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6 **Spatial models for distance sampling data:**
7 **recent developments and future directions**

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Summary

1. Our understanding of a biological population can be greatly enhanced by modelling their distribution in space and as a function of environmental covariates. Such models can be used to investigate the relationships between distribution and environmental covariates as well as reliably estimate abundances and create maps of animal/plant distribution.
2. Density surface models consist of a spatial model of the abundance of a biological population which has been corrected for uncertain detection via distance sampling methods.
3. We review recent developments in the field and consider the likely directions of future research before focussing on a popular approach based on generalized additive models. In particular we consider spatial modelling techniques that may be advantageous to applied ecologists such as quantification of uncertainty in a two-stage model and smoothing in areas with complex boundaries.
4. The methods discussed are available in an R package developed by the authors and are largely implemented in the popular Windows package Distance (or are soon to be incorporated).

Keywords: abundance estimation, Distance software, generalized additive models, line transect sampling, point transect sampling, population density, spatial modelling, wildlife surveys

39 Introduction

40 When surveying biological populations it is increasingly common to record
41 spatially referenced data, for example: coordinates of observations, habitat
42 type, elevation or (if at sea) bathymetry. Spatial models allow for vast data-
43 bases of spatially-referenced data (e.g. OBIS-SEAMAP, Halpin *et al.*, 2009)
44 to be harnessed, enabling investigation of interactions between environmental
45 covariates and population densities. Mapping the spatial distribution of a
46 population can be extremely useful, especially when communicating results
47 to non-experts. Recent advances in both methodology and software have
48 made spatial modelling readily available to the non-specialist (e.g., Wood,
49 2006; Rue *et al.*, 2009). Here we use the term “spatial model” to refer to
50 any model that includes any spatially referenced covariates, not only those
51 model which include location as a covariate. This article is concerned with
52 combining spatial modelling techniques with distance sampling (Buckland
53 *et al.*, 2001, 2004).

54 Distance sampling takes plot sampling (counting all the individuals or
55 groups of objects within a strip or circle) and extends it to the case where
56 detection is not certain. Observers move along lines or stand at points and
57 record the distance from the line or point to the object of interest (y). These
58 distances are used to estimate the *detection function*, $g(y)$ (for example,
59 Fig. 2), by modelling the decrease in detectability with increasing distance
60 from the line or point (conventional distance sampling, CDS). The detection
61 function may also include covariates (multiple covariate distance sampling,
62 MCDS; Marques *et al.*, 2007) which affect the scale of the detection function.

63 From the fitted detection function, the probability of detection can be estim-
 64 ated. The estimated probability that an animal is detected, \hat{p}_i , can then be
 65 used to estimate abundance as

$$\hat{N} = \frac{A}{a} \sum_{i=1}^n \frac{s_i}{\hat{p}_i}, \quad (1)$$

66 where A is the area of the study region, a is the area covered by the survey
 67 (i.e., the sum of the areas of all of the strips/circles) and the summation takes
 68 place over the n observed groups, each of size s_i (if individuals are observed,
 69 $s_i = 1 \forall i$). (Buckland *et al.*, 2001, Chapter 3). In general, distance sampling
 70 is more efficient than plot sampling because a much higher proportion of
 71 observations can be used in the analysis. Often up to half the observations
 72 in a plot sampling data set are discarded to ensure the assumption of cer-
 73 tain detection is met. In contrast, distance sampling uses observations that
 74 would have been discarded to model the detection (although typically some
 75 detections are discarded beyond a given *truncation distance* during analysis).

76 Estimators such as eqn (1) rely on the design of the study to ensure that
 77 abundance estimates over the whole study area (scaling up from the covered
 78 region) are valid. In contrast this article focusses on *model-based* inference
 79 to extrapolate to a larger study area. Specifically, we consider the use of
 80 spatially explicit models to investigate the response of biological populations
 81 to biotic and abiotic covariates that vary over the study region. A spatially-
 82 explicit model can explain the between-transect variation (which is often a
 83 large component of the variance in design-based estimates) and so using a
 84 model-based approach can lead to smaller variance in estimates of abund-

85 ance. Model-based inference also enables the use of data from opportunistic
86 surveys, for example, incidental data arising from “ecotourism” cruises (Wil-
87 liams *et al.*, 2006).

88 Our aims in creating a spatial model of a biological population are usu-
89 ally two-fold: (i) estimating overall abundance and (ii) investigating the re-
90 lationship between abundance and environmental covariates. As with any
91 predictions that are outside the range of the data, one should heed the usual
92 warnings regarding extrapolation. For example, if a model contains eleva-
93 tion as a covariate, predictions at high, unsampled elevations are unlikely to
94 be reliable. Frequently, maps of abundance or density are required and any
95 spurious predictions can be visually assessed, as well as by plotting a histo-
96 gram of the predicted values. A sensible definition of the region of interest
97 avoids prediction outside the range of the data.

98 In this article we review the current landscape of spatial modelling of
99 distance sampling data, illustrating some recent developments most useful to
100 applied ecologists. The methods discussed have been available in the popular
101 Windows application Distance (Thomas *et al.*, 2010) for some time but the
102 recent advances covered here have been implemented in a new R package,
103 *dsm* (?) and are soon to be incorporated into Distance.

104 [[this needs to go somewhere]] Throughout this article a mo-
105 tivating data set is used to illustrate the methods. These data
106 are from a combination of several shipboard surveys conducted on
107 several cetacean species in the Gulf of Mexico. We investigate 47
108 observations of groups of pantropical spotted dolphins (*Stenella at-*
109 *tenuata*); group size was recorded, as well as the Beaufort sea state

110 at the time of the observation. Coordinates for each observation
111 and bathymetry data were available as covariates for the analysis.
112 A complete example analysis is provided as an online appendix.
113 The data used in the analysis are available in the `dsm` package and
114 **Distance**.

