



Distance Sampling in R

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Abstract

The study of plants or animals often involves the estimation of population size and/or their spatial distribution. These characteristics are important considerations in wildlife management. We introduce the R package **Distance** that uses distance sampling data to estimate populations size (abundance) and is compatible with another R package **dsm** which can be used to model spatial distribution. We describe how users can obtain estimates of abundance and/or density using the package **Distance** as well documenting the links it provides with other R packages offering specialist solutions to specific distance sampling challenges. We demonstrate how this package provides a migration pathway from previous software, thereby allowing us to deliver cutting edge methods to the users more quickly.

Keywords: distance sampling, abundance estimation, line transects, point transects, detection functions, Horvitz-Thompson, R, Distance.

1. Introduction

Distance sampling (Buckland et al. 2001; Buckland et al. 2004; Buckland et al. 2015) encompasses a suite of methods used to estimate the density and/or abundance of biological populations. Distance sampling can be thought of as an extension of plot sampling. Plot sampling involves selecting a number of plots (small areas) at random within the study area and counting the objects of interest that are contained within each plot. By selecting the plots at random we can assume that the density of objects in the plots is representative of the study area as a whole. One of the key assumptions of plot sampling is that all objects within each of the plots are counted. Distance sampling relaxes this assumption in that observers are no longer required to see and count everything within selected plots. While plot sampling techniques are adequate for static populations occurring at high density they are inefficient for more sparsely distributed populations. Distance sampling provides a more efficient solution in such circumstances.

Conventional distance sampling assumes the observer is either stood at a point or moving along a line and will only see everything that occurs exactly at that point or on the line. It is then expected that the further away an object is from the point or line (also known as the sampler or transect) the less likely it is that the observer will see it. By recording the distance to each of the detected objects we can fit a model to describe the probability of detection given distance from the sampler, which we refer to as the ‘detection function’. The detection function can be used to infer how many objects were missed and thereby produce estimates of density and/or abundance.

The Windows program Distance (or “DISTANCE”; for clarity henceforth “Distance for Windows”) can be used to fit detection functions to such distance sampling data. It was first released in November 1998 as Distance for Windows version 3.5. Since this time it has evolved to include various design and analysis features (Thomas et al. 2010). Distance for Windows versions 5 onwards have included R (R Core Team 2015) packages as the analysis engines providing additional, more complex analysis options than those offered by the original FORTRAN engine.

As Distance for Windows becomes increasingly reliant on analyses performed in R and new methods are being developed at a rate where it is unfeasible to make all these available in the Windows interface, we are encouraging the use of our R packages directly. In addition, the core packages in R provide a variety of functions useful for data exploration with minimal data formatting. The plotting of a histogram of detection distances only requires these values to be stored in a numerical vector, an exercise that is recommended not only prior to analyses but also early on in the data collection process (*LM need ref here Buckland et al. (2015) ?*).

Until now those wishing to use our R packages for straight forward distance sampling analyses would have had to negotiate the complex package **mrds** (Laake et al. 2015) designed for mark-recapture distance sampling. In addition, **mrds** requires a complex data structure before analyses can be completed. **Distance** is a wrapper package around **mrds** making it easier to get started with basic distance sampling analyses in R. Like the histogram function, the most basic detection function estimation only requires a numeric vector of distances. Here we demonstrate how to use **Distance** to fit detection functions, perform model checking and selection, and estimate abundance and/or density.

1.1. Distance sampling

Like plot sampling, distance sampling assumes that the samplers have been located at random within the study area. Given that the samplers are located independently of the objects, for line transect studies we know that the “availability” of objects should be constant with increasing perpendicular distance. However, for point transect surveys the available survey area increases linearly with radial distance implying that the number of objects available for detection should also increase linearly. Figure 1 shows how these availability functions when combined with a detection function give rise to the observed distribution of recorded distances.

Given that we can assume these particular availability function there are a number of ways we can use either the estimated detection function or the composite function we fit to our data to estimate abundance. One simple idea begins with finding the area under the composite function we fit to our data out to a truncation distance w . If we then find the area under the availability function out to distance w , then dividing the former area by the latter gives

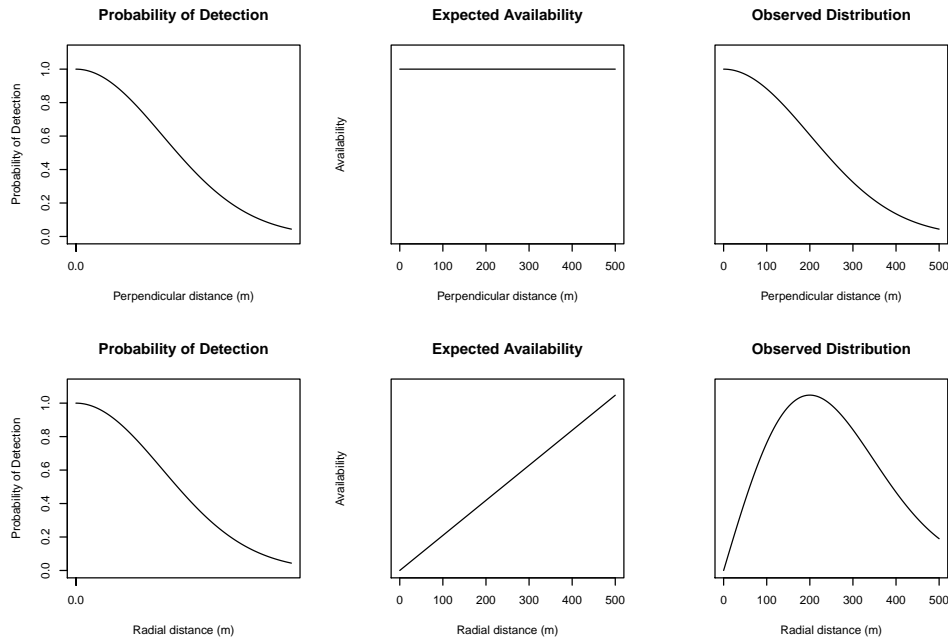


Figure 1: Top panels show an example detection function (left), the expected availability of objects (middle) and the resulting distribution of detections (right) for line transects. Bottom panels show an example detection function (left), the expected availability of objects (middle) and the resulting distribution of detections (right) for point transects. The distributions in the right hand plots are obtained by multiplying the detection function by the availability function and are referred to in the text as the 'composite function'.

us an estimate of the proportion of objects seen within distance w of the transect. We can then scale up the number of objects we saw, first to give us an estimate of how many objects were within distance w of the transects and then again by the proportion of the study area we have sampled to give an estimate of abundance for the entire study area.

Figure 2 shows simulated sampling of a population of 500 objects using line and point transects and their corresponding histograms of observed detection distances. Note that for the purposes of distance sampling an object may either refer to an individual in a population or a cluster of individuals. In the latter instance, the density or abundance of clusters is estimated separately to the mean cluster size which can later be used to estimate the density or abundance of individuals.

