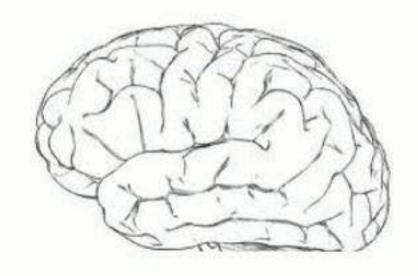
A framework for understanding the neocortex and building intelligent machines



Microsoft February 21, 2019

Jeff Hawkins Subutai Ahmad





Mission

- Reverse engineer the neocortex
 - biologically accurate theories
 - test via empirical data and simulation
 - all our research is published and open
- Apply neocortical theory to Al
 - improve current techniques
 - move toward truly intelligent systems

The Human Neocortex



75% of brain's volume

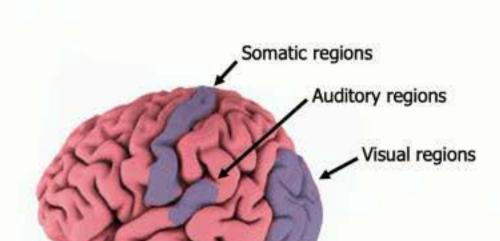
Organ of intelligence

Q. What does the neocortex do?

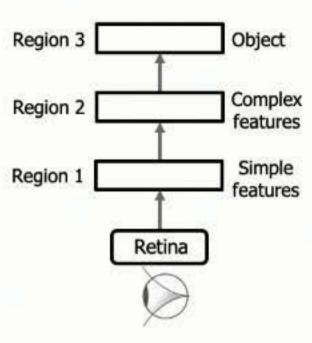
A. The neocortex learns a model of the world

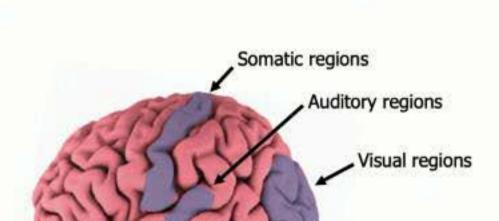
- Thousands of objects, how they look, feel, and sound
- Where objects are located
- How objects behave
- Physical and abstract objects

The model is predictive, and creates goal-oriented behaviors

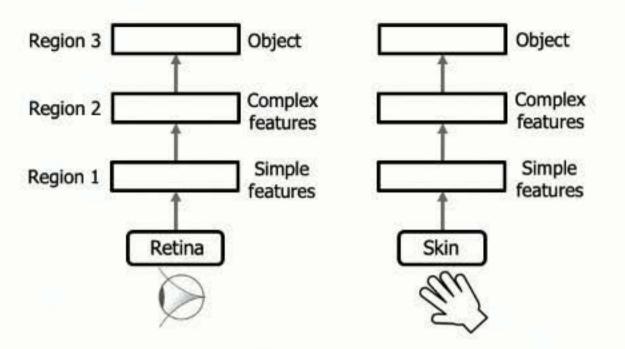


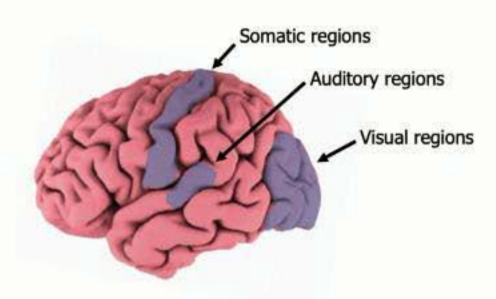
Classic view



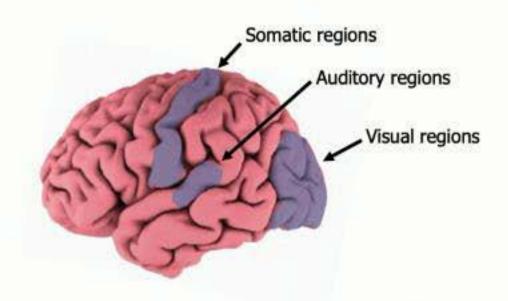


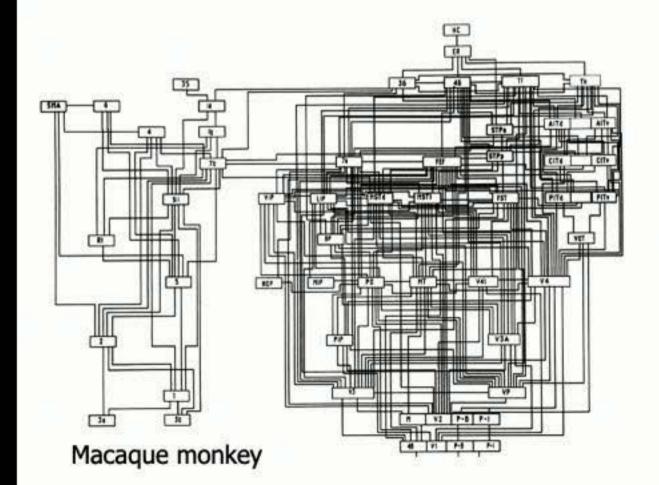
Classic view



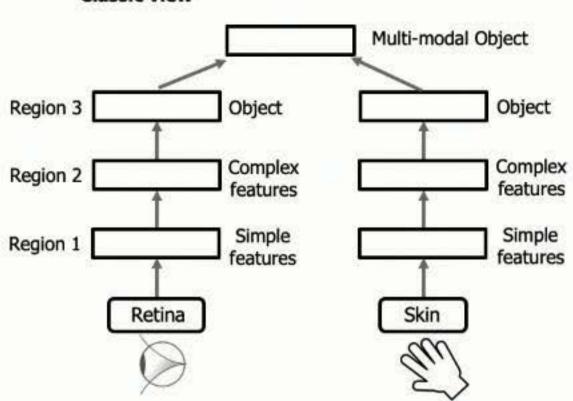


Region 3 Object Object Region 2 Complex features Region 1 Simple features Retina Skin





Classic view

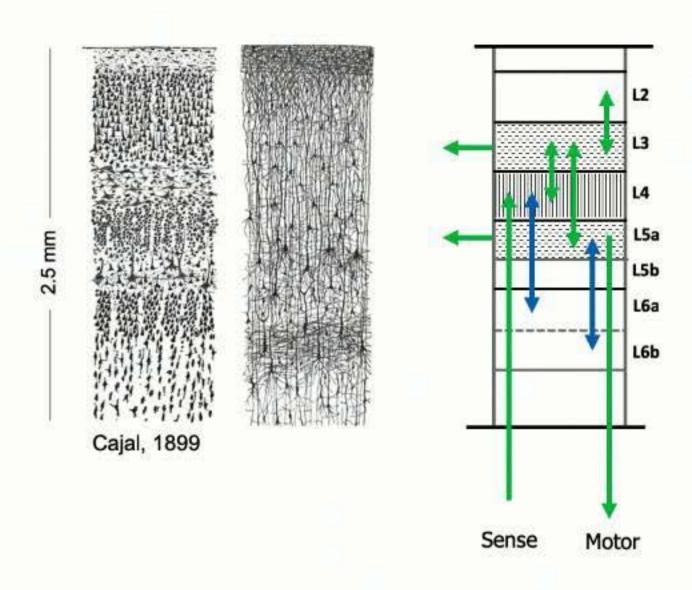


Most connections between regions are not hierarchical

- 40% of all possible connections exist
- Many regions get input from ten or more other regions

Felleman, van Essen, 1991

Local Circuits



Dozens of neuron types

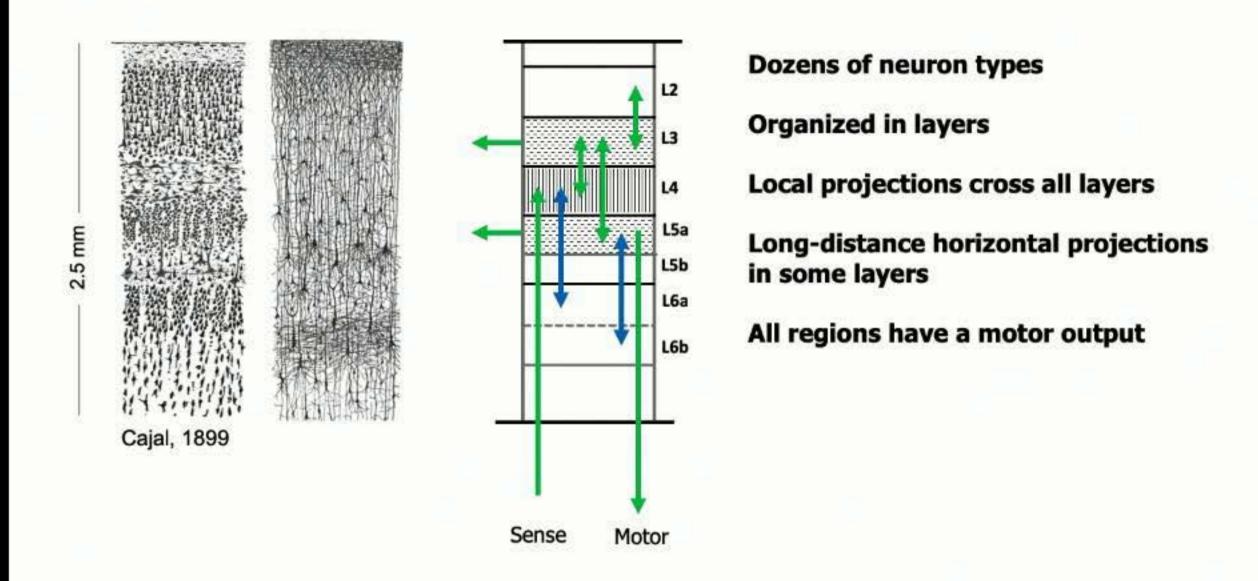
Organized in layers

Local projections cross all layers

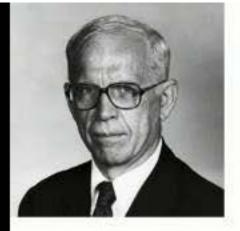
Long-distance horizontal projections in some layers

