

# Verification of Synchronous Programs

Pascal Raymond  
Verimag-CNRS

MOSIG - Embedded Systems

## Introduction

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### Programs correction

A reactive system is correct if:

- it computes the right outputs (functionality)
- it computes fast enough (real-time)
- here: we focus on functionality

### Validation means

- execution-based methods (debug, test, simulation...)
- static-analysis methods: why not "prove" correctness ?

## Functional verification

- Does the program compute the right outputs?
- Expected relation among time between inputs and outputs: temporal properties

## Intuitive partition of temporal properties

- Safety: something (bad) never happens
- Liveness: something (good) eventually happens

## Contents

1. Reactive systems and state machines .....	4
2. Decision techniques (BDD) .....	26
3. BDD based methods .....	44
4. Decision techniques (Sat Solvers) .....	62
5. Sat solver based methods .....	84
6. Annex .....	93

# 1. Reactive systems and state machines

Implicit state machines .....	7
Conservative Abstraction .....	10
Expressing properties .....	14
Proving properties .....	18
Enumerative (forward) algorithm .....	21

## Example: the beacon counter in a train

- Counts the difference between beacons and seconds
- Decides whether the train is late, early or ontime
- Hysteresis to avoid oscillations

```
node b(sec, bea: bool) returns (ontime, late, early: bool);
var diff: int;
let
  diff = (0 -> pre diff) + (if bea then 1 else 0) +
    (if sec then -1 else 0);
  early = (true -> pre ontime) and (diff > 3)
    or (false -> pre early) and (diff > 1);
  late = (true -> pre ontime) and (diff < -3)
    or (false -> pre late) and (diff < -1);
  ontime = not (early or late);
tel
```

## Some properties

- It's impossible to be late and early
- It's impossible to directly pass from late to early
- It's impossible to remain late only one instant
- If the train stops, it will eventually get late

The 3 first ones are obviously safety, while the one is a typical liveness: it refers to unbounded future

## Implicit state machines

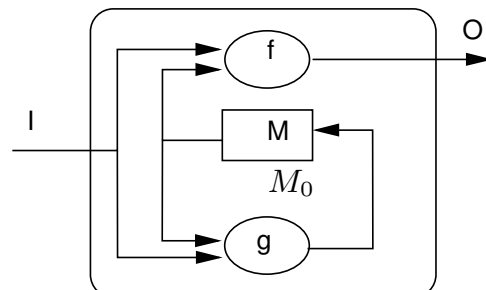
### Functionality of synchronous program

A synch. prog, is a function from infinite seq. of inputs to infinite seq. of outputs:

$$\mathcal{P}(I_0, I_1, I_2, \dots) = O_0, O_1, O_2, \dots$$

defined via a well initialized internal memory

- Inputs I, outputs O
- Memory M, initial value  $M_0$
- Output function:  $O_t = f(I_t, M_t)$
- Transition function:  $M_{t+1} = g(I_t, M_t)$



Finally,  $\mathcal{P}(I_0, I_1, I_2, \dots) = O_0, O_1, O_2, \dots$  iff

$$\exists M_0, M_1, M_2 \dots \text{ s.t. } \forall t \ O_t = f(I_t, M_t) \text{ and } M_{t+1} = g(I_t, M_t)$$

## Common model for synchronous programs

- Obvious for Lustre (memory = `pre` operators)
- Less obvious, but still true, for Esterel/SyncCharts (cf. compilation)

## Implicit vs explicit

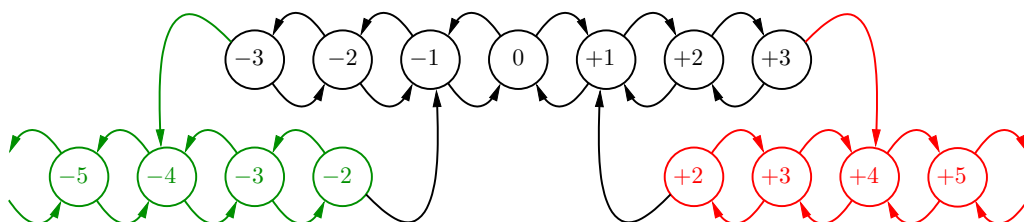
An ISM is equivalent to an explicit state/transition system:

- States are all possible values of  $M$ :  $Q = \mathcal{D}(M)$
- Transition  $q \xrightarrow{i/o} q'$  iff  $q' = g(i, q)$  and  $o = f(i, q)$
- In general: infinite state machine (numerical)

## Example: beacon counter

- $I = \{\text{sec, bea}\}$   $O = \{\text{late, ontime, early}\}$
- A memory for each "`-> pre`" expression,  
(e.g. Plate for "`false -> pre late`"):  $M = \{\text{Plate, Pontime, Pearly, Pdiff}\}$   
with  $M_0 = (\text{false}, \text{true}, \text{false}, 0)$
- Functions directly given by the Lustre equations

A small part of the explicit automaton:



## Conservative Abstraction

### Model and verification

The explicit automaton **is** the set of behavior,  
so exploring the automaton **is** checking the program

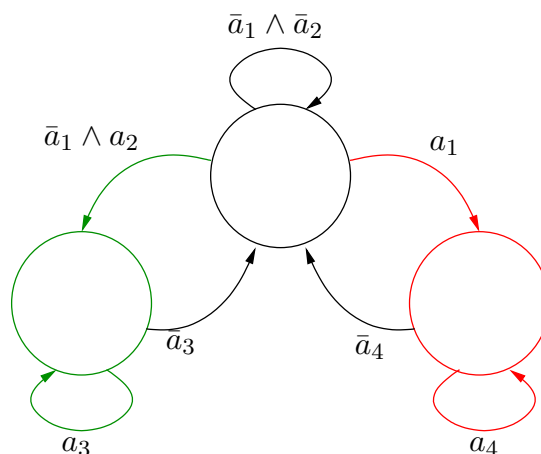
**Problem:** The automaton may be infinite, or at least enormous,  
it is impossible to explore it

**Idea:** work on a finite (not too big) abstraction of the program **N.B.** the abstraction  
must **conserve** at least some properties (otherwise it's useless)

### Example

Abstraction of numerical comparisons in the beacon counter, they become "free"  
boolean variables:

- $a_1$  for  $\text{diff} > 3$
- $a_2$  for  $\text{diff} < -3$
- $a_3$  for  $\text{diff} < -1$
- $a_4$  for  $\text{diff} > 1$



## Conserved properties

- It's impossible to be late and early (safety)
- It's impossible to directly pass from late to early (safety)

## Lost properties

- It's impossible to remain late only one instant (safety)
- If the train stops, it will eventually get late (liveness)

## More serious: introduced property

- It's possible to remain late only one instant (liveness):  
true on the abstraction, false on the real program !

⇒ Important to precisely know what is preserved by the abstraction

## Abstraction and safety

- Finite abstraction is a special case of **over-approximation**
- Anything which is impossible in the abstraction is impossible on the program
- The counterpart is (in general) false

⇒ **safeties are preserved or lost, but never introduced**

As a consequence, when checking a safety on the abstraction:

- the verification succeeds ⇒ property satisfied
- the verification fails ⇒ inconclusive  
(it may be a *false negative*)

## Expressing properties \_\_\_\_\_

Liveness requires complex formalisms (temporal logics)

Safety can be **programmed**  $\Rightarrow$  observers

### Observer

- Observe the inputs and outputs of the program
- Outputs "ok" as long as the behavior meets the property  
(or, equivalently, outputs "ko" when the behavior violate the property)

### Example (in Lustre)

- It's impossible to be late and early:  
**ok = not (late and early) ;**
- It's impossible to directly pass from late to early:  
**ok = true -> not (early and pre late) ;**
- It's impossible to remain late only one instant:  
**Plate = false -> pre late ;**  
**PPlate = false -> pre Plate ;**  
**ok = not (not late and Plate and not PPlate) ;**

Let see a quick demo ...



## Assumptions

Convenient to split property into assumption/conclusion:

*"if the train keeps the right speed, it remains on time"*

property is simply **ok = ontime**, assumption can be:

- naive: **assume = (sec = bea) ;**

- more sophisticated, bea and sec alternate:

```
SF = switch (sec and not bea, bea and not sec) ;
```

```
BF = switch (bea and not sec, sec and not bea) ;
```

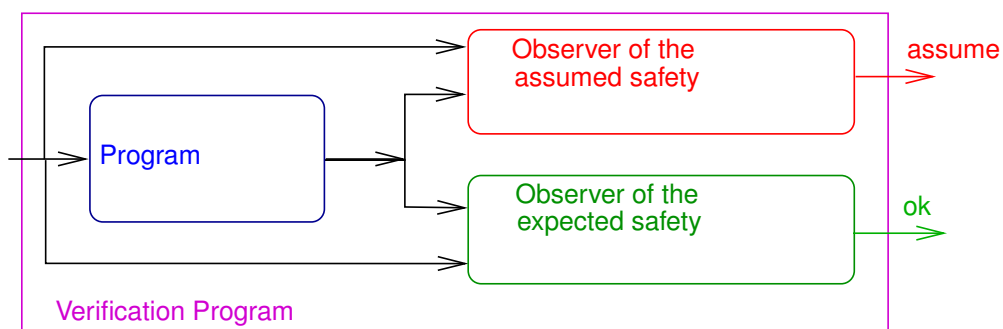
```
assume = (SF => not sec) and (BF => not bea) ;
```

with:

```
node switch (on, off : bool) returns (s : bool) ;
```

```
let s = false -> pre (if s then not off else on) ; tel
```

## General scheme



- We suppose provided such a **verification program**
- Goal: if **assume** remains indefinitely true, then **ok** remains indefinitely true:  
 $(\text{always } \text{assume}) \Rightarrow (\text{always } \text{ok})$
- **Note:** it is NOT a "regular" safety, so in a first step, we approximate it by:  
 $\text{always } ((\text{once not } \text{assume}) \text{ or } \text{ok})$   
(the problem will be explained later)

