals. Let  $w : L \rightarrow \mathbb{R}_{\geq 0}$  be the *weight function* defined by

$$\begin{aligned} w(p) &= 0.3, \\ w(\neg p) &= 0.7, \\ w(q) &= 0.2, \\ w(\neg q) &= 0.8. \end{aligned}$$

Let  $\Delta$  be a theory in  $\mathcal{L}$  with a sole axiom  $p$ . Then  $\Delta$  has two models, i.e.,  $\{p, q\}$  and  $\{p, \neg q\}$ . The *weighted model count* (WMC) [5] of  $\Delta$  is then

$$\sum_{\omega \models \Delta} \prod_{\omega \models l} w(l) = w(p)w(q) + w(p)w(\neg q) = 0.3.$$

The corresponding BA  $B(\Delta)$  can then be constructed using Definition 13. Alternatively, one can first construct the free BA generated by the set  $\{p, q\}$  and then take a quotient with respect to either the filter generated by  $p$  or the ideal<sup>8</sup> generated by  $\neg p$ .

Each element of  $B(\mathcal{L})$  can also be seen as a subset of the set of all models of  $\mathcal{L}$ , with 0 representing  $\emptyset$ , 1 representing the set of all (four) models, each atom representing a single model, and each edge going upward representing a subset relation. Thus, the Boolean-algebraic way of calculating the WMC of  $\Delta$  consists of:

<sup>8</sup>More details on these concepts can be found in many books on BAs [14, 18].

1. Identifying an element  $a \in B(\mathcal{L})$  that corresponds to  $\Delta$ .
2. Finding all atoms of  $B(\mathcal{L})$  that are ‘dominated’ by  $a$  according to the partial order.
3. Using  $w$  to calculate the weight of each such atom.
4. Adding the weights of these atoms.

This motivates the following definition of WMC generalised to BAs.

- Why is Step 1 always possible?
- Clarify what  $B(L)$  means and whether  $B(\Delta)$  is even necessary.
- Find a reference for the set/subset thing.
- This should be replaced with inner sums (a.k.a. free products).
- Mention that the subsequent definition can be reduced to a single formula (i.e., without cases).
- Any measure is a WMC measure if all atoms are in  $L$ .

**Definition 15.** Let  $\mathbf{B}$  be an atomic BA, and let  $M \subset \mathbf{B}$  be its set of atoms. Let  $L \subset \mathbf{B}$  be such that every atom  $m \in M$  can be uniquely expressed as  $m = \bigwedge L'$  for some  $L' \subseteq L$ , and let  $w: L \rightarrow \mathbb{R}_{\geq 0}$  be arbitrary. The *weighted model count*  $\text{WMC}_w: \mathbf{B} \rightarrow \mathbb{R}_{\geq 0}$  is defined as

$$\text{WMC}_w(x) = \begin{cases} 0 & \text{if } x = 0 \\ \prod_{l \in L'} w(l) & \text{if } M \ni x = \bigwedge L' \\ \sum_{\text{atoms } a \leq x} \text{WMC}_w(a) & \text{otherwise} \end{cases}$$

for any  $x \in \mathbf{B}$ . Furthermore, we define the *normalised weighted model count*  $\text{NWMC}_w: \mathbf{B} \rightarrow [0, 1]$  as  $\text{NWMC}_w(x) = \frac{\text{WMC}_w(x)}{\text{WMC}_w(1)}$  for all  $x \in \mathbf{B}$ . For both  $\text{WMC}_w$  and  $\text{NWMC}_w$ , we will drop the subscript when doing so results in no potential confusion. Finally, we say that a measure  $m: \mathbf{B} \rightarrow \mathbb{R}_{\geq 0}$  is a *WMC measure* (or is *WMC-definable*) if there exists a subset  $L \subset \mathbf{B}$  and a weight function  $w: L \rightarrow \mathbb{R}_{\geq 0}$  such that  $m = \text{WMC}_w$ .

