



Distance Sampling in R

David L Miller

Centre for Research into Ecological and Environmental Modelling, University of St Andrews

Abstract

The abstract of the article.

Keywords: distance sampling, abundance estimation, line transects, point transects, R.

1. Introduction

Distance sampling (Buckland et al. 2001; Buckland et al. 2004) encompasses a suite of field methods and statistical models used to estimate the abundance of biological populations. Distance sampling field procedure can be thought of as an extension of plot sampling, where we wish to take into account the decreasing probability of detecting objects at increasing distance from the sampler. We do this by building a model for detectability and use quantities calculated from the detection function to adjust the observed counts to obtain an estimate of abundance.

For many years distance sampling analyses have been available via the Windows program Distance (or “DISTANCE”); for clarity henceforth “Distance for Windows” Thomas et al. (2010)). From version 5 of Distance for Windows, R packages have been included to perform particular analyses (CITE Distance user manual). This paper shows how to fit detection functions, perform model checking and selection and estimate abundance in R using the package **Distance**. **Distance** is a wrapper package around the more complex (and more powerful) **mrds** and offers a subset of the analyses possible with that package.

Need to include more history here (TRANSECT etc)?

1.1. Distance sampling

It is clear that census-type surveys (quadrat or strip transects) are inefficient (requiring a lot of effort on the part of those in the field) and we should expect that not all animals can be observed. Accounting for imperfect detectability is an important consideration when want to

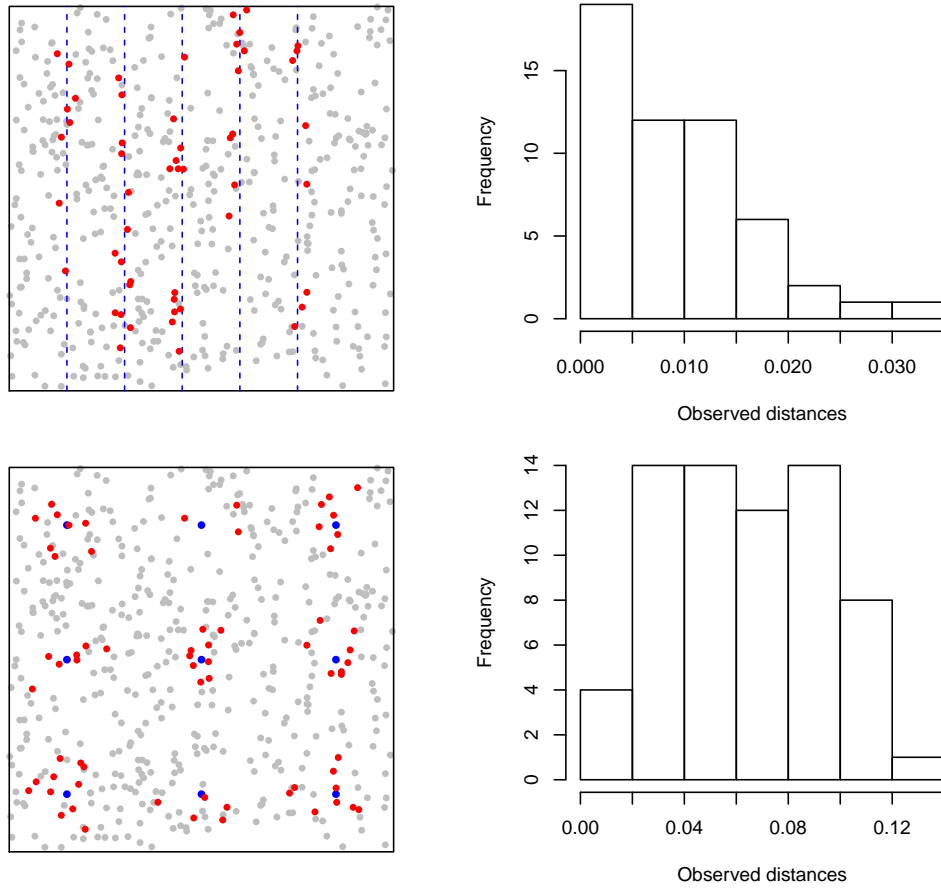


Figure 1: Left side plots show an example of a survey of an area containing a population of 500 objects, blue indicates sampler placement (top lines, bottom points) and red dots indicate detected individuals. The right side of the figure shows histograms of observed distances (again, lines top and points bottom).

