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# *Mobile Communications*

## *Wireless Transmission*

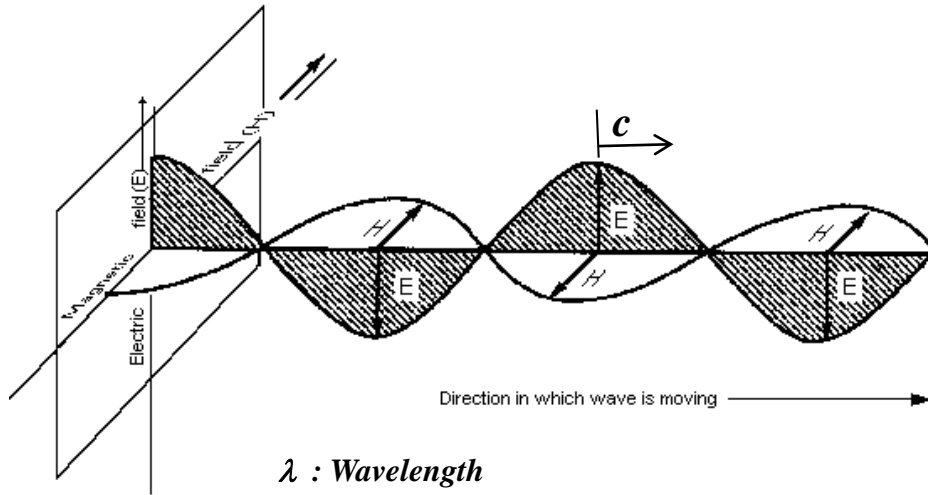
*Path Loss, Shadowing, Multipath, Capacity*

*Manuel P. Ricardo*

*Faculdade de Engenharia da Universidade do Porto*

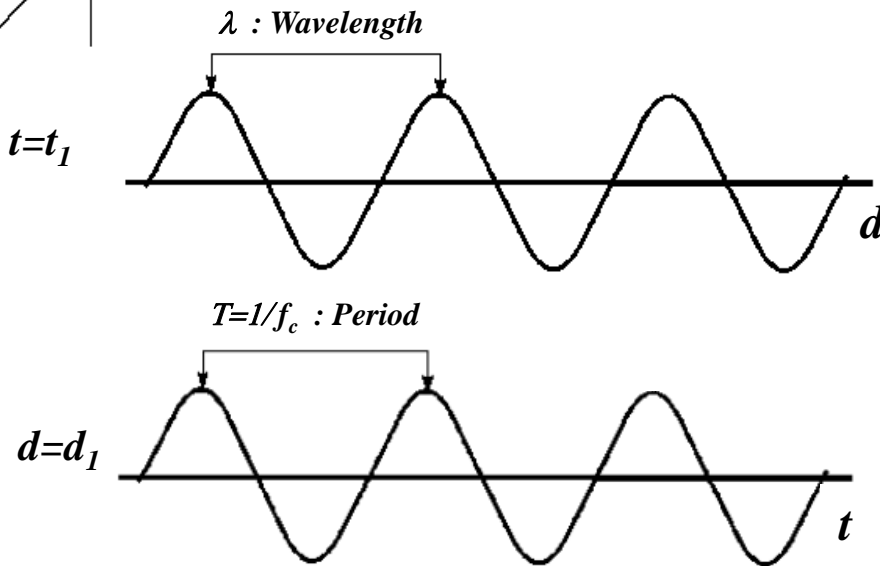
- 
- ◆ *How does an EM wave propagate in a wireless channel?*
  - ◆ *What is antenna and its gain?*
  - ◆ *What is shadowing, reflection, refraction, scattering, and diffraction?*
  - ◆ *What is path loss? How to model it?*
  - ◆ *How to model shadowing?*
  - ◆ *What is multipath? How to model it?*
  - ◆ *What is the maximum theoretical capacity of a wireless channel?*

# Electromagnetic Wave



$$\lambda = c/f$$

$c = 3 \times 10^8 \text{ m/s}$ , speed of light



- $f_c = 300 \text{ MHz} \rightarrow \lambda = 1 \text{ m}$
- $f_c = 1 \text{ GHz} \rightarrow \lambda = 30 \text{ cm}$
- $f_c = 3 \text{ GHz} \rightarrow \lambda = 10 \text{ cm}$
- $f_c = 30 \text{ GHz} \rightarrow \lambda = 10 \text{ mm}$
- $f_c = 300 \text{ GHz} \rightarrow \lambda = 1 \text{ mm}$

# *Frequencies for Radio Transmission*

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## Frequency bands defined by ITU-R *Radio Regulations*

$$band_i \in [0.3 \times 10^i Hz, 3 \times 10^i Hz[.$$

VLF	Very Low Frequency
LF	Low Frequency
MF	Medium Frequency
HF	High Frequency
VHF	Very High Frequency
<b>UHF</b>	<b>Ultra High Frequency</b>
<b>SHF</b>	<b>Super High Frequency</b>
EHF	Extremely High Frequency

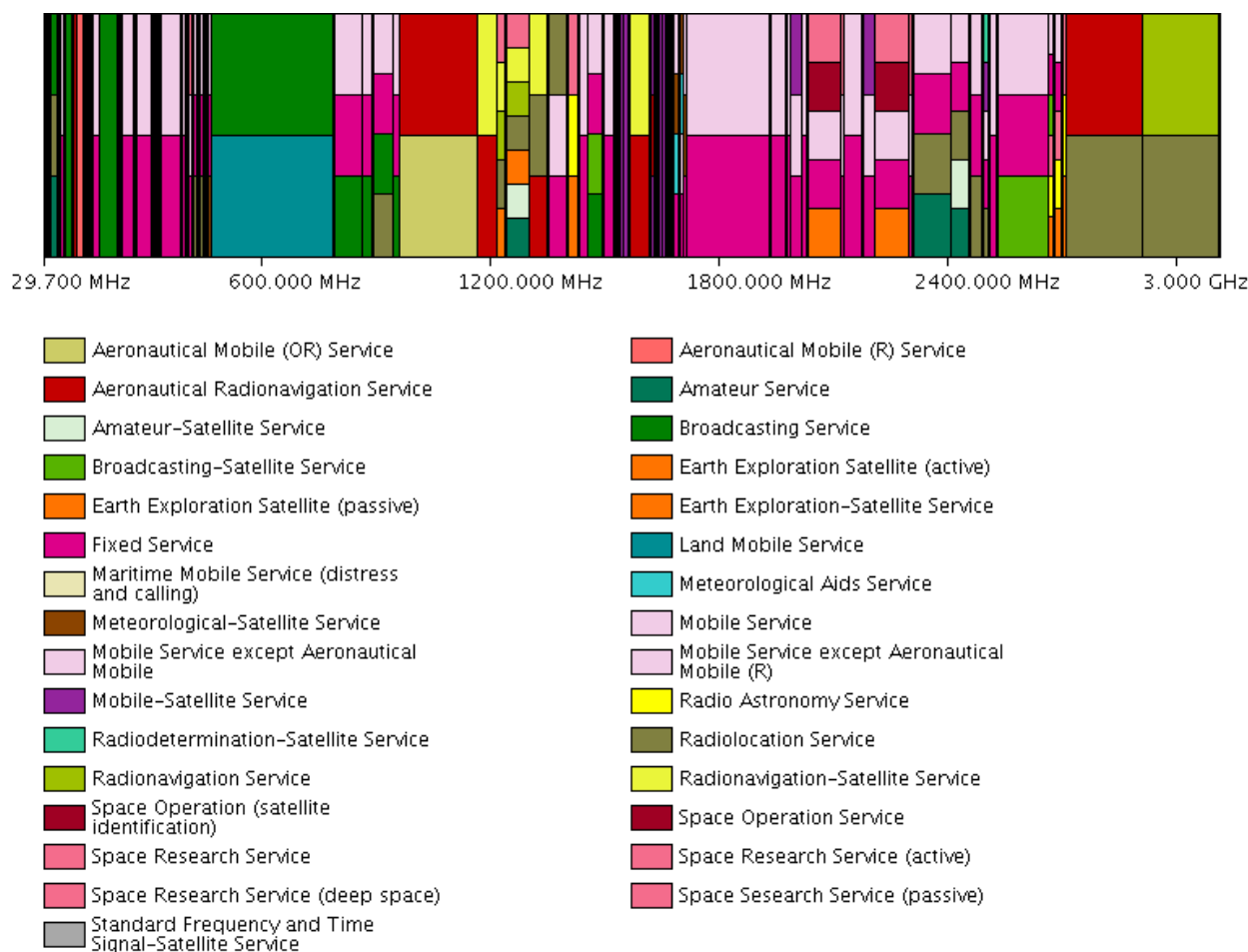
Band Number	Symbol	Frequency Range
4	VLF	3-30 kHz
5	LF	30-300 kHz
6	MF	3000-3000 kHz
7	HF	3-30 MHz
8	VHF	30-300 MHz
9	UHF	300-3000 MHz
10	SHF	3-30 GHz
11	EHF	30-300 GHz
12		300-3000 GHz

$$\begin{aligned}f_c = 300 \text{ MHz} &\rightarrow \lambda = 1 \text{ m} \\f_c = 3 \text{ GHz} &\rightarrow \lambda = 10 \text{ cm} \\f_c = 30 \text{ GHz} &\rightarrow \lambda = 10 \text{ mm} \\f_c = 300 \text{ GHz} &\rightarrow \lambda = 1 \text{ mm}\end{aligned}$$