All regions have a motor output

Local Circuits

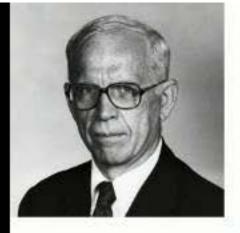


Remarkably the same in every region Complex circuit → complex function



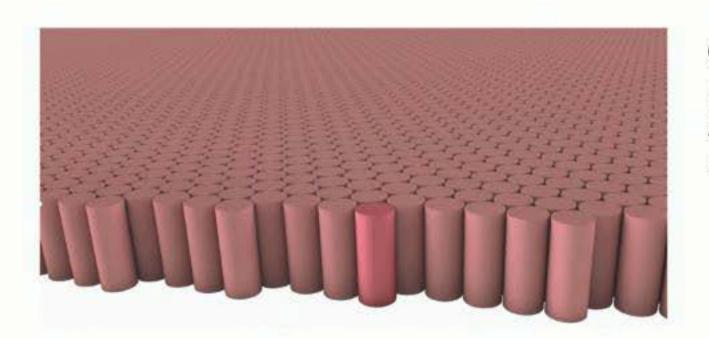
Vernon Mountcastle's Big Idea

- All areas of the neocortex look the same because they perform the same intrinsic function.
- What makes one region visual and another auditory is what it is connected to.
- 3) A "cortical column" (1mm²) is the unit of replication.



Vernon Mountcastle's Big Idea

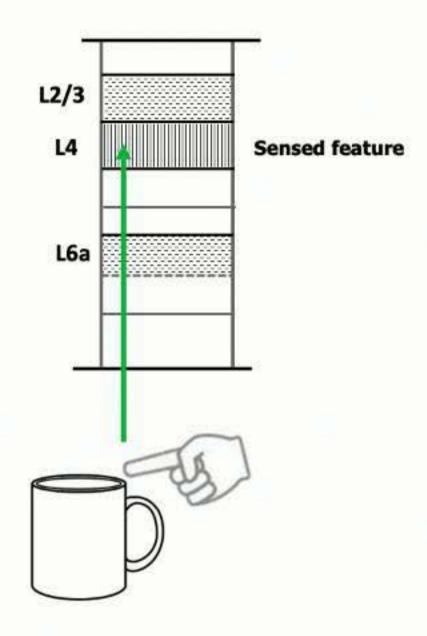
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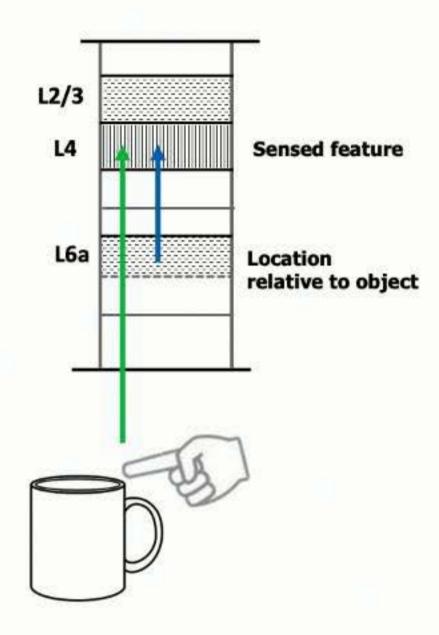
Corollary:

Every column must perform the same functions as the entire neocortex.

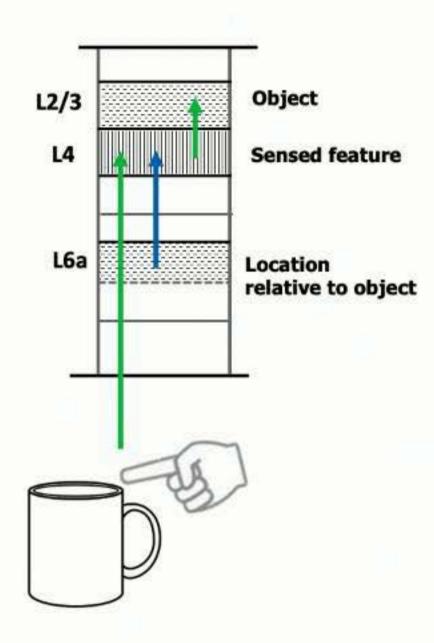
Thought Experiment



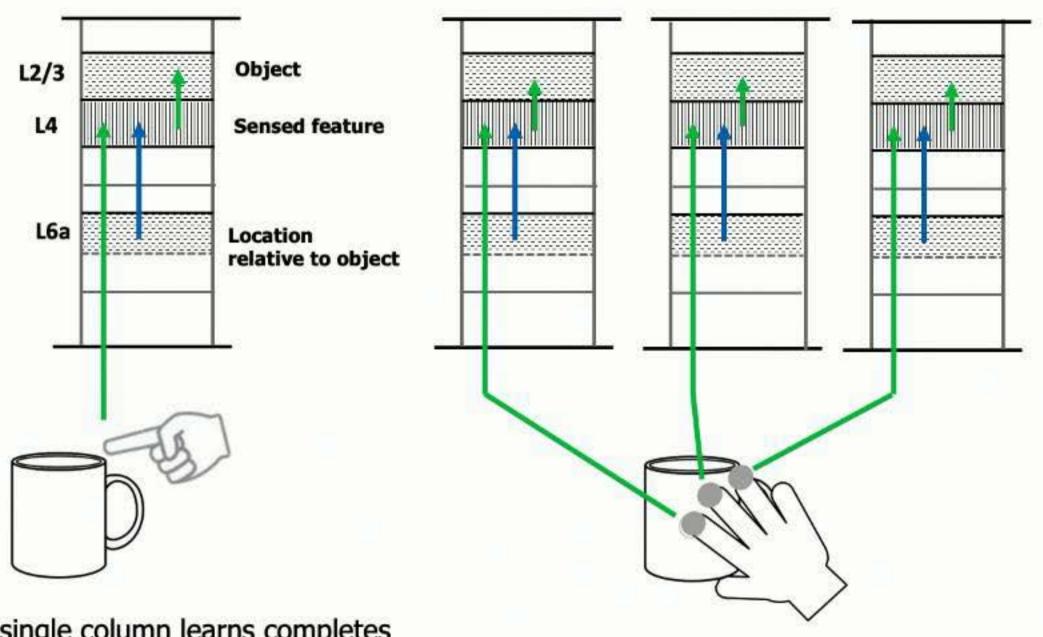
A single column learns completes models of objects by integrating features and locations over time.



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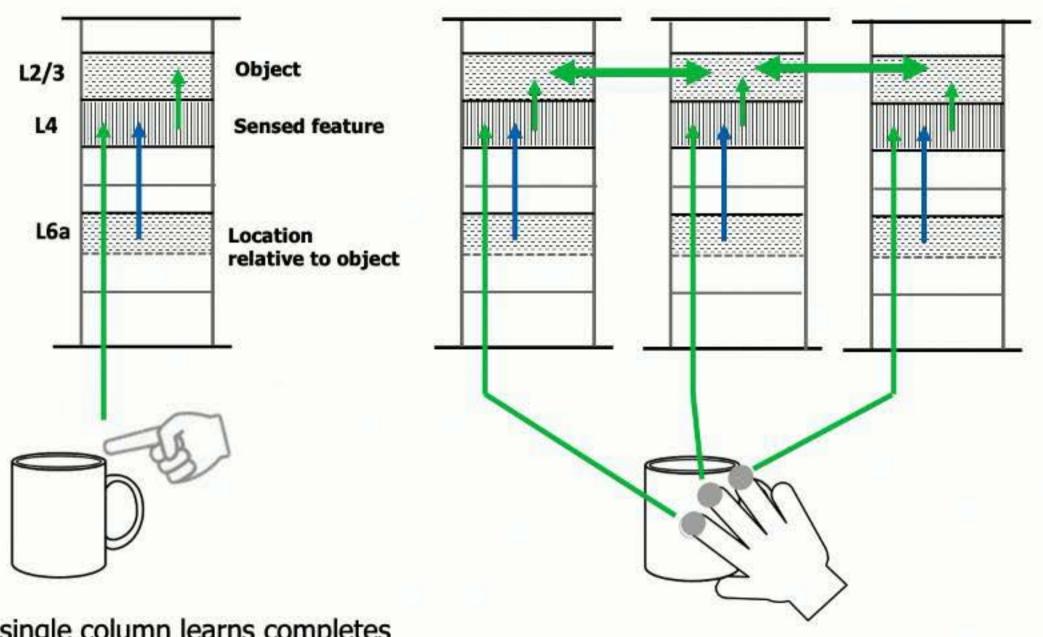


A single column learns completes models of objects by integrating features and locations over time.