**Theorem 16.** WMC is a measure, and NWMC is a probability measure.

*Proof.* First, note that WMC is non-negative and  $\text{WMC}(0) = 0$  by definition. Next, let  $x, y \in \mathbf{B}$  be such that  $x \wedge y = 0$ . We want to show that

$$\text{WMC}(x \vee y) = \text{WMC}(x) + \text{WMC}(y). \quad (1)$$

If, say,  $x = 0$ , then Eq. (1) becomes

$$\text{WMC}(y) = \text{WMC}(0) + \text{WMC}(y) = \text{WMC}(y)$$

(and likewise for  $y = 0$ ). Thus we can assume that  $x \neq 0 \neq y$  and use Lemma 4 to write

$$x = \bigvee_{i \in I} x_i \quad \text{and} \quad y = \bigvee_{j \in J} y_j$$

for some sequences of atoms  $(x_i)_{i \in I}$  and  $(y_j)_{j \in J}$ . If  $x_{i'} = y_{j'}$  for some  $i' \in I$  and  $j' \in J$ , then

$$x \wedge y = \bigvee_{i \in I} \bigvee_{j \in J} x_i \wedge y_j = x_{i'} \wedge y_{j'} \neq 0,$$



contradicting the assumption. This is enough to show that

$$\begin{aligned} \text{WMC}(x \vee y) &= \text{WMC} \left( \left( \bigvee_{i \in I} x_i \right) \vee \left( \bigvee_{j \in J} y_j \right) \right) = \sum_{i \in I} \text{WMC}(x_i) + \sum_{j \in J} \text{WMC}(y_j) \\ &= \text{WMC}(x) + \text{WMC}(y), \end{aligned}$$

finishing the proof that WMC is a measure. This immediately shows that NWMC is a probability measure since, by definition, NWMC(1) = 1.  $\square$

Given a theory  $\Delta$  in a logic  $\mathcal{L}$ , the usual way of using WMC to compute the probability of a query  $q$  is [1, 25]

$$\Pr_{\Delta, w}(q) = \frac{\text{WMC}_w(\Delta \wedge q)}{\text{WMC}_w(\Delta)}.$$

In our algebraic formulation, this can be computed in two different ways:

- as  $\frac{\text{WMC}_w(\Delta \wedge q)}{\text{WMC}_w(\Delta)}$  in  $B(\mathcal{L})$ ,
- and as  $\text{NWMC}_w([q])$  in  $B(\Delta)$ .

But how does the measure defined on  $B(\mathcal{L})$  transfer to  $B(\Delta)$ ?

## 4 What Measures Are WMC-Definable?

### 4.1 WMC Requires Independent Literals

**Lemma 17.** *For any measure  $m: \mathbf{B} \rightarrow \mathbb{R}_{\geq 0}$  and elements  $a, b \in \mathbf{B}$ ,*

$$m(a \wedge b) = m(a)m(b) \tag{2}$$

*if and only if*

$$m(a \wedge b) \cdot m(\neg a \wedge \neg b) = m(a \wedge \neg b) \cdot m(\neg a \wedge b). \tag{3}$$

*Proof.* First, note that  $a = (a \wedge b) \vee (a \wedge \neg b)$  and  $(a \wedge b) \wedge (a \wedge \neg b) = 0$ , so, by properties of a measure,

$$m(a) = m(a \wedge b) + m(a \wedge \neg b). \tag{4}$$

Applying Eq. (4) and the equivalent expression for  $m(b)$  allows us to rewrite Eq. (2) as

$$m(a \wedge b) = [m(a \wedge b) + m(a \wedge \neg b)][m(a \wedge b) + m(\neg a \wedge b)]$$

which is equivalent to

$$m(a \wedge b)[1 - m(a \wedge b) - m(a \wedge \neg b) - m(\neg a \wedge b)] = m(a \wedge \neg b)m(\neg a \wedge b). \tag{5}$$

Since  $a \wedge b$ ,  $a \wedge \neg b$ ,  $\neg a \wedge b$ ,  $\neg a \wedge \neg b$  are pairwise disjoint and their supremum is 1,

$$m(a \wedge b) + m(a \wedge \neg b) + m(\neg a \wedge b) + m(\neg a \wedge \neg b) = 1,$$

and this allows us to rewrite Eq. (5) into Eq. (3). As all transformations are invertible, the two expressions are equivalent.  $\square$

This theorem needs a special case for zero weights.