# *Frequency Allocation to Radio Services in Portugal*

ANACOM manages the electromagnetic spectrum, in Portugal

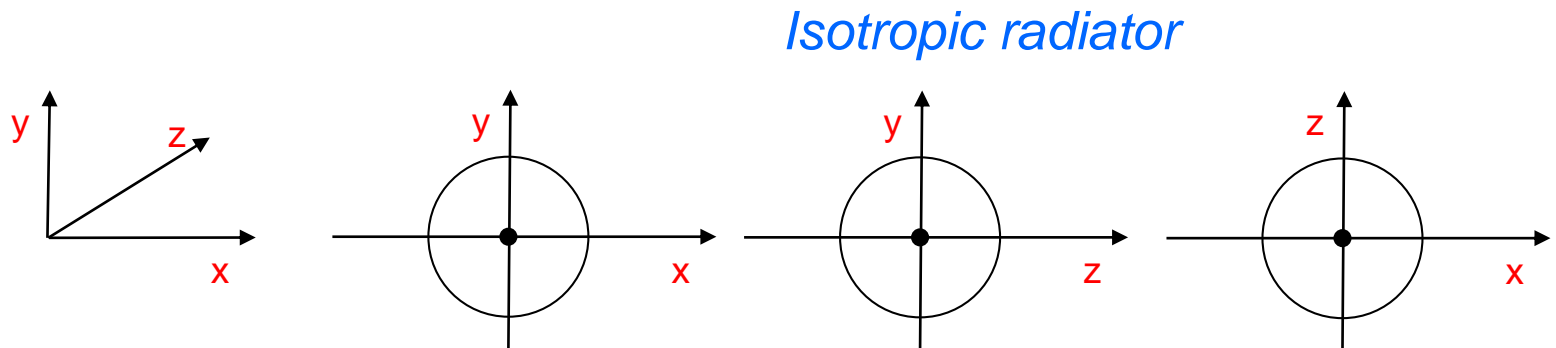
[www.anacom.pt](http://www.anacom.pt) , Quadro Nacional de Atribuição de Frequências (QNAF)



# *Antenna – The Isotropic Radiator*

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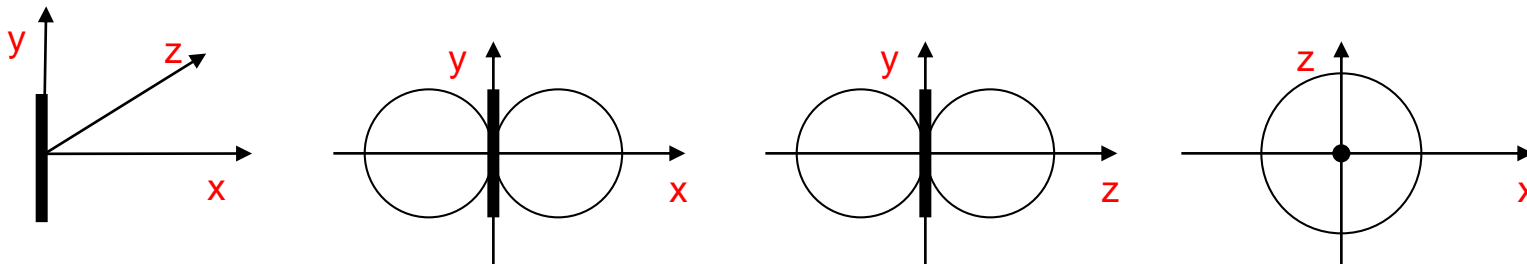
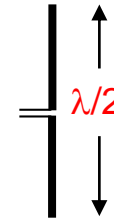
- ♦ Antenna
  - couples wires to space, for electromagnetic wave transmission or reception
- ♦ Radiation pattern
  - pattern of electromagnetic radiation around an antenna
- ♦ Isotropic radiator
  - » equal radiation in 3 directions ( $\mathbf{x}$ ,  $\mathbf{y}$ ,  $\mathbf{z}$ )
  - » **theoretical reference** antenna



# *Antennas - Simple Dipoles*

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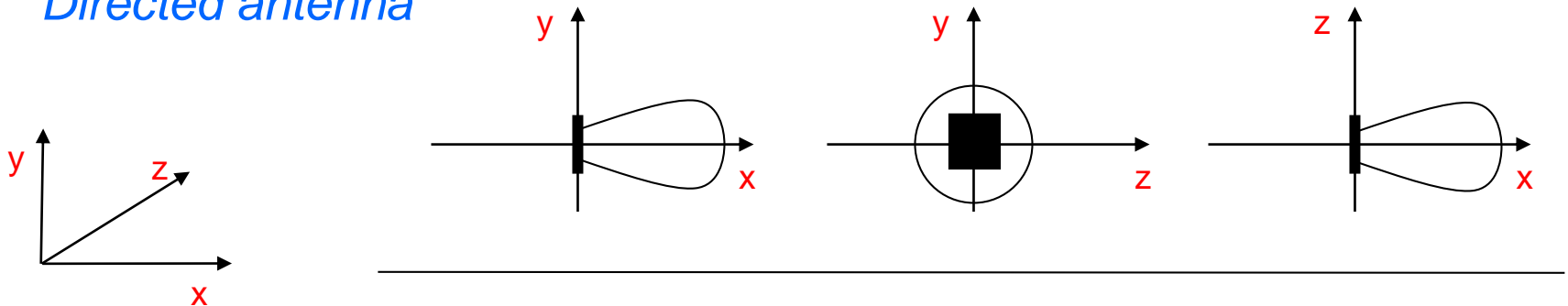
- ♦ Real antennas **are not** isotropic radiators
- ♦ Simple antenna dipole
  - » Length  $\lambda/2 \rightarrow$  Hertzian dipole
- ♦ Shape (length) of antenna is proportional to  $\lambda$
- ♦ Radiation pattern of a simple Hertzian dipole



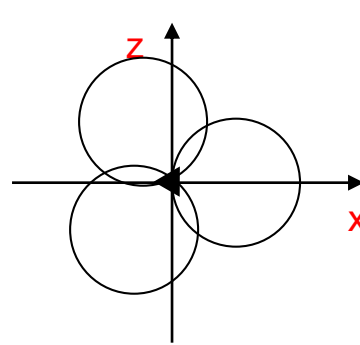
# Antennas - Directed and Sectorized

Used for microwave connections or base stations for mobile phones

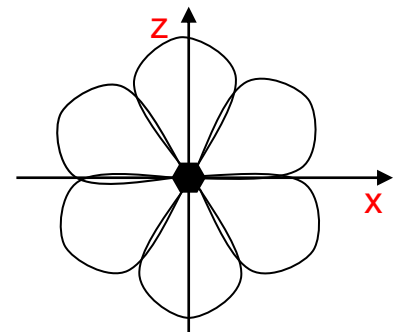
*Directed antenna*



*Sectorized antenna*



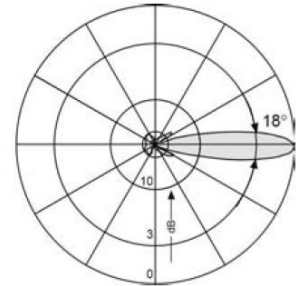
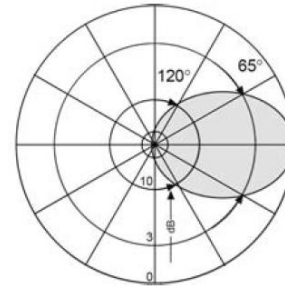
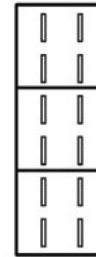
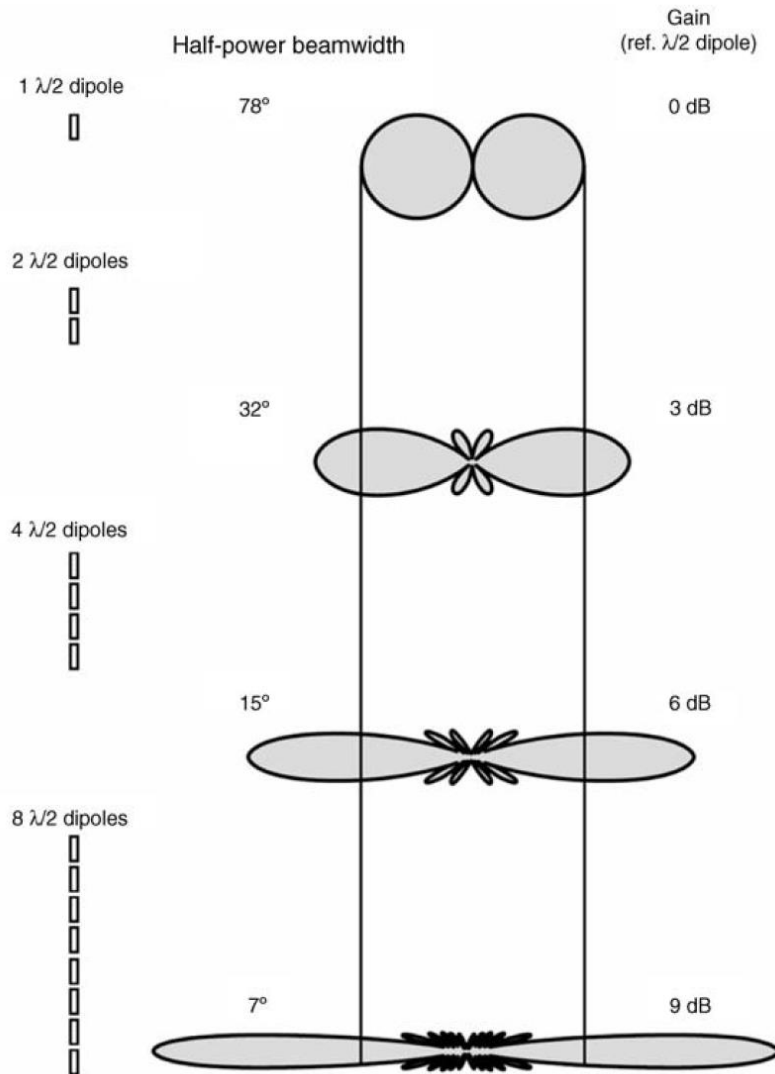
top view, 3 sector



top view, 6 sector



# More on Antennas...



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How does the power of a received signal depend  
on the distance to the transmitter and  
on the wavelength ( $\lambda$ )?

