### GRINCH: a matrix factorization method to discover structural units of chromosomes

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#### Long-range gene regulation by distal elements

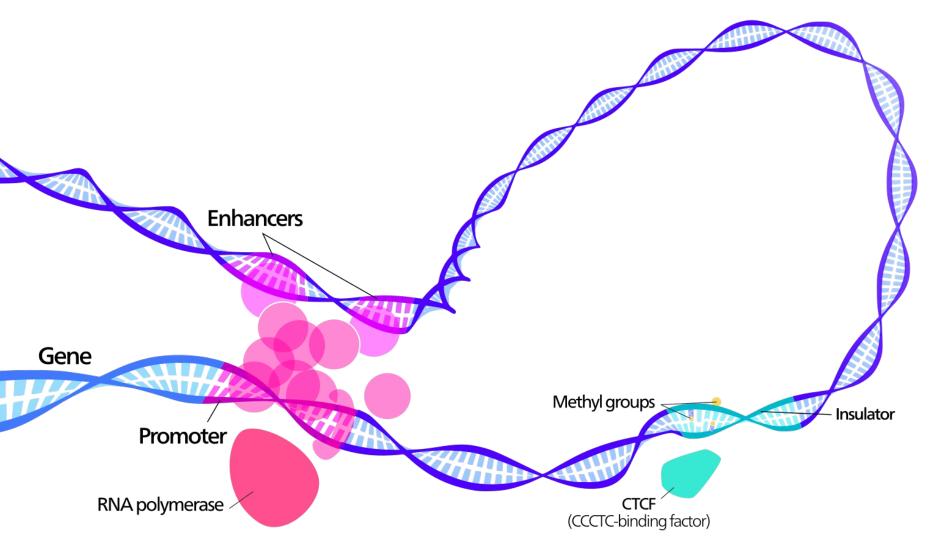
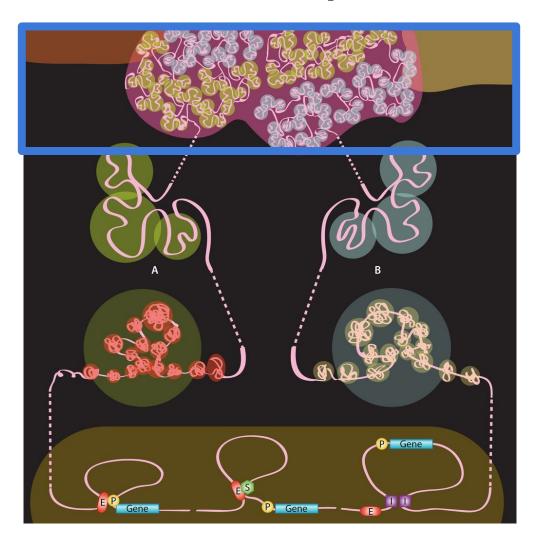
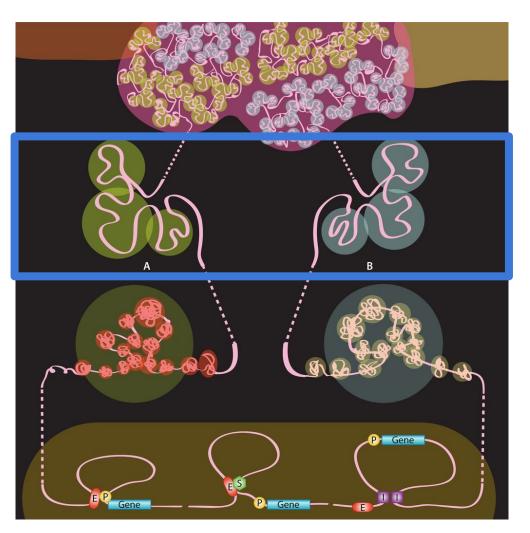