Distance provides a selection of candidate functions to describe the probability of detection and estimates the parameters using maximum likelihood estimation. The probability of detecting an object may not only depend on how far it is from the observer but also on other factors such as weather conditions, ground cover, cluster size etc. The **Distance** package also allows the incorporation of such covariates into the detection function allowing the detection function parameters to vary based on these covariates.

Other important assumptions in conventional distance sampling are as follows: all objects exactly on the transect line or point are detected, objects are stationary, measurements are exact. Field methods must therefore be chosen carefully to satisfy these assumptions within the species and habitat constraints (Buckland et al. 2015).

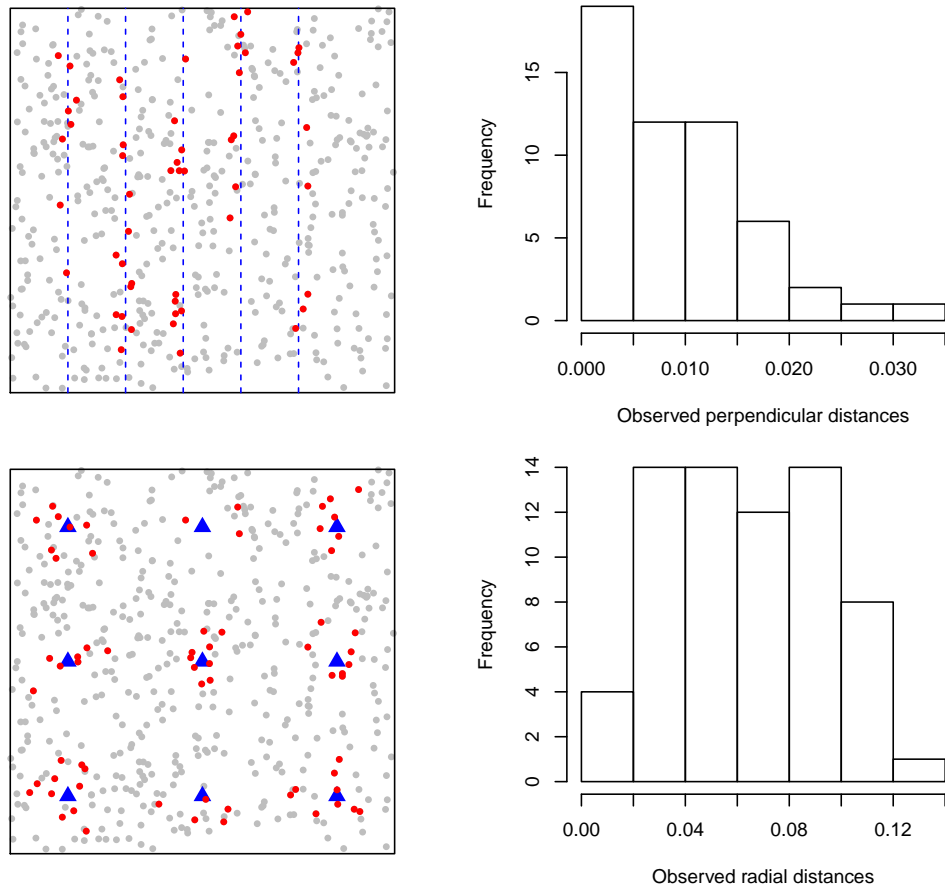


Figure 2: Left side plots show an example of a survey of an area containing a population of 500 objects; blue lines (top plot) and triangles (bottom plot) indicate sampler placement, red dots indicate detected individuals and grey dots give the locations of unobserved individuals. The right side of the figure shows histograms of observed distances (again, lines top and points bottom).

The rest of the paper has the following structure: we describe data formatting for **Distance**; candidate detection function models are described in terms of formulation and fitted examples in R. We then show how to perform model checking, goodness of fit and model selection. We go on to show how to estimate abundance, including stratified estimates of abundance. The final two sections of the article look at extensions (both in terms of methodology and software) and put the package in a broader context amongst other software packages used for estimating the abundance of biological populations. (LT: Do we mean software packages in general (e.g., Mark) or R packages? Do we actually do this – i.e., talk about how Distance fits in among all this, or is this now an appendix, and does it cover all methods or just distance-based methods.)

2. Data

We introduce two example analyses performed in **Distance**: one line transect and one point transect. These data sets have been chosen as they represent typical data seen in practice.

Minke whales

The line transect data have been simulated from models fitted to Antarctic minke whale (*Balaenoptera bonaerensis*) data (*LM why is the Minke whale data simulated rather than using original data, just data ownership/permissions?*). These data were collected as part of the International Whaling Commission’s International Decade of Cetacean Research Southern Ocean Whale and Ecosystem Research (IWC IDCR-SOWER) programme 1992-1993 austral summer surveys (Branch and Butterworth 2001). This data set consists of 99 observations that are stratified based on location (near or distant from ice edge) and effort data (transect lengths)(LM did the original dataset have 99 observations or the simulated dataset or both?). The survey is shown in Figure 3. (*LM wonder if we need more info on how the data were simulated... based only on detection function or on spatial model too? I notice in the figure text that the simulated data are based on “1992/93 Area III” should this info go here too?*)

Amakihi

The point transect data set consists of 1485 observations of Amakihi (*Hemignathus virens*; a Hawaiian songbird), collected at 41 points between 1992 and 1995. The data include distances and two covariates collected during the survey: observer (a three level factor), time after sunrise (transformed to minutes (continuous) or hours (factor) covariates). Data are analysed comprehensively in Marques et al. (2007).

2.1. Data setup

The two example data sets used here are distributed preformatted with **Distance** so readers can reproduce our analyses. Generally, data collected in the field will require some formatting for use with **Distance**. However, the package allows for a flexible format of data input ranging from very simple to complex dependent on the type of analysis you wish to perform:

- In the simplest case, where the objective is to estimate a detection function, all that is needed is a vector of distances.

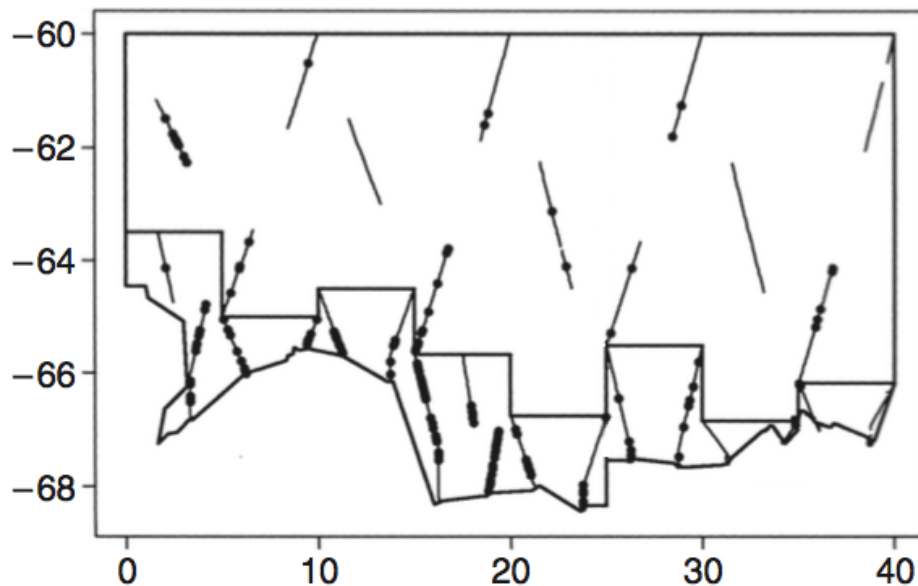


Figure 3: Strata used for the minke whale data adapted from Hedley and Buckland (2004). Points show the (simulated) locations of observations along transect lines. The stepped line shows the boundary between North and South strata. Further details on the survey are available in Branch and Butterworth (2001) (simulated data is based on “1992/93 Area III” therein).

