1 Running title: Spatial models for distance sampling

Number of words: ~4107
Number of tables: 0
Number of figures: 5

5 Number of references: 31

- Spatial models for distance sampling data:
- recent developments and future directions

David L. Miller 1* , M. Louise Burt 2 , Eric A. Rexstad 2 , Len Thomas 2 .

- 1. Department of Natural Resources Science, University of Rhode Island, Kingston, Rhode Island 02881, USA
- 2. Centre for Research into Ecological and Environmental Modelling, The Observatory, University of St. Andrews, St. Andrews KY16 9LZ, UK
- *Correspondence author. dave@ninepointeightone.net

Date of submission: 22nd January 2013

Summary

- Our understanding of a biological population can be greatly enhanced by modelling their distribution in space and as a function of environmental covariates.
 - 2. Density surface models consist of a spatial model of the abundance of a biological population which has been corrected for uncertain detection via distance sampling methods.
 - 3. We offer a comparison of recent advances in the field and consider the likely directions of future research. In particular we consider spatial modelling techniques that may be advantageous to applied ecologists such as quantification of uncertainty in a two-stage model and smoothing in areas with complex boundaries.
- 4. The methods discussed are available in an R package developed by the authors.
- 5. Density surface modelling enables applied ecologists to reliably estimate abundances and create maps of animal/plant distribution. Such models can also be used to investigate the relationships between distribution and environmental covariates.
- **Keywords:** abundance estimation, Distance software, generalized additive
- models, line transect sampling, point transect sampling, population density,
- spatial modelling, wildlife surveys

Introduction

When surveying biological populations it is increasingly common to record spatially referenced data, for example: coordinates of observations, habitat type, elevation or (if at sea) bathymetry. Spatial models allow for vast data-41 bases of spatially-referenced data (e.g. OBIS-SEAMAP, Halpin et al., 2009) to be harnessed, enabling investigation of interactions between environmental 43 covariates and population densities. Mapping the spatial distribution of a population can be extremely useful, especially when communicating results to non-experts. Recent advances in both methodology and software have made spatial modelling readily available to the non-specialist (e.g., Wood, 47 2006; Rue et al., 2009). Here we use the term "spatial model" to include any model that includes spatially referenced covariates, not just smooths of location. This article is concerned with combining spatial modelling techniques with distance sampling (Buckland et al., 2001, 2004).

Distance sampling takes plot sampling (counting all the individuals or groups of objects within a strip or circle) and extends it to the case where detection is not certain. Observers travel along lines or stand at points and record the distance from the line or point to the object of interest (y). These distances are used to estimate the detection function, g(y) (bottom left panel, Fig. 1), by modelling the decrease in detectability with increasing distance from the line or point (conventional distance sampling, CDS). The detection function may also include covariates (multiple covariate distance sampling, MCDS; Marques et al., 2007). From the fitted detection function, the probability of detection can be calculated. The estimated probability

that an animal is detected, \hat{p}_i , can then be used to calculate abundance as

$$\hat{N} = \frac{A}{a} \sum_{i=1}^{n} \frac{1}{\hat{p}_i},\tag{1}$$

where A is the area of the study region, a is the area covered by the survey (i.e., the sum of the areas of all of the strips/circles) and the summation takes place over the n observed individuals (Buckland et al., 2001, Chapter 3). In general distance sampling is more efficient than plot sampling because 55 a much higher proportion of observations can be used in the analysis. Often 56 up to half the observations in a plot sampling data set are discarded in order 57 to ensure the assumption of certain detection is met. In contrast, distance sampling uses the observations that would have been discarded to model the 59 detection (typically data are discarded beyond a given truncation distance 60 during analysis). 61 When fitting the detection function in a distance sampling analysis, one 62 assumes that the objects of interest are distributed according to some process 63 (Buckland et al., 2001, Section 2.1). It is usually possible to design surveys such that a homogenous process can be assumed so that (with respect to 65 the line) objects are distributed uniformly. This can be achieved by ensuring that transects randomly located. 67 Estimators such as eqn (1) rely on the design of the study to ensure that 68 abundance estimates over the whole study area (scaling up from the covered 69 region) are valid. This article focusses on model-based inference to extrapolate to a larger study area. Specifically, we consider the use spatially explicit 71

models to investigate the response of biological populations to biotic and abi-

otic covariates that vary over the survey area. A spatially-explicit model can explain the between transect variation (which is often a large component of the variance in design-based estimates) and so using a model-based approach can lead to lower variances. Model-based inference also enables the use of data from opportunistic surveys, for example, incidental data arising from "ecotourism" cruises (Williams *et al.*, 2006).