Why?

# Antenna Gain, EIRP

- ♦ Antenna Gain,  $G = \frac{P_{main\_lobe}}{P_{iso}}$

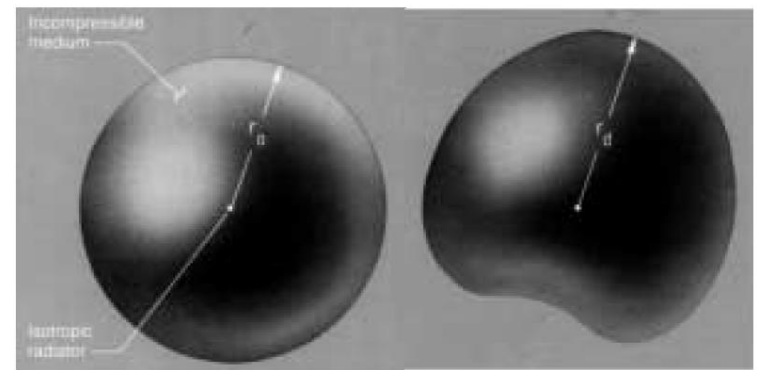
- » maximum power in direction of the main lobe ( $P_{main\_lobe}$ ), compared to power of an isotropic radiator ( $P_{iso}$ ) transmitting the same average power
- » *similar to an incompressible balloon*

- ♦  $A_e$  – Antenna aperture (area of antenna),  $A_e = G \frac{\lambda^2}{4\pi} [m^2]$

- » Depends on physical antenna characteristics
- » The longer the wavelength  $\lambda$ , the larger  $A_e$

- ♦ **Effective Isotropic Radiate Power (EIRP)**

- »  $EIRP = P_t G_t$
- » Maximum radiated power in the direction of maximum antenna gain



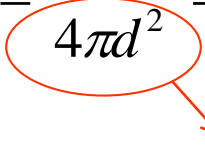
a) Symmetric radiation pattern of an isotropic radiator.

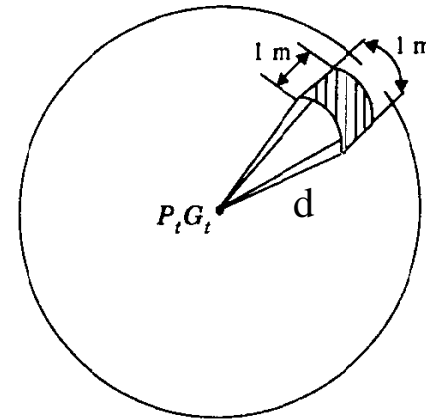
b) Directive radiation pattern.

## Received Power at Distance $d$ - $P_r(d)$

- ◆ Power flow density  $P_d$  (W/m<sup>2</sup>)

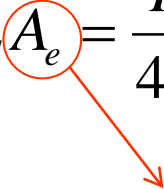
$$P_d = \frac{EIRP}{4\pi d^2} = \frac{P_t G_t}{4\pi d^2} \quad \left[ \frac{\text{W}}{\text{m}^2} \right]$$

 sphere's area



- ◆ Received Power at distance  $d$ ,  $P_r(d)$

$$P_r(d) = P_d A_e = \frac{P_t G_t}{4\pi d^2} \frac{G_r \lambda^2}{4\pi} = G_t G_r \left( \frac{\lambda}{4\pi d} \right)^2 P_t \quad [\text{W}]$$

 Aperture (area) of **receiving** antenna

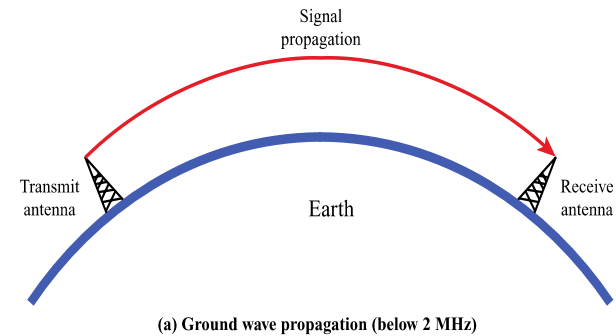
» Also known as the **Friis transmission equation**

# *Propagation Modes*

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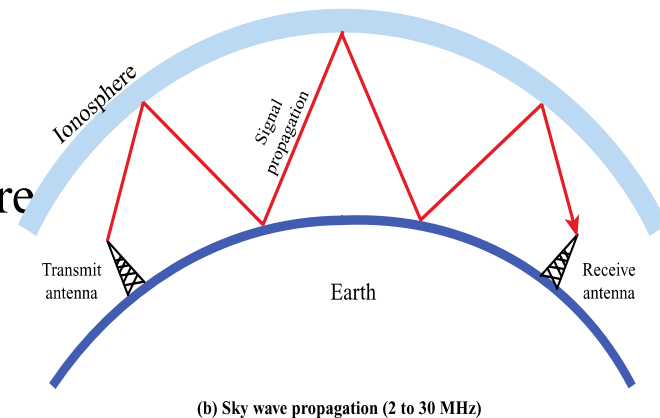
- ◆ Ground-wave propagation

- » Follows contour of the earth
- » Can propagate considerable distances
- » Frequencies up to 2 MHz



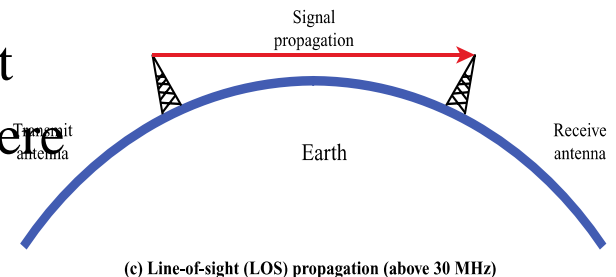
- ◆ Sky-wave propagation

- » Signal reflected from ionized layer of atmosphere
- » Frequencies between 2 MHz and 30 MHz



- ◆ Line-of-sight propagation

- » Transmitting/receiving antennas in line of sight
- » Signal above 30 MHz not reflected by ionosphere



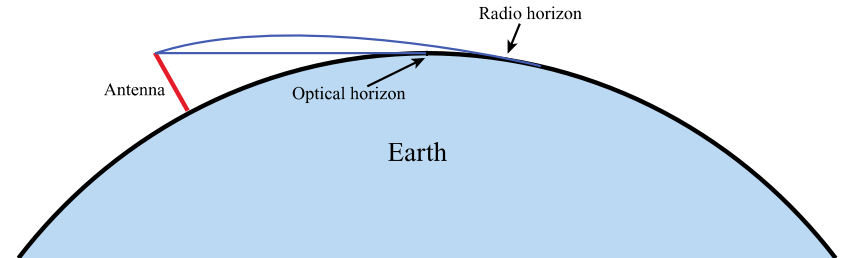
# *Radio Horizon*

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- ◆ Maximum distance between two antennas for LOS propagation
  - » Considering earth curvature and atmosphere refraction

$$d = 3570 * \left( \sqrt{Kh_1} + \sqrt{Kh_2} \right)$$

- $h_1$  = height of first antenna (m)
- $h_2$  = height of second antenna (m)
- $d$  = distance (m)
- $K = 4/3$



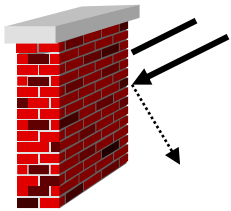
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What other factors,  
besides frequency and distance,  
may affect the **power received** by a mobile phone?