115 The rest of the article follows this structure: we first review approaches
116 for the spatial modelling of distance sampling data before focussing on the
117 density surface modelling approach of Hedley & Buckland (2004); explain
118 how to estimate abundance and uncertainty; describe recent advances and
119 provide practical advice regarding model fitting, formulation and checking.
120 Finally we discuss future directions for research in spatially modelling dis-
121 tance sampling data.

122 **Approaches to spatial modelling of distance sampling** 123 **data**

124 Modelling of spatially referenced distance sampling data is in essence the
125 same as modelling spatially-referenced count data, with the additional in-
126 formation provided by collecting distances in order to account for imperfect
127 detection of the species in question. We now review recent efforts to model
128 such data; some consist of two steps (correction for imperfect detection, then
129 spatial modelling), while others jointly estimate the relevant parameters. We
130 begin with two-stage approaches and then move on to one-stage approaches.

132 The main focus for this article is the “count model” of Hedley & Buckland
133 (2004), we will henceforth refer to this approach as *density surface modelling*
134 (DSM). Modelling proceeds in two stages: first a detection function is fit-
135 ted to the distance data to obtain detection probabilities for groups (flocks,
136 pods etc) or individuals. Counts are allocated to a series of segments (con-
137 tiguous transect sections) which have their areas multiplied by the detection
138 probabilities. A generalised additive model (GAM; e.g. Wood, 2006) is then
139 constructed with the per-segment counts as the response. GAMs provide an
140 extremely flexible class of models which include generalized linear models
141 (GLMs; McCullagh & Nelder, 1989) but extend them with the addition of
142 splines to create smooth functions of covariates, random effects terms and
143 correlation structures (amongst other extensions). This article aims to cover
144 other recent advances using this approach so we refer readers to the later
145 sections of the paper for details of developments since Hedley & Buckland
146 (2004).

147 Niemi & Fernández (2010) proposed a Bayesian point process approach.
148 The density of the objects is described by an intensity function, which in-
149 cluded spatially-referenced covariates. Model fitting proceeded in two stages:
150 first the detection function was fitted, then the spatial model (via MCMC) as-
151 suming the detection function parameters were known, so detection function
152 uncertainty was not incorporated in the spatial model (though an extension
153 that incorporates uncertainty is, however, feasible), the model also does not
154 account for group size this could be included by considering a marked point

155 process (Cox & Isham, 1980, Section 5.5).

156 Ver Hoef *et al.* (2013) model seal populations in the Bering sea by combin-
157 ing a detection function and including additional information from a model
158 of seal haul-outs on ice (both estimated using frequentist methods) with a
159 Bayesian spatial model. The detection function and haul-out model correct
160 the observed density estimates which are then modelled using a Bayesian
161 hierarchical model for the spatial component which itself is split into a pres-
162 ence/absence part (to allow modelling of the large number of zeros in the
163 data) and a density portion (which also accounts for spatial autocorrelation).
164 The authors show that that when extra information is available (such as the
165 haul-out data collected from tags on the seals), this can be incorporated into
166 a model, giving additional insight and interpretability to results.

167 Two-stage models have the disadvantage of requiring that uncertainty
168 from both parts of the model must be combined. Appropriately combining
169 uncertainty from the detection function and the spatial model can be tricky
170 and ignoring uncertainty from one of the sources can lead to falsely narrow
171 confidence intervals for abundance estimates. More information regarding
172 how this is addressed for DSMs is given in the section “Recent developments”,
173 below.

174 We note that there are many approaches to modelling spatially embedded
175 count data (for example, random forests, Breiman (2001); boosted regression
176 trees, Friedman (2002); Oppel *et al.* (2011) provide an overview of such
177 methods for marine bird modelling). Also worthy of note is the approach of
178 Barry & Welsh (2002) using a two-stage approach to model presence/absence
179 then spatial pattern (both via GAMs) in order to account for zero-inflation.

180 Any of these methods could potentially be adapted into a two-stage approach
181 for distance sampling data by adjusting the counts but that they all fall victim
182 to the above issue of combining uncertainties.

183 ONE-STAGE APPROACHES

184 Rather than fitting two separate models, many recent articles have combined
185 these two steps (most via hierarchical Bayesian methods). The first of these
186 chronologically was Royle *et al.* (2004), formulating an unconditional likeli-
187 hood per-point/line that is a function of the unobserved transect abundances.
188 These unobserved abundances were treated as (Poisson or negative binomial)
189 random effects, which were then integrated out to give a per-transect likeli-
190 hood which is a function only of detection function parameters and paramet-
191 ers of the random effects (linear functions of the environmental covariates).
192 Due to the multinomial nature of the per-transect likelihood proposed, dis-
193 tance data must be binned, resulting in a loss of information (an arbitrarily
194 large number of bins could be used as an approximation to continuous data,
195 though this is potentially computationally intensive). Chelgren *et al.* (2011)
196 proposed replacing the multinomial per-transect likelihood with a binomial
197 distribution multiplied by a detection function. The binomial term is effect-
198 ively the collapsing of the multinomial bins into one very large bin and gives
199 the number of animals captured in the transect, thus allowing the use of
200 exact distances.