### Abstracted verification program

Special case of Boolean synchronous program with 2 "outputs"

- Free variables  $V$ , state variables  $S$
- Initial state(s):  $\text{Init} : \mathbf{B}^{|S|} \rightarrow \mathbf{B}$
- Transition functions:  $g_k : \mathbf{B}^{|S|} \times \mathbf{B}^{|V|} \rightarrow \mathbf{B}$  for  $k = 1 \dots |S|$
- Assumption:  $H : \mathbf{B}^{|S|} \times \mathbf{B}^{|V|} \rightarrow \mathbf{B}$
- Property:  $\phi : \mathbf{B}^{|S|} \times \mathbf{B}^{|V|} \rightarrow \mathbf{B}$

(N.B. we identify predicates and sets)

### Associated explicit automaton

We note  $Q = \mathbf{B}^{|S|}$  the state space

We use "pre" and "post" functions:

- for  $q \in Q$ ,  $\text{post}_H(q) = \{q' / \exists v \ q \xrightarrow{v} q' \wedge H(q, v)\}$
- for  $X \subseteq Q$ ,  $\text{Post}_H(X) = \bigcup_{q \in X} \text{post}_H(q)$
- for  $q \in Q$ ,  $\text{pre}_H(q) = \{q' / \exists v \ q' \xrightarrow{v} q \wedge H(q', v)\}$
- for  $X \subseteq Q$ ,  $\text{Pre}_H(X) = \bigcup_{q \in X} \text{pre}_H(q)$

## Significant state sets

- Initial state(s):  $\text{Acc}_0 = \{q / \text{Init}(q)\}$
- Error states:  $\text{Err} = \{q / \exists v \ H(q, v) \wedge \neg \phi(q, v)\}$
- Reachable states:  $\text{Acc} = \mu X \cdot (X = \text{Init} \cup \text{Post}_H(X))$
- Bad states:  $\text{Bad} = \mu X \cdot (X = \text{Err} \cup \text{Pre}_H(X))$

## Goal

Naive: prove that  $\text{Acc} \cap \text{Bad} = \emptyset$

No need to compute **both** Acc and Bad:

- prove that  $\text{Acc} \cap \text{Bad}_0 = \emptyset$  (forward method)
- prove that  $\text{Bad} \cap \text{Acc}_0 = \emptyset$  (backward method)

**Remark: methods are non symmetric because of determinism**

Proving properties \_\_\_\_\_ 20/95

## Enumerative (forward) algorithm \_\_\_\_\_

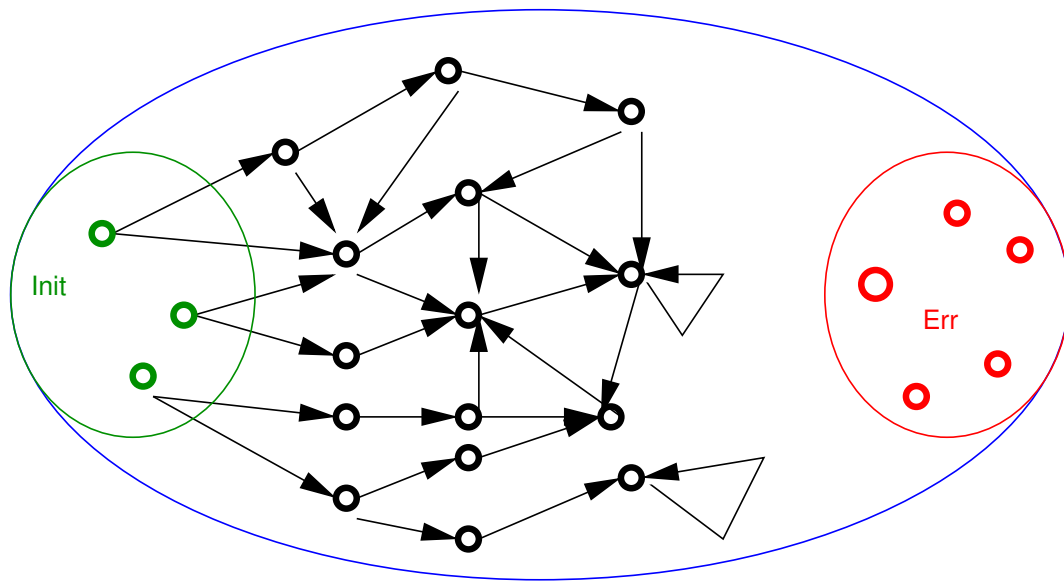
CurAcc := Init

Done := empty

```
while it exists q in CurAcc - Done do {  
    (* q ∈ CurAcc \ Done *)  
    for all q' in postH(q) do {  
        if q' in Bad0 then EXIT(failed)  
        put q' in CurAcc  
    }  
    put q in Done  
}  
(* we have CurAcc = Done = Acc *)  
EXIT(succeed)
```

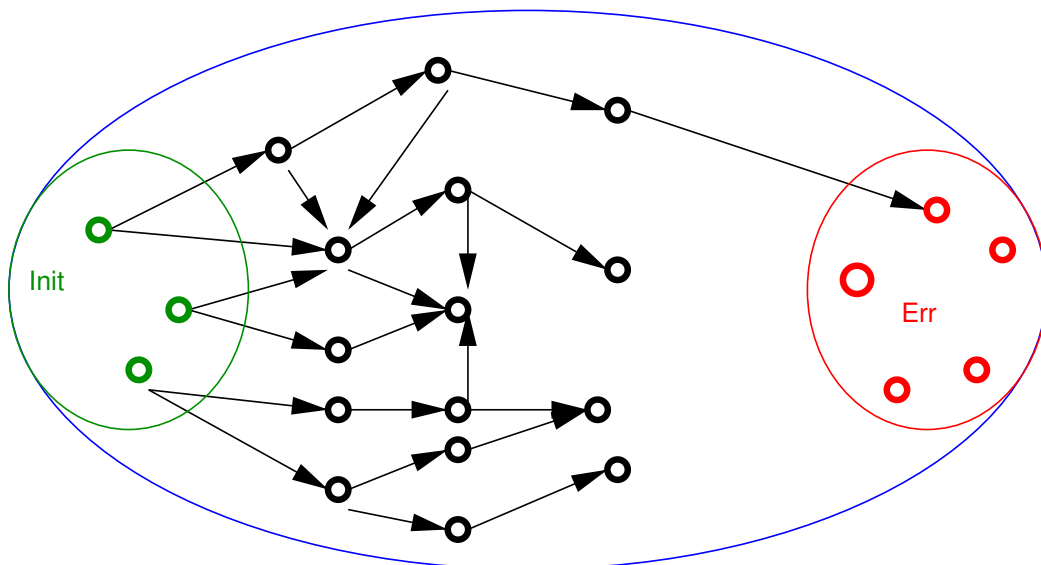
Enumerative (forward) algorithm \_\_\_\_\_ 21/95

## Example of success (breadth first)



success

## Example of failure (breadth first)



Failure

*See an example/exercise of exploration: an arithmetic circuit (cf.6.1)*

Enumerative (forward) algorithm \_\_\_\_\_ 24/95

### Notes on implementation

- depth first, breath first, or other
- compact encoding of states
- very costly:  
 $|Acc|$  times the cost of  $\text{post}_H(q)$ , with  $|Acc| \sim 2^{|S|}$
- backward is even worse:  $\text{pre}_H(q)$  is more complex than  $\text{post}_H(q)$   
(enumerative backward is never used in practice)

### Big problem: computing $\text{post}_H(q)$

- For a given  $q$ , find all  $v$  s.t.  $H(q, v)$
- Typical decision problem (NP-complete)
- Naive method: try all  $2^{|V|}$  possible values
- Need for non trivial, efficient decision procedure

⇒ Digression on efficient decision techniques

Enumerative (forward) algorithm \_\_\_\_\_ 25/95

## 2. Decision techniques (BDD)

Binary Decision Diagrams .....	28
Operations on BDDs .....	34
Signed BDD .....	39

*Contents* ..... 26

### Decision techniques

Problem: let  $F$  be a formula on  $V$ , find all  $v \in 2^{|V|}$  s.t.  $F(v)$

- Mainly two kind of solutions:
  - ↪ Enumeration of the solutions, related to Sat-Solving, reference algo is Davis-Putnam
  - ↪ Construction of the solution set, related to canonical form, reference method is Binary Decision Diagrams (BDD)
- We first study BDDs:
  - ↪ Used with a certain success
  - ↪ Address also the problem of state explosion
  - ↪ Ad hoc algorithms: Symbolic Model Checking

### Shannon decomposition

- For any  $f \in \mathbf{B}^n \rightarrow \mathbf{B}$ :  
 $\hookrightarrow f(x, y, \dots, z) = x.f(1, y, \dots, z) + \bar{x}.f(0, y, \dots, z)$
- Let's define  $f_x$  and  $f_{\bar{x}}$  in  $\mathbf{B}^{n-1} \rightarrow \mathbf{B}$  by:  
 $\hookrightarrow f_x(y, \dots, z) = f(1, y, \dots, z)$   
 $\hookrightarrow f_{\bar{x}}(y, \dots, z) = f(0, y, \dots, z)$
- For any  $f$  and any  $x$ ,  $f_x$  and  $f_{\bar{x}}$  are unique

#### Exercise

let  $f(x, y, z) = x.y + (y \oplus z)$ , compute  $f_x, f_{\bar{y}}, f_z$  ?