- To include additional covariates into the detection function (see “Detection functions”) a `data.frame` is required. The `data.frame` must contain a column named `distance` (containing the observed distances) and additional named columns for any covariates that may affect detectability (for example `observer` or `seastate`). The column name `size` is reserved for the cluster sizes for when the detection distances relate to observations of clusters rather than individuals. Additional reserved names include `object` and `detected`, these are not required for conventional distance sampling and should be avoided (see “Extensions” for an explanation of their use).
- To estimate density or to estimate abundance beyond the sampled area, additional information is required. Additional columns should be included in the `data.frame` specifying: `Sample.Label`, the ID of the transect; `Effort`, transect effort (for lines their length and for points the number of times that point was visited); `Region.Label`, the strata containing the transect (which may be from pre- or post-survey stratification, see “Estimating abundance and variance”); `Area`, the area of the strata. For transects which were surveyed but have no observations must be included in the data set with `NA` for `distance` and any other covariates. We refer to this data format (where all information is contained in one table) as “flatfile” as it can be easily created in a single spreadsheet.

As we will see in “Extensions”, further information is also required for fitting more complex models.

It is also possible to use distances collected in intervals (“binned” or “grouped” data, as opposed to “exact” distances) to model the detection function. In this case the column `distance` is

replaced by two columns **distbegin** and **distend** referring to the distance interval start and end cutpoints. More information on binned data is included in Buckland et al. (2001) sections 4.5 and 7.4.1.2.

The columns **distance**, **Area** and (in the case of line transects) **Effort** have associated units (though these are not explicitly included in a **Distance** analysis. For this reason, we recommended that these are converted to SI units before starting any analysis to ensure that resulting abundance and density estimates have sensible units. *(LM: does this mean that the units for distance must be the same for effort, and if these units are km then the area should be in square km? If so might be useful to give an e.g.)*

The minke whale data follows the “flatfile” format given in the last bullet point:

```
library("Distance")
head(minke)
```

| | Region.Label | Area | Sample.Label | Effort | distance |
|---|--------------|-------|--------------|--------|----------|
| 1 | South | 84734 | 1 | 86.75 | 0.10 |
| 2 | South | 84734 | 1 | 86.75 | 0.22 |
| 3 | South | 84734 | 1 | 86.75 | 0.16 |
| 4 | South | 84734 | 1 | 86.75 | 0.78 |
| 5 | South | 84734 | 1 | 86.75 | 0.21 |
| 6 | South | 84734 | 1 | 86.75 | 0.95 |

Whereas the amakihi data lacks effort and stratum data:

```
head(amakihi)
```

| | survey | object | distance | obs | mas | has | detected |
|---|---------|--------|----------|-----|-----|-----|----------|
| 1 | July 92 | 1 | 40 | TJS | 50 | 1 | 1 |
| 2 | July 92 | 2 | 60 | TJS | 50 | 1 | 1 |
| 3 | July 92 | 3 | 45 | TJS | 50 | 1 | 1 |
| 4 | July 92 | 4 | 100 | TJS | 50 | 1 | 1 |
| 5 | July 92 | 5 | 125 | TJS | 50 | 1 | 1 |
| 6 | July 92 | 6 | 120 | TJS | 50 | 1 | 1 |

We will explore the consequences of including effort and stratum data during analysis below.

3. Detection functions

The detection function models the probability $\mathbb{P}(\text{object detected} \mid \text{object at distance } y)$ and is usually denoted $g(y; \boldsymbol{\theta})$ where y is distance (from a line or point) and $\boldsymbol{\theta}$ is a vector of parameters to be estimated. Our goal is to estimate an *average probability of detection* (p , average in the sense of an average over distance from 0 to truncation distance w), so we must integrate out distance (y) from the detection function:

$$p = \int_0^w \pi(y)g(y; \boldsymbol{\theta})dy$$

where $\pi(y)$ describes the distribution of objects with respect to the sampler; $\pi(x) = 1/w$ for line transects and $\pi(r) = \frac{2r}{w^2}$ for point transects, taking into account the geometry of the sampler (Buckland et al. 2001, Chapter 3). When considering a particular transect type we let x denote a perpendicular distance from a line and r denote radial distance from a point (rather than using y).

It is crucial that the detection function accurately models detectability at small distances; we are less worried by its behaviour further away from 0. To ensure that the model is not overly influenced by distances far from zero, we truncate the distances beyond a given distance w . Including these larger distances in our analysis does not demonstrably improve the precision of abundance estimates (Buckland et al. 2001, sec. 4.3 and 5.3).

Models for the detection function are expected to have the following properties (Buckland et al. 2015, Chapter 5):

- *Shoulder*: we expect observers to be able to see objects near them, not just those directly in front of them. For this reason, we expect the detection function to be flat near the line or point.
- *Non-increasing*: we do not think that observers should be more likely to see distant objects than those nearer the transect. If this occurs, it usually indicates an issue with survey design or field procedures (for example that the distribution of objects with respect to the line, $\pi(y)$ is not what we expect), so we do not want the detection function to model this. *(LM: Hmm could be availability but could also be detectability... either way it is bad news but don't want to give people the impression they should just chop these bumps off without thought do we? Ideally spot early in data collection and resolve)*
- *Model robust*: models should be flexible enough to fit many different shapes.
- *Pooling robust*: many factors can affect the probability of detection and it is not possible to measure all of these. We would like models to produce unbiased results without inclusion of these factors.
- *Estimator efficiency*: we would like models to have low variances, given they satisfy the other properties above (which, if satisfied, would give low bias).

Given these criteria, we can formulate models for g .

3.1. Formulations

There is a wide literature on possible formulations for the detection function (Buckland 1992; Eidous 2005; Becker and Quang 2009; Giammarino and Quatto 2014; Miller and Thomas 2015; Becker and Christ 2015). **Distance** includes the most popular of these models. Here we detail the most popular detection function approach: “key function plus adjustments” (K+A).