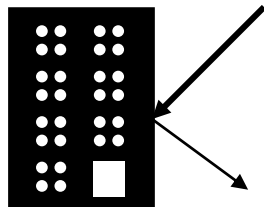
# *Signal Propagation – Key Concepts*

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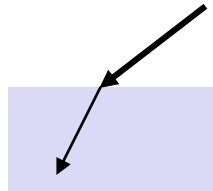
- ♦ Propagation often modeled as rays (light)
- ♦ Line-of-Sight (LOS) – direct ray the receiver gets from transmitter
- ♦ Relevant concepts
  - » **Shadowing**, **Reflection** → caused by objects much larger than the wavelength
  - » **Refraction** → caused by different media densities
  - » **Scattering** → caused by surfaces in the order of wavelengths
  - » **Diffraction** → similar to scattering; deflection at the edges



shadowing



reflection



refraction



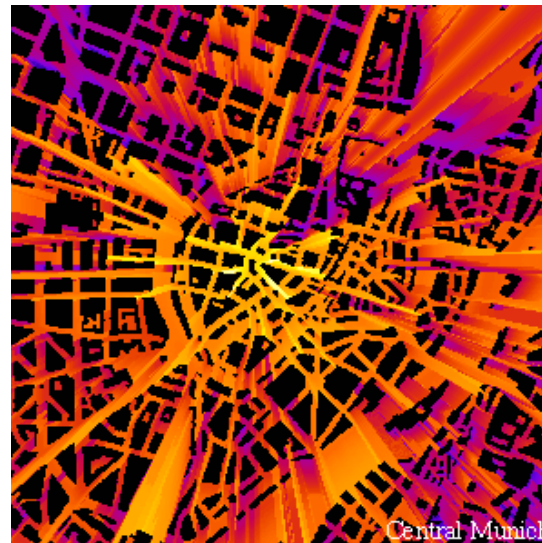
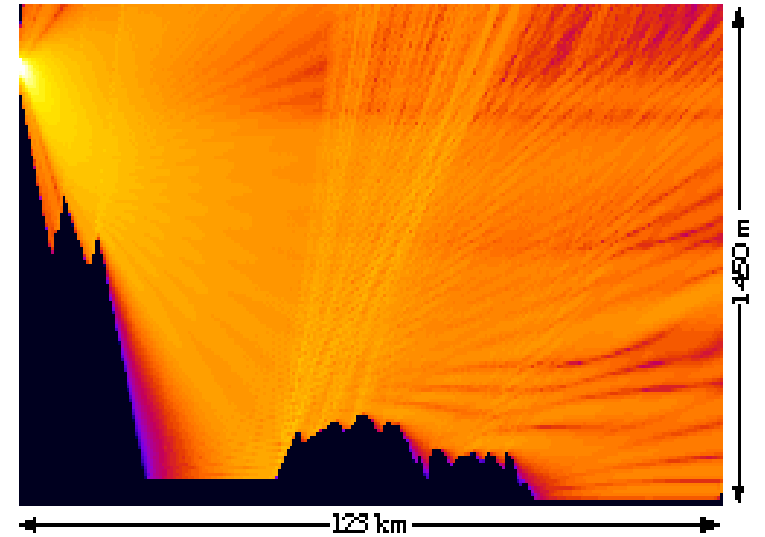
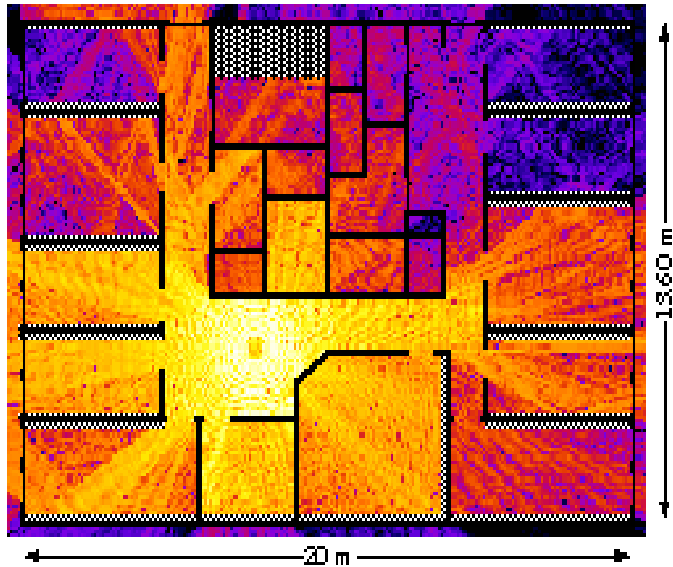
scattering



diffraction



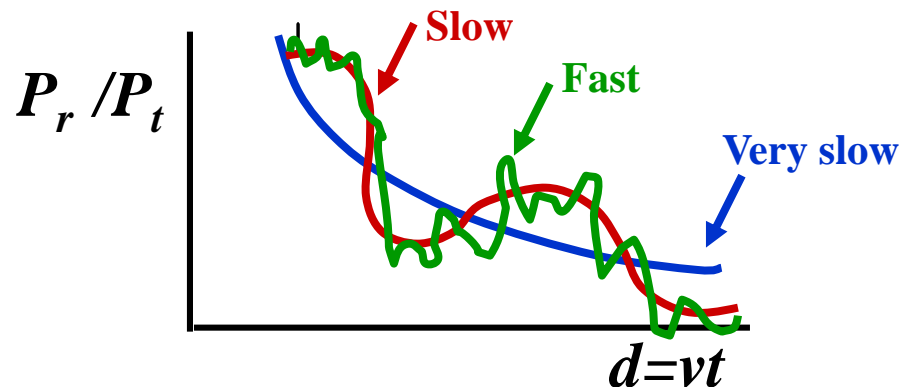
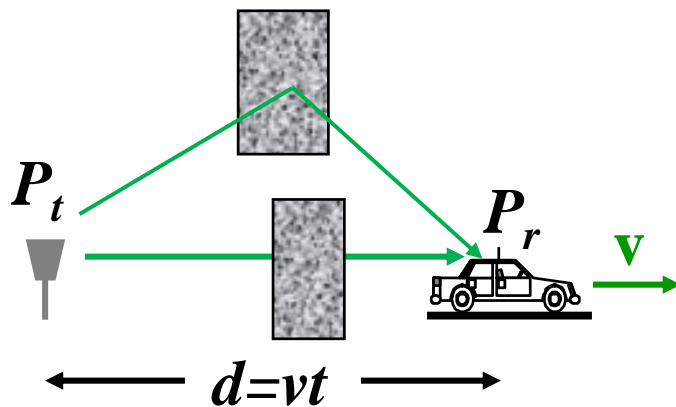
# *Real World Examples*



# Signal Propagation and Wireless Channels

Received Power can be *modelled* by 3 factors

- **Path loss**
  - Caused by **dispersion of radiated power in all directions**, as discussed previous slides
  - Depends mainly on the sender-receiver distance
- **Shadowing**
  - Caused by obstacles between the transmitter and the receiver
  - Depends on the **obstruction of large objects**
- **Multipath**
  - Constructive and destructive **addition of multiple signal components** (rays) at the receiver. **Fast fading**



# *Path Loss Models*

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- ◆ Free space path loss model (Friis)
  - Too simple; far from reality
- ◆ Two-Ray model
  - Considering 2 rays: LOS-direct and ground-reflected
- ◆ Empirical models
  - Based on measurements; do not generalize to other environments
- ◆ Simplified model
  - Good for high-level analysis

## *W, dBW, dBm, dB, Gain*

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$$P_{r_W}, \left( Power = \frac{Energy}{Time} \right), \quad 1W = \frac{1J}{1s}$$

$$P_{r_{dBW}} = 10 \cdot \log \left( \frac{P_{r_W}}{1W} \right) = 10 \cdot \log P_{r_W}$$

$$P_{r_{dBm}} = 10 \cdot \log \left( \frac{P_{r_W}}{1mW} \right) = P_{r_{dBW}} + 30dB$$

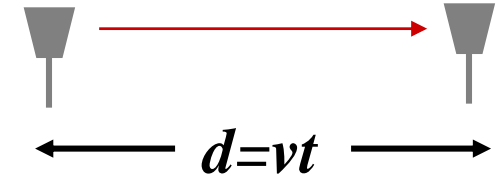
$$Gain_{dB} = 10 \cdot \log \left( \frac{P_{r_W}}{P_{s_W}} \right) = 10 \cdot \log P_{r_W} - 10 \cdot \log P_{s_W} = P_{r_{dBW}} - P_{s_{dBW}} = P_{r_{dBm}} - P_{s_{dBm}}$$

$$Loss_{dB} = Atenuation_{dB} = 10 \cdot \log \left( \frac{P_s}{P_r} \right) = P_{s_{dBW}} - P_{r_{dBW}} = P_{s_{dBm}} - P_{r_{dBm}} = -Gain_{dB}$$

$$FreeSpaceLoss_{dB} = 10 \log \left( \frac{P_t}{P_r} \right) = 10 \log \left( \frac{(4\pi d)^2}{G_t G_r \lambda^2} \right) = 20 \log(4\pi) + 20 \log d - 20 \log(\sqrt{G_t G_r}) - 20 \log \lambda$$

# Path Loss - Free Space Model (LOS, Friis)

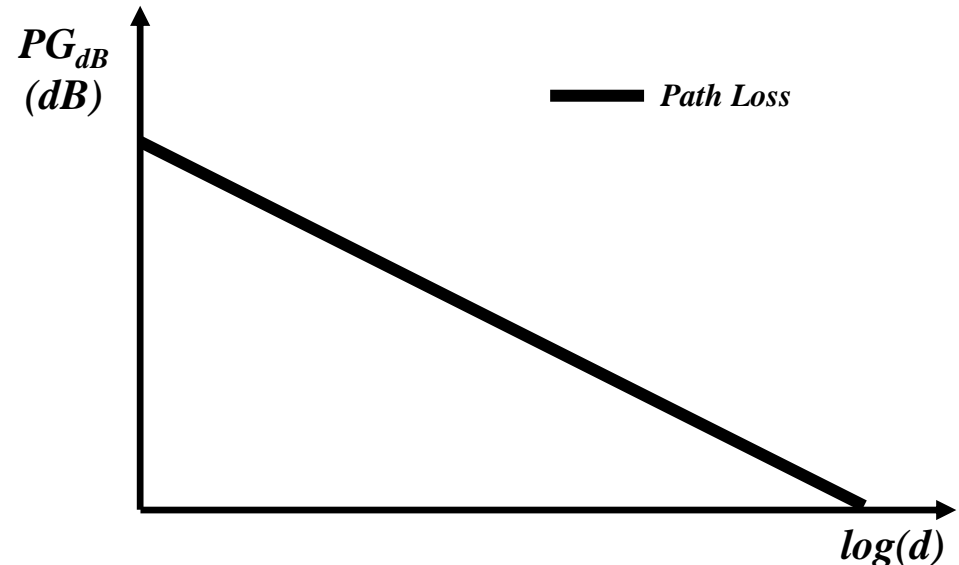
- ◆ Path loss (PL) for unobstructed LOS path
- ◆ Power falls off
  - » Proportional to  $1/d^2$
  - » Proportional to  $\lambda^2$  (inversely proportional to  $f^2$ )



$$P_r/P_s = \left[ \frac{\lambda \sqrt{G_l}}{4\pi d} \right]^2 \quad G_l = \sqrt{G_s G_r}$$