$$f_x = y + (y \oplus z)$$

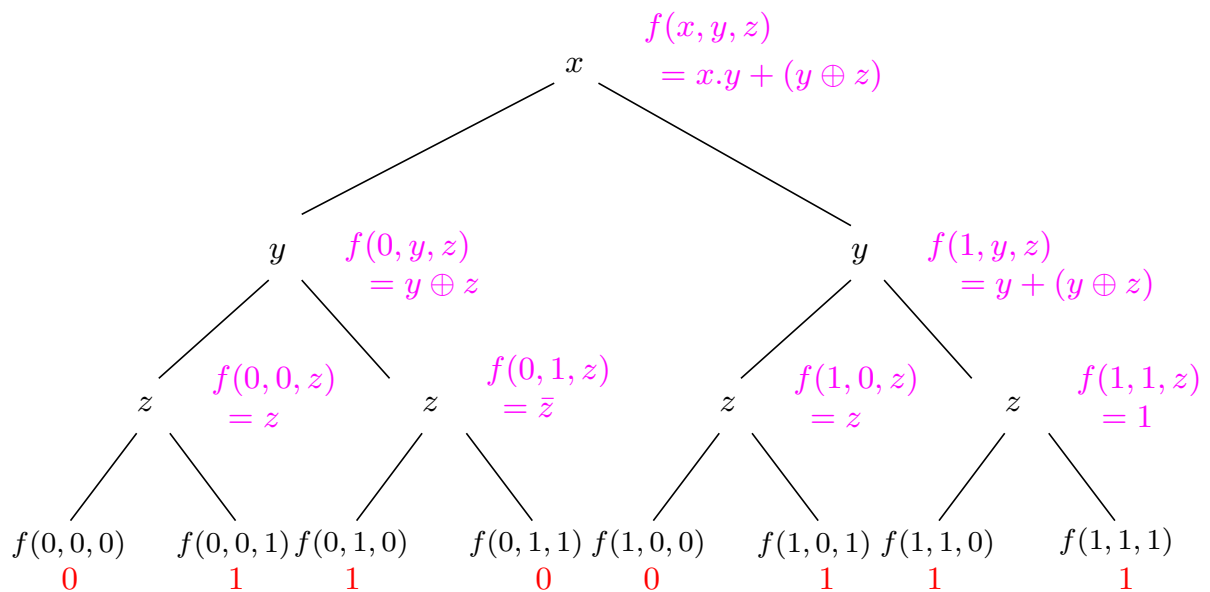
$$f_{\bar{y}} = z$$

$$f_z = x.y + \bar{y} = x + \bar{y}$$

### Shannon tree

- When applying recursively the S.D. on all variables, one obtains:  
 $\hookrightarrow 1$  (the always-true function) or  
 $\hookrightarrow 0$  (the always-false function)
- Example, for  $f = x.y + (y \oplus z)$ :  
 $\hookrightarrow f_{\bar{x}} = f(0, y, z) = y \oplus z$   
 $\hookrightarrow f_{\bar{x}y} = f(0, 1, z) = \neg z$   
 $\hookrightarrow f_{\bar{x}yz} = f(0, 1, 1) = 0$
- Shannon tree: graphical representation of all the  $2^n$  steps

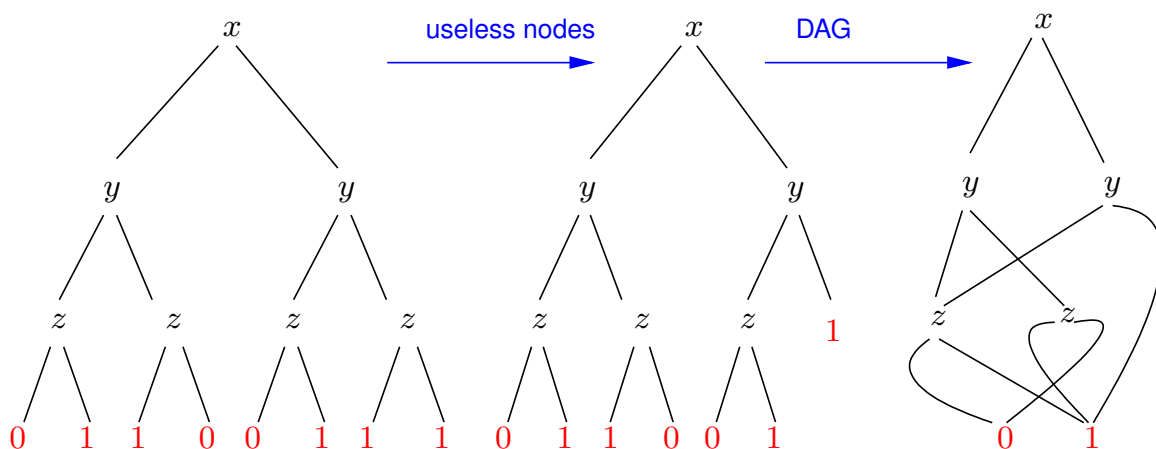
## Full decomposition example



N.B. For a given variable ordering the tree is *unique*

## Binary Decision Diagram

- Concise representation of the Shannon tree
- No useless nodes (if  $x$  then  $g$  else  $g \Leftrightarrow g$ )
- Share common sub-graph (DAG)



N.B. For a given variable ordering the BDD is *unique*



## Formal definition

### Definition

- Let  $V$  be a set of variable, totally ordered by  $\preceq$
- Let  $V^* = V \cup \{\infty\}$  extended with a max value ( $\forall x \in V^* x \preceq \infty$ )
- BDDs are defined, together with their "range"  $rg : BDD \rightarrow V^*$ 
  - $\hookrightarrow 1$  is a BDD with  $rg(1) = \infty$
  - $\hookrightarrow 0$  is a BDD with  $rg(0) = \infty$
  - $\hookrightarrow$  let  $x \in V$ , let  $h$  and  $l$  be two BDDs with  $x \prec rg(h)$  and  $x \prec rg(l)$ , then  $\alpha = (x, l, h)$  is also a BDD

We note  $\begin{array}{c} x \\ \swarrow \searrow \\ h \quad l \end{array}$  such a triplet

## Implementation

- uniqueness of leaves 0 and 1 is built-in
- uniqueness of binary nodes guaranteed by hash-coding
- the creation of binary nodes is implemented by a function  $\mathcal{N}(x, \alpha, \beta)$

$\hookrightarrow$  we note  $\begin{array}{c} x \\ \swarrow \searrow \\ \alpha \quad \beta \end{array}$  for  $\mathcal{N}(x, \alpha, \beta)$ , and  $\begin{array}{c} x \\ \swarrow \searrow \\ \alpha \quad \beta \end{array}$  for a built BDD

- $\begin{array}{c} x \\ \swarrow \searrow \\ \alpha \quad \beta \end{array} = \text{ERROR}$  if  $rg(\alpha) \prec x$  or  $rg(\beta) \prec x$

- $\begin{array}{c} x \\ \swarrow \searrow \\ \alpha \quad \alpha \end{array} = \alpha$

- $\begin{array}{c} x \\ \swarrow \searrow \\ \alpha \quad \beta \end{array} = \begin{array}{c} x \\ \swarrow \searrow \\ \alpha \quad \beta \end{array}$  otherwise

## Negation

- $\neg 1 = 0$

- $\neg 0 = 1$

- $\neg \begin{array}{c} x \\ / \quad \backslash \\ f_0 \quad f_1 \end{array} = \begin{array}{c} x \\ / \quad \backslash \\ \neg f_0 \quad \neg f_1 \end{array}$

## Binary operators

Property: any usual operator  $\star$  (in  $+$ ,  $\cdot$ ,  $\oplus$ ,  $\Rightarrow$ ,  $\Leftrightarrow$ ), distribute on Shannon decomposition:

$$(x \cdot f_x + \bar{x} \cdot f_{\bar{x}}) \star (x \cdot g_x + \bar{x} \cdot g_{\bar{x}}) = x \cdot (f_x \star g_x) + \bar{x} \cdot (f_{\bar{x}} \star g_{\bar{x}})$$

## Binary operators (ctd)

- As a consequence, recursive rules are,

for  $f = \begin{array}{c} x \\ / \quad \backslash \\ f_0 \quad f_1 \end{array}$  and  $g = \begin{array}{c} y \\ / \quad \backslash \\ g_0 \quad g_1 \end{array}$  :

$$\hookrightarrow f \star g = \begin{array}{c} x \\ / \quad \backslash \\ f_0 \star g \quad f_1 \star g \end{array} \text{ if } x \prec y \text{ (balance)}$$

$$\hookrightarrow f \star g = \begin{array}{c} y \\ / \quad \backslash \\ f \star g_0 \quad f \star g_1 \end{array} \text{ if } y \prec x \text{ (balance)}$$

$$\hookrightarrow f \star g = \begin{array}{c} x \\ / \quad \backslash \\ f_0 \star g_0 \quad f_1 \star g_1 \end{array} \text{ if } x = y$$

## Binary operators (ctd)

- Terminal rules apply **in priority**, for instance:

$$\begin{aligned}\hookrightarrow (1 + \alpha) &= (\alpha + 1) = 1 \\ (0 + \alpha) &= (\alpha + 0) = \alpha\end{aligned}$$

$$\begin{aligned}\hookrightarrow (1 \cdot \alpha) &= (\alpha \cdot 1) = \alpha \\ (0 \cdot \alpha) &= (\alpha \cdot 0) = 0\end{aligned}$$

$$\begin{aligned}\hookrightarrow \alpha \oplus \alpha &= 0 \\ (0 \oplus \alpha) &= (\alpha \oplus 0) = \alpha \\ (1 \oplus \alpha) &= (\alpha \oplus 1) = \neg \alpha\end{aligned}$$

### Exercise

Terminal rules for " $\Rightarrow$ " (implication) ?

$$\begin{aligned}(0 \Rightarrow \alpha) &= (\alpha \Rightarrow 1) = 1 \\ (\alpha \Rightarrow 0) &= \neg \alpha \\ (1 \Rightarrow \alpha) &= \alpha\end{aligned}$$

## Quantification

- Boolean quantification is simple
  - $\hookrightarrow$  like for any finite domain
  - $\hookrightarrow$  unlike infinite domains (e.g. integers) !