Key function plus adjustments (K+A)

Key function plus adjustment terms (or adjustment series) models are formulated by taking a “key” function and optionally adding “adjustments” to it to improve the fit (Buckland 1992). Mathematically we formulate this as:

$$g(y; \boldsymbol{\theta}) = k(y; \boldsymbol{\theta}_{\text{key}}) (1 + \alpha_O(y; \boldsymbol{\theta}_{\text{adjust}})),$$

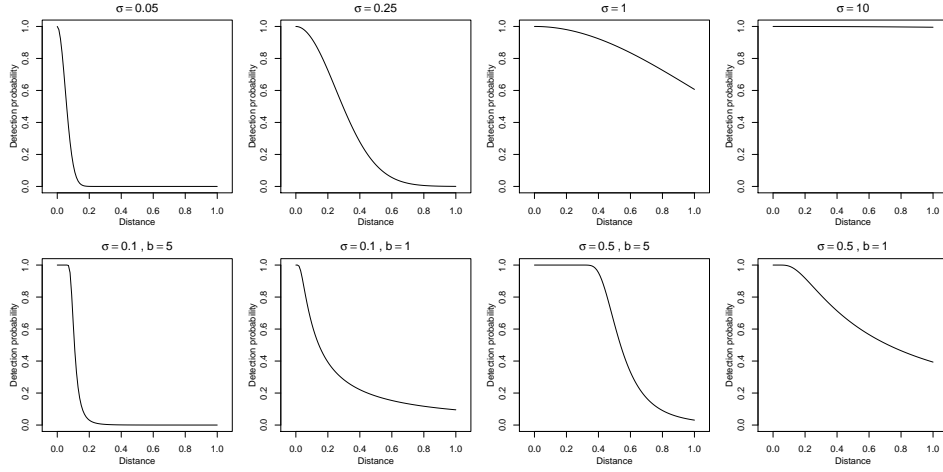


Figure 4: Half-normal (top row) and hazard-rate (bottom row) detection functions without adjustments, varying scale (σ) and (for hazard-rate) shape (b) parameters (values are given above the plots). On the top row from left to right, the study species becomes more detectable (higher probability of detection at larger distances). The bottom row shows the hazard-rate model's more pronounced shoulder.

Table 1: Modelling options for key plus adjustment series models for the detection function. Adapted from Buckland et al. (2001), section 2.4.

| Key function | Form | Adjustment series | Form |
|--------------|--|--------------------|-------------------------------------|
| Uniform | $1/w$ | cosine | $\sum_{o=1}^O a_o \cos(o\pi y/w)$ |
| | | Simple polynomial | $\sum_{o=1}^O a_o (y/w)^{2o}$ |
| Half-normal | $\exp\left(-\frac{y^2}{2\sigma^2}\right)$ | cosine | $\sum_{o=2}^O a_o \cos(o\pi y/w)$ |
| | | Hermite polynomial | $\sum_{o=2}^O a_o H_{2o}(y/\sigma)$ |
| Hazard-rate | $1 - \exp\left[-\left(\frac{y}{\sigma}\right)^{-b}\right]$ | cosine | $\sum_{o=2}^O a_o \cos(o\pi y/w)$ |
| | | Simple polynomial | $\sum_{o=2}^O a_o (y/w)^{2o}$ |

where k is the key function and α_O is sum series of functions (given in Table 1), described as an *adjustment of order O* . Subscripts on the parameter vector indicate those parameters belonging to each part of the model (i.e. $\boldsymbol{\theta} = (\boldsymbol{\theta}_{\text{key}}, \boldsymbol{\theta}_{\text{adjust}})$).

Available models for the key are as follows:

$$k(y) = \begin{cases} \exp\left(-\frac{y^2}{2\sigma^2}\right) & \text{half-normal,} \\ 1 - \exp\left(-\left(\frac{y}{\sigma}\right)^{-b}\right) & \text{hazard-rate,} \\ 1/w & \text{uniform.} \end{cases}$$

Possible modelling options for key and adjustments are given in Table 1 and illustrated in Figures 4 and 5. We select the number of adjustment terms (K) by AIC (further details in “Model checking and model selection”).

When adjustment terms are used it may be necessary to standardise the results to ensure

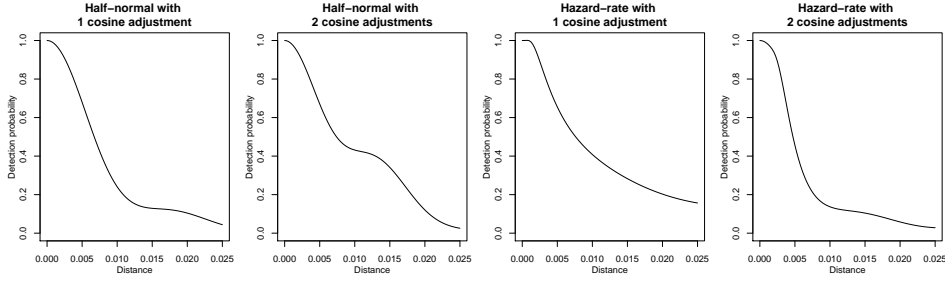


Figure 5: Possible (though not necessarily plausible) shapes for the detection function when adjustments are included for half-normal and hazard-rate models.

that $g(0) = 1$, so we can redefine the detection function as:

$$g(y; \boldsymbol{\theta}) = \frac{k(y; \boldsymbol{\theta}_{\text{key}}) (1 + \alpha_O(y; \boldsymbol{\theta}_{\text{adjust}}))}{k(0; \boldsymbol{\theta}_{\text{key}}) (1 + \alpha_O(0; \boldsymbol{\theta}_{\text{adjust}}))}.$$

A disadvantage of K+A models is that we must resort to constrained optimisation (via the **Rsolnp** package; Ghalanos and Theussl 2014) to ensure that the resulting detection function is monotonic non-increasing over its range.

It is not always necessary to include adjustments (except in the case of the uniform key) and in such cases we refer to these as “key only” models (see the next section and “Model checking and model selection”).

Covariates

There are many factors that can affect the probability of detecting an object. These include things like observer skill, cluster/group size (if objects occur in groups), the vessel or platform used, sea state, other weather conditions and time of day. In **Distance** we assume that these variables affect detection only via the scale of the detection function (and do not affect the shape).

Covariates can be included in this formulation by considering the scale parameter from the half-normal or hazard-rate detection functions as a linear model (on the exponential scale) of the (J) covariates (\mathbf{z} ; a vector of length J for each observation):

$$\sigma(\mathbf{z}) = \exp(\beta_0 + \sum_{j=1}^J \beta_j z_j).$$

Including covariates has an important implication for our calculation of detectability. Because we do not know the true distribution of the covariates, we must calculate the probability of detection conditional on the observed values of the covariates:

$$p(\mathbf{z}_i) = \int_0^w \pi(y) g(y, \mathbf{z}_i; \boldsymbol{\theta}) dy,$$

where \mathbf{z}_i is the vector of J covariates associated with observation i . For covariate models, we calculate a value of “average” probability of detection (average in the sense of distance being

integrated out) per observation. There are as many unique values of $p(\mathbf{z}_i)$ as there are unique covariate combinations in our data.