Our aims in a DSM analysis are usually two-fold: (i) estimating overall abundance and (ii) investigating the relationship between abundance and environmental covariates. As with any predictions that are outside the range of the data, one should heed the usual warnings regarding extrapolation. For example, if a model contains elevation as a covariate, predictions at high, unsampled elevations are unlikely to be reliable. Frequently, maps of abundance or density are required and any spurious predictions can be visually assessed, as well as by plotting a histogram of the predicted values. A sensible definition of the region of interest avoid prediction outside the range of the data.

This article reviews the current landscape of spatial modelling of distance sampling data, illustrating some recent developments most useful to applied ecologists. The methods discussed have available in the popular Windows application Distance (Thomas *et al.*, 2010) for some time but the recent advances covered here have been implemented in a new R package (available on CRAN) dsm (and will be incorporated in to Distance).

Throughout this article a motivating data set is used to illustrate the methods. These data are from a combination of several shipboard surveys conducted on pantropical spotted dolphins (*Stenella attenuata*) in the Gulf

of Mexico. 47 groups of dolphins were observed; group size was recorded, as well as the Beaufort sea state at the time of the observation. Coordinates for each observation and bathymetry data were also available as covariates for 100 the analysis. A complete example analysis is provided as an online appendix. 101 The data used in the analysis are available in the dsm package and Distance. 102 The rest of the article follows this structure: we first introduce the density 103 surface modelling approach of Hedley & Buckland (2004); explain how to 104 estimate abundance and uncertainty; describe recent advances and provide 105 practical advice regarding model fitting, formulation and checking. Before 106 concluding, we review alternative (but less mature) methods which take a 107 more direct approach to modelling spatial distance sampling data. 108

Density surface modelling

This section focuses on modelling the density/abundance estimation stage 110 of distance sampling, using the "count model" of Hedley & Buckland (2004), 111 which we refer to as density surface modelling (DSM). Both line and point 112 transects can be used but if lines are used then they are are split into con-113 tiguous segments (indexed by j), which are of length l_i . Segments should be small enough such that the density does not vary appreciably within a 115 segment (usually making the segments approximately square, $2w \times 2w$, is 116 sufficient). Count or estimated abundance is then modelled as a smooth 117 function of covariates using a generalized additive model (GAM; e.g. Wood, 118 2006). For each segment or point, the response is modelled as a function of 119 environmental covariates that are measured at the segment/point level (the 120

 z_{jk} with k indexing the covariates, e.g., location, sea surface temperature, weather conditions). The covered area enters the model as an offset: the area covered at segment j is $A_j = 2wl_j$ and at point j is $A_j = w\pi^2$ (where w is the truncation distance).

Count as response

125

The model for the count per segment is:

$$\mathbb{E}(n_j) = \exp\left[\log_e\left(\hat{p}_j A_j\right) + \beta_0 + \sum_k f_k\left(z_{jk}\right)\right],$$

where the f_k s are smooth functions of the covariates and β_0 is an intercept term. Multiplying the covered area (A_j) by the probability of detection (\hat{p}_j) gives the *effective area* for segment j. If there are no covariates other than distance in the detection function then the probability of detection is constant (i.e., $\hat{p}_j = \hat{p}$, $\forall j$). The distribution of n_j can be modelled as overdispersed Poisson, negative binomial, or Tweedie distribution (see *Recent developments*, below).

Fig. 1 (top panel) shows the raw observations of the dolphin data, along with the transect lines, overlaid on the depth data. Fig. 2 shows a GAM fitted to the dolphin data, the top panel shows predictions from a model where depth was the only covariate, the bottom panel shows predictions where a (bivariate) smooth of spatial location was also included.

Abundance estimation is not the only information that can be derived from these models. Plots of marginal smooths of the spatially referenced covariates show the relationships between the covariates and abundance. The effect of depth on abundance for the dolphin data can be seen in Fig. 3.

ESTIMATED ABUNDANCE AS RESPONSE

142

An alternative to modelling counts would be to use the per-segment/circle abundance can be estimated using distance sampling methods and the estimated counts used as the response. In this case we replace n_i by:

$$\hat{N}_j = \sum_{r=1}^{R_j} \frac{s_{jr}}{\hat{p}_j},$$

where R_j is the number observations in segment j and s_{jr} is the size of the r^{th} group in segment j (if the animals occur individually then $s_{jr} = 1, \forall j, r$). The following model is then fitted:

$$\mathbb{E}(\hat{N}_{j}) = \exp \left[\log_{e} (A_{j}) + \beta_{0} + \sum_{k} f_{k} (\boldsymbol{z}_{jk}) \right],$$

where \hat{N}_j , as with n_j , is assumed to follow an overdispersed Poisson, negative binomial, or Tweedie distribution (see *Recent developments*, below). Note that the offset is now the area rather than effective area of the segment/point.