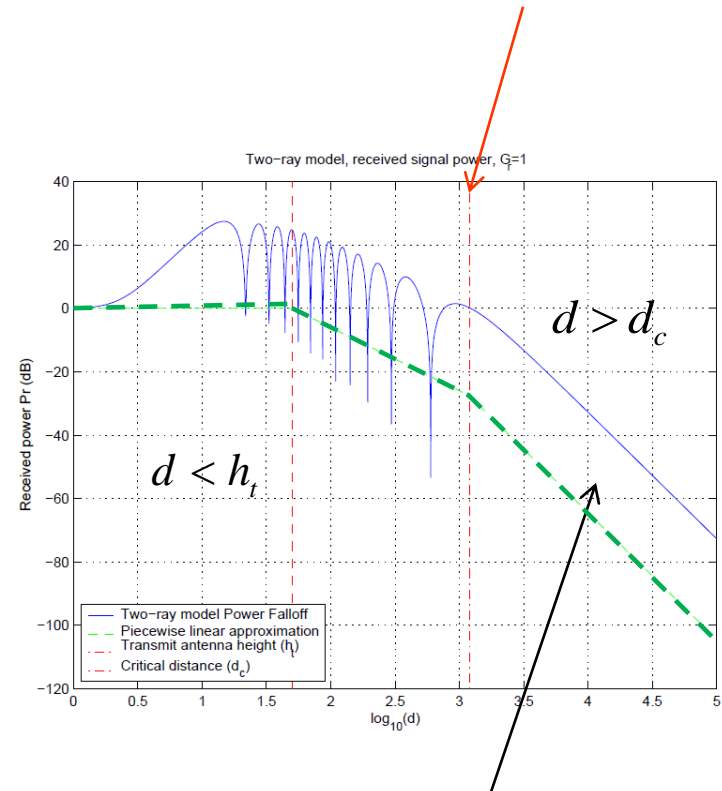
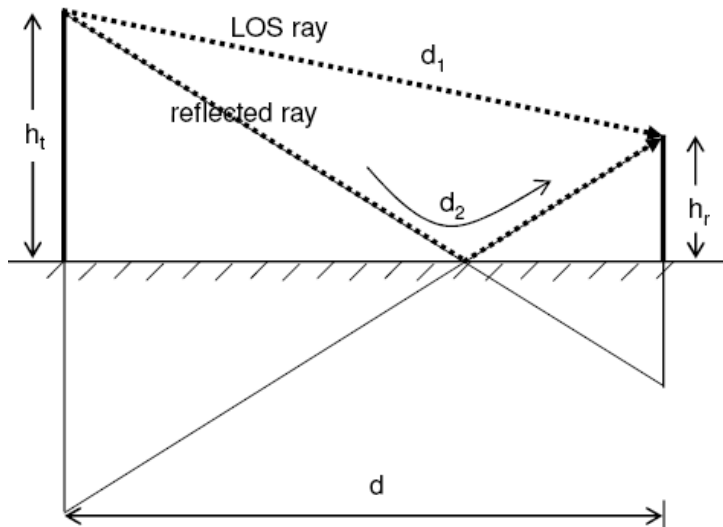
$$PG_{dB} = 10 \cdot \log\left(\frac{P_r}{P_s}\right) = 10 \cdot \log\left(\frac{\lambda \sqrt{G_l}}{4\pi d}\right)^2$$

$$PG_{dB} = 20 \cdot \log\left(\frac{\lambda \sqrt{G_l}}{4\pi}\right) - 20 \cdot \log(d)$$



# Path Loss – Two-Ray Model

- ◆ One LOS ray + one ray reflected by ground
- ◆ Ground ray cancels LOS path above critical distance  $d_c = 4h_t h_r / \lambda$
- ◆ Power falls off
  - » Proportional to  $d^2$  ( $h_t < d < d_c$ )
  - » Proportional to  $d^4$  ( $d > d_c$ )



$$P_r \text{ dBm} = P_t \text{ dBm} + 10 \log_{10}(G_t) + 20 \log_{10}(h_t h_r) - 40 \log_{10}(d)$$

# *Path Loss – Empirical Models*

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- ◆ Okumura model
  - » Empirically based (site/freq specific); 150-1500 MHz, Tokyo
  - » Empirical plots
- ◆ Hata model
  - Analytical approximation to Okumura model
- ◆ Cost 231 Model
  - Extension Hata model to higher frequency ( $1.5 \text{ GHz} < f_c < 2 \text{ GHz}$  )
- ◆ Walfish/Bertoni
  - Extends Cost 231 include diffraction from rooftops
- ◆ There are others ...

## *Path Loss - Simplified Model*

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- ◆ Used when path loss is dominated by reflections

$$P_r = P_s K \left( \frac{d_0}{d} \right)^\gamma, \quad 2 \leq \gamma \leq 8$$

$$P_{r_{dBm}} = P_{s_{dBm}} + K_{dB} - 10 \gamma \log \left[ \frac{d}{d_0} \right]$$

$$d_0 \approx 10\lambda$$

Environment	$\gamma$
Urban macrocells	3.7 - 6.5
Urban microcells	2.7 - 3.5
Office building	1.6 - 3.5
Store	1.8 - 2.2
Factory	1.6 - 3.3
Home	3

- ◆ **K**

» determined by measurement of power at  $d = d_0 \Rightarrow K_{dB} = P_{r_{dBm}} - P_{s_{dBm}}$

» Or assuming Friis for  $d = d_0 \Rightarrow K_{dB} = 10 \log \left[ \frac{\lambda}{4\pi d_0} \right]^2$

- ◆ Path loss exponent  $\gamma$  is determined empirically



## *Path Loss – Indoor Factors*

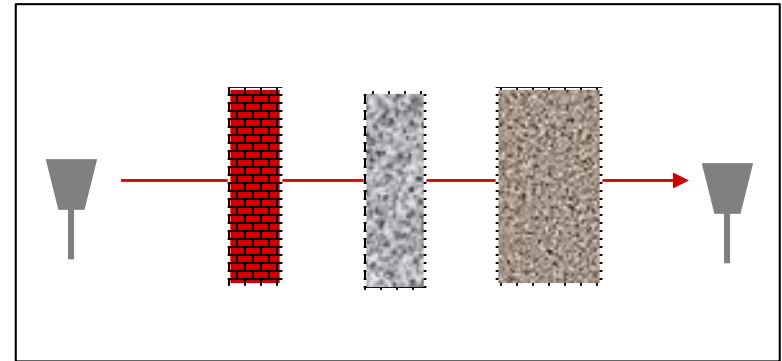
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- ◆ Walls, floors, layout of rooms, location and type of objects
  - » Increase the path loss with **deterministic** (well-known) values
  - » These losses introduced **must be added** to the free space losses

Partition	Loss (dB)
hollow brick	8
concrete wall	13
aluminum siding	20
window	6
floor	10

# Shadowing

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- ♦ Models attenuation introduced by obstructions
- ♦ Random due to random number and type of obstructions  $\rightarrow \psi$

$$\left(\frac{P_r}{P_s}\right)_{dB} = 10 \log K - 10\gamma \log \frac{d}{d_0} - \psi_{dB}$$

where  $\psi_{dB}$  is a Gaussian distributed random variable

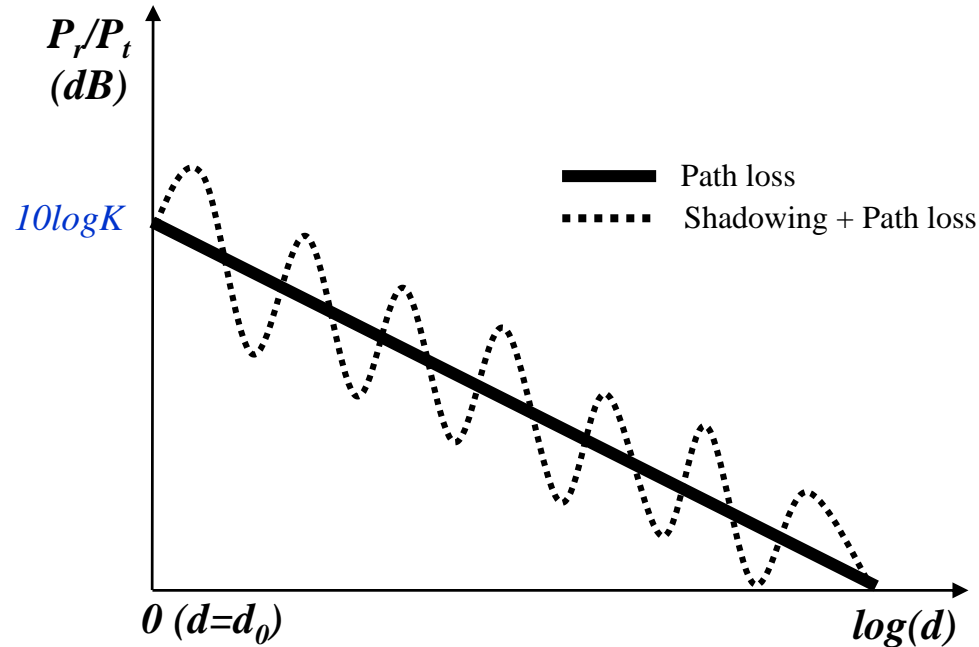
characterized by  $\mu_{\psi_{dB}} = 0$  and  $\sigma_{\psi_{dB}}$