K+A models that include covariates and one or more adjustments cannot be guaranteed to be monotonic non-increasing for all covariate combinations. Without a model for the distribution of the covariates, it is not possible to know what the behaviour of the detection function will be across the ranges of the covariates. As such we cannot set meaningful constraints on monotonicity. For this reason, we advise against using both adjustments and covariates in a detection function (see Miller and Thomas 2015 for an example of when this can be problematic).

3.2. Fitting detection functions in R

Fitting a detection function in **Distance** is done using the `ds` function. Here we show some of the possible formulations for the detection function we have seen above applied to the minke whale and amakihi data.

Minke whale

First we fit a model to the minke whale data, setting the truncation at 1.5km and using the default options in `ds` very simply:

```
minke_hn <- ds(minke, truncation=1.5)
```

```
Starting AIC adjustment term selection.
Fitting half-normal key function
Key only model: not constraining for monotonicity.
AIC= 46.872
Fitting half-normal key function with cosine(2) adjustments
AIC= 48.872
```

```
half-normal key function selected!
```

Note that `ds` will automatically select adjustment terms using minimum AIC and show the selection steps.

Figure 6 (left panel) shows the result of calling `plot` on the resulting model object. We can also call `summary` on the model object to get summary information about the fitted model (we postpone this to the next section).

A different form for the detection function can be specified via the `key=` argument to `ds`. For example, a hazard rate model can be fitted as:

```
minke_hrcos <- ds(minke, truncation=1.5, key="hr")
```

```
Starting AIC adjustment term selection.
Fitting hazard-rate key function
Key only model: not constraining for monotonicity.
```

```
AIC= 48.637
Fitting hazard-rate key function with cosine(2) adjustments
AIC= 50.386
```

hazard-rate key function selected!

Here `ds` had also fitted a model with cosine adjustments (the default) but finds the AIC improvement to be insufficient to select the adjustment.

Amakihi

By default `ds` assumes the data given to it has been collected as line transects, but we can switch to point transects using the argument `transect="point"`. We can include covariates in the scale parameter via the `formula=~...` argument to `ds`. A hazard-rate model for the amakihi that includes observer as a covariate and a truncation distance of 82.5m (Marques et al. 2007) can be specified using :

```
amakihi_hr_obs <- ds(amakihi, truncation=82.5, transect="point",
                    key="hr", formula=~obs)
```

```
Cannot perform AIC adjustment term selection when covariates are used.
Fitting hazard-rate key function
AIC= 10778.448
No survey area information supplied, only estimating detection function.
```

Note that here, unlike with the minke whale data, `ds` warns us that we have only supplied enough information to estimate the detection function (not density or abundance).

(LM: this next model was thrown in without much explanation, I have tried to add some intro to it... is this correct?)

While automatic AIC selection is performed on adjustment terms, model selection for covariates must be performed manually. Here we introduce a second covariate model with both observer and minutes after sunrise explaining detectability. We will compare these models further in the following section.

```
amakihi_hr_obs_mas <- ds(amakihi, truncation=82.5, transect="point",
                        key="hr", formula=~obs+mas)
```

```
Cannot perform AIC adjustment term selection when covariates are used.
Fitting hazard-rate key function
AIC= 10777.376
No survey area information supplied, only estimating detection function.
```

As with the minke whale model, we can plot the resulting models (Figure 6, middle and right panels). However, for point transect studies, probability density function plots give a better sense of model fit than the detection function plots. This is because when plotting

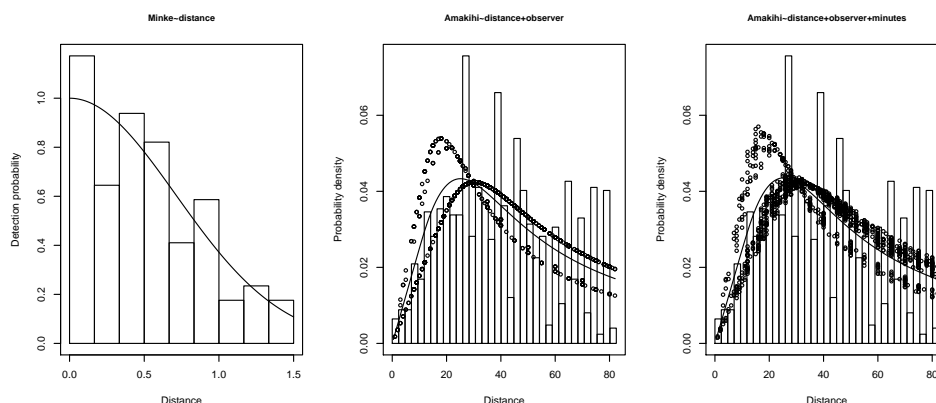


Figure 6: Left: fitted detection function overlayed on the histogram of observed distances for the minke whale data using half-normal model. Centre and right: plots of the probability density function for the amakihi models. Centre, hazard-rate with observer as a covariate; right, hazard-rate model with observer and minutes after sunrise as covariates. Points indicate probability of detection for a given observation (given that observations covariate values) and lines indicate the average detection function.

the detection function for point transect data, the histogram must be rescaled to account for the geometry of the point sampler (i.e. the increasing availability with distance). The amakihi models included covariates, so the plots show the detection function averaged over levels/values of the covariate. Points on the plot indicate probability of detection for each observation. For the `amakihi_hr_obs` model we see fairly clear levels of the observer covariate in the points. Looking at the right panel of Figure 6, we can see this is less clear when adding minutes after sunrise as a covariate into the model.

(LM: I found the plots a bit confusing to start is it worth putting titles on them to remind the reader that these are from both datasets? I couldn't figure out how to make the text bigger if we want to do this. I have also clarified the text in the para above as it referred to Figure 6 generally at one point that I think is what threw me off a bit and the fact that the other model hadn't been introduced till after it's plot was introduced.)

4. Model checking and model selection

As with models fitted using `lm` or `glm` in R, we can use `summary` to give useful information about our fitted model. For our hazard-rate model of the amakihi data, with observer as a covariate:

```
summary(amakihi_hr_obs)
```

```
Summary for distance analysis
Number of observations : 1243
Distance range         : 0 - 82.5
```

```
Model : Hazard-rate key function
```

AIC : 10778.45

Detection function parameters

Scale coefficient(s):

| | estimate | se |
|-------------|------------|------------|
| (Intercept) | 3.06441705 | 0.10878121 |
| obsTJS | 0.53017364 | 0.09956539 |
| obsTKP | 0.08885471 | 0.18071851 |

Shape coefficient(s):

| | estimate | se |
|-------------|-----------|------------|
| (Intercept) | 0.8690009 | 0.06261764 |

| | Estimate | SE | CV |
|---------------------|--------------|-------------|------------|
| Average p | 0.3142723 | 0.0204413 | 0.06504326 |
| N in covered region | 3955.1685709 | 274.2284029 | 0.06933419 |

This summary information includes details of the data and model specification, as well as the values of the coefficients (β_j) and their uncertainties, an “average” value for the detectability (see “Estimating abundance and variance” for details on how this is calculated) and its uncertainty. The final line gives an estimate of abundance for the area covered by the survey (see the next section).