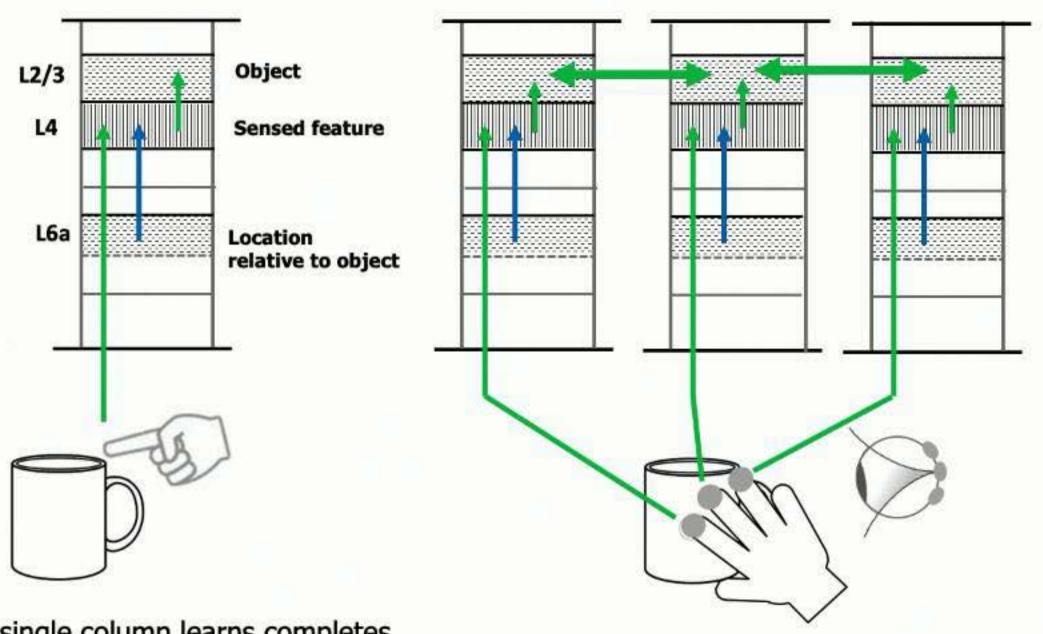
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Multiple columns can infer objects in a single sensation by "voting" on object identity.



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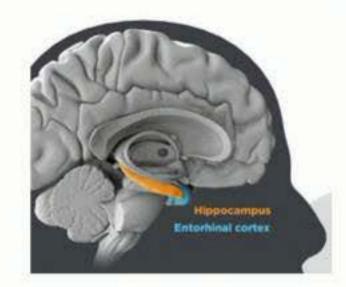
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Multiple columns can infer objects in a single sensation by "voting" on object identity.

Reference Frames in the Brain



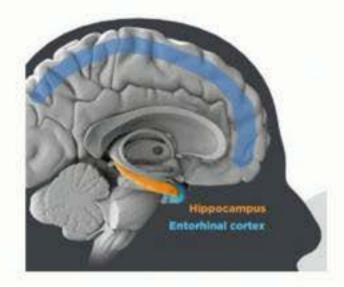


"Grid cells" in entorhinal cortex

- Create reference frames for environments
- Represent location of body
- Needed for mapping environments and moving body

Moser, 2005

Reference Frames in the Brain





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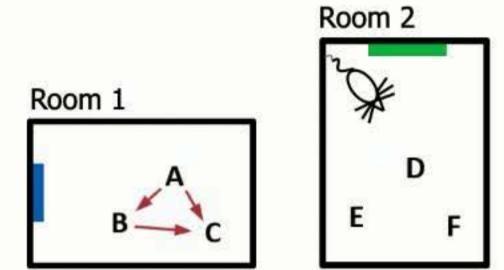
Grid cells exist in every cortical column (hypothesis)

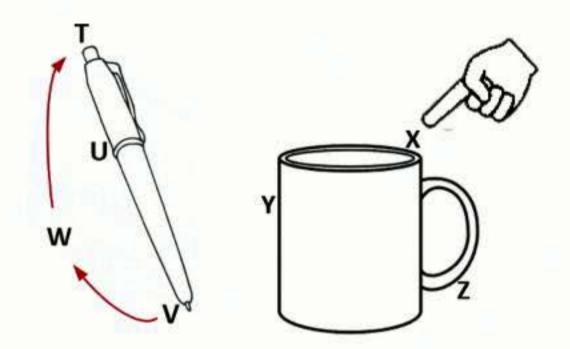
- Create reference frames for objects
- Represent location of column's input
- Needed for learning the structure objects and moving limbs

Hawkins et. al., 2017 Hawkins et. al., 2018 Lewis et. al., 2018

Entorhinal Cortex

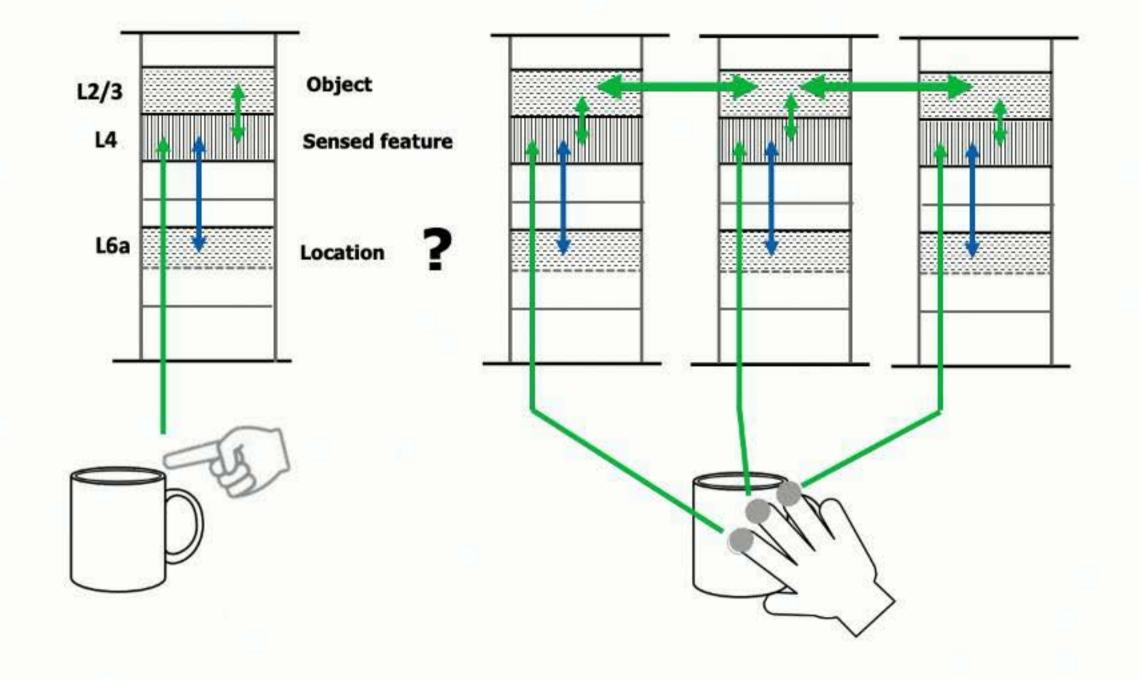
Neocortex

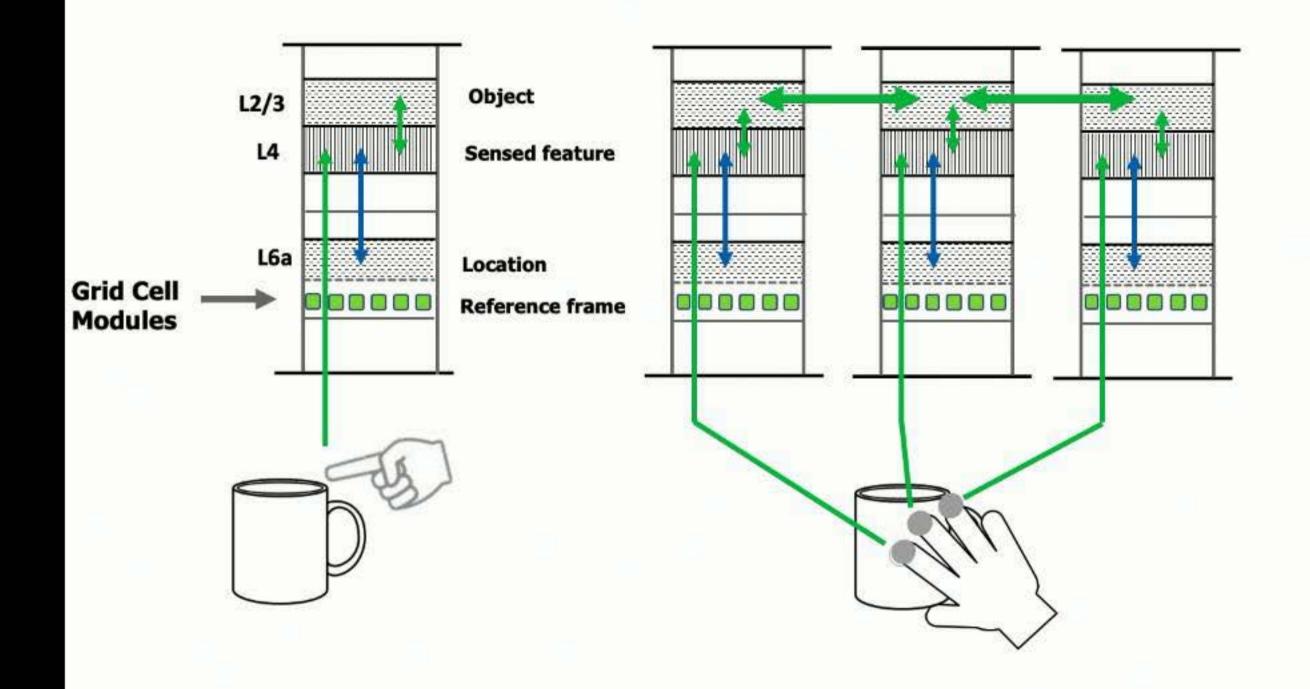




Grid cells represent location of body relative to room.