### Exercise

Definition of " $\exists v \alpha$ " ?

based on the enumeration of values:  $\exists v \alpha(v, \vec{w}) = \alpha(0, \vec{w}) \vee \alpha(1, \vec{w})$

$$\exists v 1 = 1 \quad \exists v 0 = 0$$

$$\exists v \begin{array}{c} v \\ / \quad \backslash \\ h \quad l \end{array} = h \vee l$$

$$\exists v \begin{array}{c} v' \\ / \quad \backslash \\ h \quad l \end{array} = \begin{array}{c} v' \\ / \quad \backslash \\ \exists v h \quad \exists v l \end{array}$$

Same question for " $\forall v \alpha$ " ?

## Notes on complexity

- Cost of  $\neg\alpha$ : is linear w.r.t to  $size(\alpha)$
- Cost of  $\alpha \star \beta$ : is in " $size(\alpha) \times size(\beta)$ "
- Algebraic formula to BDD: exponential (worst case)
- Variable ordering is very important:  
 $(x_1 \oplus x_2) \cdot (x_3 \oplus x_4) \cdot \dots \cdot (x_{2n-1} \oplus x_{2n})$   
size in  $O(n)$  for  $x_1 \prec x_2 \prec x_3 \prec \dots \prec x_{2n}$   
size in  $O(2^n)$  for  $x_1 \prec x_3 \prec \dots \prec x_{2n-1} \prec x_2 \prec x_4 \prec \dots \prec x_{2n}$

Lots of variants/implementations

⇒ an interesting variant: Signed BDD

Operations on BDDs \_\_\_\_\_ 38/95

## Signed BDD \_\_\_\_\_

### Note on negation

- BDDs for  $f$  and  $\neg f$  are very similar: same structure, only leaves are different
- They don't share any node (costly in space)
- Computing  $\neg$  costs (although not a lot –linear–)

### Sharing structure

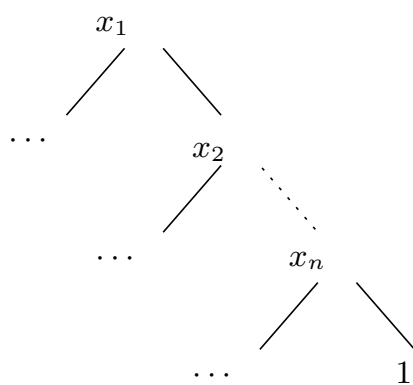
- Concretely represent only one of  $f$  or  $\neg f$
- Define the other as the negation
- **Problem:** how to keep it canonical ?

Signed BDD \_\_\_\_\_ 39/95

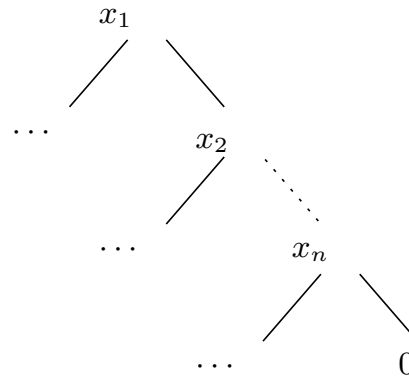
## Positive functions

### Definition

$f \in \mathbf{B}^n \rightarrow \mathbf{B}$  is **positive** iff  $f(1, 1, \dots, 1) = 1$



Positive function



Negative function

**Idea:** Nodes are reserved for positive functions,  
negative ones are defined by adding a *sign* flag

Signed BDD \_\_\_\_\_ 40/95

## SBDD

### Recursive definition of SBDD and FPOS

- A SBDD is a couple  $(s, f) \in \{+, -\} \times FPOS$   
i.e. (sign + positive func)
- 1 is a FPOS (the unique leaf)
- A triplet in  $V \times SBDD \times FPOS$  is a FPOS, with the same range constraints than classical BDD

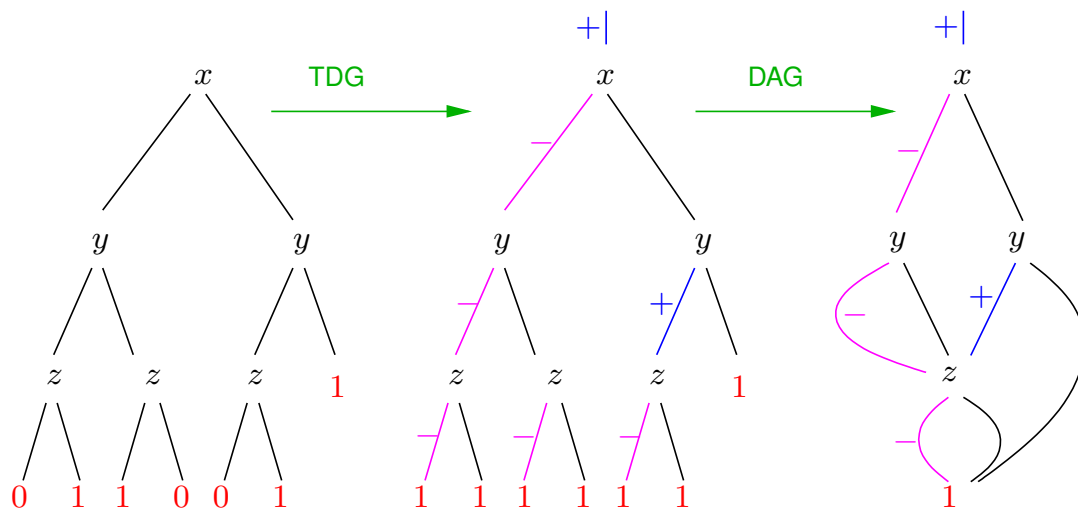
Examples:

- $(+, 1)$  is "always true"     $(-, 1)$  is "always false"
- $(+, \begin{array}{c} x \\ \swarrow \searrow \\ (-, 1) \quad 1 \end{array})$  is  $x$      $(-, \begin{array}{c} x \\ \swarrow \searrow \\ (-, 1) \quad 1 \end{array})$  is  $\neg x$

Signed BDD \_\_\_\_\_ 41/95

## Full SBDD example

$$x \cdot y + (y \oplus z)$$



## Notes on complexity

- Negation is **free**
- Always better than "classical" BDD (space and time)

## Using a BDD library

- Even when not explicit, they are always SBDD
- Variable ordering is hidden (dynamic reordering)
- high level Boolean functions are provided  
(true-bdd, false-bdd, idy-bdd(v), and-bdd(f,g) etc)
- Some other ad hoc procedures (depending on Shannon decomposition)

## 3. BDD based methods

Forward Symbolic algorithms .....	45
Backward symbolic algorithm .....	58

*Contents* ..... 44

### Forward Symbolic algorithms .....

#### Encoding sets with formulas

- Enumerative algo  $\Rightarrow$  complexity is related to number of states/transitions
- Idea: encoding sets (states, transitions) by Boolean formula (BDD)
- Example:  $S = \{x, y, z, t\}$ , states such that  $x + y \cdot \neg t$ :
  - $\hookrightarrow$  10 concrete states
  - $\hookrightarrow$  small formula (3 BDD nodes)
- this family of method is called **Symbolic Model Checking**

## Reachable states computation

- operates on a verification program  $(S, V, \text{Init}, G, \phi, H)$ ,  
(we note  $Q = 2^{|S|}$  the state space),
- manipulates sets of states (formulas on  $S$ ) and transitions (formulas on  $S \times V$ ),
- uses set (i.e. logical) operators ( $\cup, \cap, \setminus$  etc),
- uses **image computing**:  $\text{Post}_H : 2^Q \rightarrow 2^Q$   
$$\text{Post}_H(X) = \{q' / \exists q \in X, v \in 2^V \ H(q, v) \wedge q \xrightarrow{v} q'\}$$
  
(implementation is presented later)

## Algorithm

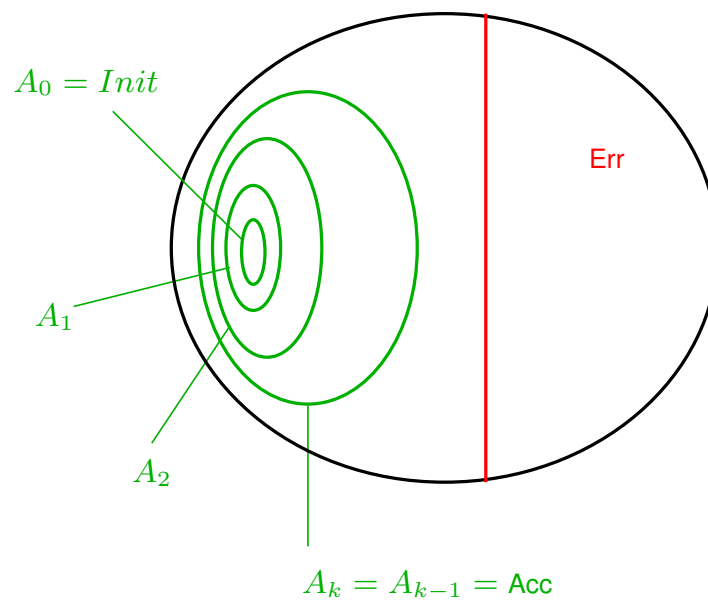
Manipulates a BDD  $A$  = states reachable in less than  $n$  transitions

- Initially:  $A := \text{Init}$
- Repeat:
  - $\hookrightarrow$  if  $A \wedge \text{Err} \neq 0$  then **EXIT(failed)**
  - $\hookrightarrow$  else let  $A' := A \vee \text{Post}_H(A)$   
if  $A' = A$  then **EXIT(succeed)**  
else  $A := A'$ , and continue