4.1. Goodness of fit

To judge how well a detection functions fits the data when we have exact distances, we use a quantile-quantile plot (Q-Q plot). Here the Q-Q plot compares the the cumulative distribution function (CDF) of the fitted detection function to the distribution of the data (empirical distribution function or EDF). The Q-Q plots in **Distance** plot a point for every observation. The EDF is is the proportion of points that have been observed at a distance equal to or less than the distance of that observation. The CFD is calculated from the fitted detection function as the probability of observing an object at a distance less than or equal to that of the given observation. This can be interpreted as assessing whether the number of observations up to a given distance is in line with what the model says they should be. As usual for Q-Q plots, “good” models will have values close to the line $y = x$, poor models will show greater deviations from that line.

Q-Q plots can be inspected visually, though this is prone to subjective judgments. Therefore, we also quantify the Q-Q plot’s information using a Kolmogorov-Smirnov or Cramer-von Mises test (Burnham et al. 2004). Both test whether points from the EDF and CDF are from the same distribution. The Kolmogorov-Smirnov uses the test statistic of the largest difference between a point on the Q-Q plot and the line $y = x$, whereas the Cramer-von Mises test uses the sum of all the differences. As it takes into account more information and is therefore more powerful, the Cramer-von Mises is generally preferred. A significant result (usually $P < 0.05$) from either test gives evidence against the null hypothesis (that the data arose from the fitted model), suggesting that the model does not fit the data well.

We can generate a Q-Q plot and test results using the `gof_ds` function. Figure 7 shows the goodness of fit tests for two models for the amakihi data. We first fit a half-normal model

without covariates or adjustments (setting `adjustment=NULL` will force `ds` to fit a model with no adjustments):

```
amakihi_hn <- ds(amakihi, truncation=82.5, transect="point", key="hn", adjustment=NULL)
```

```
Fitting half-normal key function
```

```
Key only model: not constraining for monotonicity.
```

```
AIC= 10833.841
```

```
No survey area information supplied, only estimating detection function.
```

```
gof_ds(amakihi_hn)
```

```
Goodness of fit results for ddf object
```

```
Distance sampling Kolmogorov-Smirnov test
```

```
Test statistic = 0.059345 P = 0.00031527
```

```
Distance sampling Cramer-von Mises test (unweighted)
```

```
Test statistic = 0.93083 P = 0.003578
```

```
gof_ds(amakihi_hr_obs_mas)
```

```
Goodness of fit results for ddf object
```

```
Distance sampling Kolmogorov-Smirnov test
```

```
Test statistic = 0.036251 P = 0.076237
```

```
Distance sampling Cramer-von Mises test (unweighted)
```

```
Test statistic = 0.15016 P = 0.38908
```

We can conclude that the half-normal model does not pass our goodness of fit tests and should be discarded. Both hazard-rate models (output only shown for hazard-rate model with observer and minutes after sunrise) had non-significant goodness of fit test statistics and are both therefore deemed plausible models. The corresponding Q-Q plots are shown in Figure 7, comparing the half-normal model with the hazard-rate model with observer and minutes after sunrise included.

4.2. Model selection

Once we have a set of plausible models, we can use Akaike's Information Criterion (AIC) to select between models. **Distance** includes a function to create table of summary information for fitted models, making it easy to get an overview of a large number of models. The `summarize_ds_models` function takes models as input and can be especially useful when paired with **knitr**'s `kable` function to create summary tables for publication (Xie 2015).

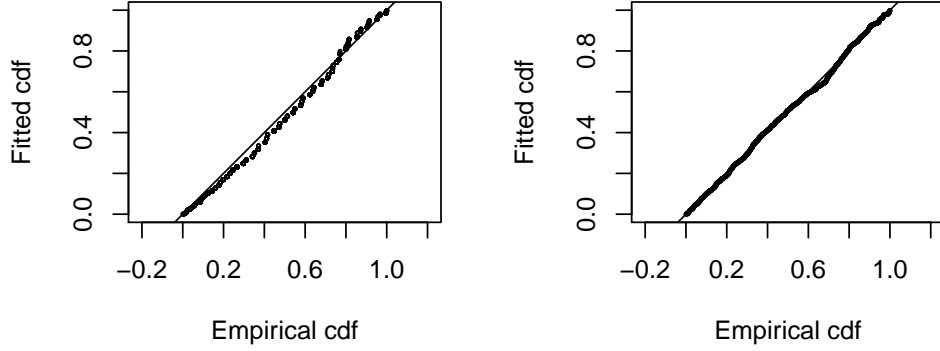


Figure 7: Comparison of quantile-quantile plots for a half-normal model (no adjustments, no covariates; left) and hazard-rate model with observer and minutes after sunrise (right) for the amakihi data.

Table 2: Summary for the detection function models fitted to the amakihi data. “C-vM” stands for Cramer-von Mises, P_a indicates average detectability (see “Estimating abundance and variance”), se indicates standard error. Models are sorted according to AIC.

| Key function | Formula | C-vM p -value | \hat{P}_a | $se(\hat{P}_a)$ | AIC | Δ AIC |
|--------------------------|-----------|-----------------|-------------|-----------------|----------|--------------|
| Hazard-rate key function | obs + mas | 0.389 | 0.319 | 0.020 | 10777.38 | 0.000 |
| Hazard-rate key function | obs | 0.271 | 0.314 | 0.020 | 10778.45 | 1.073 |
| Half-normal key function | 1 | 0.004 | 0.351 | 0.011 | 10833.84 | 56.465 |

An example of this output is shown in Table 2 and was generated by the following call to `summarize_ds_models`:

(LM: hmm we say that the hn model should be discarded based on fit but it is then included in the table... is this confusing? There is also no guidance here on model selection... although the AIC is smallest for amakihi.hr.obs.mas, some would argue that a difference of less than 2 does not really justify the inclusion of the additional covariate. Are we simply saying minimum AIC wins (if so this is not clear)? Should we point them at further discussion on the topic?)

```
summarize_ds_models(amakihi_hn, amakihi_hr_obs, amakihi_hr_obs_mas)
```

5. Estimating abundance and variance

Though fitting the detection function is the primary modelling step in distance sampling, we are really interested in estimating detectability, and from that density or abundance. It is also important to calculate our uncertainty for these estimates. This section addresses these issues mathematically before showing how to estimate abundance and its variance in R.