201 The work of Schmidt *et al.* (2011) takes a somewhat similar approach to
202 Royle & Dorazio (2008), building a presence/absence-type model for groups,
203 augmenting the data with unobserved groups (similar to the approach taken

204 in Royle & Dorazio (2008)). The authors then used a Poisson distribution
 205 to model group size (using a random effect to incorporate overdispersion),
 206 combining these parts to give a model of individual abundance. The authors
 207 used the Distance software (Thomas *et al.*, 2010) to determine the form of
 208 the detection function but conducted all parameter estimation (including
 209 detection function parameters) as part of one hierarchical Bayesian model
 210 (hence we consider this a one-step approach). Conn *et al.* (2012) also use a
 211 hierarchical Bayesian model but in terms of abundance rather than density
 212 using a super-population/data augmentation approach (as in Link & Barker
 213 (2009)). In their formulation, the whole population within the study region
 214 is modelled, not just those animals observed during the survey.

215 Moore & Barlow (2011) adopt a hierarchical Bayesian state-space model,
 216 separating the problem into an observation and process components. The
 217 process component describes the underlying population density as it changes
 218 over time and space (though the authors only include strata as a spatial
 219 component). The observation part of the model then links the process model
 220 to the data via the detection function.

221 A Bayesian formulation may be advantageous as it allows for easy inclu-
 222 sion of random effects as well as additional information from other sources
 223 and experiments. A one-step procedure means that variance estimates in-
 224 clude observation and process uncertainty without need for additional calcu-
 225 lations.

226 Outside of the Bayesian world, Johnson *et al.* (2010) proposed a point
 227 process-based model for distance sampling data. They first assumed that
 228 the locations of all individuals in the survey area (not just those observed)

229 form a realisation of a Poisson process. Parameters of the intensity function
 230 were then estimated via standard maximum likelihood methods for point
 231 processes (Baddeley & Turner, 2000). In contrast to Hedley & Buckland
 232 (2004), all parameters were estimated jointly so uncertainty from both the
 233 spatial pattern and the detection function was incorporated into variance
 234 estimates of the abundance. This also ensures that correlations between
 235 the detection function and underlying point process are estimated correctly
 236 (and do not falsely inflate or deflate variance estimates). The authors also
 237 addressed the issue of overdispersion unmodelled by spatial covariates (i.e.
 238 counts that do not follow a Poisson mean-variance relationship) using a post-
 239 hoc correction factor.

240 Density surface modelling

241 This section focuses on modelling the density/abundance estimation stage of
 242 the DSM approach introduced above. Both line and point transects can be
 243 used, but if lines are used then they are split into contiguous *segments*
 244 (indexed by j), which are of length l_j . Segments should be small enough such
 245 that neither density of objects or covariate values vary appreciably within a
 246 segment (usually making the segments approximately square, $2w \times 2w$, is
 247 sufficient). Count or estimated abundance is then modelled as a (sum of)
 248 smooth function(s) of covariates using a generalized additive model. For each
 249 segment or point, the response is modelled as a function of environmental
 250 covariates that are measured at the segment/point level (z_{jk} with k indexing
 251 the covariates, e.g., location, sea surface temperature, weather conditions).

252 The area of each segment enters the model as (or as part of) an offset: the
 253 area of segment j is $A_j = 2wl_j$ and for point j is $A_j = \pi w^2$ (where w is the
 254 truncation distance).

255 We begin by describing a formulation where only covariates measured
 256 per-segment (e.g. habitat, Beaufort sea state) are included in the detection
 257 function. Below, we expand this simple formulation to include observation
 258 level covariates (e.g., group size, species)

259 COUNT AS RESPONSE

260 The model for the count per segment is:

$$\mathbb{E}(n_j) = \exp \left[\log_e (\hat{p}_j A_j) + \beta_0 + \sum_k f_k(z_{jk}) \right],$$

261 where the f_k s are smooth functions of the covariates and β_0 is an intercept
 262 term. Multiplying the segment area (A_j) by the probability of detection (\hat{p}_j)
 263 gives the *effective area* for segment j . If there are no covariates other than
 264 distance in the detection function then the probability of detection is constant
 265 for all segments (i.e., $\hat{p}_j = \hat{p}$, $\forall j$). The distribution of n_j can be modelled as
 266 overdispersed Poisson, negative binomial, or Tweedie distribution (see *Recent*
 267 *developments*, below).

268 Fig. 1 shows the raw observations of the dolphin data, along with the
 269 transect lines, overlaid on the depth data. A half-normal detection function
 270 was fitted to the distances and is shown in Fig. 2. Fig. 3 shows a DSM
 271 fitted to the dolphin data. The top panel shows predictions from a model
 272 where depth was the only covariate, the bottom panel shows predictions

273 where a (bivariate) smooth of spatial location was also included. Comparing
 274 the models using Generalized Cross Validation (GCV) score, the latter had
 275 a considerably lower score (39.12 vs 48.46) and so would be selected as our
 276 “best” model.

277 As well as simply calculating abundance estimates, relationships between
 278 covariates and abundance can be illustrated via plots of marginal smooths.
 279 The effect of depth on abundance for the dolphin data can be seen in Fig. 4.

280 ESTIMATED ABUNDANCE AS RESPONSE

281 An alternative to modelling counts is to use the per-segment/circle abund-
 282 ance using distance sampling estimates as the response. In this case we
 283 replace n_j by:

$$\hat{N}_j = \sum_{r=1}^{R_j} \frac{s_{jr}}{\hat{p}_j},$$

284 where R_j is the number observations in segment j and s_{jr} is the size of the
 285 r^{th} group in segment j (if the animals occur individually then $s_{jr} = 1, \forall j, r$).