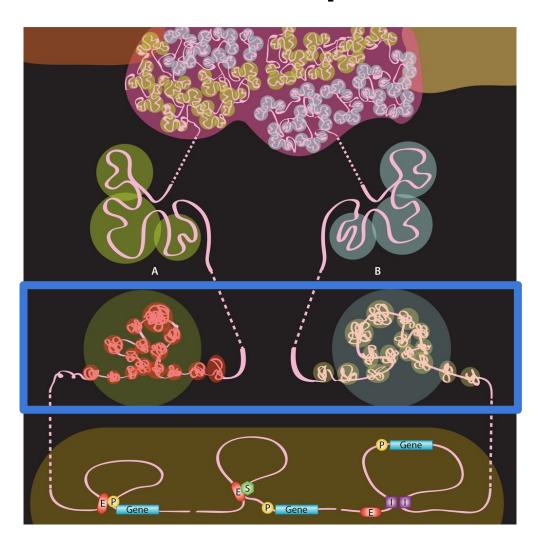
Illustration by Kelvin Ma

Chromosomal territories

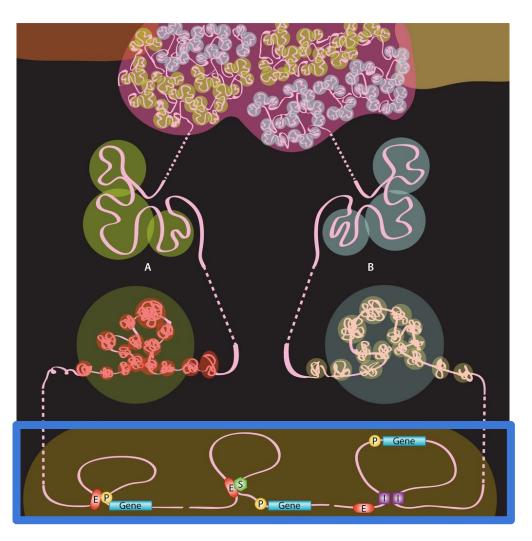




Compartments



TADs and sub-TADs



Chromatin loops

# **Existing methods for finding topological units of chromosomes**

Method	Algorithm	Objective			
<b>Directionality</b> (Dixon et al. Nature 2012)	НММ	Find domains that maximize the difference between intra- and inter-domain interaction levels			
<b>Armatus</b> (Filippova et al. Algorithm. Mol. Biol. 2014)	Dynamic programming	Find domains that maximize intra-domain sum of contact counts			
Arrowhead (Rao et al. Cell 2014)	Dynamic programming	Find <i>boundaries</i> defining domains with observed counts significantly different from expected			
Insulation Score (Crane et al. Nature 2015)	Aggregation, ratio calculation	Find domains with significantly higher ratio of observed counts to expected			
<b>3DNetMod</b> (Norton et al. Nature 2018)	Network modularity maximization	Find communities within network with maximal modularity			
rGMAP(Yu et al. Nature 2017)	Gaussian Mixture model	Find two components (intra- vs inter- domain interactions) and boundaries between the two			

### GRINCH: a method to discover topological units of chromosomes from Hi-C data



### GRINCH: a method to discover topological units of chromosomes from Hi-C data



- Non-negative factorization (NMF)
- Graph regularization

	A	P	A	A	A	A	A
<b>&gt;</b>	5			5			
<b>&gt;</b>	4		4	4		5	4
<b>&gt;</b>	4		4	4		3	4
	3	1	4	3		2	4
		4	2		3		1
<b>•</b>		4			4	5	
<b>•</b>		3				4	
<b>&gt;</b>		2			3	2	

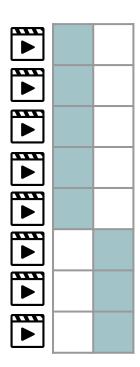
	P	P	P	P	P	P	A
	5			5			
<b>&gt;</b>	4		4	4		5	4
<b>&gt;</b>	4		4	4		3	4
<b>&gt;</b>	3	1	4	3		2	4
<b>&gt;</b>		4	2		3		1
<b>&gt;</b>		4			4	5	
<b>&gt;</b>		3				4	
<b>&gt;</b>		2			3	2	

$$X = \mathbb{R}^{n \times m}$$

A	A	A	A	A	A	A

	•	<b>* *</b>	•	•	•	•	• •
<b>•</b>	5			5			
<b>&gt;</b>	4		4	4		5	4
<b>•</b>	4		4	4		3	4
<b>•</b>	3	1	4	3		2	4
<b>•</b>		4	2		3		1
<b>•</b>		4			4	5	
<b>•</b>		3				4	
<b>&gt;</b>		2			3	2	