obtain accurate estimates of abundance (Lahoz-Monfort, Guillerá-Arroita, and Wintle 2013). Using the extra information gained by recording distance from the sampler to the observation, it is possible to model detectability. Since we expect detectability to decrease with increasing distance from the sampler, we model detectability as a function of distance (plus perhaps other covariates, see below).

Distance sampling comes in two main “flavours”: line and point transects. In line transect sampling observers walk (or fly, sail, etc) down lines observing objects and recording the distances to the line; whereas in point transect sampling observers stand stationary at a location and record distances from that point. Field methods are chosen to be suitable to species and habitat constraints (Buckland et al. 2015).

For both points and lines, once the geometry of the sampler has been taken into account, the histogram of distances should show a decreasing number of observations with increasing distance from the sampler. For line transects we expect objects to be distributed uniformly with respect to distance from the line and what makes our histogram decrease is the detectability. For point transects, we must take into account the fact that as distance from the

point increases, the area of the circle encompassed increases with distance squared.

Using this histogram we can crudely estimate the drop-off in detectability by eye by tracing a line that approximates the tops of the histogram bars – this is the detection function. **Distance** estimates the parameters for a fixed-form detection function using maximum likelihood estimation. We address possible models in detail below.

1.2. Data

We demonstrate **Distance** using two data sets: one line transect and one point transect. These data sets have been chosen to be representative of the kind of data seen in practice.

Minke whales

The line transect data is simulated data based on a survey of Antarctic minke whales (*Balaenoptera bonaerensis*). The data is simulated from models fitted to data from the International Whaling Commission’s International Decade of Cetacean Research Southern Ocean Whale and Ecosystem Research (IWC IDCR-SOWER) programme 1992-1993 austral summer surveys (Branch and Butterworth 2001). They consist of 99 observations and include information on the effort expended and whether observations were in one of two geographical strata (near or far from land).

Amakihi

The point transect data set consists of 1485 observations of Amakihi (*Hemignathus virens*; a Hawaiian songbird), collected at 41 points between 1992 and 1995. The data include distances and three covariates collected during the survey: observer ID (a three level factor), minutes after sunrise (continuous) and hours after sunrise (a six level factor). Data are analysed comprehensively in Marques et al. (2007).

1.3. The rest of the paper

The rest of the paper is structured as follows: we first look into how data needs to be organised to allow it to be used with **Distance**; models for the detection function are then covered including their formulation and examples of fitting in R. We then spend some time looking at model checking and goodness of fit testing, as well as model selection. Having illustrated how to obtain a good detection function, we show how to estimate abundance using that model, including using post-stratification. The final two sections of the article look at extensions (both in terms of methodology and software) and put the package in a broader context amongst other software packages used for animal abundance estimation.

2. Data setup

The two example data sets used here are distributed with **Distance** so readers can reproduce our results easily. However in general we expect that data will be collected in the field and need to be formatted correctly for use with **Distance**. The package allows for a flexible format for data input ranging from very simple to complex:

- In the simplest case, where one would simply like to estimate a detection function, all

that is needed is a vector of distances.

