Maintenance Cost Reduction Prediction Project

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A company has a fleet of devices transmitting daily telemetry readings. They would like to create a predictive maintenance solution to proactively identify when maintenance should be performed. This approach promises cost savings over routine or time-based preventive maintenance, because tasks are performed only when warranted.

The goal of this project is to predict when/which devices potentially are going to fail next. Seven predictive models have been developed as comparison and the best model is recommended after comprehensive comparison. Data has been detailedly exploratoried and additional features are created as essential indication to improve the performance.

As ever, the contents of this project are listed as below:

1. Sourcing and loading

- Import packages
- Load data
- Explore the data

2. Cleaning, transforming and visualizing

- 2.1 Feature engineering category features
- 2.2 Further EDA STD and PCT_Change new features
- 2.3 Train/test split
- 2.4 Imbalanced process upsampling

3. Modeling

- 3.1 Model 1: Logistic Regression Model
- 3.2 Model 2: SVM Model
- 3.3 Model 3: Random Forest Model
- 3.4 Model 4: Decision Tree Model
- 3.5 Model 5: Deep Learning Neural Network
- 3.6 Model 6 & 7: Voting Classifer

4. Evaluating and concluding

- 4.1 Precision and Recall Comparison
- 4.2 Conclusion and Recommendation

1 Sourcing and loading

```
[1]: import re
    import pandas as pd
    import matplotlib.pyplot as plt
     import seaborn as sns
    from sklearn.decomposition import PCA
    from sklearn.preprocessing import scale, StandardScaler
    from pathlib import Path
    import numpy as np
    import re
    from sklearn.linear_model import LogisticRegression
    from sklearn.metrics import accuracy_score
    import math
    import imblearn
    from sklearn.linear_model import LogisticRegression
    from sklearn.tree import DecisionTreeClassifier
    from sklearn.ensemble import RandomForestClassifier
    from sklearn.svm import SVC
    from sklearn.neural_network import MLPClassifier
    from sklearn.ensemble import VotingClassifier
[2]: df = pd.read_csv('predictive_maintenance.csv', parse_dates=['date'])
[3]: df.head()
[3]:
            date device failure
                                    metric1 metric2 metric3 metric4
    0 2015-01-01 S1F01085
                                  0 215630672
                                                     56
                                                              0
                                                                       52
    1 2015-01-01 S1F0166B
                                                     0
                                                               3
                                  0 61370680
                                                                        0
    2 2015-01-01 S1F01E6Y
                                 0 173295968
                                                      0
                                                              0
                                                                        0
    3 2015-01-01 S1F01JE0
                                                      0
                                                               0
                                  0
                                    79694024
                                                                        0
    4 2015-01-01 S1F01R2B
                                  0 135970480
                                                      0
                                                                        0
       metric5 metric6 metric7 metric8 metric9
    0
             6 407438
                               0
                                        0
                                                 7
             6 403174
                               0
                                        0
                                                 0
    1
    2
            12
                 237394
                               0
                                        0
                                                 0
    3
               410186
                               0
                                        0
                                                 0
             6
                               0
                                        0
                                                 3
            15
                 313173
[4]: df.info()
    <class 'pandas.core.frame.DataFrame'>
    RangeIndex: 124494 entries, 0 to 124493
    Data columns (total 12 columns):
     # Column Non-Null Count
                                  Dtype
         date 124494 non-null datetime64[ns]
```

```
2
         failure 124494 non-null
                                     int64
     3
                  124494 non-null
         metric1
                                     int64
     4
                 124494 non-null
                                     int64
         metric2
     5
                  124494 non-null
         metric3
                                     int64
     6
                  124494 non-null
                                     int64
         metric4
     7
         metric5
                  124494 non-null
                                     int64
         metric6 124494 non-null
     8
                                     int64
                   124494 non-null
                                     int64
         metric7
                   124494 non-null
     10
         metric8
                                     int64
                  124494 non-null int64
         metric9
    dtypes: datetime64[ns](1), int64(10), object(1)
    memory usage: 11.4+ MB
[5]: df.describe()
[5]:
                   failure
                                                 metric2
                                 metric1
                                                                 metric3
            124494.000000
                            1.244940e+05
                                           124494.000000
                                                           124494.000000
     count
     mean
                 0.000851
                            1.223881e+08
                                              159.484762
                                                                9.940455
     std
                 0.029167
                            7.045933e+07
                                             2179.657730
                                                              185.747321
     min
                 0.000000
                            0.00000e+00
                                                0.000000
                                                                0.000000
     25%
                 0.000000
                            6.128476e+07
                                                0.000000
                                                                0.000000
                                                                0.000000
     50%
                 0.000000
                            1.227974e+08
                                                0.000000
     75%
                            1.833096e+08
                 0.000000
                                                0.000000
                                                                0.000000
                 1.000000
                            2.441405e+08
                                            64968.000000
                                                            24929.000000
     max
                  metric4
                                  metric5
                                                  metric6
                                                                  metric7
            124494.000000
                            124494.000000
                                            124494.000000
                                                            124494.000000
     count
                 1.741120
                                14.222669
                                            260172.657726
                                                                 0.292528
     mean
                                             99151.078547
     std
                 22.908507
                                15.943028
                                                                 7.436924
                 0.00000
     min
                                 1.000000
                                                 8.000000
                                                                 0.000000
     25%
                 0.000000
                                 8.000000
                                            221452.000000
                                                                 0.00000
     50%
                 0.00000
                                10.000000
                                            249799.500000
                                                                 0.000000
     75%
                 0.000000
                                12.000000
                                            310266.000000
                                                                 0.000000
              1666.000000
                                98.000000
                                            689161.000000
                                                               832.000000
     max
                  metric8
                                  metric9
            124494.000000
                            124494.000000
     count
     mean
                 0.292528
                                12.451524
     std
                 7.436924
                               191.425623
                 0.00000
     min
                                 0.000000
     25%
                 0.00000
                                 0.000000
     50%
                 0.00000
                                 0.00000
     75%
                 0.00000
                                 0.00000
                             18701.000000
     max
               832.000000
    df["failure"].value_counts()
```

1

device

124494 non-null

object

2 Cleaning, transforming and visualizing

2.1 Feature engineering - category features

```
[7]: df["date"].value_counts()
[7]: 2015-01-03
                   1163
     2015-01-01
                   1163
     2015-01-02
                   1163
     2015-01-04
                   1162
     2015-01-05
                   1161
     2015-11-02
                     31
     2015-10-31
                     31
     2015-10-27
                      31
     2015-10-30
                      31
                     31
     2015-10-29
     Name: date, Length: 304, dtype: int64
[8]: df["date_cat"] = df["date"].astype("category")
     df["date_month"] = df['date_cat'].dt.month
     df["date_weekday"] = df['date_cat'].dt.dayofweek
     print(df.groupby("date_month").size())
     print(df.groupby("date_weekday").size())
    date month
    1
           25032
    2
          19500
    3
          19833
    4
          12012
    5
          11330
    6
          10469
    7
          10531
    8
           8346
    9
           4470
    10
           2940
    11
             31
    dtype: int64
    date_weekday
         17886
    1
         17534
    2
         17136
    3
         18141
```

```
4 18041
5 17897
6 17859
dtype: int64
```

```
[39]: df_month = df.loc[df["failure"] == 1, ["date_month", "failure"]].

→groupby(["date_month"]).agg(["sum"])

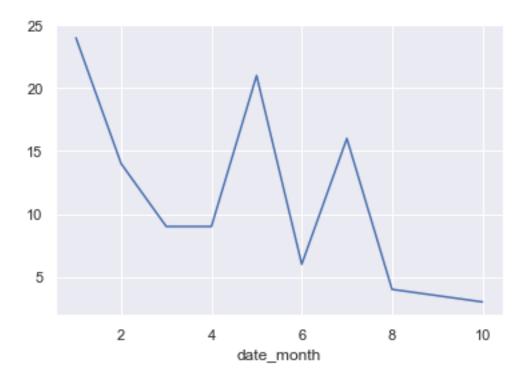
print(df_month.head())

sns.set()

df_month.plot(legend = False)
```

```
failure sum date_month 1 24 2 14 3 9 4 9 5 21
```