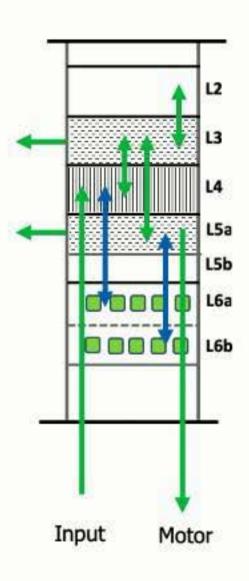
Grid cells represent location of sensor relative to object.





Cortical Columns Are Complete Sensory-motor Modeling Systems

Hawkins et. al., 2018 Klukas et. al., 2019



Two reference frames

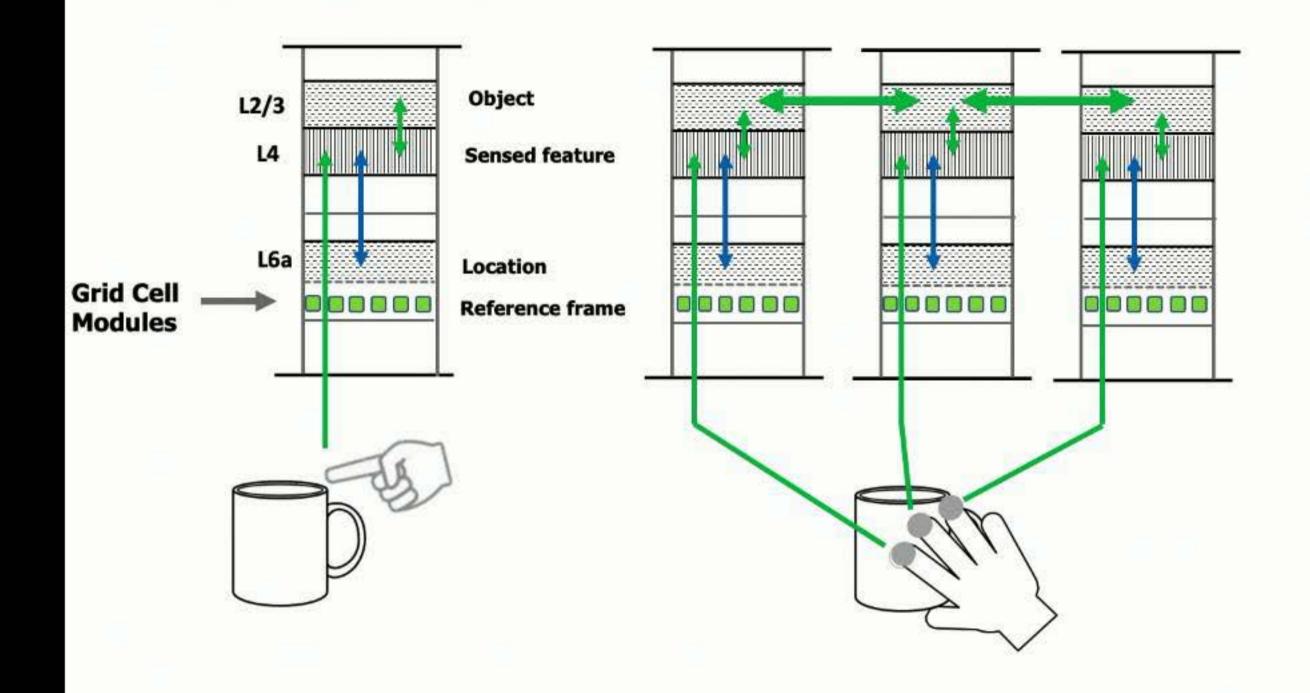
Learns:

- Dimensionality of object
- Morphology
- Changes in morphology (how objects behave)
- Compositional and Recursive structure

Generates motor behaviors

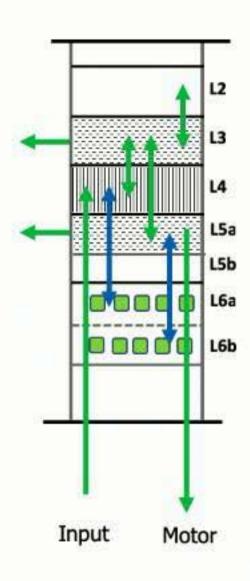
Applies to:

- Physical objects
- Abstract objects



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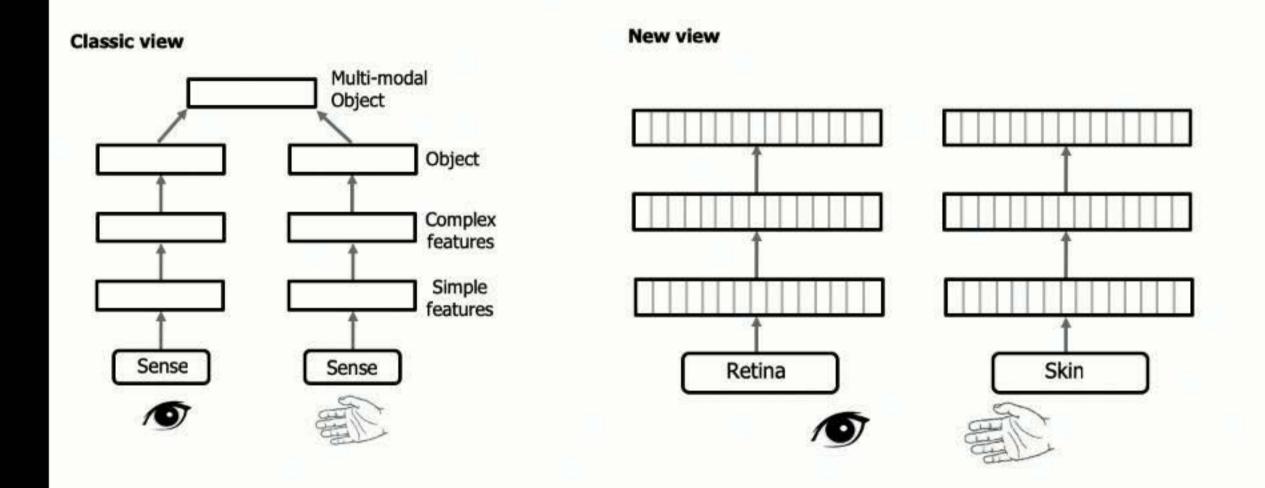
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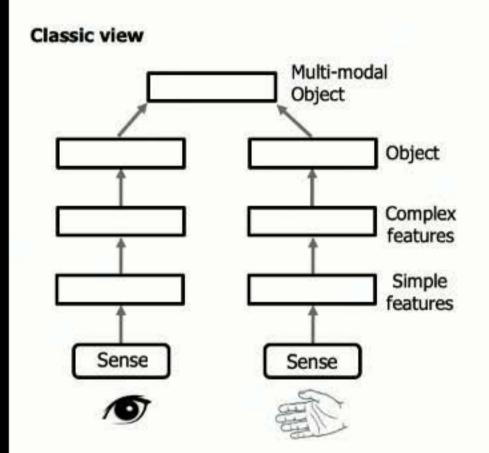
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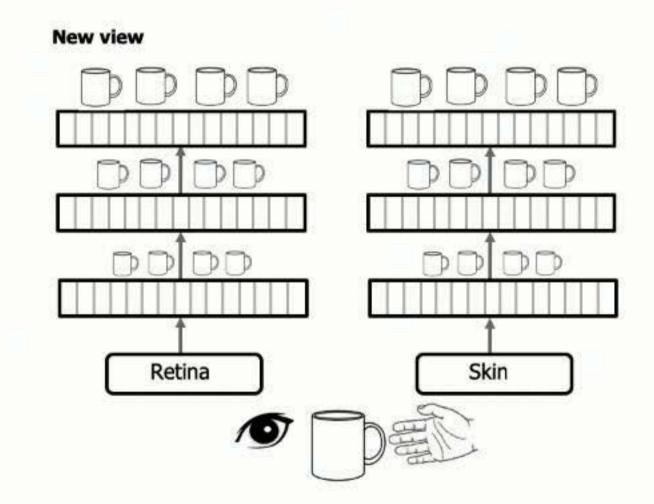
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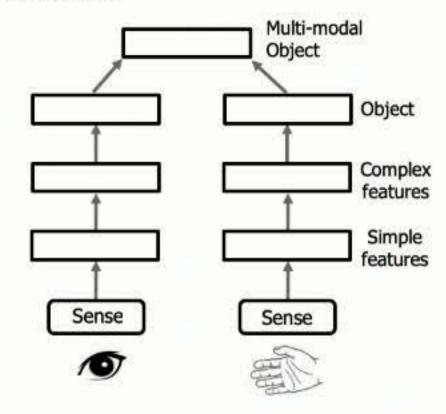




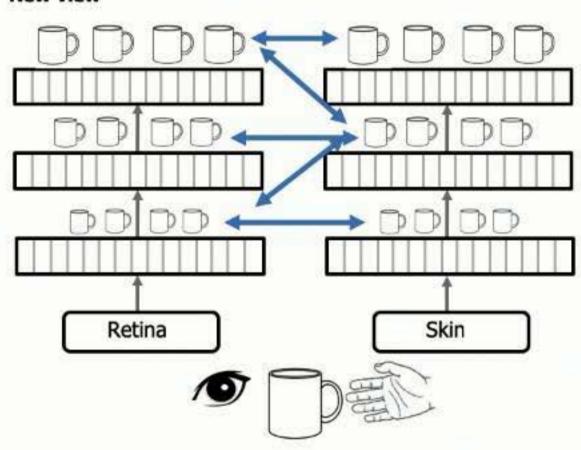


Many models of every object Models differ based on input

Classic view



New view



Many models of every object

Models differ based on input

Long-range connections

- resolve ambiguity
- form a singular percept ("sensor fusion")

Will Neocortical Principles Will Be Essential for AI?

