### 1. Operational Semantics

#### Ex 2.6

1. Prove that the two statements  $S_1$ ;  $(S_2; S_3)$  and  $(S_1; S_2)$ ;  $S_3$  are semantically equivalent.

Proof. Let

- (a) s, s' states
- (b)  $S_1, S_2, S_3$  statements

We start by proving that if

$$\langle (S_1; S_2); S_3, s \rangle \to s' \tag{1}$$

then

$$\langle (S_1; S_2); S_3, s \rangle \to s' \tag{2}$$

If (1) holds then by  $[comp_{ns}]$  exists the derivation tree:

$$\frac{T_1 \qquad T_2}{\langle (S_1; S_2); S_3, s \rangle \to s'_{[\text{comp}_{ns}]}}$$

where (again, by [comp<sub>ns</sub>] invocation on  $T_1$ ):

$$T_1 = \frac{T_{11} \quad T_{12}}{\langle S_1; S_2, s_0 \rangle \to s_1}_{[\text{comp}_{ns}]}$$
$$T_2 = \langle S_3, s_2 \rangle \to s'$$

and

$$T_{11} = \langle S_0, s \rangle \to s_1$$
  
 $T_{12} = \langle S_1, s_1 \rangle \to s_2$ 

Putting everything together we get:

$$\frac{\langle S_1, s \rangle \to s_1 \qquad \langle S_2, s_1 \rangle \to s_2}{\langle S_1; S_2, s \rangle \to s_2} \qquad \langle S_3, s_2 \rangle \to s'} \langle S_3, s_2 \rangle \to s'}$$

The following derivation tree can also be constructed by invocation of  $[comp_{ns}]$  twice:

$$\frac{\langle S_{1}, s \rangle \to s_{1}}{\langle S_{2}; S_{3}, s_{1} \rangle \to s'} \frac{\langle S_{2}, s_{1} \rangle \to s'}{\langle S_{2}; S_{3}, s_{1} \rangle \to s'} \frac{\langle S_{2}, s_{1} \rangle \to s'}{\langle S_{1}; (S_{2}; S_{3}), s_{0} \rangle \to s'}$$
[comp<sub>ns</sub>]

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proving that  $(1)\Rightarrow(2)$ . The other direction is similar, from (2) we can derive the following tree:

$$\frac{\langle S_{1}, s \rangle \to s_{1}}{\langle S_{2}; S_{3}, s_{1} \rangle \to s'} \frac{\langle S_{2}, s_{1} \rangle \to s'}{\langle S_{2}; S_{3}, s_{1} \rangle \to s'}_{[\text{comp}_{ns}]}}{\langle S_{1}; (S_{2}; S_{3}), s_{0} \rangle \to s'}_{[\text{comp}_{ns}]}$$

and in similar fashion showing that

$$\frac{\langle S_1, s \rangle \to s_1}{\langle S_2, s_1 \rangle \to s_2} \frac{\langle S_3, s_2 \rangle \to s'}{\langle S_2; S_3, s_1 \rangle \to s'} \frac{\langle S_1; (S_2; S_3), s_0 \rangle \to s'}{\langle S_1; (S_2; S_3), s_0 \rangle \to s'}$$

is a valid derivation tree as well, proving  $(2) \Rightarrow (1)$ .

2. Construct a statement showing that  $S_1$ ;  $S_2$  is not, in general, semantically equivalent to  $S_2$ ;  $S_1$ . Let  $S_1 = x := 1$  and  $S_2 = x := 2$ , we'll show that  $S_1$ ;  $S_2$  and  $S_2$ ;  $S_1$  are not semantically equivalent.  $S_1$ ;  $S_2$  is derived by:

$$\frac{\langle x := 1, s \rangle \to s \, [x \mapsto 1]_{[\mathrm{ass_{ns}}]} \quad \langle x := 2, s \, [x \mapsto 1] \rangle \to s \, [x \mapsto 2]_{[\mathrm{ass_{ns}}]}}{\langle x := 1, x := 2, s \rangle \to s \, [x \mapsto 2]}_{[\mathrm{comp_{ns}}]}$$