**Theorem 18.** Let  $\mathbf{B}$  be a free BA over  $\{l_i\}_{i=1}^n$  (for some  $n \in \mathbb{N}$ ) with measure  $m: \mathbf{B} \rightarrow \mathbb{R}_{\geq 0}$ , and let  $L = \{l_i\}_{i=1}^n \cup \{\neg l_i\}_{i=1}^n$ . Then there exists a weight function  $w: L \rightarrow \mathbb{R}_{\geq 0}$  such that  $m = \text{WMC}_w$  if and only if

$$m(l \wedge l') = m(l)m(l') \quad (6)$$

for all distinct  $l, l' \in L$  such that  $l \neq \neg l'$ .

*Remark.* Note that if  $n = 1$ , then Eq. (6) is vacuously satisfied and so any valid measure can be expressed as WMC.

*Proof.* ( $\Leftarrow$ ) Let  $w: L \rightarrow \mathbb{R}_{\geq 0}$  be defined by

$$w(l) = m(l) \quad (7)$$

for all  $l \in L$ . We are going to show that  $\text{WMC}_w = m$ . First, note that  $\text{WMC}_w(0) = 0 = m(0)$  by the definitions of both  $\text{WMC}_w$  and  $m$ . Second, let

$$a = \bigwedge_{i=1}^n a_i \quad (8)$$

be an atom in  $\mathbf{B}$  such that  $a_i \in \{l_i, \neg l_i\}$  for all  $i \in [n]$ . Then

$$\text{WMC}(a) = \prod_{i=1}^n w(a_i) = \prod_{i=1}^n m(a_i) = m\left(\bigwedge_{i=1}^n a_i\right) = m(a)$$

by Definition 15 and Eqs. (6) to (8). Finally, note that if WMC and  $m$  agree on all atoms, then they must also agree on all other non-zero elements of the Boolean algebra.

( $\Rightarrow$ ) For the other direction, we are given a weight function  $w: L \rightarrow \mathbb{R}_{\geq 0}$  that induces a measure  $m = \text{WMC}_w: \mathbf{B} \rightarrow \mathbb{R}_{\geq 0}$ , and we want to show that Eq. (6) is satisfied. Let  $k_i, k_j \in L$  be such that  $k_i \in \{l_i, \neg l_i\}$ ,  $k_j \in \{l_j, \neg l_j\}$ , and  $i \neq j$  for some  $i, j \in [n]$ . We then want to show that

$$m(k_i \wedge k_j) = m(k_i)m(k_j) \quad (9)$$

which is equivalent to

$$m(k_i \wedge k_j) \cdot m(\neg k_i \wedge \neg k_j) = m(k_i \wedge \neg k_j) \cdot m(\neg k_i \wedge k_j) \quad (10)$$

by Lemma 17. Then

$$\begin{aligned} \text{WMC}(k_i \wedge k_j) &= \sum_{\text{atoms } a \leq k_i \wedge k_j} \text{WMC}(a) = \sum_{\text{atoms } a \leq k_i \wedge k_j} \prod_{m \in [n]} w(a_m) \\ &= \sum_{\text{atoms } a \leq k_i \wedge k_j} w(a_i)w(a_j) \prod_{m \in [n] \setminus \{i, j\}} w(a_m) = \sum_{\text{atoms } a \leq k_i \wedge k_j} w(k_i)w(k_j) \prod_{m \in [n] \setminus \{i, j\}} w(a_m) \\ &= w(k_i)w(k_j) \sum_{\text{atoms } a \leq k_i \wedge k_j} \prod_{m \in [n] \setminus \{i, j\}} w(a_m) = w(k_i)w(k_j)C, \end{aligned}$$

where  $C$  denotes the part of  $\text{WMC}(k_i \wedge k_j)$  that will be the same for  $\text{WMC}(\neg k_i \wedge k_j)$ ,  $\text{WMC}(k_i \wedge \neg k_j)$ , and  $\text{WMC}(\neg k_i \wedge \neg k_j)$  as well. But then Eq. (10) becomes

$$w(k_i)w(k_j)w(\neg k_i)w(\neg k_j)C^2 = w(k_i)w(\neg k_j)w(\neg k_i)w(k_j)C^2$$

which is trivially true.  $\square$

## 4.2 Extending the Algebra

Given this requirement for independence, a well-known way to represent probability distributions that do not consist entirely of independent variables is by adding more literals [5], i.e., extending the set  $L$  covered by the WMC weight function  $w: L \rightarrow \mathbb{R}_{\geq 0}$ . Let us translate this idea to the language of BAs.