Medium and Long Term

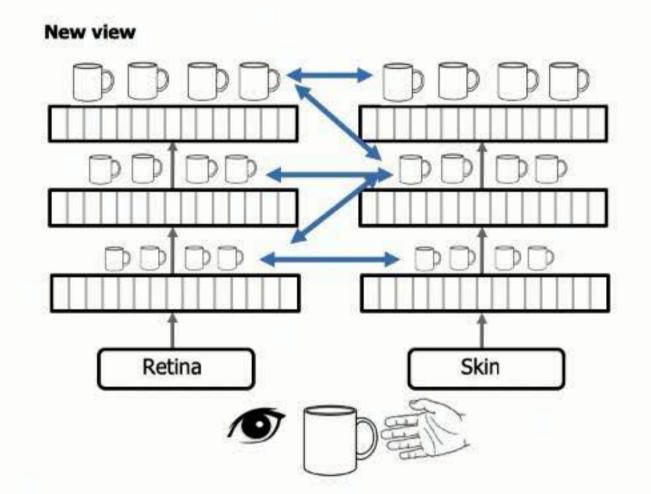
- Sensory-motor learning and inference (AI and Robotics are not separable)
- Models based on object-centric reference frames
- Many small models with voting

Near Term

Sparse representations : robustness

- Predictive neuron model : continuous on-line learning

Classic view Multi-modal Object Complex features Simple features Sense Sense

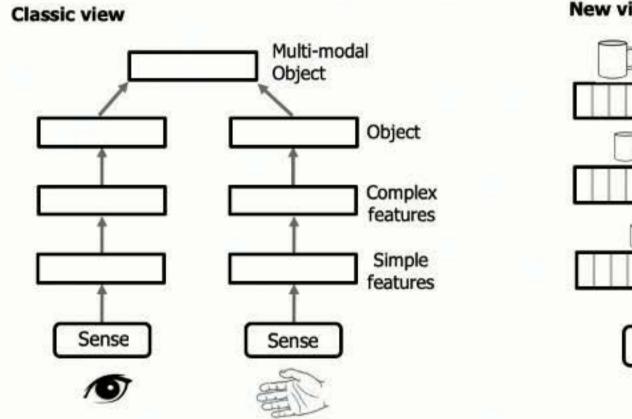


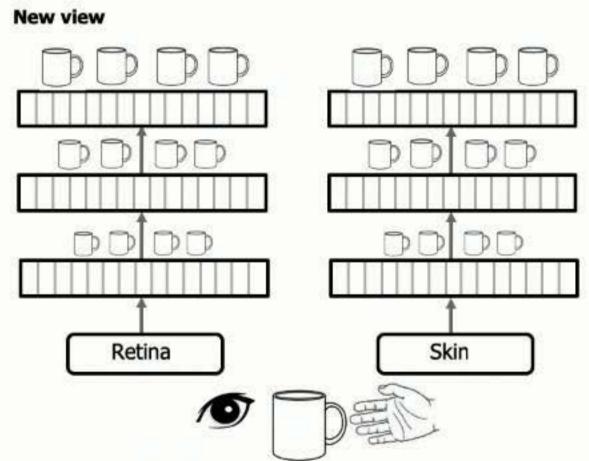
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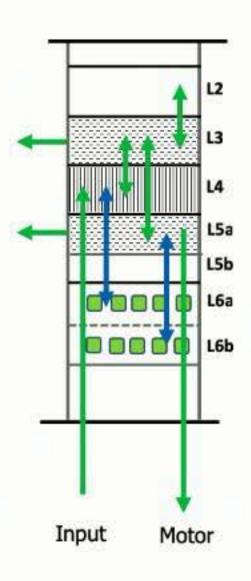




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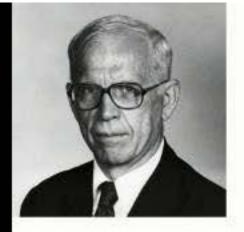
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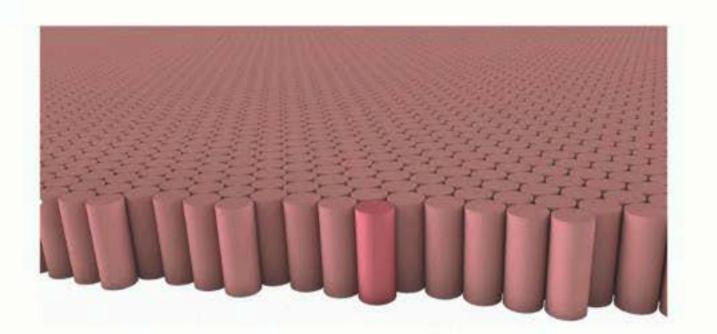
Applies to:

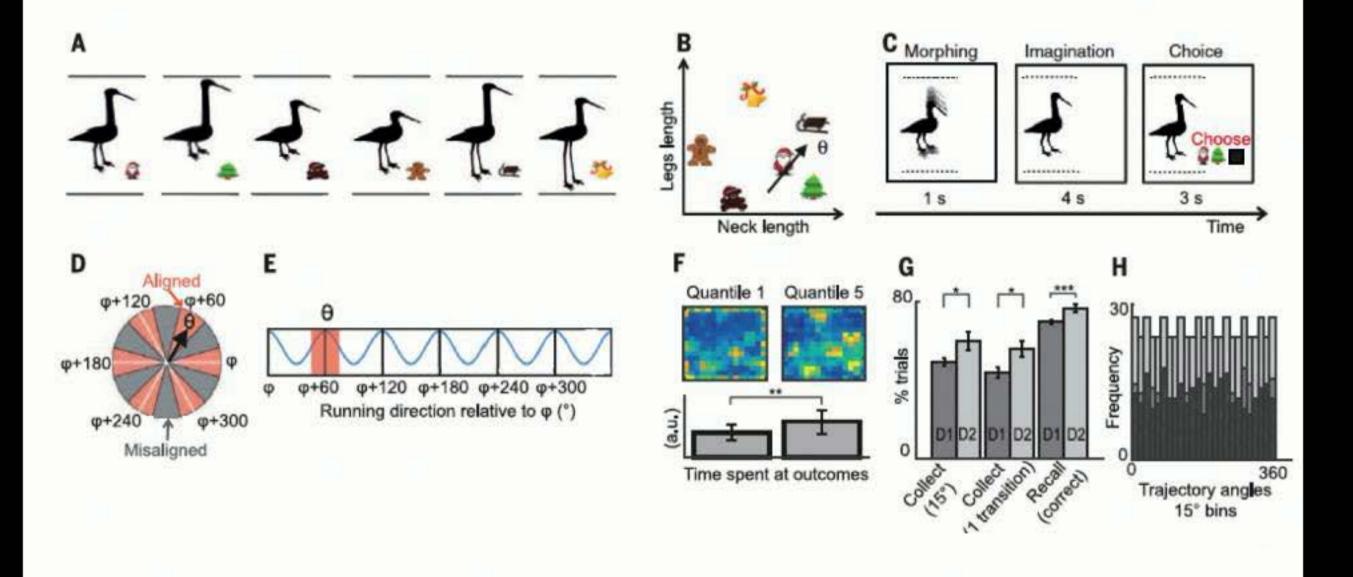
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Doeller, C. F., Barry, C., & Burgess, N. (2010). Evidence for grid cells in a human memory network. Nature



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 - all our research is published and open
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Outline

1) Robustness

Sparse representations in the brain Incorporating sparsity into deep learning networks

2) Continuous learning

3) Unsupervised learning

Outline

1) Robustness

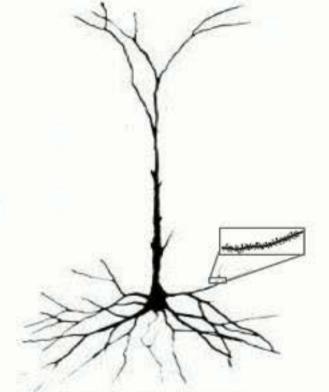
Sparse representations in the brain Incorporating sparsity into deep learning networks

2) Continuous learning / unsupervised learning

Biological neurons

Neurons continuously make predictions and learn from errors

Neurons Operate On Highly Sparse Representations

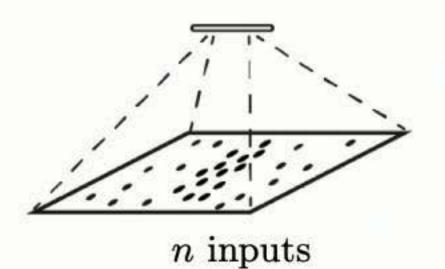


Pyramidal neuron 3K to 10K synapses On a single neuron, 8-20 synapses on tiny segments of dendrites can recognize patterns.

Thousands of other neurons send input to it.

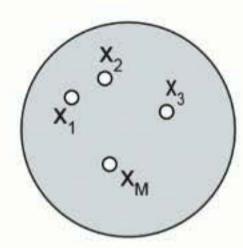
How can neurons recognize patterns robustly using a tiny fraction of available connections?