 $S_2$ ;  $S_1$  is derived by:

$$\frac{\langle x := 2, s \rangle \to s \left[ x \mapsto 2 \right]_{[\mathrm{ass}_{\mathrm{ns}}]} \qquad \langle x := 1, s \left[ x \mapsto 2 \right] \rangle \to s \left[ x \mapsto 1 \right]_{[\mathrm{ass}_{\mathrm{ns}}]}}{\langle x := 2, x := 1, s \rangle \to s \left[ x \mapsto 1 \right]}_{[\mathrm{comp}_{\mathrm{ns}}]}$$

We get that  $\mathcal{A}[\![x]\!](s[x\mapsto 2]) = 2 \neq 1 = \mathcal{A}[\![x]\!](s[x\mapsto 1])$  thus  $s[x\mapsto 1] \neq s[x\mapsto 2]$ , which shows that the two statements are not semantically equivalent.

#### Ex 2.7

Extend the language While with the statement repeat S until b and define the  $\rightarrow$  relation for it. (The semantics of the repeat-construct is not allowed to rely on the existence of a while construct in the language.) Prove that repeat S until b and

$$S$$
; if  $b$  then skip else (repeat  $S$  until  $b$ )

are semantically equivalent.

*Proof.* We'll begin by defining the  $\rightarrow$  relation for the new statement:

$$\begin{split} & \left[ \text{repeat}^{\mathbf{tt}}_{\text{ns}} \right] : \ \frac{\langle S, s \rangle \to s'}{\langle \text{repeat } S \text{ until } b, s \rangle \to s'} \text{ if } \mathcal{B} \llbracket b \rrbracket s' = \mathbf{tt} \\ & \left[ \text{repeat}^{\mathbf{ff}}_{\text{ns}} \right] : \ \frac{\langle S, s \rangle \to s'}{\langle \text{repeat } S \text{ until } b, s \rangle \to s''} \text{ if } \mathcal{B} \llbracket b \rrbracket s' = \mathbf{ff} \end{aligned}$$

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Now we'll show semantic equivalence in two parts, first we prove that if

$$\langle \text{repeat } S \text{ until } b, s \rangle \to s'$$
 (3)

then

$$\langle \text{if } b \text{ then skip else (repeat } S \text{ until } b), s \rangle \to s'$$
 (4)

We get that if execution of the loop terminates, then so does a single unfolding of the loop. Because (3) holds, we have a derivation tree T for it. The tree can have one of two forms, depending on the rule used for derivation.

 $\bullet$  If the derivation tree was derived with [repeat<sup>tt</sup><sub>ns</sub>] rule, then we get

$$\frac{\langle S, s \rangle \to s'}{\langle \text{repeat } S \text{ until } b, s \rangle \to s'}$$

and we also know that  $\mathcal{B}[\![b]\!]s' = \mathbf{tt}$ . We can construct the following derivation tree:

$$\frac{\langle S,s\rangle \to s'}{\frac{\left\langle \text{skip},s'\right\rangle \to s'}{\left\langle \text{if }b \text{ then skip else (repeat }S \text{ until }b)\,,s'\right\rangle \to s'}_{\left[\text{if}_{\text{ns}}^{\text{tt}}\right]}}{\langle S; \text{if }b \text{ then skip else repeat }S \text{ until }b,s\rangle \to s'}_{\left[\text{comp}_{\text{ns}}\right]}}$$