[39]: <matplotlib.axes._subplots.AxesSubplot at 0x249f27267f0>



```
[38]: df_weekday = df.loc[df["failure"] == 1, ["date_weekday", "failure"]].

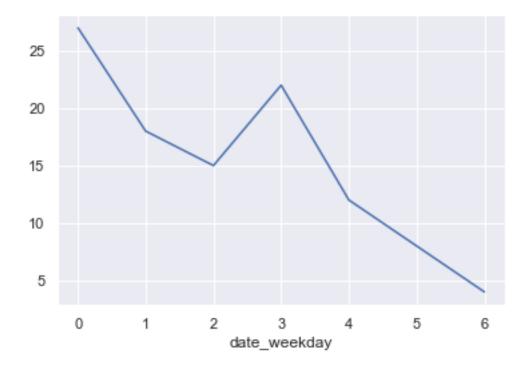
→groupby(["date_weekday"]).agg(["sum"])

print(df_weekday.head())
```

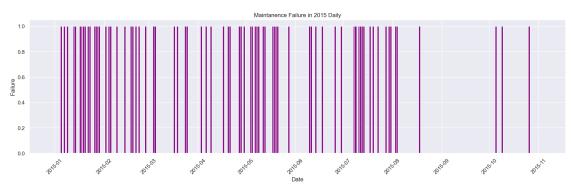
```
sns.set()
df_weekday.plot(legend = False)
```

```
failure sum date_weekday 0 27 1 18 2 15 3 22 4 12
```

[38]: <matplotlib.axes._subplots.AxesSubplot at 0x249f28da550>



```
# Rotate tick marks on x-axis
plt.setp(ax.get_xticklabels(), rotation=45)
plt.show()
```



```
[12]: df1 = df.resample('W', on='date').sum()
df1.head()
```

```
[12]:
                 failure
                               metric1 metric2 metric3 metric4 metric5 \
      date
                       0 574605009416 1881304
      2015-01-04
                                                  136076
                                                            19595
                                                                     55809
      2015-01-11
                       3 738576615862 2417064
                                                   47401
                                                            15881
                                                                     79997
      2015-01-18
                       4 625470313893 1281496
                                                   41508
                                                             6896
                                                                     71480
      2015-01-25
                      12 605094600044
                                         680664
                                                   41096
                                                             6261
                                                                     70815
      2015-02-01
                       5 612405754450
                                         705456
                                                   41078
                                                             6296
                                                                     70656
                    metric6 metric7 metric8 metric9 date_month date_weekday
      date
      2015-01-04 1108643013
                                3168
                                         3168
                                                229463
                                                              4651
                                                                           20928
      2015-01-11 1459948029
                                                              6035
                                                                           16247
                                2142
                                         2142
                                                116358
      2015-01-18 1259083398
                                1272
                                         1272
                                                 70720
                                                              5085
                                                                           15051
      2015-01-25 1252871765
                                1728
                                         1728
                                                 76906
                                                              4986
                                                                           14953
      2015-02-01 1257979649
                                1808
                                         1808
                                                 72695
                                                              5699
                                                                           14961
```

```
[112]: # Create figure and plot space
sns.set()
fig, ax = plt.subplots(figsize=(20, 5))

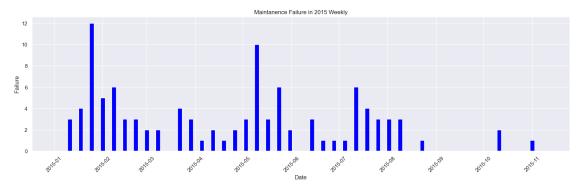
# Add x-axis and y-axis
ax.bar(df1.index.values, df1["failure"], color='blue', width=2.8)

# Set title and labels for axes
ax.set(xlabel="Date",
```

```
ylabel="Failure",
    title="Maintanence Failure in 2015 Weekly")

# Rotate tick marks on x-axis
plt.setp(ax.get_xticklabels(), rotation=45)

plt.show()
```

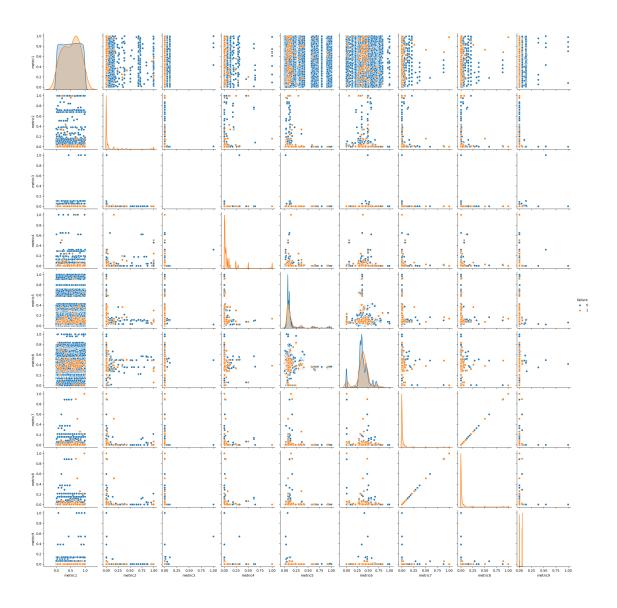


```
[18]: df2 = df.resample('M', on='date').sum()
       df2.head()
[18]:
                   failure
                                  metric1 metric2 metric3 metric4 metric5 \
       date
       2015-01-31
                        24 3070334591889
                                                               53893
                                          6850320
                                                     301287
                                                                       338695
       2015-02-28
                        14 2392243498814 2874600
                                                     164742
                                                               24680
                                                                       274635
       2015-03-31
                        9 2424902863541 3302888
                                                     162419
                                                               32691
                                                                       284798
                        9 1483418760960
       2015-04-30
                                            914432
                                                     70781
                                                               18285
                                                                       184301
       2015-05-31
                        21 1387078212434
                                            835224
                                                     127631
                                                               32318
                                                                       175243
                      metric6 metric7 metric8 metric9 date_month date_weekday
       date
                                          10006
       2015-01-31 6158782773
                                 10006
                                                  556053
                                                               25032
                                                                             77868
       2015-02-28 4909604046
                                  1656
                                           1656
                                                  281360
                                                               39000
                                                                             58421
       2015-03-31 5061130092
                                  2032
                                           2032
                                                  260404
                                                               59499
                                                                             58154
       2015-04-30 3137391230
                                  4560
                                           4560
                                                  36332
                                                               48048
                                                                             35722
       2015-05-31 2943043116
                                   640
                                                               56650
                                                                             36178
                                            640
                                                  110037
[111]: # Create figure and plot space
       sns.set()
       fig, ax = plt.subplots(figsize=(20, 5))
       # Add x-axis and y-axis
       ax.bar(df2.index.values, df2["failure"], color='Green', width=4.8)
```



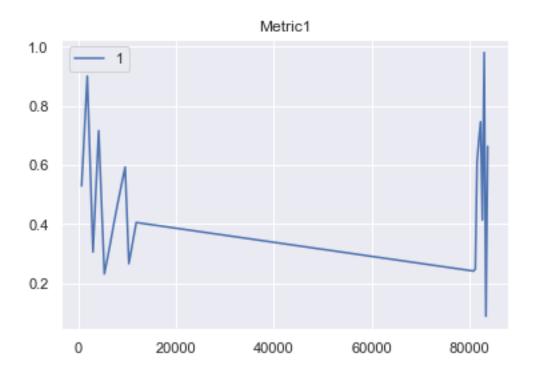
2.2 Further EDA - STD and PCT_Change New Features

