## Oracle Database 11*g*: SQL Tuning Workshop

**Electronic Presentation** 

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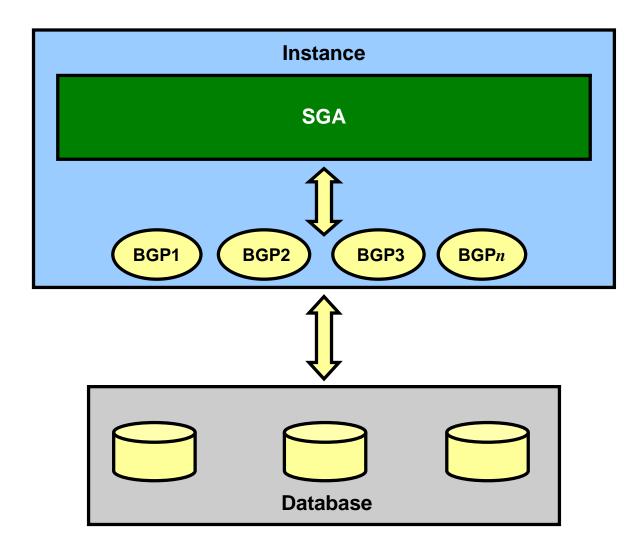
### **Exploring the Oracle Database Architecture**

### **Objectives**

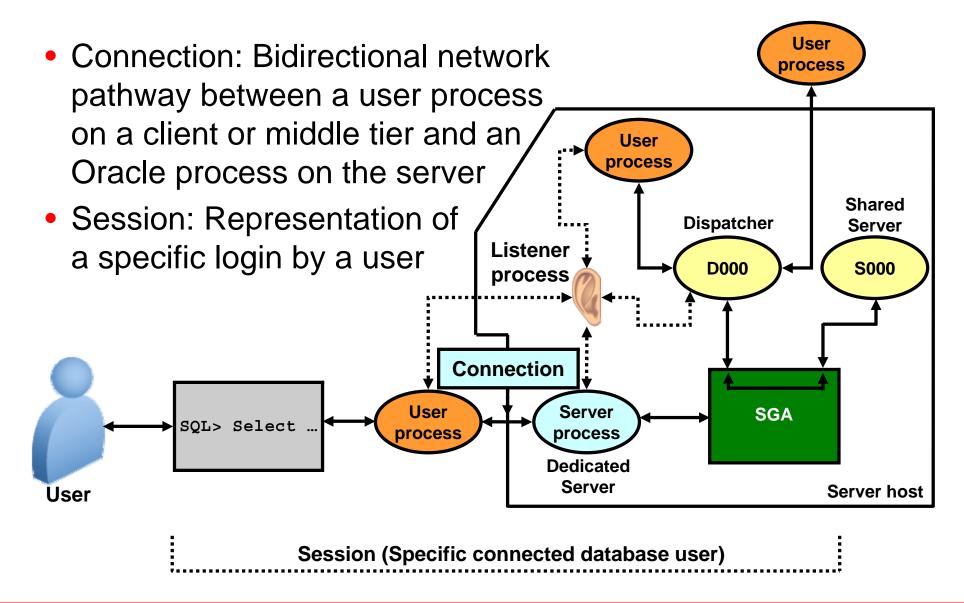
After completing this lesson, you should be able to:

- List the major architectural components of the Oracle Database server
- Explain memory structures
- Describe background processes
- Correlate logical and physical storage structures

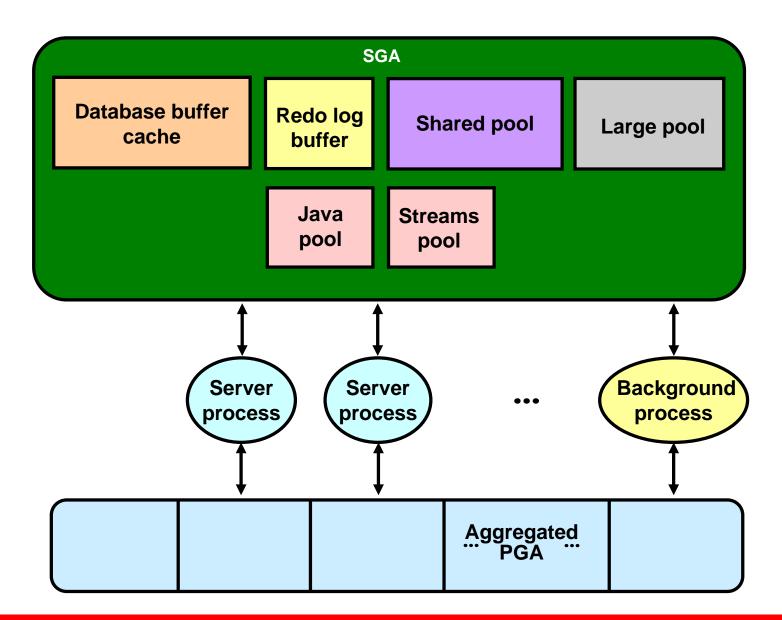
#### **Oracle Database Server Architecture: Overview**



### **Connecting to the Database Instance**

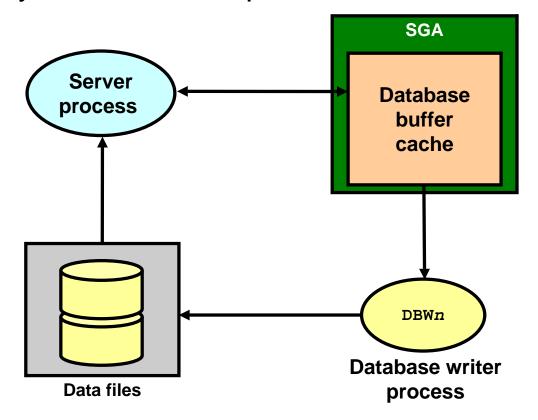


#### **Oracle Database Memory Structures: Overview**



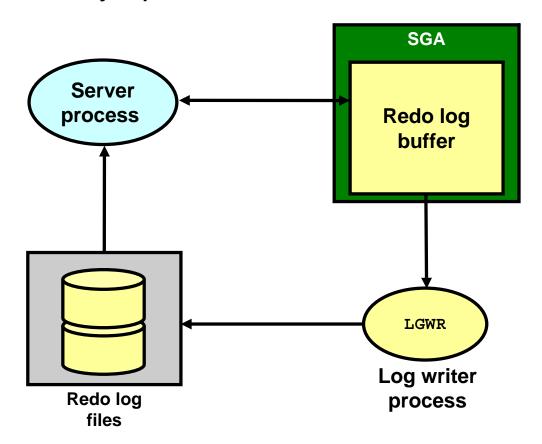
#### **Database Buffer Cache**

- Is a part of the SGA
- Holds copies of data blocks that are read from data files
- Is shared by all concurrent processes



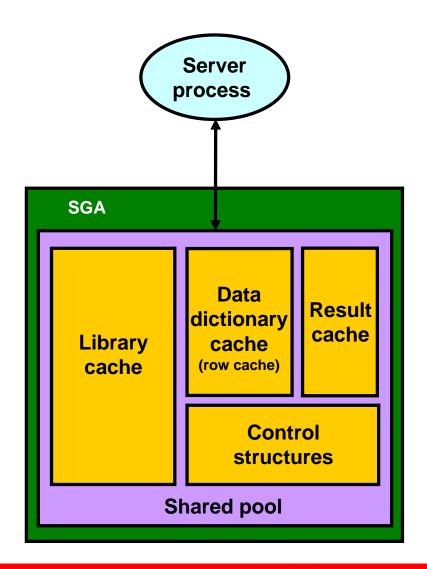
### **Redo Log Buffer**

- Is a circular buffer in the SGA (based on the number of CPUs)
- Contains redo entries that have the information to redo changes made by operations, such as DML and DDL

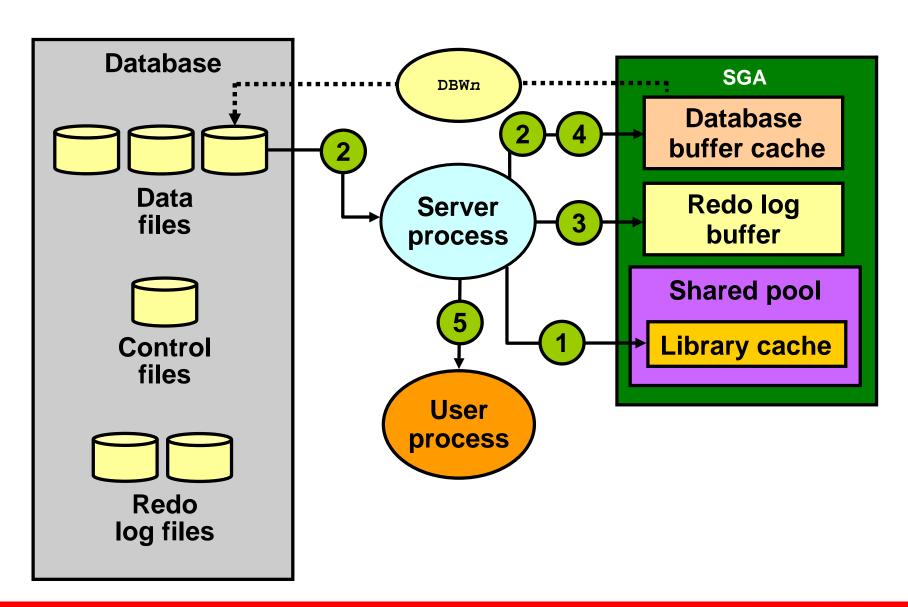


#### **Shared Pool**

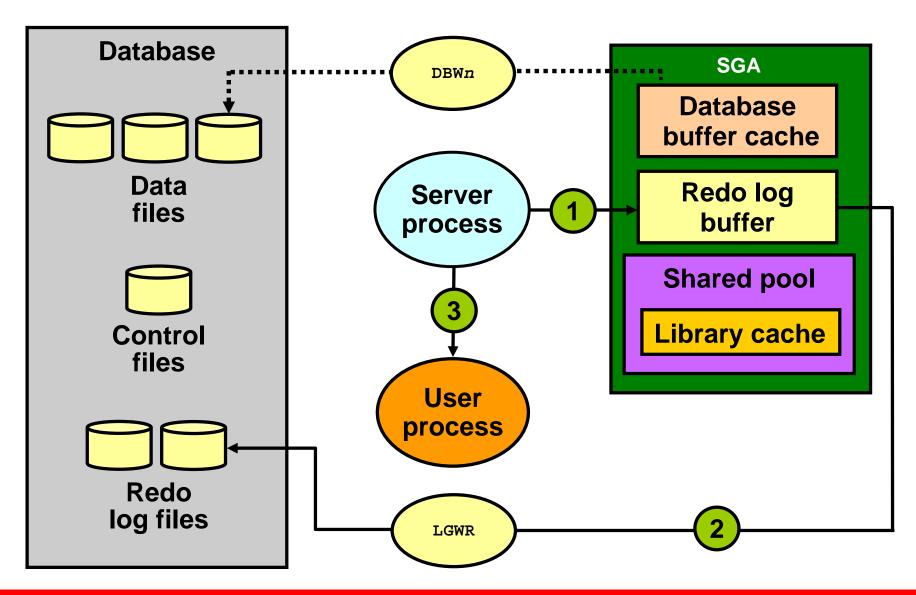
- Is part of the SGA
- Contains:
  - Library cache
    - Shared parts of SQL and PL/SQL statements
  - Data dictionary cache
  - Result cache:
    - SQL queries
    - PL/SQL functions
  - Control structures
    - Locks



### Processing a DML Statement: Example

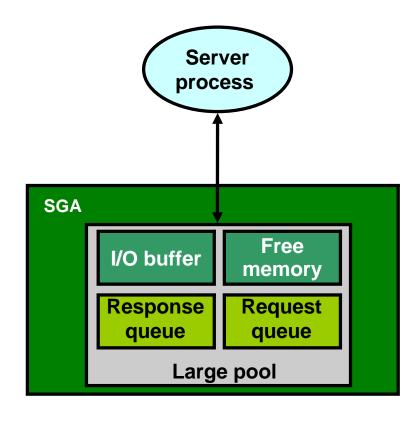


#### COMMIT Processing: Example



### **Large Pool**

- Provides large memory allocations for:
  - Session memory for the shared server and Oracle XA interface
  - Parallel execution buffers
  - I/O server processes
  - Oracle Database backup and restore operations
- Optional pool better suited when using the following:
  - Parallel execution
  - Recovery Manager
  - Shared server

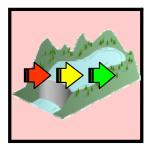


#### **Java Pool and Streams Pool**

- Java pool memory is used in server memory for all sessionspecific Java code and data in the JVM.
- Streams pool memory is used exclusively by Oracle Streams to:
  - Store buffered queue messages
  - Provide memory for Oracle Streams processes



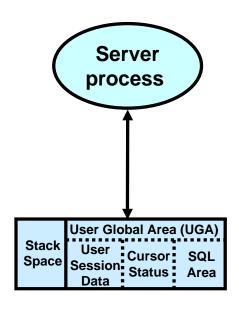
Java pool



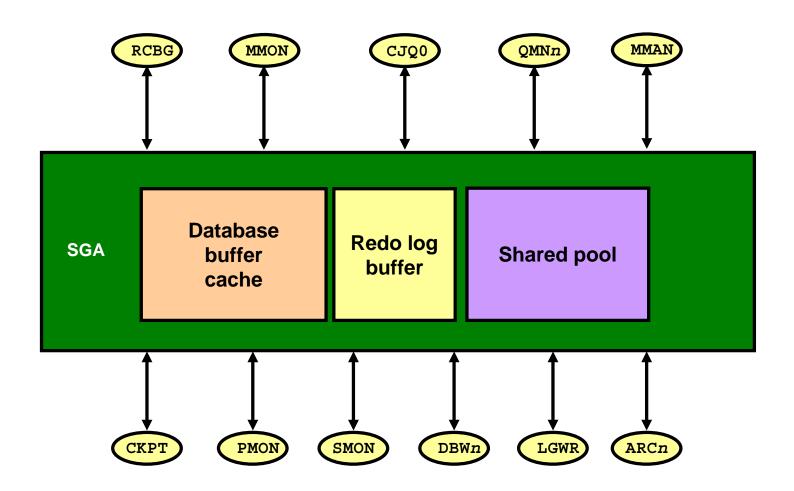
Streams pool

### **Program Global Area (PGA)**

- PGA is a memory area that contains:
  - Session information
  - Cursor information
  - SQL execution work areas:
    - Sort area
    - Hash join area
    - Bitmap merge area
    - Bitmap create area
- Work area size influences SQL performance.
- Work areas can be automatically or manually managed.

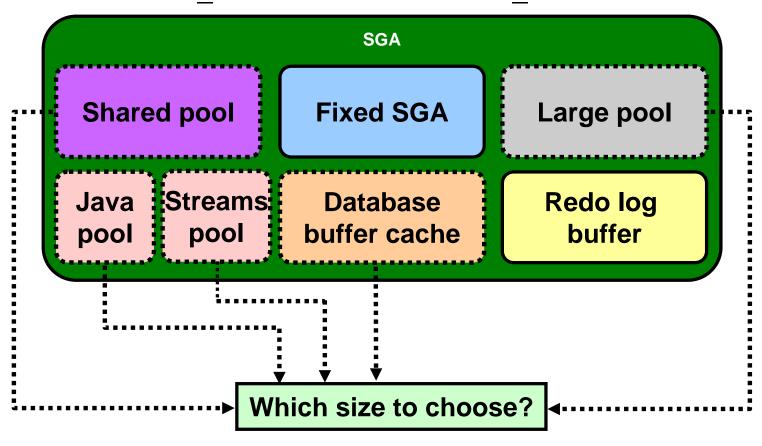


#### **Background Process Roles**



### **Automatic Shared Memory Management**

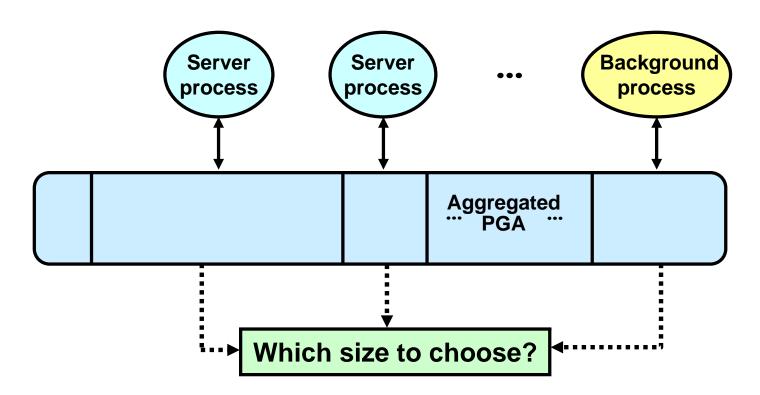
SGA TARGET + STATISTICS LEVEL



Automatically tuned SGA components

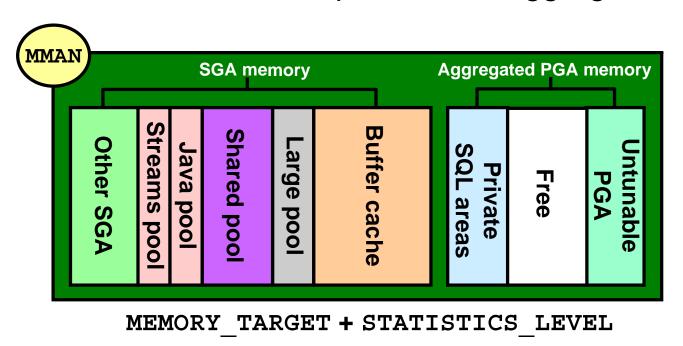
### **Automated SQL Execution Memory Management**

PGA AGGREGATE TARGET

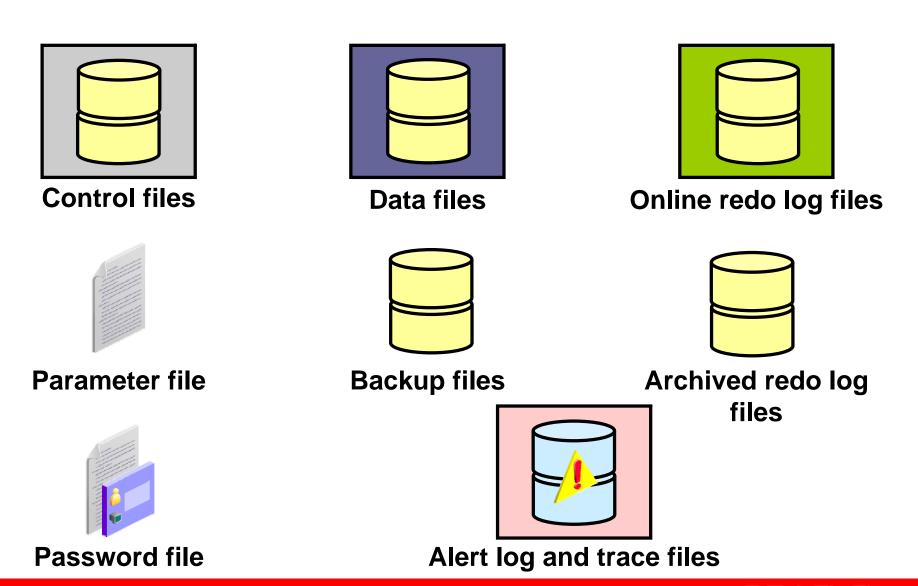


### **Automatic Memory Management**

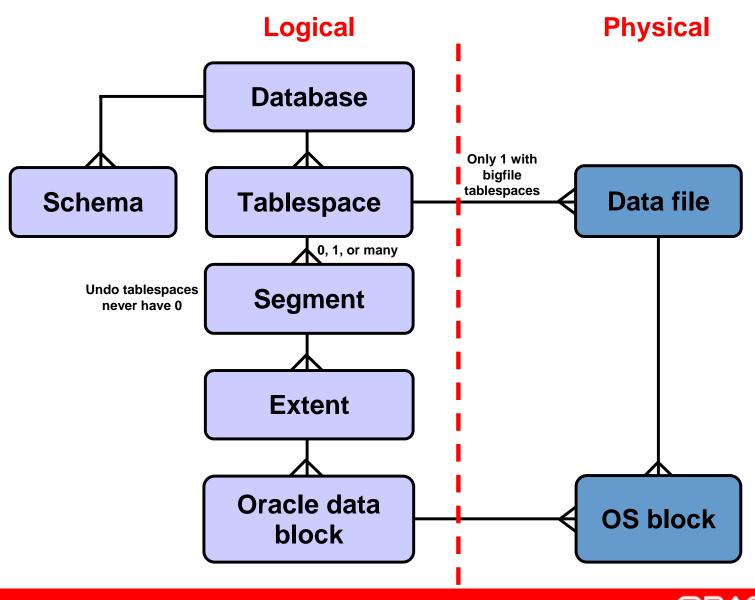
- Sizing of each memory component is vital for SQL execution performance.
- It is difficult to manually size each component.
- Automatic memory management automates memory allocation of each SGA component and aggregated PGA.



### **Database Storage Architecture**

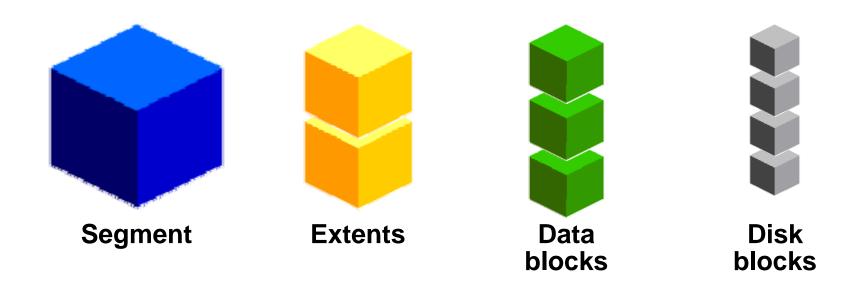


### **Logical and Physical Database Structures**



### Segments, Extents, and Blocks

- Segments exist in a tablespace.
- Segments are collections of extents.
- Extents are collections of data blocks.
- Data blocks are mapped to disk blocks.



#### SYSTEM and SYSAUX Tablespaces

- The SYSTEM and SYSAUX tablespaces are mandatory tablespaces that are created at the time of database creation. They must be online.
- The SYSTEM tablespace is used for core functionality (for example, data dictionary tables).
- The auxiliary SYSAUX tablespace is used for additional database components (such as the Enterprise Manager Repository).

#### **Summary**

In this lesson, you should have learned how to:

- List the major architectural components of the Oracle Database server
- Explain memory structures
- Describe background processes
- Correlate logical and physical storage structures

#### **Practice 1: Overview**

#### This practice covers the following topics:

- Listing the different components of an Oracle Database server
- Looking at some instance and database components directly on your machine

### Introduction to SQL Tuning

### **Objectives**

After completing this lesson, you should be able to:

- Describe what attributes of a SQL statement can make it perform poorly
- List the Oracle tools that can be used to tune SQL
- List the tuning tasks

#### Reasons for Inefficient SQL Performance

- Stale or missing optimizer statistics
- Missing access structures
- Suboptimal execution plan selection
- Poorly constructed SQL

#### **Inefficient SQL: Examples**

```
SELECT COUNT(*) FROM products p

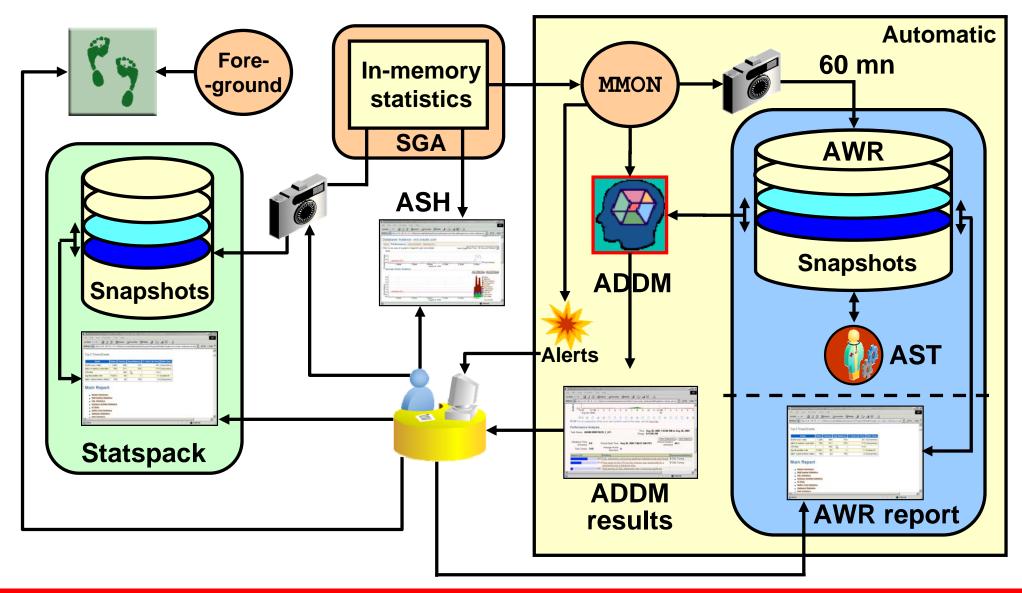
WHERE prod_list_price <
    1.15 * (SELECT avg(unit_cost) FROM costs c

WHERE c.prod_id = p.prod_id)
```

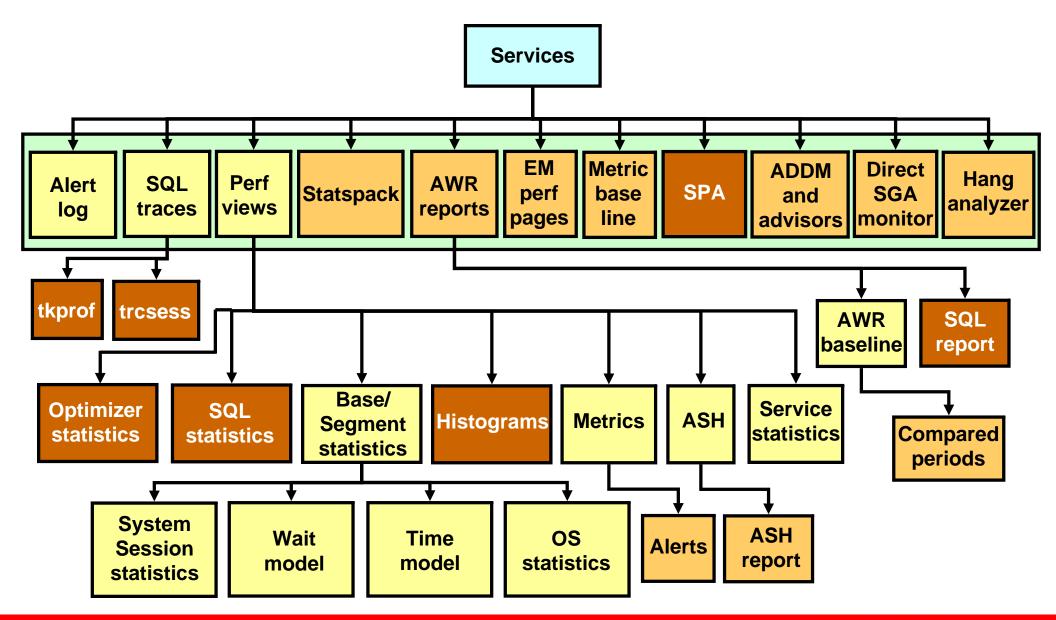
- SELECT \* FROM job\_history jh, employees e

  WHERE substr(to\_char(e.employee\_id),2) =
   substr(to\_char(jh.employee\_id),2)
- 3 SELECT \* FROM orders WHERE order\_id\_char = 1205
- SELECT \* FROM employees
  WHERE to\_char(salary) = :sal
- SELECT \* FROM parts\_old
  UNION
  SELECT \* FROM parts\_new

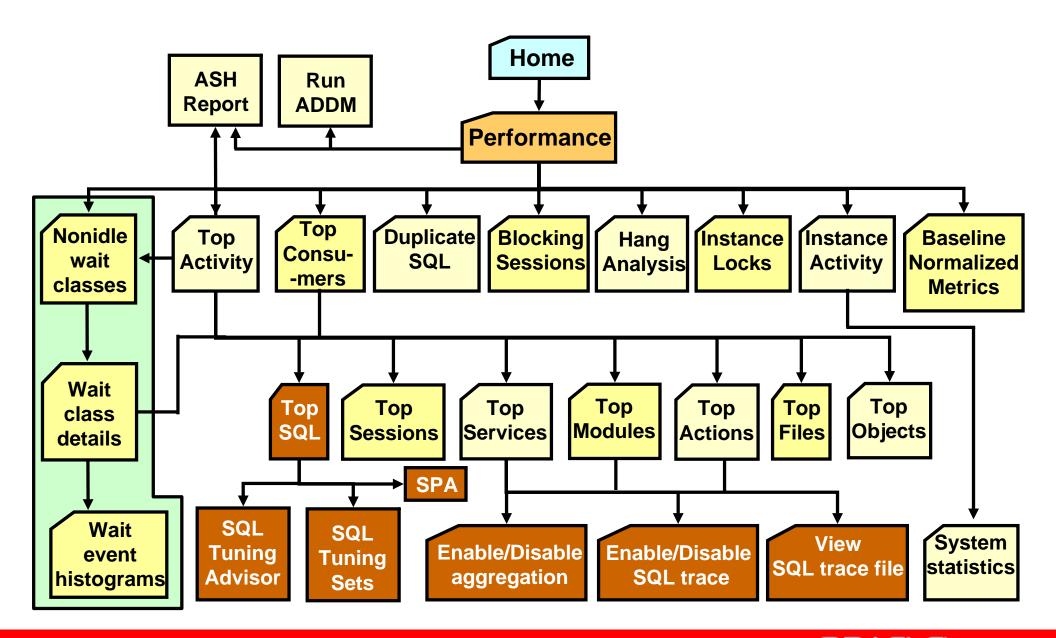
### **Performance Monitoring Solutions**



#### **Monitoring and Tuning Tools: Overview**



### **EM Performance Pages for Reactive Tuning**



### **Tuning Tools: Overview**

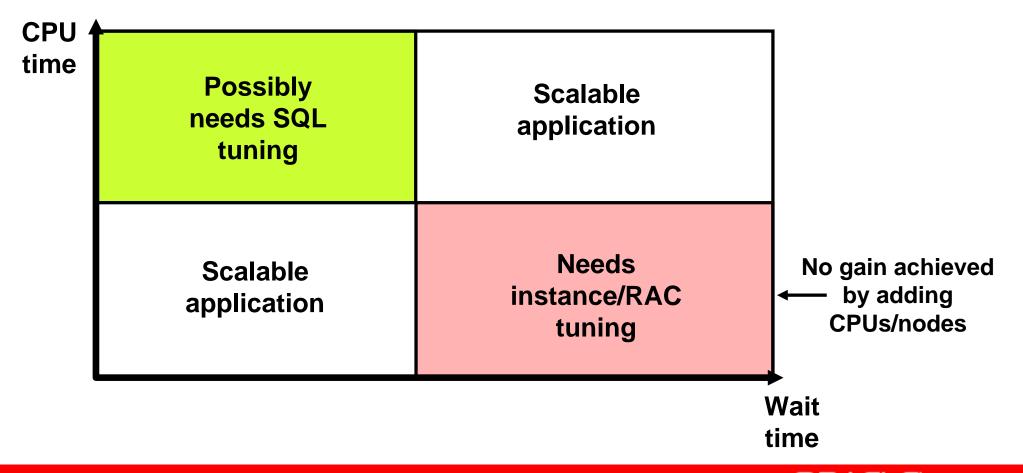
- Automatic Database Diagnostic Monitor (ADDM)
- SQL Tuning Advisor
- SQL Tuning Sets
- SQL Access Advisor
- SQL Performance Analyzer
- SQL Monitoring
- SQL Plan Management

### **SQL Tuning Tasks: Overview**

- Identifying high-load SQL
- Gathering statistics
- Generating system statistics
- Rebuilding existing indexes
- Maintaining execution plans
- Creating new index strategies

### **CPU and Wait Time Tuning Dimensions**

Scalability is a system's ability to process more workload with a proportional increase in system resource use.



# Scalability with Application Design, Implementation, and Configuration

Applications have a significant impact on scalability.

- Poor schema design can cause expensive SQL that does not scale.
- Poor transaction design can cause locking and serialization problems.
- Poor connection management can cause unsatisfactory response times.

## **Common Mistakes on Customer Systems**

- Bad connection management
- 2. Bad use of cursors and the shared pool
- 3. Excess of resources consuming SQL statements
- 4. Use of nonstandard initialization parameters
- 5. Poor database disk configuration
- 6. Redo log setup problems
- Excessive serialization
- 8. Inappropriate full table scans
- Large number of space-management or parse-related generated SQL statements
- 10. Deployment and migration errors

**EDUCATE USERS** 

# **Proactive Tuning Methodology**

- Simple design
- Data modeling
- Tables and indexes
- Using views
- Writing efficient SQL
- Cursor sharing
- Using bind variables

# Simplicity in Application Design

- Simple tables
- Well-written SQL
- Indexing only as required
- Retrieving only required information

#### **Data Modeling**

- Accurately represent business practices
- Focus on the most frequent and important business transactions
- Use modeling tools
- Appropriately normalize data (OLTP versus DW)

## **Table Design**

- Compromise between flexibility and performance:
  - Principally normalize
  - Selectively denormalize
- Use Oracle performance and management features:
  - Default values
  - Constraints
  - Materialized views
  - Clusters
  - Partitioning
- Focus on business-critical tables

#### **Index Design**

- Create indexes on the following:
  - Primary key (can be automatically created)
  - Unique key (can be automatically created)
  - Foreign keys (good candidates)
- Index data that is frequently queried (select list).
- Use SQL as a guide to index design.

#### **Using Views**

- Simplifies application design
- Is transparent to the developer
- Can cause suboptimal execution plans

## **SQL Execution Efficiency**

- Good database connectivity
- Minimizing parsing
- Share cursors
- Using bind variables

#### Writing SQL to Share Cursors

- Create generic code using the following:
  - Stored procedures and packages
  - Database triggers
  - Any other library routines and procedures
- Write to format standards (improves readability):
  - Case
  - White space
  - Comments
  - Object references
  - Bind variables

#### **Performance Checklist**

- Set initialization parameters and storage options.
- Verify resource usage of SQL statements.
- Validate connections by middleware.
- Verify cursor sharing.
- Validate migration of all required objects.
- Verify validity and availability of optimizer statistics.