- To include additional covariates into the detection function (see “Detection functions”) we need to use a `data.frame`, this needs to have a column called `distance` and named columns for each covariate collected (for example `observer` or `seastate`). Some column names are reserved: `object` for an observation identifier (see “Extensions”), `size` for group or cluster size (see “Detection functions” and “Abundance and variance estimation”), `detected` for whether or not an observation was detected (see “Extensions”) and the columns described in the next bullet.
- If one would like to estimate abundance for some area, this information must be given at the same time. This consists of information about which transect and occasion the observation was made (the `Sample.Label`), a column named `Effort` which gives the effort associated with that sample (for lines their length and for points the number of times that point was visited), the stratum the sample was located in (this may have any name and may be from pre- or post-survey stratification, see “Estimating abundance and variance”) and that stratum’s area (which has the same name as the stratum column, appended with `.Area`). (We refer to this data format as “flatfile” is all information we have is contained in one table.)

As we will see in “Extensions”, further information is also required when we start using more complex models.

Looking at an example of the data format given in the last bullet, we can examine the minke whale data:

```
library(Distance)
head(minke)
```

	Region.Label	Area	Sample.Label	Effort	distance
1	South	84734	1	86.75	0.10
2	South	84734	1	86.75	0.22
3	South	84734	1	86.75	0.16
4	South	84734	1	86.75	0.78
5	South	84734	1	86.75	0.21
6	South	84734	1	86.75	0.95

and the amakihi data:

```
head(amakihi)
```

	survey	object	distance	obs	mas	has	detected
1	July 92	1	40	TJS	50	1	1
2	July 92	2	60	TJS	50	1	1
3	July 92	3	45	TJS	50	1	1
4	July 92	4	100	TJS	50	1	1
5	July 92	5	125	TJS	50	1	1
6	July 92	6	120	TJS	50	1	1

- what do we want to say here?

3. Detection functions

Do we need to talk about binned distances too?

As mentioned above, the detection function describes the relationship between observed distances and probability of detection. The detection function itself models the probability $\mathbb{P}(\text{object detected} \mid \text{object at distance } y)$ and is usually denoted $g(y; \boldsymbol{\theta})$ where y is distance and $\boldsymbol{\theta}$ is a vector of parameters to be estimated. Our goal is to estimate an average probability of detection (average in the sense of an average over the distances), so we must integrate out distance (y) from the detection function:

$$p = \int_0^w \pi(y)g(y; \boldsymbol{\theta})dy$$

where $\pi(y)$ describes the distribution of objects with respect to the sampler and is $1/w$ for line transects and $\frac{2r}{w}$ for point transects (Buckland et al. 2001, Chapter 3).

It is important that the detection function accurately models detectability near zero distance. We are less worried by its behaviour further away from 0. To ensure that the model is not overly influenced by distances far from zero we truncate the distances beyond a given distance w (known as the *truncation distance*). Fitting to distances further away from zero does not improve the precision of abundance estimates considerably (Buckland et al. 2001, 103–8, 151–53).

Models for the detection function are expected to have the following properties (Buckland et al. 2015, Chapter 5):

- *Shoulder*: we expect observers to be able to see objects near them, not just those directly in front of them. For this reason, we expect the detection function to be “flat” near zero distance.
- *Non-increasing*: we don’t think that observers should be more likely to see things further away than those nearer to them. This usually indicates an issue with field procedure (that the distribution of animals with respect to the line, $\pi(y)$ is not what we expect), so we do not want the detection function to model this.
- *Model robust*: models should be flexible enough to make many different shapes.
- *Pooling robust*: many factors can affect the probability of detection and it is not possible to measure all of these. We would like our models to be robust to us not including these factors.
- *Estimator efficiency*: we would like our models to have low variances, but only given they satisfy the other properties above (which, if they are satisfied, would give low bias).

Given these criteria, we can start to think about models for g .

