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THE PERCEPTRON: A PROBABILISTIC MODEL FOR  
INFORMATION STORAGE AND ORGANIZATION  
IN THE BRAIN <sup>1</sup>

F. ROSENBLATT

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Presenter: Daniel Maturana

# Frank Rosenblatt, PhD (1928 – 1971)

- Background: Psychology
- Undergrad, PhD and teacher at Cornell



# Introduction: 3 questions about biological systems

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- The textbook linear perceptron is a special case!

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- Advocates use a probabilistic analysis instead, arguing this is more realistic for biological intelligence
- Part of a deeper split between “discrete” A.I. and more “statistical” approaches.

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- ③ After exposure to large sample of stimuli, “similar” stimuli will activate same sets of cells.
- ④ Positive and negative reinforcement influences connection formation.
- ⑤ *Similarity* is not a necessary attribute of the stimuli, but depends on the perceiving system, which evolves though interaction with a given environment.

# The organization of a perceptron

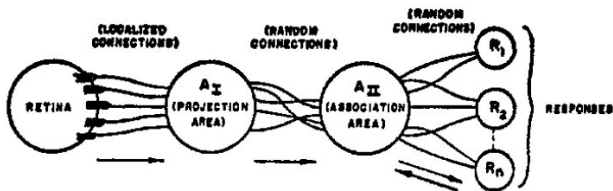


FIG. 1. Organization of a perceptron.

- S-points: sensory cells
- A-units: association cells
- R-units: response cells

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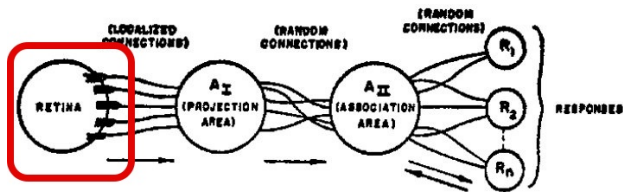


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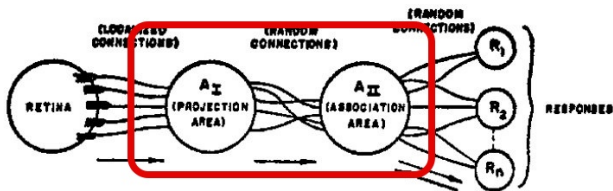


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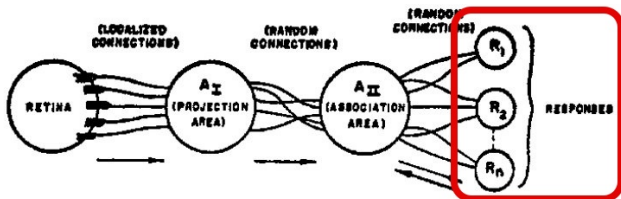


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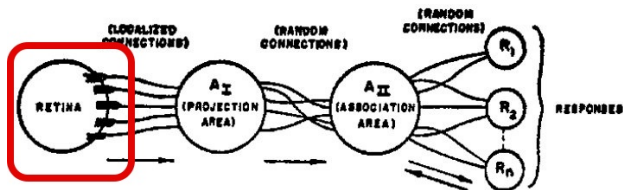


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- “Sensory cells” activated by stimuli
- In practice modelled after the retina
- Activation is assumed to be discrete

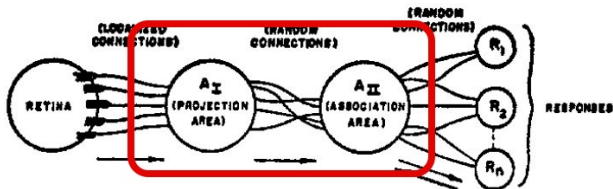


FIG. 1. Organization of a perceptron.

- “Association” units.
- Summation unit w/ threshold

$$\text{output} = \text{sgn} \left[ \sum \text{excitatory} - \sum \text{inhibitory} - \theta \right]$$

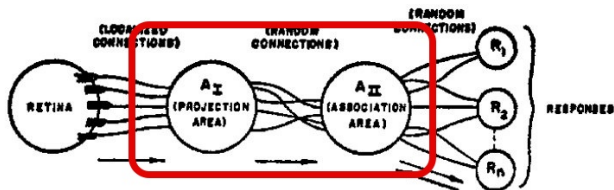


FIG. 1. Organization of a perceptron.

- A<sub>1</sub>-units: receive connections from S-points. ("feature detectors")
- A<sub>2</sub>-units: receive random input from A<sub>1</sub> units.