#### **Summary**

In this lesson, you should have learned how to:

- Describe what attributes of a SQL statement can make it perform poorly
- List the Oracle tools that can be used to tune SQL
- List the tuning tasks

#### **Practice 2: Overview**

This practice covers the following topics:

- Rewriting queries for better performance
- Rewriting applications for better performance

# **Introduction to the Optimizer**

#### **Objectives**

After completing this lesson, you should be able to:

- Describe the execution steps of a SQL statement
- Discuss the need for an optimizer
- Explain the various phases of optimization
- Control the behavior of the optimizer

# **Structured Query Language**

DML
INSERT
UPDATE
DELETE
MERGE
SELECT

COMMIT
ROLLBACK
SAVEPOINT
SET TRANSACTION

CREATE
DROP
ALTER
RENAME
TRUNCATE
GRANT
REVOKE
AUDIT
NOAUDIT
COMMENT

SystemCS

ALTER SYSTEM

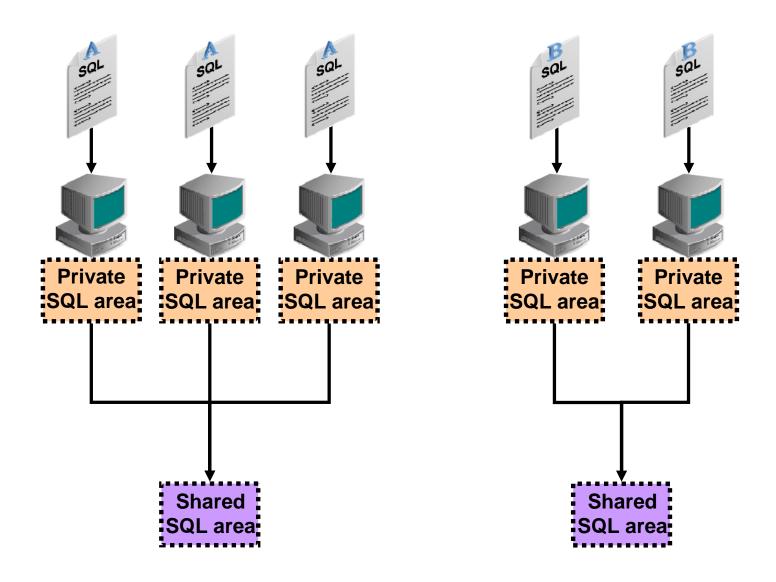
DECLARE
CONNECT
OPEN
CLOSE
DESCRIBE
WHENEVER
PREPARE
EXECUTE
FETCH

SessionCS

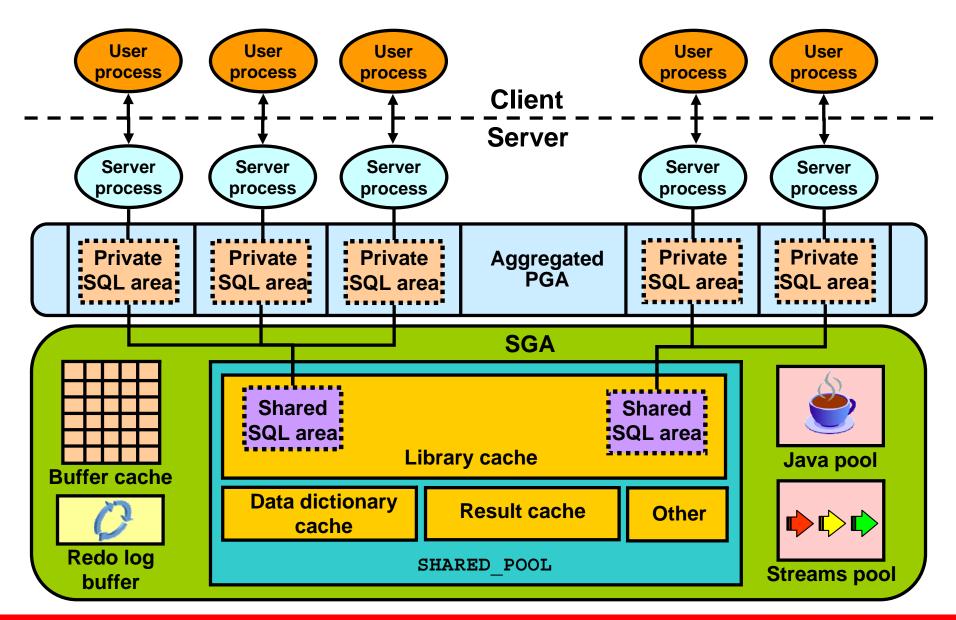
ALTER SESSION

SET ROLE

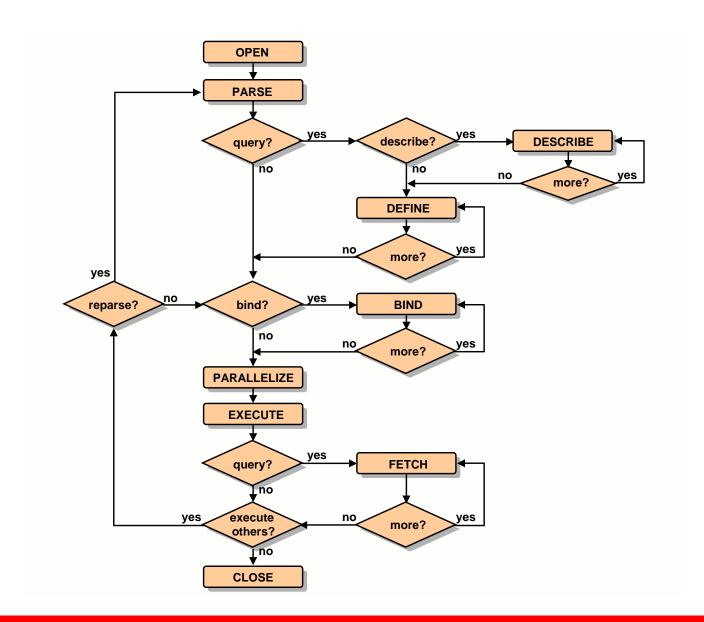
## **SQL Statement Representation**



#### **SQL Statement Implementation**



## **SQL Statement Processing: Overview**



## **SQL Statement Processing: Steps**

- 1. Create a cursor.
- 2. Parse the statement.
- 3. Describe query results.
- 4. Define query output.
- 5. Bind variables.
- Parallelize the statement.
- 7. Execute the statement.
- 8. Fetch rows of a query.
- 9. Close the cursor.

#### **Step 1: Create a Cursor**

- A cursor is a handle or name for a private SQL area.
- It contains information for statement processing.
- It is created by a program interface call in expectation of a SQL statement.
- The cursor structure is independent of the SQL statement that it contains.

#### **Step 2: Parse the Statement**

- Statement passed from the user process to the Oracle instance
- Parsed representation of SQL created and moved into the shared SQL area if there is no identical SQL in the shared SQL area
- Can be reused if identical SQL exists

#### **Steps 3 and 4: Describe and Define**

- The describe step provides information about the select list items; it is relevant when entering dynamic queries through an OCI application.
- The define step defines location, size, and data type information required to store fetched values in variables.

#### **Steps 5 and 6: Bind and Parallelize**

- Bind any bind values:
  - Enables memory address to store data values
  - Allows shared SQL even though bind values may change
- Parallelize the statement:
  - SELECT
  - INSERT
  - UPDATE
  - MERGE
  - DELETE
  - CREATE
  - ALTER

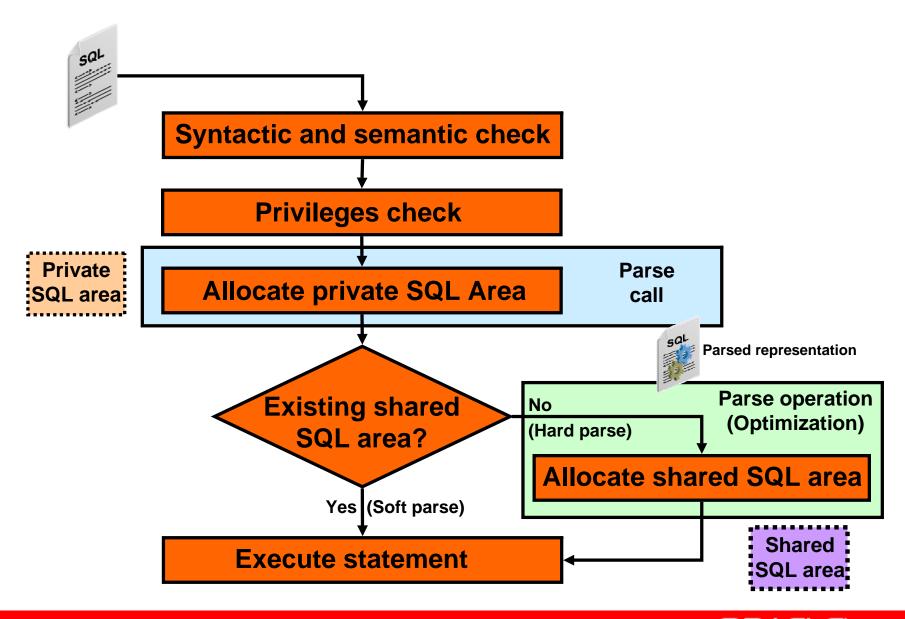
#### **Steps 7 Through 9**

- Execute:
  - Drives the SQL statement to produce the desired results
- Fetch rows:
  - Into defined output variables
  - Query results returned in table format
  - Array fetch mechanism
- Close the cursor.

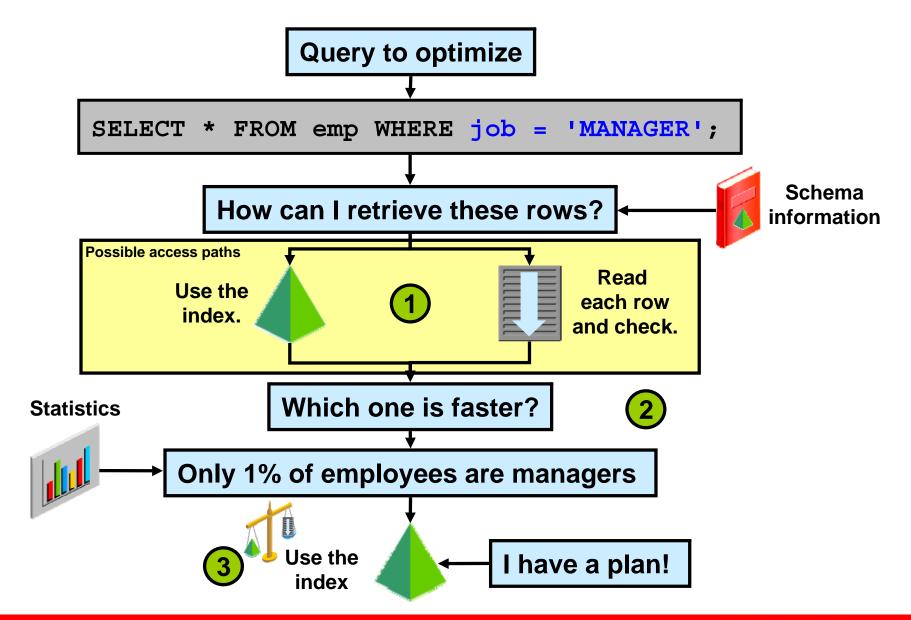
#### **SQL Statement Processing PL/SQL: Example**

```
SQL> variable c1 number
SQL> execute :c1 := dbms sql.open cursor;
SQL> variable b1 varchar2
SQL> execute dbms sql.parse
  2 (:c1
  3 ,'select null from dual where dummy = :b1'
 4 ,dbms sql.native);
SQL> execute :b1:='Y';
SQL> exec dbms sql.bind variable(:c1,':b1',:b1);
SQL> variable r number
SQL> execute :r := dbms sql.execute(:c1);
SQL> variable r number
SQL> execute :r := dbms sql.close cursor(:c1);
```

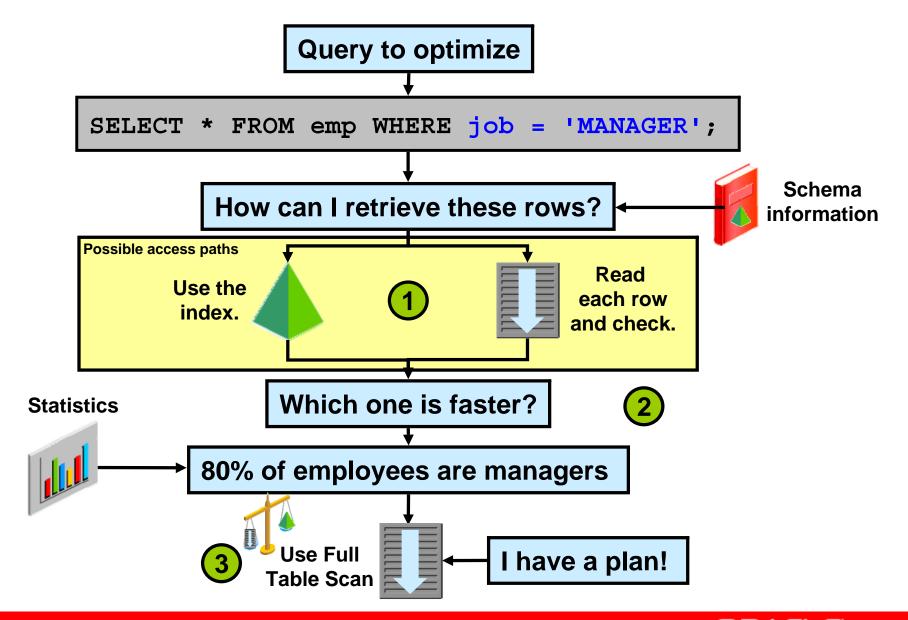
#### **SQL Statement Parsing: Overview**



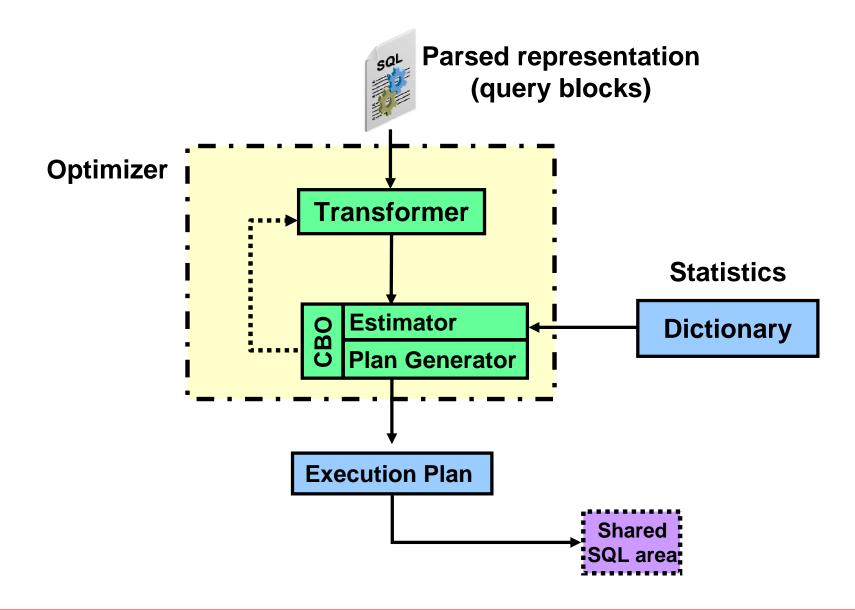
#### Why Do You Need an Optimizer?



#### Why Do You Need an Optimizer?



#### **Optimization During Hard Parse Operation**



#### Transformer: OR Expansion Example

Original query:

```
▲B*-tree Index
```

```
SELECT *

FROM emp
WHERE job = 'CLERK' OR deptno = 10;
```

```
SELECT *

FROM emp
WHERE job = 'CLERK'

UNION ALL
SELECT *

FROM emp
WHERE deptno = 10 AND job <> 'CLERK';
```

#### **Transformer: Subquery Unnesting Example**

Original query:

```
SELECT *

FROM accounts

WHERE custno IN

(SELECT custno FROM customers);
```

```
SELECT accounts.*

FROM accounts, customers

WHERE accounts.custno = customers.custno;

Primary or unique key
```

#### **Transformer: View Merging Example**

Original query:



```
CREATE VIEW emp_10 AS

SELECT empno, ename, job, sal, comm, deptno

FROM emp

WHERE deptno = 10;
```

```
SELECT empno FROM emp_10 WHERE empno > 7800;
```

```
SELECT empno

FROM emp

WHERE deptno = 10 AND empno > 7800;
```

#### **Transformer: Predicate Pushing Example**

Original query:



```
CREATE VIEW two_emp_tables AS

SELECT empno, ename, job, sal, comm, deptno FROM emp1

UNION

SELECT empno, ename, job, sal, comm, deptno FROM emp2;
```

```
SELECT ename FROM two_emp_tables WHERE deptno = 20;
```

#### **Transformer: Transitivity Example**

Original query:



```
SELECT *

FROM emp, dept

WHERE emp.deptno = 20 AND emp.deptno = dept.deptno;
```

```
SELECT *
FROM emp, dept
WHERE emp.deptno = 20 AND emp.deptno = dept.deptno
AND dept.deptno = 20;
```

#### **Cost-Based Optimizer**

- Piece of code:
  - Estimator
  - Plan generator
- Estimator determines cost of optimization suggestions made by the plan generator:
  - Cost: Optimizer's best estimate of the number of standardized
     I/Os made to execute a particular statement optimization
- Plan generator:
  - Tries out different statement optimization techniques
  - Uses the estimator to cost each optimization suggestion
  - Chooses the best optimization suggestion based on cost
  - Generates an execution plan for best optimization

# **Estimator: Selectivity**

Selectivity = Number of rows satisfying a condition

Total number of rows

- Selectivity is the estimated proportion of a row set retrieved by a particular predicate or combination of predicates.
- It is expressed as a value between 0.0 and 1.0:
  - High selectivity: Small proportion of rows
  - Low selectivity: Big proportion of rows
- Selectivity computation:
  - If no statistics: Use dynamic sampling
  - If no histograms: Assume even distribution of rows
- Statistic information:
  - DBA\_TABLES and DBA\_TAB\_STATISTICS (NUM\_ROWS)
  - DBA\_TAB\_COL\_STATISTICS (NUM\_DISTINCT, DENSITY, HIGH/LOW VALUE,...)

### **Estimator: Cardinality**

Cardinality = Selectivity \* Total number of rows

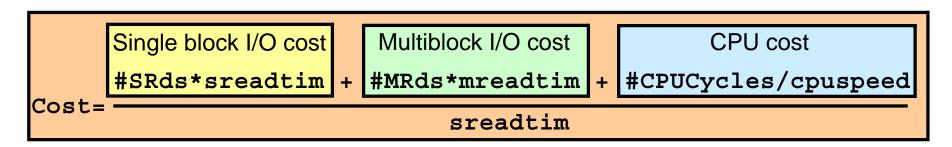
- Expected number of rows retrieved by a particular operation in the execution plan
- Vital figure to determine join, filters, and sort costs
- Simple example:

```
SELECT days FROM courses WHERE dev_name = 'ANGEL';
```

- The number of distinct values in DEV NAME is 203.
- The number of rows in COURSES (original cardinality) is 1018.
- Selectivity = 1/203 = 4.926\*e-03
- Cardinality = (1/203)\*1018 = 5.01 (rounded off to 6)

#### **Estimator: Cost**

- Cost is the optimizer's best estimate of the number of standardized I/Os it takes to execute a particular statement.
- Cost unit is a standardized single block random read:
  - 1 cost unit = 1 SRds
- The cost formula combines three different costs units into standard cost units.



#SRds: Number of single block reads
#MRds: Number of multiblock reads

#CPUCycles: Number of CPU Cycles

Sreadtim: Single block read time
Mreadtim: Multiblock read time

Cpuspeed: Millions instructions per second

#### **Plan Generator**

```
select e.last_name, c.loc_id
from employees e, classes c where e.emp_id = c.instr_id;
```

```
Join order[1]: DEPARTMENTS[D]#0 EMPLOYEES[E]#1
NL Join: Cost: 41.13 Resp: 41.13 Degree: 1
SM cost: 8.01
HA cost: 6.51
Best:: JoinMethod: Hash
Cost: 6.51 Degree: 1 Resp: 6.51 Card: 106.00
Join order[2]: EMPLOYEES[E]#1 DEPARTMENTS[D]#0
NL Join: Cost: 121.24 Resp: 121.24 Degree: 1
SM cost: 8.01
HA cost: 6.51
Join order aborted
Final cost for query block SEL$1 (#0)
All Rows Plan:
Best join order: 1
 Id Operation Name
                                     Rows Bytes Cost
     | SELECT STATEMENT
 0
                                        106 | 6042 |
      HASH JOIN
      TABLE ACCESS FULL | DEPARTMENTS
                                        27 810
      TABLE ACCESS FULL | EMPLOYEES
                                         107
                                               2889
```

## Controlling the Behavior of the Optimizer

- CURSOR SHARING: SIMILAR, EXACT, FORCE
- DB\_FILE\_MULTIBLOCK\_READ\_COUNT
- PGA AGGREGATE TARGET
- STAR TRANSFORMATION ENABLED
- RESULT CACHE MODE: MANUAL, FORCE
- RESULT CACHE MAX SIZE
- RESULT\_CACHE\_MAX\_RESULT
- RESULT CACHE REMOTE EXPIRATION

### Controlling the Behavior of the Optimizer

- OPTIMIZER INDEX CACHING
- OPTIMIZER INDEX COST ADJ
- OPTIMIZER FEATURES ENABLED
- OPTIMIZER\_MODE: <u>All\_rows</u>, first\_rows, first\_rows\_n
- OPTIMIZER\_CAPTURE\_SQL\_PLAN\_BASELINES
- OPTIMIZER USE SQL PLAN BASELINES
- OPTIMIZER DYNAMIC SAMPLING
- OPTIMIZER USE INVISIBLE INDEXES
- OPTIMIZER\_USE\_PENDING\_STATISTICS

### **Optimizer Features and Oracle Database Releases**

#### OPTIMIZER\_FEATURES\_ENABLED

Features	9.0.0 to 9.2.0	10.1.0 to 10.1.0.5	10.2.0 to 10.2.0.2	11.1.0.6
Index fast full scan	$\checkmark$	$\checkmark$	$\checkmark$	$\sim$
Consideration of bitmap access to paths for tables with only B-tree indexes	<	✓	✓	$\checkmark$
Complex view merging	<b>√</b>	✓	$\checkmark$	1
Peeking into user-defined bind variables	<b>√</b>	✓	$\checkmark$	1
Index joins	<b>√</b>	$\checkmark$	$\checkmark$	1
Dynamic sampling		- ✓	√	<b>√</b>
Query rewrite enables		- ✓	- ✓	1
Skip unusable indexes		- ✓	- ✓	<b>√</b>
Automatically compute index statistics as part of creation		- ✓	- ✓	<b>√</b>
Cost-based query transformations		- ✓	√	1
Allow rewrites with multiple MVs and/or base tables			- √	<b>√</b>
Adaptive cursor sharing				<b>√</b>
Use extended statistics to estimate selectivity				1
Use native implementation for full outer joins				1
Partition pruning using join filtering				1
Group by placement optimization				1
Null aware antijoins				1

### Summary

In this lesson, you should have learned how to:

- Describe the execution steps of a SQL statement
- Describe the need for an optimizer
- Explain the various phases of optimization
- Control the behavior of the optimizer

#### **Practice 3: Overview**

This practice covers exploring a trace file to understand the optimizer's decisions.

# **Optimizer Operators**

### **Objectives**

After completing this lesson, you should be able to:

- Describe most of the SQL operators
- List the possible access paths
- Explain how join operations are performed

# **Row Source Operations**

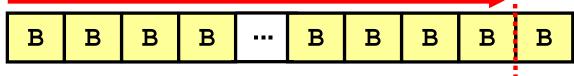
- Unary operations
  - Access Path
- Binary operations
  - Joins
- N-ary operations

#### **Main Structures and Access Paths**

Structures	Access Paths
Tables	1. Full Table Scan
	2. Rowid Scan
	3. Sample Table Scan
Indexes	4. Index Scan (Unique)
	5. Index Scan (Range)
	6. Index Scan (Full)
	7. Index Scan (Fast Full)
	8. Index Scan (Skip)
	9. Index Scan (Index Join)
	10. Using Bitmap Indexes
	11. Combining Bitmap Indexes

#### **Full Table Scan**

- Performs multiblock reads (here DB\_FILE\_MULTIBLOCK\_READ\_COUNT = 4)
- Reads all formatted blocks below the high-water mark HWM
- May filter rows

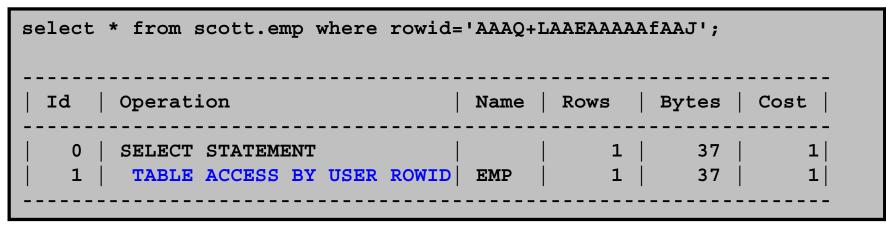


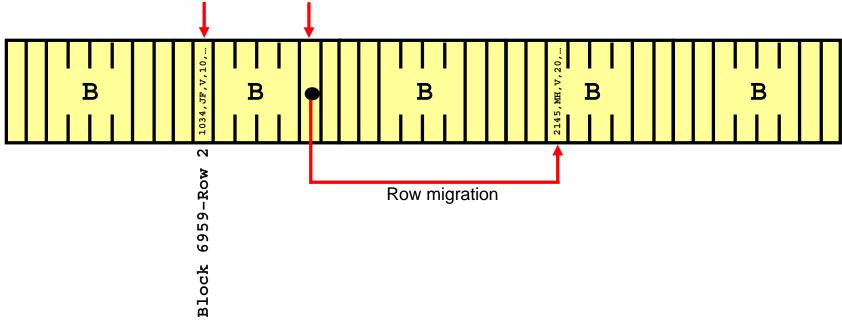
Faster than index range scans for large amount of data

#### **Full Table Scans: Use Cases**

- No suitable index
- Low selectivity filters (or no filters)
- Small table
- High degree of parallelism
- Full table scan hint: FULL ()

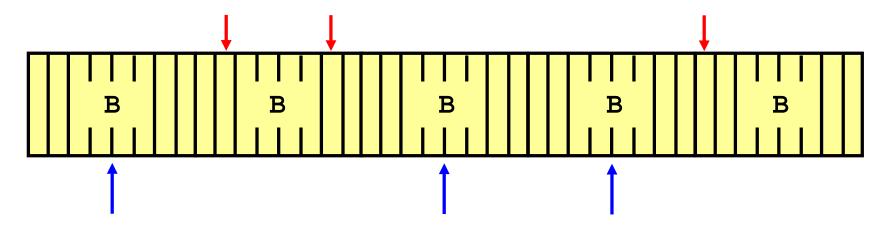
#### ROWID Scan





### **Sample Table Scans**

```
| Id | Operation | Name | Rows | Bytes | Cost (%CPU) | | 0 | SELECT STATEMENT | 4 | 99 | 2 (0) | | 1 | TABLE ACCESS SAMPLE | EMP | 4 | 99 | 2 (0) |
```

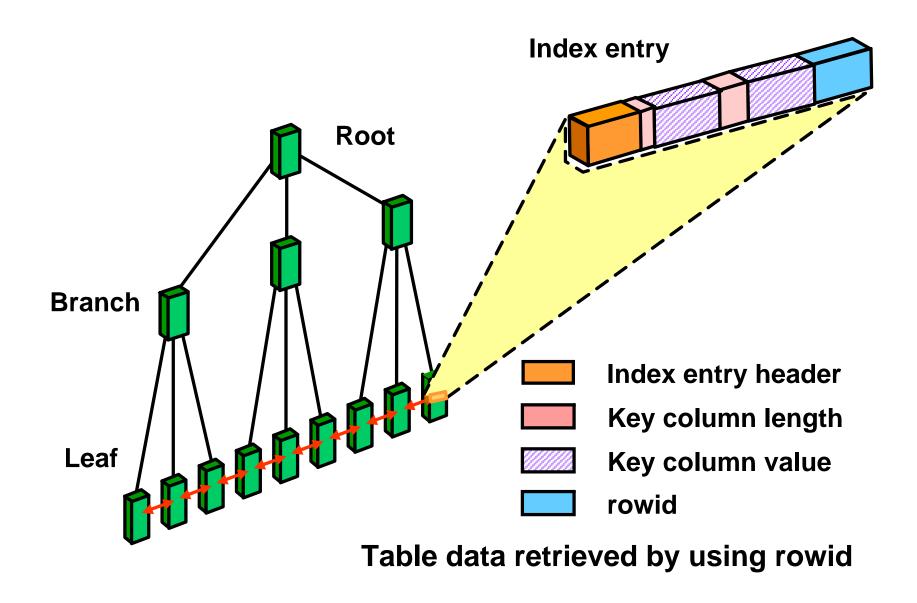


#### **Indexes: Overview**

#### Index storage techniques:

- B\*-tree indexes: The default and the most common
  - Normal
  - Function based: Precomputed value of a function or expression
  - Index-organized table (IOT)
  - Bitmap indexes
  - Cluster indexes: Defined specifically for cluster
- Index attributes:
  - Key compression
  - Reverse key
  - Ascending, descending
- Domain indexes: Specific to an application or cartridge

#### **Normal B\*-tree Indexes**



#### **Index Scans**

#### Types of index scans:

- Unique
- Min/Max
- Range (Descending)
- Skip
- Full and fast full
- Index join

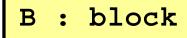
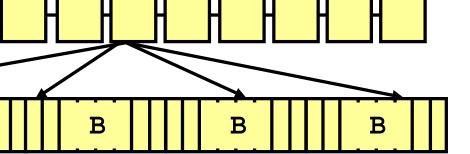
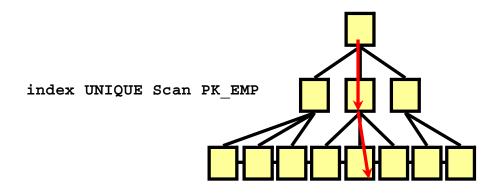