$$X = \mathbb{R}^{n \times m}$$



$$U = \mathbb{R}^{n \times k}$$

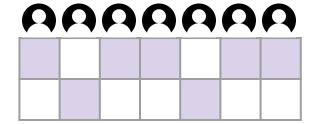
P	A	A	A	A	P	A

	•	<b>T</b>	<b>* *</b>	<b>Y Y</b>	<b>Y Y</b>	<b>* *</b>	<b>Y Y</b>
<b>•</b>	5			5			
<b>•</b>	4		4	4		5	4
<b>•</b>	4		4	4		3	4
<b>N</b>	3	1	4	3		2	4
<b>•</b>		4	2		3		1
<b>•</b>		4			4	5	
<b>•</b>		3				4	
<b>&gt;</b>		2			3	2	

$$X = \mathbb{R}^{n \times m}$$

<b>•</b>	
<b>•</b>	
<b>•</b>	
<b>•</b>	
<b>&gt;</b>	
<b>•</b>	
<b>•</b>	

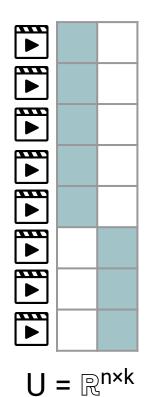
$$U = \mathbb{R}^{n \times k}$$



$$V^T = \mathbb{R}^{k \times m}$$

	P	P	P	P	P	P	P
<b>N</b>	5			5			
<b>&gt;</b>	4		4	4		5	4
<b>&gt;</b>	4		4	4		3	4
	3	1	4	3		2	4
<b> </b>		4	2		3		1
<b>&gt;</b>		4			4	5	
		3				4	
<b>M</b>		2			3	2	

$$X = \mathbb{R}^{n \times m}$$



P	P	A	P	A	A	A

$$V^T = \mathbb{R}^{k \times m}$$

# Graph regularization incorporates prior knowledge in network form

	P	A	P	P	A	P	A
<b>•</b>	5			5			
<b> </b>	4		4	4		5	4
	4		4	4		3	4
<b> </b>	3	1	4	3		2	4
		4	2		3		1
<b> </b>		4			4		
<b>•</b>		3					
<b>N</b>		2			3	2	

## Graph regularization incorporates prior knowledge in network form

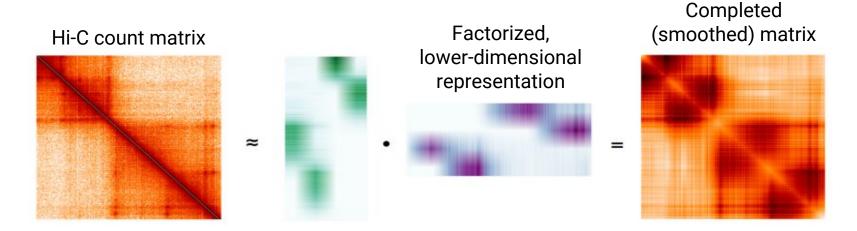
	P	P	A	P	P	A	P	$\sim$ $\Omega$
<b>•</b>	5			5				
<b>&gt;</b>	4		4	4		5	4	
<b>•</b>	4		4	4		3	4	Ω
<b>•</b>	3	1	4	3		2	4	
		4	2		3		1	
<b>•</b>		4			4			222222
<b>•</b>		3						
<b>&gt;</b>		2			3	2		