DSM with covariates at the observation level

The above models consider the case where the covariates are measured at the segment/point level. Often covariates $(z_{ij}, \text{ for individual/group } i \text{ and}$ segment/point j) are collected on the level of observations; for example sex, group size or observer identity. In this case the probability of detection is a function of the individual level covariates $\hat{p}(z_i)$. Individual level covariates can be incorporated into the model by adopting the following estimator of
the per-segment abundance:

$$\hat{N}_j = \sum_{r=1}^{R_j} \frac{s_{jr}}{\hat{p}(z_{ij})}.$$

By not including an offset, but instead dividing the count (or estimated abundance) by the area of the segment, we can also model density rather than abundance. We concentrate on abundance here, see Hedley & Buckland (2004) for further details on modelling density.

PREDICTION

160

170

To calculate an abundance estimate for a region of interest, the environ-161 mental covariates included in the model must be available at each prediction 162 point at the required resolution (using prediction grid cells that are smal-163 ler than the resolution of the spatially referenced data have no effect on 164 abundance/density estimates). The areas of the prediction cells must also be 165 included in the prediction data. Predictions are made for the each grid cell 166 using covariate values associated with each cell and abundance estimates are 167 produced for a given region by summing predicted values over corresponding 168 grid cells. 169

VARIANCE ESTIMATION

Estimating the variance of abundances calculated using a DSM is not straightforward: uncertainty from the estimated parameters of the detection function must be incorporated into the spatial model. A second consideration is that

- in a line transect survey, adjacent segments are likely to be correlated; failure to account for this spatial autocorrelation will lead to artificially low variance estimates and hence misleadingly narrow confidence intervals.
- Hedley & Buckland (2004) describe a method of calculating the variance in the abundance estimates using a parametric bootstrap, resampling from the residuals of the fitted model. The bootstrap procedure is as follows.
- Denote the fitted values for the model to be $\hat{\eta}$. For b = 1, ..., B (where B is the number of resamples required).
- 182 1. Resample (with replacement) the per-segment residuals, store the values in \mathbf{r}_b .
- 2. Refit the model but with the response set to $\hat{\eta} + \mathbf{r}_b$ (where $\hat{\eta}$ are the fitted values from the original model).
- 3. Take the predicted values for the new model and store them.
- From the predicted values stored in the last step the variance originating in
 the spatial part of the model can be calculated. The total variance of the
 abundance estimate (over the whole region of interest or sub-areas) can then
 be found by combining the variance estimate from the bootstrap procedure
 with the variance of the probability of detection from the detection function
 model (using the delta method which assumes that the two components of
 the variance are independent; Seber, 1982).
- The above procedure assumes that there is no correlation in space between segments however, if many animals are observed in a particular segment then we might expect there to be high numbers in the adjacent segments. A

moving block bootstrap (MBB; Efron & Tibshirani, 1993, Section 8.6) can 197 account for some of this spatial autocorrelation in the variance estimation. 198 The segments are grouped together into overlapping blocks, (so if the block 199 size is 5, block one is segments $1, \ldots, 5$, block two is segments $2, \ldots, 6$, and so 200 on). Then, at step (2) above, resamples are taken of the blocks (contiguous 201 collections of segments) rather than individual segments within the transects. 202 Using blocks should account for some of the autocorrelation between the 203 segments, inflating the variances accordingly. However, because the block size 204 dictates the maximum amount of spatial autocorrelation accounted for, this 205 may not fully account for the autocorrelation. These bootstrap procedures 206 can also be modified to take into account detection function uncertainty by 207 generating new distances from the fitted detection function and then re-208 calculating the offset by fitting a detection function to the new distances. 209

210 Recent developments

211 GAM uncertainty and variance propagation

Rather than using a bootstrap, one can use GAM theory to construct uncertainty estimates for DSM abundance estimates. This requires that we use the distribution of the parameters in the model to simulate model coefficients, using them to generate possible abundance estimated (further information can found in Wood, 2006, page 245). Such an approach removes the need to refit the model many times, making variance estimation much faster.