**Theorem 19.** *Let  $\mathbf{B}$  be a free BA over a finite set  $S$ , and let  $m: \mathbf{B} \rightarrow \mathbb{R}_{\geq 0}$  be an arbitrary measure. Let  $L = \{s \mid s \in S\} \cup \{\neg s \mid s \in S\}$ . By Lemma 12, we know that  $\mathbf{B}$  has  $n = 2^{|S|}$  atoms. Let  $\{a_i\}_{i=1}^n$  denote those atoms in some arbitrary order. Let  $L' = L \cup \{\phi_i\}_{i=1}^n \cup \{\neg\phi_i\}_{i=1}^n$  be the set  $L$  extended with  $2n$  new elements. Let  $\mathbf{B}'$  be the unique Boolean algebra with  $\{\phi_i \wedge a_i\}_{i=1}^n \cup \{\neg\phi_i \wedge a_i\}_{i=1}^n$  as its set of atoms. Let  $\iota: \mathbf{B} \hookrightarrow \mathbf{B}'$  be the inclusion homomorphism. Let  $w: L' \rightarrow \mathbb{R}_{\geq 0}$  be defined by*

$$w(l) = \begin{cases} m(a_i)/2 & \text{if } l = \phi_i \text{ or } l = \neg\phi_i \text{ for some } i \in [n] \\ 1 & \text{otherwise} \end{cases}$$

for all  $l \in L'$ , and note that this defines a WMC measure  $m' = \text{WMC}_w: \mathbf{B}' \rightarrow \mathbb{R}_{\geq 0}$ . Then

$$m(a) = (m' \circ \iota)(a)$$

for all  $a \in \mathbf{B}$ .

In other words, any measure can be computed using WMC by extending the BA with more literals. More precisely, we are given the left-hand column in

$$\begin{array}{ccccc} & & \mathbb{R}_{\geq 0} & & \\ & & \uparrow m' & & \\ & & \mathbf{B} & \xrightarrow{\iota} & \mathbf{B}' \\ & \uparrow m & & & \uparrow \\ L & \xrightarrow{\quad} & L' & \xrightarrow{w} & \mathbb{R}_{\geq 0} \end{array}$$

and construct the remaining part in such a way that the triangle commutes.

- Make  $J$  depend on  $i$ .
- Find a reference for this first claim in the following proof.

*Proof.* Since  $\mathbf{B}$  is free over  $S$ , each atom  $a_i \in \mathbf{B}$  is an infimum of elements in  $L$ , i.e.,

$$a_i = \bigwedge_{j \in J} a_{i,j}$$

for some  $\{a_{i,j}\}_{j \in J} \subset L$ . Moreover, each atom  $b \in \mathbf{B}'$  can be represented as either  $b = \phi_i \wedge a_i$  or  $b = \neg\phi_i \wedge a_i$  for some atom  $a_i \in \mathbf{B}$ , also making it an infimum over a subset of  $L'$ . Then, for any  $b \in \mathbf{B}$ ,

$$(m' \circ \iota)(b) = \sum_{\substack{\text{atoms } a_i \in \mathbf{B}: \\ \phi_i \wedge a_i \leq \iota(b)}} (w(\phi_i) + w(\neg\phi_i)) \prod_{j \in J} w(a_{i,j}),$$

recognising that, for any  $\iota(b)$ , any atom  $a_i \in \mathbf{B}$  satisfies  $\phi_i \wedge a_i \leq \iota(b)$  if and only if it satisfies  $\neg\phi_i \wedge a_i \leq \iota(b)$ . Then, according to the definition of  $w$ ,