### Graph regularization incorporates prior knowledge in network form

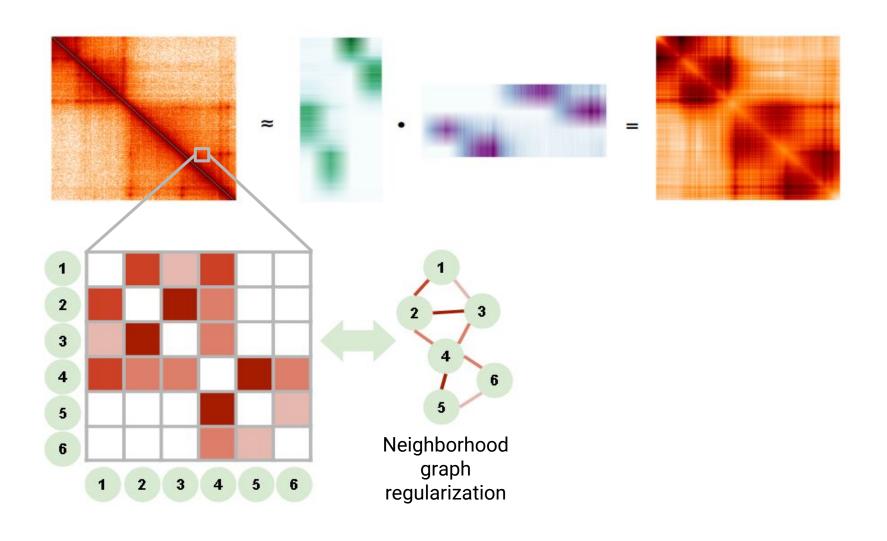
	A	A	A	A	A	A	A	- A A
<b>&gt;</b>	5			5				
<b>&gt;</b>	4		4	4		5	4	
<b>•</b>	4		4	4		3	4	
<b>&gt;</b>	3	1	4	3		2	4	
<b>•</b>		4	2		3		1	
<b>&gt;</b>		4			4			AAAAAAA
<b>&gt;</b>		3						
<b>&gt;</b>		2			3	2		

Minimize  $O = ||\mathbf{X} - \mathbf{U}\mathbf{V}^T||^2 + \lambda \text{Tr}(\mathbf{V}^T \mathbf{L} \mathbf{V}) + \lambda \text{Tr}(\mathbf{U}^T \mathbf{L} \mathbf{U})$ 

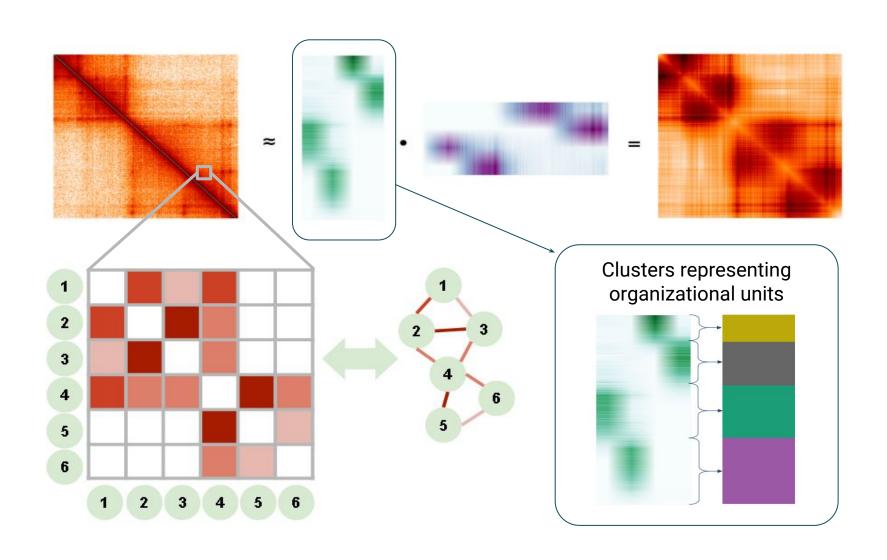
## GRINCH: graph-regularized NMF and clustering to analyze Hi-C data