Williams *et al.* (2011) go a step further and incorporate the uncertainty in the estimation of the detection function into the variance of the spatial

- model, albeit only when only environmental covariates are in the DSM. Their procedure is as follows:
- 1. Fit a density surface model.
- 223 2. Re-fit the model with an additional term that characterises the uncer-224 tainty in the estimation of the detection function (via the derivatives 225 of the probability of detection, \hat{p}).
- 3. Variance estimates of the abundance calculated using standard GAM theory will include uncertainty from the estimation of the detection function.
- A more complete mathematical explanation of this result is given in Appendix

 B.
- We consider that propagating the uncertainty in this manner is not only 231 more computationally efficient but also preferable from a technical perspect-232 ive. A moving block bootstrap does not fully account for spatial autocor-233 relation. Assuming that the residuals are exchangeable when they are not 234 will lead to wider confidence intervals. The confidence intervals produced 235 via the above method are narrower than their bootstrap equivalents, while 236 maintaining good coverage (results of a small simulation study are given in 237 Appendix C. 238
- DSM uncertainty can be visualised via a plot of per-cell coefficient of variation obtained by dividing the standard error for each cell by its predicted abundance. Fig. 4 shows a map of the coefficient of variation for the model which includes both location and depth covariates using the variance propagation method.

Edge effects

244

263

264

Recent work (Ramsay, 2002; Wang & Ranalli, 2007; Wood et al., 2008; Scott-245 Hayward et al.; Miller & Wood) has highlighted the need to take care when 246 smoothing over areas with complicated boundaries, e.g., those with rivers, 247 peninsulae or islands. If two parts of the domain (either side of a river or 248 inlet, say) are inappropriately linked by the model (the distance between the 249 points is measured as a straight line, rather taking into account obstacles) 250 then the boundary feature can be "smoothed across" leading to incorrect in-251 ference. Ensuring that a realistic spatial model has been fitted to the data 252 is essential for valid inference. The soap film smoother of Wood et al. (2008) 253 is particularly appealing as the model jointly estimates boundary conditions 254 for a complex study area along with the interior smooth. This can be par-255 ticularly helpful when uncertainty is estimated via a bootstrap as the model 256 helps avoid large, unrealistic predictions which can plague other smoothers 257 (Bravington & Hedley, 2009). 258 Even if the study area does not have a complicated boundary, edge effects 259 can still be problematic. Miller et al. show that global smoothers which have 260 unpenalized plane components tend to cause the fitted surface to increase 261 unrealistically as predictions move further away from the locations of survey 262

effort. They suggest the use of Duchon splines (a generalisation of thin plate

regression splines) to alleviate the problem.

TWEEDIE DISTRIBUTION

265

The Tweedie distribution offers a very flexible alternative to the quasi-Poisson 266 and negative binomial distributions as a response distribution when model-267 ling count data (Candy, 2004). Through the parameter λ , many common 268 distributions arise; varying λ between 1 (Poisson) and 2 (gamma) leads to 269 a random variable which is a sum of M gamma variables where M is Pois-270 son distributed (Jørgensen, 1987). The distribution does not change appre-271 ciably when λ is changed by less than 0.1 therefore, a simple line search over the possible values of λ is usually reasonable. Mark Bravington (pers. 273 comm.) suggested plotting the square root of the absolute value of the re-274 siduals against fitted values; a "flat" plot (points forming a horizontal line) 275 give an indication of a "good" value for λ . We additionally suggest using the metrics described in the next section for model selection. 277

278 Practical advice

Fig. 5 shows a flow diagram of the modelling process for creating a density surface model. The diagram shows which methods are compatible with each other and what the options are for modelling a particular data set.

In our experience, it is sensible obtain a detection function which fits the data as well as possible and only after a satisfactory detection function has been obtained, begin spatial modelling. Model selection can be performed for the detection function using AIC and model checking using goodness-of-fit tests given in Buckland *et al.* (2004). If animals occur in groups rather than individually, bias can be incurred due to the higher visibility of larger

groups. Bias due to group size can be assessed by regressing evaluations of
the fitted detection function onto the logarithm of group size, then comparing
the expected and observed values of the group size at zero distance, if there
is a large difference then it may be necessary to include size as a covariate in
the detection function see (see Buckland *et al.*, 2001, Section 4.8.2.4). The
bottom right panel of Fig. 1 shows a such a plot with the regression line
overlaid.

For the spatial model, smooth terms can also be selected using (approx-295 imate) p-values. A useful technique for covariate selection is to have an 296 additional penalty to each term in the GAM which allows smooth terms to 297 be removed from the model during fitting (this is illustrated in the example 298 analysis Wood, 2006, Section 4.1.6). Smoothness selection is performed by 299 generalized cross validation (GCV) score, UnBiased Risk Estimator (UBRE) 300 or REstricted Maximum Likelihood (REML) score, these scores can be used 301 for model selection (although it should be noted that REML cannot be used 302 to compare models with different fixed effects, see Wood (2011)). Percent-303 age deviance explained is suitable replacement for the usual (adjusted) R^2 . 304 We highly recommend the use of standard GAM diagnostic plots. Wood 305 (2006), Chapter 5, provides practical information on GAM model selection 306 and fitting. 307

In the analysis of the dolphin data, we included a smooth of location.