When the proof succeeds, we have  $A = A' = \text{Acc}$

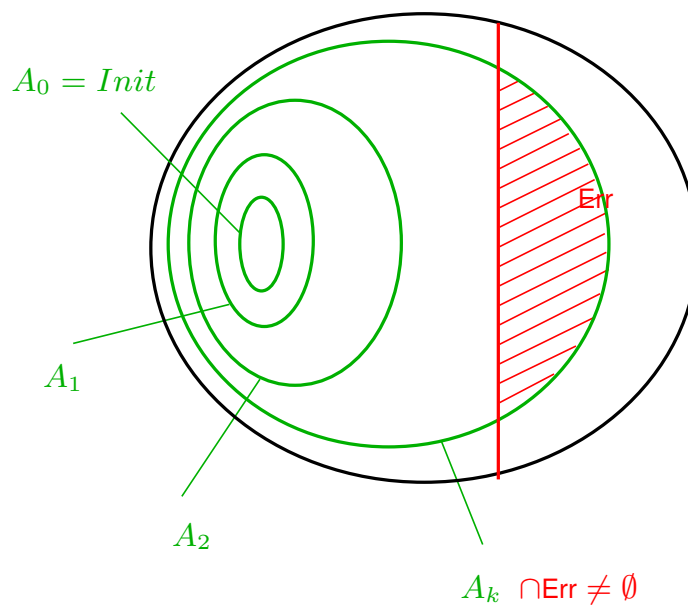


## Execution



Proof succeeds

## Execution (cntd)



Proof fails

## Naive implementation of $\text{Post}_H(X)$

Using only logical operators, one build a (huge) formula over:

- source state variables  $s_1, s_2, \dots, s_n$  (or  $s$ )
- free variables  $v_1, v_2, \dots, v_m$  (or  $v$ )
- target state variables  $s'_1, s'_2, \dots, s'_n$  (or  $s'$ )

$$\exists s, v ( X(s) \wedge H(s, v) \wedge \bigwedge_{i=1}^n s'_i = g_i(s, v) )$$

→  $s$  is a source state

→  $(s, v)$  satisfies the assumption

→ each  $s'_i$  is the image of  $g_i$

→ elimination of all  $s_i$  and all  $v_j$

Result: the formula  $N(s')$  characterizing the target states

## Efficient implementation of $\text{Post}_H(X)$

- **Problem:** naive method merges  $s_i$  and  $s'_j$  in BDD
- **Idea:** using the fact that we have transition *functions*
- **How:** Define  $\text{Post}_H(X)$  by induction on transition functions

In order to simplify, we note:

- $l$  for  $(s, v)$
- $Y(l)$  for  $X(l) \wedge H(l)$   
(Remark:  $Y \neq 0$ , otherwise it's trivial  $\text{Post}_H(0) = 0$ )
- $\text{Img}[g_1 \dots g_n](Y)$  the expected formula over  $s'$ , defined by:

$$\text{Img}[g_1 \dots g_n](Y) = \exists l Y(l) \wedge \bigwedge_{i=1}^n s'_i = g_i(l)$$

Let us study the Shannon decomposition of this formula ...

Decomposition on  $s'_1$ :

- $s'_1 = 1$  gives  $I_1 = \exists l \ (Y \wedge g_1)(l) \wedge (\bigwedge_{i=2}^n s'_i = g_i(l))$
- $s'_1 = 0$  gives  $I_0 = \exists l \ (Y \wedge \neg g_1)(l) \wedge (\bigwedge_{i=2}^n s'_i = g_i(l))$

We consider 3 cases:

- $Y \wedge g_1$  is identically false (i.e.  $Y \wedge \neg g_1 = Y$ ):

$$I_1 = 0$$

$$I_0 = (\exists l \ Y(l) \wedge \bigwedge_{i=2}^n s'_i = g_i(l)) = \text{Img}[g_2 \dots g_n](Y)$$

- $Y \wedge \neg g_1$  is identically false (i.e.  $Y \wedge g_1 = Y$ ):

$$I_1 = (\exists l \ Y(l) \wedge \bigwedge_{i=2}^n s'_i = g_i(l)) = \text{Img}[g_2 \dots g_n](Y)$$

$$I_0 = 0$$

- otherwise:

$$I_1 = \exists l \ (Y \wedge g_1)(l) \wedge (\bigwedge_{i=2}^n s'_i = g_i(l)) = \text{Img}[g_2 \dots g_n](Y \wedge g_1)$$

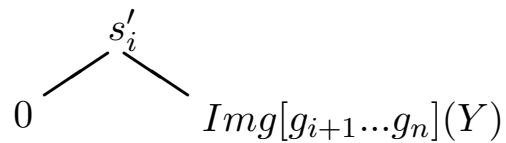
$$I_0 = \exists l \ (Y \wedge \neg g_1)(l) \wedge (\bigwedge_{i=2}^n s'_i = g_i(l)) = \text{Img}[g_2 \dots g_n](Y \wedge \neg g_1)$$

**Conclusion:** recursive definition of  $\text{Img}$ , where  $s'_i$  variables are never merged with the other

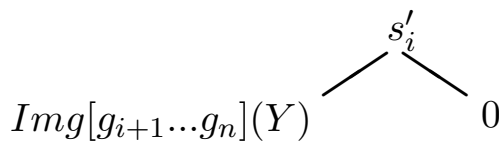
- $\text{Img}[](Y) = 1$

- $\text{Img}[g_i \dots g_n](Y) =$

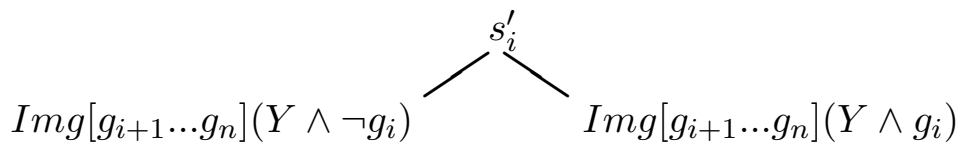
if  $Y \Rightarrow g_i$  then



if  $Y \Rightarrow \neg g_i$  then



else



## Optimization of image computing

- How to define a "Knowing that" operator ?
- intuitively,  $h = f$  **knowing that**  $g$  must be
  - ↪ equivalent to  $f$  if  $g$  is true ( $f.g \Rightarrow h \Rightarrow f + \bar{g}$ )
  - ↪ such that  $h = 1$  if  $g \Rightarrow f$
  - ↪ such that  $h = 0$  if  $g \Rightarrow \neg f$
  - ↪ as *simple* as possible otherwise
- Remarks:
  - ↪ Depend on a particular representation (not strictly logical)
  - ↪ There are many of such operators
  - ↪ Some of them have *interesting* extra properties

## Constrain operator

$f \downarrow g$ , is defined for  $g \neq 0$  by:

- $f \downarrow 1 = f$
- $0 \downarrow g = 0$
- $1 \downarrow g = 1$
- $$\begin{array}{c} x \\ \swarrow \quad \searrow \\ f_0 \quad f_1 \end{array} \downarrow \begin{array}{c} x \\ \swarrow \quad \searrow \\ 0 \quad g_1 \end{array} = f_1 \downarrow g_1$$
- $$\begin{array}{c} x \\ \swarrow \quad \searrow \\ f_0 \quad f_1 \end{array} \downarrow \begin{array}{c} x \\ \swarrow \quad \searrow \\ g_0 \quad 0 \end{array} = f_0 \downarrow g_0$$
- otherwise, classical "balance" rules

## Constrain operator (cntd)

- Extra properties of constrain:

↪ distributes on negation:

$$(\neg f) \downarrow g \equiv \neg(f \downarrow g)$$

↪ substitutes to  $\wedge$  under  $\exists$  quantifier:

$$\exists x (f \wedge g)(x) \equiv \exists x (f \downarrow g)(x)$$

↪ in particular:

$$\exists l \ Y(l) \wedge \bigwedge_{i=1}^n s'_i = g_i(l) \equiv \exists l \ \bigwedge_{i=1}^n (s'_i = (g_i \downarrow Y)(l))$$

- Constrain and image computing:

↪  $Img[g_1 \dots g_n](Y) = Img[(g_1 \downarrow Y) \dots (g_n \downarrow Y)](1)$

⇒ second argument useless, only compute universal images

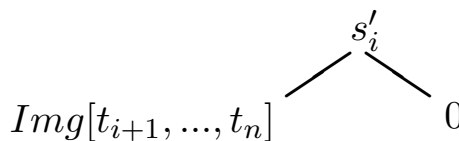
## Optimized image computing

- Compute all  $t_i = g_i \downarrow (X \downarrow H)$

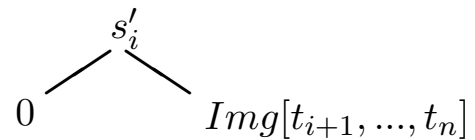
- Then  $Img[t_1, \dots, t_n]$  with:

$$Img[] = 1$$

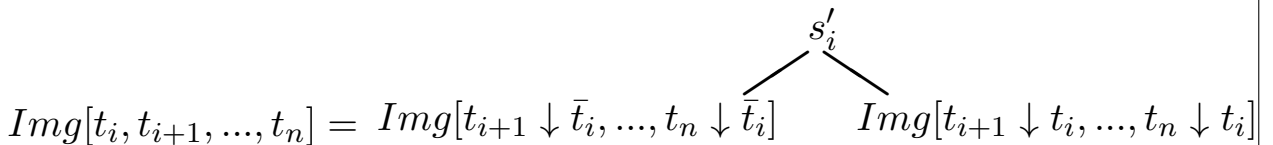
$$Img[0, t_{i+1}, \dots, t_n] =$$



$$Img[1, t_{i+1}, \dots, t_n] =$$



$$Img[t_i, t_{i+1}, \dots, t_n] =$$



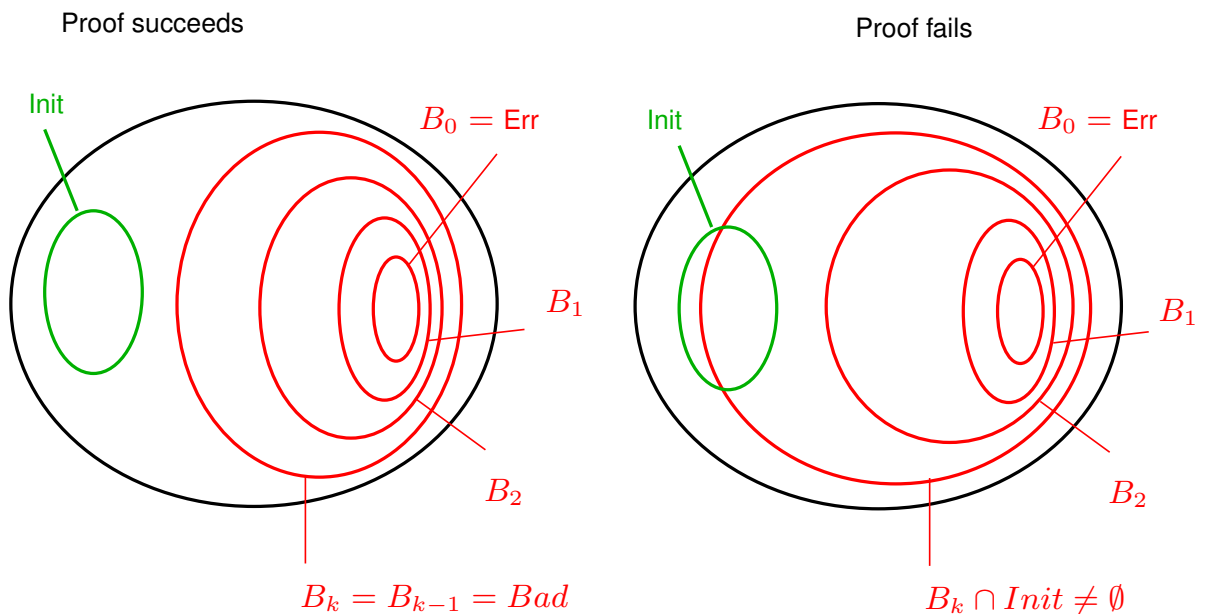
## Backward symbolic algorithm

### How it works

- Very similar to forward
- Uses reverse image computing  $\text{Pre}_H : 2^Q \rightarrow 2^Q$   
$$\text{Pre}_H(X) = \{q \mid \exists q' \in X, v \in 2^V \ H(q, v) \wedge q \xrightarrow{v} q'\}$$
- Uses  $B = \text{states leading to Err in less than } n \text{ transitions}$
- Initially:  $B := \text{Err}$
- Repeat:
  - ↪ if  $B \wedge \text{Init} \neq \emptyset$  then **EXIT(failed)**
  - ↪ else let  $B' := B \vee \text{Pre}_H(B)$   
if  $B' = B$  then **EXIT(succeed)**  
else  $B := B'$ , and continue

When the proof succeeds, we have  $B = B' = \text{Bad}$

### Backward symbolic



## Implementation of $\text{Pre}_H(X)$

No need to merge  $s_i$  and  $s'_i$  in BDD

Similar to function composition

- $\text{Pre}_H(X) = \exists v \ H(s, v) \wedge \text{Revim}[X](s, v)$

with:

- $\text{Revim}[0] = 0$

- $\text{Revim}[1] = 1$

- $\text{Revim}\left[\begin{array}{c} s'_i \\ \swarrow \quad \searrow \\ X_0 \quad X_1 \end{array}\right] = g_i(s, v) \cdot \text{Revim}[X_1] + \neg g_i(s, v) \cdot \text{Revim}[X_0]$

## Conclusion

- Approach limited to safety (i.e. program invariants)
- Exhaustive (but symbolic) finite state machine exploration
- Inspired/derived from methods designed for circuit verification (90's)
- Despite the "untractable" theoretic complexity, works well for a large class of programs:
  - ↪ control programs, few numerical aspects (otherwise abstraction may be too rough)
  - ↪ small size, but note that complexity is not directly related to the number of variables (symbolic)

## 4. Decision techniques (Sat Solvers)

The SAT problem .....	63
CNF transformation .....	66
Davis-Putnam Algorithm .....	70
Recursive learning .....	79
SAT modulo theory .....	82

*Contents* ..... 62

### The SAT problem .....

#### Definition and complexity

- Is a propositional formula satisfiable ?
- More generally: find all solutions.
- This is “THE ” NP-complete problem,  
i.e. combinatorial explosion in time and/or space (worst case)

#### Restriction

- Implicitly: only consider methods with low-cost in memory,
- i.e. memory cost is polynomial,
- i.e. may explode in time but not in space
- It excludes methods like BDD

The SAT problem ..... 63/95



## SAT input data

- For the user: formula in algebraic form ( $\neg$ ,  $\vee$ ,  $\wedge$ ,  $\Rightarrow$ ,  $\Leftrightarrow$ ,  $\oplus$  etc.)
- For the algorithms: *Conjunctive Normal Form (CNF)*
  - $\hookrightarrow$  Conjunctive because it is *the hard form*
  - $\hookrightarrow$  The dual (Disjunctive Normal Form) is “simple”: it can be linearly reduced  
 $Sat(\phi \vee \psi)$  iff  $Sat(\phi)$  OR  $Sat(\psi)$
  - $\hookrightarrow$  Normal Form: for *simplicity*

## Terminology

- A *literal*  $l$  is either a variable  $x$ , or the negation of a variable  $\bar{x}$ .
- A *clause* is a disjunction of literals  $c = \bigvee_{i \in I} l_i$ .
- A *(CNF) formula* is a conjunction of clauses  $f = \bigwedge_{j \in J} c_j$

## Notations

- “logical AND ” is  $\wedge$  or  $\cdot$
- “logical OR ” is  $\vee$  or  $+$
- “logical NOT ” is  $\neg$  or  $^-$

## CNF transformation

### Naive method

De Morgan's law to push “ $\neg$ ” the leaves

$$\begin{aligned}\text{CNF}(x) &= x & \text{CNF}(\bar{x}) &= \bar{x} \\ \text{CNF}(f.g) &= \text{CNF}(f).\text{CNF}(g) \\ \text{CNF}(\neg(f + g)) &= \text{CNF}(\neg f).\text{CNF}(\neg g) \\ \text{CNF}(f + g) &= \text{Merge}(\text{CNF}(f), \text{CNF}(g)) \\ \text{CNF}(\neg(f.g)) &= \text{Merge}(\text{CNF}(\neg f), \text{CNF}(\neg g))\end{aligned}$$

where “merge” is the clause cross-product:

$$\text{Merge}\left(\bigwedge_{i \in I} \phi_i, \bigwedge_{j \in J} \psi_j\right) = \bigwedge_{i,j \in I \times J} (\phi_i + \psi_j)$$

Example:  $\text{CNF}(x.y + \bar{x}.(z + t)) = ?$

$$(\bar{x} + y).(x + y + z)$$

### Problem

- Naive algo is *exponential* in the worst case:

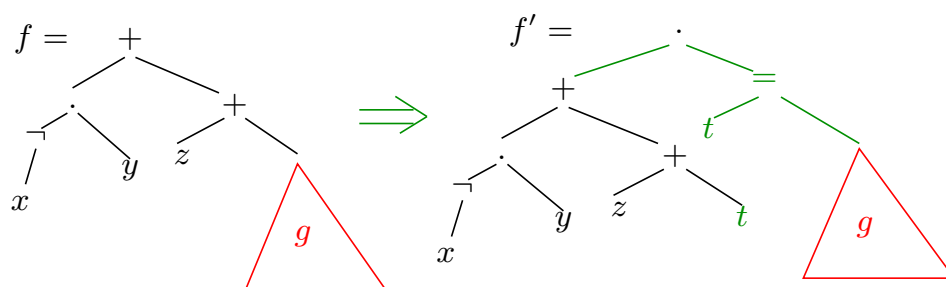
$$f = (x_0.x_1) + (x_2.x_3) + \dots + (x_{2k}.x_{2k+1})$$

$\Rightarrow 2^{k+1}$  clauses.

- Not surprising: as complex as DNF, that is, as complex as SAT itself !

### Indirect method

- Idea: add extra variables to “split” big formulas, example:



N.B. does not change the SAT problem:  $\text{Sat}(f)$  iff  $\text{Sat}(f')$

## Classical CNF construction, aka 3-SAT construction

- One (extra) variable per (binary) operator.

- Example:

↪  $f = (x \cdot y + \neg(x + \bar{y} + \bar{z}))$  gives  $f = a$  where

\*  $a = b + c$  and

\*  $b = x \cdot y$  and

\*  $c = \neg(x + d) = \bar{x} \cdot \bar{d}$  and

\*  $d = \bar{y} + \bar{z}$

↪ each equation gives exactly 3 clauses, e.g.:

\*  $(a = b + c) \Leftrightarrow (\bar{a} + b + c) \cdot (a + \bar{b}) \cdot (a + \bar{c})$

\*  $(b = x \cdot y) \Leftrightarrow (b + \bar{x} + \bar{y}) \cdot (\bar{b} + x) \cdot (\bar{b} + y)$

↪ Finally:  $f$  gives 1 unit clause + 4 equations (binary ops.) that each gives 3 clauses:

\* 13 clauses

\* LINEAR: size of  $f' = 1 + 3 \times \text{size of } f$

## Note on 3-SAT formulation

- As seen in the example,  $+$  and  $\cdot$  operators give 3 clauses,

- Exclusive or (difference) and equivalence are “more complex” and give 4 clauses:

↪  $CNF(a = (x \oplus y)) = (\bar{a} + \bar{x} + \bar{y}) \cdot (\bar{a} + x + y) \cdot (a + \bar{x} + y) \cdot (a + x + \bar{y})$

↪  $CNF(a = (x = y)) = (\bar{a} + \bar{x} + y) \cdot (\bar{a} + x + \bar{y}) \cdot (a + \bar{x} + \bar{y}) \cdot (a + x + y)$

- However, 3-SAT transformation of any problem is linear

- Important: each clause contains at most **3 literals**

↪ Terminology: 3-SAT problem = solve a CNF where clauses have at most 3 literals,

↪ Terminology: K-SAT problem = solve a CNF where clauses have at most K literals ...

- 3-SAT is **as general** as SAT, thus NP-complete

- 2-SAT is **strictly simpler**, proved polynomial (in fact linear !)