286 The following model is then fitted:

$$\mathbb{E}(\hat{N}_j) = \exp \left[\log_e (A_j) + \beta_0 + \sum_k f_k (z_{jk}) \right],$$

287 where \hat{N}_j , as with n_j , is assumed to follow an overdispersed Poisson, negative
 288 binomial, or Tweedie distribution (see *Recent developments*, below). Note
 289 that the offset is now the area rather than effective area of the segment/point.

290 *DSM with covariates at the observation level*

291 The above models consider the case where the covariates are measured at
292 the segment/point level. Often covariates (z_{ij} , for individual/group i and
293 segment/point j) are collected on the level of observations; for example sex
294 or group size of the observed object or identity of the observer. In this case
295 the probability of detection is a function of the object (individual or group)
296 level covariates $\hat{p}(z_i)$. Object level covariates can be incorporated into the
297 model by adopting the following estimator of the per-segment abundance:

$$\hat{N}_j = \sum_{r=1}^{R_j} \frac{s_{jr}}{\hat{p}(z_{rj})}.$$

298 Density can be modelled rather than abundance by not including offset,
299 but instead dividing the count (or estimated abundance) by the area of the
300 segment (and weighting observations by the segment areas). We concentrate
301 on abundance here, see Hedley & Buckland (2004) for further details on
302 modelling density.

303 PREDICTION

304 Abundance can be predicted for the each cell in a grid over the region in
305 question and by summing predicted values over corresponding grid cells.
306 The areas of the prediction cells must be accounted for in the predictions.
307 Environmental covariates included in the model must be available at each
308 prediction cell at the required resolution (using prediction grid cells that are
309 smaller than the resolution of the spatially referenced data have no effect on
310 abundance/density estimates).

311 VARIANCE ESTIMATION

312 Estimating the variance of abundances calculated using a DSM is not straight-
 313 forward: uncertainty from the estimated parameters of the detection function
 314 must be incorporated into the spatial model. A second consideration is that
 315 in a line transect survey, abundances in adjacent segments are likely to be
 316 correlated; failure to account for this spatial autocorrelation will lead to ar-
 317 tificially low variance estimates and hence misleadingly narrow confidence
 318 intervals.

319 Hedley & Buckland (2004) describe a method of calculating the variance
 320 in the abundance estimates using a parametric bootstrap, resampling from
 321 the residuals of the fitted model. The bootstrap procedure is as follows.

322 Denote the fitted values for the model to be $\hat{\boldsymbol{\eta}}$. For $b = 1, \dots, B$ (where
 323 B is the number of resamples required).

- 324 1. Resample (with replacement) the per-segment residuals, store the val-
 325 ues in \mathbf{r}_b .
- 326 2. Refit the model but with the response set to $\hat{\boldsymbol{\eta}} + \mathbf{r}_b$ (where $\hat{\boldsymbol{\eta}}$ are the
 327 fitted values from the original model).
- 328 3. Take the predicted values for the new model and store them.

329 From the predicted values stored in the last step the variance originating in
 330 the spatial part of the model can be calculated. The total variance of the
 331 abundance estimate (over the whole region of interest or sub-areas) can then
 332 be found by combining the variance estimate from the bootstrap procedure
 333 with the variance of the probability of detection from the detection function

334 model (using the delta method which assumes that the two components of
335 the variance are independent; Seber, 1982).

336 The above procedure assumes that there is no correlation in space between
337 segments, if many animals are observed in a particular segment then we might
338 expect there to be high numbers in the adjacent segments. A moving block
339 bootstrap (MBB; Efron & Tibshirani, 1993, Section 8.6) can account for some
340 of this spatial autocorrelation in the variance estimation. The segments are
341 grouped together into overlapping blocks, (so if the block size is 5, block
342 one is segments 1, ..., 5, block two is segments 2, ..., 6, and so on). Then,
343 at step (2) above, resamples are taken of the blocks (contiguous collections
344 of segments) rather than individual segments within the transects. Using
345 blocks should account for some of the autocorrelation between the segments,
346 inflating the variances accordingly. However, because the block size dictates
347 the maximum amount of spatial autocorrelation accounted for, this may not
348 fully account for the autocorrelation. These bootstrap procedures can also be
349 modified to take into account detection function uncertainty by generating
350 new distances from the fitted detection function and then re-calculating the
351 offset by fitting a detection function to the new distances.

352 DSM uncertainty can be visualised via a plot of per-cell coefficient of
353 variation obtained by dividing the standard error for each cell by its predicted
354 abundance (as in Fig. 5).

Recent developments

GAM uncertainty and variance propagation

Rather than using a bootstrap, one can use GAM theory to construct uncertainty estimates for DSM abundance estimates. This requires that we use the distribution of the parameters in the GAM to simulate model coefficients, using them to generate replicate abundance estimates (further information can found in Wood, 2006, page 245). Such an approach removes the need to refit the model many times, making variance estimation much faster.

Williams *et al.* (2011) go a step further and incorporate the uncertainty in the estimation of the detection function into the variance of the spatial model, albeit when only segment level covariates are in the DSM. Their procedure is to fit the density surface model with an additional random effects term that characterises the uncertainty in the estimation of the detection function (via the derivatives of the probability of detection, \hat{p} , with respect to their parameters). Variance estimates of the abundance calculated using standard GAM theory will include uncertainty from the estimation of the detection function. A more complete mathematical explanation of this result is given in Appendix B.