This not only nearly doubles the percentage deviance explained (27.3% to 52.7%), it also allows us to account for spatial autocorrelation (in a primitive way). One can see this when comparing the two plots in Fig. 2 and the plot of the depth in Fig. 1, the plot of the smooth of depth alone looks very

similar to the raw plot of the depth data. A smooth of an environment-level 313 covariate such as depth can be very useful for assessing the relationships 314 between abundance and the covariate (as in Fig. 2). Caution should be 315 employed when interpreting smooth relationships and abundance estimates, 316 especially if there are gaps over the range of covariate values; large counts 317 may occur at a high value of depth but if no further observations occur at such a high value, then investigators should be skeptical of any relationship. 319 For this reason, a smooth of space is recommended for inclusion in candidate 320 models. Limiting the "wigglyness" of smooths of spatial location (by limiting 321 their basis size) can be a useful way of restricting their influence whilst still 322 allowing them to "mop up" the residual spatial correlation in the data (how 323 this can be achieved is shown in the example analysis). 324 In the analysis presented we have converted from latitude and longitude 325 to kilometres from the centre of the survey region (27.01, -88.3) because the 326 bivariate smoother used (the thin plate spline; Wood, 2003) is isotropic, i.e. 327 it treats the wigglyness of the smoother in each direction as equal. Moving 1 328 degree in latitude is not the same as moving 1 degree in longitude and so using 329 kilometres from the centre of the study region makes the covariates isotropic 330 (using SI units throughout would also remove the need for conversion).

Direct modelling of the spatial point process

331

Rather than use a GAM to model the spatially explicit part of the model, 333 two recent articles (Johnson et al., 2010; Niemi & Fernández, 2010) have 334 modelled the process using point processes (Cox & Isham, 1980). In both 335

cases the density of objects described by an intensity function, which can include spatially-referenced covariates.

Johnson et al. (2010) proposes a point process-based model for distance 338 sampling data. They first assume that the locations of all individuals in the 339 survey area (not just those observed) form a realisation of a Poisson process. 340 Parameters of the intensity function are then estimated via standard maximum likelihood methods for point processes (Baddeley & Turner, 2000). In 342 contrast to Hedley & Buckland (2004), all parameters are estimated jointly so uncertainty from both the spatial pattern and the detection function is 344 incorporated into variance estimates for the abundance. This also ensures 345 that correlations between the detection function and underlying point process 346 are estimated correctly (and do not falsely inflate or deflate variance estim-347 ates). The authors also addressed the issue of overdispersion unmodelled by 348 spatial covariates (i.e. counts that do not follow a Poisson mean-variance 349 relationship) using a post-hoc correction factor. 350

Niemi & Fernández (2010) also use Poisson processes but incorporate them into a fully Bayesian approach. Model fitting proceeds in two stages: first the detection function is fitted, then the spatial model (via MCMC) assuming the detection function parameters are known, so detection function uncertainty is not incorporated in the spatial model (an extension that incorporates uncertainty is, however, feasible).

Both of the above Poisson process models do not account for group size, but both state that this could be included by considering a marked point process (Cox & Isham, 1980, Section 5.5). Both methods offer direct modelling of the point process, although with some drawbacks compared to the methodology of Hedley & Buckland (2004). It should be noted that the loss of efficiency from using DSM is not large (Buckland *et al.*, 2004, p. 313) because distances contain little information about spatial variation due to how thin transects are compared to their lengths and how small circles are compared to the study area.

LENOther examples of direct modelling of density are Royle & Dawson (2004) and Royle & Dorazio (2008).

Discussion

The use of model-based inference for determining abundance and spatial distribution from distance sampling data presents new opportunities in the field of population assessment. Inference from a sample of sightings to a population in a study area does not depend upon a random sample design, and therefore data from "platforms of opportunity" (Williams *et al.*, 2006) can be used.

Unbiased estimates are dependent upon either (i) distribution of sampling
effort being random throughout the study area (for design-based inference)
or (ii) model correctness (for model-based inference). It is easier to have
more confidence in the former than in the latter because our models are
always wrong. Nevertheless model-based inference will play an increasing
role in population assessment as the availability of spatially-references data
increases.