## Davis-Putnam Algorithm

### History

- More a *general method*, with lots of derived algorithms
- The very first Davis-Putnam is NOT the right one:
  - ↪ it's a space exploration algo (that may explode in memory)
- The “right one ” should be referred as **Davis-Putnam-Logemann-Loveland (DPLL)**:
  - ↪ this is where the idea of linear memory cost appear

### General structure

Parameterized by 3 functions *Simplify*, *Tautology*, *Contradiction* such that:

- $Sat(Simplify(\phi))$  iff  $Sat(\phi)$
- $Simplify(\phi)$  is simpler (i.e. smaller)
- $Tautology(\phi)$ , resp.  $Contradiction(\phi)$  detect whether  $\phi$  is a trivial tautology, resp. contradictory (i.e. for a neglectable cost)

$Sat(\phi) =$

```
 $\phi := Simplify(\phi)$ 
if  $Tautology(\phi)$  returns SAT
if  $Contradiction(\phi)$  returns UNSAT
chose ONE literal  $x$ 
if  $Sat(\phi \wedge x)$  returns SAT
else if  $Sat(\phi \wedge \neg x)$  returns SAT
else returns UNSAT
```

## Original *Simplify* procedure

- Based on two principles:
  - ↪ Propagation of **unit clauses**.
  - ↪ Elimination of **pure literals**.
- A clause is **unit** if it contains a single literal:
  - ↪  $x$  is replaced by 1 and  $\bar{x}$  by 0
  - ↪ i.e. clauses containing  $x$  are erased
  - ↪ i.e.  $\neg x$  is erased from the other clauses
  - ↪  $\equiv$  constant propagation
- A literal  $l$  is **pure** if its negation does not appear in any clause
  - ↪ we can arbitrary chose to set  $l$  to 1,
  - ↪ which leads to simplify the problem (“erase ” clauses containing  $l$ )

## Note on pure literals

- How it works ?
  - ↪ If  $x$  is pure, alors  $\phi \equiv (x + \alpha).\beta$ , where neither  $\alpha$  nor  $\beta$  are containing  $x$  ou  $\bar{x}$
  - ↪ Conclusion:  $\exists x((x + \alpha).\beta) \equiv (\beta + \alpha.\beta) \equiv \beta$
  - ↪ i.e.  $\phi$  has solutions iff it has solutions for  $x = 1$
- Problem: what about the (potential) solutions where  $x = 0$  ?
  - ↪ it is possible to perform “basic” SAT: answer yes/no
  - ↪ but not “extended” SAT: iterate all solutions
  - ↪ In practice: pure literal rule is not used (even if rather smart)

## “Classical ” DP(LL)

- extended SAT (enumerate solutions) with unit propagation and split
- arguments:
  - ↪ the (CNF) formula to solve  $f$
  - ↪ the inherited partial candidate solution (monomial)  $m$
- Starting call:  $DPLL(f, 1)$

$DPLL(f, m)$

while it exists a unit clause  $l$  in  $f$  do

$f := \text{Eliminate}(f, l); m := m \cdot l$

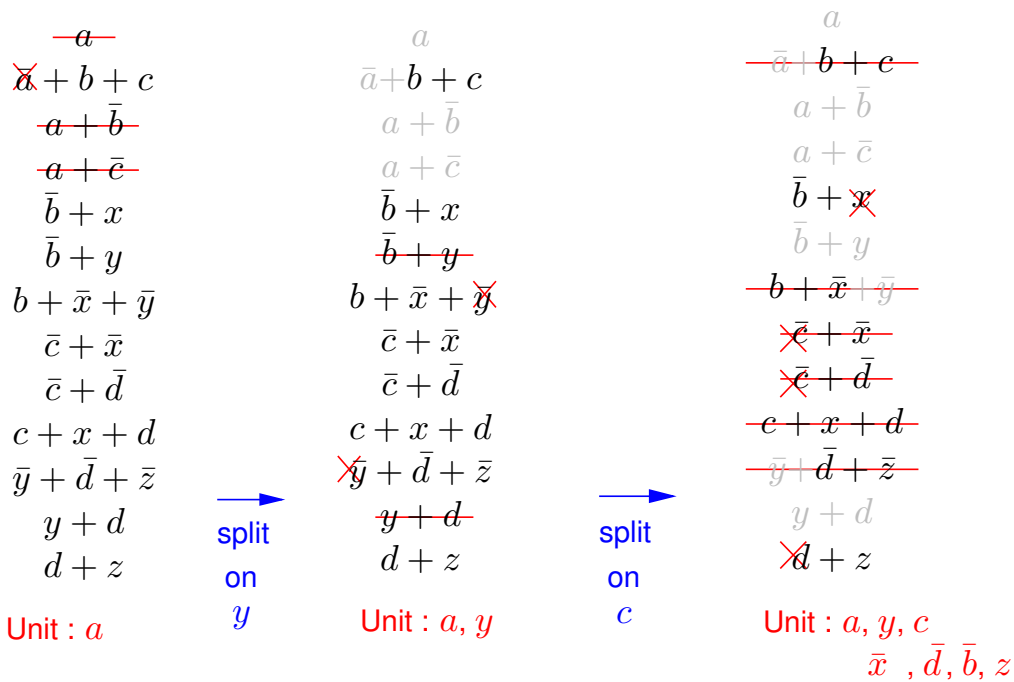
if  $f$  is identically true then **PrintSolution(m); return**

else if  $f$  is identically false then **return**

else chose some literal  $x$  in  $f$

$DPLL(f, m \cdot x)$

$DPLL(f, m \cdot \bar{x})$



Solution :  $\bar{x}, y, z$

$$\begin{array}{l}
 \text{---}a\text{---} \\
 \cancel{\bar{a}} + b + c \\
 \text{---}a + \bar{b}\text{---} \\
 \text{---}a + \bar{c}\text{---} \\
 \bar{b} + x \\
 \bar{b} + y \\
 b + \bar{x} + \bar{y} \\
 \bar{c} + \bar{x} \\
 \bar{c} + \bar{d} \\
 c + x + d \\
 \bar{y} + \bar{d} + \bar{z} \\
 y + d \\
 d + z
 \end{array}$$

Unit :  $a$

→ split  
on  
 $y$

$$\begin{array}{l}
 a \\
 \bar{a} + b + c \\
 a + \bar{b} \\
 a + \bar{c} \\
 \bar{b} + x \\
 \text{---}\bar{b} + y\text{---} \\
 b + \bar{x} + \cancel{y} \\
 \bar{c} + \bar{x} \\
 \bar{c} + \bar{d} \\
 c + x + d \\
 \cancel{\bar{y}} + \bar{d} + \bar{z} \\
 y + \text{---}d\text{---} \\
 d + z
 \end{array}$$

Unit :  $a, y$

→ split  
on  
 $d$

$$\begin{array}{l}
 a \\
 \bar{a} + b + \cancel{c} \\
 a + \bar{b} \\
 a + \bar{c} \\
 \bar{b} + x \\
 \bar{b} + y \\
 b + \bar{x} + \bar{y} \\
 \text{---}\bar{c} + \bar{x}\text{---} \\
 \text{---}\bar{c} + \cancel{d}\text{---} \\
 \text{---}c + x + d\text{---} \\
 \bar{y} + \cancel{\bar{d}} + \bar{z} \\
 y + d \\
 \text{---}d + z\text{---}
 \end{array}$$

Unit :  $a, y, d, \bar{c}, \bar{z}, b, x$

→ Split  $d$  gives  $\bar{z}$  : solution :  $x, y, \bar{z}$

→ Split  $\bar{d}$  gives  $z$  : solution :  $x, y, z$

$$\begin{array}{l}
 \text{---}a\text{---} \\
 \cancel{\bar{a}} + b + c \\
 \text{---}a + \bar{b}\text{---} \\
 \text{---}a + \bar{c}\text{---} \\
 \bar{b} + x \\
 \bar{b} + y \\
 b + \bar{x} + \bar{y} \\
 \bar{c} + \bar{x} \\
 \bar{c} + \bar{d} \\
 c + x + d \\
 \bar{y} + \bar{d} + \bar{z} \\
 y + d \\
 d + z
 \end{array}$$

Unit :  $a$

→ split  
on  
 $\bar{y}$

$$\begin{array}{l}
 a \\
 \text{---}\bar{a} + \cancel{b} + c\text{---} \\
 a + \bar{b} \\
 a + \bar{c} \\
 \text{---}\bar{b} + x\text{---} \\
 \text{---}\bar{b} + \cancel{y}\text{---} \\
 \text{---}b + \bar{x} + \bar{y}\text{---} \\
 \cancel{\bar{c}} + \bar{x} \\
 \cancel{\bar{c}} + \bar{d} \\
 \text{---}c + x + d\text{---} \\
 \text{---}\bar{y} + \bar{d} + \bar{z}\text{---} \\
 \cancel{y} + d \\
 d + z
 \end{array}$$

Unit :  $a, \bar{y}, \bar{b}, c$

Contradiction

NO solution

## Implementation elements

- pivot (branching literal) choice very important (heuristics).
- Data structures as “light ” as possible.
- Idem for the control structure (“stack-free ”).