We consider that propagating the uncertainty in this manner is not only more computationally efficient but also preferable to the moving block bootstrap from a technical perspective. A moving block bootstrap does not fully account for spatial autocorrelation because when it reallocates blocks of residuals, it does so without considering the dependence between blocks. This can then lead to wide confidence intervals. The confidence intervals produced

379 via variance propagation are narrower than their bootstrap equivalents, while
380 maintaining good coverage (results of a small simulation study are given in
381 Appendix C).

382 Fig. 5 shows a map of the coefficient of variation for the model which
383 includes both location and depth covariates. Variance has been calculated
384 using the variance propagation method.

385 EDGE EFFECTS

386 Previous work (Ramsay, 2002; Wang & Ranalli, 2007; Wood *et al.*, 2008;
387 Scott-Hayward *et al.*, 2013; Miller & Wood) has highlighted the need to take
388 care when smoothing over areas with complicated boundaries, e.g., those
389 with rivers, peninsulae or islands. If two parts of the domain (either side
390 of a river or inlet, say) are inappropriately linked by the model (i.e. if the
391 distance between the points is measured as a straight line, rather taking
392 into account obstacles) then the boundary feature can be “smoothed across”
393 leading to incorrect inference. Ensuring that a realistic spatial model has
394 been fitted to the data is essential for valid inference. The soap film smoother
395 of Wood *et al.* (2008) is appealing as the model jointly estimates boundary
396 conditions for a complex study area along with the interior smooth. This
397 can be helpful when uncertainty is estimated via a bootstrap as the model
398 helps avoid large, unrealistic predictions which can plague other smoothers
399 (Bravington & Hedley, 2009).

400 Even if the study area does not have a complicated boundary, edge effects
401 can still be problematic. Miller *et al.* show that global smoothers which have
402 unpenalized plane components tend to cause the fitted surface to increase

403 unrealistically as predictions move further away from the locations of survey
 404 effort. They suggest the use of Duchon splines (a generalisation of thin plate
 405 regression splines) to alleviate the problem.

406 TWEEDIE DISTRIBUTION

407 The Tweedie distribution offers a flexible alternative to the quasi-Poisson
 408 and negative binomial distributions as a response distribution when model-
 409 ling count data (Candy, 2004). Through the parameter λ , many common
 410 distributions arise; varying λ between 1 (Poisson) and 2 (gamma) leads to
 411 a random variable which is a sum of M gamma variables where M is Pois-
 412 son distributed (Jørgensen, 1987). The distribution does not change appre-
 413 ciably when λ is changed by less than 0.1 therefore, a simple line search
 414 over the possible values of λ is usually reasonable. Mark Bravington (pers.
 415 comm.) suggested plotting the square root of the absolute value of the re-
 416 siduals against fitted values; a “flat” plot (points forming a horizontal line)
 417 give an indication of a “good” value for λ . We additionally suggest using the
 418 metrics described in the next section for model selection.

419 Practical advice

420 Fig. 6 shows a flow diagram of the modelling process for creating a DSM.
 421 The diagram shows which methods are compatible with each other and what
 422 the options are for modelling a particular data set.

423 In our experience, it is sensible to obtain a detection function that fits
 424 the data as well as possible and only after a satisfactory detection function

425 has been obtained, begin spatial modelling. Model selection for the detection
426 function can be performed using AIC and model checking using goodness-of-
427 fit tests given in (Burnham *et al.*, 2004, Section 11.11). If animals occur in
428 groups rather than individually, bias can be incurred due to the higher visibil-
429 ity of larger groups. It may then be necessary to include size as a covariate in
430 the detection function (see Buckland *et al.*, 2001, Section 4.8.2.4). For some
431 species group size may change according to location, Ferguson *et al.* (2006)
432 use two GAMs (one to model observed groups and one to model the group
433 size) to deal with spatially-varying group size amongst delphinids, though
434 the authors are not able calculate the variance of the resulting predictions.

435 Smooth terms can be selected using (approximate) p -values, as one would
436 usually for a GAM. An additional useful technique for covariate selection is
437 to use an extra penalty for each term in the GAM allowing smooth terms to
438 be removed from the model during fitting (illustrated in the example ana-
439 lysis; Wood, 2011). Smoothness selection is performed by generalized cross
440 validation (GCV) score, unbiased risk estimator (UBRE) or restricted max-
441 imum likelihood (REML) score. When model covariates are effectively func-
442 tions of one another (e.g. depth could be written as a function of location)
443 GCV and UBRE can suffer from optimisation failures (Wood, 2006, Sec-
444 tion 4.5.3) which can lead to unstable models (Wood, 2011). To avoid these
445 issues REML is recommended for smoothness selection when many spatially-
446 referenced covariates are used. A significant drawback is that REML scores
447 can only be used to compare models with the same fixed effects (i.e. linear
448 terms; Wood, 2011), though the p -value and additional penalty techniques
449 described above can be used to select model terms. We highly recommend

450 the use of standard GAM diagnostic plots; Wood (2006) provides further
451 practical information on GAM model selection and fitting.

452 In the analysis of the dolphin data, we included a smooth of location. This
453 not only nearly doubles the percentage deviance explained (27.3% to 52.7%),
454 it also allows us to account for spatial autocorrelation (in a primitive way).
455 One can see this when comparing the two plots in Fig. 3 and the plot of the
456 depth (Fig. 1), the plot of the model containing only a smooth of depth looks
457 very similar to the raw plot of the depth data. A smooth of an environment-
458 level covariate such as depth can be very useful for assessing the relationships
459 between abundance and the covariate (as in Fig. 4). Caution should be
460 employed when interpreting smooth relationships and abundance estimates,
461 especially if there are gaps over the range of covariate values. Large counts
462 may occur at a high value of depth but if no further observations occur at
463 such a high value, then investigators should be skeptical of any relationship.
464 A smooth of location can be useful although limiting the “wigglyness” of
465 smooths of spatial location (by limiting their basis size) can be a useful
466 way of restricting their influence whilst still allowing them to “mop up” the
467 residual spatial correlation in the data (see the example analysis).