The field is quickly evolving to allow modelling of more complex data building on the basic ideas of density surface modelling. We expect to see

large advances in two areas: temporal inferences and the handling of spa-384 tial correlation. These should become more mainstream as modern spatio-385 temporal modelling techniques are adopted. Petersen et al. (2011) provided 386 a very basic framework for temporal modelling; their model included smooth 387 terms both before and after the construction of an offshore windfarm. Spa-388 tial autocorrelation can be accounted for via approaches that explicitly introduce correlations such as generalized estimating equations (GEEs; Hardin & 390 Hilbe, 2003) or via mechanisms such as that of Skaug (2006), which allowed 391 observations to cluster according to one of several states (e.g. "feeding" or 392 "transit") taking into account short-term agglomerations ("hot spots"). These 393 advances should assist both modellers and wildlife managers to make optimal 394 conservation decisions. 395

Riding on the back of the advances of Royle & Dawson (2004), Niemi & Fernández (2010) and Johnson *et al.* (2010), direct modelling of the process should be possible via use of integrated nested Laplace approximation (INLA; Rue *et al.* (2009)). Such an advance would make computation both fast and allow for a flexible modelling.

Density surface modelling allows wildlife managers to make best use of
the available spatial data to understand patterns of abundance, and hence
make better conservation decisions (e.g., about reserve placement). The recent advances mentioned here increase the reliability of the outputs from a
modelling exercise, and hence the efficacy of these decisions. Density surface
modelling from survey data is a very active area of current research, and we
look forward to further improvements and extensions in the near future.

Acknowledgments

DLM wishes to thank Mark Bravington and Sharon Hedley for their help and patience in explaining and providing code for their variance propagation method. Funding for the implementation of the recent advances into the mrds package and Distance software came from the US Navy, Chief of Naval Operations (Code N45), grant number N00244-10-1-0057.

References

- Baddeley, A. & Turner, R. (2000) Practical maximum pseudolikelihood for spatial point patterns. Australian & New Zealand Journal of Statistics, 42, 283–322.
- Bravington, M. & Hedley, S.L. (2009) Antarctic minke whale abundance estimates
- from the second and third circumpolar IDCR/SOWER surveys using the
- 419 SPLINTR model.
- 420 URL http://www.iwcoffice.org/_documents/sci_com/sc61docs/
- 421 SC-61-IA14.pdf
- Buckland, S.T., Anderson, D., Burnham, K.P., Laake, J.L., Borchers, D.L. & Thomas, L. (2001) *Introduction to Distance Sampling*. Oxford University Press.
- Buckland, S.T., Anderson, D., Burnham, K.P., Laake, J.L., Borchers, D.L. & Thomas, L. (2004) Advanced Distance Sampling. Oxford University Press.
- 426 Candy, S. (2004) Modelling catch and effort data using generalised linear models,
- the Tweedie distribution, random vessel effects and random stratum-by-year
- effects. Ccambr Science, 11, 59–80.
- URL http://www.ccamlr.org/ccamlr_science/Vol-11-2004/04candy.pdf
- Cox, D.R. & Isham, V. (1980) Point Processes. Monographs on Applied Probability
 and Statistics. Chapman and Hall. ISBN 9780412219108.
- Efron, B. & Tibshirani, R.J. (1993) An Introduction to the Bootstrap. Chapman & Hall/CRC. ISBN 9780412042317.
- 434 URL http://books.google.com/books?id=gLlpIUxRntoC&dq=an+
- introduction+to+the+bootstrap&hl=&cd=1&source=gbs_api
- 436 Halpin, P., Read, A., Fujioka, E., Best, B., Donnelly, B., Hazen, L., Kot, C.,
- urian, K., LaBrecque, E., Dimatteo, A., Cleary, J., Good, C., Crowder, L. &
- 438 Hyrenbach, K.D. (2009) OBIS-SEAMAP: The World Data Center for Marine
- Mammal, Sea Bird, and Sea Turtle Distributions. Oceanography, 22, 104–115.
- URL http://www.tos.org/oceanography/archive/22-2_halpin.html
- Hardin, J. & Hilbe, J. (2003) Generalized Estimating Equations. Chapman and Hall/CRC, London, UK.
- Hedley, S.L. & Buckland, S.T. (2004) Spatial models for line transect sampling.

 Journal of Agricultural, Biological, and Environmental Statistics, 9, 181–199.
- Johnson, D.S., Laake, J.L. & Ver Hoef, J.M. (2010) A model-based approach for making ecological inference from distance sampling data. *Biometrics*, **66**, 310–
- 447 318.