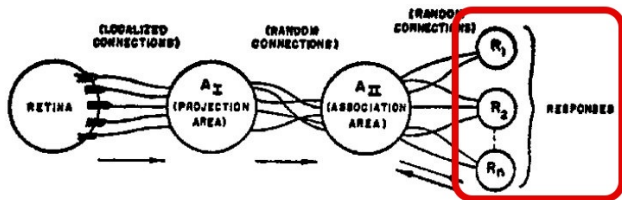


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- “Response-cells”, or output

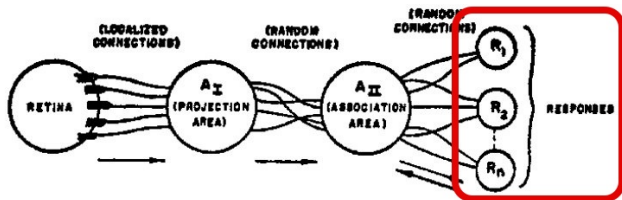


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- “Response-cells”, or output
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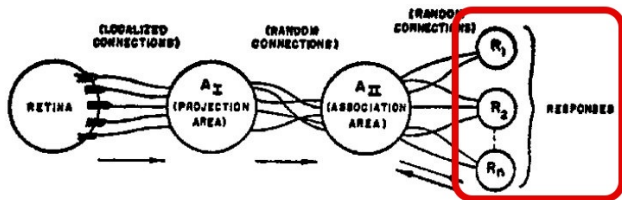


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- “Response-cells”, or output
- Reciprocal connections to  $A_2$  cells.
- The feedback connections create a “winner-take-all” situation

# Learning with feedback

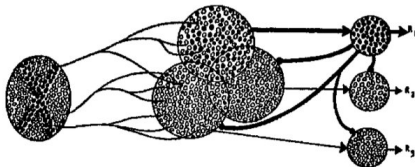


FIG. 3A. Predominant phase. Inhibitory connections are not shown. Solid black units are active.

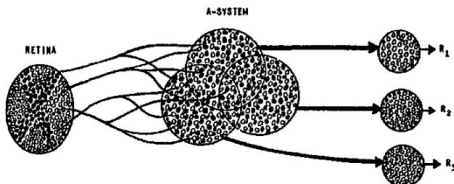


FIG. 3B. Postdominant phase. Dominant subset suppresses rival sets. Inhibitory connections shown only for  $R_1$ .

FIG. 3. Phases of response to a stimulus.

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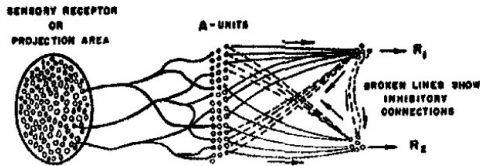


FIG. 2A. Schematic representation of connections in a simple perceptron.

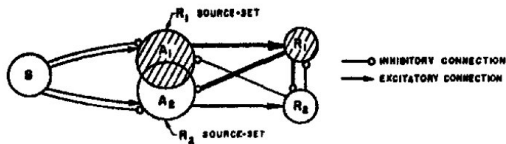


FIG. 2B. Venn diagram of the same perceptron (shading shows active sets for  $R_1$  response).

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- The paper considers and analyzes variations of the perceptron, none exactly the textbook perceptron

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- Similar to what we might call weights
- Biological meaning of “activation” is vague: it could be amplitude, frequency, latency, probability of transmission

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- In a broad characterization, learning is done by reinforcement of active cells (cf. Hebb)
- Three systems with different value dynamics
  - $\alpha$ -system: each active cell gains unit activation
  - $\beta$ -system: active cells gain a “mean” activation
  - $\gamma$ -system: total activation is constant; active cells gain value, inactive cells lose value

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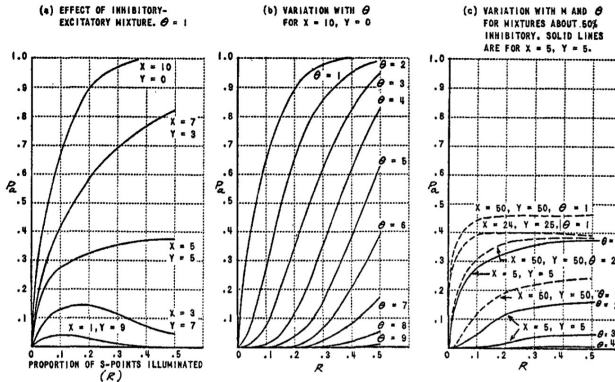
- $\alpha$ -system is closest to the textbook perceptron, in “bivalent” variant (described below).
- Nothing has been said yet about supervised training
- Dynamic, “self-organizing” aspects not explored in this paper

# Analysis of “predominant” phase

- Before learning takes place
- Questions:
  - How does parameters of perceptron affect activation?
  - How does characteristics of stimuli affect activation?