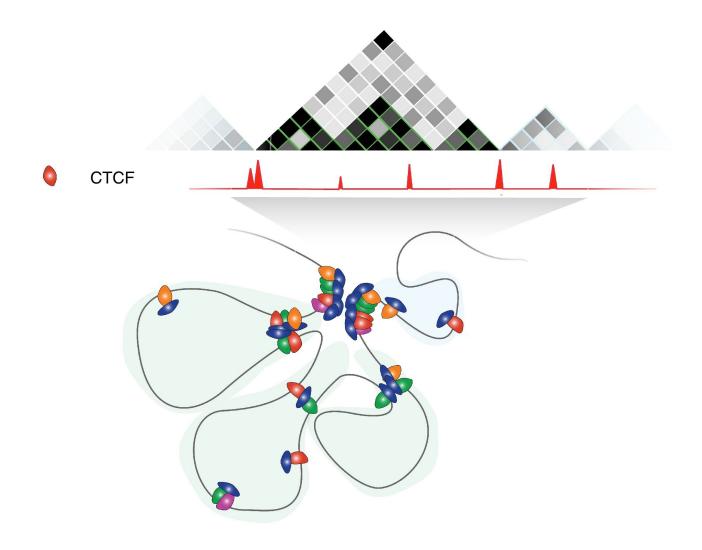
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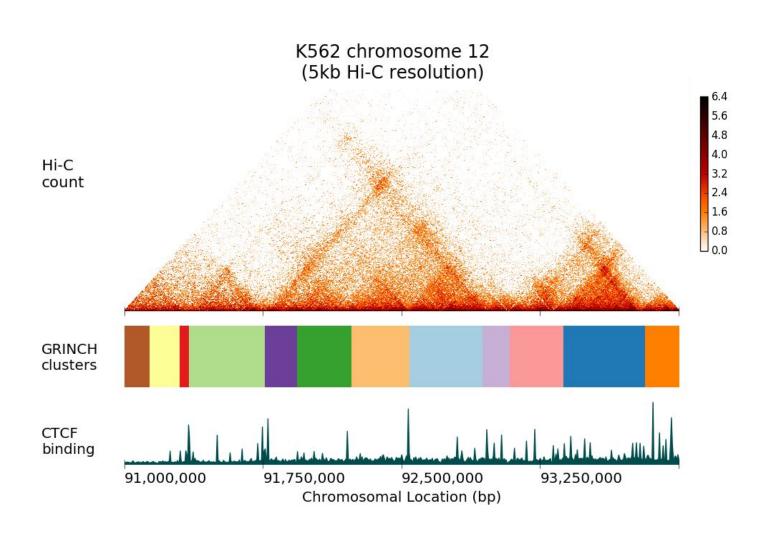
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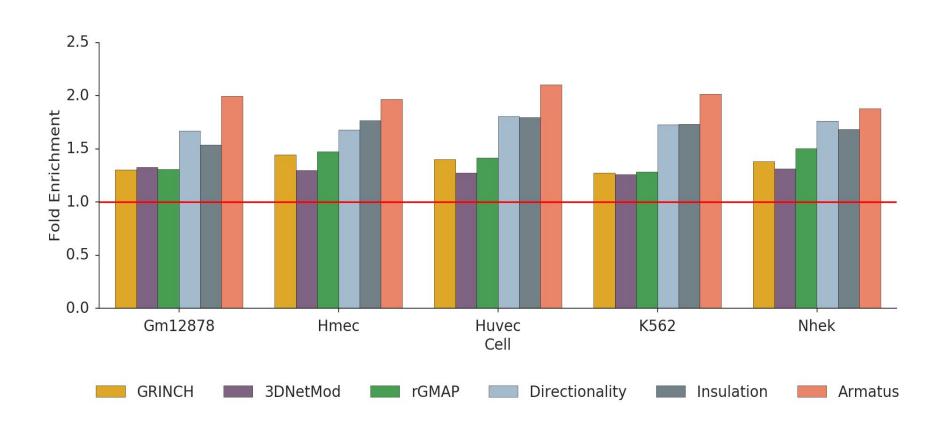
### CTCF binding is associated with TAD boundaries



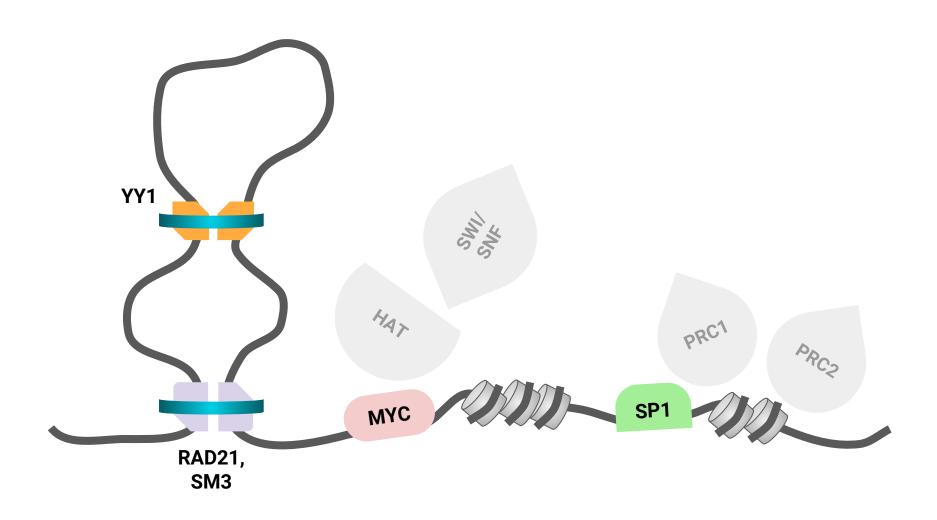
## GRINCH cluster boundaries are associated with CTCF signals