[109]: <seaborn.axisgrid.PairGrid at 0x249c06ddcd0>



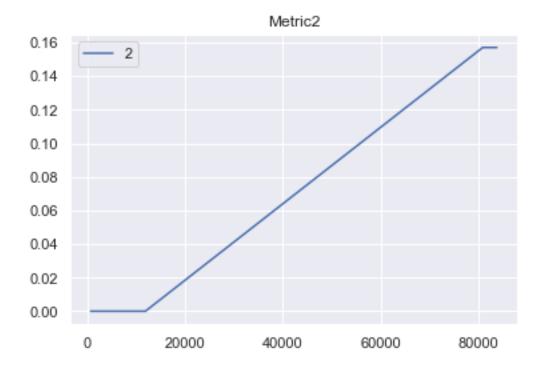
```
[23]: to_plot.keys()
[23]: dict_keys(['S1F023H2', 'S1F03YZM', 'S1F09DZQ', 'S1F0CTDN', 'S1F0DSTY',
      'S1F0F4EB', 'S1F0GG8X', 'S1F0GJW3', 'S1F0GKFX', 'S1F0GKL6', 'S1F0GPFZ',
      'S1FOGSD9', 'S1FOGSHB', 'S1FOJ5JH', 'S1FOJD7P', 'S1FOJGJV', 'S1FOLODW',
      'S1FOLCTV', 'S1FOLCVC', 'S1FOLD15', 'S1FOLD2C', 'S1FOP3G2', 'S1FOPJJW',
      'S1FOQF3R', 'S1FOQY11', 'S1FORR35', 'S1FORRB1', 'S1FORSZP', 'S1FOS2WJ',
      'S1F0S4CA', 'S1F0S4EG', 'S1F0S4T6', 'S1F0S57T', 'S1F0S65X', 'S1F0T2LA',
      'S1F0TQCV', 'S1F10E6M', 'S1F11MB0', 'S1F13589', 'S1F135TN', 'S1F136J0',
      'S1F13H80', 'W1F03D4L', 'W1F03DP4', 'W1F08EDA', 'W1F0F6BN', 'W1F0FKWW',
      'W1F0FW0S', 'W1F0GCAZ', 'W1F0KCP2', 'W1F0M35B', 'W1F0M4BZ', 'W1F0NZZZ',
      'W1FOP114', 'W1FOPAXH', 'W1FOPNA5', 'W1FOQ8FH', 'W1FOSGHR', 'W1FOT034',
      'W1FOTO74', 'W1FOTOB1', 'W1FOTA59', 'W1FOVDH2', 'W1FOWBTM', 'W1FOX4FC',
      'W1F0X5GW', 'W1F0Z1W9', 'W1F0Z3KR', 'W1F0Z4EA', 'W1F11ZG9', 'W1F1230J',
      'W1F13SRV', 'W1F14XGD', 'W1F15S4D', 'W1F19BPT', 'W1F1BFP5', 'W1F1BSOH',
      'W1F1BZTM', 'W1F1C9TE', 'W1F1C9WG', 'W1F1CB5E', 'W1F1CDDP', 'W1F1CJ1K',
      'W1F1DQN8', 'Z1F04GCH', 'Z1F0B4XZ', 'Z1F0FSBY', 'Z1F0K451', 'Z1F0LSNZ',
      'Z1FOLVGY', 'Z1FOLVPW', 'Z1FOMCCA', 'Z1FOMRPJ', 'Z1FONVZA', 'Z1FOP16F',
      'Z1F0P5D9', 'Z1F0QH0C', 'Z1F130LH', 'Z1F148T1', 'Z1F14BGY', 'Z1F1653X',
      'Z1F1901P', 'Z1F1AG5N', 'Z1F1FCH5', 'Z1F1RJFA', 'Z1F1VQFY'])
[32]: sns.set()
      to_plot['W1F0X5GW']["metric1"].plot().set_title("Metric1")
      plt.legend('1', ncol=2, loc='upper left')
```

[32]: <matplotlib.legend.Legend at 0x249c5729880>



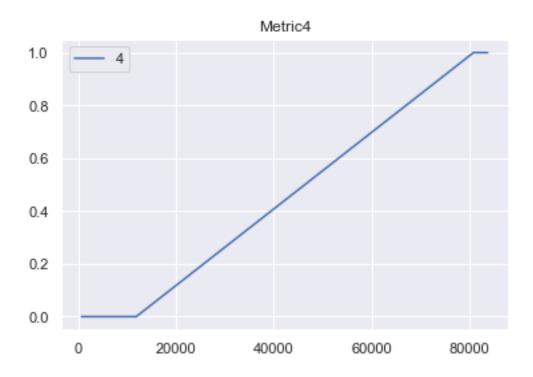
```
[41]: sns.set()
    to_plot['W1F0X5GW']["metric2"].plot().set_title("Metric2")
    plt.legend('2', ncol=2, loc='upper left')
```

[41]: <matplotlib.legend.Legend at 0x249c6d59610>



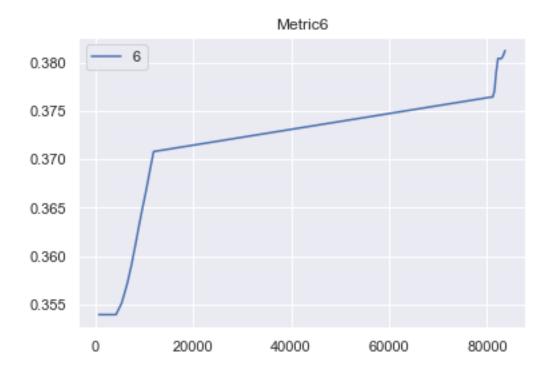
```
[42]: sns.set()
to_plot['W1F0X5GW']["metric4"].plot().set_title("Metric4")
plt.legend('4', ncol=2, loc='upper left')
```

[42]: <matplotlib.legend.Legend at 0x249f245bca0>



```
[43]: sns.set()
to_plot['W1F0X5GW']["metric6"].plot().set_title("Metric6")
plt.legend('6', ncol=2, loc='upper left')
```