# Analysis of “predominant” phase

- Focuses on two quantities:
  - $P_a$ : expected proportion of A-units activated.
  - $P_c$ : conditional probability that A-unit that responds to stimulus  $S_1$  responds to another stimulus  $S_2$ .



Depends on threshold  $\theta$ , size of stimuli and type of connections

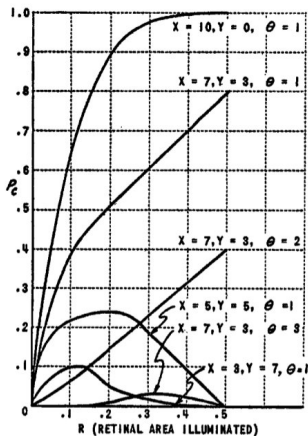


FIG. 5.  $P_c$  as a function of  $R$ , for nonoverlapping stimuli.

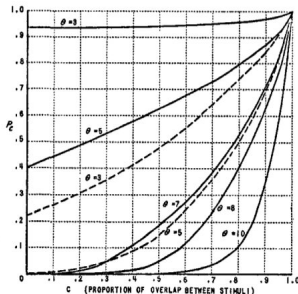


FIG. 6.  $P_c$  as a function of  $C$ .  $X = 10$ ,  $Y = 0$ . Solid lines:  $R = .5$ ; broken lines:  $R = .2$ .

Depends mostly on overlap between stimuli

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- After training, each response unit has its own set of (possibly overlapping) input/weights
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- Two possible decision criteria for response:
  - $\mu$ -system: greatest mean value response is first
  - $\Sigma$ -system: greatest sum response is first

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- Two experiments are considered, “ideal” (memorize random stimuli) and “differentiated” (learn “classes”).
- Analysis of expected probability of correct recall (for ideal case) and correct generalization (for differentiated case).
- Basic equation is

$$P = (1 - (1 - P_a)^{N_s}) \phi \left( \frac{c_1 n_{s_r} + c_2}{\sqrt{c_3 n_{s_r}^3 + c_4 n_{s_r}}} \right)$$

with constants depending on details of the experiment.

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- 4 After learning, test if system can recall labels

# Results of “ideal” experiment

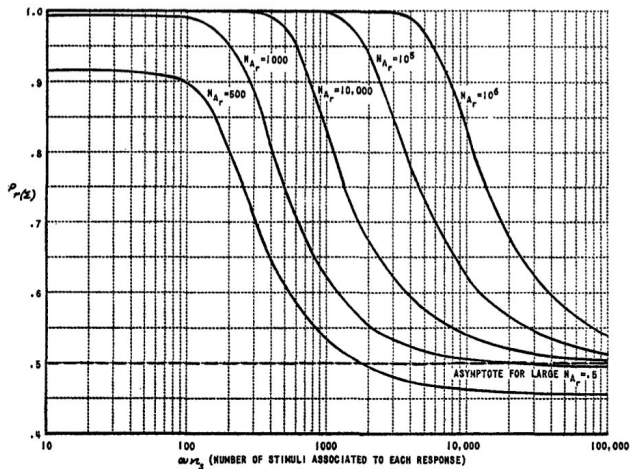


FIG. 7.  $P_r(\Sigma)$  as function of  $\omega n_s$ , for discrete subsets.  
( $\omega_e = 0$ ,  $P_a = .005$ . Ideal environment assumed.)

Performance gets worse as samples increase.

# Results of "ideal" experiment

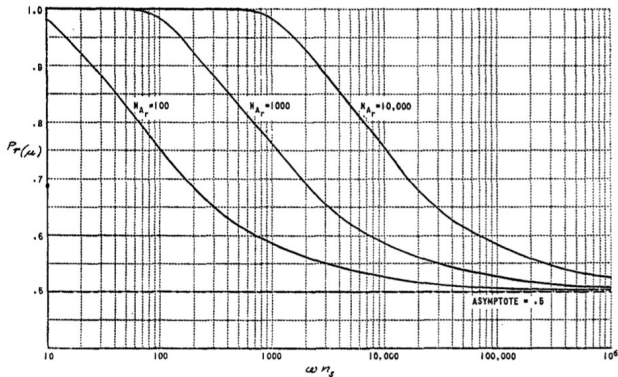


FIG. 8.  $P_r(\mu)$  as function of  $\omega n_g$ . (For  $P_a = .07$ ,  $\omega_e = 0$ . Ideal environment assumed.)