## GRINCH cluster boundaries are significantly enriched in CTCF binding



# Assessing cluster boundaries for architectural proteins



# GRINCH cluster boundaries are enriched for different architectural proteins

	MYC					RAD21					1	S	MC	3	8			SP1		8	YY1				
	Gm12878	Hmec	Huvec	K562	Nhek	Gm12878	Hmec	Huvec	K562	Nhek	Gm12878	Hmec	Huvec	K562	Nhek	Gm12878	Hmec	Huvec	K562	Nhek	Gm12878	Hmec	Huvec	K562	Nhek
GRINCH	1.2	1.6	1.3	1.2	1.6	1.4	1.7	1.5	1.3	1.5	1.5	1.8	1.5	1.3	1.5	1.3	1.5	1.4	1.2	1.6	1.1	1.4	1.2	1.2	1.5

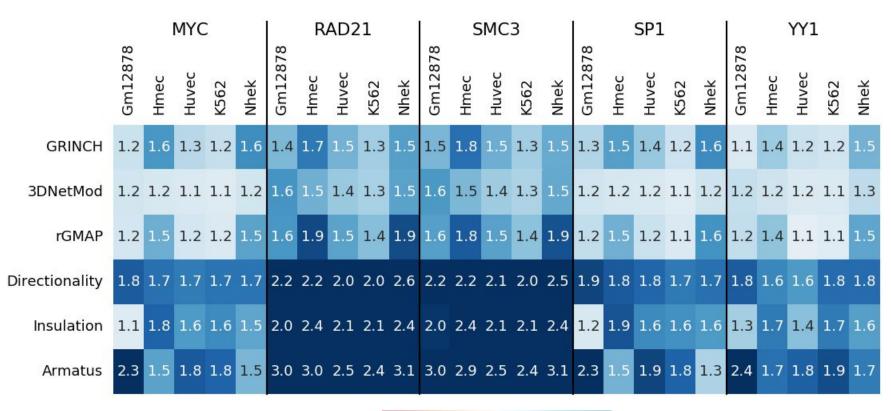


# GRINCH cluster boundaries are enriched for different architectural proteins

	MYC						RAD21					S			1		SP1		8	YY1					
	Gm12878	Hmec	Huvec	K562	Nhek	Gm12878	Hmec	Huvec	K562	Nhek	Gm12878	Hmec	Huvec	K562	Nhek	Gm12878	Hmec	Huvec	K562	Nhek	Gm12878	Hmec	Huvec	K562	Nhek
GRINCH	1.2	1.6	1.3	1.2	1.6	1.4	1.7	1.5	1.3	1.5	1.5	1.8	1.5	1.3	1.5	1.3	1.5	1.4	1.2	1.6	1.1	1.4	1.2	1.2	1.5
3DNetMod	1.2	1.2	1.1	1.1	1.2	1.6	1.5	1.4	1.3	1.5	1.6	1.5	1.4	1.3	1.5	1.2	1.2	1.2	1.1	1.2	1.2	1.2	1.2	1.1	1.3
rGMAP	1.2	1.5	1.2	1.2	1.5	1.6	1.9	1.5	1.4	1.9	1.6	1.8	1.5	1.4	1.9	1.2	1.5	1.2	1.1	1.6	1.2	1.4	1.1	1.1	1.5

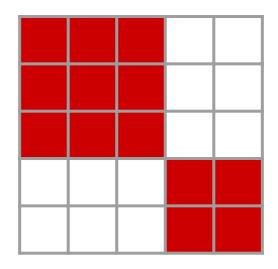


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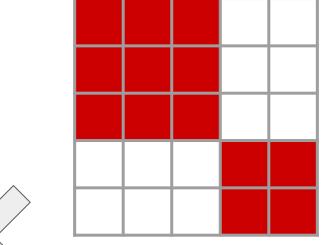




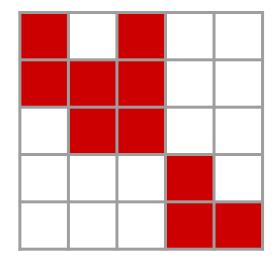
### Simulating sparsity to test stability