$$(m' \circ \iota)(b) = \sum_{\substack{\text{atoms } a_i \in \mathbf{B}: \\ \phi_i \wedge a_i \leq \iota(b)}} (w(\phi_i) + w(\neg\phi_i)) = \sum_{\substack{\text{atoms } a_i \in \mathbf{B}: \\ \phi_i \wedge a_i \leq \iota(b)}} m(a_i) = m(b),$$

provided that

$$\phi_i \wedge a_i \leq \iota(b) \quad \text{if and only if} \quad a_i \leq b,$$

but this is equivalent to

$$\phi_i \wedge a_i = \phi_i \wedge a_i \wedge b \quad \text{if and only if} \quad a_i = a_i \wedge b$$

which is true because  $\phi_i \notin L$ . □

Now we can show that the construction in Theorem 19 is smallest possible.

**Conjecture 20.** *Let  $\mathbf{B}$  and  $\mathbf{B}'$  be Boolean algebras, and  $\iota: \mathbf{B} \hookrightarrow \mathbf{B}'$  be the inclusion map such that  $\mathbf{B}$  is free over  $L$ , all atoms of  $\mathbf{B}'$  can be expressed as meets of elements of  $L'$ , and the following subset relations are satisfied:*

$$\begin{array}{ccc} \mathbf{B} & \xhookrightarrow{\iota} & \mathbf{B}' \\ \cup & & \cup \\ L & \subset & L' \end{array}$$

*If, for any measure  $m: \mathbf{B} \rightarrow \mathbb{R}_{\geq 0}$ , one can construct a weight function  $w: L' \rightarrow \mathbb{R}_{\geq 0}$  such that the WMC measure  $\text{WMC}: \mathbf{B}' \rightarrow \mathbb{R}_{\geq 0}$  with respect to  $w$  satisfies*

$$m = \text{WMC} \circ \iota,$$

*then  $|L' \setminus L| \geq 2^{|L|+1}$ .*

Let us note how our lower bound on the number of added literals compares to two methods of translating a discrete probability distribution into a WMC problem over a propositional knowledge base proposed by Darwiche [7] and Sang et al. [25]. Suppose we have a discrete probability distribution with  $n$  variables, and the  $i$ th variable has  $v_i$  values, for each  $i \in [n]$ . Interpreted as a logical system, it has  $\prod_{i=1}^n v_i$  models. My expansion would then use

$$\sum_{i=1}^n v_i + 2 \prod_{i=1}^n v_i$$

variables, i.e., a variable for each possible variable-value assignment, and two additional variables for each model. Without making any independence assumptions, the encoding by Darwiche [7] would use

$$\sum_{i=1}^n v_i + \sum_{i=1}^n \prod_{j=1}^i v_j$$

variables, while for the encoding by Sang et al. [25],

$$\sum_{i=1}^n v_i + \sum_{i=1}^n (v_i - 1) \prod_{j=1}^{i-1} v_j$$

variables would suffice.

## 5 Representing Independence and Conditional Independence

### 5.1 Preliminaries

**Definition 21.** Given a BA  $\mathbf{A}$ , a *subalgebra* is a subset  $\mathbf{B} \subseteq \mathbf{A}$  that, together with the operations, zero, and one of  $\mathbf{A}$ , is a BA.

**Definition 22** ([14]). Let  $\mathbf{A}$ ,  $\mathbf{B}$ , and  $\mathbf{C}$  be BAs such that  $\mathbf{B}$  is a subalgebra of  $\mathbf{A}$ . Let  $f: \mathbf{A} \rightarrow \mathbf{C}$  and  $g: \mathbf{B} \rightarrow \mathbf{C}$  be homomorphisms. Then  $f$  is an *extension* of  $g$  if  $f(x) = g(x)$  for all  $x \in \mathbf{B}$ . If  $f$  is an extension of each member of a family  $\{g_i\}_{i \in I}$  of homomorphisms, then  $f$  is called a *common extension* of  $\{g_i\}_{i \in I}$ .