3.1. Formulations

There is a wide literature on possible formulations for the detection function (Buckland 1992; Eidous 2005; Becker and Quang 2009; Giammarino and Quatto 2014; Miller and Thomas 2015;

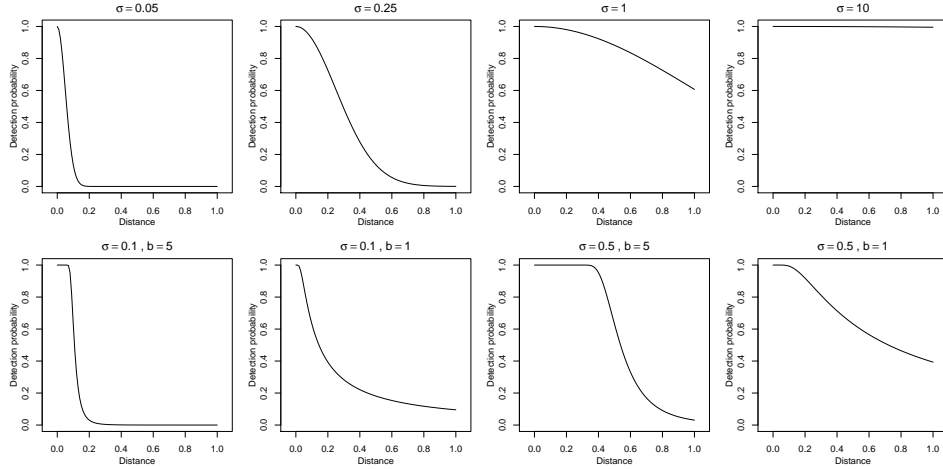


Figure 2: Half-normal (top row) and hazard-rate (bottom row) detection functions without adjustments, varying scale (σ) and (for hazard-rate) shape (b) parameters (values are given above the plots). On the top row from left to right, the study species becomes more detectable (higher probability of detection at larger distances). The bottom row shows the hazard-rate model’s more pronounced shoulder.

Becker and Christ 2015). `Distance` includes the most popular of these models, and includes an extendable class system to add new detection functions while avoiding code duplication (see “Extensions”).

Here we’ll detail the most popular detection function approach: “key function plus adjustments” (K+A).

Key function plus adjustments (K+A)

Key function plus adjustment terms (or adjustment series) models are formulated by taking a “key” function and optionally adding “adjustments” to it to improve the fit. Mathematically we formulate this as:

$$g(y; \boldsymbol{\theta}) = k(y; \boldsymbol{\theta}_k) (1 + \alpha_K(y; \boldsymbol{\theta}_\alpha)),$$

where k is the key function and α_K is sum series of functions and the subscripts on the parameter vector indicate those parameters belonging to each part of the model.

Models for k are as follows

$$k(y) = \begin{cases} \exp\left(-\frac{y^2}{2\sigma^2}\right) & \text{half-normal} \\ 1 - \exp\left(\left(-\frac{y}{\sigma}\right)^{-b}\right) & \text{hazard-rate} \\ 1/w & \text{uniform} \end{cases}$$

Possible modelling options for key and adjustments are given in Table 1 and illustrated in **Figure ???**. We select the number of adjustment terms (K) by AIC (further details in “Model checking and model selection”).

When adjustment terms are used it may be necessary to standardise the results to ensure

Table 1: Modelling options for key plus adjustments models for the detection function.

key function	form	adjustment	form
uniform	$1/w$	cosine	$\sum_{k=1}^K a_k \cos(k\pi y/w)$
		Simple polynomial	$\sum_{k=1}^K a_k (y/w)^{2k}$
half-normal	$\exp\left(-\frac{y^2}{2\sigma^2}\right)$	cosine	$\sum_{k=2}^K a_k \cos(k\pi y/w)$
		Hermite polynomial	$\sum_{k=2}^K a_k H_{2k}(y/\sigma)$
hazard-rate	$1 - \exp\left[-\left(\frac{y}{\sigma}\right)^{-b}\right]$	cosine	$\sum_{k=2}^K a_k \cos(k\pi y/w)$
		Simple polynomial	$\sum_{k=2}^K a_k (y/w)^{2k}$