- Jørgensen, B. (1987) Exponential dispersion models. *Journal of the Royal Statistical Society. Series B, Statistical Methodology*, **49**, 127–162.
- Marques, T.A., Thomas, L., Fancy, S. & Buckland, S.T. (2007) Improving estimates
 of bird density using multiple-covariate distance sampling. The Auk, 124, 1229–
 1243.
- Miller, D.L., Jones, E. & Matthiopoulos, J. (????) Reliable spatial smoothing without edge effects. pp. 1–8.
- Miller, D.L. & Wood, S.N. (????) Finite area smoothing with generalized distance splines. pp. 1–27.
- Niemi, A. & Fernández, C. (2010) Bayesian Spatial Point Process Modeling of Line Transect Data. Journal of Agricultural, Biological, and Environmental Statistics, 15, 327–345.
- Petersen, I.K., MacKenzie, M.L., Rexstad, E.A., Wisz, M.S. & Fox, A.D. (2011)
 Comparing pre- and post-construction distributions of long-tailed ducks Clangula hyemalis in and around the Nysted offshore wind farm, Denmark: a quasidesigned experiment accounting for imperfect detection, local surface features and autocorrelation. 2011-1.
- Ramsay, T. (2002) Spline smoothing over difficult regions. Journal of the Royal Statistical Society. Series B, Statistical Methodology, **64**, 307-319.

 URL http://onlinelibrary.wiley.com/doi/10.1111/1467-9868.00339/
- 468 full
- Royle, J.A. & Dawson, D. (2004) Modeling abundance effects in distance sampling. *Ecology*.
- URL http://www.esajournals.org/doi/pdf/10.1890/03-3127
- Royle, J.A. & Dorazio, R.M. (2008) Hierarchical Modeling and Inference in Ecology. The Analysis of Data from Populations, Metapopulations and Communities. ISBN 9780123740977.
- URL http://books.google.com/books?id=rDppWpVP6a0C&printsec= frontcover&dq=Hierarchical+modeling+and+inference+in+ecology+ inauthor:royle&hl=&cd=1&source=gbs_api
- Rue, H., Martino, S. & Chopin, N. (2009) Approximate Bayesian inference for latent Gaussian models by using integrated nested Laplace approximations. *J. R. Statist. Soc. B*, **71**, 319–392.
- Scott-Hayward, L.A.S., MacKenzie, M.L., Donovan, C.R., Walker, C.G. & Ashe, E. (????) Complex Region Spatial Smoother (CReSS). *Journal of Computational and Graphical Statistics*.

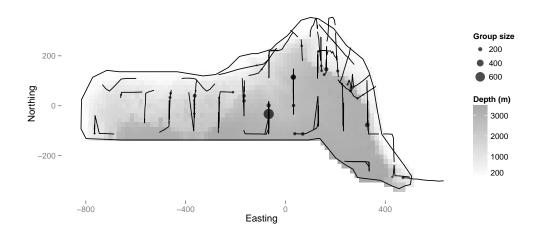
- Seber, G.A.F. (1982) The Estimation of Animal Abundance and Related Paramet-484 ers. Blackburn Pr. ISBN 9781930665552. 485
- URL http://books.google.com/books?id=bnGaPQAACAAJ&dq=seber&cd= 486 10&source=gbs_api
- Skaug, H.J. (2006) Markov modulated Poisson processes for clustered line transect 488 data. Environmental and Ecological Statistics, 13, 199–211. 489
- Thomas, L., Buckland, S.T., Rexstad, E.A., Laake, J.L., Strindberg, S., Hedley, 490 S.L., Bishop, J.R., Marques, T.A. & Burnham, K.P. (2010) Distance software:
- design and analysis of distance sampling surveys for estimating population size. 492
- Journal of Applied Ecology, 47, 5–14.
- Wang, H. & Ranalli, M. (2007) Low-rank smoothing splines on complicated do-494 mains. *Biometrics*, **63**, 209–217. 495
- Williams, R., Hedley, S.L., Branch, T.A., Bravington, M.V., Zerbini, A.N. & Find-496 lay, K.P. (2011) Chilean blue whales as a case study to illustrate methods to 497 estimate abundance and evaluate conservation status of rare species. Conserva-498
- tion Biology, 25, 526-535. 499