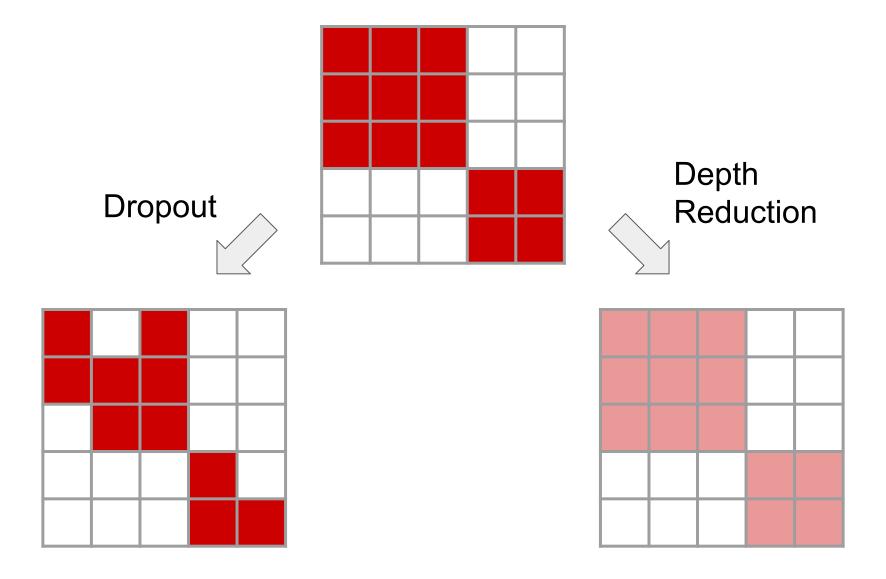
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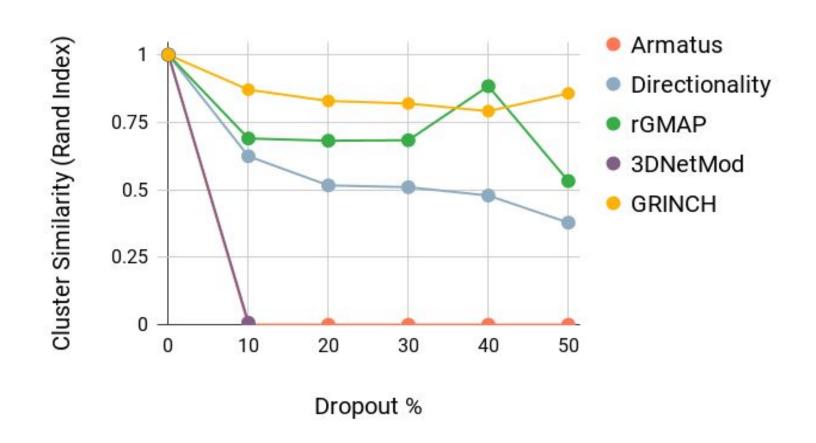




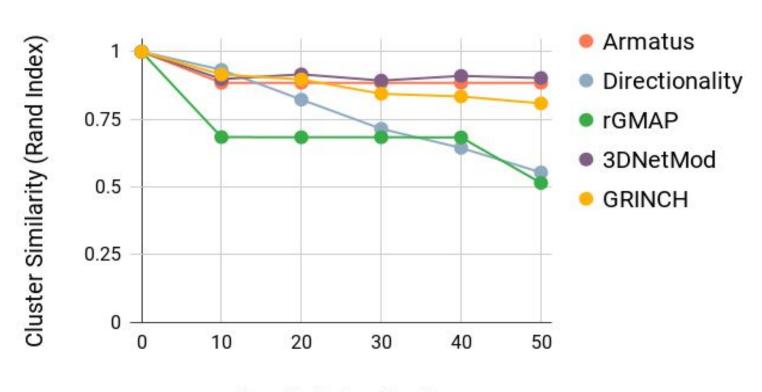
#### Simulating sparsity to test stability