# Combined Path Loss and Shadowing

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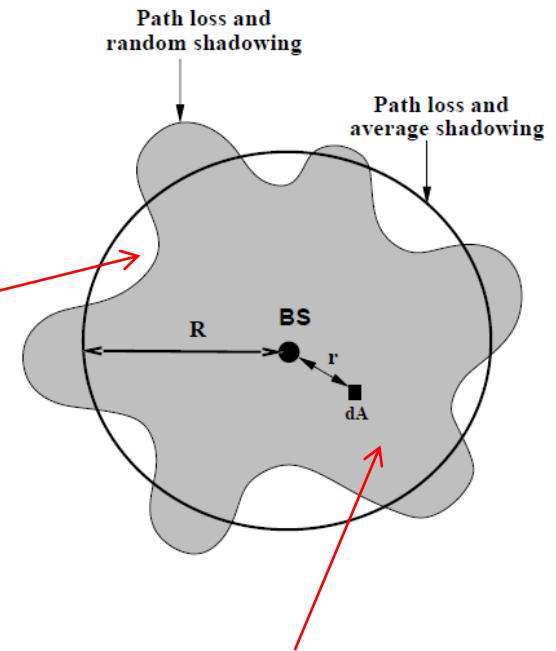
$$\frac{P_r}{P_s} (dB) = 10 \log_{10} K - 10\gamma \log_{10} \left( \frac{d}{d_0} \right) - \psi_{dB},$$

$$\psi_{dB} \sim N(0, \sigma_{\psi}^2)$$



# Outage Probability and Cell Coverage Area

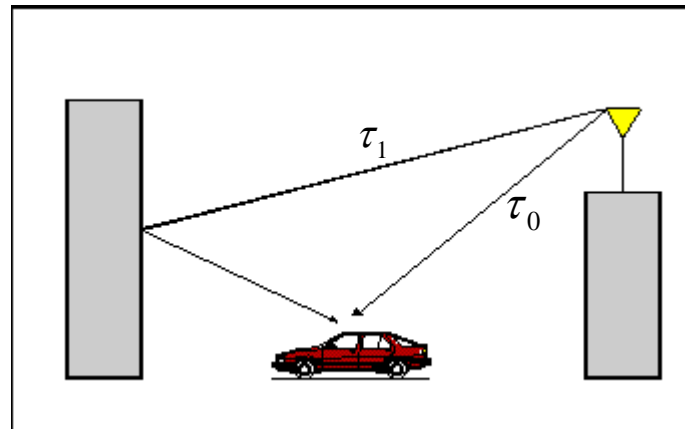
- ◆ Path loss model → circular cells
- ◆ Path loss + shadowing → amoeba cells
- ◆ Outage probability
  - » Probability received power below given minimum
  - » *Assuming a circular cell*
- ◆ Cell coverage area → % of cell locations above a minimum power
  - » Increases as shadowing variance ( $\sigma_\psi$ ) decreases



# Multipath

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- ♦ Multipath → multiple rays received
  - » **multiple delays** from transmitter to receiver →  $\tau_i$
  - » time delay spread  $T_m = \max_n |\tau_n - \tau_0|$
- ♦ Multipath channel has a time-varying gain, caused by
  - » transmitter / receiver movements
  - » location of reflectors which originate the multipaths



# *Transmit and Receive Signal Models*

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- ♦ Transmitted signal modeled as

$$\begin{aligned} s(t) &= \Re \left\{ u(t) e^{j2\pi f_c t} \right\} \\ &= \Re \{ u(t) \} \cos(2\pi f_c t) - \Im \{ u(t) \} \sin(2\pi f_c t) \\ &= s_I(t) \cos(2\pi f_c t) - s_Q(t) \sin(2\pi f_c t) \end{aligned}$$

- ♦ The received signal

$$r(t) = \Re \left\{ v(t) e^{j2\pi f_c t} \right\},$$

- ♦ If  $s(t)$  is transmitted through a time-invariant channel  $c$  then

$$v(t) = u(t) * c(t), \quad V(f) = H_l(f) U(f).$$

where

- »  $c(t) = h_l(t)$  is the equivalent lowpass impulse response of the channel
- »  $H_l(f)$  is the equivalent lowpass frequency response of the channel

# Doppler Shift

- ◆ The received signal may have a phase Doppler shift  $\phi_D$

$$\phi_D = 2\pi \frac{\Delta d}{\lambda}$$

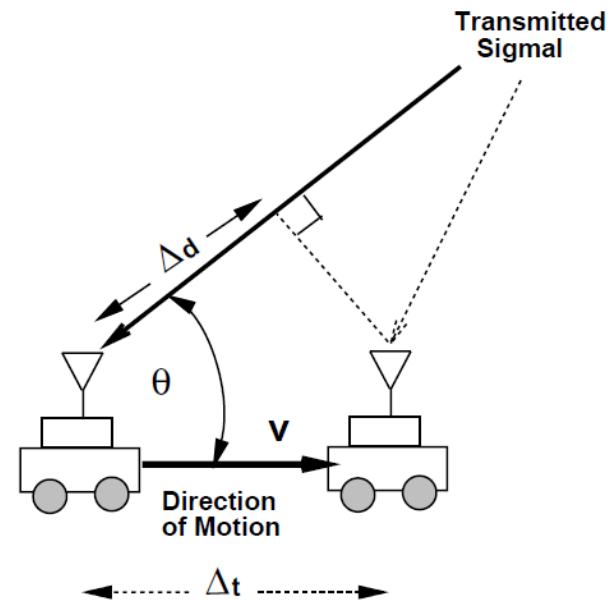
$$\Delta d = v \Delta t \cos \theta$$

$$\phi_D = 2\pi \frac{v \Delta t \cos \theta}{\lambda}$$

- ◆ Doppler frequency,  $f_D$

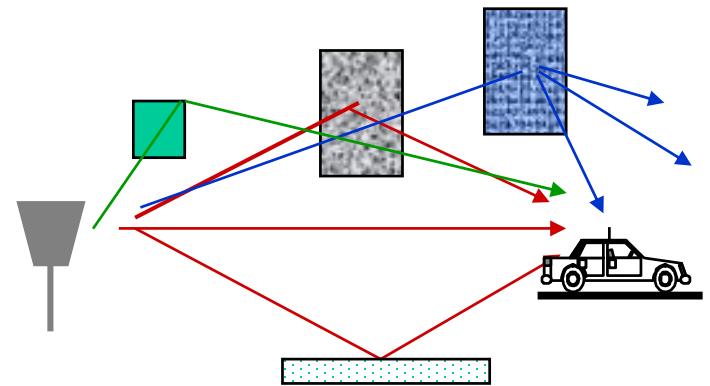
$$\phi_D = 2\pi f_D \Delta t$$

$$f_D = \frac{1}{2\pi} \frac{\phi_D}{\Delta t} = \frac{v \cos \theta}{\lambda}$$



# Multipath Model

- ♦ Random number  $N(t)$  of multipath components received, each with
  - » random amplitude,  $\alpha_n(t)u(\cdot)$
  - » random delay,  $\tau_n(t)$
  - » random phase,  $2\pi f_c \tau_n(t)$
  - » random Doppler shift,  $\phi_{Dn}$



- ♦ Received signal

$$r(t) = \Re \left\{ \left[ \sum_{n=0}^{\boxed{N(t)}} \alpha_n(t) e^{-j\underline{\phi_n(t)}} u(t - \underline{\tau_n(t)}) \right] e^{j2\pi f_c t} \right\} \quad \phi_n(t) = 2\pi f_c \underline{\tau_n(t)} - \underline{\phi_{Dn}}$$

- ♦ Leads to channel characterized by **time-varying impulse response**



# Multipath – Narrowband Channel

- ◆ In a narrowband channel

**low** B (Hz) → **low** symbol rate (B symbol/s) → **large** time/symbol (1/B)

→ multipath components arrive in the time interval of their symbol

$$T_m = \max_n |\tau_n - \tau_0|$$

$$T_m \ll B^{-1}$$

- ◆ In this case we may assume  $u(t - \tau_i) \approx u(t)$  (same symbol)

- ◆ Received signal given by 
$$r(t) = \Re \left\{ u(t) e^{j2\pi f_c t} \left[ \sum_{n=0}^{N(t)} \alpha_n(t) e^{-j\phi_n(t)} \right] \right\}$$

» No spreading in time (no distortion)