Table EMP

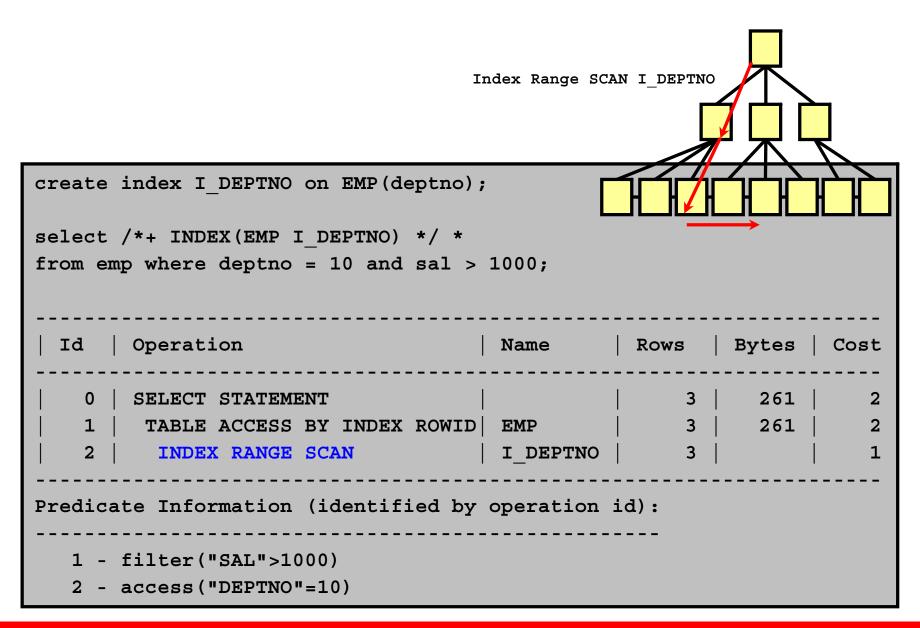


B-Tree index IX EMP

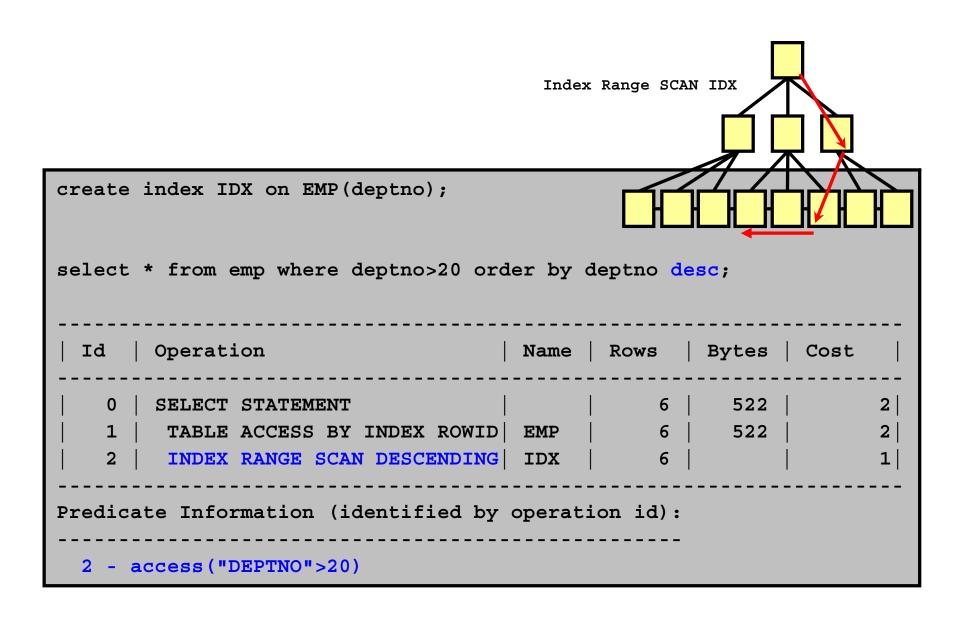
### **Index Unique Scan**



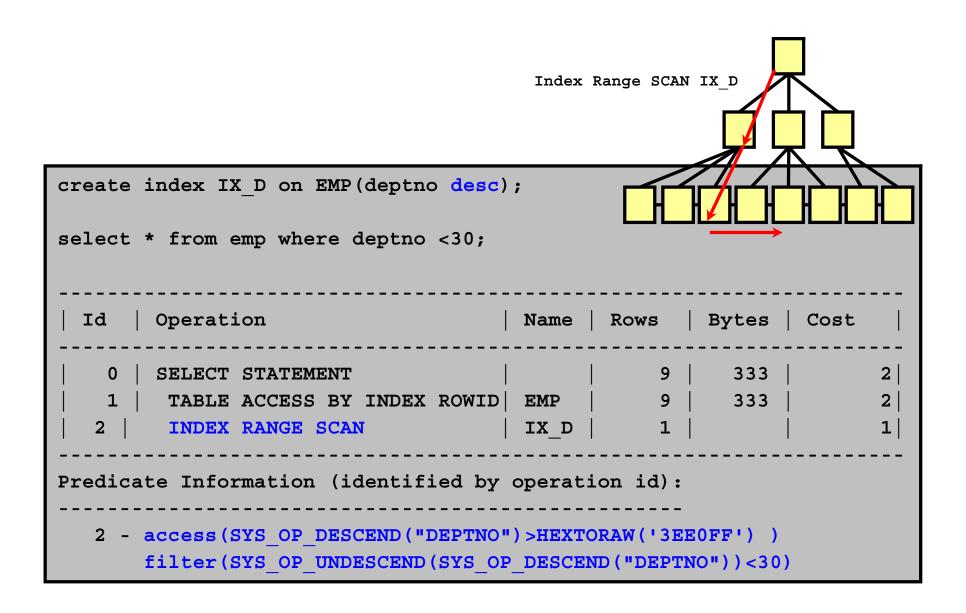
### **Index Range Scan**



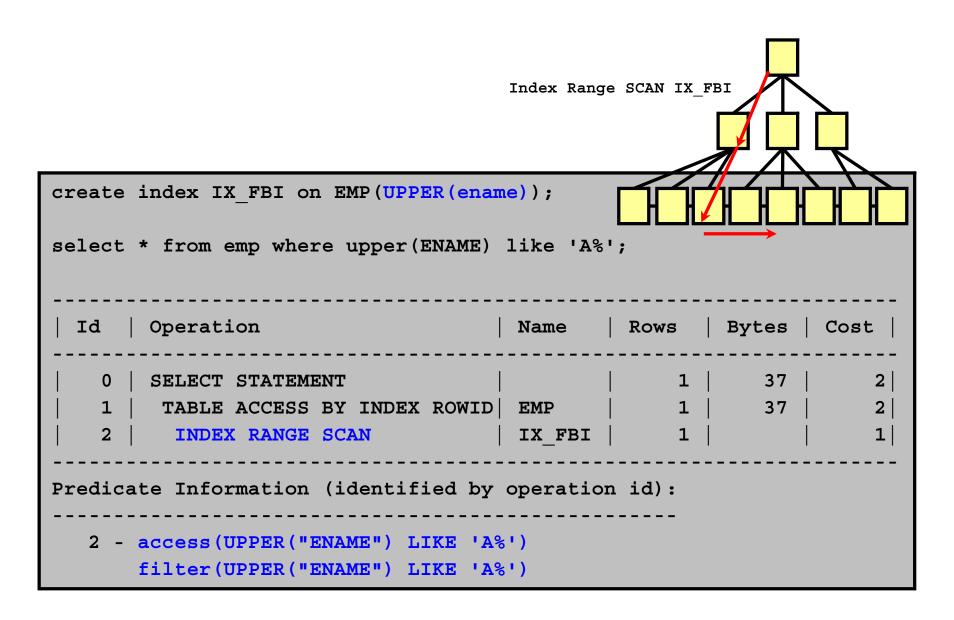
### **Index Range Scan: Descending**



### **Descending Index Range Scan**



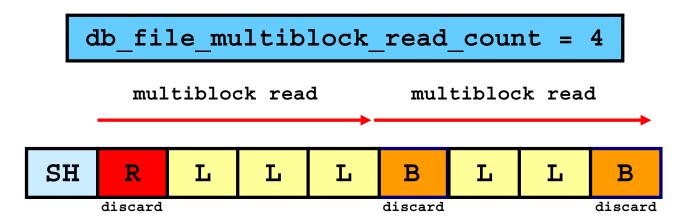
### **Index Range Scan: Function-Based**



#### **Index Full Scan**

```
create index I DEPTNO on EMP(deptno);
select *
from emp
where sal > 1000 and deptno is not null
                                          index Full Scan I DEPTNO
order by deptno;
     Operation
                                Name
                                          Rows Bytes Cost
 Id
                                            12 444
   0 | SELECT STATEMENT
                                                            2 |
      TABLE ACCESS BY INDEX ROWID | 12 |
                                                  444
       INDEX FULL SCAN I DEPTNO 14
                                                            1
Predicate Information (identified by operation id):
  1 - filter("SAL">1000)
  2 - filter("DEPTNO" IS NOT NULL)
```

#### Index Fast Full Scan

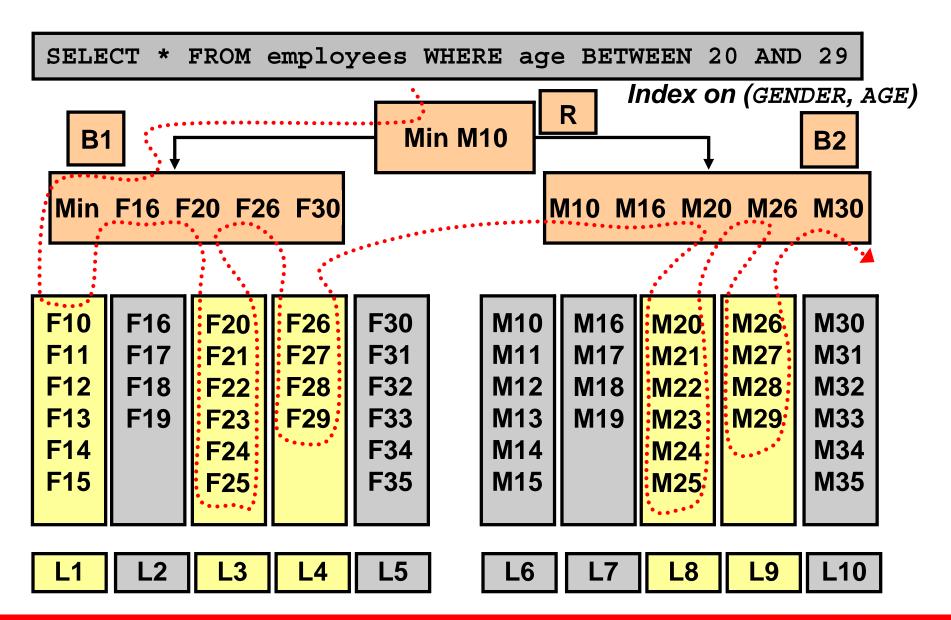


#### LEGEND:

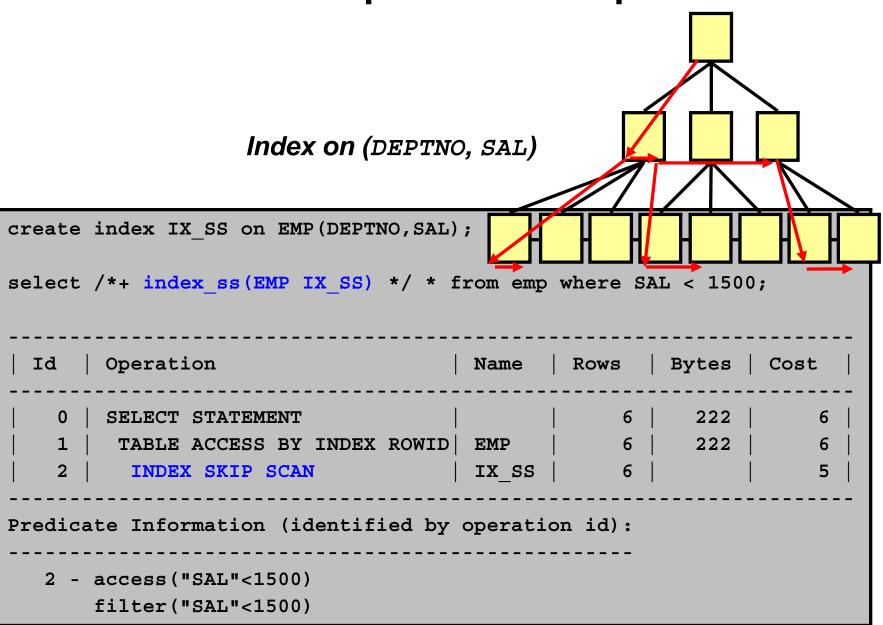
SH=segment header R=root block B=branch block L=leaf block

··· L

### **Index Skip Scan**



### **Index Skip Scan: Example**



#### **Index Join Scan**

```
alter table emp modify (SAL not null, ENAME not null);
create index I ENAME on EMP(ename);
create index I SAL on EMP(sal);
select /*+ INDEX JOIN(e) */ ename, sal from emp e;
                            Name
 Id Operation
                                             Rows Bytes
                                                 14 140
   0 | SELECT STATEMENT
                            index$ join$ 001 | 14 | 140
      VIEW
   2 HASH JOIN
                                             14 | 140
   3 | INDEX FAST FULL SCAN IX SS
   4 | INDEX FAST FULL SCAN | I ENAME
                                                 14 | 140
Predicate Information (identified by operation id):
  2 - access(ROWID=ROWID)
```

### The AND-EQUAL Operation

```
SELECT /*+ AND EQUAL(emp isal ijob) */ *
FROM
      emp
WHERE sal=1000 and job='CLERK';
      Operation
                                   | Name | Rows | Bytes | Cost
 Id
      SELECT STATEMENT
                                                      87
       TABLE ACCESS BY INDEX ROWID EMP
                                                      87
       AND-EQUAL
                                   ISAL
        INDEX RANGE SCAN
       INDEX RANGE SCAN
                                    IJOB
Predicate Information (identified by operation id):
   1 - filter("SAL"=1000 AND "JOB"='CLERK')
  3 - access("SAL"=1000)
   4 - access("JOB"='CLERK')
```

#### **B\*-tree Indexes and Nulls**

```
create table nulltest ( col1 number, col2 number not null);
create index nullind1 on nulltest (col1);
create index notnullind2 on nulltest (col2);
```

```
      select /*+ index(t nullind1) */ col1 from nulltest t;

      | Id | Operation | Name | Rows | Bytes | Cost (%CPU) |

      | 0 | SELECT STATEMENT | 10000 | 126K | 11 (0) |

      | 1 | TABLE ACCESS FULL | NULLTEST | 10000 | 126K | 11 (0) |
```

## **Using Indexes: Considering Nullable Columns**

#### Column Null?

SSN	Y
FNAME	Y
LNAME	N
•	
•	
•	

**PERSON** 

# CREATE UNIQUE INDEX person\_ssn\_ix ON person(ssn);

```
SELECT COUNT(*) FROM person;

SELECT STATEMENT

SORT AGGREGATE

TABLE ACCESS FULL PERSON
```

```
DROP INDEX person_ssn_ix;
```

#### Column Null?

```
SSN N
FNAME Y
LNAME N

•
•
```

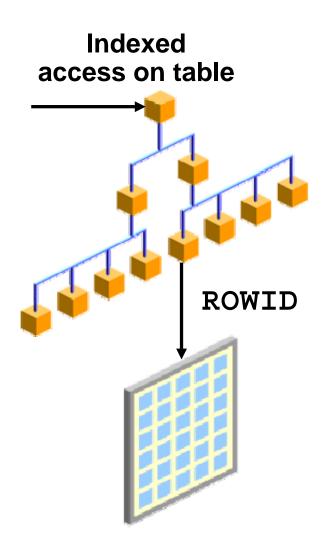
**PERSON** 

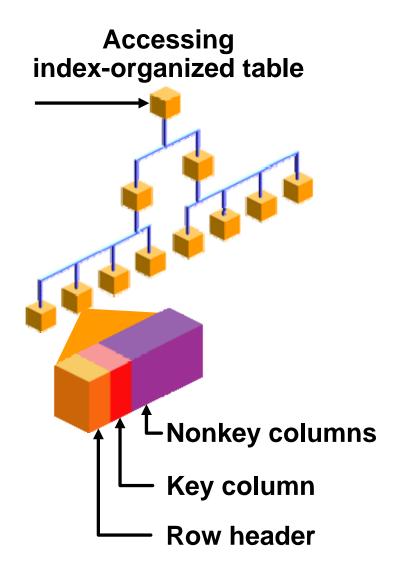
```
ALTER TABLE person ADD CONSTRAINT pk_ssn PRIMARY KEY (ssn);
```

```
SELECT /*+ INDEX(person) */ COUNT(*) FROM person;

SELECT STATEMENT
SORT AGGREGATE
INDEX FAST FULL SCAN PK_SSN
```

### **Index-Organized Tables**

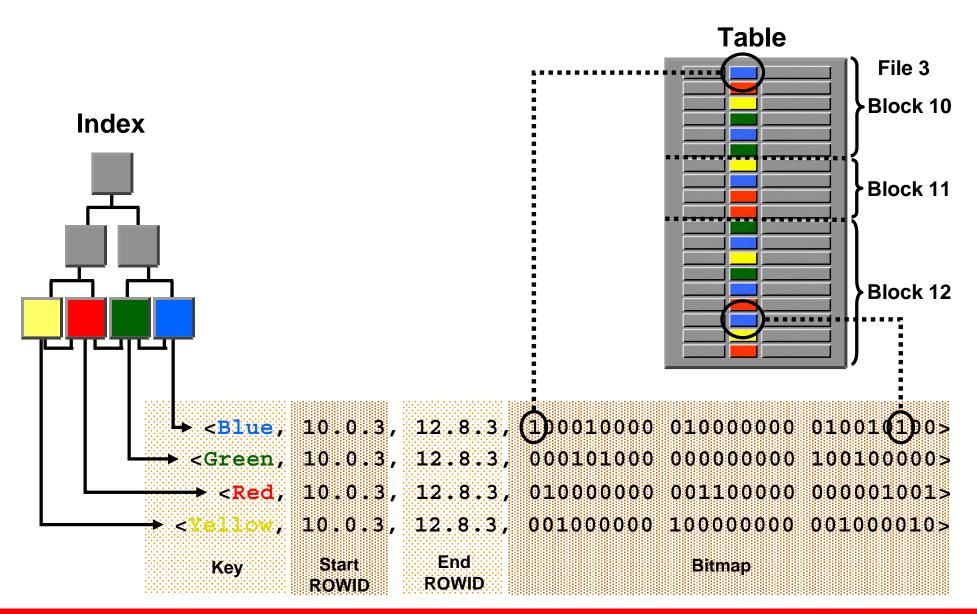




### **Index-Organized Table Scans**

```
select * from iotemp where empno=9999;
 Id Operation
                        Name
                                          Rows
                                                | Bytes | Cost |
   0 | SELECT STATEMENT
      INDEX UNIQUE SCAN SYS IOT TOP 75664 1 87
Predicate Information (identified by operation id):
  1 - access("EMPNO"=9999)
select * from iotemp where sal>1000;
 Id Operation
                          Name
                                             Rows Bytes
                                             12 | 1044
   0 | SELECT STATEMENT
      INDEX FAST FULL SCAN SYS IOT TOP 75664 | 12 |
                                                      1044
Predicate Information (identified by operation id):
  1 - filter("SAL">1000)
```

### **Bitmap Indexes**

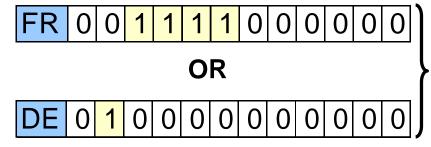


### **Bitmap Index Access: Examples**

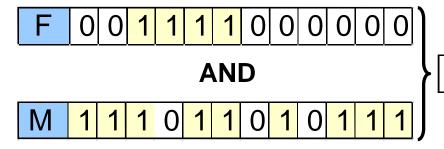
SELECT * FROM PERF_TEAM WHERE country>'FR';      Id   Operation   Name   Rows   Bytes							
0   SELECT STATEMENT   1   TABLE ACCESS BY INDEX ROWID   2   BITMAP CONVERSION TO ROWID	· ! —	   1   1	45     45     45				
3   BITMAP INDEX RANGE SCAN	IX_B2	İ					
<pre>Predicate: 3 - access("COUNTRY"&gt;'FR') filter("COUNTRY"&gt;'FR')</pre>							

## **Combining Bitmap Indexes: Examples**

```
SELECT * FROM PERF_TEAM WHERE country in('FR','DE');
```



0 1 1 1 1 1 0 0 0 0 0



0 0 1 0 1 1 0 0 0 0 0

SELECT \* FROM EMEA\_PERF\_TEAM T WHERE country='FR' and gender='M';

## **Combining Bitmap Index Access Paths**

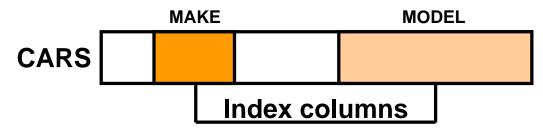
## **Bitmap Operations**

- BITMAP CONVERSION:
  - TO ROWIDS
  - FROM ROWIDS
  - COUNT
- BITMAP INDEX:
  - SINGLE VALUE
  - RANGE SCAN
  - FULL SCAN
- BITMAP MERGE
- BITMAP AND/OR
- BITMAP MINUS
- BITMAP KEY ITERATION

### **Bitmap Join Index**

```
CREATE BITMAP INDEX cust sales bji
                    ON
                           sales(c.cust city)
                           sales s, customers c
                    FROM
1,2,3
                    WHERE c.cust id = s.cust id;
    Sales
               Customers
10.8000.3
           <Rognes, 1.2.3, 10.8000.3, 1000100100100...>
<a href="mailto:kix-en-Provence"><aix-en-Provence</a>, 1.2.3, 10.8000.3, 000101000100000...>
       <Marseille, 1.2.3, 10.8000.3, 010000001000001...>
```

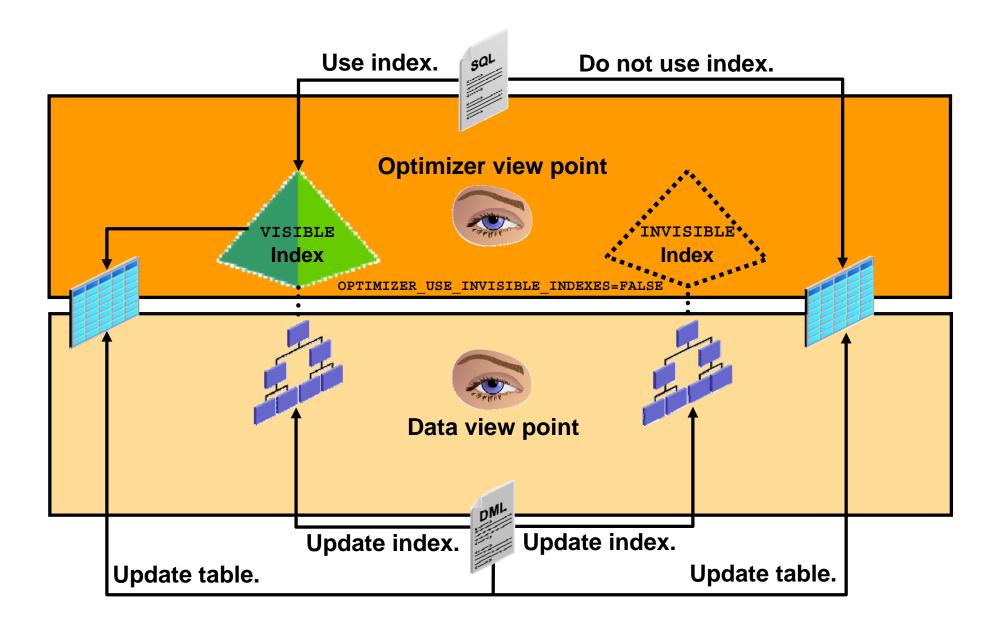
## **Composite Indexes**



```
create index cars_make_model_idx on cars(make, model);
```

```
select *
from cars
where make = 'CITROËN' and model = '2CV';
```

### **Invisible Index: Overview**



## **Invisible Indexes: Examples**

Index is altered as not visible to the optimizer:

```
ALTER INDEX ind1 INVISIBLE;
```

Optimizer does not consider this index:

```
SELECT /*+ index(TAB1 IND1) */ COL1 FROM TAB1 WHERE ...;
```

Optimizer considers this index:

```
ALTER INDEX ind1 VISIBLE;
```

Create an index as invisible initially:

```
CREATE INDEX IND1 ON TAB1(COL1) INVISIBLE;
```

## **Guidelines for Managing Indexes**

- Create indexes after inserting table data.
- Index the correct tables and columns.
- Order index columns for performance.
- Limit the number of indexes for each table.
- Drop indexes that are no longer required.
- Specify the tablespace for each index.
- Consider parallelizing index creation.
- Consider creating indexes with NOLOGGING.
- Consider costs and benefits of coalescing or rebuilding indexes.
- Consider cost before disabling or dropping constraints.

## **Investigating Index Usage**

An index may not be used for one of many reasons:

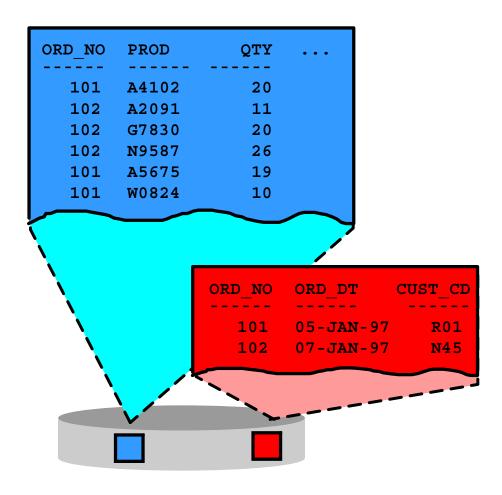
- There are functions being applied to the predicate.
- There is a data type mismatch.
- Statistics are old.
- The column can contain null.
- Using the index would actually be slower than not using it.

#### **Practice 4: Overview**

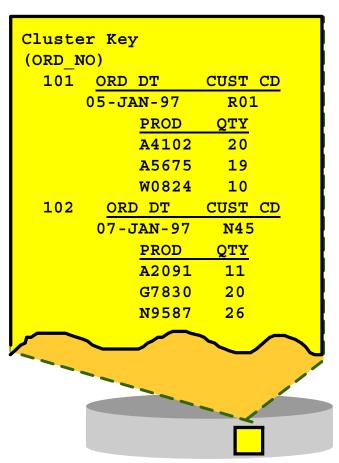
This practice covers using different access paths for better optimization.

• Case 1 through case 13

#### Clusters



Unclustered ORDERS and ORDER\_ITEMS tables



Clustered ORDERS and ORDER ITEMS tables

#### When Are Clusters Useful?

- Index cluster:
  - Tables always joined on the same keys
  - The size of the table is not known
  - In any type of searches
- Hash cluster:
  - Tables always joined on the same keys
  - Storage for all cluster keys allocated initially
  - In either equality (=) or nonequality (<>) searches

#### When Are Clusters Useful?

- Single-table hash cluster:
  - Fastest way to access a large table with an equality search
- Sorted hash cluster:
  - Only used for equality search
  - Avoid sorts on batch reporting
  - Avoid overhead probe on the branch blocks of an IOT

# **Cluster Access Path: Examples**

```
| Id | Operation | Name | Rows | Bytes | Cost (%CPU) |
| 0 | SELECT STATEMENT | 1 | 56 | 0 (0) |
| 1 | TABLE ACCESS HASH | CALLS | 1 | 56 | 1 |
| 1 - access("ORIGIN_NUMBER"=33442395322)
```

SELECT * FROM emp,dept WHER emp.deptno=dept.deptno;				
Id   Operation	Name	Rows	Bytes	Cost
0   SELECT STATEMENT		1	117	3
1 NESTED LOOPS		1	117	3
2 TABLE ACCESS FULL	EMP	1	87	2
3 TABLE ACCESS CLUSTER	DEPT	1	30	1
3 - filter("EMP"."DEPTNO"="DEPT"."DEPTNO")				

# **Sorting Operators**

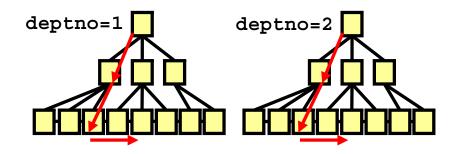
- SORT operator:
  - AGGREGATE: Single row from group function
  - UNIQUE: To eliminate duplicates
  - JOIN: Precedes a merge join
  - GROUP BY, ORDER BY: For these operators
- HASH operator:
  - GROUP BY: For this operator
  - UNIQUE: Equivalent to SORT UNIQUE
- If you want ordered results, always use ORDER BY.

### **Buffer Sort Operator**

```
select ename, emp.deptno, dept.deptno, dname
from emp, dept
where ename like 'A%';
     Operation
                                  Name
                                           Rows Bytes
 Id
                                                4 | 124 |
   0 | SELECT STATEMENT
      MERGE JOIN CARTESIAN
                                                   124
      TABLE ACCESS BY INDEX ROWID EMP
      INDEX RANGE SCAN
                                   I ENAME
      BUFFER SORT
                                                      88
      TABLE ACCESS FULL
                                  DEPT
                                                      88
Predicate Information (identified by operation id):
  3 - access("ENAME" LIKE 'A%')
      filter("ENAME" LIKE 'A%')
```

#### **Inlist Iterator**

Every value executed separately



```
select * from emp where deptno in (1,2);
select * from emp where deptno = 1 or deptno =2;
 Id
      Operation
                                    Name
                                            Rows
                                                   Bytes
                                                            Cost
      SELECT STATEMENT
                                                       78
       INLIST ITERATOR
       TABLE ACCESS BY INDEX ROWID EMP
                                                       78
        INDEX RANGE SCAN
                                    IX SS
Predicate Information (identified by operation id):
  3 - access("DEPTNO"=1 OR "DEPTNO"=2)
```

### **View Operator**

```
select v.*, d.dname from (select DEPTNO, sum(sal) SUM SAL
from emp group by deptno) v, dept d where v.deptno=d.deptno;
 Id
       Operation
                          Name
                                  Rows
                                         Bytes
                                                Cost (%CPU)
       SELECT STATEMENT
                                           144
                                                    5 (20)
       HASH JOIN
                                           144
                                                    5 (20)
                                                    1 (0)
                                           78
        VIEW
                                          21
                                                    1 (0)
        HASH GROUP BY
                                     14 98
                                                    1 (0)
           INDEX FULL SCAN IX SS
   5 TABLE ACCESS FULL DEPT
                                            88
                                                       (0)
Predicate: 1 - access("V"."DEPTNO"="D"."DEPTNO")
```

### **Count Stop Key Operator**

```
select count(*)
from (select /*+ NO MERGE */ *
     from TC where C1 = '1' and rownum < 10);
 Id Operation
                           | Name | Rows | Bytes | Cost (%CPU) |
   0 | SELECT STATEMENT
                                                      4 (0)
       SORT AGGREGATE
                                                      4 (0)
        VIEW
        COUNT STOPKEY
        TABLE ACCESS FULL TC 4282 4190K
                                                          (0)
Predicate Information (identified by operation id):
  3 - filter(ROWNUM<10)</pre>
  4 - filter("C1"='1')
```

### Min/Max and First Row Operators

```
select min(id) FROM t WHERE id > 500000;
 Id | Operation
                                 | Name | Rows | Bytes | Cost |
                                           1 | 13 |
   0 | SELECT STATEMENT
                                          1 | 13 |
   1 | SORT AGGREGATE
                                       | 717K| 9113K|
      FIRST ROW
   3 | INDEX RANGE SCAN (MIN/MAX) | IXT | 717K | 9113K |
Predicate Information (identified by operation id):
  3 - access("ID">500000)
```

### Join Methods

- A join defines the relationship between two row sources.
- A join is a method of combining data from two data sources.
- It is controlled by join predicates, which define how the objects are related.
- Join methods:
  - Nested loops
  - Sort-merge join
  - Hash join

```
SELECT e.ename, d.dname

FROM dept d JOIN emp e USING (deptno) ← Join predicate

WHERE e.job = 'ANALYST' OR e.empno = 9999; ← Nonjoin predicate

SELECT e.ename, d.dname

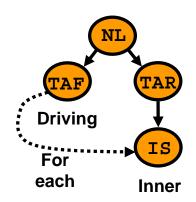
FROM emp e, dept d

WHERE e.deptno = d.deptno AND ← Join predicate

(e.job = 'ANALYST' OR e.empno = 9999); ← Nonjoin predicate
```

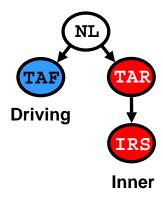
## **Nested Loops Join**

- Driving row source is scanned
- Each row returned drives a lookup in inner row source
- Joining rows are then returned

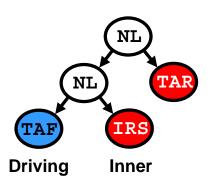


```
select ename, e.deptno, d.deptno, d.dname
from emp e, dept d
where e.deptno = d.deptno and ename like 'A%';
      Operation
                                                          Cost
 Id
                                       Name
                                                   Rows
        SELECT STATEMENT
       NESTED LOOPS
         TABLE ACCESS FULL
                                      EMP
         TABLE ACCESS BY INDEX ROWID DEPT
           INDEX UNIQUE SCAN
                                      PK DEPT
   2 - filter("E"."ENAME" LIKE 'A%')
   4 - access("E"."DEPTNO"="D"."DEPTNO")
```

### **Nested Loops Join: Prefetching**



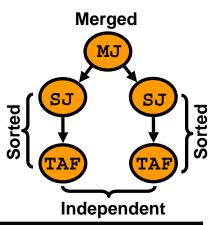
### Nested Loops Join: 11g Implementation



```
select ename, e.deptno, d.deptno, d.dname
from emp e, dept d
where e.deptno = d.deptno and ename like 'A%';
        SELECT STATEMENT
                                                          84
                                                                   5
        NESTED LOOPS
         NESTED LOOPS
                                                          84
        TABLE ACCESS FULL
                                       EMP
                                                          40
         INDEX RANGE SCAN
                                     DDEPT
          TABLE ACCESS BY INDEX ROWID DEPT
                                                          22
   3 - filter("E"."ENAME" LIKE 'A%')
   4 - access("E"."DEPTNO"="D"."DEPTNO")
```

# **Sort Merge Join**

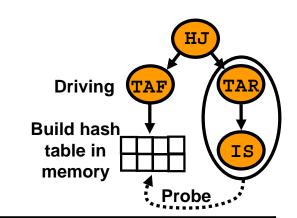
- First and second row sources are sorted by same sort key.
- Sorted rows from both side are merged.



```
select ename, e.deptno, d.deptno, dname
from emp e, dept d
where e.deptno = d.deptno and ename > 'A';
                                            Bytes | Cost (%CPU) |
  Id
       Operation
                             Name Rows
                                               84
                                                           (25)
        SELECT STATEMENT
        MERGE JOIN
                                                        8 (25)
         SORT JOIN
                                               40
                                                        4 (25)
                                               40
                                                        3 (0)
           TABLE ACCESS FULL
                             EMP
         SORT JOIN
                                               88
                                                        4 (25)
                                                            (0)
          TABLE ACCESS FULL | DEPT
                                               88
Predicate: 3 - filter("ENAME">'A')
             4 - access("E"."DEPTNO"="D"."DEPTNO")
                 filter("E"."DEPTNO"="D"."DEPTNO")
```

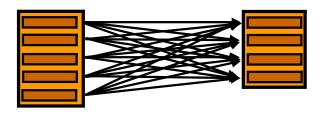
### **Hash Join**

- The smallest row source is used to build a hash table.
- The second row source is hashed and checked against the hash table.



```
select ename, e.deptno, d.deptno, dname from emp e, dept d
where e.deptno = d.deptno and ename like 'A%';
        Operation
                                       Name
                                              Rows
                                                      Bytes
  Id
        SELECT STATEMENT
                                                          66
        HASH JOIN
                                                          27
          TABLE ACCESS BY INDEX ROWID EMP
           INDEX FULL SCAN
                                       EDEPT
          TABLE ACCESS FULL
                                       DEPT
                                                          52
Predicate:
             1 - access("E"."DEPTNO"="D"."DEPTNO")
             2 - filter("ENAME" LIKE 'A%')
```

#### **Cartesian Join**



```
select ename, e.deptno, d.deptno, dname
from emp e, dept d where ename like 'A%';
                         Name Rows Bytes Cost (%CPU)
      Operation
 Id
     SELECT STATEMENT
                                   11 242
                                               8 (0)
                                       242
                                                  8 (0)
      MERGE JOIN CARTESIAN
                                   11
                                      27
      TABLE ACCESS FULL
                                                  3 (0)
                         EMP
                                          52
      BUFFER SORT
                                                  5 (0)
                                                   (0)
       TABLE ACCESS FULL | DEPT |
                                          52
Predicate Information (identified by operation id):
  2 - filter("ENAME" LIKE 'A%')
```

## Join Types

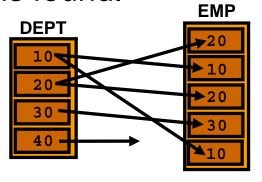
- A join operation combines the output from two row sources and returns one resulting row source.
- Join operation types include the following :
  - Join (Equijoin/Natural Nonequijoin)
  - Outer join (Full, Left, and Right)
  - Semi join: EXISTS subquery
  - Anti join: NOT IN subquery
  - Star join (Optimization)

## **Equijoins and Nonequijoins**

```
SELECT e.ename, e.sal, s.grade
      emp e ,salgrade s
FROM
WHERE e.sal = s.hisal;
                                                       Equijoin
       Operation
  Id
                           Name
       SELECT STATEMENT
       HASH JOIN
   2 | TABLE ACCESS FULL | EMP
         TABLE ACCESS FULL | SALGRADE
                                            SELECT e.ename, e.sal, s.grade
                                                  emp e ,salgrade s
                                            FROM
  1 - access("E"."SAL"="S"."HISAL")
                                           WHERE e.sal between s.hisal and s.hisal;
                                              Id
                                                   Operation
                                                                        Name
                                                   SELECT STATEMENT
                                                   NESTED LOOPS
                                                     TABLE ACCESS FULL EMP
             Nonequijoin
                                                     TABLE ACCESS FULL | SALGRADE
                                             3 - filter("E"."SAL">="S"."HISAL" AND
                                                        "E"."SAL"<="S"."HISAL")
```