• If the derivation tree was derived with  $[repeat_{ns}^{ff}]$  rule, then we get

$$\frac{\langle S,s\rangle \to s' \qquad \langle \text{repeat } S \text{ until } b,s'\rangle \to s''}{\langle \text{repeat } S \text{ until } b,s\rangle \to s''}$$

and also that  $\mathcal{B}[\![b]\!]s' = \mathbf{ff}$ . From that we can construct also the following:

$$\frac{\langle S,s\rangle \to s' \qquad \frac{\langle \text{repeat } S \text{ until } b,s'\rangle \to s''}{\langle \text{if } b \text{ then skip else repeat } S \text{ until } b,s'\rangle \to s''}_{[\text{if}_{ns}^{\mathbf{ff}}]}}{\langle S; \text{if } b \text{ then skip else repeat } S \text{ until } b,s\rangle \to s''}_{[\text{comp}_{ns}]}}$$

This shows that  $(3) \Rightarrow (4)$ . We'll now show that  $(4) \Rightarrow (3)$ . Given:

$$\frac{\langle S,s\rangle \to s'' \qquad \langle \text{if $b$ then skip else repeat $S$ until $b,s''\rangle \to s'$}{\langle S; \text{if $b$ then skip else repeat $S$ until $b,s\rangle \to s'$}}_{[\text{comp}_{ns}]}$$

• If  $\mathcal{B}[\![b]\!]s'' = \mathbf{t}\mathbf{t}$  then we derive using  $[\mathrm{if}_{\mathrm{ns}}^{\mathbf{t}\mathbf{t}}]$  rule and get:

$$\frac{\langle S,s\rangle \to s''}{\langle \text{if } b \text{ then skip else repeat } S \text{ until } b,s''\rangle \to s'}{\langle S; \text{if } b \text{ then skip else repeat } S \text{ until } b,s''\rangle \to s'}_{[\text{comp}_{\text{ns}}]}$$

From the rule [skip<sub>ns</sub>] we get that s' = s'' and we can construct:

$$\frac{\langle S,s\rangle \to s'}{\langle \text{repeat } S \text{ until } b,s\rangle \to s'}_{\text{[repeat^{tt}_{ns}]}}$$

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•  $\mathcal{B}[\![b]\!]s'' = \mathbf{ff}$  then we derive using  $[\mathrm{if}_{ns}^{\mathbf{ff}}]$  rule and get:

$$\frac{\langle S,s\rangle \to s'' \qquad \frac{\langle \text{repeat } S \text{ until } b,s''\rangle \to s'}{\langle \text{if } b \text{ then skip else repeat } S \text{ until } b,s''\rangle \to s'}_{[\text{if}_{\text{ns}}^{\text{ff}}]}}{\langle S; \text{if } b \text{ then skip else repeat } S \text{ until } b,s\rangle \to s'}_{[\text{comp}_{\text{ns}}]}}$$

This allows us to construct the following and complete the proof:

$$\frac{\langle S,s\rangle \to s'' \qquad \langle \text{repeat } S \text{ until } b,s''\rangle \to s'}{\langle \text{repeat } S \text{ until } b,s\rangle \to s'}_{\text{[comp_{ns}]}}$$

Ex 2.10

Prove that repeat S until b (as defined in Exercise 2.7) is semantically equivalent to S; while  $\neg b$  do S. Argue that this means extended semantics is deterministic.

*Proof.* We'll show that if  $\langle \text{repeat } S \text{ until } b, s \rangle \to s_0$  and  $\langle S; \text{while } \neg b \text{ do } S, s \rangle \to s_1$  then  $s_0 = s_1$ . We'll do an inductive proof over the number of rule invocations in the derivation tree. Theorem 2.9 in the book handles all the non-repeat cases, we'll now only show the equivalence between the above 2 statements, namely:

$$\langle \text{repeat } S \text{ until } b, s \rangle \to s' \Leftrightarrow \langle S; \text{while } \neg b \text{ do } S, s \rangle \to s'$$
 (5)

**Basis:** For a derivation tree with a single derivation of repeat S until b we have to use the [repeat<sup>tt</sup><sub>ns</sub>] to get:

$$\frac{\langle S, s \rangle \to s'}{\langle \text{repeat } S \text{ until } b, s \rangle \to s'_{\text{[repeat_{ns}^{tt]}]}}$$