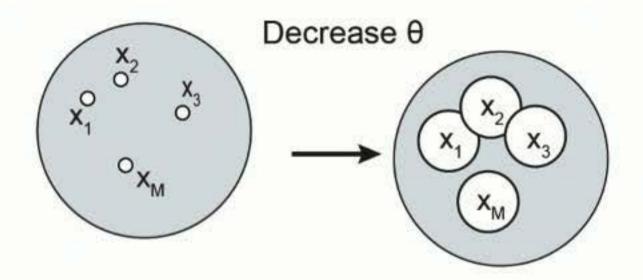
Binary Sparse Vector Matching



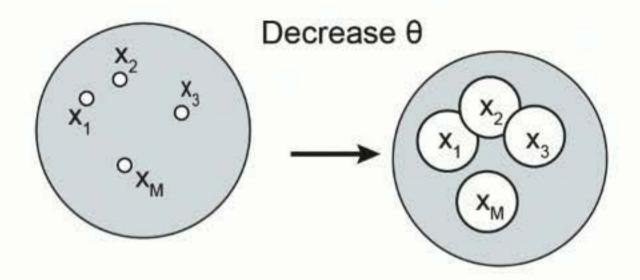
$$oldsymbol{x}_i$$
 = connections on dendrite

$$oldsymbol{x}_j$$
 = input activity





We can get excellent noise robustness by reducing θ . What we care about are the false positives.



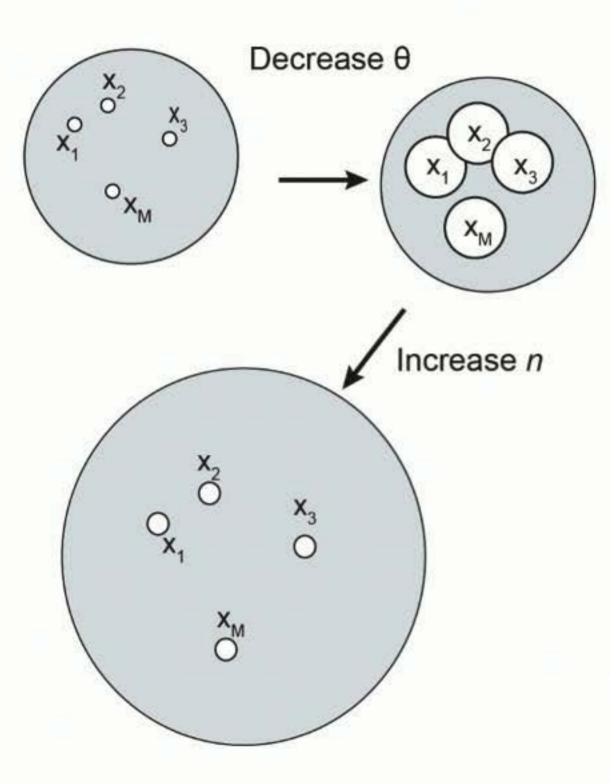
We can get excellent noise robustness by reducing θ . What we care about are the false positives.

Can compute the probability of a random vector \boldsymbol{x}_i matching a given \boldsymbol{x}_i :

$$P(\boldsymbol{x}_i \cdot \boldsymbol{x}_j \ge \theta) = \frac{\sum_{b=\theta}^{|\boldsymbol{x}_i|} |\Omega^n(\boldsymbol{x}_i, b, |\boldsymbol{x}_j|)|}{\binom{n}{|\boldsymbol{x}_j|}}$$

Numerator: volume around point (white) Denominator: full volume of space (grey)

$$|\Omega^n(oldsymbol{x}_i,b,k)| = inom{|oldsymbol{x}_i|}{b}inom{n-|oldsymbol{x}_i|}{k-b}$$



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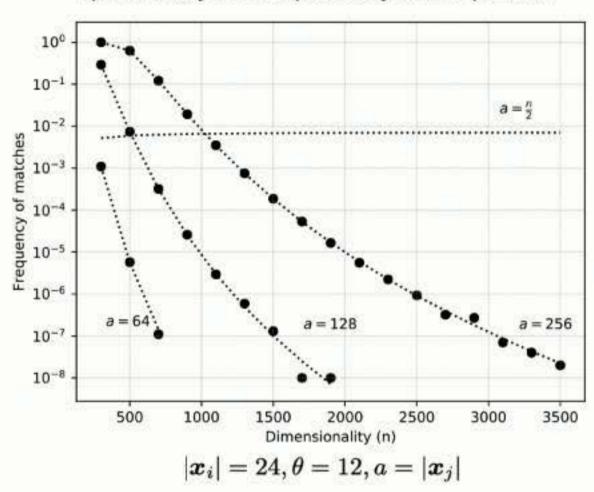
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$$|\Omega^n(\boldsymbol{x}_i, b, k)| = {|\boldsymbol{x}_i| \choose b} {n - |\boldsymbol{x}_i| \choose k - b}$$

Sparse High Dimensional Representations Are Highly Robust

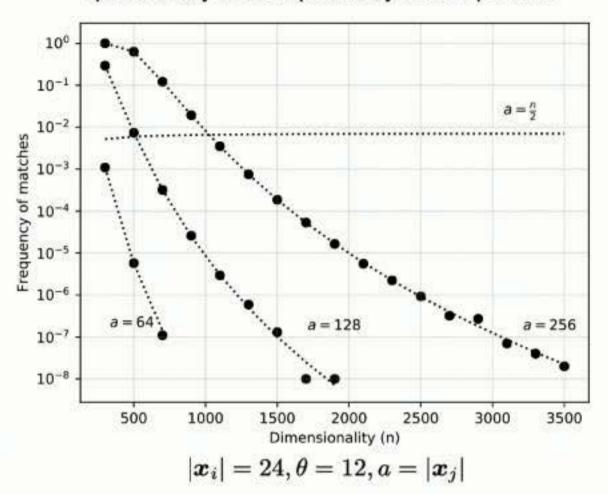




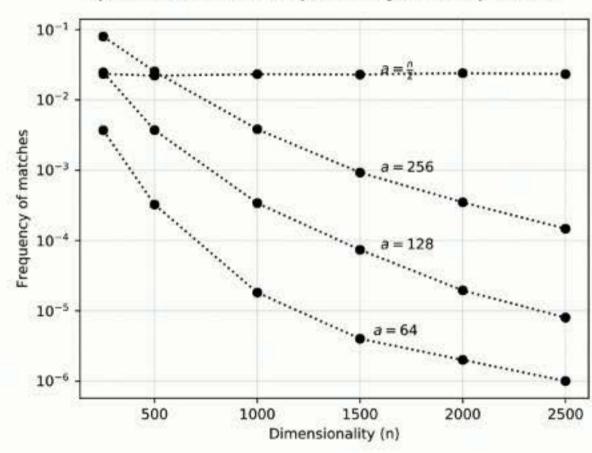
- 1) False positive error decreases exponentially with dimensionality with sparsity.
- 2) The number of connections can be quite small, even with threshold at 50%.
- 3) Error rates do not decrease when activity is dense (a=n/2).
- 4) Assume uniform random distribution of vectors.

Sparse High Dimensional Representations Are Highly Robust

Sparse binary vectors: probability of false positives

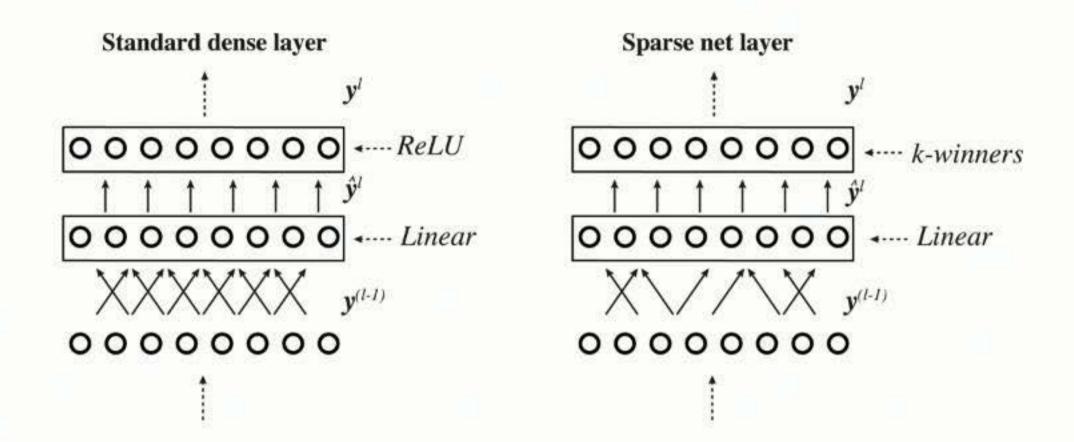


Sparse scalar vectors: probability of false positives



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Differentiable Sparse Layer



- 1) Weight matrix is sparse

 Most of the weights are zero, and maintained as zero throughout
- 2) Outputs of top-k units are maintained, the rest are set to 0 (analogous to ReLU: gradient is 1 for top k units, 0 elsewhere)
- An exponential boosting term favors units with low activation frequency.
 This helps maximize the overall entropy of the layer.
- 4) Convolutional layer is identical except we didn't use sparse weights

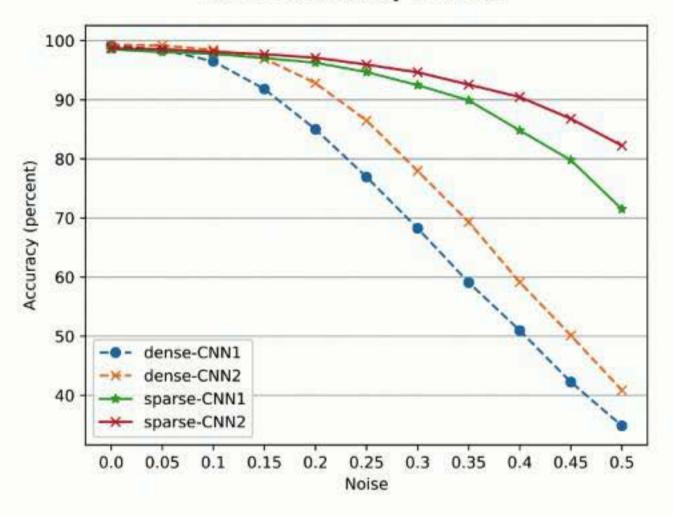
(Hawkins et al., 2011) (Makhzani & Frey, 2015) (Ahmad & Scheinkman, 2019)