491

- Williams, R., Hedley, S.L. & Hammond, P. (2006) Modeling distribution and 500 abundance of Antarctic baleen whales using ships of opportunity. Ecology and 501 Society, 11, 1. 502
- Wood, S.N. (2003) Thin plate regression splines. Journal of the Royal Statistical 503 Society. Series B, Statistical Methodology, 65, 95–114. 504
- Wood, S.N. (2006) Generalized Additive Models: An introduction with R. Chapman 505 & Hall/CRC. 506
- Wood, S.N. (2011) Fast stable restricted maximum likelihood and marginal 507 likelihood estimation of semiparametric generalized linear models. Journal of 508 the Royal Statistical Society. Series B, Statistical Methodology, 73, 3–36. 509
- http://onlinelibrary.wiley.com/doi/10.1111/j.1467-9868.2010. 510 00749.x/full 511
- Wood, S.N., Bravington, M.V. & Hedley, S.L. (2008) Soap film smoothing. Journal 512 of the Royal Statistical Society. Series B, Statistical Methodology, 70, 931–955. 513

514 Figures

Fig. 1 Top: the survey area, transect centrelines and observations with size of circle corresponding to the group size overlaid onto depth data; bottom left, histogram of observed distances with fitted detection function; bottom right, plot of evaluations of the fitted detection function at given distances versus the logarithm of group size with linear trend showing the relation between probability of detection (given distance) and group size.



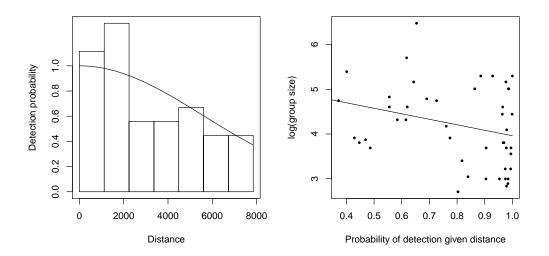


Fig. 2 Predictions for the dolphin data. Top: Predictions from the model using only depth as an explanatory variable, bottom: the model using both depth and location.

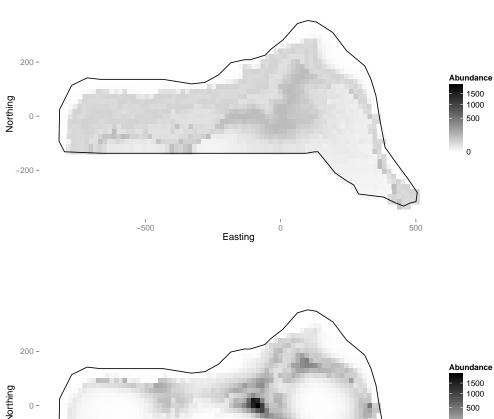


Fig. 3 Plot of the effect on the response of depth (from the model with both depth and location smooths), note that it is possible to draw a straight line between 750m and 3000m within the confidence band (between the dashed lines), so the wiggles in the smooth may not be indicative of any relationship. What is clear is that there is some effect up to about 500m. The rug ticks at the bottom of the plot indicate we have good coverage of the range of depth values in the survey area. Note that the y axis in such plots is on the scale of the link function (log in this case), so care should be taken in their interpretation.

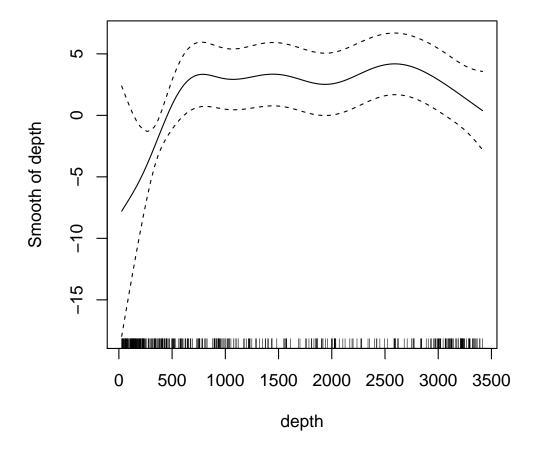


Fig. 4 Plot of coefficient of variation map for the model with smooths of both depth and location. Uncertainty was estimated using the variance propagation method of Williams *et al.* (2011). As might be expected, there is high uncertainty where there is low sampling effort (comparing to Fig. 1).

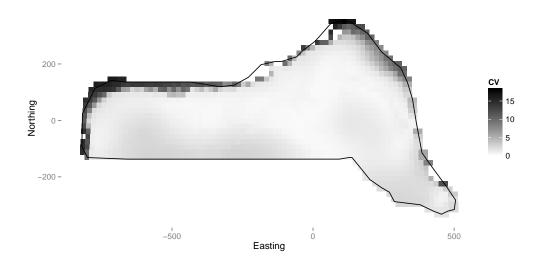


Fig. 5 Flow diagram showing the modelling process for creating a density surface model.