[43]: <matplotlib.legend.Legend at 0x249f252f760>



```
[110]: | # Create STD and PCT_Change columns for metric1, metric2, metric6
      device_name = df_record["device"].unique()
      def stdev(data):
         n = len(data)
         mean = sum(data) / n
          deviations = [(x - mean) ** 2 for x in data]
          variance = sum(deviations) / n
          std_dev = math.sqrt(variance)
         return std_dev
      df_stack = pd.DataFrame(columns=['device', 'failure', 'metric1', 'metric2', __
       'metric5', 'metric6', 'metric7', 'metric8', 'metric9', 'date_month',
       "metric1_std", "metric1_pct", "metric2_std", "metric2_pct", "metric4_std", __
       for device in device_name:
          data = df_record[df_record["device"] == device]
          data["metric1_std"] = stdev(data["metric1"])
          data["metric1_pct"] = data["metric1"].pct_change()
          data["metric2_std"] = stdev(data["metric2"])
```

```
data["metric2_pct"] = data["metric2"].pct_change()
          data["metric4_std"] = stdev(data["metric4"])
          data["metric4_pct"] = data["metric4"].pct_change()
          data["metric6_std"] = stdev(data["metric6"])
          data["metric6_pct"] = data["metric6"].pct_change()
          df_stack = pd.concat([df_stack, data])
      df_record = df_record.join(df_stack[["metric1_std", "metric1_pct", __
        →"metric2_std", "metric2_pct", "metric4_std", "metric4_pct", "metric6_std", 

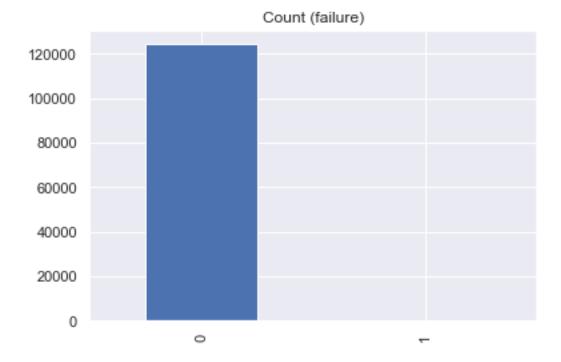
→"metric6_pct"]], how='left')
       impact_columns = ['metric1_pct', 'metric2_pct', 'metric4_pct', 'metric6_pct']
       [df_record[col].replace(np.inf, 1, inplace=True) for col in impact_columns]
       [df_record[col].replace(np.nan, 0, inplace=True) for col in impact_columns]
[110]: [None, None, None, None]
[108]: df record.head()
                            date_month date_weekday
[108]:
           device failure
                                                       metric1
                                                                 metric2 metric3 \
      0 S1F01085
                         0
                                                      0.883224 0.000862 0.00000
      1 S1F0166B
                         0
                                     1
                                                   3 0.251374 0.000000
                                                                         0.00012
                         0
                                                   3 0.709821
                                                                0.000000
      2 S1F01E6Y
                                     1
                                                                          0.00000
      3 S1F01JE0
                         0
                                     1
                                                   3 0.326427
                                                                0.00000 0.00000
                                                   3 0.556935 0.000000 0.00000
      4 S1F01R2B
                         0
          metric4
                   metric5
                            metric6
                                       ... metric8
                                                      metric9 metric1 std \
      0 0.031212 0.051546 0.591204
                                                0.0 0.000374
                                                                  0.257554
                                       . . .
      1 0.000000 0.051546 0.585017
                                       . . .
                                                0.000000
                                                                  0.310377
                                                0.0 0.000000
                                                                  0.276362
      2 0.000000 0.113402 0.344461
      3 0.000000 0.051546 0.595191
                                                0.000000
                                                                  0.240849
      4 0.000000 0.144330 0.454420
                                                0.0 0.000160
                                                                  0.277976
         metric1_pct
                       metric2_std
                                    metric2_pct metric4_std metric4_pct \
      0
                 0.0 1.084202e-19
                                            0.0 3.469447e-18
                                                                       0.0
                 0.0 0.000000e+00
                                            0.0 0.000000e+00
                                                                       0.0
      1
      2
                 0.0 0.000000e+00
                                            0.0 0.000000e+00
                                                                       0.0
                 0.0 0.000000e+00
                                            0.0 0.000000e+00
                                                                       0.0
      3
      4
                 0.0 0.000000e+00
                                            0.0 0.000000e+00
                                                                       0.0
         metric6_std metric6_pct
      0
            0.001053
                              0.0
            0.000871
                              0.0
      1
                              0.0
      2
            0.009542
      3
            0.001054
                              0.0
            0.006854
                              0.0
```

2.3 Train/test split

```
[47]: # Investigation
    target_count = df_record.failure.value_counts()
    print('Class 0:', target_count[0])
    print('Class 1:', target_count[1])
    print('Proportion:', round(target_count[0] / target_count[1], 2), ': 1')
    target_count.plot(kind='bar', title='Count (failure)');
```

Class 0: 124388 Class 1: 106

Proportion: 1173.47 : 1



```
'metric4_std', 'metric4_pct', 'metric6_std', 'metric6_pct']],
 →groups=df_record['device']))
train_X = df_record.iloc[train_inds][['metric1', 'metric2', 'metric3', 'metric4',
       'metric5', 'metric6', 'metric7', 'metric8', 'metric9', 'date_month',
       'date_weekday', 'metric1_std', 'metric1_pct', 'metric2_std', __
 'metric4_std', 'metric4_pct', 'metric6_std', 'metric6_pct']]
train_y = df_record.iloc[train_inds]['failure']
test_X = df_record.iloc[test_inds][['metric1', 'metric2', 'metric3', 'metric4',
       'metric5', 'metric6', 'metric7', 'metric8', 'metric9', 'date_month',
       'date_weekday', 'metric1_std', 'metric1_pct', 'metric2_std', __
'metric4_std', 'metric4_pct', 'metric6_std', 'metric6_pct']]
test_y = df_record.iloc[test_inds]['failure']
train_y = train_y.astype('int')
test_y = test_y.astype('int')
# Investigation
target_count = train_y.value_counts()
print('Class 0:', target_count[0])
print('Class 1:', target_count[1])
print('Proportion:', round(target_count[0] / target_count[1], 2), ': 1')
target_count.plot(kind='bar', title='Count (failure)_Train');
```

Class 0: 99219 Class 1: 88

Proportion: 1127.49 : 1



```
[49]: # Random Train_Test_Split
      from sklearn.model_selection import train_test_split
      X_train, X_test, y_train, y_test = train_test_split(df_record[['metric1',u]
      →'metric2', 'metric3', 'metric4',
             'metric5', 'metric6', 'metric7', 'metric8', 'metric9', 'date_month',
             'date_weekday', 'metric1_std', 'metric1_pct', 'metric2_std',
             'metric2_pct', 'metric4_std', 'metric4_pct', 'metric6_std',
       → 'metric6_pct']].values, df_record.failure.values, test_size=0.15, random_state_
       \Rightarrow = 7)
      X_train = pd.DataFrame(X_train, columns = ['metric1', 'metric2', 'metric3', | ]
      'metric5', 'metric6', 'metric7', 'metric8', 'metric9', 'date_month',
             'date_weekday', 'metric1_std', 'metric1_pct', 'metric2_std',
             'metric2_pct', 'metric4_std', 'metric4_pct', 'metric6_std',
      X_test = pd.DataFrame(X_test, columns = ['metric1', 'metric2', 'metric3', __
      'metric5', 'metric6', 'metric7', 'metric8', 'metric9', 'date_month',
             'date_weekday', 'metric1_std', 'metric1_pct', 'metric2_std',
```

```
'metric2_pct', 'metric4_std', 'metric4_pct', 'metric6_std',

y_train = y_train.astype('int')

y_test = y_test.astype('int')

y_train = pd.Series(y_train)

y_test = pd.Series(y_test)

y_train.name = "failure"

y_test.name = "failure"

# Investigation

target_count = y_train.value_counts()

print('Class 0:', target_count[0])

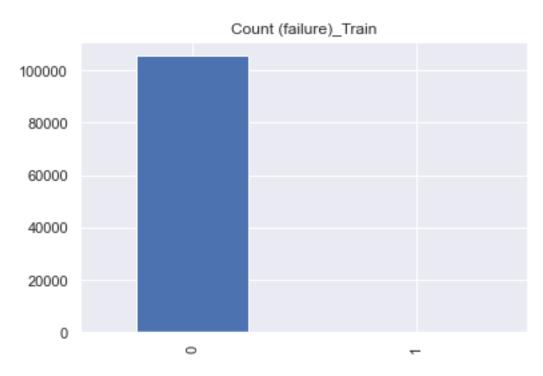
print('Class 1:', target_count[1])

print('Proportion:', round(target_count[0] / target_count[1], 2), ': 1')

target_count.plot(kind='bar', title='Count (failure)_Train');
```