### **Outer Joins**

An outer join also returns a row if no match is found.



```
SELECT d.deptno, d.dname, e.empno, e.ename
FROM
       emp e, dept d
      e.deptno(+)=d.deptno;
        Operation
 Ιd
                                        Name
        SELECT STATEMENT
         NESTED LOOPS OUTER
          TABLE ACCESS FULL
                                        DEPT
          TABLE ACCESS BY INDEX ROWID
                                        EMP
           INDEX RANGE SCAN
                                        EDEPT
   4 - access("E"."DEPTNO"(+)="D"."DEPTNO")
```

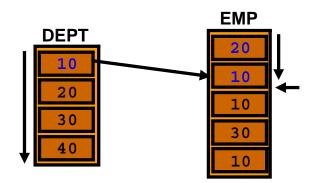
```
SELECT d.deptno,d.dname,e.empno,e.ename
FROM emp e, dept d
WHERE e.deptno(+)=d.deptno;

| Id | Operation | Name |
| 0 | SELECT STATEMENT | |
| 1 | HASH JOIN RIGHT OUTER |
| 2 | TABLE ACCESS FULL | EMP |
| 3 | TABLE ACCESS FULL | DEPT |

1 - access("E"."DEPTNO"(+)="D"."DEPTNO")
```

# **Semijoins**

Semijoins only look for the first match.



```
SELECT deptno, dname
FROM
      dept
WHERE EXISTS (SELECT 1 FROM emp WHERE emp.deptno=dept.deptno);
       Operation
                            Name
                                   Rows
                                         Bytes | Cost (%CPU) |
 Id
                                                      7 (15)
       SELECT STATEMENT
                                             105
                                             105
                                                      7 (15)
        HASH JOIN SEMI
         TABLE ACCESS FULL DEPT
                                            88
                                                      3 (0)
                                                          (0)
         TABLE ACCESS FULL
                            EMP
                                      14
                                             182
   1 - access("EMP"."DEPTNO"="DEPT"."DEPTNO")
```

## **Antijoins**

Reverse of what would have been returned by a join

```
SELECT deptno, dname

FROM dept

WHERE deptno not in

(SELECT deptno FROM emp);

Id | Operation | Name |

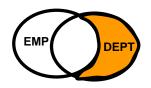
0 | SELECT STATEMENT |

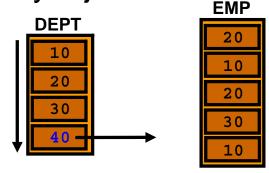
1 | NESTED LOOPS ANTI |

2 | TABLE ACCESS FULL | DEPT |

3 | INDEX RANGE SCAN | I_DEPTNO |

3 - access("DEPTNO"="DEPTNO")
```





```
FROM dept
WHERE deptno not in
    (SELECT deptno FROM emp);

Id | Operation | Name |

0 | SELECT STATEMENT |

1 | HASH JOIN ANTI |

2 | TABLE ACCESS FULL DEPT |

3 | TABLE ACCESS FULL EMP
```

# **Other N-Array Operations**

- FILTER
- CONCATENATION
- UNION ALL/UNION
- INTERSECT
- MINUS

## **Filter Operations**

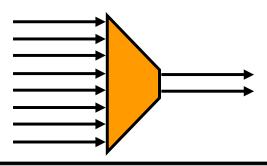
- Accepts a set of rows
- Eliminates some of them
- Returns the rest

```
SELECT deptno, sum(sal) SUM_SAL
FROM emp
GROUP BY deptno
HAVING sum(sal) > 9000;

Id | Operation | Name |

0 | SELECT STATEMENT |
1 | FILTER |
2 | HASH GROUP BY |
3 | TABLE ACCESS FULL EMP

1 - filter(SUM("SAL")>9000)
```



```
SELECT deptno, dname
FROM dept d WHERE NOT EXISTS
(select 1 from emp e
where e.deptno=d.deptno);

| Id | Operation | Name |
| 0 | SELECT STATEMENT |
| 1 | FILTER |
| 2 | TABLE ACCESS FULL | DEPT |
| 3 | INDEX RANGE SCAN | I_DEPTNO |
| 1 - filter( NOT EXISTS (SELECT 0 FROM "EMP" "E" WHERE "E"."DEPTNO"=:B1))
| 3 - access("E"."DEPTNO"=:B1)
```

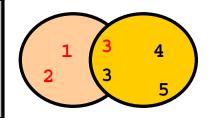
### **Concatenation Operation**

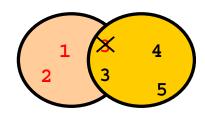
```
SELECT * FROM emp WHERE deptno=1 or sal=2;
     Operation
                                  Name
                                            Rows
 Id
                                                   Bytes
      SELECT STATEMENT
                                                      696
      CONCATENATION
      TABLE ACCESS BY INDEX ROWID EMP
                                                      348
      INDEX RANGE SCAN
                                   I SAL
   4 TABLE ACCESS BY INDEX ROWID EMP
                                                      348
        INDEX RANGE SCAN
                                  I DEPTNO
Predicate Information (identified by operation id):
  3 - access("SAL"=2)
  4 - filter(LNNVL("SAL"=2))
  5 - access("DEPTNO"=1)
```

### UNION [ALL], INTERSECT, MINUS

UNION ALL

SORT UNIQUE
UNION-ALL
INDEX FULL SCAN
INDEX FAST FULL SCAN





INTERSECT

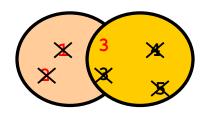
INTERSECTION

SORT UNIQUE NOSORT

INDEX FULL SCAN

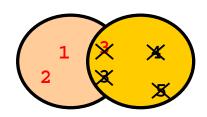
SORT UNIQUE

INDEX FAST FULL SCAN



MINUS

MINUS
SORT UNIQUE NOSORT
INDEX FULL SCAN
SORT UNIQUE
INDEX FAST FULL SCAN



## **Result Cache Operator**

```
EXPLAIN PLAN FOR
SELECT /*+ RESULT_CACHE */ department_id, AVG(salary)
FROM employees
GROUP BY department_id;
```

Id	Operation	Name	Rows
0	SELECT STATEMENT		11
1	RESULT CACHE	8fpza04gtwsfr6n595au15yj4y	
2	HASH GROUP BY		11
3	TABLE ACCESS FULL	EMPLOYEES	107

#### Summary

In this lesson, you should have learned to:

- Describe most of the SQL operators
- List the possible access paths
- Explain how join operations are performed

#### **Practice 4: Overview**

This practice covers the following topics:

- Using different access paths for better optimization
  - Case 14 to case 16
- Using the result cache

# **Interpreting Execution Plans**

## **Objectives**

After completing this lesson, you should be able to:

- Gather execution plans
- Display execution plans
- Interpret execution plans

#### What Is an Execution Plan?

- The execution plan of a SQL statement is composed of small building blocks called row sources for serial execution plans.
- The combination of row sources for a statement is called the execution plan.
- By using parent-child relationships, the execution plan can be displayed in a tree-like structure (text or graphical).



#### Where to Find Execution Plans?

- PLAN TABLE (EXPLAIN PLAN or SQL\*Plus autotrace)
- V\$SQL PLAN (Library Cache)
- V\$SQL\_PLAN\_MONITOR (11g)
- DBA\_HIST\_SQL\_PLAN (AWR)
- STATS\$SQL\_PLAN (Statspack)
- SQL Management Base (SQL Plan Management Baselines)
- SQL tuning set
- Trace files generated by DBMS MONITOR
- Event 10053 trace file
- Process state dump trace file since 10gR2

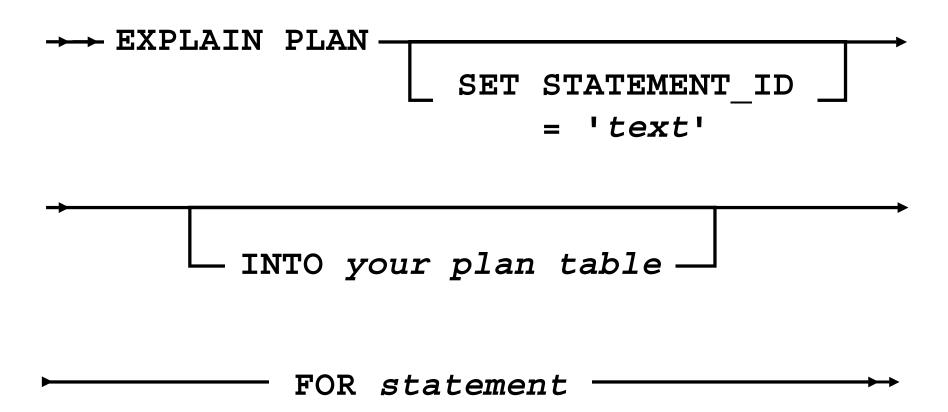
#### **Viewing Execution Plans**

- The EXPLAIN PLAN command followed by:
  - SELECT from PLAN TABLE
  - DBMS XPLAN.DISPLAY()
- SQL\*Plus Autotrace: SET AUTOTRACE ON
- DBMS\_XPLAN.DISPLAY\_CURSOR()
- DBMS XPLAN.DISPLAY AWR()
- DBMS\_XPLAN.DISPLAY\_SQLSET()
- DBMS XPLAN.DISPLAY SQL PLAN BASELINE()

#### The EXPLAIN PLAN Command

- Generates an optimizer execution plan
- Stores the plan in PLAN TABLE
- Does not execute the statement itself

#### The EXPLAIN PLAN Command



## The EXPLAIN PLAN Command: Example

```
SQL> EXPLAIN PLAN
2   SET STATEMENT_ID = 'demo01' FOR
3   SELECT e.last_name, d.department_name
4   FROM hr.employees e, hr.departments d
5   WHERE e.department_id = d.department_id;

Explained.
SQL>
```

Note: The EXPLAIN PLAN command does not actually execute the statement.

#### PLAN TABLE

- PLAN TABLE:
  - Is automatically created to hold the EXPLAIN PLAN output.
  - You can create your own using utlxplan.sql.
  - Advantage: SQL is not executed
  - Disadvantage: May not be the actual execution plan
- PLAN\_TABLE is hierarchical.
- Hierarchy is established with the ID and PARENT\_ID columns.

## Displaying from PLAN\_TABLE: Typical

```
SQL> EXPLAIN PLAN SET STATEMENT ID = 'demo01' FOR SELECT * FROM emp
 2 WHERE ename = 'KING';
Explained.
SQL> SET LINESIZE 130
SQL> SET PAGESIZE 0
SQL> select * from table(DBMS XPLAN.DISPLAY());
Plan hash value: 3956160932
| Id | Operation | Name | Rows | Bytes | Cost (%CPU) | Time
 0 | SELECT STATEMENT | 1 | 37 | 3 (0) | 00:00:01 |
|* 1 | TABLE ACCESS FULL | EMP | 1 | 37 | 3 (0) | 00:00:01 |
Predicate Information (identified by operation id):
  1 - filter("ENAME"='KING')
```

## Displaying from PLAN\_TABLE: ALL

```
SQL> select * from table(DBMS XPLAN.DISPLAY(null,null,'ALL'));
Plan hash value: 3956160932
| Id | Operation | Name | Rows | Bytes | Cost (%CPU) | Time
  0 | SELECT STATEMENT | 1 | 37 | 3 (0) | 00:00:01 |
|* 1 | TABLE ACCESS FULL | EMP | 1 | 37 | 3 (0) | 00:00:01 |
Query Block Name / Object Alias (identified by operation id):
  1 - SEL$1 / EMP@SEL$1
Predicate Information (identified by operation id):
  1 - filter("ENAME"='KING')
Column Projection Information (identified by operation id):
1 - "EMP". "EMPNO" [NUMBER, 22], "ENAME" [VARCHAR2, 10], "EMP". "JOB" [VARCHAR2, 9],
     "EMP"."MGR"[NUMBER, 22], "EMP"."HIREDATE"[DATE, 7], "EMP"."SAL"[NUMBER, 22],
     "EMP"."COMM"[NUMBER, 22], "EMP"."DEPTNO"[NUMBER, 22]
```

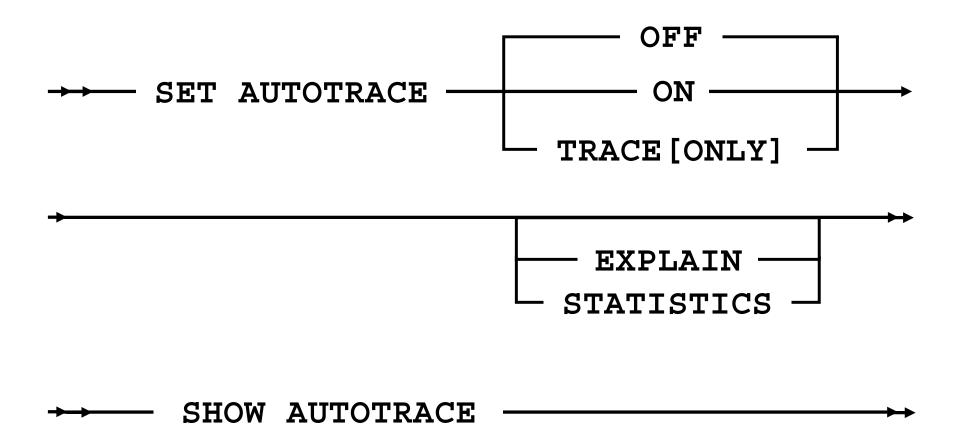
#### Displaying from PLAN TABLE: ADVANCED

```
select plan table output from table (DBMS XPLAN.DISPLAY(null,null,'ADVANCED
 -PROJECTION -PREDICATE -ALIAS'));
Plan hash value: 3956160932
Id | Operation | Name | Rows | Bytes | Cost (%CPU) | Time
  0 | SELECT STATEMENT | 1 | 37 | 3 (0) | 00:00:01 |
   1 | TABLE ACCESS FULL | EMP | 1 | 37 | 3 (0) | 00:00:01 |
Outline Data
 /*+
     BEGIN OUTLINE DATA
     FULL(@"SEL$1" "EMP"@"SEL$1")
     OUTLINE LEAF (@"SEL$1")
     ALL ROWS
     DB VERSION('11.1.0.6')
     OPTIMIZER FEATURES ENABLE('11.1.0.6')
     IGNORE OPTIM EMBEDDED HINTS
     END OUTLINE DATA
  */
```

#### **AUTOTRACE**

- AUTOTRACE is a SQL\*Plus facility.
- Introduced with Oracle7.3
- Needs a PLAN TABLE
- Needs the PLUSTRACE role to retrieve statistics from some V\$ views
- By default, it produces the execution plan and statistics after running the query.
- May not be the actual plan when using bind peeking (recursive EXPLAIN PLAN)

## The AUTOTRACE Syntax



## **AUTOTRACE: Examples**

To start tracing statements using AUTOTRACE:

```
SQL> set autotrace on
```

To display the execution plan only without execution:

```
SQL> set autotrace traceonly explain
```

To display rows and statistics:

```
SQL> set autotrace on statistics
```

To get the plan and the statistics only (suppress rows):

```
SQL> set autotrace traceonly
```

#### **AUTOTRACE: Statistics**

```
SQL> show autotrace
autotrace OFF
SQL> set autotrace traceonly statistics
SQL> SELECT * FROM oe.products;
288 rows selected.
Statistics
       1334 recursive calls
          0 db block gets
        686 consistent gets
        394 physical reads
          0 redo size
     103919 bytes sent via SQL*Net to client
        629 bytes received via SQL*Net from client
         21 SQL*Net roundtrips to/from client
         22 sorts (memory)
            sorts (disk)
        288
            rows processed
```

## Using the V\$SQL PLAN View

- V\$SQL\_PLAN provides a way of examining the execution plan for cursors that are still in the library cache.
- V\$SQL\_PLAN is very similar to PLAN\_TABLE:
  - PLAN\_TABLE shows a theoretical plan that can be used if this statement were to be executed.
  - V\$SQL PLAN contains the actual plan used.
- It contains the execution plan of every cursor in the library cache (including child).
- Link to V\$SQL:
  - ADDRESS, HASH\_VALUE, and CHILD\_NUMBER

## The V\$SQL PLAN Columns

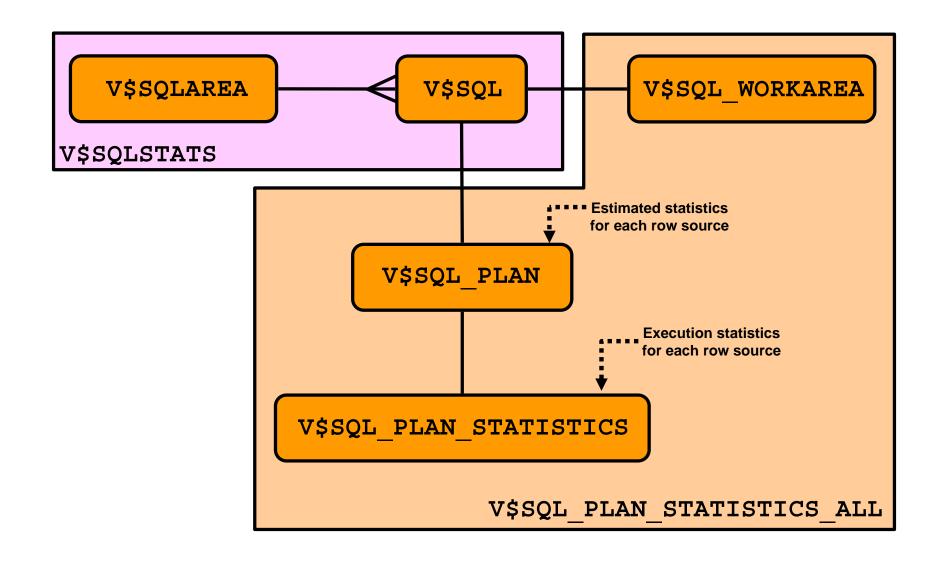
HASH VALUE	Hash value of the parent statement in the
_	library cache
ADDRESS	Address of the handle to the parent for this cursor
CHILD_NUMBER	Child cursor number using this execution plan
POSITION	Order of processing for all operations that have
	the same PARENT_ID
PARENT_ID	ID of the next execution step that operates on
	the output of the current step
ID	Number assigned to each step in the
	execution plan
PLAN_HASH_VALUE	Numerical representation of the SQL plan for the cursor

Note: This is only a partial listing of the columns.

#### The V\$SQL PLAN STATISTICS View

- V\$SQL\_PLAN\_STATISTICS provides actual execution statistics:
  - STATISTICS\_LEVEL set to ALL
  - The GATHER\_PLAN\_STATISTICS hint
- V\$SQL\_PLAN\_STATISTICS\_ALL enables side-by-side comparisons of the optimizer estimates with the actual execution statistics.

# Links Between Important Dynamic Performance Views



#### Querying V\$SQL PLAN

```
SELECT PLAN_TABLE_OUTPUT FROM
TABLE(DBMS_XPLAN.DISPLAY_CURSOR('47ju6102uvq5q'));
```

```
SQL ID 47ju6102uvq5q, child number 0
SELECT e.last name, d.department name
FROM hr.employees e, hr.departments d WHERE
e.department id =d.department id
Plan hash value: 2933537672
 Id | Operation
                                  Name
                                               Rows | Bytes | Cost (%CPU|
     | SELECT STATEMENT
                                                                   6 (100
                                                  106 | 2862 |
                                                                   6 (17
   1 MERGE JOIN
                                                          432 | 2 (0
       TABLE ACCESS BY INDEX ROWID DEPARTMENTS
                                                  27
                                                                  1 (0
        INDEX FULL SCAN
                                                  27
                                   DEPT ID PK
                                                                4 (25
  4
       SORT JOIN
                                                  107
                                                         1177
                                  EMPLOYEES
                                                         1177
                                                                     (0
        TABLE ACCESS FULL
                                                  107
Predicate Information (identified by operation id):
  4 - access("E"."DEPARTMENT ID"="D"."DEPARTMENT ID")
      filter("E"."DEPARTMENT ID"="D"."DEPARTMENT ID")
24 rows selected.
```

# **Automatic Workload Repository (AWR)**

- Collects, processes, and maintains performance statistics for problem-detection and self-tuning purposes
- Statistics include:
  - Object statistics
  - Time-model statistics
  - Some system and session statistics
  - Active Session History (ASH) statistics
- Automatically generates snapshots of the performance data

## Managing AWR with PL/SQL

Creating snapshots:

```
SQL> exec DBMS_WORKLOAD_REPOSITORY.CREATE_SNAPSHOT ('ALL');
```

Dropping snapshots:

```
SQL> exec DBMS_WORKLOAD_REPOSITORY.DROP_SNAPSHOT_RANGE -
   (low_snap_id => 22, high_snap_id => 32, dbid => 3310949047);
```

Managing snapshot settings:

```
SQL> exec DBMS_WORKLOAD_REPOSITORY.MODIFY_SNAPSHOT_SETTINGS -
    (retention => 43200, interval => 30, dbid => 3310949047);
```

## **Important AWR Views**

- V\$ACTIVE SESSION HISTORY
- V\$ metric views
- DBA HIST views:
  - DBA HIST ACTIVE SESS HISTORY
  - DBA\_HIST\_BASELINE
     DBA\_HIST\_DATABASE\_INSTANCE
  - DBA\_HIST\_SNAPSHOT
  - DBA HIST SQL PLAN
  - DBA HIST WR CONTROL

## Querying the AWR

Retrieve all execution plans stored for a particular SQL ID.

```
SQL> SELECT PLAN TABLE OUTPUT FROM TABLE (DBMS XPLAN.DISPLAY AWR('454rug2yva18w'));
PLAN TABLE OUTPUT
SQL ID 454rug2yva18w
select /* example */ * from hr.employees natural join hr.departments
Plan hash value: 4179021502
                         | Name | Rows | Bytes | Cost (%CPU) | Time
 Id | Operation
                                                          6 (100)
       SELECT STATEMENT
                                                 968 | 6 (17) | 00:00:01
   1 HASH JOIN
                                           11
        TABLE ACCESS FULL DEPARTMENTS
                                                 220
                                                          2 (0) | 00:00:01
                                                          2 (0)
                                                 220
         TABLE ACCESS FULL DEPARTMENTS
                                                                   00:00:01
                                         107
                                                          3 (0) | 00:00:01
        TABLE ACCESS FULL EMPLOYEES
                                                7276
```

Display all execution plans of all statements containing "JF."

```
SELECT tf.* FROM DBA_HIST_SQLTEXT ht, table

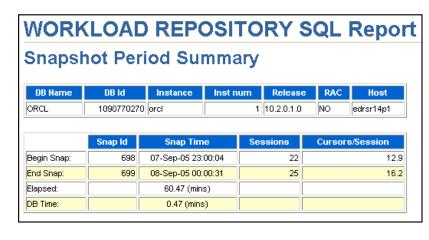
(DBMS_XPLAN.DISPLAY_AWR(ht.sql_id,null, null, 'ALL')) tf

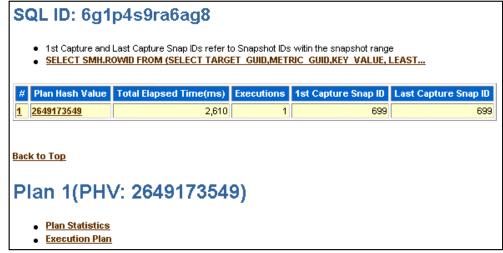
WHERE ht.sql_text like '%JF%';
```

#### **Generating SQL Reports from AWR Data**

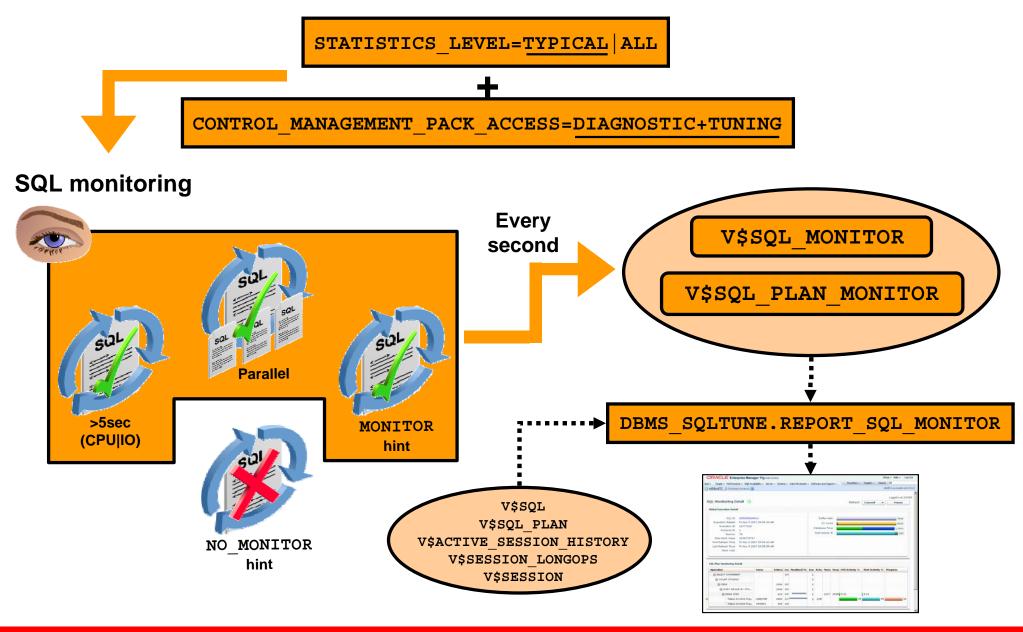
```
SQL> @$ORACLE_HOME/rdbms/admin/awrsqrpt

Specify the Report Type ...
Would you like an HTML report, or a plain text report?
Specify the number of days of snapshots to choose from
Specify the Begin and End Snapshot Ids ...
Specify the SQL Id ...
Enter value for sql_id: 6glp4s9ra6ag8
Specify the Report Name ...
```





## **SQL Monitoring: Overview**



## **SQL Monitoring Report: Example**

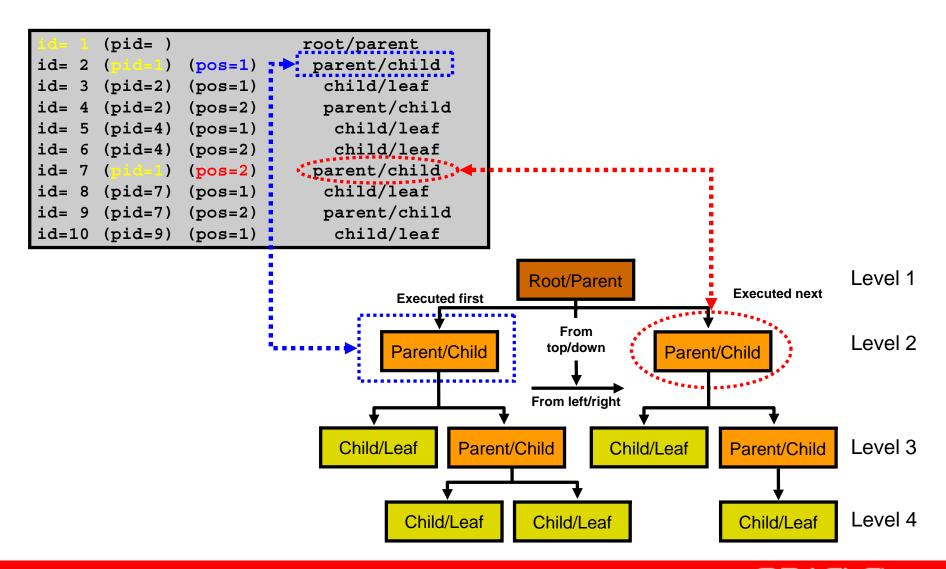
```
SQL> set long 10000000
SQL> set longchunksize 10000000
SOL> set linesize 200
SQL> select dbms sqltune.report sql monitor from dual;
SQL Monitoring Report
                                                 In a different session
SOL Text
                                           SQL> select count(*) from sales;
select count(*) from sales
Global Information
Status
                   : EXECUTING
Instance ID
Session ID
                 : 125
             : fazrk33ng71km
SOL ID
SQL Execution ID : 16777216
Plan Hash Value : 1047182207
Execution Started : 02/19/2008 21:01:18
First Refresh Time : 02/19/2008 21:01:22
Last Refresh Time : 02/19/2008 21:01:42
                             Other
 Elapsed | Cpu |
                       IO
                                       | Buffer | Reads
 Time(s) | Time(s) | Waits(s) | Waits(s) | Gets
      22
             3.36
                     0.01 | 19 |
                                          259K
                                                  199K
```

# **SQL Monitoring Report: Example**

Id	Opera	tion	Name   	Rows (Estim)	Cost	Time Active(s)	Start     Active	
0   1	SELECT STA			     1	78139			====
-> 2		CCESS FULL	SALES	53984K	78139	23	+1	
=====								====
====== 	Rows     (Actual)	Activity (percent)	======================================		====== cy Detail nple #)	 L	Progres	:==== :s     

#### Interpreting an Execution Plan

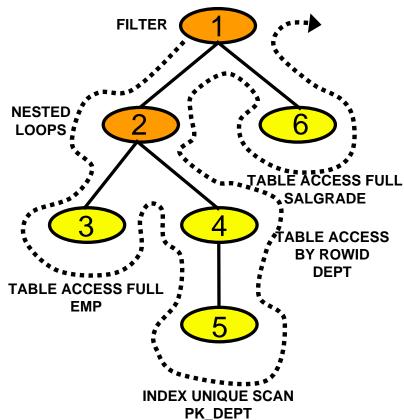
Transform it into a tree.



## **Execution Plan Interpretation: Example 1**

```
SELECT /*+ RULE */ ename,job,sal,dname
FROM emp,dept
WHERE dept.deptno=emp.deptno and not exists(SELECT *
FROM salgrade
WHERE emp.sal between losal and hisal);
```

Id	Operation	Name		
0	SELECT STATEMENT   FILTER   NESTED LOOPS   TABLE ACCESS FULL   TABLE ACCESS BY INDEX ROWID    INDEX UNIQUE SCAN   TABLE ACCESS FULL	EMP   DEPT   PK_DEPT   SALGRADE		
Predicate Information (identified by operation id):  1 - filter( NOT EXISTS   (SELECT 0 FROM "SALGRADE" "SALGRADE" WHERE				
"HISAL">=:B1 AND "LOSAL"<=:B2)) 5 - access("DEPT"."DEPTNO"="EMP"."DEPTNO") 6 - filter("HISAL">=:B1 AND "LOSAL"<=:B2)				

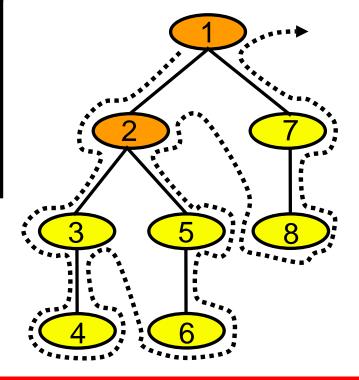


#### **Execution Plan Interpretation: Example 1**

```
SQL> alter session set statistics level=ALL;
Session altered.
SQL> select /*+ RULE to make sure it reproduces 100% */ ename, job, sal, dname
from emp,dept where dept.deptno = emp.deptno and not exists (select * from salgrade
where emp.sal between losal and hisal);
no rows selected
SQL> select * from table(dbms xplan.display cursor(null,null,'TYPICAL IOSTATS
LAST'));
SQL ID 274019myw3vuf, child number 0
Plan hash value: 1175760222
      Operation
                                                | Starts | A-Rows | Buffers
  Id
                                      Name
       FILTER
                                                                         61
        NESTED LOOPS
                                                               14
                                                                        25
                                                      1
          TABLE ACCESS FULL
                                                               14
                                       EMP
         TABLE ACCESS BY INDEX ROWID DEPT
                                                     14
                                                               14
                                                                        18
         INDEX UNIQUE SCAN
                                                      14
                                                               14
                                       PK DEPT
        TABLE ACCESS FULL
                                       SALGRADE
                                                      12
                                                               12
                                                                         36
```

## **Execution Plan Interpretation: Example 2**

```
0 SELECT STATEMENT
1 0 NESTED LOOPS
2 1 NESTED LOOPS
3 2 TABLE ACCESS BY INDEX ROWID LOCATIONS
4 3 INDEX RANGE SCAN LOC_CITY_IX
5 2 TABLE ACCESS BY INDEX ROWID DEPARTMENTS
6 5 INDEX RANGE SCAN DEPT_LOCATION_IX
7 1 TABLE ACCESS BY INDEX ROWID EMPLOYEES
8 7 INDEX UNIQUE SCAN EMP_EMP_ID_PK
```

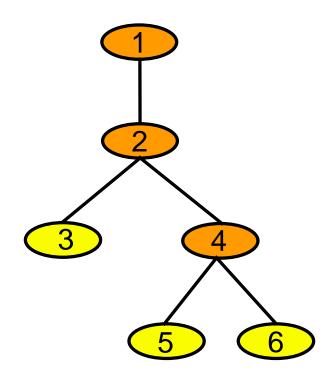


## **Execution Plan Interpretation: Example 3**

```
select /*+ ORDERED USE_HASH(b) SWAP_JOIN_INPUTS(c) */ max(a.i)
from t1 a, t2 b, t3 c
where a.i = b.i and a.i = c.i;
```

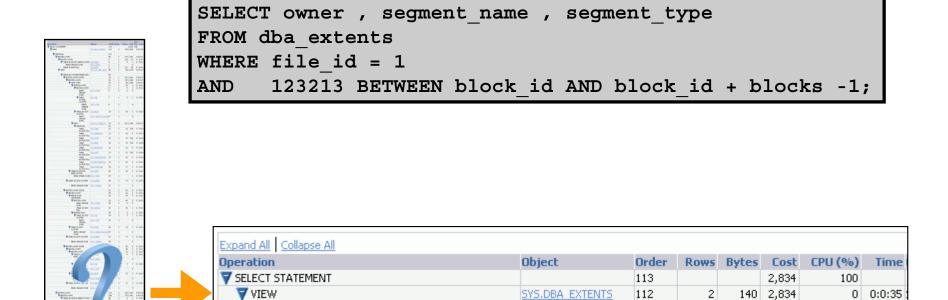
0		SELECT STATEMENT		
1		SORT AGGREGATE		
2	1	HASH JOIN		
3	2	TABLE ACCESS FULL T3		
4	2	HASH JOIN		
5	4	TABLE ACCESS FULL T1		
6	4	TABLE ACCESS FULL T2		

Expand All   Collapse All					
Operation	Object	Order			
▼ SELECT STATEMENT		7			
▼ SORT AGGREGATE		6			
▼ HASH JOIN		5			
TABLE ACCESS FULL	<u>T3</u>	1			
▼ HASH JOIN		4			
TABLE ACCESS FULL	<u>T1</u>	2			
TABLE ACCESS FULL	<u>T2</u>	3			



Join order is: T1 - T2 - T3

## **Reading More Complex Execution Plans**



▼ UNION-ALL

NESTED LOOPS

▶ NESTED LOOPS

Collapse using indentation and focus on operations consuming most resources.