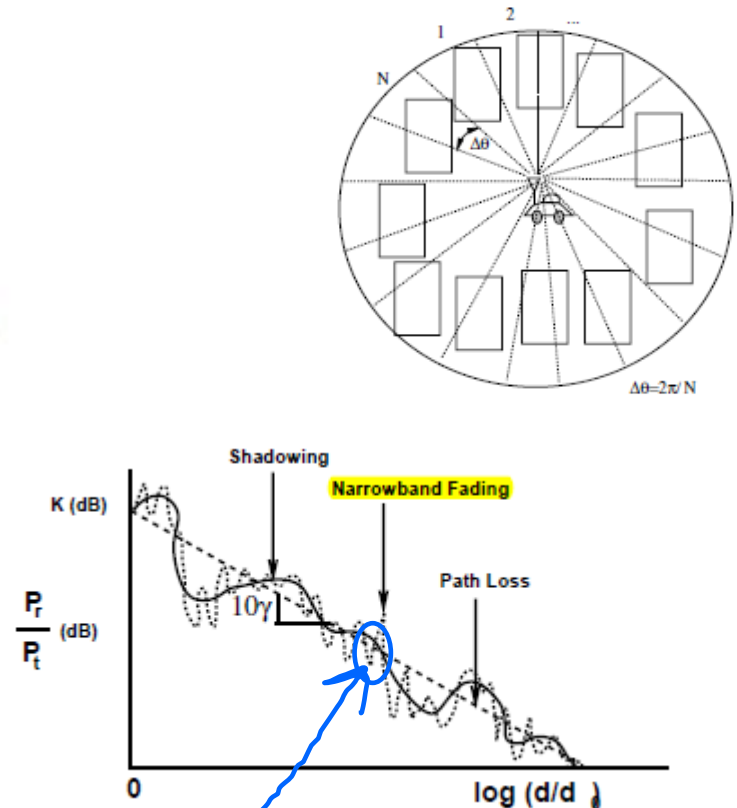
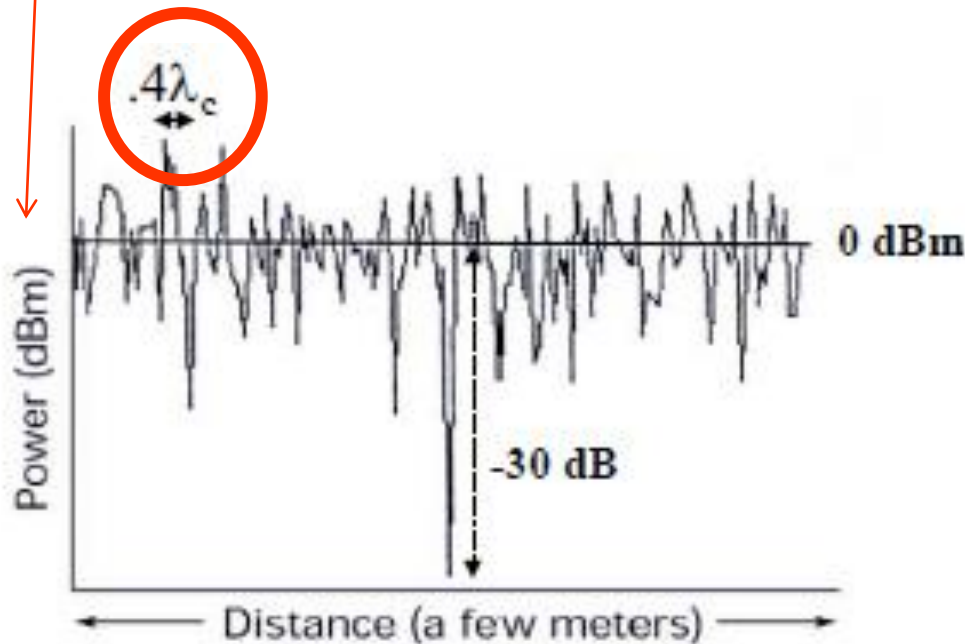
» Multipath affects complex scale factor in brackets

$$\phi_n(t) = 2\pi f_c \tau_n(t) - \phi_{D_n}$$

» Doppler effect (velocity) may be important

# Multipath – Narrowband Channel

- ◆ Let us assume an Uniform Angle of arrival in  $[0, 2\pi]$
- ◆ Power received, considering **no path loss nor shadowing**



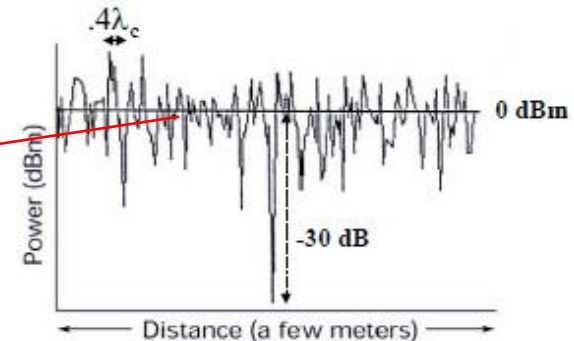
# Multipath, Narrowband Channel – Rayleigh Fading

- ◆ If there is no Line-of-Sight (LOS) component

- » **Power received** (in **Watts**) may be modeled by an **exponential probability density function**

$$p_{Z^2}(x) = \frac{1}{P_r} e^{-x/P_r}$$

← statistical characterization  
of a signal like this



- » The random variable  $Z$  is the  $P_{received}$
- »  $P_r$  – **average** received power (path loss + shadowing)

- ◆ If there is LOS

- » Power received may be modelled by a Ricean distribution

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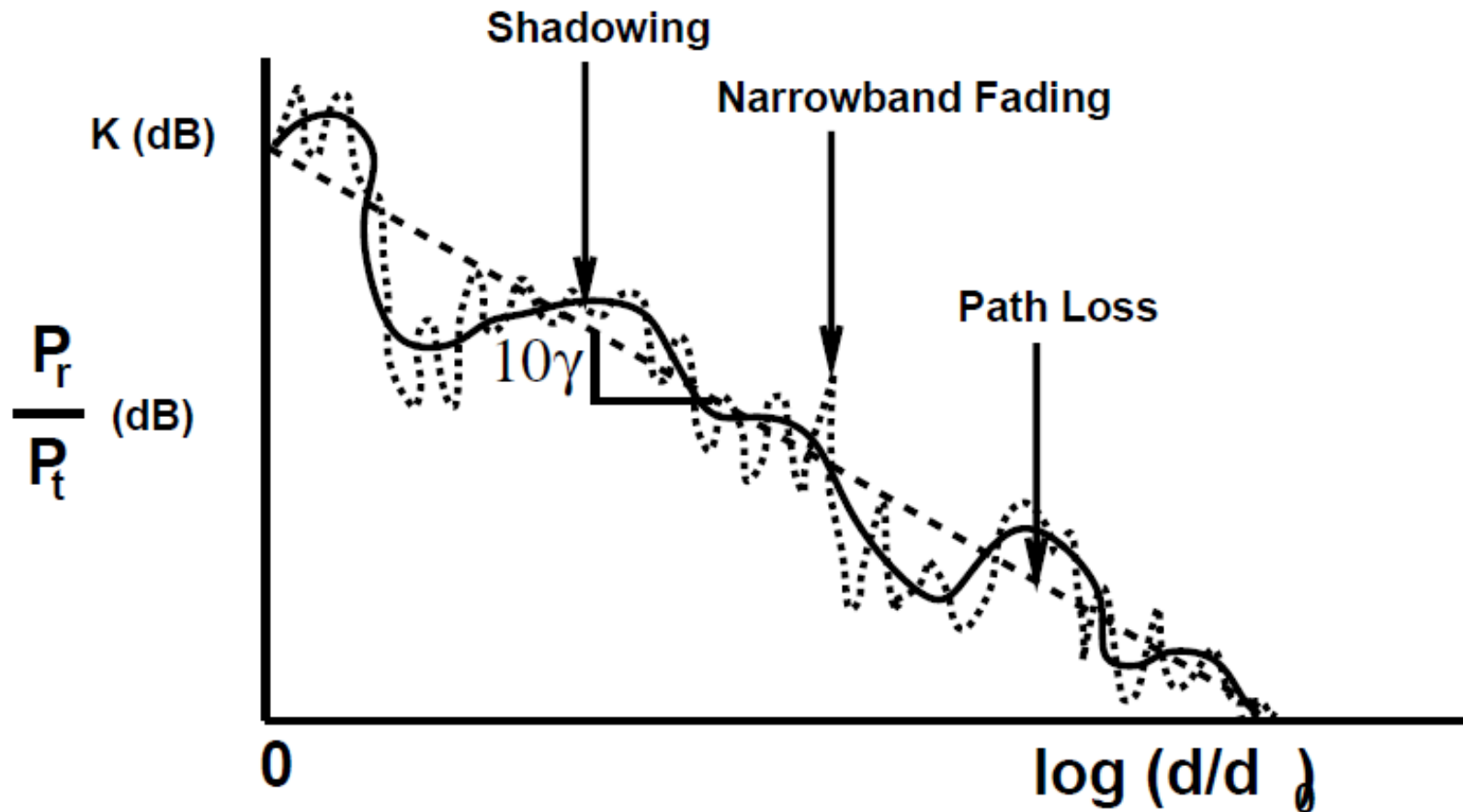
Suppose you are the receiver.