Class 0: 105732 Class 1: 87

Proportion: 1215.31 : 1



2.4 Imbalanced process - upsampling

[50]: import imblearn

print(counter)

```
from collections import Counter
     X = train_X
     y = train_y
     counter = Counter(y)
     print(counter)
     def plot_2d_space(X, y, label='Classes'):
         colors = ['#1F77B4', '#FF7F0E']
         markers = ['o', 's']
         for 1, c, m in zip(np.unique(y), colors, markers):
             plt.scatter(
                 X[y==1, 0],
                 X[y==1, 1],
                 c=c, label=1, marker=m
         plt.title(label)
         plt.legend(loc='upper right')
         plt.show()
    Counter({0: 99219, 1: 88})
[]: # Method 1 - Upsampling all - Random
     from imblearn.over_sampling import RandomOverSampler
     ros = RandomOverSampler()
     X_ros, y_ros = ros.fit_resample(X, y)
     train_resample= X_ros.join(y_ros, how='left')
     print(X_ros.shape[0] - X.shape[0], 'new random picked points')
     # plot_2d_space(X_ros, y_ros, 'Random over-sampling')
     train_resample_X = X_ros
     train_resample_y = y_ros
[]: # Method 2 - Upsampling all - SMOTE
     from imblearn.over_sampling import SMOTE
     smote = SMOTE(sampling_strategy=1, random_state=7)
     X_sm, y_sm = smote.fit_resample(X, y)
     train_resample= X_sm.join(y_sm, how='left')
     counter = Counter(y_sm)
```

```
# plot_2d_space(X_sm, y_sm, 'SMOTE over-sampling')
train_resample_X = X_sm
train_resample_y = y_sm
```

```
[107]: # Method 3 - Upsampling on device basis
      from imblearn.over_sampling import SMOTE
      from imblearn.over_sampling import RandomOverSampler
      device_name = df_record["device"].unique()
      df_over_sampling_X = pd.DataFrame(columns=['metric1', 'metric2', 'metric3', __
       'metric5', 'metric6', 'metric7', 'metric8', 'metric9', 'date_month',
             'date_weekday', 'metric1_std', 'metric1_pct', 'metric2_std',
             'metric2_pct', 'metric4_std', 'metric4_pct', 'metric6_std',
       →'metric6_pct'])
      df_over_sampling_y = pd.DataFrame(columns=['failure'])
      n new rows = 0
      for device in device name:
          data = df record[df record["device"] == device]
          X_single = data[['device','metric1', 'metric2', 'metric3', 'metric4',
              'metric5', 'metric6', 'metric7', 'metric8', 'metric9', 'date_month',
              'date_weekday', 'metric1_std', 'metric1_pct', 'metric2_std', __

→'metric2_pct','metric4_std', 'metric4_pct', 'metric6_std', 'metric6_pct']]
          y_single = pd.DataFrame(data['failure'], columns = ['failure'])
          if len(data['failure'].unique()) == 2:
              ros = RandomOverSampler()
              X_ros, y_ros = ros.fit_resample(X_single, y_single)
              n_new_rows += X_ros.shape[0] - X_single.shape[0]
              df_over_sampling_X = pd.concat([df_over_sampling_X, X_ros])
              df_over_sampling_y = pd.concat([df_over_sampling_y, y_ros])
              df_over_sampling_X = pd.concat([df_over_sampling_X, X_single])
              df_over_sampling_y = pd.concat([df_over_sampling_y, y_single])
      print("n_new_rows", n_new_rows)
      train_resample= pd.concat([df_over_sampling_X,df_over_sampling_y], axis = 1)
      train_resample_X = df_over_sampling_X[['metric1', 'metric2', 'metric3', _
       'metric5', 'metric6', 'metric7', 'metric8', 'metric9', 'date_month',
             'date_weekday', 'metric1_std', 'metric1_pct', 'metric2_std',
             'metric2_pct', 'metric4_std', 'metric4_pct', 'metric6_std',
```

```
train_resample_y = df_over_sampling_y.astype('int')
```

n_new_rows 10501

3 Modelling

3.1 Logistic Regression

```
[83]: import matplotlib.pyplot as plt
      plt.rcParams['figure.figsize'] = (2, 2)
      plt.style.use('default')
[84]: # Logistic Regression Model - Shuffle
      from sklearn.linear_model import LogisticRegression
      from sklearn.metrics import accuracy_score
      from sklearn.metrics import confusion_matrix
      from sklearn.metrics import plot_confusion_matrix
      from sklearn.metrics import precision_score, recall_score, f1_score,_
       →matthews_corrcoef
      from sklearn.metrics import precision_recall_curve, roc_curve, auc, roc_auc_score
      from sklearn.preprocessing import label_binarize
      from sklearn.metrics import classification_report
      LR = LogisticRegression(max_iter=1000, class_weight='balanced')
      # Fit the model on the training data.
      LR.fit(train_resample_X, train_resample_y.values.ravel())
      # Print the accuracy, precision, recall from the testing data.
      print("Accuracy: ", accuracy_score(test_y, LR.predict(test_X)))
      print("Precision: ", precision_score(test_y, LR.predict(test_X)))
      print("Recall: ", recall_score(test_y, LR.predict(test_X)))
      print("F1 score: ", f1_score(test_y, LR.predict(test_X)))
      print("MCC score: ", matthews_corrcoef(test_y, LR.predict(test_X)))
      print(classification_report(test_y, LR.predict(test_X), target_names=['Class 0_L

→Sucess:', 'Class 1 Fail:']))
      # Plot Confusion Matrix
      print("Confusion matrix: ", confusion_matrix(test_y, LR.predict(test_X)))
      cm=confusion_matrix(test_y, LR.predict(test_X))
      plot_confusion_matrix(LR, test_X, test_y, cmap=plt.cm.Blues)
      plt.show()
```

Accuracy: 0.8062095525469488

Precision: 0.0024554941682013503

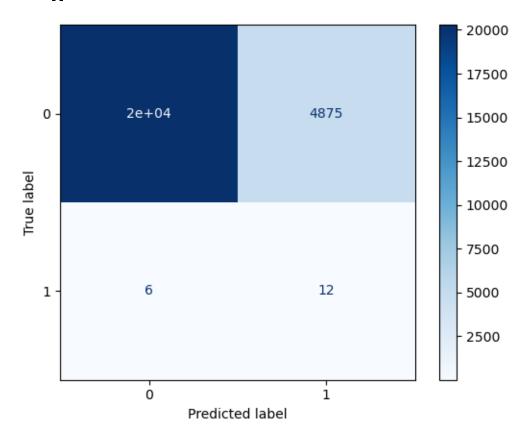
Recall: 0.66666666666666

F1 score: 0.004892966360856269

MCC score: 0.03196238384478931

	precision	recall	il-score	support
Class O Sucess:	1.00	0.81	0.89	25169
Class 1 Fail:	0.00	0.67	0.00	18
accuracy			0.81	25187
macro avg	0.50	0.74	0.45	25187
weighted avg	1.00	0.81	0.89	25187

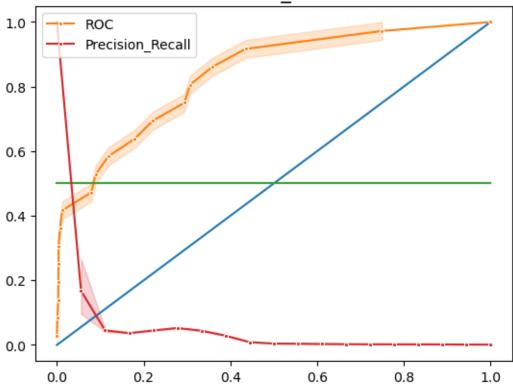
Confusion matrix: [[20294 4875] [6 12]]



```
[85]: # Plot ROC Curve - Shuffle
probs = LR.predict_proba(test_X)
probs = probs[:,1]
fpr, tpr, thresholds = roc_curve(test_y, probs)
sns.lineplot([0,1], [0, 1], linestyle="--")
plt = sns.lineplot(fpr, tpr, marker = ".", legend='full', label=str("ROC"))
plt.set_title("ROC & Precision_Recall Curves", fontsize=15)
auc_score = roc_auc_score(test_y, probs)
```

AUC_ROC: 0.841 AUC_PR: 0.074





3.2 SVM Model

```
[97]: # SVM Model - Shuffle
from sklearn.svm import SVC
from sklearn import preprocessing
```

```
SVC = SVC(kernel='linear', class_weight='balanced', probability=True)
# Fit the model on the training data.
SVC.fit(train_resample_X, train_resample_y.values.ravel())
pred_y = SVC.predict(test_X)
# Print the accuracy, precision, recall from the testing data.
print("Accuracy: ", accuracy_score(test_y, pred_y))
print("Precision: ", precision_score(test_y, pred_y))
print("Recall: ", recall_score(test_y, pred_y))
print("F1 score: ", f1_score(test_y, pred_y))
print("MCC score: ", matthews_corrcoef(test_y, pred_y))
print(classification_report(test_y, pred_y, target_names=['Class O Sucess:',u
 # Plot Confusion Matrix
import matplotlib.pyplot as plt
print("Confusion matrix: ", confusion_matrix(test_y, pred_y))
cm=confusion_matrix(test_y, pred_y)
plt.clf()
plot_confusion_matrix(SVC, test_X, test_y, cmap=plt.cm.Blues)
plt.show()
Accuracy: 0.7987453845237622
Recall: 0.72222222222222
```