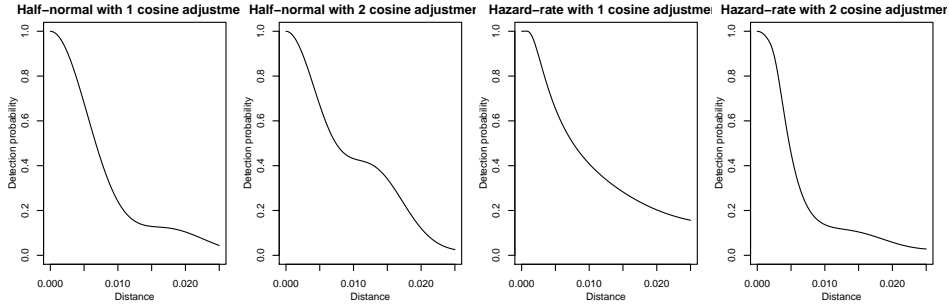


Figure 3: Possible shapes for the detection function when adjustments are included for half-normal and hazard-rate models.

that $g(0) = 1$, so we can redefine the detection function as:

$$g(y; \boldsymbol{\theta}) = \frac{k(y; \boldsymbol{\theta}) (1 + \alpha_K(y; \boldsymbol{\theta}))}{k(0; \boldsymbol{\theta}) (1 + \alpha_K(0; \boldsymbol{\theta}))}$$

A disadvantage of K+A models is that we must resort to constrained optimisation (via the **Rsolnp** package) in order to ensure that the resulting detection function is monotonic non-increasing over the whole range.

We do not always use adjustments (except in the case of the uniform key), in which case we refer to “key only” models; see also “Covariates” and “Model checking and model selection” below.

Covariates

As mentioned when we talked about pooling robustness, above, there are many factors that can affect the probability of detecting an object. These include things like the observer, the vessel or platform used, the sea state, weather conditions and time of day (to name but a few). We assume that these variables affect detection only via the scale of the detection function (and that they don’t affect the shape).

Covariates can be included in this formulation by considering the scale parameter from the half-normal or hazard-rate detection functions as a linear model (on the exponential scale) of the (J) covariates (\mathbf{z}):

$$\sigma(\mathbf{z}) = \exp(\beta_0 + \sum_{j=1}^J \beta_j z_j).$$

In the next section we'll discuss model selection.

Including covariates has an important implication for our calculation of detectability. Since we don't know what the true distribution of the covariates is we must calculate the probability of detection conditional on the observed values of the covariates:

$$p(\mathbf{z}_i) = \int_0^w \pi(y)g(y, \mathbf{z}_i; \boldsymbol{\theta})dy$$

so we now calculate a value of "average" probability of detection (again the average is in the sense of distance) per observation. There will be as many unique values of $p(\mathbf{z}_i)$ as there are unique covariate combinations in our data.

Another important consideration is that K+A models which include covariates and one or more adjustments cannot be guaranteed to be monotonic non-increasing for all covariate combinations, as we don't have any model for the distribution of the covariates. For this reason, we advise against using both adjustments and covariates in a detection function (see Miller and Thomas 2015 for an example of when this can be problematic).

3.2. Fitting detection functions in R

The workhorse of detection function fitting in **Distance** is the `ds` function. Here we show off the formulations for the detection function that we've seen above for both the minke whale and amakihi data.

Minke whale

We can fit a model to the minke whale data, setting the truncation at 1.5km and using the default options in `ds` very simply:

```
minke_hn <- ds(minke, truncation=1.5)
```

```
Starting AIC adjustment term selection.
```

```
Fitting half-normal key function
```

```
Key only models do not require monotonicity constraints. Not constraining model for monotonicity
```

```
AIC= 46.872
```

```
Fitting half-normal key function with cosine(2) adjustments
```

```
AIC= 48.872
```

```
half-normal key function selected!
```

Note that `ds` will automatically select adjustment terms by AIC and shows it's selection steps as it goes.