MNIST With Sparse Networks

MNIST

NETWORK	TEST SCORE	
DENSE CNN-1	99.23± 0.04	
DENSE CNN-2	99.38 ± 0.10	
SPARSE CNN-1	SE CNN-1 98.85± 0.09	
SPARSE CNN-2 98.89±		

MNIST: Accuracy vs noise



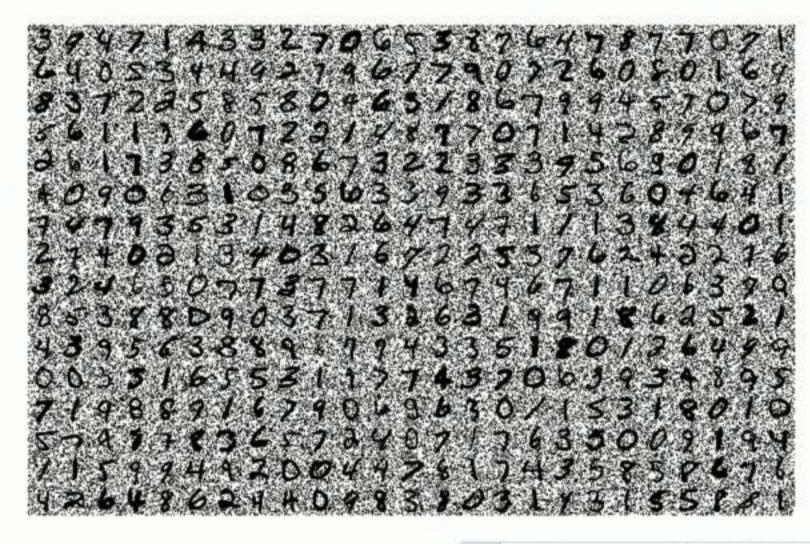
- 1) Networks used CNN layers + one (sparse) linear layer + one softmax output layer.
- 2) State of the art test set accuracy is between 98.3% and 99.4% (without data augmentation)

Sparse Networks Are Significantly Better On Noisy Data

Dense CNN 97 %

SparseNet 98 %

Sparse Networks Are Significantly Better On Noisy Data

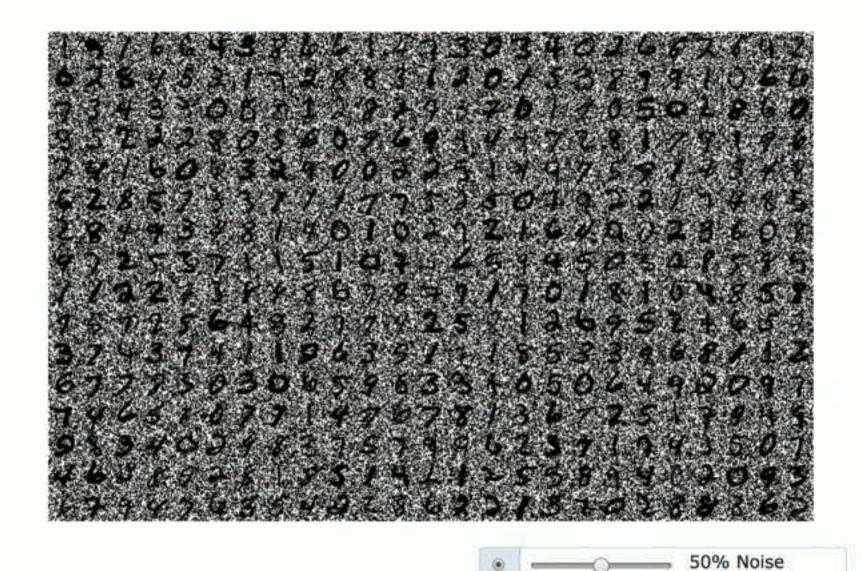


Dense CNN 64 %

SparseNet 92 %

30% Noise

Sparse Networks Are Significantly Better On Noisy Data



Dense CNN 34 %

SparseNet 72 %

Google Speech Commands Dataset

Dataset of spoken one word commands

- Released by Google in 2017
- 65,000 utterances, thousands of individuals
- Harder than MNIST
- State of the art is around 95 97.5% for 10 categories
- Tested accuracy with noisy sounds

NETWORK	TEST SCORE	Noise Score
DENSE CNN-2 (DR=0.0)	96.37 ± 0.37	8,730±471
DENSE CNN-2 (DR=0.5)	95.69 ± 0.48	$7,681 \pm 368$
SPARSE CNN-2	96.65 ± 0.21	$11,233 \pm 1013$
SUPER-SPARSE CNN-2	96.57 ± 0.16	$10,752 \pm 942$

- Networks used two CNN layers + one sparse linear layer + one softmax output layer.
- 2) Batchnorm used for all hidden layers
- 3) Audio files were converted to 32-MFCC coefficients, with data augmentation during training.
- 4) Super-sparse net had a very sparse linear layer: 6.7% sparsity and 10% of weights as non-zero

Outline

1) Robustness

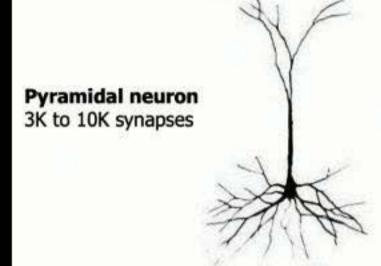
Sparse representations in the brain Incorporating sparsity into deep learning networks

2) Continuous learning / unsupervised learning

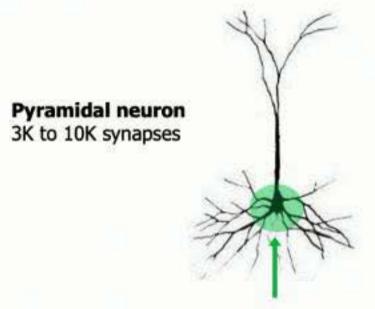
Biological neurons

Neurons continuously make predictions and learn from errors

Biological Neurons Are Complex

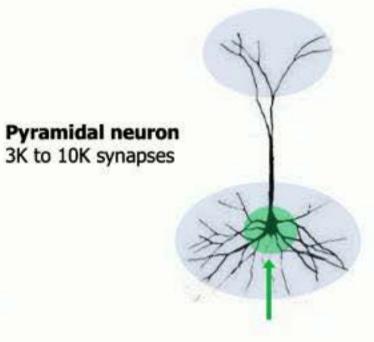


Biological Neurons Are Complex



Feedforward
Weighted sum + non-linearity
Drive the cell, classic point neuron
10% of synapses

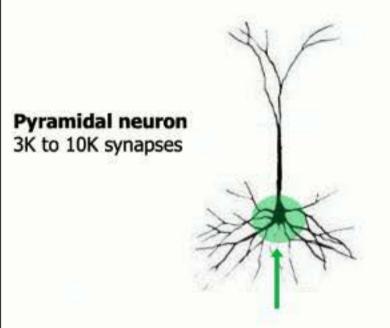
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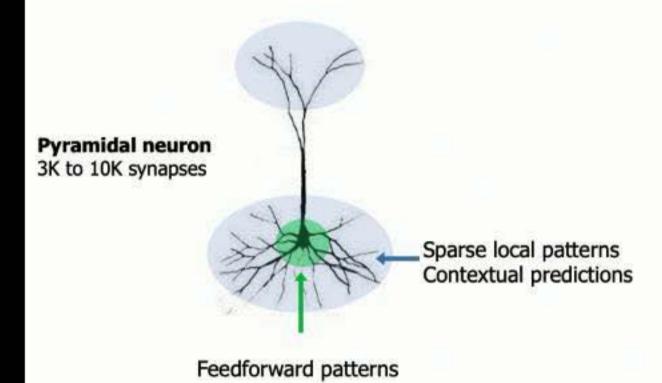
Distal dendrites

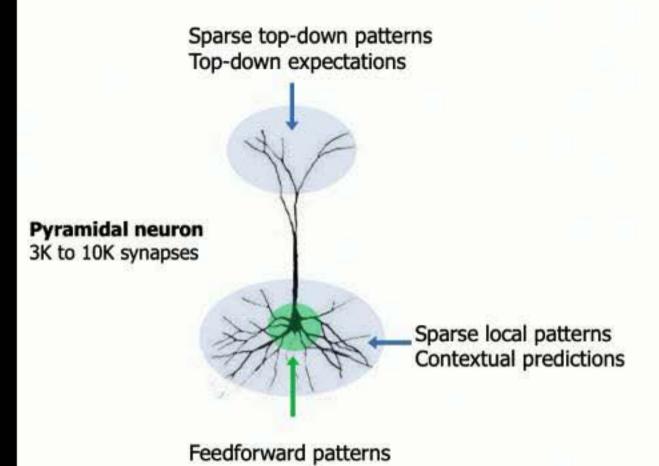
- 8-20 clustered synapses generate dendritic spikes
- Does not cause cell to fire
- Primes the cell to fire strongly in the near future
- Can detect hundreds of independent sparse patterns

Feedforward
Weighted sum + non-linearity
Drive the cell, classic point neuron
10% of synapses