468 In the analysis presented here we transform the covariates for spatial
469 location from latitude and longitude to kilometres north and east of the
470 centre of the survey region at $(27.01^\circ, -88.3^\circ)$. This is because the bivariate
471 smoother used (the thin plate spline; Wood, 2003) is isotropic: there is only
472 one parameter controlling the smoothness in both directions. Moving one
473 degree in latitude is not the same as moving one degree in longitude and so
474 using kilometres from the centre of the study region makes the covariates

475 isotropic. Using SI units throughout makes analysis easier.

476 Discussion

477 The use of model-based inference for determining abundance and spatial dis-
478 tribution from distance sampling data presents new opportunities in the field
479 of population assessment. Inference from a sample of sightings to a popula-
480 tion in a study area does not have to depend upon a random sample design,
481 and therefore data collected from "platforms of opportunity" (Williams *et al.*,
482 2006) can be used.

483 Unbiased estimates are dependent upon either (i) distribution of sampling
484 effort being random throughout the study area (for design-based inference)
485 or (ii) model correctness (for model-based inference). It is easier to have
486 confidence in the former rather than in the latter because our models are
487 always wrong. Nevertheless model-based inference will play an increasing
488 role in population assessment as the availability of spatially-referenced data
489 increases.

490 The field is quickly evolving to allow modelling of more complex data
491 building on the basic ideas of density surface modelling. We expect to see
492 large advances in temporal inferences and the handling of zero-inflated data
493 and spatial correlation. These should become more mainstream as modern
494 spatio-temporal modelling techniques are adopted. Petersen *et al.* (2011)
495 provided a very basic framework for temporal modelling; their model included
496 "before" and "after" smooth terms to quantify the impact of the construction
497 of an offshore windfarm. Zero-inflation in count data may be problematic

498 and two-stage approaches such as Barry & Welsh (2002) as well as more flex-
499 ible response distributions made possible by Rigby & Stasinopoulos (2005)
500 have yet to be exploited by those using distance sampling data. Spatial
501 autocorrelation can be accounted for via approaches that explicitly intro-
502 duce correlations such as generalized estimating equations (GEEs; Hardin &
503 Hilbe, 2003) or via mechanisms such as that of Skaug (2006), which allowed
504 observations to cluster according to one of several states (such as high vs low
505 density patches, possibly in response to temporary agglomerations of prey,
506 although the mechanism is unimportant). These advances should assist both
507 modellers and wildlife managers to make optimal conservation decisions.

508 Recent advances in Bayesian computation (INLA; Rue et al, 2009), make
509 one-step, Bayesian, density surface models computationally feasible (as INLA
510 is an alternative to MCMC). We anticipate that such a direct modelling
511 technique will dominate future developments in the field.

512 Density surface modelling allows wildlife managers to make best use of
513 the available spatial data to understand patterns of abundance, and hence
514 make better conservation decisions (e.g., about reserve placement). The re-
515 cent advances mentioned here increase the reliability of the outputs from a
516 modelling exercise, and hence the efficacy of these decisions. Density surface
517 modelling from survey data is an active area of research, and we look forward
518 to further improvements and extensions in the near future.

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674 Figures

Fig. 1 The region, transect centrelines and location of detected pantropical dolphin groups, where size of circle corresponds to the group size, overlaid onto depth data.

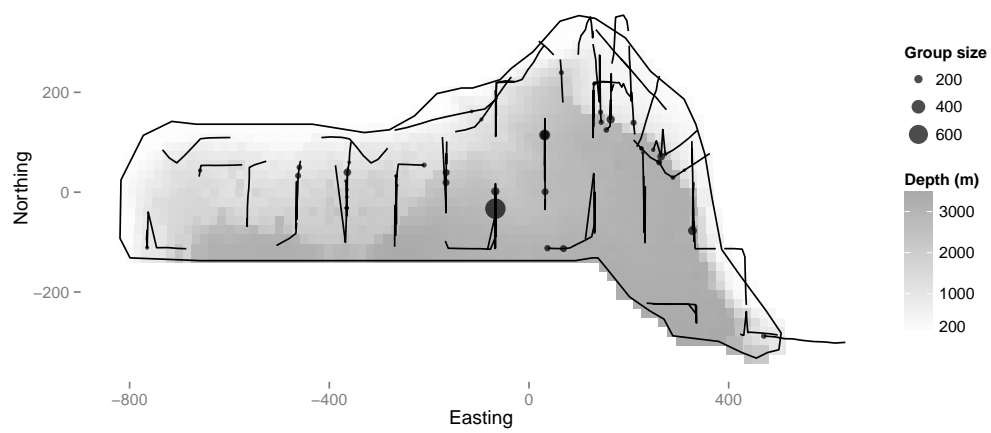


Fig. 2 Estimated detection function for pantropical dolphin groups overlaid onto the scaled histogram of observed distances. Distances are recorded in metres.