5.1. Abundance

We wish to obtain the abundance in a study region, of which we have sampled a (representative) subset. To do this we first calculate the abundance in the area we have surveyed (the

covered area) to obtain \hat{N}_C , we can then scale this up to the full study area by multiplying it by the ratio of covered area to study area. We discuss other methods for spatially explicit abundance estimation in “Extensions”.

First, to estimate abundance in the covered area (\hat{N}_C), we use the estimates of detection probability (the $\{\hat{p}(\mathbf{z}_i); i = 1, \dots, n\}$, above) in a Horvitz-Thompson-like estimator:

$$\hat{N}_C = \sum_{i=1}^n \frac{s_i}{\hat{p}(\mathbf{z}_i)}, \quad (1)$$

where s_i are the sizes of the observed groups of objects, which is equal to 1 if objects only occur singly (Borchers and Burnham 2004). Thompson (2002) is the canonical reference to this type of estimator. Intuitively, we can think of the estimates of detectability ($\hat{p}(\mathbf{z}_i)$) as “inflating” the group sizes (s_i), we then sum over the detections (i) to obtain the abundance estimate. For models that do not include covariates, $\hat{p}(\mathbf{z}_i)$ is equal for all i , so this is equivalent to summing the groups and inflating that sum by dividing through by the corresponding $\hat{p}(=\hat{p}(\mathbf{z}_i)\forall i)$.

Having obtained the abundance in the covered area, we can then scale-up to the study area:

$$\hat{N} = \frac{A}{a} \hat{N}_C,$$

where A is the area of the study region to which to extrapolate the abundance estimate and a is the covered area. For line transects $a = 2wL$ (twice the truncation distance multiplied by the total length of transects surveyed, L) and for points $a = \pi w^2 T$ (where πw^2 is the area of a single surveyed circle and T is the sum of the number of visits to the sampled points).

We can use the Horvitz-Thompson-like estimator to calculate the “average” detectability for models which include covariates. We can consider what single detectability value would give the estimated \hat{N}_C and therefore calculate:

$$\hat{P}_a = n / \hat{N}_C.$$

This can be a useful summary statistic, giving us an idea of how detectable our n observed animals would have to be to estimate the same \hat{N} if there were no observed covariates. It can also be compared to similar estimates in mark-recapture studies. P_a is included in the `summary` output and the table produced by `summarize_ds_models`.

Stratification

We may wish to calculate abundance estimates for some sub-regions of the study region, we call these areas *strata*. For example, strata may be defined by habitat types or animal gender (or some combination) which may be of interest for biological or management reasons. To calculate estimates for a given stratification each observation must occur in a stratum which must be labelled with a **Region.Label** and have a corresponding **Area** (if we are using an animal characteristic like gender, we would have the areas be the same but if we were using say forested vs. wetland habitat the areas of those strata would be different). Finally, we must also know the stratum in which each observation occurs.

As an example, the minke whale data contain two strata: **North** and **South** relating to strata further away from and nearer to the Antarctic ice edge, respectively. Figure 3 shows the two strata, along with observations and transect lines.

5.2. Variance

We take an intuitive approach to uncertainty estimation, for a full derivation consult Marques and Buckland (2003). Uncertainty in \hat{N} comes from two sources:

1. *Detection function*: Uncertainty in parameter (θ) estimation.
2. *Encounter rate*: Sampling variability arising from differences in the rate of observations between transects.

We can see this by looking at the Horvitz-Thompson-like estimation in (1) and consider the terms which are random. These are: the detectability $\hat{p}(\mathbf{z}_i)$ (and hence the parameters of the detection function it is derived from) and n , the number of observations. We assume that the observed group size (s_i) is recorded without error.

Model parameter uncertainty can be addressed using standard maximum likelihood theory. We can invert the Hessian matrix of the likelihood to obtain a variance-covariance matrix. We can then pre- and post-multiply this by the derivatives of \hat{N}_C with respect to the parameters of the detection function

$$\widehat{\text{Var}}_{\text{model}}(\hat{N}_C) = \left(\frac{\partial \hat{N}_C}{\partial \hat{\theta}} \right)^T (\hat{\mathbf{H}}(\hat{\theta})^{-1}) \frac{\partial \hat{N}_C}{\partial \hat{\theta}}$$

where the partial derivatives of \hat{N}_C are evaluated at the MLE ($\hat{\theta}$) and \mathbf{H} is the first partial Hessian (outer product of first derivatives of the log likelihood) for numerical stability (Buckland et al. 2001, p 62). Note that although we calculate uncertainty in \hat{N}_C , we can trivially scale-up to variance of \hat{N} (by noting that $\hat{N} = \frac{A}{a} \hat{N}_C$ and therefore $\widehat{\text{Var}}_{\text{model}}(\hat{N}) = \left(\frac{A}{a}\right)^2 \widehat{\text{Var}}_{\text{model}}(\hat{N}_C)$).

Encounter rate is the number of objects per unit transect (rather than just n). When covariates are not included in the detection function we can define the encounter rate as n/L for line transects (where L is the total line length) or n/T for point transects (where T is the total number of visits summed over all points). When covariates are included in the detection function, it is recommended that we substitute the n in the encounter rate with the estimated abundance \hat{N}_C as this will take into account the effects of the covariates (Innes et al. 2002).

For line transects, by default, **Distance** uses a variation of the estimator “R2” from Fewster et al. (2009) replacing number of observations per sample with the estimated abundance per sample (Innes et al. 2002; Marques and Buckland 2003):

$$\widehat{\text{Var}}_{\text{encounter}, R2}(\hat{N}_C) = \frac{K}{L^2(K-1)} \sum_{k=1}^K l_k^2 \left(\frac{\hat{N}_{C,k}}{l_k} - \frac{\hat{N}_C}{L} \right)^2,$$

where l_k are the lengths of the K transects (such that $L = \sum_{k=1}^K l_k$) and $\hat{N}_{C,k}$ is the abundance in the covered area for transect k . For point transects we use the estimator “P3” from Fewster et al. (2009) but again replace n by \hat{N}_C in the encounter rate definition, to obtain the following estimator:

$$\widehat{\text{Var}}_{\text{encounter}, P3}(\hat{N}_C) = \frac{1}{T(K-1)} \sum_{k=1}^K t_k \left(\frac{\hat{N}_{C,k}}{t_k} - \frac{\hat{N}_C}{T} \right)^2,$$

where t_k is the number of visits to point k and $T = \sum_{k=1}^K t_k$ (the total number of visits to all points is the sum of the visits to each point). Again, it is straightforward to calculate the encounter rate variance for \hat{N} from the encounter rate variance for \hat{N}_C .

Other formulations for the encounter rate variance are discussed in detail in Fewster et al. (2009). **Distance** implements all of the estimators of encounter rate variance given in that article. The **varn** manual page gives further advice and technical detail on encounter rate variance.

We combine these two sources of variance by noting that squared coefficients of variation (approximately) add (Goodman 1960) (sometimes referred to as “the delta method”).