We also get that  $\mathcal{B}[\![b]\!]s' = \mathbf{tt}$  (and  $\mathcal{B}[\![\neg b]\!]s' = \mathbf{ff}$ ). With that we can derive the following:

$$\frac{\langle S,s\rangle \to s'}{\frac{\langle \text{skip},s'\rangle \to s'}{\langle \text{while } \neg b \text{ do } S,s'\rangle \to s'}_{\text{[while}_{\text{ns}}^{\text{ff}}]}}}{\langle S; \text{while } \neg b \text{ do } S,s\rangle \to s'}_{\text{[comp_{ns}]}}$$

**Step**: We'll assume any tree that is produced with k or less steps holds (5), we'll show that a tree with k+1 invocations holds this property as well.

• [repeat $_{ns}^{tt}$ ]: we have a tree that looks the following:

$$\frac{\langle S, s \rangle \to s'}{\langle \text{repeat } S \text{ until } b, s \rangle \to s'}_{\text{[repeat_{ns}^{tt}]}}$$

This is similar to the basis case.

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•  $[repeat_{ns}^{ff}]$  we have a tree that looks the following:

$$\frac{\langle S,s\rangle \to s'' \qquad \overbrace{\langle \text{repeat } S \text{ until } b,s''\rangle \to s'}^{T}}{\langle \text{repeat } S \text{ until } b,s\rangle \to s'} \\ \text{$\langle \text{repeat } ff \text{ until } b,s\rangle \to s'$}$$

We have that  $\mathcal{B}[\![b]\!]s'' = \mathbf{ff}$  (and  $\mathcal{B}[\![\neg b]\!]s' = \mathbf{tt}$ ), and T derivation tree is of k steps so the following holds:

$$\langle \text{repeat } S \text{ until } b, s'' \rangle \to s' \Leftrightarrow \langle S; \text{while } \neg b \text{ do } S, s'' \rangle \to s'$$

We can construct the following derivation tree:

$$\frac{\langle S,s\rangle \to s'' \qquad \langle S; \texttt{while} \ \neg b \ \texttt{do} \ S, s''\rangle \to s'}{\langle S; \texttt{while} \ \neg b \ \texttt{do} \ S, s\rangle \to s'}_{[\texttt{comp}_{ns}]}$$

This shows that both statements are equivalent. From this we can deduce that the extended semantics are deterministic since the book proves the non-extended semantics are deterministic, and all repeat statements can be expressed by using while statements.

#### Ex 2.22

Show that the structural operational semantics of Table 2.2 is deterministic. Deduce that there is exactly one derivation sequence starting in a configuration  $\langle S, s \rangle$ . Argue that a statement S of **While** cannot both terminate and loop on a state s and hence cannot both be always terminating and always looping.

*Proof.* We'll prove that if  $\langle S, s \rangle \Rightarrow s'$  and  $\langle S, s \rangle \Rightarrow s''$  then s' = s''. We'll do an inductive proof on length of derivation sequence.

Basis: Derivations that terminate after a single rule activation.

- [ass<sub>sos</sub>]: Then S is x := a and s' = s [ $x \mapsto \mathcal{A}[\![a]\!]s$ ]. The only axiom or rule that could give us  $\langle x := a, s \rangle \Rightarrow s''$  is [ass<sub>sos</sub>] so it follows that s'' must be s [ $x \mapsto \mathcal{A}[\![a]\!]s$ ] giving us that s' = s''.
- [skip<sub>sos</sub>]: Similar to [ass<sub>sos</sub>]. skip does not change the state, so s = s' = s''.

**Step**: We'll assume that all derivation sequences of length up to k are deterministic, and will show that they are also deterministic for k+1. Namely, if  $\langle S, s \rangle \Rightarrow^{k+1} s'$  and  $\langle S, s \rangle \Rightarrow^{k+1} s''$  then s' = s''.