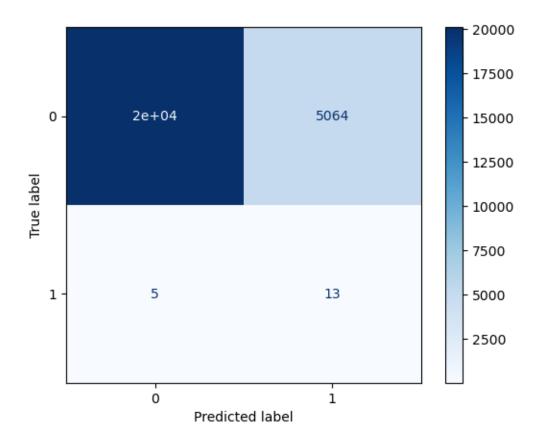
Precision: 0.0025605672641323615

F1 score: 0.005103042198233562 MCC score: 0.03470691047367835

	precision	recall	f1-score	support
Class O Sucess:	1.00	0.80	0.89	25169
Class 1 Fail:	0.00	0.72	0.01	18
accuracy			0.80	25187
macro avg	0.50	0.76	0.45	25187
weighted avg	1.00	0.80	0.89	25187

Confusion matrix: [[20105 5064] 5 13]] Γ

<Figure size 640x480 with 0 Axes>



3.3 Random Forest Model

```
[86]: # Random Forest Model - Ruffle

from sklearn.ensemble import RandomForestClassifier

RF = RandomForestClassifier(n_estimators=10)

# Train

RF.fit(train_resample_X, train_resample_y.values.ravel())

# Extract single tree

estimator = RF.estimators_[5]

# Print the accuracy, precision, recall from the testing data.

print("Accuracy: ", accuracy_score(test_y, RF.predict(test_X)))

print("Precision: ", precision_score(test_y, RF.predict(test_X)))

print("Recall: ", recall_score(test_y, RF.predict(test_X)))

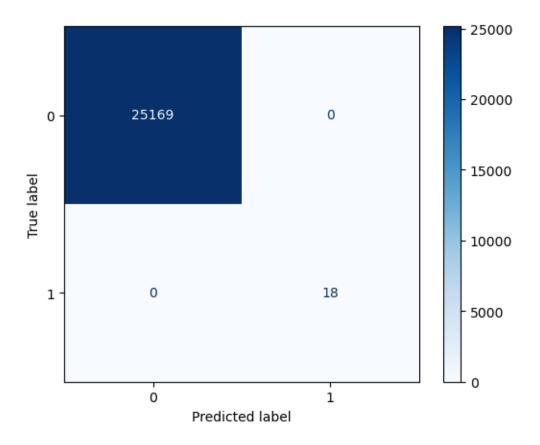
print("F1 score: ", f1_score(test_y, RF.predict(test_X)))

print("MCC score: ", matthews_corrcoef(test_y, RF.predict(test_X)))
```

Accuracy: 1.0
Precision: 1.0
Recall: 1.0
F1 score: 1.0
MCC score: 1.0

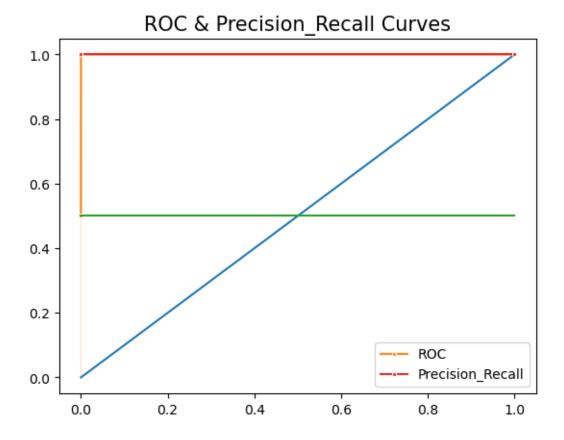
	precision	recall	f1-score	support
Class 0 Sucess: Class 1 Fail:	1.00	1.00	1.00	25169 18
accuracy macro avg weighted avg	1.00 1.00	1.00	1.00 1.00 1.00	25187 25187 25187
Confusion matrix:	[[25169	0]		

Confusion matrix: [[25169 0] [0 18]]



```
[87]: # Plot ROC Curve - Ruffle
      probs = RF.predict_proba(test_X)
      probs = probs[:,1]
      fpr, tpr, thresholds = roc_curve(test_y, probs)
      sns.lineplot([0,1], [0, 1], linestyle="--")
      plt = sns.lineplot(fpr, tpr, marker = ".", legend='full', label=str("ROC"))
      plt.set_title("ROC & Precision_Recall Curves", fontsize=15)
      auc_score = roc_auc_score(test_y, probs)
      print("AUC: %.3f" % auc_score)
      # Plot Precision/Recall Curve
      probs = RF.predict_proba(test_X)
      probs = probs[:,1]
      precision, recall, thresholds = precision_recall_curve(test_y, probs)
      sns.lineplot([0,1], [0.5, 0.5], linestyle="--")
      plt = sns.lineplot(recall, precision, marker = ".", legend='full', __
       →label=str("Precision_Recall"))
      pr_auc_score = auc(recall, precision)
      print("AUC: %.3f" % pr_auc_score)
```

AUC: 1.000



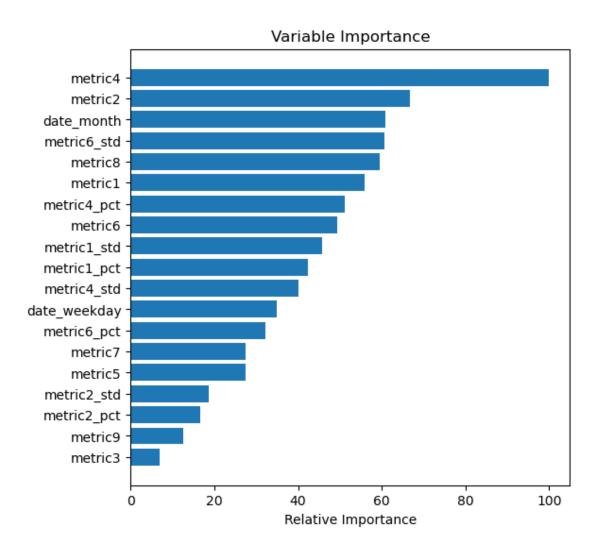
```
[90]: import matplotlib.pyplot as plt

feature_importance = RF.feature_importances_
# make importances relative to max importance
feature_importance = 100.0 * (feature_importance / feature_importance.max())[:30]

sorted_idx = np.argsort(feature_importance)[:30]

pos = np.arange(sorted_idx.shape[0]) + .5
print(pos.size)
sorted_idx.size
plt.figure(figsize=(6,6))
plt.barh(pos, feature_importance[sorted_idx], align='center')
plt.yticks(pos, X.columns[sorted_idx])
plt.xlabel('Relative Importance')
plt.title('Variable Importance')
plt.show()
```