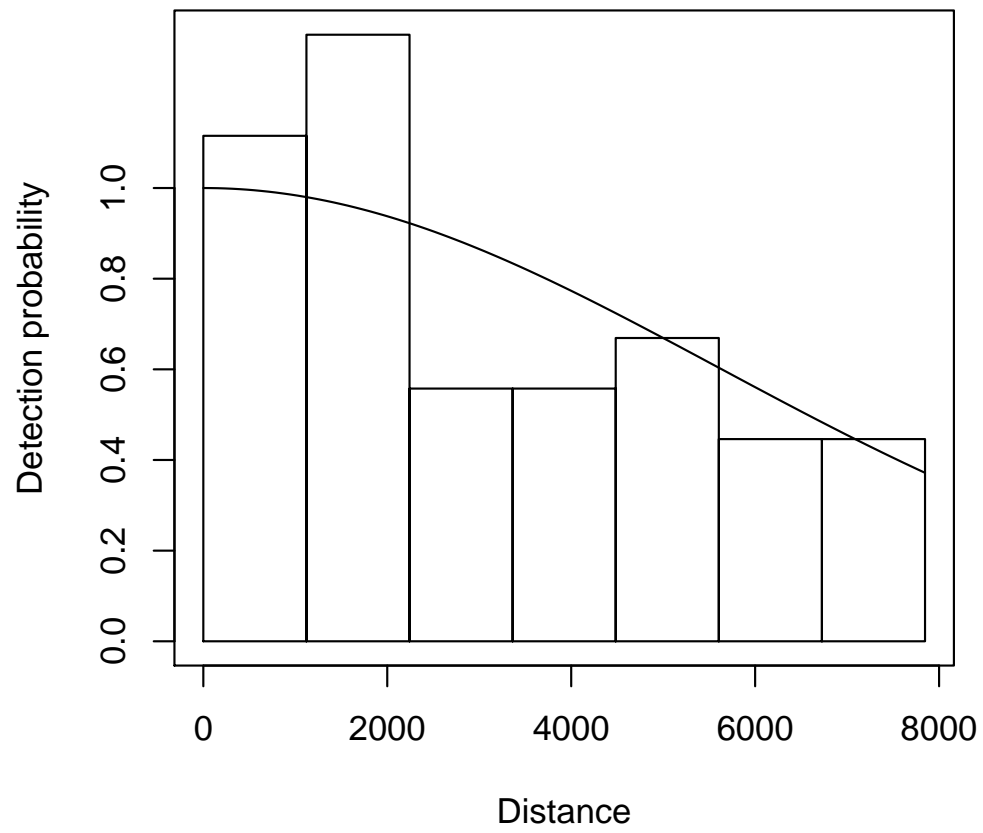


Fig. 3 Predicted abundance of dolphins from the model using only depth as an explanatory variable (top) and the model using both depth and location (bottom).