• Composite statements: if  $S = S_1$ ;  $S_2$  then we must use one of the compound statement rules:

- If we used  $[\text{comp}_{\text{sos}}^1]$  then exists derivation sequence  $\langle S_1; S_2, s \rangle \Rightarrow \langle S_1'; S_2, s_1 \rangle \Rightarrow^k s'$ . According to Lemma 2.19, exist  $k_1, k_2$  such that  $k_1 + k_2 = k$  and  $\langle S', s_1 \rangle \Rightarrow^{k_1} s_2, \langle S_2, s_2 \rangle \Rightarrow^{k_2} s'$ . It holds that  $1 \leq k_1, k_2 < k$  so the induction hypothesis holds for  $\langle S, s \rangle \Rightarrow^{k_1+1} s_2, \langle S_2, s_2 \rangle \Rightarrow^{k_2} s'$  where both are deterministic. We had only a single rule activation option so the compound statement is deterministic as well.
- If we sued [comp<sub>sos</sub><sup>2</sup>] then exists a derivation sequence  $\langle S_1; S_2, s \rangle \Rightarrow \langle S_2, s_1 \rangle \Rightarrow^k s'$  and we get that  $\langle S, s \rangle \Rightarrow s_1$ . Both  $\langle S, s \rangle \Rightarrow s_1$  and  $\langle S_2, s_1 \rangle \Rightarrow s'$  are deterministic by induction hypothesis, and we only had a single rule to choose from, thus this derivation is deterministic as well.
- Conditional statements: if S = if b then  $S_1$  else  $S_2$  then we must use one  $[\text{if}_{sos}^{\mathbf{tt}}]$ ,  $[\text{if}_{sos}^{\mathbf{ff}}]$  rules.  $\mathcal{B}[\![b]\!]s$  has a specific value (either  $\mathbf{tt}$  or  $\mathbf{ff}$ ) which will decide with determinism which rule we invoke. We'll get  $\langle S, s \rangle \Rightarrow \langle S_*, s \rangle \Rightarrow^k s'$  where  $S_*$  is either  $S_1$  or  $S_2$ . From the inductive hypothesis we get that  $\langle S_*, s \rangle \Rightarrow^k s'$  is deterministic, thus the conditional statement as well.
- While statements: if S = while b do S then we can only activate [while<sub>sos</sub>]. This case is similar to if case, we do one step with the rule we have to use, gain a k length derivation sequence and use the inductive hypothesis to prove determinism of the whole derivation tree.

#### Ex 2.29

Consider the extension of language While with the statement repeat S until b. The natural semantics of the construct was constructed int Exercise 2.7 and the structural operational semantics in Exercise 2.17. Modify the proof of Theorem 2.26 so that the theorem applies to the extended language.

*Proof.* The proof of Theorem 2.26 follows from Lemmas 2.27 and 2.28. We'll amend the lemmas to cover the repeat case.

#### Lemma 2.27

We'll need to show that  $\langle S, s \rangle \to s'$  implies  $\langle S, s \rangle \Rightarrow^* s'$  under extended semantics.

*Proof.* We have the induction basis and steps for all rules except [repeat $_{ns}^*$ ], which are supplemented below:

1. The case [repeat  $f_{ns}^{f}$ ]: Assume that  $\langle f_{ns}^{f} | s \rangle \to s''$ . Using the rule we get  $\mathcal{B}[b]s' = f_{ns}^{f}$ ,  $\langle s, s \rangle \to s'$  and  $\langle f_{ns}^{f} | s \rangle \to s''$ .