Figure??? shows the result of calling `plot` on the resulting model object. We can also call `summary` on the model object to get summary information about the fitted model (though we postpone this until the next section).

We can specify the form of the detection function via the `key=` argument to `ds`. For example, a hazard rate model can be fitted as:


```
minke_hrcos <- ds(minke, truncation=1.5, key="hr")
```

Starting AIC adjustment term selection.

Fitting hazard-rate key function

Key only models do not require monotonicity constraints. Not constraining model for monotonicity

AIC= 48.637

Fitting hazard-rate key function with cosine(2) adjustments

AIC= 50.386

hazard-rate key function selected!

Again, `ds` fits a model with cosine adjustments (the default) but finds the AIC improvement to be insufficient to select the adjustment.

Amakihi

By default `ds` assumes that the data given to it is line transect, but we can switch to points using `transect="point"`. Including covariates in the scale is via the `formula=~...` argument to `ds`; a hazard-rate model for the amakihi that includes observer as a covariate (and truncating at 82.5m, given in Marques et al. (2007)) can be specified by:

```
amakihi_hr_obs <- ds(amakihi, truncation=82.5, transect="point",
                     key="hr", formula=~obs)
```

Cannot perform AIC adjustment term selection when covariates are used.

Fitting hazard-rate key function

AIC= 10778.448

No survey area information supplied, only estimating detection function.

As with the minke whale model, we can plot the resulting detection function. **Since for the amakihi we used covariates in the detection function, the plot will show the detection function averaged over levels/values of the covariate and separately for each level set (for continuous covariates, the 25%, 50% and 75% quantiles are displayed).**

It would be nice if I could get “nice” plotting working for Distance as they are much easier to interpret (IMO)

When multiple covariates are used in the model, a series of plots are produced. We can see this in the bottom row of Figure ???, where we plot the results of fitting following model:

```
amakihi_hr_obs_mas <- ds(amakihi, truncation=82.5, transect="point",
                         key="hr", formula=~obs+mas)
```

Cannot perform AIC adjustment term selection when covariates are used.

Fitting hazard-rate key function

AIC= 10777.376

No survey area information supplied, only estimating detection function.

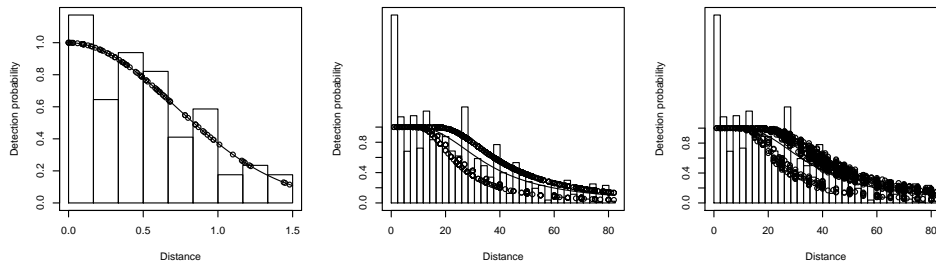


Figure 4: Plot of fitted detection functions overlaid on the histograms of observed distances. Left minke whale data, half-normal model; centre, amakihi data hazard-rate with observer as a covariate; right, amakihi data, hazard-rate model with observer and minutes after sunrise as covariates