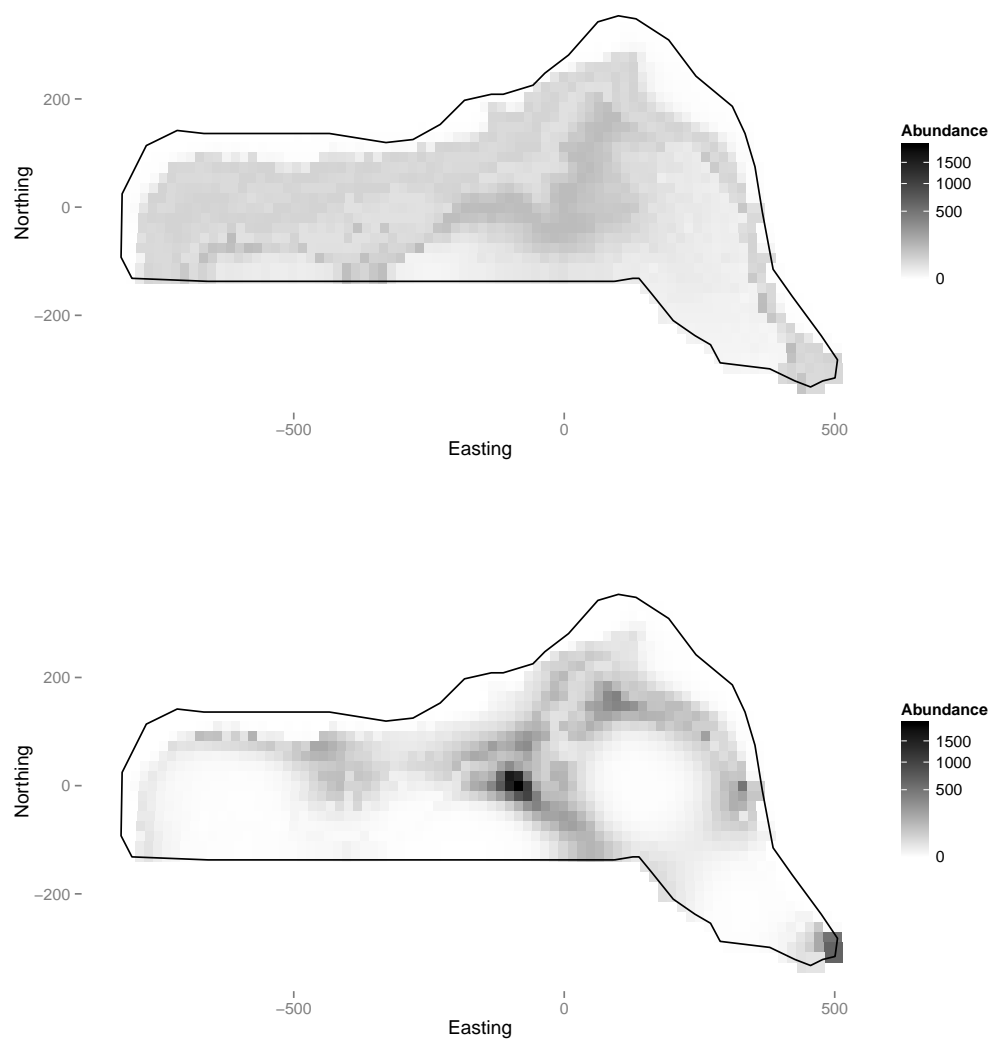


Fig. 4 Plot of the effect on the response of depth (from the model with both depth and location smooths), note that it is possible to draw a straight line between 750m and 3000m within the confidence band (between the dashed lines), so the wiggles in the smooth may not be indicative of any relationship. What is clear is that there is some effect up to about 500m. The rug ticks at the bottom of the plot indicate we have good coverage of the range of depth values in the survey area. Note that the y axis in such plots is on the scale of the link function (log in this case), so care should be taken in their interpretation.

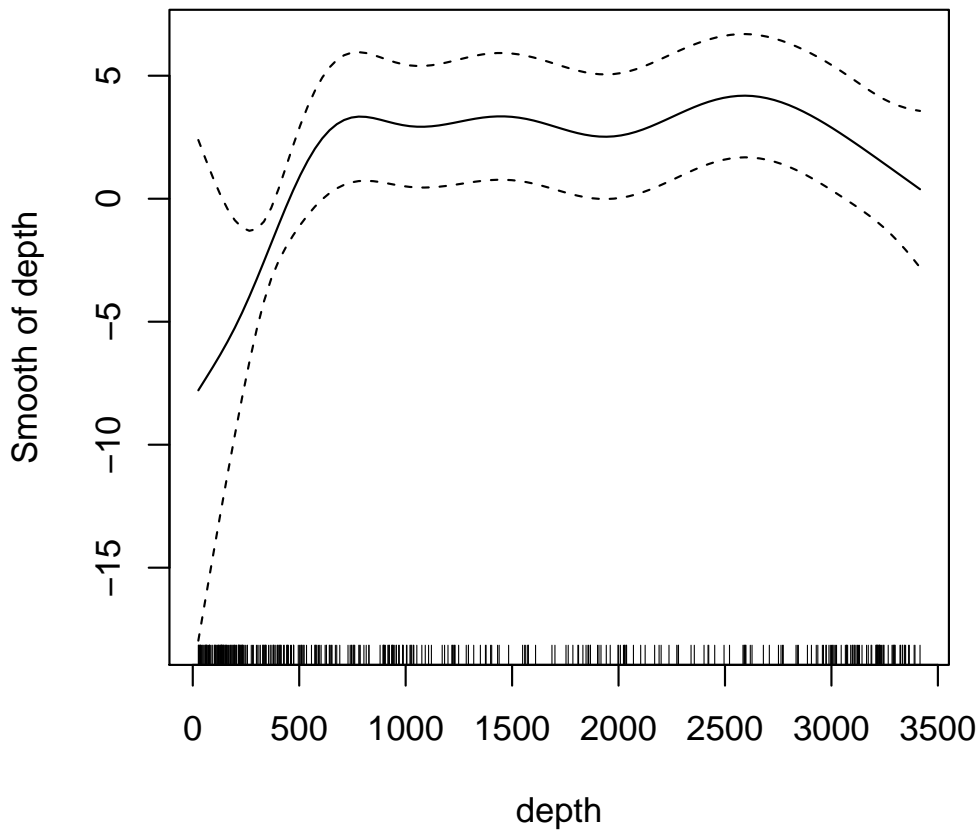


Fig. 5 Map of the coefficients of variation for the model with smooths of both depth and location. Uncertainty was estimated using the variance propagation method of Williams *et al.* (2011). As might be expected, there is high uncertainty where there is low sampling effort (Fig. 1).

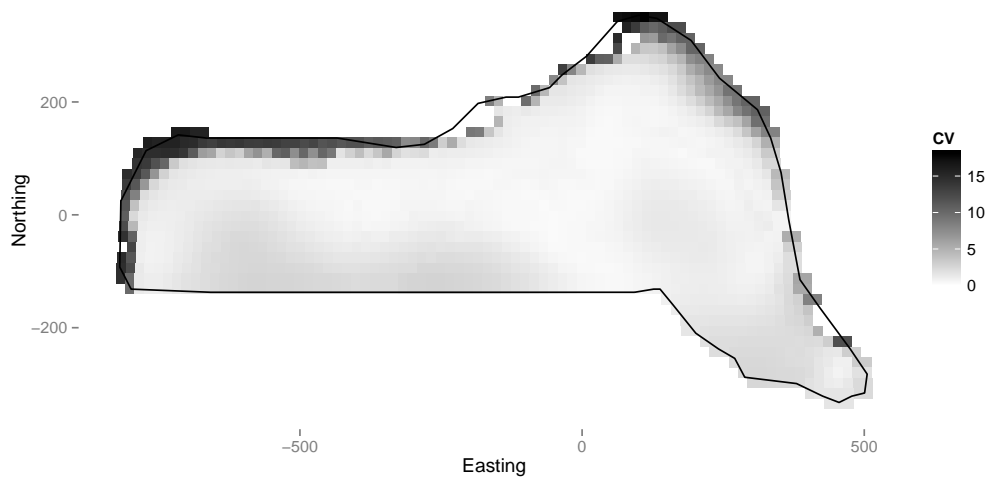


Fig. 6 Flow diagram showing the modelling process for creating a density surface model.