111

56

110

0 0:0:17

0:0:18

214 1,391

196 1,442

## Reviewing the Execution Plan

- Drive from the table that has most selective filter.
- Look for the following:
  - Driving table has the best filter
  - Fewest number of rows are returned to the next step
  - The join method is appropriate for the number of rows returned
  - Views are correctly used
  - Unintentional Cartesian products
  - Tables accessed efficiently

## **Looking Beyond Execution Plans**

- An execution plan alone cannot tell you whether a plan is good or not.
- May need additional testing and tuning:
  - SQL Tuning Advisor
  - SQL Access Advisor
  - SQL Performance Analyzer
  - SQL Monitoring
  - Tracing

## **Summary**

In this lesson, you should have learned how to:

- Gather execution plans
- Display execution plans
- Interpret execution plans

#### **Practice 5: Overview**

This practice covers the following topics:

- Using different techniques to extract execution plans
- Using SQL monitoring

# **Case Study: Star Transformation**

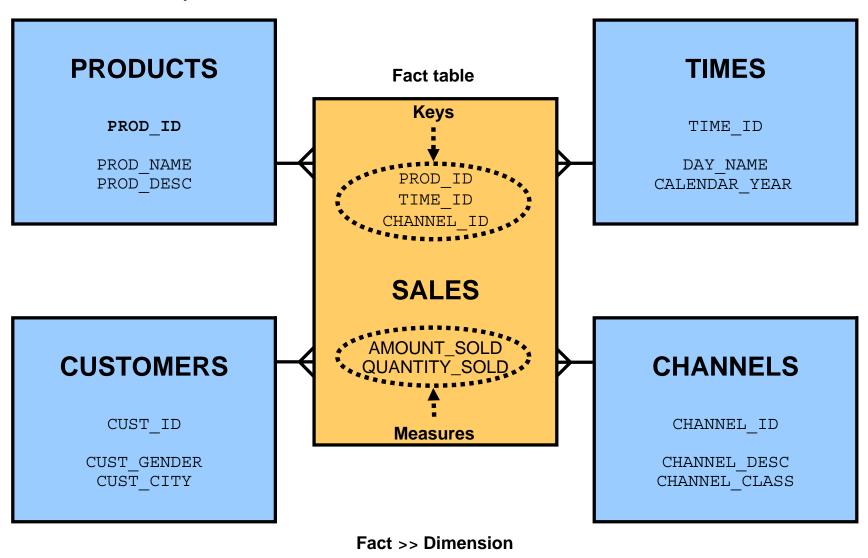
## **Objectives**

After completing this lesson, you should be able to:

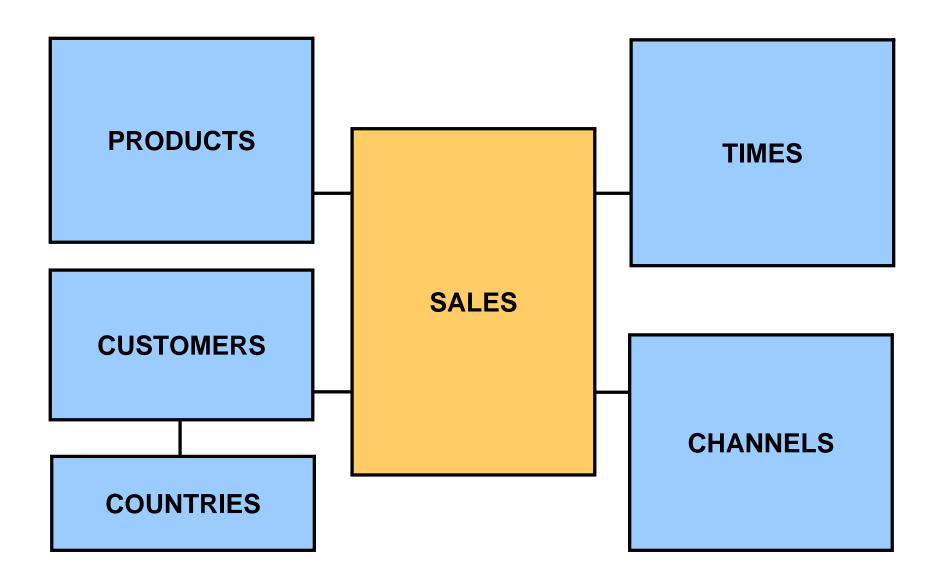
- Define a star schema
- Show a star query plan without transformation
- Define the star transformation requirements
- Show a star query plan after transformation

#### The Star Schema Model

#### **Dimension/Lookup table**



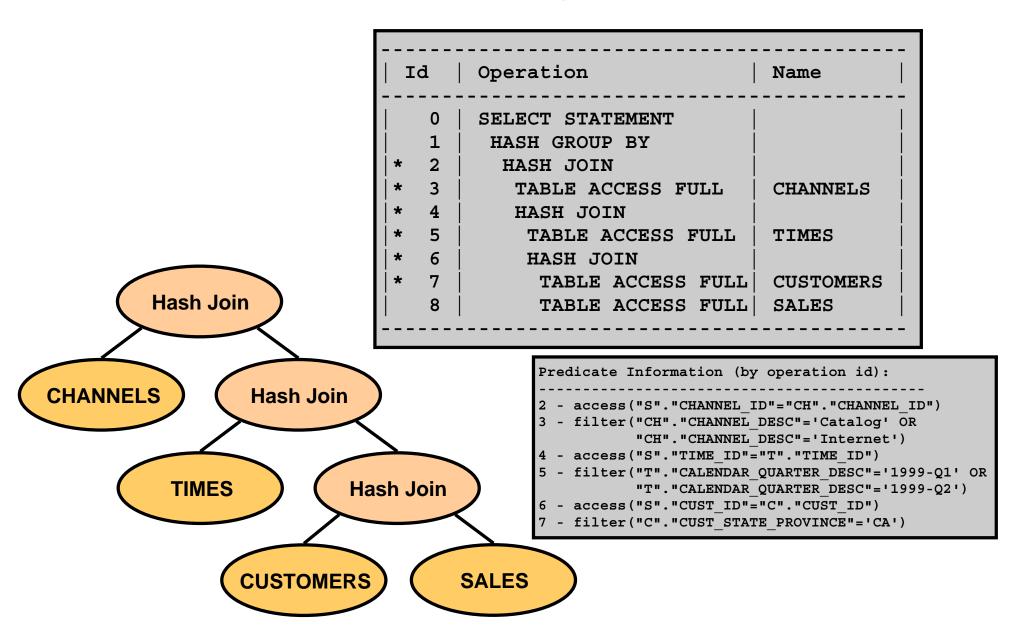
#### The Snowflake Schema Model



#### **Star Query: Example**

```
SELECT ch.channel class, c.cust city,
       t.calendar quarter desc,
       SUM(s.amount sold) sales amount
FROM sales s, times t, customers c, channels ch
WHERE s.time id = t.time id AND
      s.cust id = c.cust id AND
      s.channel id = ch.channel id AND
      c.cust state province = 'CA' AND
      ch.channel desc IN ('Internet', 'Catalog') AND
      t.calendar quarter desc IN ('1999-Q1','1999-Q2')
GROUP BY ch.channel class, c.cust city,
         t.calendar quarter desc;
```

#### **Execution Plan Without Star Transformation**



#### **Star Transformation**

- Create bitmap indexes on fact tables foreign keys.
- Set STAR TRANSFORMATION ENABLED to TRUE.
- Requires at least two dimensions and one fact table
- Gather statistics on all corresponding objects.
- Carried out in two phases:
  - First, identify interesting fact rows using bitmap indexes based on dimensional filters.
  - Join them to the dimension tables.

#### **Star Transformation: Considerations**

- Queries containing bind variables are not transformed.
- Queries referring to remote fact tables are not transformed.
- Queries containing antijoined tables are not transformed.
- Queries referring to unmerged nonpartitioned views are not transformed.

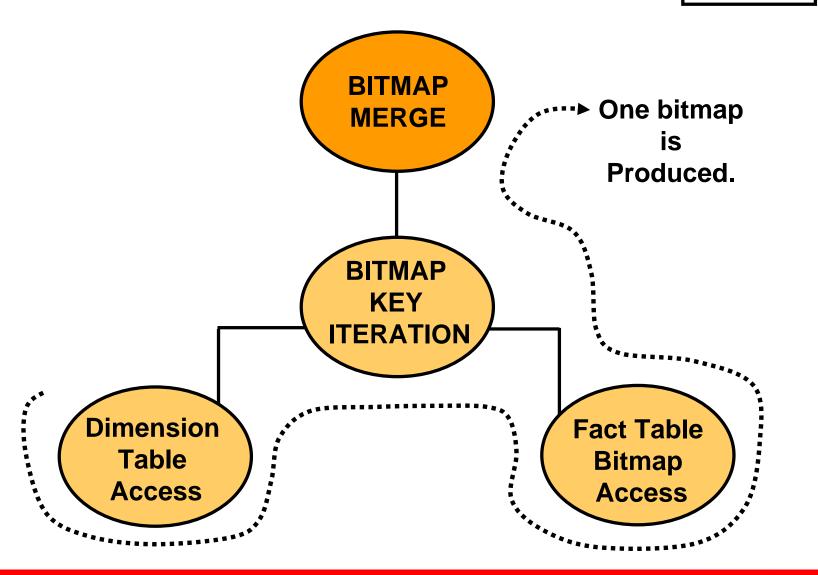
#### **Star Transformation: Rewrite Example**

Phase 1

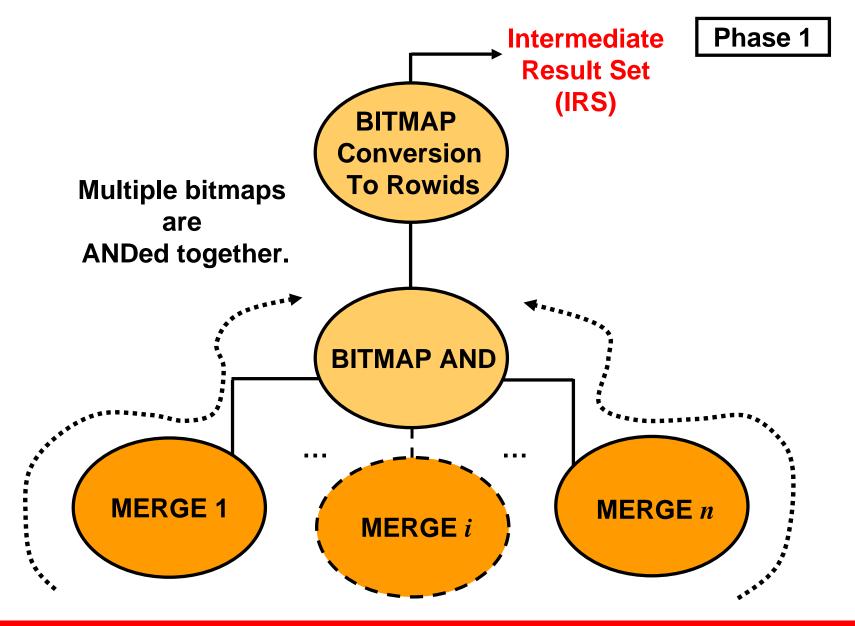
```
SELECT s.amount sold
FROM sales s
WHERE time id IN (SELECT time id
                  FROM times
                  WHERE calendar quarter desc
                       IN('1999-Q1','1999-Q2'))
      cust id IN (SELECT cust id
AND
                  FROM customers
                  WHERE cust state province = 'CA')
AND channel id IN (SELECT channel id
                  FROM channels
                  WHERE channel desc IN
                             ('Internet', 'Catalog'));
```

# Retrieving Fact Rows from One Dimension

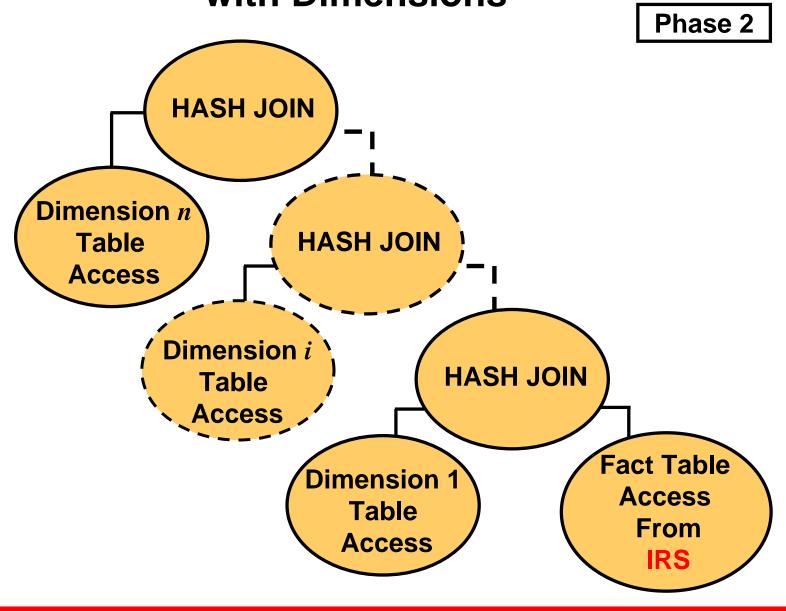
Phase 1



## **Retrieving Fact Rows from All Dimensions**



Joining the Intermediate Result Set with Dimensions



#### **Star Transformation Plan: Example 1**

```
SORT GROUP BY
HASH JOIN
   HASH JOIN
      TABLE ACCESS BY INDEX ROWID SALES
      BITMAP CONVERSION TO ROWIDS
        BITMAP AND
         BITMAP MERGE
          BITMAP KEY ITERATION
           BUFFER SORT
            TABLE ACCESS FULL CHANNELS
           BITMAP INDEX RANGE SCAN SALES CHANNELS BX
         BITMAP MERGE
          BITMAP KEY ITERATION
           BUFFER SORT
            TABLE ACCESS FULL TIMES
           BITMAP INDEX RANGE SCAN SALES TIMES BX
      TABLE ACCESS FULL CHANNELS
    TABLE ACCESS FULL TIMES
```

## **Star Transformation: Further Optimization**

- In a star transformation execution plan, dimension tables are accessed twice; once for each phase.
- This might be a performance issue in the case of big dimension tables and low selectivity.
- If the cost is lower, the system might decide to create a temporary table and use it instead of accessing the same dimension table twice.
- Temporary table's creation in the plan:

```
LOAD AS SELECT SYS_TEMP_0FD9D6720_BEBDC

TABLE ACCESS FULL CUSTOMERS

...
filter("C"."CUST_STATE_PROVINCE"='CA')
```

#### **Using Bitmap Join Indexes**

- Volume of data to be joined is reduced
- Can be used to eliminate bitwise operations
- More efficient in storage than MJVs

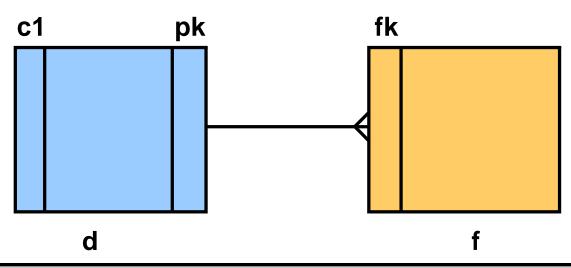
```
CREATE BITMAP INDEX sales_q_bjx
ON sales(times.calendar_quarter_desc)
FROM sales, times
WHERE sales.time_id = times.time_id
```

#### **Star Transformation Plan: Example 2**

```
SORT GROUP BY
HASH JOIN
   HASH JOIN
       TABLE ACCESS BY INDEX ROWID SALES
         BITMAP CONVERSION TO ROWIDS
          BITMAP AND
           BITMAP MERGE
            BITMAP KEY ITERATION
             BUFFER SORT
              TABLE ACCESS FULL CHANNELS
             BITMAP INDEX RANGE SCAN SALES CHANNELS BX
           BITMAP OR
             BITMAP INDEX SINGLE VALUE SALES Q BJX
             BITMAP INDEX SINGLE VALUE SALES Q BJX
       TABLE ACCESS FULL CHANNELS
    TABLE ACCESS FULL TIMES
```

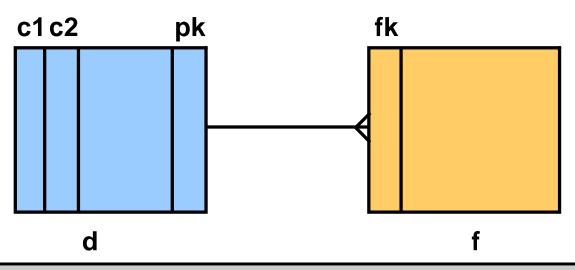
#### **Star Transformation Hints**

- The STAR\_TRANSFORMATION hint: Use best plan containing a star transformation, if there is one.
- The FACT(<table\_name>) hint: The hinted table should be considered as the fact table in the context of a star transformation.
- The NO\_FACT (<table\_name>) hint: The hinted table should not be considered as the fact table in the context of a star transformation.
- The FACT and NO\_FACT hints are useful for star queries containing more than one fact table.



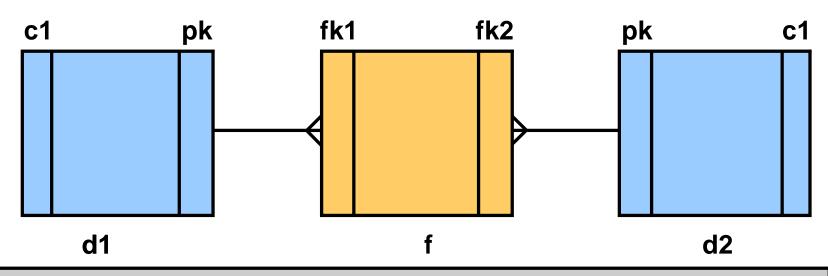
```
CREATE BITMAP INDEX bji ON f(d.c1)
FROM f, d
WHERE d.pk = f.fk;
```

```
SELECT sum(f.facts)
FROM d, f
WHERE d.pk = f.fk AND d.c1 = 1;
```

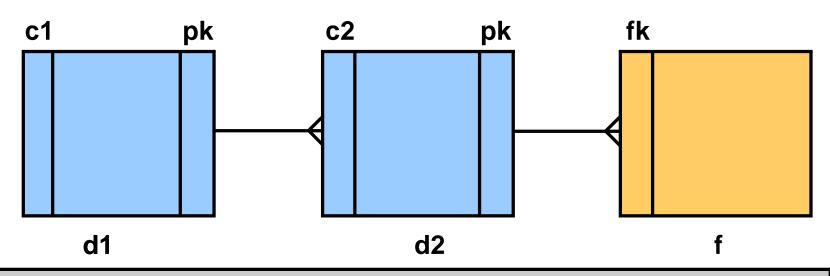


```
CREATE BITMAP INDEX bjx ON f(d.c1,d.c2)
FROM f, d
WHERE d.pk = f.fk;
```

```
SELECT sum(f.facts)
FROM d, f
WHERE d.pk = f.fk AND d.c1 = 1 AND d.c2 = 1;
```



```
CREATE BITMAP INDEX bjx ON f(d1.c1,d2.c1)
FROM f, d1, d2
WHERE d1.pk = f.fk1 AND d2.pk = f.fk2;
```



```
CREATE BITMAP INDEX bjx ON f(d1.c1)
FROM f, d1, d2
WHERE d1.pk = d2.c2 AND d2.pk = f.fk;
```

## **Summary**

In this lesson, you should have learned how to:

- Define a star schema
- Show a star query plan without transformation
- Define the star transformation requirements
- Show a star query plan after transformation

#### **Practice 6: Overview**

This practice covers using the star transformation technique to optimize your query.

## **Optimizer Statistics**

## **Objectives**

After completing this lesson, you should be able to do the following:

- Gather optimizer statistics
- Gather system statistics
- Set statistic preferences
- Use dynamic sampling
- Manipulate optimizer statistics

## **Optimizer Statistics**

- Describe the database and the objects in the database
- Information used by the query optimizer to estimate:
  - Selectivity of predicates
  - Cost of each execution plan
  - Access method, join order, and join method
  - CPU and input/output (I/O) costs
- Refreshing optimizer statistics whenever they are stale is as important as gathering them:
  - Automatically gathered by the system
  - Manually gathered by the user with DBMS STATS

## **Types of Optimizer Statistics**

- Table statistics:
  - Number of rows
  - Number of blocks
  - Average row length
- Index Statistics:
  - B\*-tree level
  - Distinct keys
  - Number of leaf blocks
  - Clustering factor
- System statistics
  - I/O performance and utilization
  - CPU performance and utilization

- Column statistics
  - Basic: Number of distinct values, number of nulls, average length, min, max
  - Histograms (data distribution when the column data is skewed)
  - Extended statistics

## Table Statistics (DBA\_TAB\_STATISTICS)

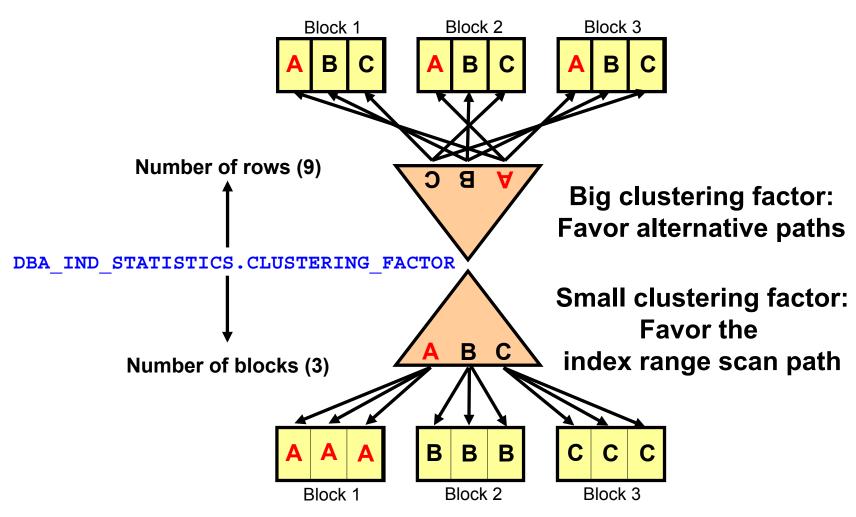
- Used to determine:
  - Table access cost
  - Join cardinality
  - Join order
- Some of the statistics gathered are:
  - Row count (NUM ROWS)
  - Block count (BLOCKS) Exact
  - Empty blocks (EMPTY BLOCKS) Exact
  - Average free space per block (AVG\_SPACE)
  - Number of chained rows (CHAIN CNT)
  - Average row length (AVG ROW LEN)
  - Statistics status (STALE STATS)

## Index Statistics (DBA IND STATISTICS)

- Used to decide:
  - Full table scan versus index scan
- Statistics gathered are:
  - B\*-tree level (BLEVEL) Exact
  - Leaf block count (LEAF BLOCKS)
  - Clustering factor (CLUSTERING\_FACTOR)
  - Distinct keys (DISTINCT KEYS)
  - Average number of leaf blocks in which each distinct value in the index appears (AVG\_LEAF\_BLOCKS\_PER\_KEY)
  - Average number of data blocks in the table pointed to by a distinct value in the index (AVG\_DATA\_BLOCKS\_PER\_KEY)
  - Number of rows in the index (NUM\_ROWS)

## **Index Clustering Factor**

#### Must read all blocks to retrieve all As



Only need to read one block to retrieve all As

# Column Statistics (DBA TAB COL STATISTICS)

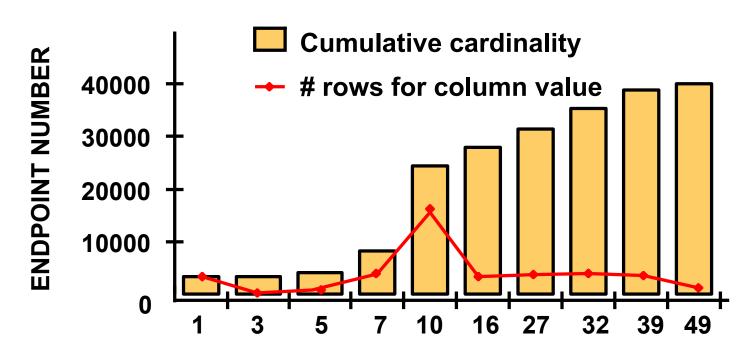
- Count of distinct values of the column (NUM\_DISTINCT)
- Low value (LOW VALUE) Exact
- High value (HIGH VALUE) Exact
- Number of nulls (NUM NULLS)
- Selectivity estimate for nonpopular values (DENSITY)
- Number of histogram buckets (NUM\_BUCKETS)
- Type of histogram (HISTOGRAM)

### **Histograms**

- The optimizer assumes uniform distributions; this may lead to suboptimal access plans in the case of data skew.
- Histograms:
  - Store additional column distribution information
  - Give better selectivity estimates in the case of nonuniform distributions
- With unlimited resources you could store each different value and the number of rows for that value.
- This becomes unmanageable for a large number of distinct values and a different approach is used:
  - Frequency histogram (#distinct values ≤ #buckets)
  - Height-balanced histogram (#buckets < #distinct values)</li>
- They are stored in DBA TAB HISTOGRAMS.

# **Frequency Histograms**

10 buckets, 10 distinct values



**ENDPOINT VALUE: Column value** 

Distinct values: 1, 3, 5, 7, 10, 16, 27, 32, 39, 49

Number of rows: 40001

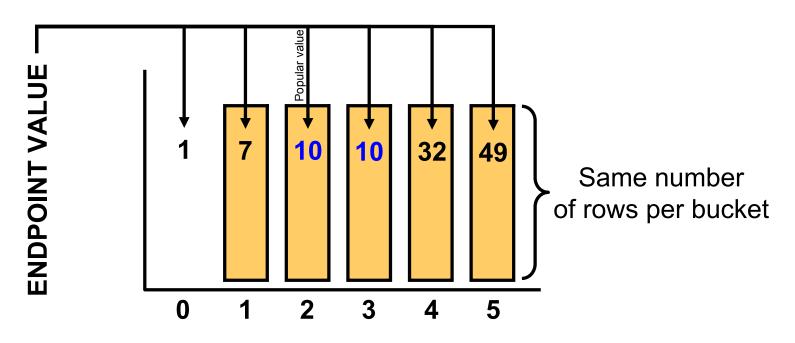
# **Viewing Frequency Histograms**

```
SELECT column_name, num_distinct, num_buckets, histogram
FROM USER_TAB_COL_STATISTICS
WHERE table_name = 'INVENTORIES' AND
column_name = 'WAREHOUSE_ID';

COLUMN_NAME NUM_DISTINCT NUM_BUCKETS HISTOGRAM
WAREHOUSE_ID 9 9 FREQUENCY
```

## **Height-Balanced Histograms**

5 buckets, 10 distinct values (8000 rows per bucket)



**ENDPOINT NUMBER: Bucket number** 

Distinct values: 1, 3, 5, 7, 10, 16, 27, 32, 39, 49

Number of rows: 40001

## Viewing Height-Balanced Histograms

```
BEGIN

DBMS_STATS.gather_table_STATS(OWNNAME =>'OE', TABNAME=>'INVENTORIES',

METHOD_OPT => 'FOR COLUMNS SIZE 10 quantity_on_hand');

END;

SELECT column_name, num_distinct, num_buckets, histogram

FROM USER_TAB_COL_STATISTICS

WHERE table_name = 'INVENTORIES' AND column_name = 'QUANTITY_ON_HAND';

COLUMN_NAME

NUM_DISTINCT NUM_BUCKETS HISTOGRAM

QUANTITY ON HAND

237

10 HEIGHT BALANCED
```

```
SELECT endpoint_number, endpoint_value

FROM USER_HISTOGRAMS

WHERE table_name = 'INVENTORIES' and column_name = 'QUANTITY_ON_HAND'

ORDER BY endpoint_number;

ENDPOINT_NUMBER ENDPOINT_VALUE

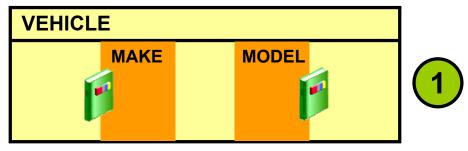
------

0 0
1 27
2 42
3 57
...
```

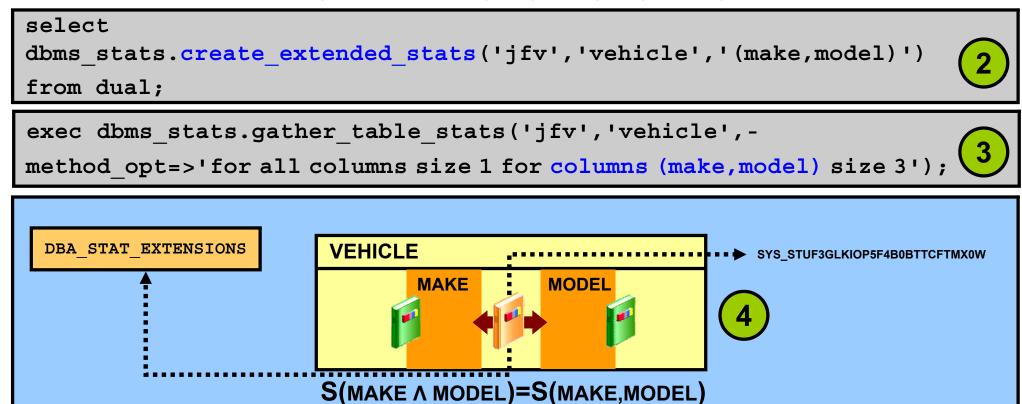
## **Histogram Considerations**

- Histograms are useful when you have a high degree of skew in the column distribution.
- Histograms are not useful for:
  - Columns which do not appear in the WHERE or JOIN clauses
  - Columns with uniform distributions
  - Equality predicates with unique columns
- The maximum number of buckets is the least (254,# distinct values).
- Do not use histograms unless they substantially improve performance.

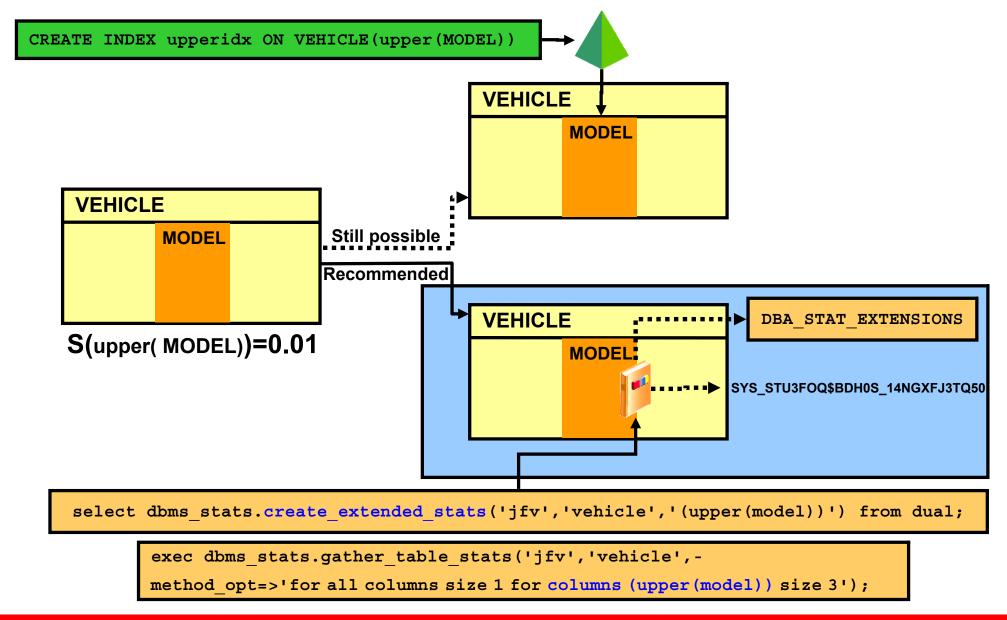
#### **Multicolumn Statistics: Overview**



 $S(MAKE \land MODEL) = S(MAKE) \times S(MODEL)$ 



### **Expression Statistics: Overview**



# **Gathering System Statistics**

- System statistics enable the CBO to use CPU and I/O characteristics.
- System statistics must be gathered on a regular basis; this does not invalidate cached plans.
- Gathering system statistics equals analyzing system activity for a specified period of time:
- Procedures:
  - DBMS STATS.GATHER SYSTEM STATS
  - DBMS STATS.SET SYSTEM STATS
  - DBMS STATS.GET SYSTEM STATS
- GATHERING\_MODE:
  - NOWORKLOAD INTERVAL
  - START STOP

## Gathering System Statistics: Example

First day

```
EXECUTE DBMS_STATS.GATHER_SYSTEM_STATS(
interval => 120,
stattab => 'mystats', statid => 'OLTP');
```

First night

```
EXECUTE DBMS_STATS.GATHER_SYSTEM_STATS(
interval => 120,
stattab => 'mystats', statid => 'OLAP');
```

Next days

```
EXECUTE DBMS_STATS.IMPORT_SYSTEM_STATS(
stattab => 'mystats', statid => 'OLTP');
```

Next nights

```
EXECUTE DBMS_STATS.IMPORT_SYSTEM_STATS(
stattab => 'mystats', statid => 'OLAP');
```

# **Gathering System Statistics: Example**

 Start manual system statistics collection in the data dictionary:

```
SQL> EXECUTE DBMS_STATS.GATHER_SYSTEM_STATS( -
2  gathering_mode => 'START');
```

- Generate the workload.
- End the collection of system statistics:

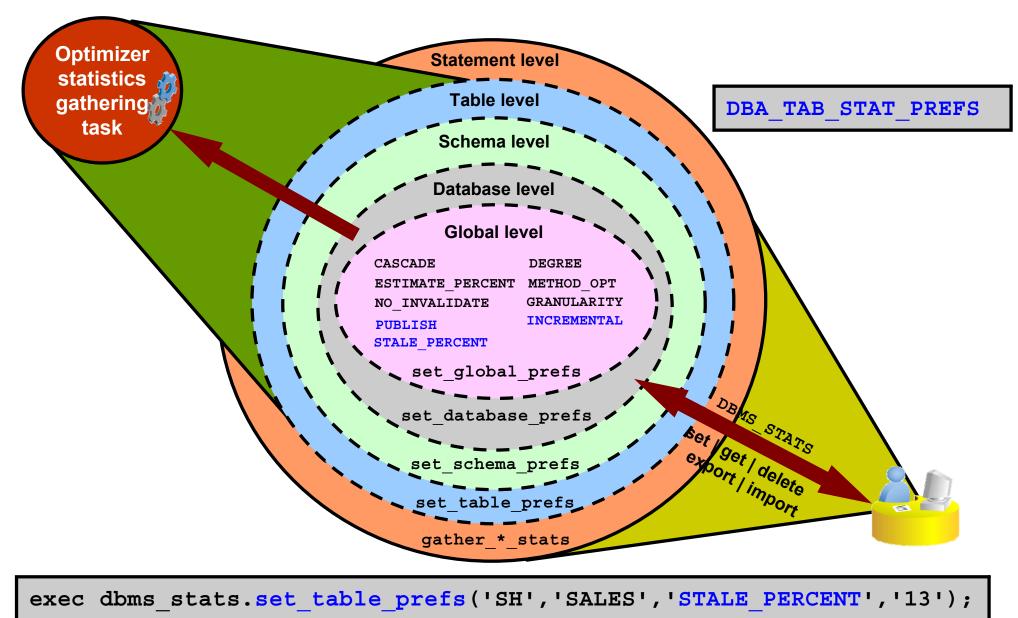
```
SQL> EXECUTE DBMS_STATS.GATHER_SYSTEM_STATS( -
2  gathering_mode => 'STOP');
```

# **Mechanisms for Gathering Statistics**

- Automatic statistics gathering
  - gather stats prog automated task
- Manual statistics gathering
  - DBMS\_STATS package
- Dynamic sampling
- When statistics are missing:

Selectivity:	
Equality	1%
Inequality	5%
Other predicates	5%
Table row length	20
# of index leaf blocks	25
# of distinct values	100
Table cardinality	100
Remote table cardinality	2000

### **Statistic Preferences: Overview**



# When to Gather Statistics Manually

- Rely mostly on automatic statistics collection:
  - Change the frequency of automatic statistics collection to meet your needs.
  - Remember that STATISTICS\_LEVEL should be set to TYPICAL or ALL for automatic statistics collection to work properly.
- Gather statistics manually for:
  - Objects that are volatile
  - Objects modified in batch operations
  - External tables, system statistics, fixed objects
  - Objects modified in batch operations: Gather statistics as part of the batch operation.
  - New objects: Gather statistics right after object creation.