4. Model checking and model selection

As with models fitted using `lm` or `glm` in R we can use `summary` to give us some useful information about our fitted model. For example for our hazard-rate model for the amakihi, with observer as a covariate:

```
summary(amakihi_hr_obs)
```

```
Summary for distance analysis
Number of observations : 1243
Distance range       : 0 - 82.5
```

```
Model : Hazard-rate key function
AIC   : 10778.45
```

```
Detection function parameters
Scale coefficient(s):
              estimate      se
(Intercept) 3.06441705 0.10878121
obsTJS       0.53017364 0.09956539
obsTKP       0.08885471 0.18071851
```

```
Shape coefficient(s):
              estimate      se
(Intercept) 0.8690009 0.06261764
```

```
              Estimate      SE      CV
Average p      0.3142723 0.0204413 0.06504326
N in covered region 3955.1685709 274.2284029 0.06933419
```

This summary information includes various details of the data and model specification, as well as the values of the coefficients (β_j) and their uncertainties, an “average” value for the detectability (see “Estimating abundance and variance” for details on how this is calculated)

and its uncertainty. The final line gives an estimate of abundance for the area covered by the survey (again, this will be covered in detail in the next section).

4.1. Goodness of fit

To judge goodness of fit for detection functions when exact distances are used, we want to compare the cumulative distribution function (CDF) and empirical distribution function (EDF) for the detection function via a quantile-quantile plot (Q-Q plot). In our case the CDF evaluates the probability of observing an animal at a distance less than or equal to some given value. The EDF gives the proportion of observations for which the CDF is less than or equal to that of a given distance. In essence we're asking "is the number of observations up to a given distance in line with what the model says they should be?" (where "given values" are the observed distances). As usual for Q-Q plots, "good" models will have values close to the line $y = x$, poor models will show more deviations from that line.

We can inspect Q-Q plots visually, though for a large number of models this can be tiresome and prone to subjective judgements. Instead we can quantify the Q-Q plot's information using a Kolmogorov-Smirnov or Cramer-von Mises test (Burnham et al. 2004). Both test to see if the points from the EDF and CDF are from the same distribution. The Kolmogorov-Smirnov uses the test statistic of the largest difference between a point on the Q-Q plot and the line $y = x$, whereas the Cramer-von Mises test uses the sum of all the differences. As it takes into account more information and is therefore more powerful, the Cramer-von Mises is generally preferred. A significant result from either tests indicates that the EDF and CDF do not come from the same distribution (and therefore the model is does not fit the data well).

We can generate a Q-Q plot and test results using the `gof_ddf` function. We can see the goodness of fit tests below for two models for the amakihi data, first fitting a half-normal model without covariates or adjustments (not setting `adjustment=NULL` will force `ds` to fit a model with no adjustments), then calculating goodness of fit for that model and our hazard-rate model with observer and minutes after sunrise included:

```
amakihi_hr <- ds(amakihi, truncation=82.5, transect="point", key="hn", adjustment=NULL)
```

```
Fitting half-normal key function
```

```
Key only models do not require monotonicity constraints. Not constraining model for monotonicity
AIC= 10833.841
```

```
No survey area information supplied, only estimating detection function.
```

```
gof_ds(amakihi_hr)
```

```
Goodness of fit results for ddf object
```

```
Distance sampling Kolmogorov-Smirnov test
```

```
Test statistic = 0.059345 P = 0.00031527
```

```
Distance sampling Cramer-von Mises test (unweighted)
```

```
Test statistic = 0.93083 P = 0.003578
```

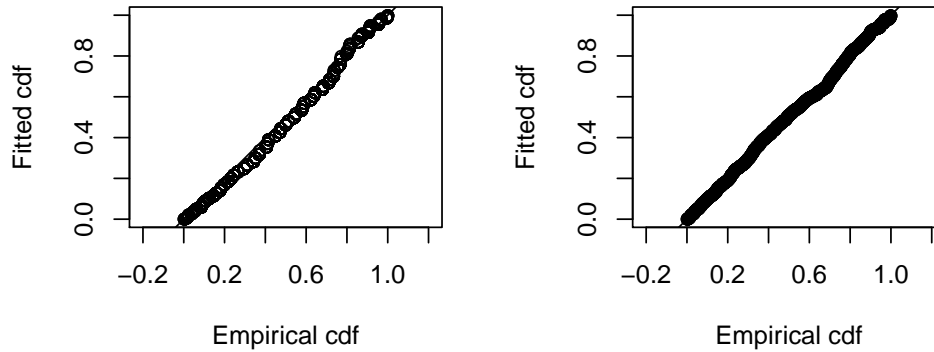


Figure 5: Comparison of quantile-quantile plots for a half-normal model (no adjustments, no covariates) and hazard-rate model with observer and minutes after sunrise for the amakihi data.