#### **GRINCH** is the most stable method to dropout

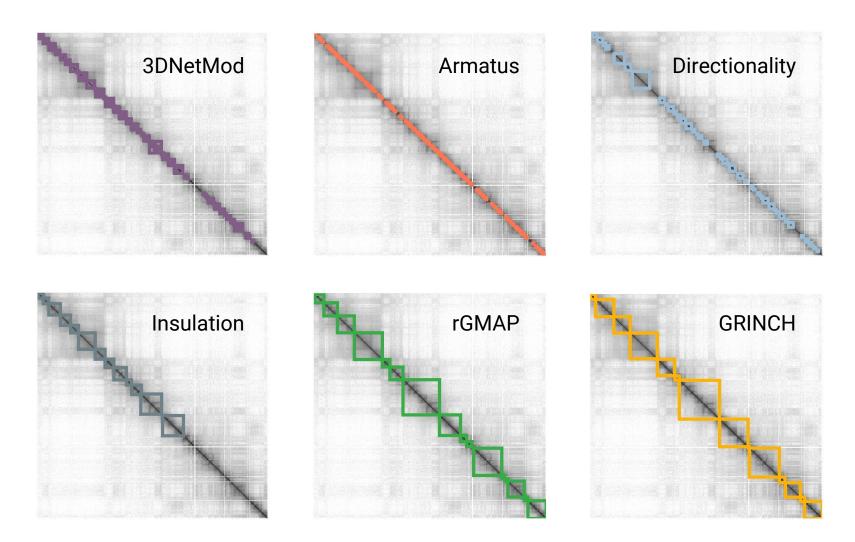


#### **GRINCH** is robust to lower-depth data

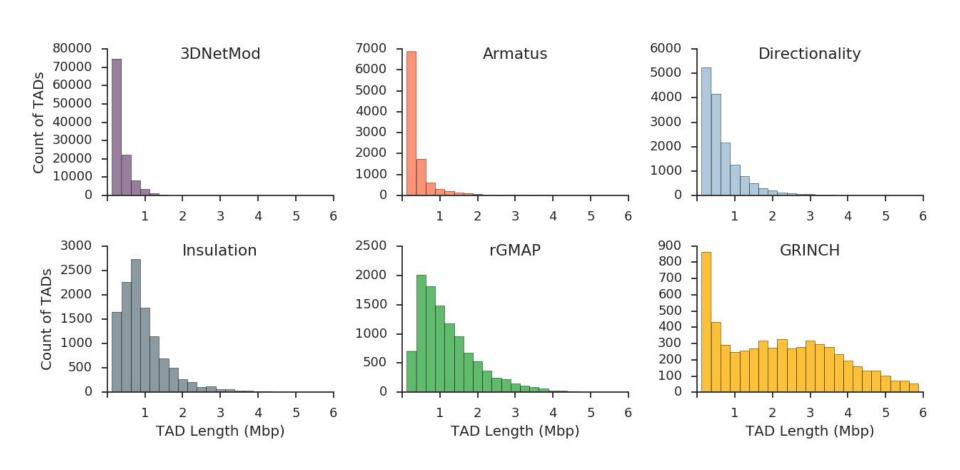


Depth Reduction %

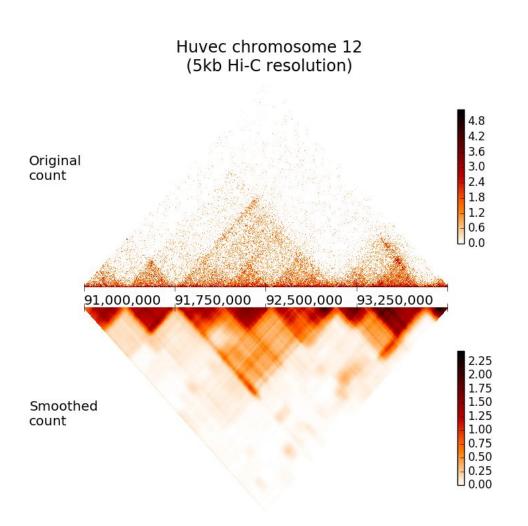
#### GRINCH captures a wide range of domain sizes



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# GRINCH can smooth Hi-C matrix through matrix completion



#### Conclusion

- GRINCH is an NMF-based method with graph regularization to find structural units of the genome.
- GRINCH finds clusters with significant boundary element enrichment.
- GRINCH is very stable to noisy datasets.
- GRINCH can find TADs of diverse lengths.
- GRINCH can smooth input Hi-C matrix.

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Poster A-73 on GRINCH

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