# **Manual Statistics Gathering**

You can use Enterprise Manager and the DBMS\_STATS package to:

- Generate and manage statistics for use by the optimizer:
  - Gather/Modify
  - View/Name
  - Export/Import
  - Delete/Lock
- Gather statistics on:
  - Indexes, tables, columns, partitions
  - Object, schema, or database
- Gather statistics either serially or in parallel
- Gather/Set system statistics (currently not possible in EM)

### **Manual Statistics Collection: Factors**

- Monitor objects for DMLs.
- Determine the correct sample sizes.
- Determine the degree of parallelism.
- Determine if histograms should be used.
- Determine the cascading effects on indexes.
- Procedures to use in DBMS\_STATS:
  - GATHER\_INDEX\_STATS
  - GATHER\_TABLE\_STATS
  - GATHER SCHEMA STATS
  - GATHER DICTIONARY STATS
  - GATHER\_DATABASE\_STATS
  - GATHER\_SYSTEM\_STATS

## **Managing Statistics Collection: Example**

```
dbms stats.gather table stats
('sh'
                   -- schema
                 -- table
,'customers'
, null
                  -- partition
, 20
                   -- sample size(%)
, false
                -- block sample?
,'for all columns' -- column spec
                   -- degree of parallelism
,'default'
               -- granularity
                 -- cascade to indexes
, true );
```

# Optimizer Dynamic Sampling: Overview

- Dynamic sampling can be done for tables and indexes:
  - Without statistics
  - Whose statistics cannot be trusted
- Used to determine more accurate statistics when estimating:
  - Table cardinality
  - Predicate selectivity
- Feature controlled by:
  - The OPTIMIZER\_DYNAMIC\_SAMPLING parameter
  - The OPTIMIZER FEATURES ENABLE parameter
  - The DYNAMIC SAMPLING hint
  - The DYNAMIC\_SAMPLING\_EST\_CDN hint

# **Optimizer Dynamic Sampling at Work**

- Sampling is done at compile time.
- If a query benefits from dynamic sampling:
  - A recursive SQL statement is executed to sample data
  - The number of blocks sampled depends on the OPTIMIZER DYNAMIC SAMPLING initialization parameter
- During dynamic sampling, predicates are applied to the sample to determine selectivity.
- Use dynamic sampling when:
  - Sampling time is a small fraction of the execution time
  - Query is executed many times
  - You believe a better plan can be found

### OPTIMIZER DYNAMIC SAMPLING

- Dynamic session or system parameter
- Can be set to a value from "0" to "10"
- "0" turns off dynamic sampling
- "1" samples all unanalyzed tables, if an unanalyzed table:
  - Is joined to another table or appears in a subquery or nonmergeable view
  - Has no indexes
  - Has more than 32 blocks
- "2" samples all unanalyzed tables
- The higher the value the more aggressive application of sampling
- Dynamic sampling is repeatable if no update activity

# **Locking Statistics**

- Prevents automatic gathering
- Is mainly used for volatile tables:
  - Lock without statistics implies dynamic sampling.

```
BEGIN
   DBMS_STATS.DELETE_TABLE_STATS('OE','ORDERS');
   DBMS_STATS.LOCK_TABLE_STATS('OE','ORDERS');
END;
```

Lock with statistics for representative values.

```
BEGIN
    DBMS_STATS.GATHER_TABLE_STATS('OE','ORDERS');
    DBMS_STATS.LOCK_TABLE_STATS('OE','ORDERS');
END;
```

The FORCE argument overrides statistics locking.

```
SELECT stattype_locked FROM dba_tab_statistics;
```

### **Summary**

In this lesson, you should have learned how to:

- Collect optimizer statistics
- Collect system statistics
- Set statistic preferences
- Use dynamic sampling
- Manipulate optimizer statistics

#### **Practice 7: Overview**

This practice covers the following topics:

- Using system statistics
- Using automatic statistics gathering

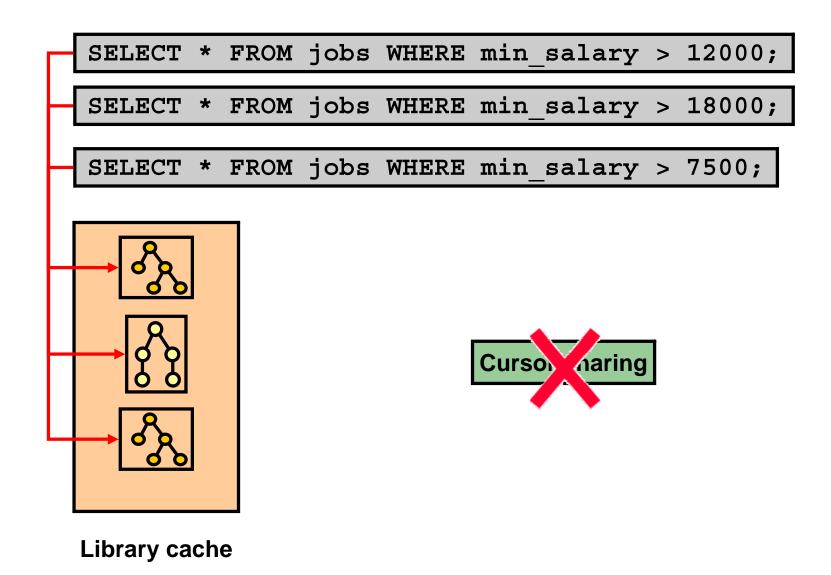


# **Objectives**

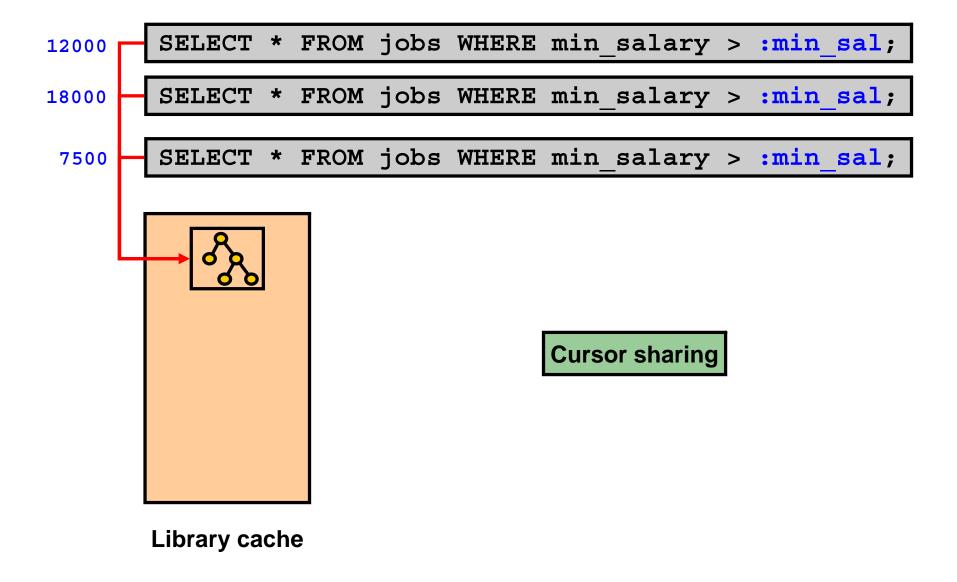
After completing this lesson, you should be able to:

- List the benefits of using bind variables
- Use bind peeking
- Use adaptive cursor sharing

# **Cursor Sharing and Different Literal Values**



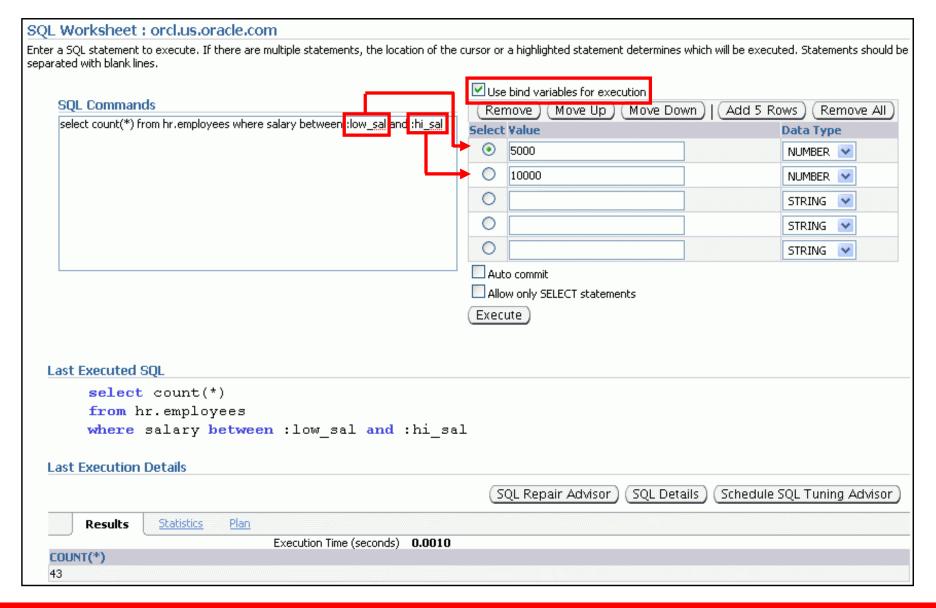
## **Cursor Sharing and Bind Variables**



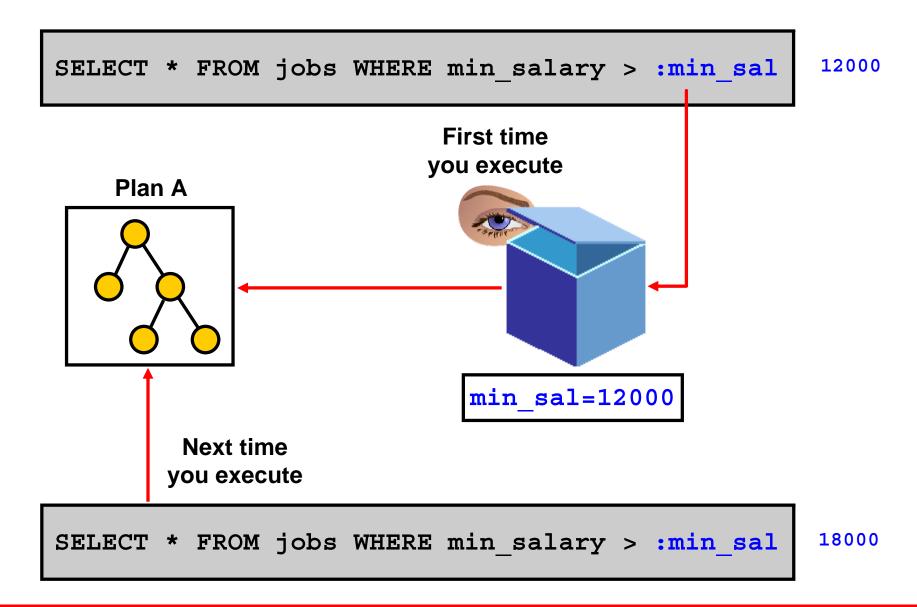
#### **Bind Variables in SQL\*Plus**

```
SQL> variable job id varchar2(10)
SQL> exec :job id := 'SA REP';
PL/SQL procedure successfully completed.
SQL> select count(*) from employees where job id = :job id;
  COUNT(*)
        30
SQL> exec :job id := 'AD VP';
PL/SQL procedure successfully completed.
SQL> select count(*) from employees where job id = :job id;
  COUNT (*)
```

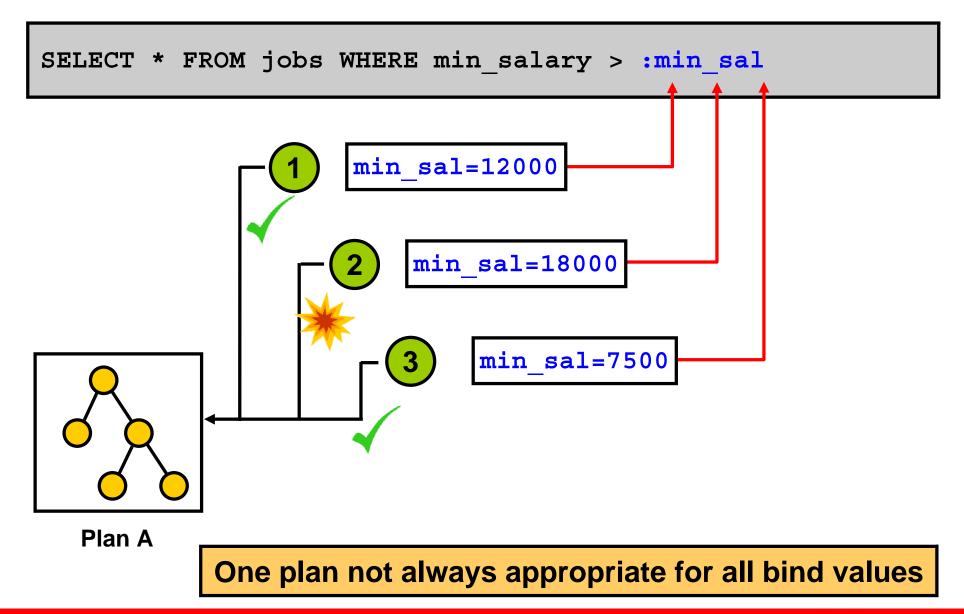
# Bind Variables in Enterprise Manager



## **Bind Variable Peeking**



# **Bind Variable Peeking**



# **Cursor Sharing Enhancements**

- Oracle8*i* introduced the possibility of sharing SQL statements that differ only in literal values.
- Oracle9*i* extends this feature by limiting it to similar statements only, instead of forcing it.
- Similar: Regardless of the literal value, same execution plan

```
SQL> SELECT * FROM employees
2 WHERE employee_id = 153;
```

 Not similar: Possible different execution plans for different literal values

```
SQL> SELECT * FROM employees
2 WHERE department_id = 50;
```

# The CURSOR SHARING Parameter

- The CURSOR SHARING parameter values:
  - FORCE
  - EXACT (default)
  - SIMILAR
- cursor\_sharing can be changed using:
  - ALTER SYSTEM
  - ALTER SESSION
  - Initialization parameter files
- The cursor\_sharing\_exact hint

# Forcing Cursor Sharing: Example

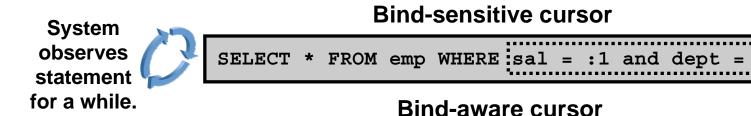
```
SQL> alter session set cursor sharing = FORCE;
SELECT * FROM jobs WHERE min salary > 12000;
SELECT * FROM jobs WHERE min salary > 18000;
SELECT * FROM jobs WHERE min salary > 7500;
SELECT * FROM jobs WHERE min salary > : "SYS B 0"
                                            System-generated
                                              bind variable
```

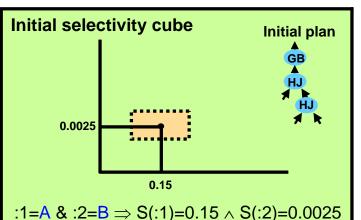
# **Adaptive Cursor Sharing: Overview**

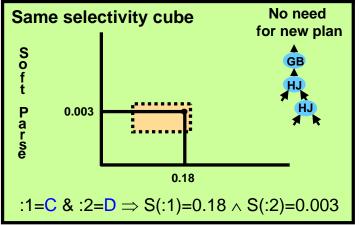
### Adaptive cursor sharing:

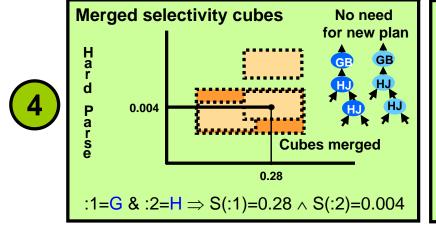
- Allows for intelligent cursor sharing only for statements that use bind variables
- Is used to compromise between cursor sharing and optimization
- Has the following benefits:
  - Automatically detects when different executions would benefit from different execution plans
  - Limits the number of generated child cursors to a minimum
  - Automated mechanism that cannot be turned off

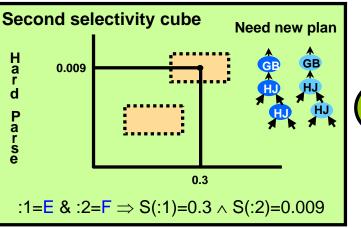
# **Adaptive Cursor Sharing: Architecture**











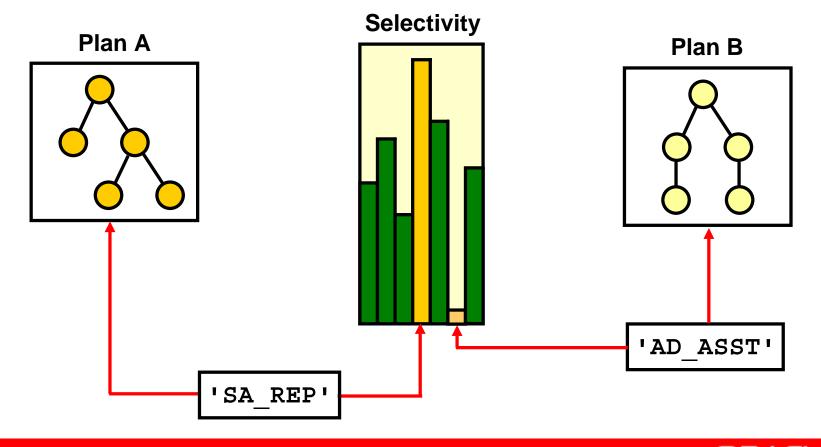
### **Adaptive Cursor Sharing: Views**

The following views provide information about adaptive cursor sharing usage:

V\$SQL	Two new columns show whether a cursor is bind sensitive or bind aware.
V\$SQL_CS_HISTOGRAM	Shows the distribution of the execution count across the execution history histogram
V\$SQL_CS_SELECTIVITY	Shows the selectivity cubes stored for every predicate containing a bind variable and whose selectivity is used in the cursor sharing checks
V\$SQL_CS_STATISTICS	Shows execution statistics of a cursor using different bind sets

# **Adaptive Cursor Sharing: Example**

```
SQL> variable job varchar2(6)
SQL> exec :job := 'AD_ASST'
SQL> select count(*), max(salary) from emp where job_id=:job;
```



# Interacting with Adaptive Cursor Sharing

- CURSOR\_SHARING:
  - If CURSOR\_SHARING <> EXACT, statements containing literals may be rewritten using bind variables.
  - If statements are rewritten, adaptive cursor sharing may apply to them.
- SQL Plan Management (SPM):
  - If OPTIMIZER\_CAPTURE\_SQL\_PLAN\_BASELINES is set to TRUE, only the first generated plan is used.
  - As a workaround, set this parameter to FALSE, and run your application until all plans are loaded in the cursor cache.
  - Manually load the cursor cache into the corresponding plan baseline.

### **Summary**

In this lesson, you should have learned how to:

- List the benefits of using bind variables
- Use bind peeking
- Use adaptive cursor sharing

#### **Practice 8: Overview**

This practice covers the following topics:

- Using adaptive cursor sharing and bind peeking
- Using the CURSOR\_SHARING initialization parameter

# **Using Optimizer Hints**

### **Objectives**

After completing this lesson, you should be able to:

- Use hints when appropriate
- Specify hints for:
  - Optimizer mode
  - Query transformation
  - Access path
  - Join orders
  - Join methods

#### **Optimizer Hints: Overview**

#### Optimizer hints:

- Influence optimizer decisions
- Example:

```
SELECT /*+ INDEX(e empfirstname_idx) skewed col */ *
FROM employees e
WHERE first_name='David'
```

- HINTS SHOULD ONLY BE USED AS A LAST RESORT.
- When you use a hint, it is good practice to also add a comment about that hint.

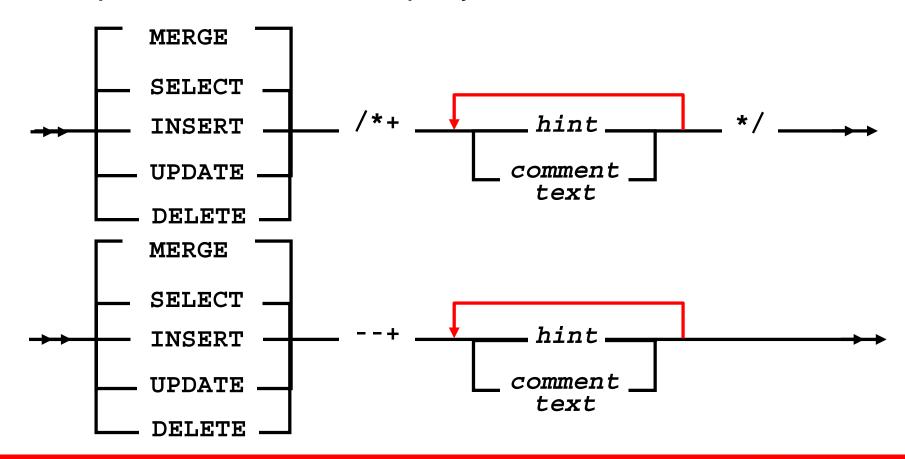
# **Types of Hints**

Single-table hints	Specified on one table or view	
Multitable hints	Specify more than one table or view	
Query block hints	Operate on a single query block	
Statement hints	Apply to the entire SQL statement	

# **Specifying Hints**

Hints apply to the optimization of only one statement block:

- A self-contained DML statement against a table
- A top-level DML or a subquery



#### **Rules for Hints**

- Place hints immediately after the first SQL keyword of a statement block.
- Each statement block can have only one hint comment, but it can contain multiple hints.
- Hints apply to only the statement block in which they appear.
- If a statement uses aliases, hints must reference the aliases rather than the table names.
- The optimizer ignores hints specified incorrectly without raising errors.

#### **Hint Recommendations**

- Use hints carefully because they imply a high-maintenance load.
- Be aware of the performance impact of hard-coded hints when they become less valid.

### **Optimizer Hint Syntax: Example**

# **Hint Categories**

#### There are hints for:

- Optimization approaches and goals
- Access paths
- Query transformations
- Join orders
- Join operation
- Parallel execution
- Additional hints

# **Optimization Goals and Approaches**

ALL_ROWS	Selects a cost-based approach with a goal of best throughput
FIRST_ROWS(n)	Instructs the Oracle server to optimize an individual SQL statement for fast response

Note: The ALTER SESSION... SET OPTIMIZER\_MODE statement does not affect SQL that is run from within PL/SQL.

#### **Hints for Access Paths**

FULL	Performs a full table scan
CLUSTER	Accesses table by cluster scan
HASH	Accesses table by hash scan
ROWID	Accesses a table by ROWID
INDEX	Scans an index in the ascending order
INDEX_ASC	Scans an index in the ascending order
INDEX_COMBINE	Explicitly chooses a bitmap access path

#### **Hints for Access Paths**

INDEX_JOIN	Instructs the optimizer to use an index join as an access path
INDEX_DESC	Selects an index scan for the specified table
INDEX_FFS	Performs a fast-full index scan
INDEX_SS	Performs an index skip scan
NO_INDEX	Does not allow using a set of indexes
AND_EQUAL	Merges single-column indexes

#### The INDEX COMBINE Hint: Example

```
SELECT --+INDEX_COMBINE (CUSTOMERS)

cust_last_name

FROM SH.CUSTOMERS

WHERE ( CUST_GENDER= 'F' AND

CUST_MARITAL_STATUS = 'single')

OR CUST_YEAR_OF_BIRTH BETWEEN '1917'

AND '1920';
```

# The INDEX COMBINE Hint: Example

Executi	on Plan	
0	SELECT STATEMENT	
1 1	TABLE ACCESS BY INDEX ROWID	CUSTOMERS
2	BITMAP CONVERSION TO ROWIDS	
3	BITMAP OR	
4	BITMAP MERGE	
5	BITMAP INDEX RANGE SCAN	CUST_YOB_BIX
6	BITMAP AND	
7	BITMAP INDEX SINGLE VALUE	CUST_MARITAL_BIX
8	BITMAP INDEX SINGLE VALUE	CUST_GENDER_BIX

# **Hints for Query Transformation**

NO_QUERY_TRANSFORMATION	Skips all query transformation
USE_CONCAT	Rewrites OR into UNION ALL and disables INLIST processing
NO_EXPAND	Prevents OR expansions
REWRITE	Rewrites query in terms of materialized views
NO_REWRITE	Turns off query rewrite
UNNEST	Merges subquery bodies into surrounding query block
NO_UNNEST	Turns off unnesting

# **Hints for Query Transformation**

MERGE	Merges complex views or subqueries with the surrounding query
NO_MERGE	Prevents merging of mergeable views
STAR_TRANSFORMATION	Makes the optimizer use the best plan in which the transformation can be used
FACT	Indicates that the hinted table should be considered as a fact table
NO_FACT	Indicates that the hinted table should not be considered as a fact table

#### **Hints for Join Orders**

ORDERED	Causes the Oracle server to join tables in the order in which they appear in the FROM clause
	Uses the specified tables as the first table in the join order

# **Hints for Join Operations**

USE_NL	Joins the specified table using a nested loop join
NO_USE_NL	Does not use nested loops to perform the join
USE_NL_WITH_INDEX	Similar to USE_NL, but must be able to use an index for the join
USE_MERGE	Joins the specified table using a sort-merge join
NO_USE_MERGE	Does not perform sort-merge operations for the join
USE_HASH	Joins the specified table using a hash join
NO_USE_HASH	Does not use hash join
DRIVING_SITE	Instructs the optimizer to execute the query at a different site than that selected by the database

#### **Additional Hints**

APPEND	Enables direct-path INSERT
NOAPPEND	Enables regular INSERT
ORDERED_PREDICATES	Forces the optimizer to preserve the order of predicate evaluation
CURSOR_SHARING_EXACT	Prevents replacing literals with bind variables
CACHE	Overrides the default caching specification of the table
PUSH_PRED	Pushes join predicate into view
PUSH_SUBQ	Evaluates nonmerged subqueries first
DYNAMIC_SAMPLING	Controls dynamic sampling to improve server performance

#### **Additional Hints**

MONITOR	Forces real-time query monitoring
NO_MONITOR	Disables real-time query monitoring
RESULT_CACHE	Caches the result of the query or query fragment
NO_RESULT_CACHE	Disables result caching for the query or query fragment
OPT_PARAM	Sets initialization parameter for query duration

#### **Hints and Views**

- Do not use hints in views.
- Use view-optimization techniques:
  - Statement transformation
  - Results accessed like a table
- Hints can be used on mergeable views and nonmergeable views.

#### **Global Table Hints**

- Extended hint syntax enables specifying for tables that appear in views
- References a table name in the hint with a recursive dot notation

```
CREATE view city_view AS
SELECT *
FROM customers c
WHERE cust_city like 'S%';
```

```
SELECT /*+ index(v.c cust_credit_limit_idx) */
    v.cust_last_name, v.cust_credit_limit
FROM city_view v
WHERE cust_credit_limit > 5000;
```

### Specifying a Query Block in a Hint

```
SELECT * FROM TABLE (DBMS XPLAN.DISPLAY(NULL, NULL, 'ALL'));
Plan hash value: 615168685
 Id Operation | Name | Rows | Bytes | Cost(%CPU) |
   0 | SELECT STATEMENT
                               1 | 41 | 7 (15) |
* 1 | HASH JOIN
                                          41 7 (15)
                                          21 |
      TABLE ACCESS FULL DEPT
                                                  3 (0)
      TABLE ACCESS FULL EMP
                                          60
                                                  3 (0)
Query Block Name / Object Alias (identified by operation id):
  1 - SEL$DB579D14
  2 - SEL$DB579D14 / DEPT@STRANGE
  3 - SEL$DB579D14 / E@SEL$1
```

#### **Specifying a Full Set of Hints**

```
SELECT /*+ LEADING(e2 e1) USE NL(e1)
   INDEX(e1 emp emp id pk) USE MERGE(j) FULL(j) */
    el.first name, el.last name, j.job id,
     sum(e2.salary) total sal
FROM hr.employees e1, hr.employees e2,
hr.job history j
WHERE el.employee id = e2.manager id
AND el.employee id = j.employee id
AND el.hire date = j.start date
GROUP BY el.first name, el.last name, j.job id
ORDER BY total sal;
```

#### **Summary**

In this lesson, you should have learned how to:

- Set the optimizer mode
- Use optimizer hint syntax
- Determine access-path hints
- Analyze hints and their impact on views

#### **Practice 9: Overview**

This practice covers using various hints to influence execution plans.

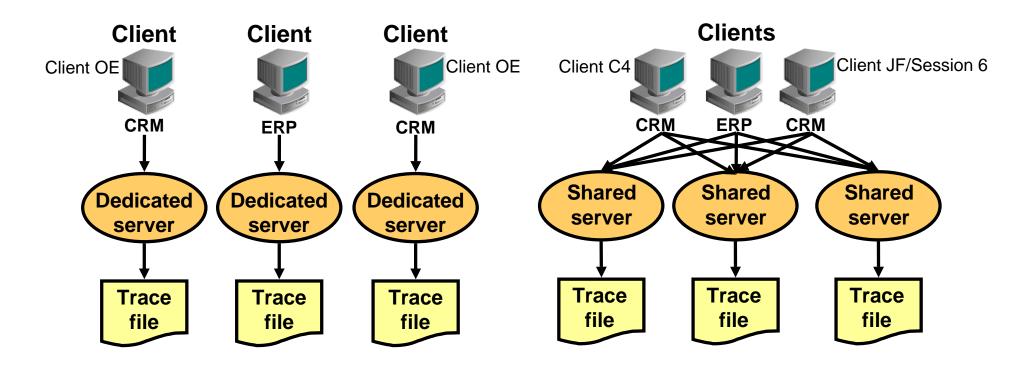


# **Objectives**

After completing this lesson, you should be able to do the following:

- Configure the SQL Trace facility to collect session statistics
- Use the TRCSESS utility to consolidate SQL trace files
- Format trace files using the tkprof utility
- Interpret the output of the tkprof command

### **End-to-End Application Tracing Challenge**



- I want to retrieve traces from CRM service.
- I want to retrieve traces from client C4.
- I want to retrieve traces from session 6.