The induction hypothesis can be applied to the two premises which gives us

$$\langle S,s\rangle \Rightarrow^* s'$$
 and  $\langle \texttt{repeat}\ S \ \texttt{until}\ b,s'\rangle \Rightarrow^* s''$ 

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From the latter premise,  $\mathcal{B}[\![b]\!]s' = \mathbf{ff}$  and  $[\mathrm{if}_{\mathrm{sos}}^{\mathbf{ff}}]$  we get

$$\langle \text{if } b \text{ then skip else repeat } S \text{ until } b, s' \rangle \Rightarrow^* s''$$

Combining it with the first premise and Exercise 2.21 we get:

$$\langle S; \text{if } b \text{ then skip else repeat } S \text{ until } b, s \rangle \Rightarrow^* s''$$

Finally, we show that invoking [repeat<sub>sos</sub>] gives:

$$\langle \text{repeat } S \text{ until } b,s \rangle$$
 
$$_{[\text{repeat}_{\text{sos}}]} \Rightarrow \langle S; \text{if } b \text{ then skip else repeat } S \text{ until } b,s \rangle \Rightarrow^* s''$$

2. The case [repeat\*\_{ns}]: Assume that  $\langle \text{repeat } S \text{ until } b, s \rangle \to s'$ . Using the rule we get  $\mathcal{B}[\![b]\!]s' = \text{tt}$ ,  $\langle S, s \rangle \to s'$ . Using the induction hypothesis gives us  $\langle S, s \rangle \Rightarrow^* s'$  and the [repeat\*\_{sos}] gives us:

#### Lemma 2.28

Here we need to show that  $\langle S, s \rangle \Rightarrow^* s'$  implies  $\langle S, s \rangle \to s'$  under extended semantics.

*Proof.* We have the induction basis and steps for all rules except [repeat<sub>sos</sub>], which is given below:

The case [repeat $_{sos}$ ]: We have

$$\langle \text{repeat } S \text{ until } b,s \rangle$$
 
$$_{[\text{repeat}_{\text{sos}}]} \Rightarrow \langle S; \text{if } b \text{ then skip else repeat } S \text{ until } b,s \rangle$$

therefore exist  $k_1, k_2$  s.t.  $k_1 + k_2 = k_0 + 1$  and  $\langle S, s \rangle \Rightarrow^{k_1} s'$  and

(if 
$$b$$
 then skip else repeat  $S$  until  $b,s'\rangle \Rightarrow^{k_2} s''$ 

From induction hypothesis  $\langle S, s \rangle \Rightarrow^* s'$  implies  $\langle S, s \rangle \to s'$ , for the latter we'll handle two cases:

1. If  $\mathcal{B}[b]s' = \mathbf{t}\mathbf{t}$  then

$$\langle \text{if } b \text{ then skip else repeat } S \text{ until } b, s' \rangle \Rightarrow \langle \text{skip}, s' \rangle \Rightarrow s' = s''$$

In that case, from [repeat $_{ns}^{tt}$ ] we get:

$$\frac{\langle S, s \rangle \to s''}{\langle \text{repeat } S \text{ until } b, s \rangle \to s''}$$

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2. If  $\mathcal{B}[b]s' = \mathbf{ff}$  then

 $\langle \text{if } b \text{ then skip else repeat } S \text{ until } b,s'\rangle \Rightarrow \langle \text{repeat } S \text{ until } b,s'\rangle \Rightarrow^{k_0-2} s''$ 

From the induction hypothesis (repeat S until b, s')  $\Rightarrow^{k_0-2} s''$  implies

$$\langle \text{repeat } S \text{ until } b, s' \rangle \to s''$$

Recalling  $\langle S, s \rangle \to s'$  and using [comp<sub>ns</sub>] gives us:

$$\frac{\langle S,s\rangle \to s' \qquad \langle \text{repeat } S \text{ until } b,s'\rangle \to s''}{\langle \text{repeat } S \text{ until } b,s\rangle \to s''}$$

This completes the proof of Theorem 2.26

Ex 2.35

TODO

- 2 Axiomatic Semantics
- 3 Abstract Interpretation
- 4 Interval Analysis