**Definition 23** ([14]). Let  $\{\mathbf{A}_i\}_{i \in I}$  be a family of subalgebras of a BA  $\mathbf{A}$ . If for any BA  $\mathbf{B}$  with a family of homomorphisms  $\{f_i: \mathbf{A}_i \rightarrow \mathbf{B}\}_{i \in I}$  there exists a unique common extension of  $\{f_i: \mathbf{A}_i \rightarrow \mathbf{B}\}_{i \in I}$  ( $f: \mathbf{A} \rightarrow \mathbf{B}$  in the diagram),

$$\begin{array}{ccc} \mathbf{A}_i & \hookrightarrow & \mathbf{A} \\ & \searrow f_i & \downarrow f \\ & & \mathbf{B} \end{array}$$

then  $\mathbf{A}$  is the *internal sum*<sup>9</sup> of  $\{\mathbf{A}_i\}_{i \in I}$ . We will denote it as  $\bigoplus_{i \in I} \mathbf{A}_i$ .

**Proposition 24** ([26]). Let  $\mathbf{A}$  be the internal sum of a family of BAs  $\{\mathbf{A}_i\}_{i \in I}$ , and let  $\{m_i: \mathbf{A}_i \rightarrow \mathbb{R}_{\geq 0}\}_{i \in I}$  be a family of measures. Then there exists a unique measure  $m: \mathbf{A} \rightarrow \mathbb{R}_{\geq 0}$  such that, for any finite subset  $J \subseteq I$  and family of elements  $\{x_j \in \mathbf{A}_j\}_{j \in J}$ ,

$$m\left(\bigwedge_{j \in J} x_j\right) = \prod_{j \in J} m_j(x_j).$$

**Definition 25** ([18]). Let  $\mathbf{A}$  be a BA. Let  $\mathbf{B}$  be a subalgebra of  $\mathbf{A}$ , and let  $\{\mathbf{A}_i\}_{i \in I}$  be a family of subalgebras of  $\mathbf{A}$  such that  $\mathbf{A}_i \cap \mathbf{A}_j = \mathbf{B}$  for all  $i \neq j$  in  $I$ . Let  $\{\iota_i: \mathbf{B} \rightarrow \mathbf{A}_i\}$  be a family of inclusion homomorphisms. Then  $\mathbf{A}$  is the *amalgamated free product*<sup>10</sup> of  $\{\mathbf{A}_i\}_{i \in I}$  over  $\mathbf{B}$  if, for any Boolean algebra  $\mathbf{C}$  with a family of homomorphisms  $\{f_i: \mathbf{A}_i \rightarrow \mathbf{C}\}_{i \in I}$  such that  $f_i \circ \iota_i = f_j \circ \iota_j$  for all  $i, j \in I$ , there is a unique homomorphism  $f: \mathbf{A} \rightarrow \mathbf{C}$  such that the triangle in

$$\begin{array}{ccccc} \mathbf{B} & \xhookrightarrow{\iota_i} & \mathbf{A}_i & \hookrightarrow & \mathbf{A} \\ & & \downarrow f_i & \swarrow f & \\ & & \mathbf{C} & & \end{array}$$

commutes for all  $i \in I$ . We will denote this product as

$$\mathbf{A} = \bigoplus_{\substack{\mathbf{B} \\ i \in I}} \mathbf{A}_i.$$

## 5.2 New Results

Make sure that this is enough to guarantee a pushout.

**Theorem 26.** Let  $\{S_i\}_{i=0}^n$  be a finite set of finite sets for some  $n > 1$  such that for all distinct positive integers  $i$  and  $j$ ,  $S_i \cap S_j = S_0$ , and let

$$\mathbf{A} = \bigoplus_{\substack{\mathcal{F}(S_0) \\ 1 \leq i \leq n}} \mathcal{F}(S_i).$$

Let  $(m_i: \mathcal{F}(S_i) \rightarrow \mathbb{R}_{\geq 0})_{i=1}^n$  be arbitrary measures. Then there is a unique measure  $m: \mathbf{A} \rightarrow \mathbb{R}_{\geq 0}$  such that, for any element  $b \in \mathcal{F}(S_0)$ , subset  $J \subseteq \{1, 2, \dots, n\}$ , and elements  $\{a_j \in \mathcal{F}(S_j \setminus S_0)\}_{j \in J}$ ,

$$m\left(b \wedge \bigwedge_{j \in J} a_j\right) = \prod_{j \in J} m_j(b \wedge a_j).$$

**Theorem 27.** The number of weights needed to encode a Bayesian network using coproducts and pushouts is equal to the number of entries in the tables of the network (and the resulting theory is shorter).