### **End-to-End Application Tracing**

- Simplifies the process of diagnosing performance problems in multitier environments by allowing application workloads to be seen by:
  - Service
  - Module
  - Action
  - Session
  - Client
- End-to-End Application Tracing tools:
  - Enterprise Manager
  - DBMS\_APPICATION\_INFO, DBMS\_SERVICE,
     DBMS\_MONITOR, DBMS\_SESSION
  - SQL Trace and TRCSESS utility
  - tkprof

#### **Location for Diagnostic Traces**

#### DIAGNOSTIC\_DEST

Diagnostic Data	Previous Location	ADR Location
Foreground process traces	USER_DUMP_DEST	\$ADR_HOME/trace
Background process traces	BACKGROUND_DUMP_DEST	\$ADR_HOME/trace
Alert log data	BACKGROUND_DUMP_DEST	\$ADR_HOME/alert&trace
Core dumps	CORE_DUMP_DEST	\$ADR_HOME/cdump
Incident dumps	USER BACKGROUND_DUMP_DES T	\$ADR_HOME/incident/incdir_n

V\$DIAG INFO

**ADR trace** 

**■** Oracle Database 10*g* trace − critical error trace

#### What Is a Service?

- Is a means of grouping sessions that perform the same kind of work
- Provides a single-system image instead of a multipleinstances image
- Is a part of the regular administration tasks that provide dynamic service-to-instance allocation
- Is the base for High Availability of connections
- Provides a performance-tuning dimension
- Is a handle for capturing trace information

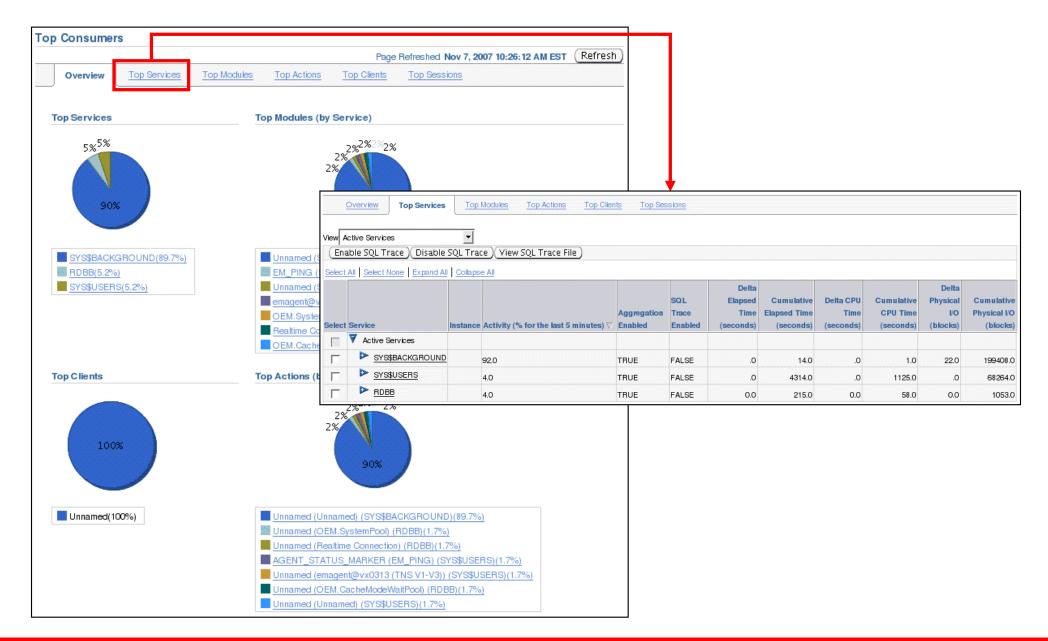
## **Use Services with Client Applications**

```
url="jdbc:oracle:oci:@ERP"
```

## **Tracing Services**

- Applications using services can be further qualified by:
  - MODULE
  - ACTION
  - CLIENT IDENTIFIER
- Set using the following PL/SQL packages:
  - DBMS APPLICATION INFO
  - DBMS SESSION
- Tracing can be done at all levels:
  - CLIENT IDENTIFIER
  - SESSION ID
  - SERVICE\_NAMES
  - MODULE
  - ACTION
  - Combination of SERVICE\_NAME, MODULE, ACTION

## **Use Enterprise Manager to Trace Services**



## **Service Tracing: Example**

Trace on service, module, and action:

```
exec DBMS_MONITOR.SERV_MOD_ACT_TRACE_ENABLE('AP');
```

```
exec DBMS_MONITOR.SERV_MOD_ACT_TRACE_ENABLE(-
'AP', 'PAYMENTS', 'QUERY_DELINQUENT');
```

Trace a particular client identifier:

```
exec DBMS_MONITOR.CLIENT_ID_TRACE_ENABLE
  (client_id=>'C4', waits => TRUE, binds => FALSE);
```

## **Session Level Tracing: Example**

For all sessions in the database:

```
EXEC dbms_monitor.DATABASE_TRACE_ENABLE(TRUE,TRUE);
EXEC dbms_monitor.DATABASE_TRACE_DISABLE();
```

• For a particular session:

```
EXEC dbms_monitor.SESSION_TRACE_ENABLE(session_id=>
27, serial_num=>60, waits=>TRUE, binds=>FALSE);
```

```
EXEC dbms_monitor.SESSION_TRACE_DISABLE(session_id
=>27, serial_num=>60);
```

#### **Trace Your Own Session**

Enabling trace:

```
EXEC DBMS_SESSION.SESSION_TRACE_ENABLE(waits =>
TRUE, binds => FALSE);
```

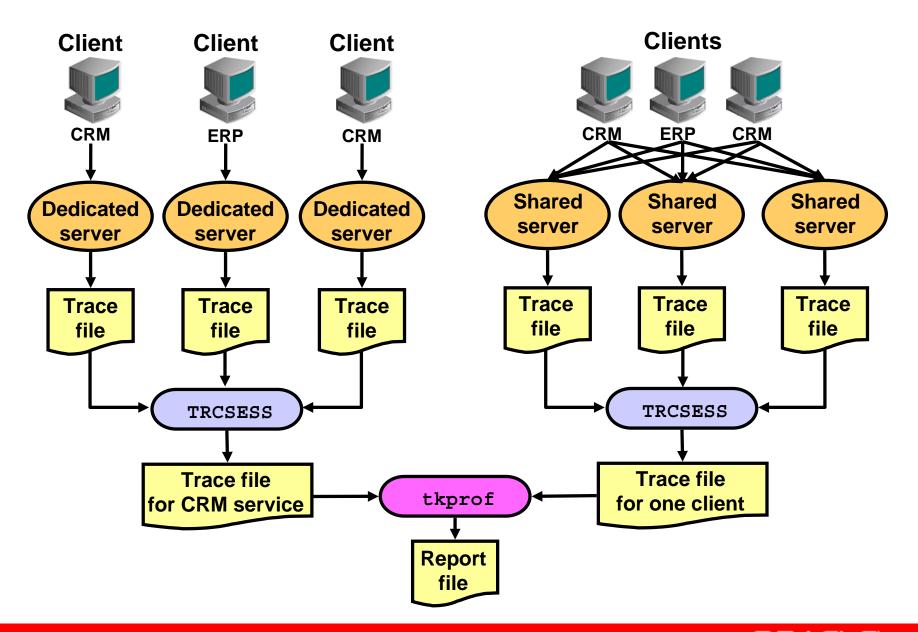
Disabling trace:

```
EXEC DBMS_SESSION.SESSION_TRACE_DISABLE();
```

Easily identifying your trace files:

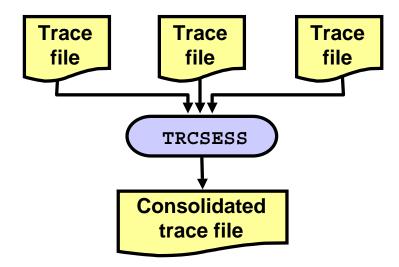
```
alter session set tracefile_identifier='mytraceid';
```

#### The trcsess Utility



## Invoking the trcsess Utility

```
trcsess [output=output_file_name]
    [session=session_id]
    [clientid=client_identifier]
    [service=service_name]
    [action=action_name]
    [module=module_name]
    [<trace file names>]
```



#### The trcsess Utility: Example

```
exec dbms session.set identifier('HR session');
                                                                     Second session
First session
                           exec dbms session.set identifier('HR session');
       exec DBMS MONITOR.CLIENT ID TRACE ENABLE ( -
       client id=>'HR session', waits => FALSE, binds => FALSE);
                                                              Third session
select * from employees;
                                                 select * from departments;
                                                 . . .
       exec DBMS MONITOR.CLIENT ID TRACE DISABLE( -
       client id => 'HR session');
       trcsess output=mytrace.trc clientid='HR session'
       $ORACLE BASE/diag/rdbms/orcl/orcl/trace/*.trc
```

#### **SQL Trace File Contents**

- Parse, execute, and fetch counts
- CPU and elapsed times
- Physical reads and logical reads
- Number of rows processed
- Misses on the library cache
- Username under which each parse occurred
- Each commit and rollback
- Wait event and bind data for each SQL statement
- Row operations showing the actual execution plan of each SQL statement
- Number of consistent reads, physical reads, physical writes, and time elapsed for each operation on a row

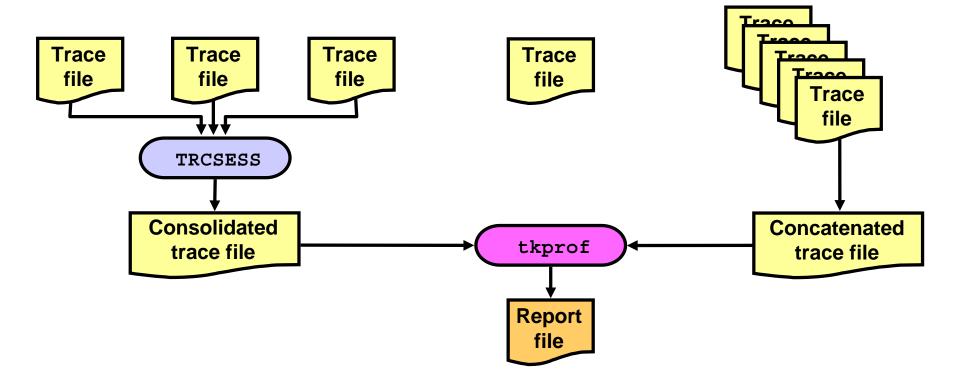
## **SQL Trace File Contents: Example**

```
*** [ Unix process pid: 19687 ]
*** 2008-02-25 15:49:19.820
*** 2008-02-25 15:49:19.820
*** 2008-02-25 15:49:19.820
*** 2008-02-25 15:49:19.820
===============
PARSING IN CURSOR #4 len=23 dep=0 uid=82 oct=3 lid=82 tim=1203929332521849
hv=4069246757 ad='34b6f730' sqlid='f34thrbt8rjt5'
select * from employees
END OF STMT
PARSE #4:c=49993,e=67123,p=28,cr=403,cu=0,mis=1,r=0,dep=0,og=1,tim=1203929332521845
EXEC #4:c=0,e=16,p=0,cr=0,cu=0,mis=0,r=0,dep=0,og=1,tim=1203929332521911
FETCH #4:c=1000,e=581,p=6,cr=6,cu=0,mis=0,r=1,dep=0,oq=1,tim=1203929332522553
FETCH #4:c=0,e=45,p=0,cr=1,cu=0,mis=0,r=15,dep=0,oq=1,tim=1203929332522936
FETCH #4:c=0,e=49,p=0,cr=1,cu=0,mis=0,r=1,dep=0,oq=1,tim=1203929333649241
STAT #4 id=1 cnt=107 pid=0 pos=1 obj=70272 op='TABLE ACCESS FULL EMPLOYEES (cr=15
pr=6 pw=6 time=0 us cost=3 size=7276 card=107)'
*** [ Unix process pid: 19687 ]
*** 2008-02-25 15:49:19.820
*** 2008-02-25 15:49:19.820
*** 2008-02-25 15:49:19.820
*** 2008-02-25 15:49:19.820
```

## Formatting SQL Trace Files: Overview

Use the tkprof utility to format your SQL trace files:

- Sort raw trace file to exhibit top SQL statements
- Filter dictionary statements



## Invoking the tkprof Utility

```
tkprof inputfile outputfile [waits=yes no]
                              [sort=option]
                              [print=n]
                              [aggregate=yes no]
                              [insert=sqlscritfile]
                              [sys=yes no]
                              [table=schema.table]
                              [explain=user/password]
                              [record=statementfile]
                              [width=n]
```

# tkprof Sorting Options

Sort Option	Description
prscnt	Number of times parse was called
prscpu	CPU time parsing
prsela	Elapsed time parsing
prsdsk	Number of disk reads during parse
prsqry	Number of buffers for consistent read during parse
prscu	Number of buffers for current read during parse
prsmis	Number of misses in the library cache during parse
execnt	Number of executes that were called
execpu	CPU time spent executing
exeela	Elapsed time executing
exedsk	Number of disk reads during execute
exeqry	Number of buffers for consistent read during execute
execu	Number of buffers for current read during execute

# tkprof Sorting Options

Sort Option	Description
exerow	Number of rows processed during execute
exemis	Number of library cache misses during execute
fchcnt	Number of times fetch was called
fchcpu	CPU time spent fetching
fchela	Elapsed time fetching
fchdsk	Number of disk reads during fetch
fchqry	Number of buffers for consistent read during fetch
fchcu	Number of buffers for current read during fetch
fchrow	Number of rows fetched
userid	User ID of user that parsed the cursor

## Output of the tkprof Command

- Text of the SQL statement
- Trace statistics (for statement and recursive calls) separated into three SQL processing steps:

PARSE	Translates the SQL statement into an execution plan
EXECUTE	Executes the statement (This step modifies the data for the INSERT, UPDATE, and DELETE statements.)
FETCH	Retrieves the rows returned by a query (Fetches are performed only for the SELECT statements.)

## Output of the tkprof Command

There are seven categories of trace statistics:

Count	Number of times the procedure was executed
CPU	Number of seconds to process
Elapsed	Total number of seconds to execute
Disk	Number of physical blocks read
Query	Number of logical buffers read for consistent read
Current	Number of logical buffers read in current mode
Rows	Number of rows processed by the fetch or execute

#### Output of the tkprof Command

#### The tkprof output also includes the following:

- Recursive SQL statements
- Library cache misses
- Parsing user ID
- Execution plan
- Optimizer mode or hint
- Row source operation

```
Misses in library cache during parse: 1
Optimizer mode: ALL_ROWS
Parsing user id: 61

Rows Row Source Operation

24 TABLE ACCESS BY INDEX ROWID EMPLOYEES (cr=9 pr=0 pw=0 time=129 us)
24 INDEX RANGE SCAN SAL_IDX (cr=3 pr=0 pw=0 time=1554 us) (object id ...
```

## tkprof Output with No Index: Example

```
select max(cust credit limit)
from customers
where cust city = 'Paris'
                       elapsed
                                    disk
call
       count
                  cpu
                                             query
                                                     current
          1 0.02
                        0.02
Parse
                0.00
                         0.00
Execute
Fetch 2 0.10
                          0.09
                                    1408
         4 0.12
                          0.11
                                             1459
                                    1408
total
Misses in library cache during parse: 1
Optimizer mode: ALL ROWS
Parsing user id: 61
       Row Source Operation
Rows
    1 SORT AGGREGATE (cr=1459 pr=1408 pw=0 time=93463 us)
       TABLE ACCESS FULL CUSTOMERS (cr=1459 pr=1408 pw=0 time=31483 us)
    77
```

#### tkprof Output with Index: Example

```
select max(cust credit limit) from customers
where cust city = 'Paris'
        count cpu elapsed disk
call
                                                        query
                                                                      current
                                                                                       rows

      Parse
      1
      0.01
      0.00
      0
      0

      Execute
      1
      0.00
      0.00
      0
      0

      Fetch
      2
      0.00
      0.00
      0
      77

total 4 0.01 0.00
                                                           77
Misses in library cache during parse: 1
Optimizer mode: ALL ROWS
Parsing user id: 61
         Row Source Operation
Rows
      1 SORT AGGREGATE (cr=77 pr=0 pw=0 time=732 us)
     77 TABLE ACCESS BY INDEX ROWID CUSTOMERS (cr=77 pr=0 pw=0 time=1760 us)
     77 INDEX RANGE SCAN CUST CUST CITY IDX (cr=2 pr=0 pw=0 time=100
                                                                     us) (object id 55097)
```

#### **Summary**

In this lesson, you should have learned how to:

- Configure the SQL Trace facility to collect session statistics
- Use the TRCSESS utility to consolidate SQL trace files
- Format trace files using the tkprof utility
- Interpret the output of the tkprof command

#### **Practice 10: Overview**

This practice covers the following topics:

- Creating a service
- Tracing your application using services
- Interpreting trace information using tracess and tkprof

# Automating SQL Tuning

## **Objectives**

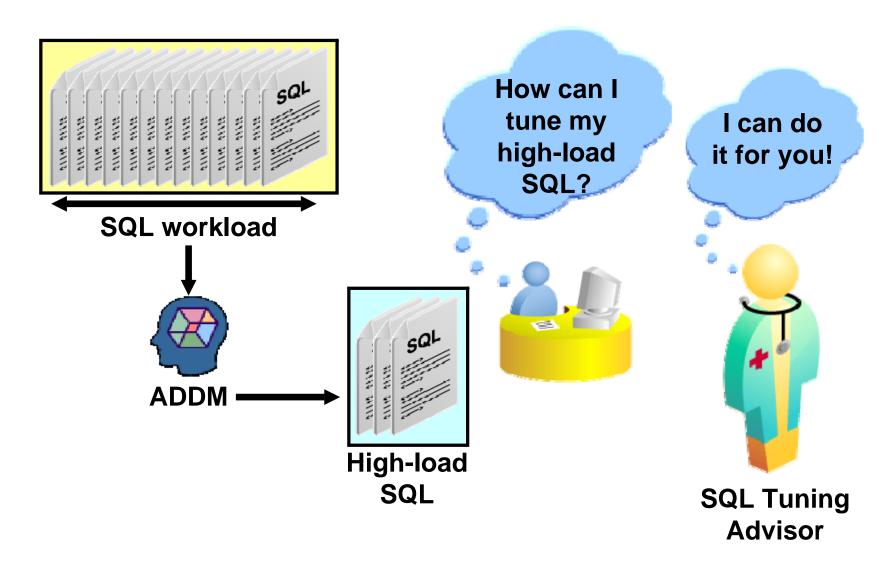
After completing this lesson, you should be able to do the following:

- Describe statement profiling
- Use SQL Tuning Advisor
- Use SQL Access Advisor
- Use Automatic SQL Tuning

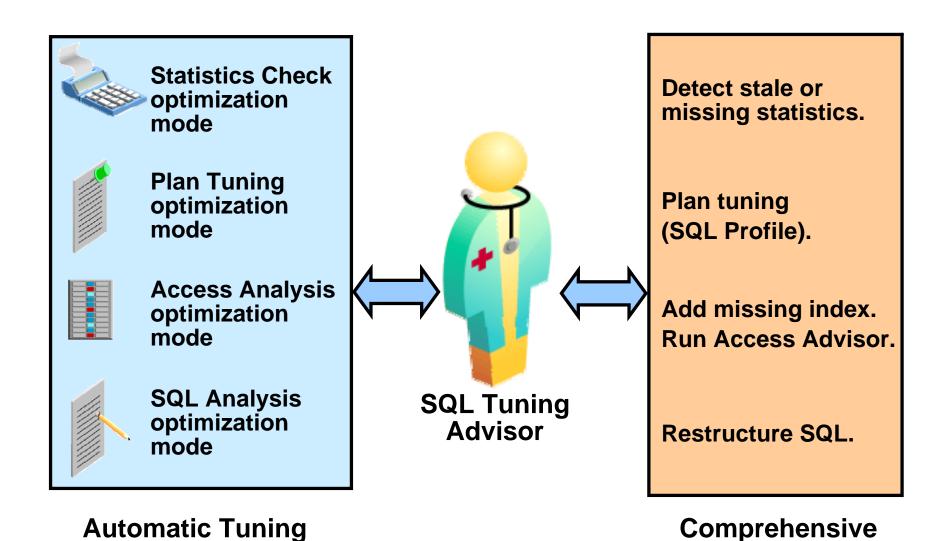
## **Tuning SQL Statements Automatically**

- Tuning SQL statements automatically eases the entire SQL tuning process and replaces manual SQL tuning.
- Optimizer modes:
  - Normal mode
  - Tuning mode or Automatic Tuning Optimizer (ATO)
- SQL Tuning Advisor is used to access tuning mode.
- You should use tuning mode only for high-load SQL statements.

## **Application Tuning Challenges**



## **SQL Tuning Advisor: Overview**



ORACLE

**SQL** tuning

**Optimizer** 

## Stale or Missing Object Statistics

- Object statistics are key inputs to the optimizer.
- ATO verifies object statistics for each query object.
- ATO uses dynamic sampling and generates:
  - Auxiliary object statistics to compensate for missing or stale object statistics
  - Recommendations to gather object statistics where appropriate:

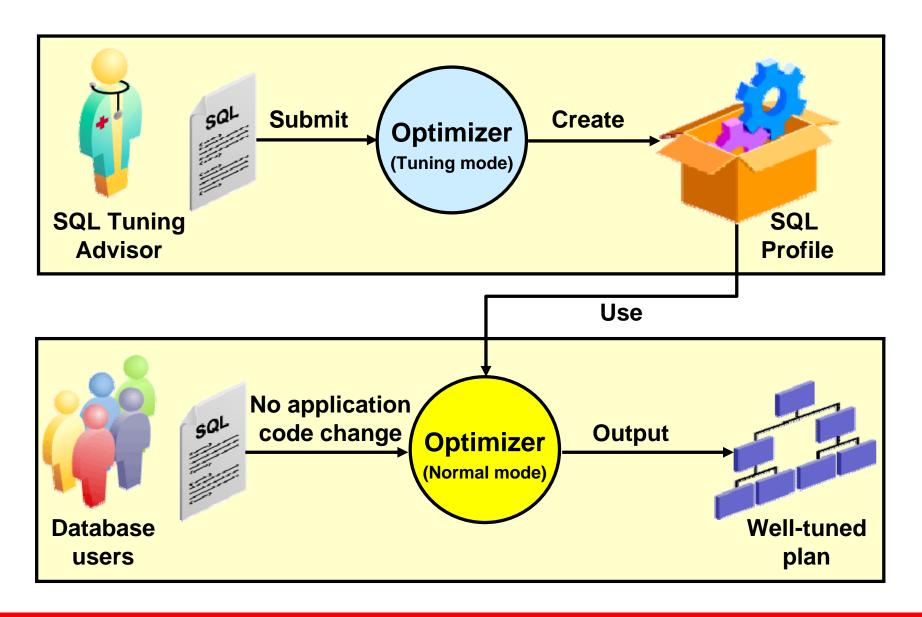
```
DBMS_STATS.GATHER_TABLE_STATS(
  ownname=>'SH', tabname=>'CUSTOMERS',
  estimate_percent=>DBMS_STATS.AUTO_SAMPLE_SIZE);
```

## **SQL Statement Profiling**

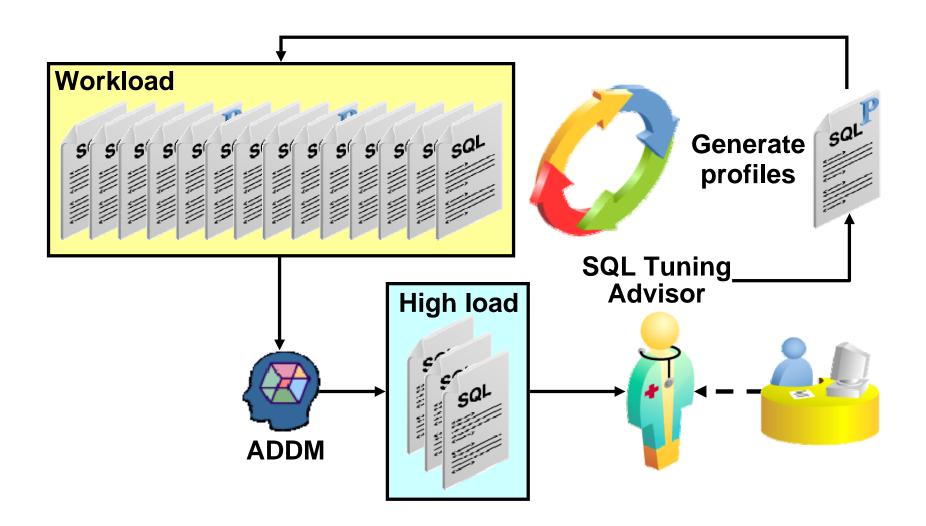
- Statement statistics are key inputs to the optimizer.
- ATO verifies statement statistics such as:
  - Predicate selectivity
  - Optimizer settings (FIRST\_ROWS versus ALL\_ROWS)
- Automatic Tuning Optimizer uses:
  - Dynamic sampling
  - Partial execution of the statement
  - Past execution history statistics of the statement
- ATO builds a profile if statistics were generated:

```
exec :profile_name := -
dbms_sqltune.accept_sql_profile( -
task_name =>'my_sql_tuning_task');
```

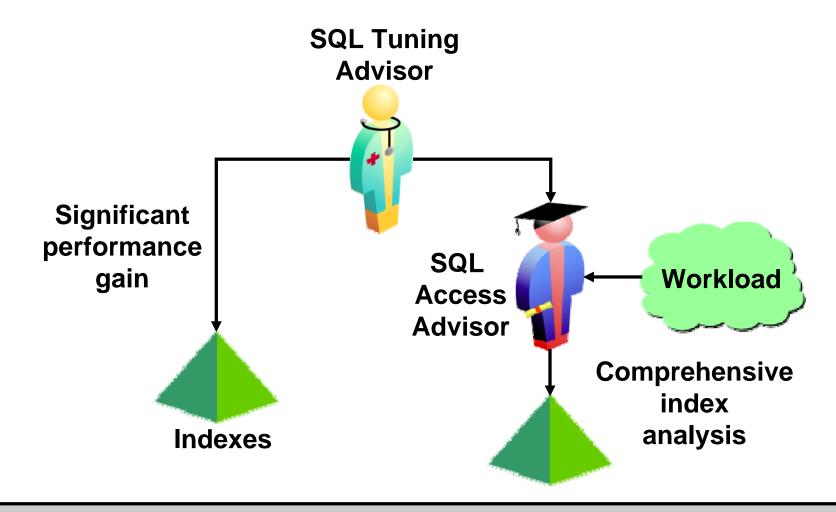
## Plan Tuning Flow and SQL Profile Creation



## **SQL Tuning Loop**

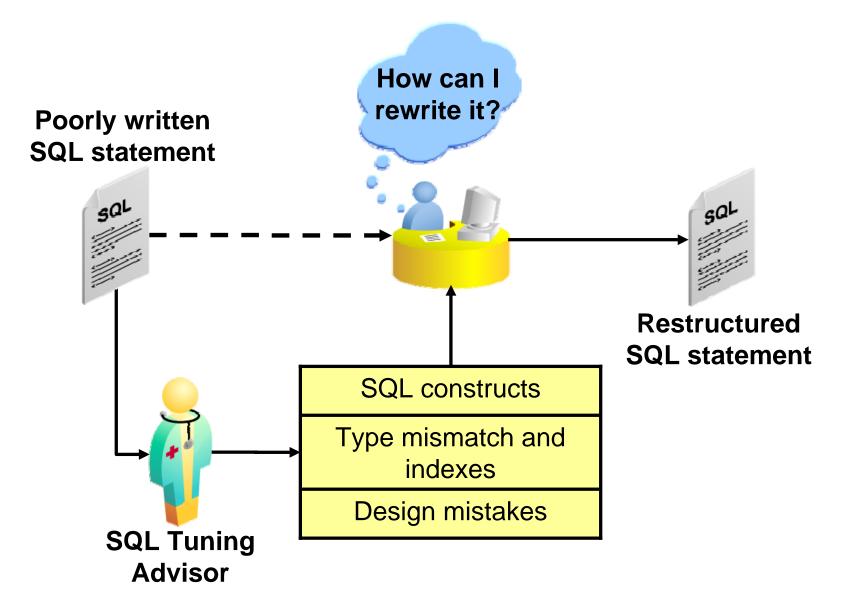


#### **Access Path Analysis**

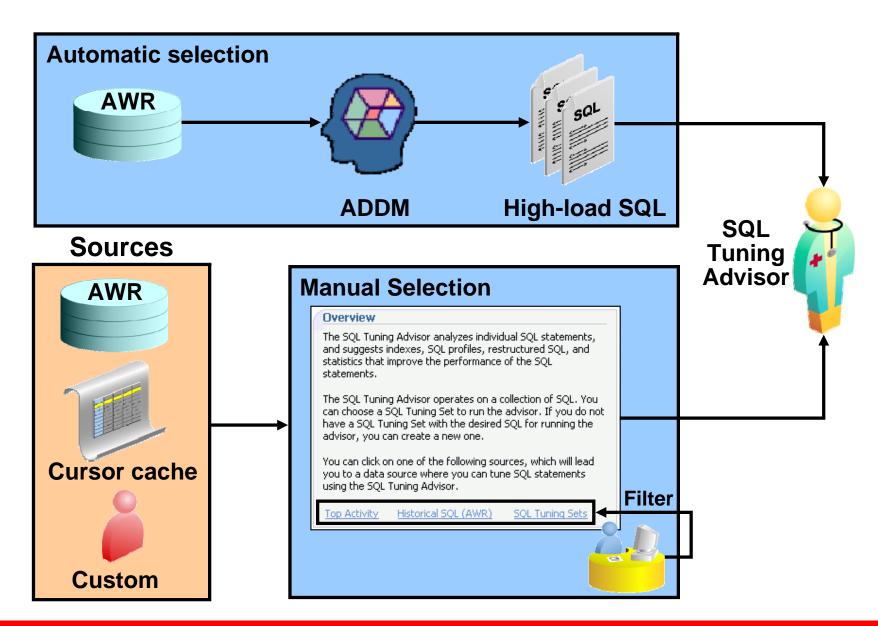


CREATE INDEX JFV.IDX\$\_00002 on JFV.TEST("C");

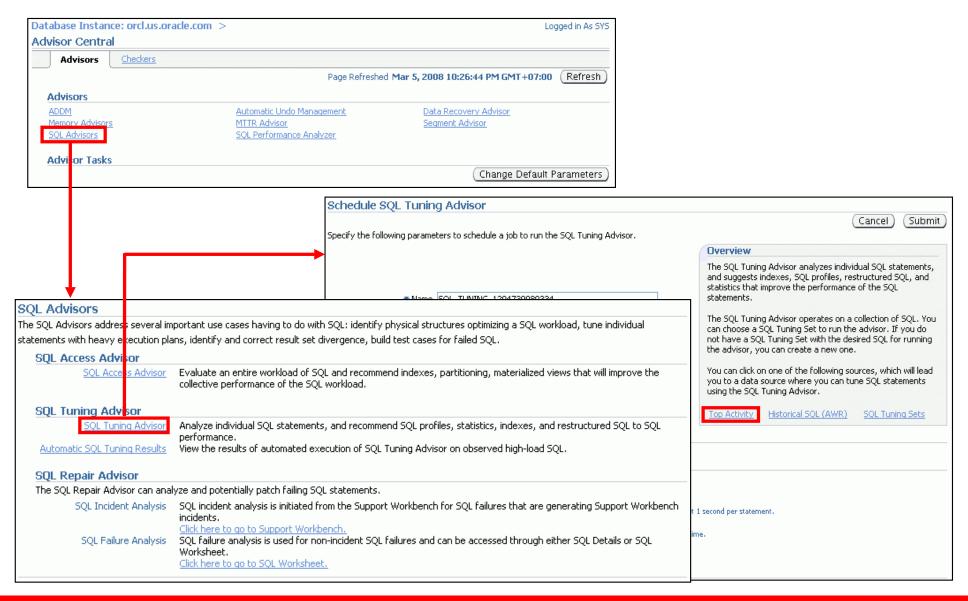
## **SQL Structure Analysis**



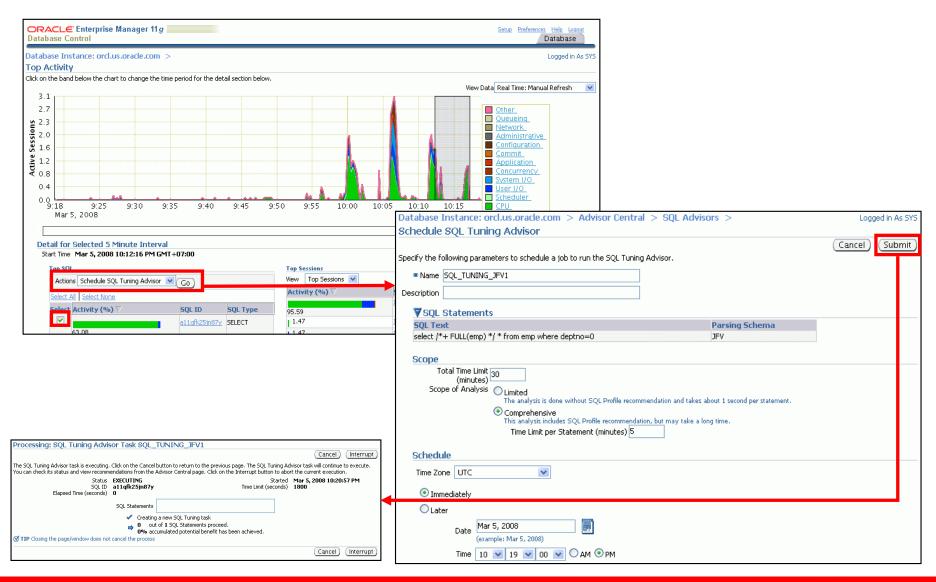
## **SQL Tuning Advisor: Usage Model**



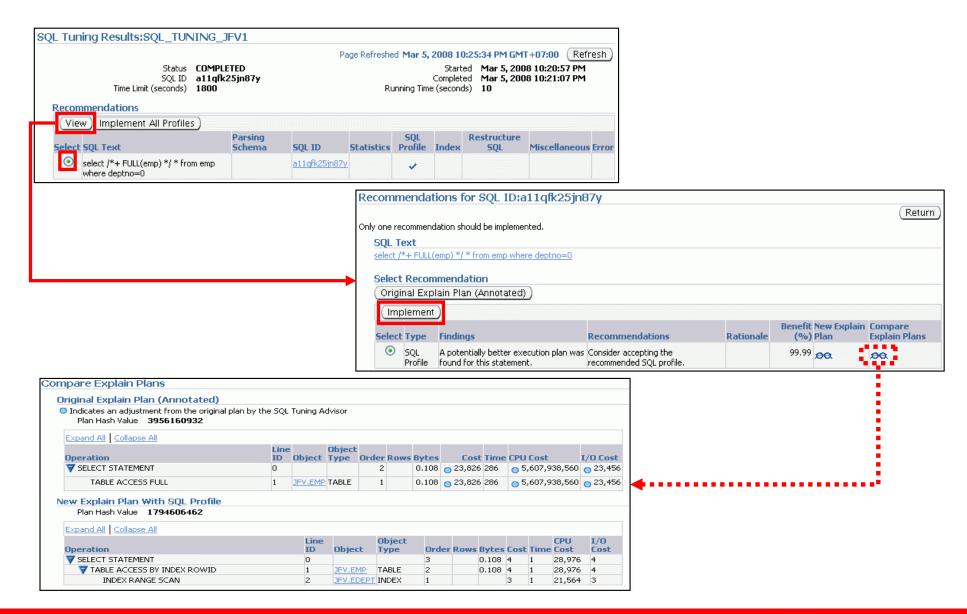
## **Database Control and SQL Tuning Advisor**