# Results of "ideal" experiment

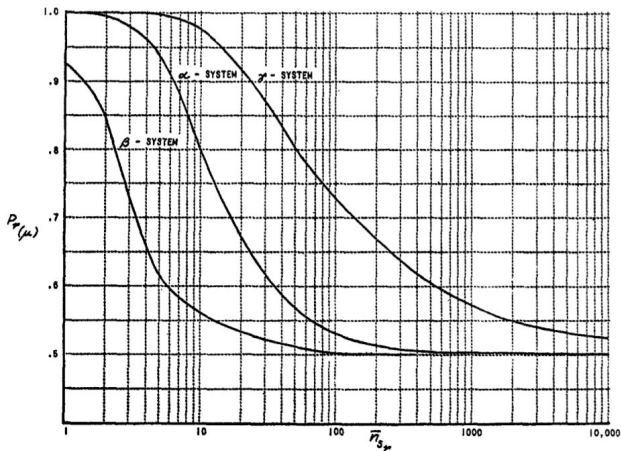


FIG. 10. Comparison of  $\alpha$ ,  $\beta$ , and  $\gamma$  systems, for variable  $n_{s,r}$   
 ( $N_R = 100$ ,  $\sigma_{n_{s,r}} = .5\bar{n}_{s,r}$ ,  $N_A = 10,000$ ,  $P_a = .07$ ,  $\omega = .2$ ).

# Results of “ideal” experiment

- Empirically  $\gamma$ -system seems better
- Empirically  $\mu$  rule is better, except for  $\gamma$ -systems

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- 4 After learning, test if system can generalize labels to unseen stimuli

From equation of expected recall/generalization, as  $n_s \rightarrow \infty$ ,

$$P = (1 - (1 - P_a)^{N_s}) \phi \left( \frac{c_1}{\sqrt{c_3}} \right)$$

*"In the limit it makes no difference whether the perceptron has seen a particular test stimulus before or not; if the stimuli are drawn from a differentiated environment, the performance will be equally good in either case."*

- Let  $P_{c\alpha\beta}$  be the expected value of  $P_c$  for pairs of stimuli drawn from classes  $\alpha$  and  $\beta$

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- If  $P_{c11} > P_a > P_{c12}$ , performance of perceptron as samples tend to infinity will be better than chance.

# Results of "differentiated" experiment

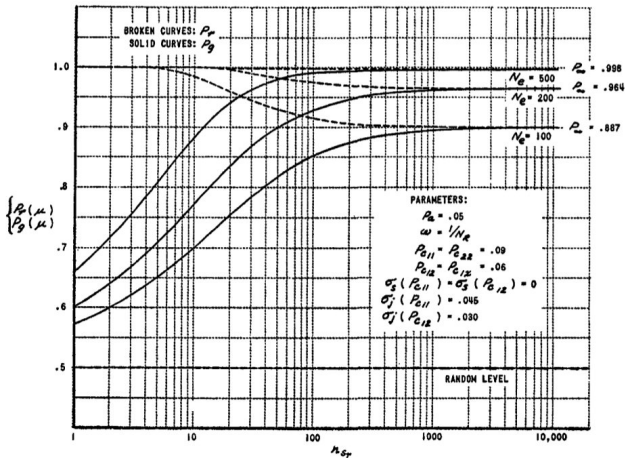


FIG. 11.  $P_r$  and  $P_g$  as function of  $n_{sr}$ . Parameters based on square-circle discrimination.

- No analysis of what kind of things can be learned
- No analysis of convergence
- Advocates use of binary coding (as opposed to one hot) for better performance with large number of responses

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- with  $\alpha$ -system, most similar to the textbook perceptron!

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- Consideration of time, to analyze velocities, sequences, etc.

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- Consideration of time, to analyze velocities, sequences, etc.
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- Self-supervised concept formation
- Intermodal (audio/photo) association

- Can do pattern recognition, associative learning, selective attention/recall, trial-and-error learning



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- But bad at “relative judgment” and “abstraction of relationships”.
- Similar to “brain-damaged patients”.

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- The model is parsimonious, verifiable and of high explanatory power.

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  - Practical and theoretical performance limitations