19



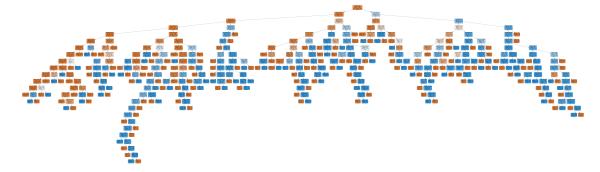
3.4 Decision Tree Model

```
[94]: import os
    os.environ["PATH"] += os.pathsep + 'C:\Program Files (x86)\Graphviz2.38/bin/'
    import graphviz
    from six import StringIO
    from IPython.display import Image
    from sklearn.tree import export_graphviz
    import pydotplus
    from sklearn import tree

# Now we want to visualize the tree
    dot_data = StringIO()

# We can do so with export_graphviz
```

[94]:



```
[93]: # Decision Tree Model - Ruffle
      from sklearn import tree
      from sklearn.tree import DecisionTreeClassifier
      import matplotlib.pyplot as plt
      DT = tree.DecisionTreeClassifier(criterion="entropy", random_state = 7)
      # Train
      DT.fit(train_resample_X, train_resample_y.values.ravel())
      # Print the accuracy, precision, recall from the testing data.
      print("Model Entropy - no max depth")
      print("Accuracy: ", accuracy_score(test_y, DT.predict(test_X)))
      print("Balanced accuracy:", balanced_accuracy_score(test_y, DT.predict(test_X)))
      print("Precision for Normal: ", precision_score(test_y, DT.predict(test_X),_u
       \rightarrowpos_label = 0))
      print("Precision for Failure: ", precision_score(test_y, DT.predict(test_X),__
       \rightarrowpos_label = 1))
      print("Recall for Normal: ", recall_score(test_y, DT.predict(test_X), pos_label_
      →= 0))
      print("Recall for Failure: ", recall_score(test_y, DT.predict(test_X), pos_label_
      print("F1 score: ", f1_score(test_y, DT.predict(test_X)))
      print("MCC score: ", matthews_corrcoef(test_y, DT.predict(test_X)))
```

Model Entropy - no max depth

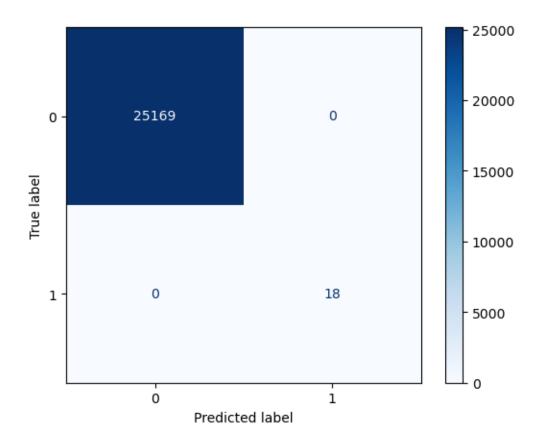
Accuracy: 1.0

Balanced accuracy: 1.0
Precision for Normal: 1.0
Precision for Failure: 1.0
Recall for Normal: 1.0
Recall for Failure: 1.0

F1 score: 1.0 MCC score: 1.0

	precision	recall	f1-score	support
Class 0 Sucess: Class 1 Fail:	1.00 1.00	1.00	1.00	25169 18
accuracy macro avg weighted avg	1.00	1.00	1.00 1.00 1.00	25187 25187 25187
Confusion matrix:	Γ[25169	07		

Confusion matrix: [[25169 [0 18]]



3.5 Deep Learning Model - Neural Network

```
[95]: from sklearn.neural_network import MLPClassifier
      MLP = MLPClassifier(max_iter=1000,__
       →hidden_layer_sizes=(12,6),activation="logistic",random_state = 7)
      MLP.fit(train_resample_X, train_resample_y.values.ravel())
      y_pred=MLP.predict(test_X)
      print(MLP.score(test_X, test_y))
      print("Accuracy: ", accuracy_score(test_y, MLP.predict(test_X)))
      print("Balanced accuracy:", balanced_accuracy_score(test_y, MLP.predict(test_X)))
      print("Precision for Normal: ", precision_score(test_y, MLP.predict(test_X),__
       \rightarrowpos_label = 0))
      print("Precision for Failure: ", precision_score(test_y, MLP.predict(test_X),__
       \rightarrowpos_label = 1))
      print("Recall for Normal: ", recall_score(test_y, MLP.predict(test_X), pos_label_
       →= 0))
      print("Recall for Failure: ", recall_score(test_y, MLP.predict(test_X), u
       \rightarrowpos_label = 1))
```

```
print("F1 score: ", f1_score(test_y, MLP.predict(test_X)))
print("MCC score: ", matthews_corrcoef(test_y, MLP.predict(test_X)))
print(classification_report(test_y, MLP.predict(test_X), target_names=['Class O_L \infty Sucess:', 'Class 1 Fail:']))

# Plot Confusion Matrix
print("Confusion matrix: ", confusion_matrix(test_y, MLP.predict(test_X)))
cm=confusion_matrix(test_y, MLP.predict(test_X))
plot_confusion_matrix(MLP, test_X, test_y, cmap=plt.cm.Blues)
plt.show()
```

0.9906300869496169

Accuracy: 0.9906300869496169

Balanced accuracy: 0.9675537808856574

Precision for Normal: 0.9999598957288951

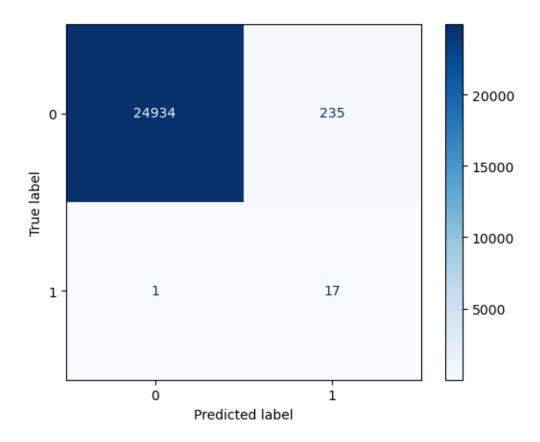
Precision for Failure: 0.06746031746031746

Recall for Normal: 0.9906631173268704

F1 score: 0.1259259259259259 MCC score: 0.2510879351332254

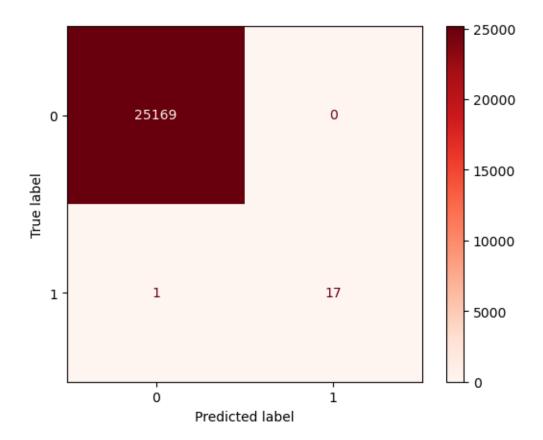
	precision	recall	f1-score	support
Class O Sucess:	1.00	0.99	1.00	25169
Class 1 Fail:	0.07	0.94	0.13	18
accuracy			0.99	25187
macro avg	0.53	0.97	0.56	25187
weighted avg	1.00	0.99	0.99	25187

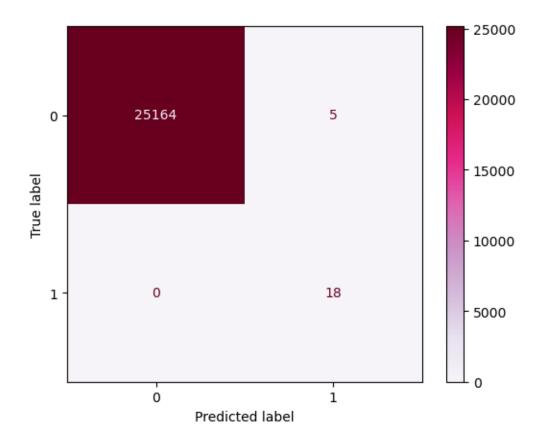
Confusion matrix: [[24934 235] [1 17]]