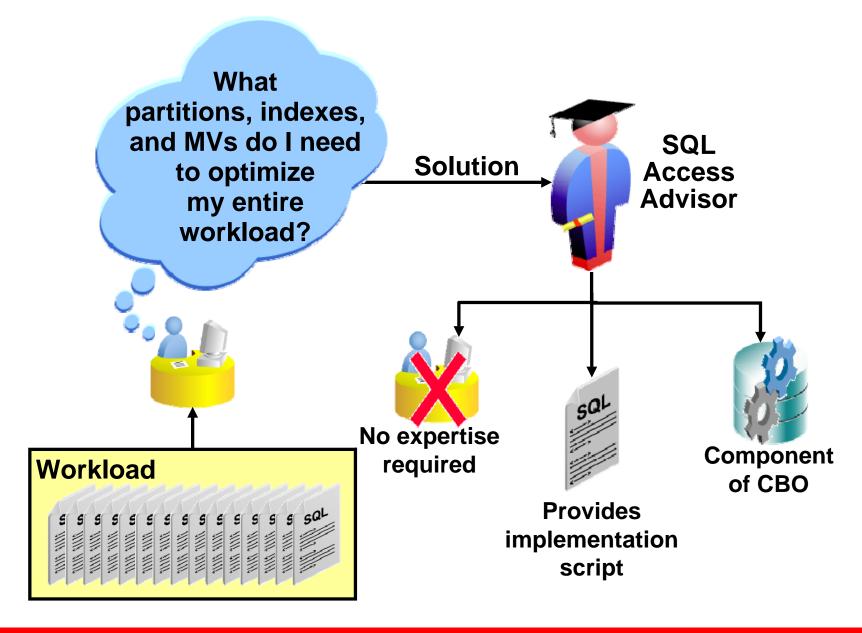
## Running SQL Tuning Advisor: Example



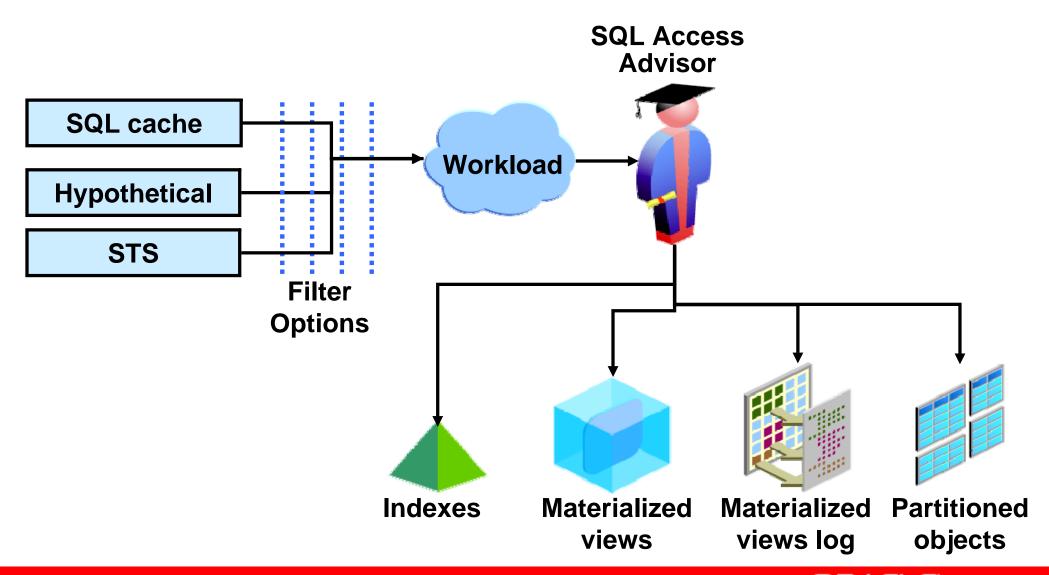
## Implementing Recommendations



#### **SQL Access Advisor: Overview**



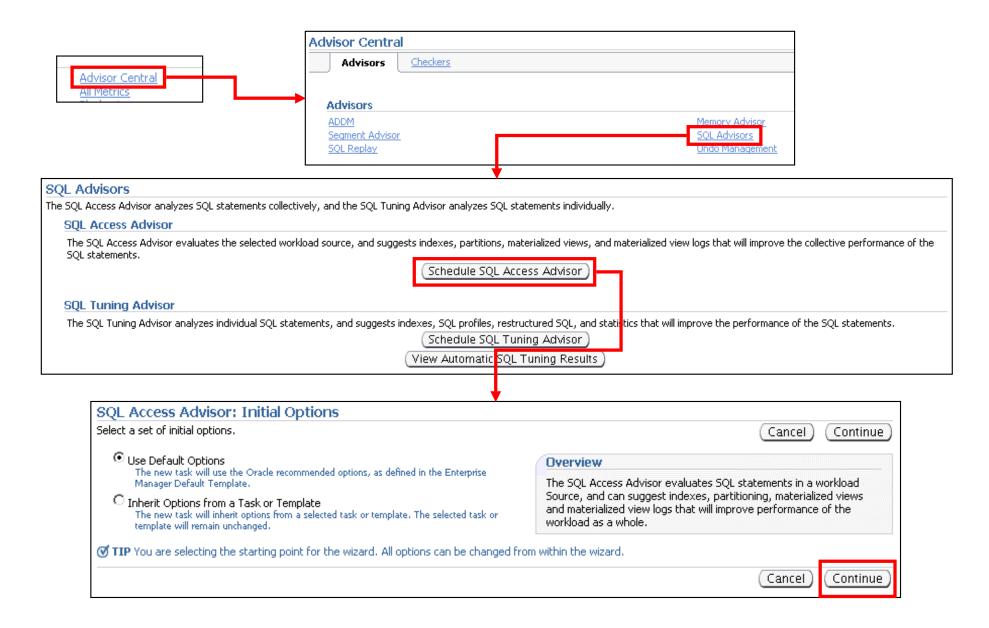
## **SQL Access Advisor: Usage Model**



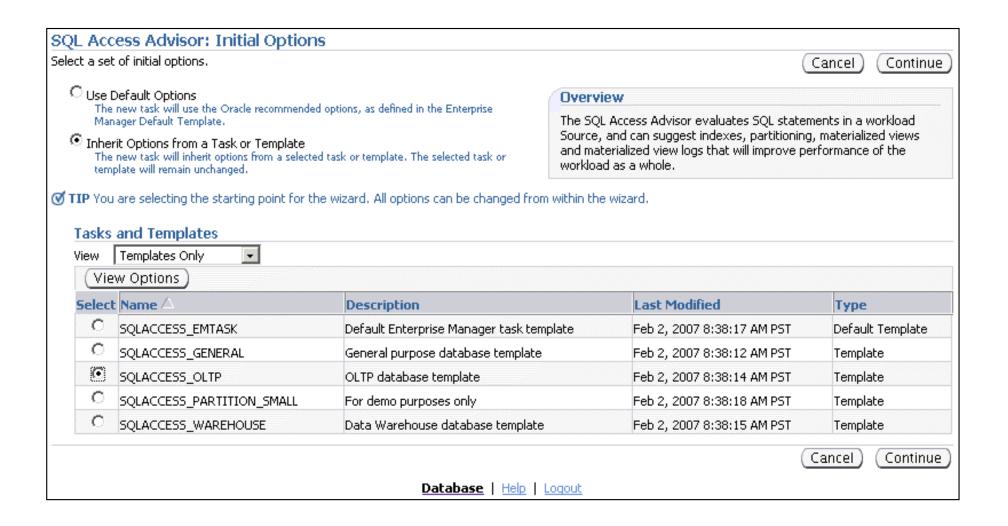
#### **Possible Recommendations**

Recommendation	Comprehensive	Limited
Add new (partitioned) index on table or materialized view.	YES	YES
Drop an unused index.	YES	NO
Modify an existing index by changing the index type.	YES	NO
Modify an existing index by adding columns at the end.	YES	YES
Add a new (partitioned) materialized view.	YES	YES
Drop an unused materialized view (log).	YES	NO
Add a new materialized view log.	YES	YES
Modify an existing materialized view log to add new columns or clauses.	YES	YES
Partition an existing unpartitioned table or index.	YES	YES

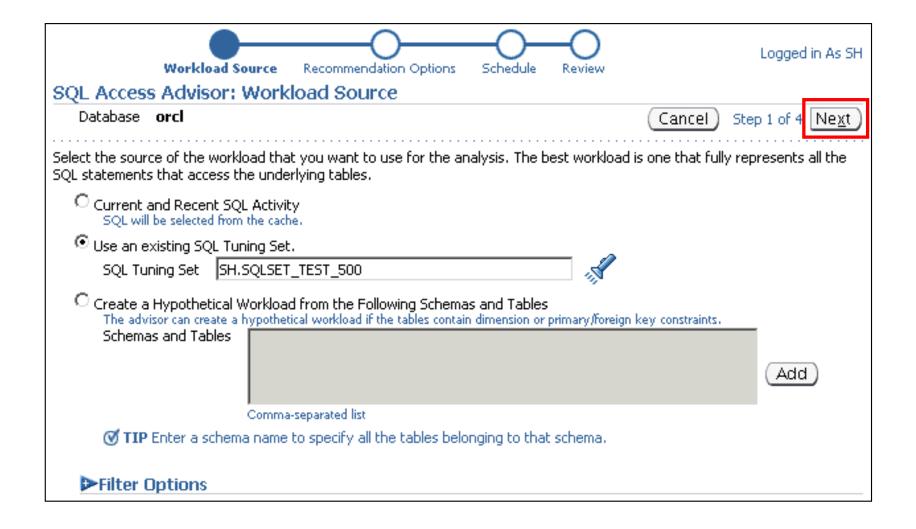
#### **SQL Access Advisor Session: Initial Options**



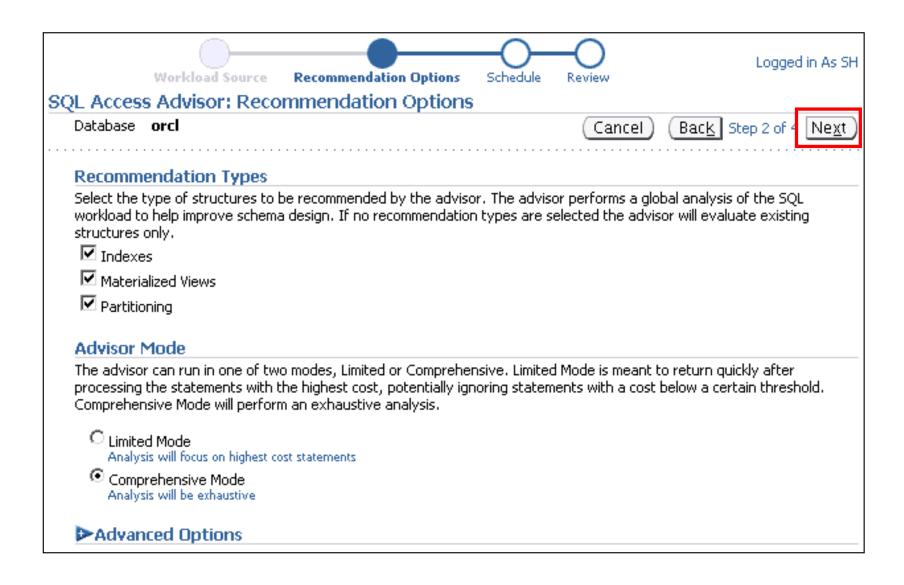
### **SQL Access Advisor Session: Initial Options**



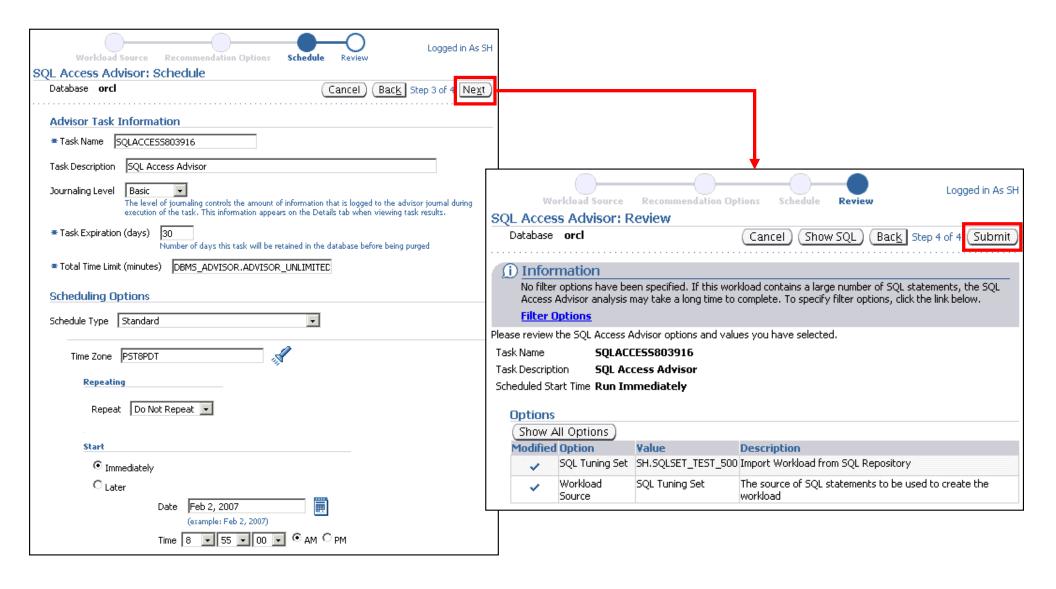
#### **SQL Access Advisor: Workload Source**



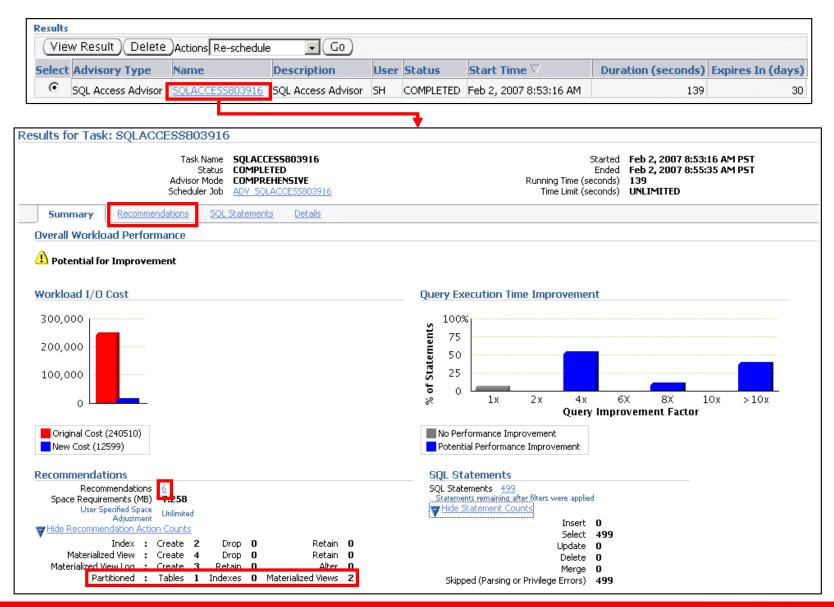
## **SQL Access Advisor: Recommendation Options**



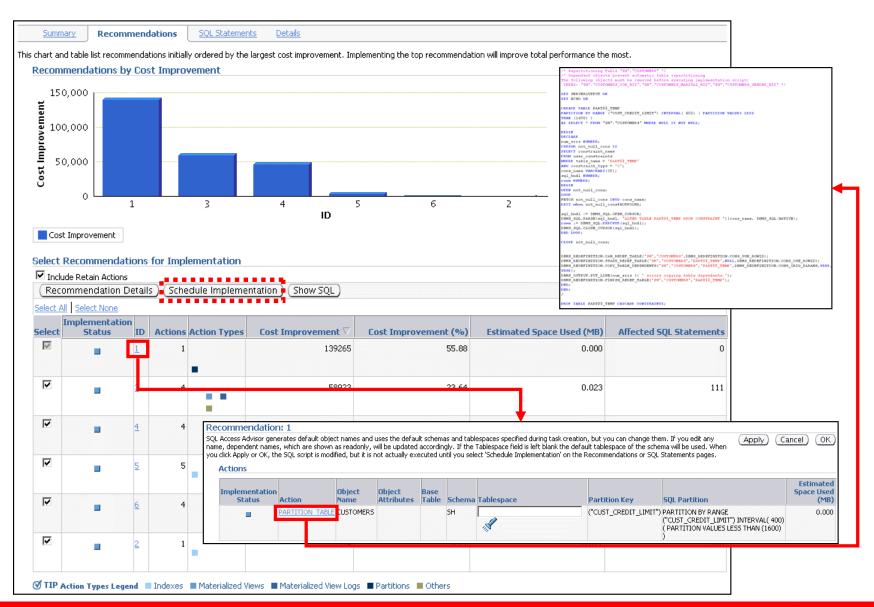
#### SQL Access Advisor: Schedule and Review



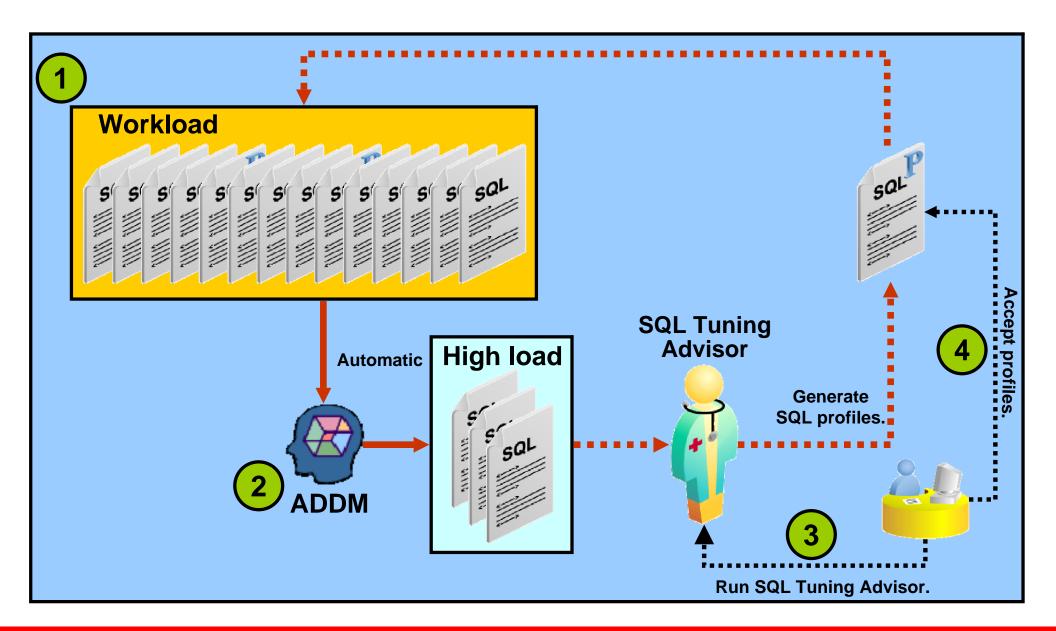
#### **SQL Access Advisor: Results**



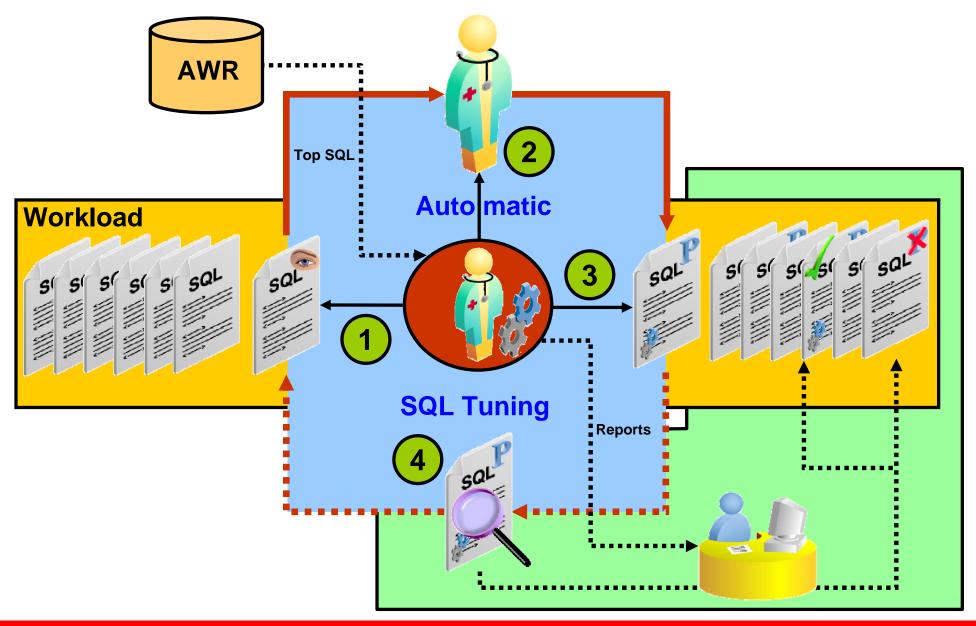
## **SQL Access Advisor: Results and Implementation**



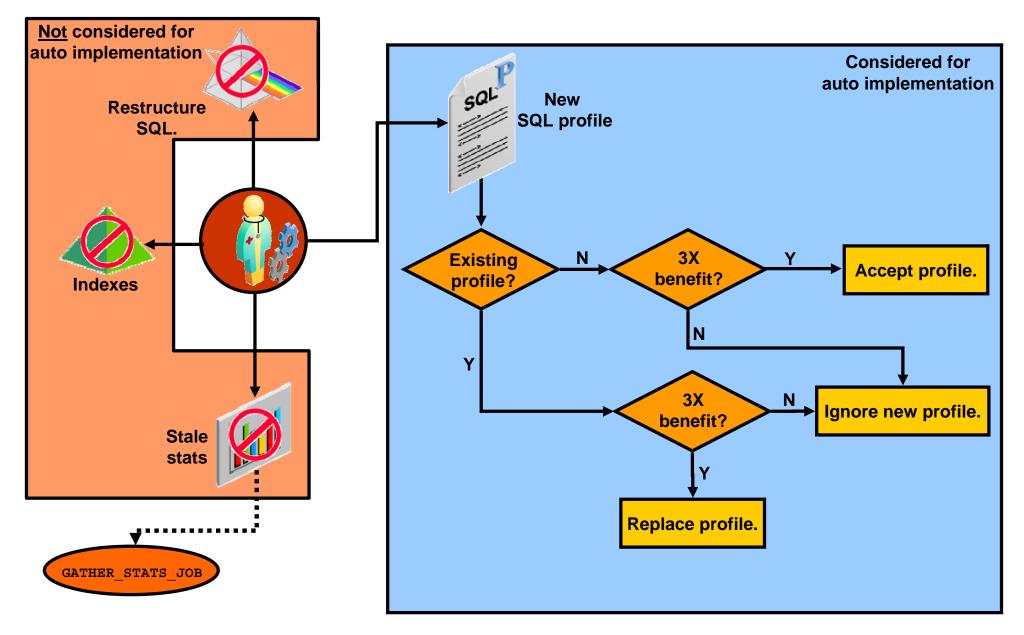
## **SQL Tuning Loop**



## **Automatic SQL Tuning**



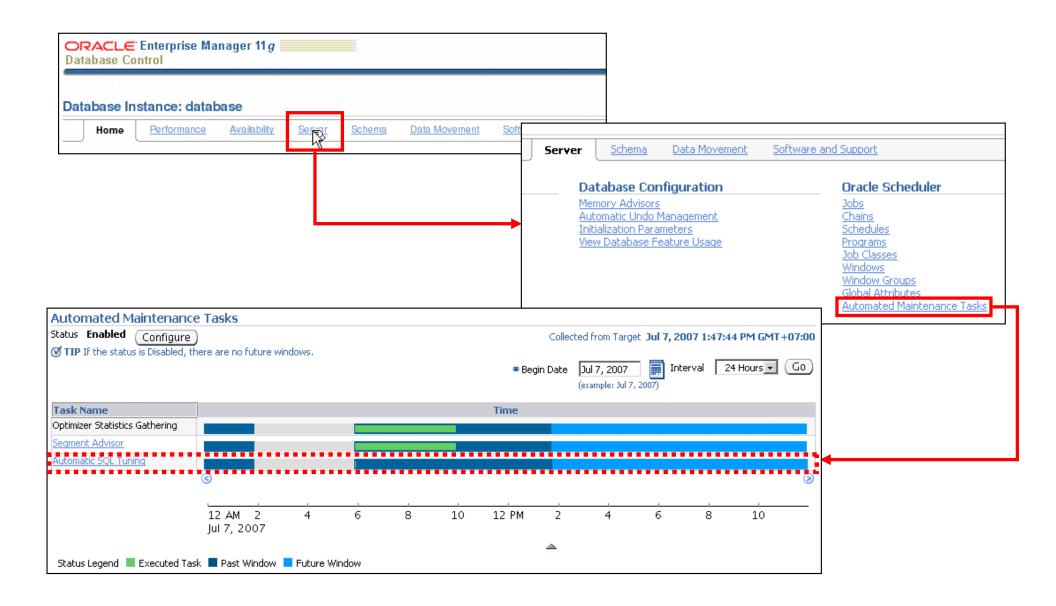
## **Automatic Tuning Process**



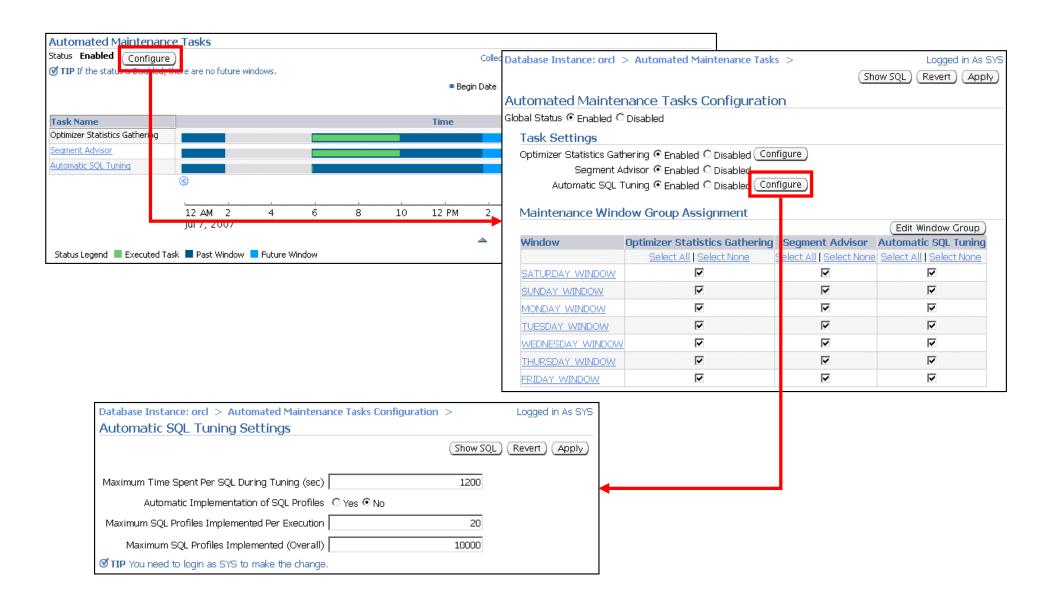
## **Automatic SQL Tuning Controls**

- Autotask configuration:
  - On/off switch
  - Maintenance windows running tuning task
  - CPU resource consumption of tuning task
- Task parameters:
  - SQL profile implementation automatic/manual switch
  - Global time limit for tuning task
  - Per-SQL time limit for tuning task
  - Test-execute mode disabled to save time
  - Maximum number of SQL profiles automatically implemented per execution as well as overall
  - Task execution expiration period

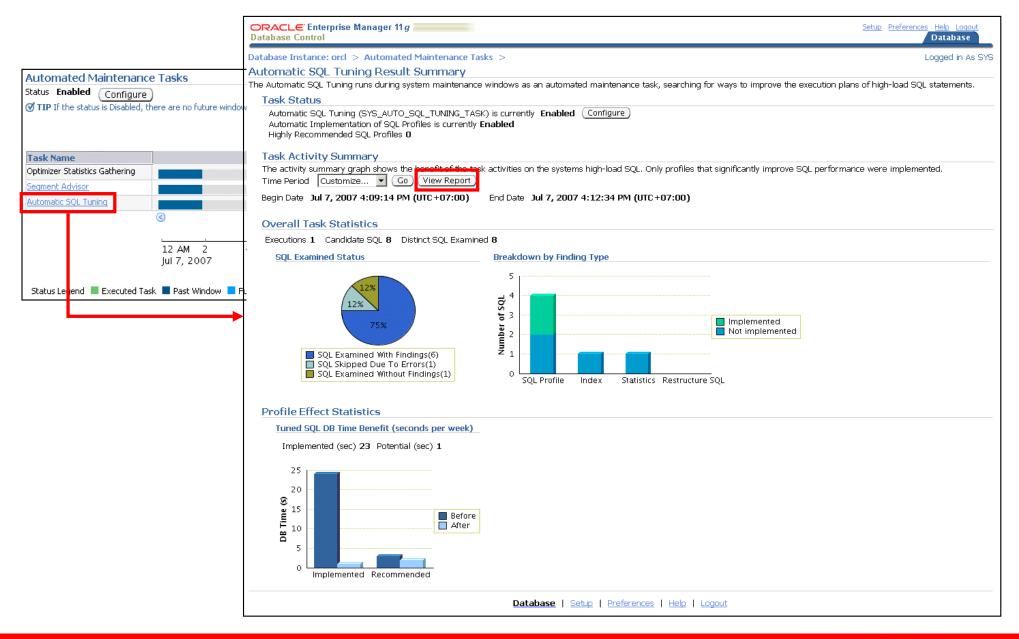
## **Automatic SQL Tuning Task**



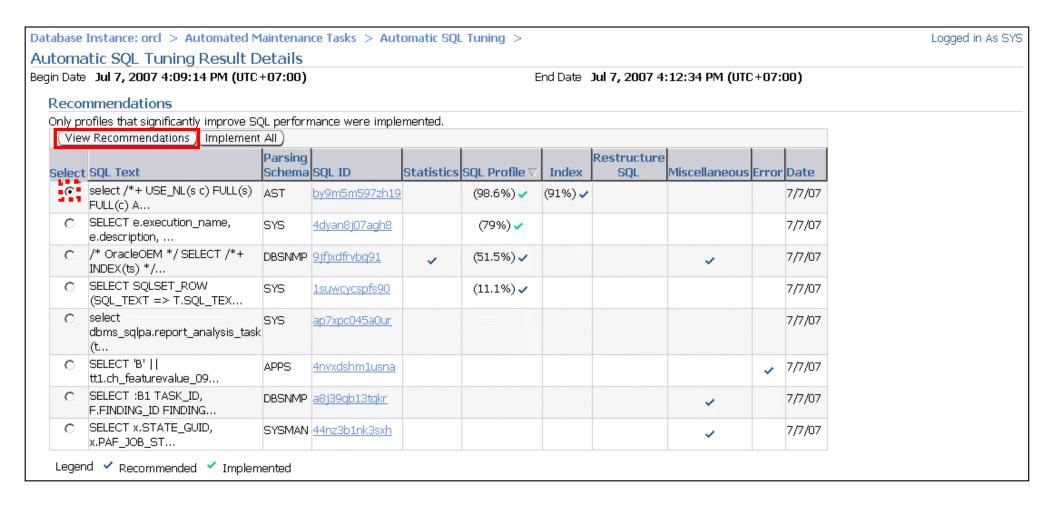
# **Configuring Automatic SQL Tuning**



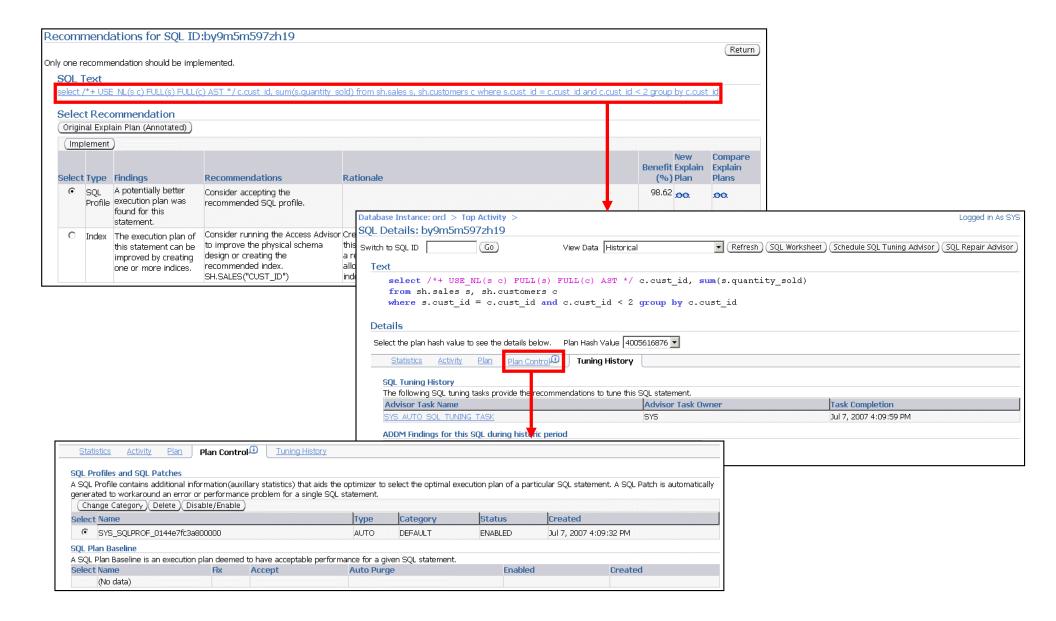
## **Automatic SQL Tuning: Result Summary**



## **Automatic SQL Tuning: Result Details**



## Automatic SQL Tuning Result Details: Drilldown



## **Automatic SQL Tuning Considerations**

- SQL not considered for Automatic SQL Tuning:
  - Ad hoc or rarely repeated SQL
  - Parallel queries
  - Long-running queries after profiling
  - Recursive SQL statements
  - DML and DDL
- These statements can still be manually tuned by using SQL Tuning Advisor.

### **Summary**

In this lesson, you should have learned the following:

- Statement profiling
- SQL Tuning Advisor
- SQL Access Advisor
- Automatic SQL Tuning

#### **Practice 11: Overview**

This practice covers the following topics:

- Using ADDM and SQL Tuning Advisor to tune your SQL statements
- Using SQL Access Advisor to change your schema
- Using Automatic SQL Tuning to tune your statements