5.3. Estimating abundance and variance in R

Returning to the minke whale data, we have the necessary information to calculate A and a above, so we can estimate abundance and its variance. When we supply data to **ds** in the “flatfile” format given above, **ds** will automatically calculate abundance estimates based on the survey information in the data.

Having already fitted a model to the minke whale data, we can see the results of the abundance estimation by viewing the model summary:

```
summary(minke_hn)
```

```
Summary for distance analysis
```

```
Number of observations : 88
```

```
Distance range       : 0 - 1.5
```

```
Model : Half-normal key function
```

```
AIC   : 46.87216
```

```
Detection function parameters
```

```
Scale coefficient(s):
```

```
              estimate      se
(Intercept) -0.3411766 0.1070304
```

```

              Estimate      SE      CV
Average p      0.5733038 0.04980421 0.08687229
N in covered region 153.4962706 17.08959835 0.11133559
```

```
Summary statistics:
```

```

Region Area CoveredArea Effort n k      ER      se.ER      cv.ER
1 North 630582      4075.14 1358.38 49 12 0.03607238 0.01317937 0.3653591
2 South 84734      1453.23  484.41 39 13 0.08051031 0.01809954 0.2248102
3 Total 715316      5528.37 1842.79 88 25 0.04775368 0.01129627 0.2365529
```

```
Abundance:
```

```

Label Estimate      se      cv      lcl      ucl      df
```

Table 3: Summary of abundance estimation for the half-normal model for the minke whale data.

| Stratum | \hat{N} | $se(\hat{N})$ | $CV(\hat{N})$ |
|---------|-----------|---------------|---------------|
| North | 13225.44 | 4966.750 | 0.376 |
| South | 3966.46 | 955.962 | 0.241 |
| Total | 17191.90 | 5135.586 | 0.299 |

```

1 North 13225.44 4966.7495 0.3755450 6005.590 29124.93 12.27398
2 South 3966.46 955.9616 0.2410113 2395.606 6567.36 15.80275
3 Total 17191.90 5135.5862 0.2987212 9183.475 32184.07 14.00459

```

Density:

| | Label | Estimate | se | cv | lcl | ucl | df |
|---|-------|------------|-------------|-----------|-------------|------------|----------|
| 1 | North | 0.02097339 | 0.007876453 | 0.3755450 | 0.009523884 | 0.04618738 | 12.27398 |
| 2 | South | 0.04681073 | 0.011281913 | 0.2410113 | 0.028272077 | 0.07750560 | 15.80275 |
| 3 | Total | 0.02403400 | 0.007179465 | 0.2987212 | 0.012838347 | 0.04499280 | 14.00459 |

This prints a rather large amount of information: first the detection function summary, then three tables:

1. **Summary statistics:** giving the areas, covered areas, effort, number of observations, number of transects, encounter rate, its standard error and coefficient of variation for each stratum, then a total for the whole study area.
2. **Abundance:** giving estimates, standard errors, coefficients of variation, lower and upper confidence intervals and finally the degrees of freedom for each stratum's abundance estimate, then a total for the whole study area.
3. **Density:** lists the same statistics as **Abundance** but for a density estimate.

The summary can be more concisely expressed by extracting information from the summary object. This object is a `list` of `data.frames`, so we can use the `kable` function from **knitr** to create summary tables of abundance estimates and measures of precision, such as Table 3. We prepare the `data.frame` as follows before using `kable`:

```

minke_table <- summary(minke_hn)$dht$individuals$N
minke_table$lcl <- minke_table$ucl <- minke_table$df <- NULL
colnames(minke_table) <- c("Stratum", "$\\hat{N}$", "$\\text{se}(\\hat{N})$",
                           "$\\text{CV}(\\hat{N})$")

```

6. Extensions

The features of **Distance** are deliberately limited to provide a simplified interface for users. For more complex analyses of distance sampling data, we provide additional packages for modelling in R.

We noted at the start of the article that **Distance** is a simple-to-use wrapper around the package **mrds**. Additional features available in **mrds** include models that relax the assumption that detection is certain at zero distance from the transect. This is done using mark-recapture type methods which require a different survey technique, known as double observer surveys (see Burt et al. 2014 for an introduction).

Distance can provide us with estimates of abundance or density for each strata as a whole but tells us nothing about the distribution of animals within strata. One option is to divide the study area into smaller and smaller strata to try to detect patterns in spatial distribution, however, a more rigorous approach is to build a spatial model. Such models incorporate spatially-referenced environmental data (for example derived from GIS products). **Distance** interfaces with one such package used to perform this type of analysis: **dsm** (Miller et al. 2015). So-called “density surface modelling” uses the generalized additive model framework (e.g. Wood 2006) to build models of abundance (adjusting counts for imperfect detectability) as a function of environmental covariates, as part of a two stage model (Hedley and Buckland 2004; Miller et al. 2013).

Further distance sampling complexities such as uncertainty in measured covariates (e.g. cluster size) and model uncertainty (when two models have similar fit but substantially different estimates) can be incorporated using the multi-analysis distance sampling package **mads** (Marshall 2015a). In addition, **mads** can also incorporate sightings for which species identification could not be achieved. This is done by estimating the abundance of these ‘unidentified’ sightings and pro-rating them to the known species in the analysis as described in (Gerrodette and Forcada 2005).

In addition to the analysis, an equally important stage of a distance sampling survey is the survey design. We have therefore developed a package which allows users to test out different designs in their specific study region and tailor population attributes to reflect the species they are working with. In this way **DSsim** (Marshall 2015b) allows users to more easily identify challenges unique to their study and select a survey design which is likely to yield the most accurate and precise estimates.

Distance for Windows has many users (over 45,000 downloads since 2002) and they may be overwhelmed by the prospect of switching existing analyses to R. For that reason we have created the **readdst** (Miller 2015) package to interface with projects created by Distance for Windows. The package can take analyses created using the CDS, MCDS and MRDS engines in Distance for Windows, extract the data and create equivalent models in R. **readdst** can also run these analyses and test the resulting statistics (for example, \hat{N} or \hat{P}_a) calculated in R against those calculated by Distance for Windows. We hope that **readdst** will provide a useful transition to R for interested users. **readdst** is currently available on GitHub at <https://github.com/distancedevelopment/readdst>.

7. Conclusion

We have given an introduction as to how to perform a distance sampling analysis in R. We have covered the possible models for detectability, model checking and selection and finally abundance and variance estimation.

In combination with tools such as **knitr** and **rmarkdown** (Allaire et al. 2015), the helper functions in **Distance** provide a useful set of tools to perform reproducible analyses of wildlife

abundance for both managers and ecologists. We hope that this paper provides useful examples for those wishing to pursue this. More information on distance sampling can be found at <http://distancesampling.org> and a mailing list is maintained at <https://groups.google.com/forum/#!forum/distance-sampling>.

We note that there are other packages available for performing distance sampling analyses in R but believe that **Distance** is the most flexible and feature-complete. Appendix A gives a feature comparison between **Distance** and other R packages for analysis of distance sampling data.

8. Acknowledgements

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