<sup>9</sup>A slightly more general version of this definition is also known as the free product, the Boolean product, and the coproduct in the category of BAs [14, 18, 26].

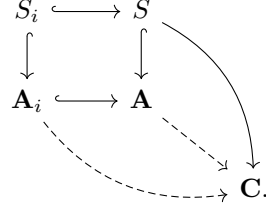
<sup>10</sup>Also known as a (wide) pushout in the category of BAs.

**Theorem 28** (Pushouts of free BAs are free). *Let*

$$\mathbf{A} = \bigoplus_{i \in I}^{\mathbf{B}} \mathbf{A}_i$$

*be an amalgamated free product such that  $\{\mathbf{A}_i\}_{i \in I}$  are free BAs with  $\{S_i\}_{i \in I}$  as their respective sets of generators. Let  $S = \bigcup_{i \in I} S_i$ . Then  $\mathbf{A}$  is a free BA with generating set  $S$ .*

*Proof.* Suppose we have a map from  $S$  to an arbitrary BA  $\mathbf{C}$ , as in

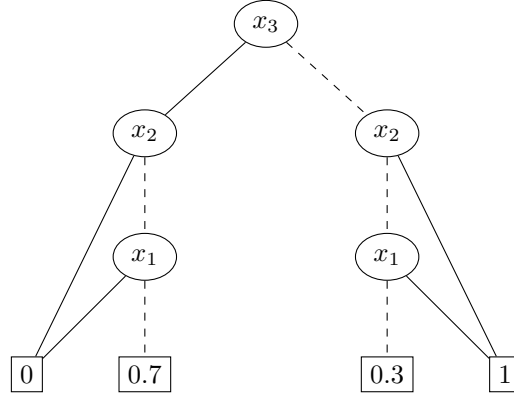


We want to show that there exists a unique homomorphism  $\mathbf{A} \rightarrow \mathbf{C}$ . For all  $i \in I$ , from  $S_i \hookrightarrow S$  and  $S \rightarrow \mathbf{C}$  we get a map  $S_i \rightarrow \mathbf{C}$ , so—by the definition of a free BA—there is a unique homomorphism  $\mathbf{A}_i \rightarrow \mathbf{C}$ . Furthermore, a family of homomorphisms  $\{\mathbf{A}_i \rightarrow \mathbf{C}\}_{i \in I}$  uniquely determine a homomorphism  $\mathbf{A} \rightarrow \mathbf{C}$  by the universal mapping property of a (wide) pushout. Thus  $\mathbf{A}$  is a free BA with generating set  $S$ .  $\square$

**Corollary 29.** *Similarly, coproducts of free BAs are free.*

## 6 Representation of conditional probability tables

The probability of a variable with  $n$  values  $x_1, \dots, x_n$  (whether conditioned on something or not) can be represented with an ADD of size  $\Theta(n^2)$  by representing each of  $\Pr(x_1), \Pr(x_2 \mid x_1), \dots, \Pr(x_n \mid x_1, \dots, x_{n-1})$  by a separate ADD. For example, if  $n = 3$ , the last of the three ADDs would look like this



Such an ADD for  $x_n$  has  $2n + 3$  nodes and  $4n - 2$  edges. The same information can be represented in textual form as

```
w 3 1 0
w 3 2 0
w 3 -1 -2 0.7
```

The encoding weights depend on the probabilities in the CPT as in

$$w(x_n \mid \neg x_1, \dots, \neg x_{n-1}) = \Pr(X = x_n) \prod_{i=1}^{n-1} \frac{1}{1 - w(x_i \mid \neg x_1, \dots, \neg x_{i-1})}.$$

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