## Recursive learning \_\_\_\_\_

### Principles: range and contradictions

- **State of the algo** during the execution:
  - ↪ units with range 0 ( $L_0$ ) = initial units and their consequences,
  - ↪ units with range 1 ( $L_1$ ) = 1st pivot  $p_1$  and its consequences,
  - ↪ etc.
- If a **contradiction occurs at range  $n$**  (pivot  $p_n$ ), then:
  - ↪ it exists at least 2 clauses  $x + a + b + c + \dots$  and  $\bar{x} + \alpha + \beta + \gamma + \dots$
  - ↪ with  $\bar{x}$  and  $x$  are of range  $n$  (contradiction)
  - ↪ and  $\bar{a}, \bar{b}, \bar{\alpha}, \bar{\beta} \dots$  of some range  $k \leq n$
- **Property**: let  $k$  be the greatest range (different from  $n$ ):
  - ↪ choices (pivots) made between ranges  $k$  and  $n$  **have NO influence on the contradiction**
  - ↪ i.e. same contradiction would have occur if  $p_n$  have been chosen *just after* range  $k$
  - ↪ i.e.  $\bigwedge_{i=1}^k p_i \Rightarrow \bar{p}_n$



## Example

$\bar{z}$ ,  $\bar{v}$  et  $\bar{w}$  are literals of range  $\max k < n$

$x + y + z$	$x + y + z$	$x + y + z$
$\bar{x} + t + v$	$\bar{x} + t + v$	$\bar{x} + t + v$
$y + \bar{t} + w$	$y + \bar{t} + w$	<del><math>y + \bar{t} + w</math></del>
$\bar{y}$ unit of range $n$	$\bar{t}$ unit	contradiction

## Conclusion

- If the choice  $p_n$  has been made **just after** range  $k$ , the same contradiction would have occur
- thus:  $\bigwedge_{i=1}^k p_i \Rightarrow \neg p_n$
- Particularly interesting when  $k < n - 1$
- $\Rightarrow$  recursive learning

Recursive learning \_\_\_\_\_ 80/95

## Recursive learning

- How to exploit contradictions sources
- If we found that  $\bigwedge_{i=1}^k p_i \Rightarrow \neg p_n$ , we can:
  - $\hookrightarrow$  immediately back-track to level  $k$  and add unit  $\neg p_n$  to  $P_k$  (not so smart);
  - $\hookrightarrow$  continue normally with the extra info that  $\neg p_n$  *must be considered as unit* as long as the level is greater than  $k$ .

## Conclusion on (basic) SAT-solver

- Cost (potentially) exponential in time, but polynomial in space
- Lots of efficient (relative!) implementations
- Important extension: SAT Modulo Theory

Recursive learning \_\_\_\_\_ 81/95

### Principles

- Most of (modern) solvers ARE SMT solvers
- Extension of Boolean SAT Solver
- First order logic + decidable embedded theory (e.g. linear algebra)
- Data: a first-order (i.e. Boolean) formula, where variables are sentences in the host theory
- How it works:
  - ↪ a classical SAT solver enumerate the Boolean solutions (conjunction of host formula)
  - ↪ the host solver checks the satisfiability of the Boolean solution in the host theory

### Example: SMT with Linear Algebra theory

- First order formula (in CNF):  $\phi = (a \cdot b \cdot c \cdot (d + e))$
- Where:  $a = (x \geq y - 1)$ ,  $b = (x + y \leq 1)$ ,  $c = (y \geq 0)$ ,  
 $d = (x \leq -2)$ ,  $e = (x \geq 2)$
- 1st (Boolean) solution found:  $a \cdot b \cdot c \cdot d$ 
  - ↪ Corresponding Host Theory formula is:  
 $\psi_1 = (x \geq y - 1) \wedge (x + y \leq 1) \wedge (y \geq 0) \wedge (x \leq -2)$
  - ↪ Ask the host (Linear Algebra) solver for the satisfiability of  $\psi_1$ :  
answer **UNSAT, continue Boolean SAT solving ...**
- 2nd (Boolean) solution found:  $a \cdot b \cdot c \cdot e$ 
  - ↪ Corresponding Host Theory formula is:  
 $\psi_2 = (x \geq y - 1) \wedge (x + y \leq 1) \wedge (y \geq 0) \wedge (x \geq 2)$
  - ↪ Ask the host (Linear Algebra) solver for the satisfiability of  $\psi_2$ :  
answer **UNSAT, continue Boolean SAT solving ...**
- No more Boolean solution, the SMT problem is **UNSATISFIABLE**

## 5. Sat solver based methods

Sat solvers .....	85
Sat solver vs state machines .....	88

Contents ..... 84

### Sat solvers .....

#### What is a sat solver ?

- deals with first order formulas
- answer whether a (Boolean) formula  $f(x_1, \dots, x_n)$  is:
  - ↪ unsatisfiable (i.e. it is a false assertion)
  - ↪ satisfiable, with, in general, one solution of the formula
  - ↪ alternatively, a sat solver is also able to enumerate all the solution

#### Examples

- for  $(x \cdot y + (y \oplus z))$ , answer "sat", with, e.g.  $x = 0, y = 1, z = 0$ ,  
(or  $x = 0, y = 0, z = 1$ , or  $x = 1, y = 1, z = 1$  etc)
- for  $(x = y) \cdot (\neg y \cdot z \cdot x)$  answer "unsat"

Sat solvers ..... 85/95

## Sat solver and tautologies

- can be used to check tautologies:
  - $\hookrightarrow$  if  $f$  is unsat, then  $\neg f$  is sat for any valuations of the variables
  - $\hookrightarrow$  i.e.  $\neg(\exists x \neg f(x)) \Leftrightarrow \forall x f(x)$
- example:  $\neg(x \Rightarrow (y \Rightarrow x))$  is unsat, thus  $(x \Rightarrow (y \Rightarrow x))$  is a tautology

## Theoretical facts

The (Boolean) satisfiability problem is:

- decidable, thus complete decision algorithm exist,
- untractable (it is the NP-complete reference problem)

## Note SMT Solvers

- Most of the (modern) existing tools do more than Boolean decision.
- They integrate extra "knowledge" on other domains, like linear arithmetics, ordered sets, etc.
- They are called Sat Modulo Theory Solvers (SMT-solver).

Depending on the integrated theory, the SMT problem:

- decidable, e.g. Boolean + Presburger arithmetics,
- or just semi-decidable (full arithmetics) the tool may answer sat, unsat, or inconclusive.

## Sat solver vs state machines \_\_\_\_\_

Reminder: a verification program is...

- a set of (free) variables  $v$ , a set of state variables  $s$
- a set of initial state characterized by  $\text{Init}(s)$
- a transition function characterized by  $s' = \text{Post}_H(s)$
- a (state) property  $\psi(s) = (\forall v \ h(s, v) \Rightarrow \phi(s, v))$

### Shortcuts

- Transition relation:

$$\hookrightarrow T(s', s) =_{def} \exists v \ s' \xrightarrow{v} s \wedge H(s', v)$$

- Reachable states:

$$\hookrightarrow A_0(s) = \text{Init}(s)$$

$$\hookrightarrow A_{n+1}(s) = \exists s_n \ A_n(s_n) \wedge T(s_n, s)$$

$$\hookrightarrow \text{i.e. } A_n(s) \text{ are the states reachable in } n \text{ steps}$$

- Property successors:

$$\hookrightarrow \psi^{-1}(s) =_{def} \exists s' \ \psi(s') \wedge T(s', s)$$

$$\hookrightarrow \psi^{-n-1}(s) =_{def} \exists s' \ \psi^{-n}(s') \wedge T(s', s)$$

$$\hookrightarrow \text{i.e. } \psi^{-n}(s) \text{ are the states reachable by a path of length } n \text{ from a state satisfying } \psi$$

### A trivial case ...

- a sat solver knows nothing about automata and states, however:
  - ↪ if it appears that  $\psi(s)$  is a tautology, then the property is checked!
  - ↪ i.e. it does not depend on states (lucky case)

### A less trivial case ...

- if property holds for all initial states  
i.e.  $A_0(s) \Rightarrow \psi(s)$  is a tautology
- and moreover  $\psi^{-1}(s) \Rightarrow \psi(s)$
- then, by **induction**,  $\psi$  holds for any state
- the property is 1-inductive
- otherwise: inconclusive, try 2-induction, 3-induction etc ?

### N-induction principle

- If the property holds for any n-reachable states:  $A_i(s) \Rightarrow \psi(s)$  is a tautology for any  $i = 1 \dots n$
- and if  $\psi^{-1}(s) \wedge \psi^{-2}(s) \wedge \dots \wedge \psi^{-n}(s) \Rightarrow \psi(s)$ ,
- then, by **induction**,  $\psi$  holds for any state

### Completeness of the method

- any safety property that holds for a finite automaton is **k-inductive** for some  $k$
- this  $k$  is bounded by the **diameter** of the automaton

## Complexity of the method

- the size of formulas (and variables) grows linearly with the induction degree  $n$ ...
- ... but sat-solving cost grows exponentially with the number of variables!
- in practice, the method is limited to 1 or 2 induction
- alternative:
  - ↪ check the n-basis  $(\bigwedge_{i=0}^n A_i(s) \Rightarrow \psi(s))$  ...
  - ↪ ... but not the induction rule
  - ↪ more tractable in practice (may work for a few hundreds of step)
  - ↪ but indeed not complete: not a proof, rather a super-test
  - ↪ often call **bounded model checking**

Sat solver vs state machines \_\_\_\_\_ 92/95

## 6. Annex

Example/exercice: arithmetic circuit ..... 94

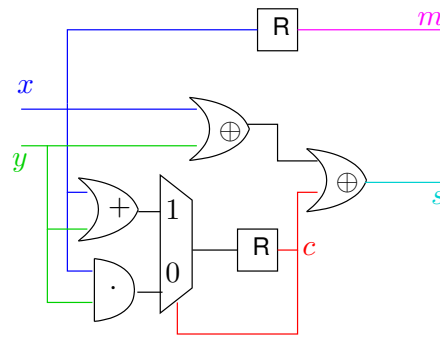
## Example/exercice: arithmetic circuit \_\_\_\_\_

Serial adder:

- inputs  $x, y$
- outputs  $s(\text{um})$ ,  $c(\text{arry})$

Shift:

- $m$  encodes  $2 \times x$



	time (most significant bits) →			
$c$	0	0	1	0
$x$	0	1	0	(2)
$y$	1	1	0	(3)
$s$	1	0	1	(5)
$m$	0	0	1	(4)

Property: if always  $x = y$  then always  $s = m$

Example/exercice: arithmetic circuit \_\_\_\_\_ 94/95

- Write in Lustre.
- Give the (more) abstract implicit automaton (equations).
- Explore the model (by hand).
- Is the property checked ?

Example/exercice: arithmetic circuit \_\_\_\_\_ 95/95