What information does this exponential distribution provide to you?

$$p_{Z^2}(x) = \frac{1}{P_r} e^{-x/P_r}$$

# *Multipath + Shadowing + Path Loss*

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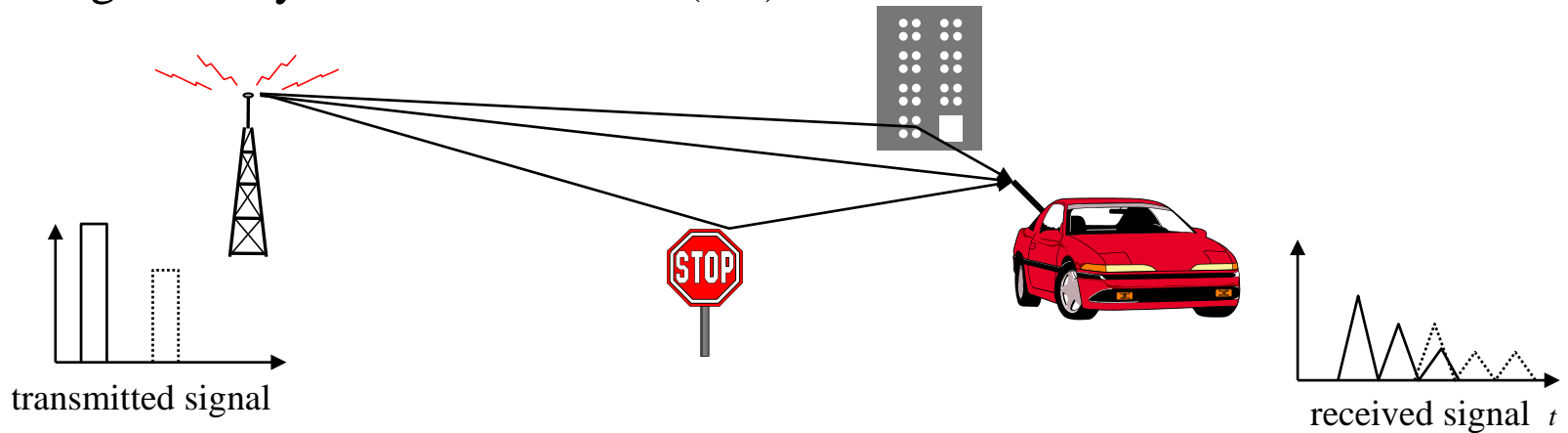


# Multipath – Wideband Channel

$$T_m = \max_n |\tau_n - \tau_0|, \quad T_m \gg B^{-1}$$

- ◆ Multipath components

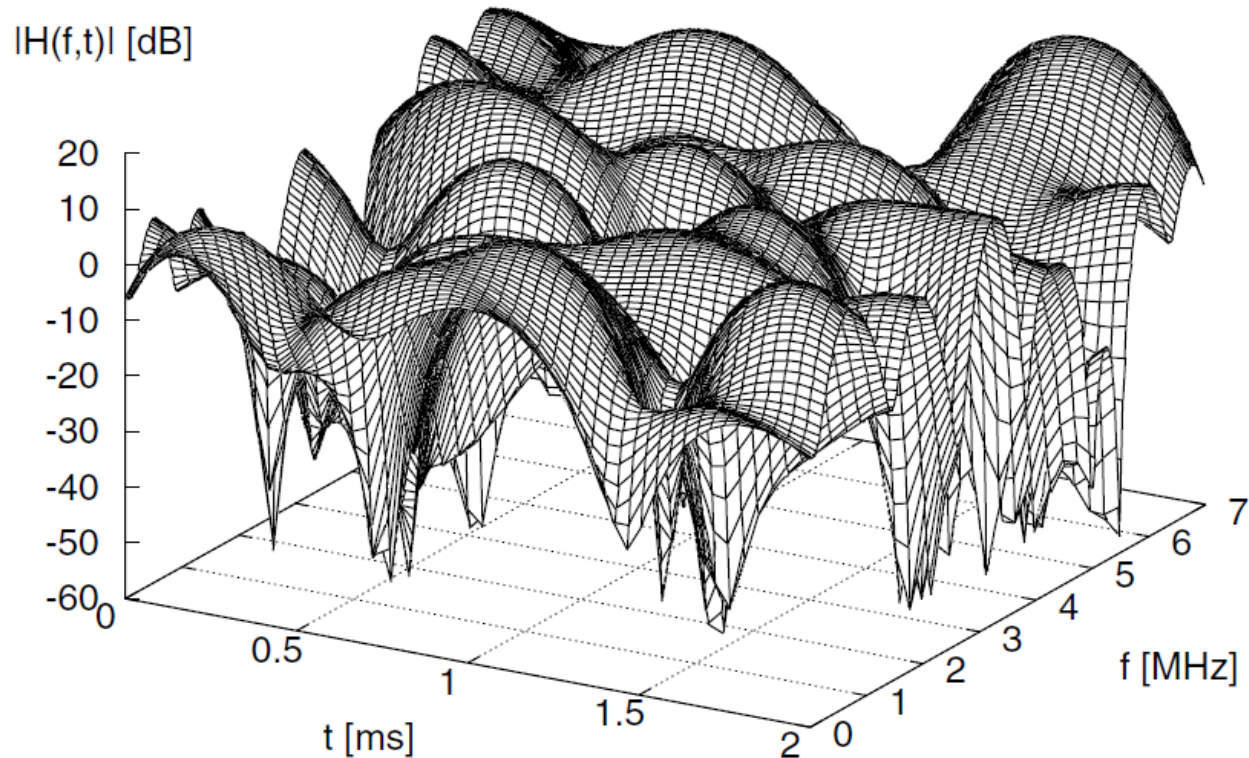
- » may arrive at the receiver within the time period of the next symbol
- » causing Inter-Symbol Interference (ISI)



- ◆ Techniques used to mitigate ISI

- » multicarrier modulation - OFDM
- » spread spectrum - CDMA

# *Gain in a Wideband Channel caused by Fading*



- ◆  $H(f)$  is the channel gain ( $P_r/P_t$ )
- ◆ Time and frequency variable gain

# Coherence Time, Coherence Bandwidth

♦ **Coherence time**,  $T_c \approx \frac{1}{2\pi f_D}$

» **Time** interval during which the wireless channel roughly has a constant gain

–  $f_D$  – Doppler frequency

–  $v = 5 \text{ km/h} \rightarrow T_c = 34 \text{ ms}$  (considering  $f_c = 1 \text{ GHz}$ )

–  $v = 300 \text{ km/h} \rightarrow T_c = 0.57 \text{ ms}$  ( $f_c = 1 \text{ GHz}$ )

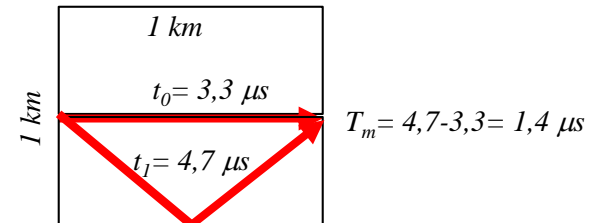
» Slow fading channel if  $T_{\text{symbol}} < 0.1 * T_c$  ; Fast fading otherwise

♦ **Coherence bandwidth**,  $B_c \approx \frac{1}{2\pi T_m}$

» **Bandwidth** for which the channel gain does not change significantly

–  $T_m$  – (rms) time delay spread

–  $T_m = 2 \text{ } \mu\text{s} \rightarrow B_c = 80 \text{ kHz}$  ( $c = 3,3 \text{ } \mu\text{s/km}$ )



» Avoidance of channel ISI demands symbol rate  $B < 0.1 * B_c$ ,



# Capacity of a Wireless Channel

- ◆ Assuming Additive White Gaussian Noise (AWGN)

- » Given by Shannon's law

$$C = B \log_2(1 + \gamma) \text{ [bit/s]}$$

$$\gamma = SNR = \frac{P_r}{N_0 B}, \quad \gamma = SNIR = \frac{P_r}{N_0 B + \sum_{i=1}^I P_{r_i}}$$

$N_0$  – Noise power spectral density       $\sum_{i=1}^I P_{r_i}$  – Power received from interfering nodes

- ◆ Capacity in a fading channel (multipath)

- ➔ smaller than the capacity of an AWGN channel

$$C = \int_0^\infty B \cdot \log_2 \left( 1 + \frac{x}{N_0 B} \right) \cdot \frac{1}{P_r} \cdot e^{-\frac{x}{P_r}} \cdot dx$$

# Capacity of a Wireless Channel

$$P_r(d) = (d_0/d)^3 P_t, \text{ for } d_0 = 10m.$$

$d$ (m)	$\gamma = P_r(d)/(N_0B)$	$SNR = \gamma_{dB} = 10 \log \gamma$ (dB)	$C = B \log_2(1 + \gamma)$ (kbit/s)	Efficiency (bit/s/Hz)
50	267	24	242	8
100	33	15	153	5.1
500	0.27	-6	10	0.3
1000	0.033	-15	1.4	0.05

Table 2.6: Shannon capacities for wireless channels. The limiting capacities of wireless channels depend on the channel bandwidth and on the power received. The capacity  $C$  of the channel and its efficiency are given for a transmitted power  $P_s = 1 W$ ,  $d_0 = 10 m$ , a narrow bandwidth of  $30 kHz$  and a noise power spectral density  $N_0 = 10^{-9} W/Hz$ . The capacity decreases significantly as the distance between the sender and the receiver increases

# *Homework*

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- ♦ Review slides
  - » use them to guide you through the recommended books
- ♦ From Goldsmith
  - » Chap. 2, Chap. 3 (sections 3.1, 3.2, 3.3), Chap. 4 (section 4.1)
  - » Detailed information. Aligned with the lecture
- ♦ Read from Vijay Garg
  - » Chap. 3
  - » Very good overview. Must read
- ♦ Read from Schiller
  - » Chap. 2 (sections 2.1, 2.2, 2.3, 2.4)
  - » Descriptive, good introductory text
- ♦ Rappaport also provides an excellent description of these topics
  - » See Chap. 3 and Chap. 4
- ♦ Answer questions at moodle