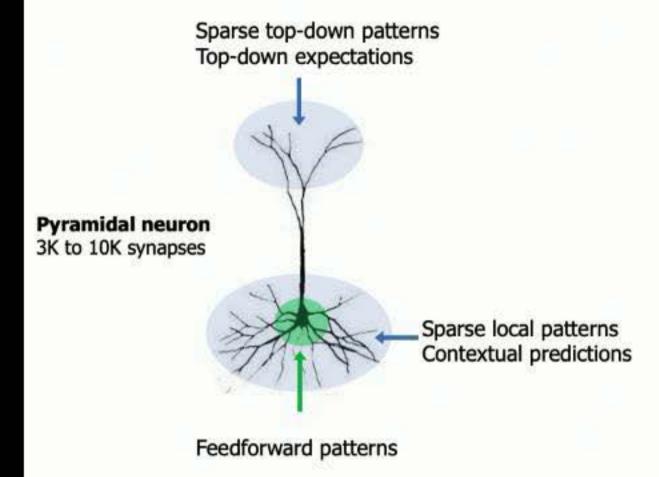


Feedforward patterns





(Poirazi et al., 2003) (Hawkins & Ahmad, 2016)



Simple learning rules

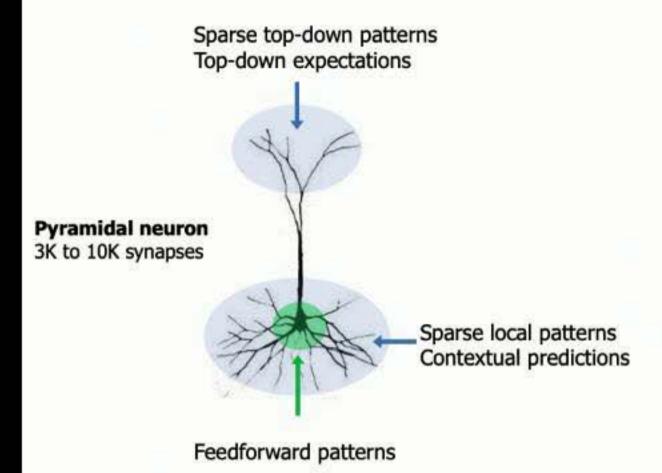
If cell becomes active:

- 1) If there was a prediction, reinforce that segment
- If there was no prediction, grow connections by subsampling cells active in the past

If cell is not active:

1) If there was a prediction, weaken than segments

- Learning consists of growing new connections, i.e., highly sparse vectors.
- Each neuron can be associated with hundreds of such sparse contextual patterns spread throughout dendrites.
- Each neuron is constantly trying to make predictions and learn from its mistakes.
- Everything is continuously learning but because vectors are sparse, patterns don't interfere with each other.



Simple learning rules

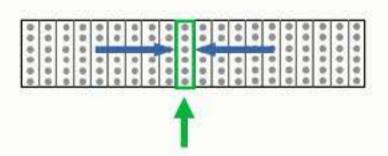
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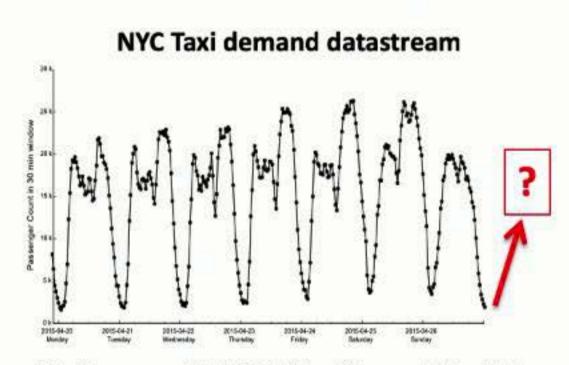
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Network of pyramidal neurons can form a powerful predictive learning algorithm

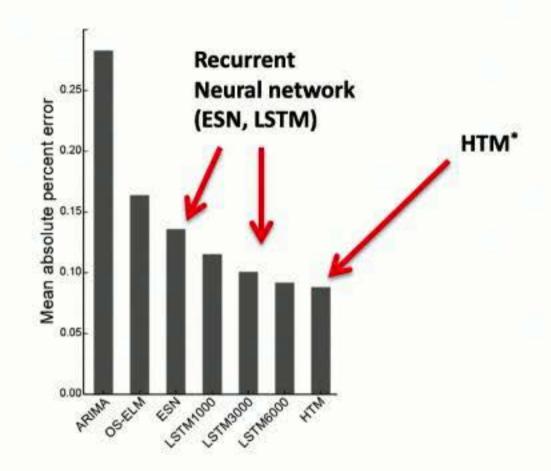


- 1) Associates past activity as context for current activity
- 2) Learns continuously without forgetting past patterns
- 3) Can learn complex high-Markov order sequences
- 4) Sparse representations lead to fault tolerance

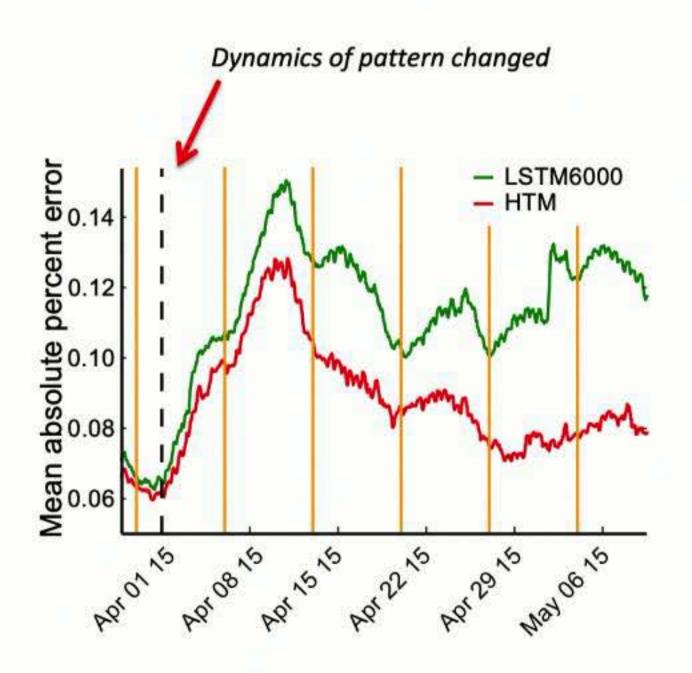
Continuous Learning With Streaming Data Sources

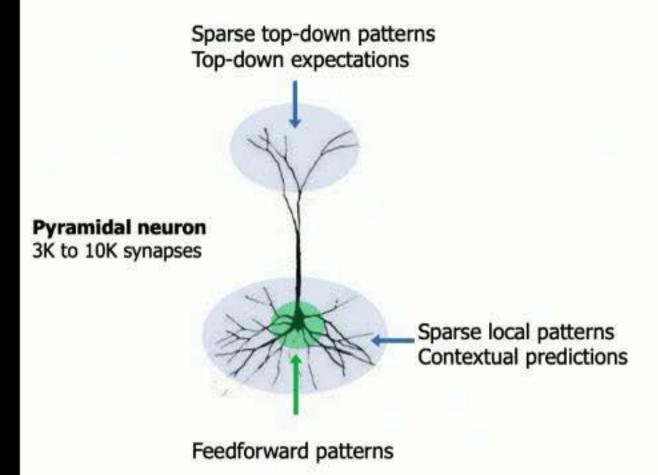


Source: http://www.nyc.gov/html/tlc/html/about/trip_record_data.shtml



Adapts Quickly To Changing Statistics





Simple learning rules

If cell becomes active:

- 1) If there was a prediction, reinforce that segment
- If there was no prediction, grow connections by subsampling cells active in the past

If cell is not active:

1) If there was a prediction, weaken than segments

- Learning consists of growing new connections, i.e., highly sparse vectors.
- Each neuron can be associated with hundreds of such sparse contextual patterns spread throughout dendrites.
- Each neuron is constantly trying to make predictions and learn from its mistakes.
- Everything is continuously learning but because vectors are sparse, patterns don't interfere with each other.

Research Roadmap

1) Robustness

Sparse representations in the brain Incorporate sparsity into deep learning networks

Scale to larger problems
Test with adversarial systems

Continuous learning / unsupervised learning
 Understand biological neurons
 Continuously make predictions and learn from errors

Integrate neuron model into deep learning systems Implement predictive learning rules

3) "1000 Brains Theory"
Distributed voting
Many small models, across sensory modalities
Object-centric reference frames

Opportunities For Collaboration

1) Applications

Test robustness in adversarial and security scenarios.

Test with different domains, such as robotics, NLP, and IoT

Test with different DL architectures and paradigms, such as RNNs, and RL.

1) Scaling

Attack much larger problems.

Acceleration and power efficiency (e.g. FPGA implementations).





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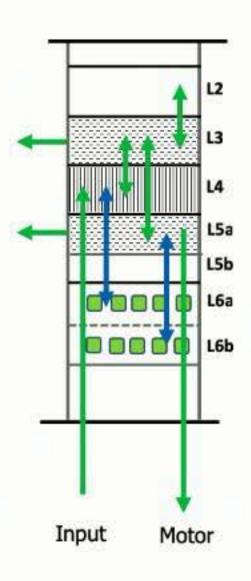
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Cortical Columns Are Complete Sensory-motor Modeling Systems

Hawkins et. al., 2018 Klukas et. al., 2019



Two reference frames

Learns:

- Dimensionality of object
- Morphology
- Changes in morphology (how objects behave)
- Compositional and Recursive structure

Generates motor behaviors

Applies to:

- Physical objects
- Abstract objects