Table 2: Summary for the detection function models fitted to the amakihi data. "C-vM" stands for Cramer-von Mises, P_a indicates average detectability (see "Estimating abundance and variance"), se indicates standard error. Models are sorted according to AIC.

Key function	Formula	C-vM p -value	\hat{P}_a	$se(\hat{P}_a)$	AIC	Δ AIC
Hazard-rate key function	obs + mas	0.389	0.319	0.020	10777.38	0.000
Hazard-rate key function	obs	0.271	0.314	0.020	10778.45	1.073
Half-normal key function	1	0.004	0.351	0.011	10833.84	56.465

```
gof_ds(amakihi_hr_obs_mas)
```

Goodness of fit results for ddf object

Distance sampling Kolmogorov-Smirnov test
Test statistic = 0.036251 P = 0.076237

Distance sampling Cramer-von Mises test (unweighted)
Test statistic = 0.15016 P = 0.38908

The corresponding Q-Q plots are shown in **Figure ???**.

Do we need to add something about binned distances and Q-Q plots here?

4.2. Model selection

Once we have a set of models which fit well, we can use Akaike's Information Criterion (AIC) to select between models. **Distance** includes a function to create table of summary information for fitted models, making it easy to get an overview of a large number of models at once. The `summarize_ds_models` function takes models as input and can be especially useful when paired with **knitr**'s `kable` function to create summary tables for publication. An example of this output is shown in **Table ???**.

- monotonicity – do we need this?

5. Estimating abundance and variance

Though fitting the detection function is the primary modelling step in distance sampling, we are really interested in estimating detectability, and from that abundance. We also wish to calculate our certainty in each abundance estimate. This section addresses these issues.

5.1. Abundance

In order to estimate abundance (\hat{N}), we use the estimates of detection probability (the $\{p_i; i = 1, \dots, n\}$, above) in a Horvitz-Thompson like estimator:

$$\hat{N} = \sum_{i=1}^n \frac{s_i}{\hat{p}_i}$$

where s_i are the sizes of the observed groups of objects, which is equal to 1 if objects only occur singly (Borchers and Burnham 2004). Thompson (2002) is the canonical reference to this type of estimator; intuitively, we can think of the estimates of detectability (\hat{p}_i) as “inflating” the group sizes (s_i) to account for incomplete detection – we then sum to obtain the abundance estimate. For models that do not include covariates, \hat{p}_i is equal for all i , so this is equivalent to summing the groups and inflating by a single value for detectability.

We can use the Horvitz-Thompson-like estimator to obtain an “average probability of detection” for models which include covariates. We can consider what single detectability value would give the \hat{N} and therefore calculate:

$$\hat{P}_a = n/\hat{N}.$$

This can be a useful summary statistic and is included in the `summary` output and the table produced by `summarize_ds_models`.

detectability vs. average detectability vs. detection probability – clarify

5.2. Variance

- Refer to Fewster et al 2009

6. Extensions

- writing new detection functions
- Interface to dsm etc
- MRDS?
- why is + important?

7. Conclusion

- Other software? **unmarked**, **RDistance** etc

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Affiliation:

David L Miller

Centre for Research into Ecological and Environmental Modelling, University of St Andrews

The Observatory, St Andrews, Fife KY16 9LZ, Scotland

E-mail: dave@ninepointeightone.net

URL: <http://converged.yt>