3.6 Voting Classifier

```
vot_hard = VotingClassifier(estimators = estimator, voting ='hard')
vot_hard.fit(train_resample_X, train_resample_y.values.ravel())
# using accuracy_score metric to predict accuracy
score = accuracy_score(test_y, vot_hard.predict(test_X))
print("Hard Voting Score % d" % score)
# Voting Classifier with soft voting
vot_soft = VotingClassifier(estimators = estimator, voting ='soft')
vot_soft.fit(train_resample_X, train_resample_y.values.ravel())
# using accuracy_score
score = accuracy_score(test_y, vot_soft.predict(test_X))
print("Soft Voting Score % d" % score)
# Plot Confusion Matrix - hard
print("Confusion matrix: ", confusion_matrix(test_y, vot_hard.predict(test_X)))
cm=confusion_matrix(test_y, vot_hard.predict(test_X))
plot_confusion_matrix(vot_hard, test_X, test_y, cmap=plt.cm.Reds)
plt.show()
# Plot Confusion Matrix - soft
print("Confusion matrix: ", confusion_matrix(test_y, vot_soft.predict(test_X)))
cm=confusion_matrix(test_y, vot_soft.predict(test_X))
plot_confusion_matrix(vot_soft, test_X, test_y, cmap=plt.cm.PuRd)
plt.show()
Hard Voting Score 0
Soft Voting Score 0
Confusion matrix: [[25169
                              01
Γ
          17]]
     1
```





4 Evaluating and Concluding

4.1 Precision and Recall Comparison

```
'classifier': DecisionTreeClassifier(criterion="entropy",
        →random_state=7)},
                 {'name': 'MLP','label': 'Model 5: Neural Network',
                  'classifier': MLPClassifier(max_iter=1000,__
        →hidden_layer_sizes=(12,6),activation="logistic",random_state = 7)},
                 {'name': 'VCH','label': 'Model 6: Voting Classifier - Hard',
                  'classifier': VotingClassifier(estimators = estimator, voting
        \Rightarrow= 'hard')},
                 {'name': 'VCS','label': 'Model 7: Voting Classifier - Soft',
                  'classifier': VotingClassifier(estimators = estimator, voting
        →='soft')}]
       models_AUC = [{'name': 'LR', 'label': 'Model 1: Logistic Regression',
                  'classifier': LogisticRegression(max_iter=1000,_
        →class_weight='balanced', random_state=7)},
                 {'name': 'RF', 'label': 'Model 3: Random Forest',
                  'classifier': RandomForestClassifier(n_estimators=10,__
        →random_state=7)},
                 {'name': 'DT', 'label': 'Model 4: Descision Tree',
                  'classifier': DecisionTreeClassifier(criterion="entropy", __
        →random_state=7)},
                 {'name': 'MLP','label': 'Model 5: Neural Network',
                  'classifier': MLPClassifier(max_iter=1000,__
        →hidden_layer_sizes=(12,6),activation="logistic",random_state = 7)},
                 {'name': 'VCS','label': 'Model 7: Voting Classifier - Soft',
                  'classifier': VotingClassifier(estimators = estimator, voting
        →='soft')}]
[101]: # Ruffle
       from tqdm import tqdm
       import time
       for i in tqdm(range(10800)):
           time.sleep(0.1)
```

test_ori_X = test_X
test_ori_y = test_y

```
results = pd.DataFrame()
       precision result = []
       recall result = []
       for m in models:
           m['classifier'].fit(train_resample_X, train_resample_y.values.ravel())
           pred_ori_y = m['classifier'].predict(test_ori_X)
           results.loc[m['label'], 'Accuracy'] = accuracy_score(test_ori_y, pred_ori_y)
           results.loc[m['label'], 'Precision_0'] = precision_score(test_ori_y,_
        →pred_ori_y, pos_label = 0)
           results.loc[m['label'], 'Precision_1'] = precision_score(test_ori_y,__
        →pred_ori_y, pos_label = 1)
           results.loc[m['label'], 'Recall_0'] = recall_score(test_ori_y, pred_ori_y,__
        \rightarrowpos_label = 0)
           results.loc[m['label'], 'Recall_1'] = recall_score(test_ori_y, pred_ori_y, u
        \rightarrowpos_label = 1)
[102]: # Ruffle - AUC_pr score
       from tqdm import tqdm
       import time
       for i in tqdm(range(10800)):
           time.sleep(0.1)
       test_ori_X = test_X
       test_ori_y = test_y
       results_AUC = pd.DataFrame()
       for m in models_AUC:
           m['classifier'].fit(train_resample_X, train_resample_y.values.ravel())
           probs = m['classifier'].predict_proba(test_ori_X)
           probs = probs[:,1]
           precision, recall, thresholds = precision_recall_curve(test_ori_y, probs)
           results_AUC.loc[m['label'], 'AUC_pr'] = auc(recall, precision)
[141]: print(results_AUC)
                                            AUC_pr
      Model 1: Logistic Regression
                                          0.052511
      Model 3: Random Forest
                                          1.000000
      Model 4: Descision Tree
                                          1.000000
      Model 5: Neural Network
                                          0.161586
      Model 7: Voting Classifier - Soft 0.996995
[106]: | # Ranking Based on Criteria AUC_pr > Recall_1 > Precision_1 > Accuracy
       results.loc['Model 1: Logistic Regression', 'Ranking'] = 7
       results.loc['Model 2: Support Vector Machine','Ranking'] = 6
```

```
results.loc['Model 3: Random Forest','Ranking'] = 2
results.loc['Model 4: Descision Tree','Ranking'] = 1
results.loc['Model 5: Neural Network','Ranking'] = 5
results.loc['Model 6: Voting Classifier - Hard','Ranking'] = 4
results.loc['Model 7: Voting Classifier - Soft','Ranking'] = 3
results['Ranking'] = results['Ranking'].astype(int)
print(results)
```

```
Accuracy Precision_0 Precision_1 \
Model 1: Logistic Regression
                                  0.806210
                                               0.999704
                                                            0.002455
Model 2: Support Vector Machine
                                  0.798745
                                               0.999751
                                                            0.002561
Model 3: Random Forest
                                  1.000000
                                               1.000000
                                                            1.000000
Model 4: Descision Tree
                                  1.000000
                                               1.000000
                                                            1.000000
Model 5: Neural Network
                                  0.990630
                                               0.999960
                                                            0.067460
Model 6: Voting Classifier - Hard 0.999960
                                               0.999960
                                                            1.000000
Model 7: Voting Classifier - Soft 0.999801
                                               1.000000
                                                            0.782609
                                  Recall_0
                                            Recall_1 Ranking
Model 1: Logistic Regression
                                  0.806309
                                            0.666667
                                                            7
Model 2: Support Vector Machine
                                  0.798800
                                            0.722222
                                                            6
Model 3: Random Forest
                                  1.000000 1.000000
                                                            2
Model 4: Descision Tree
                                  1.000000 1.000000
                                                            1
Model 5: Neural Network
                                  0.990663 0.944444
                                                            5
                                                            4
Model 6: Voting Classifier - Hard 1.000000
                                            0.944444
                                                            3
Model 7: Voting Classifier - Soft 0.999801 1.000000
```

4.2 Conclusion and Recommendation

SMOTE upsampling based on each device with failure history is an effective way to improve the performance of all the machine learning models as well as GroupShuffleSplit method.

Learning from the history data of each device, the standard deviaion and percentage change are good indicators to represent the abnormal performance before each failure. Before failure, there is always unusual reading from some metrics. Having new features created as these indicators improves the performance of models significantly.

Recommendation: Based on the importance/criteria of this project to minimize false positives and false negatives, the recommended models are determined based on the ranking column as above. It shows the Decision Tree is best model with both Precision_1/Recall_1 with the highest scores as well as AUC_pr score. The 2nd and 3rd best models are the Random Forest and the Voting Classifier(Soft). I would recommend to use the Decision Tree as the final model with the best prediction performance designed for this project.