

# On the minimal number of generators of a finite group

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Can be reduced to:

- Finding the minimal number of generators of a finite group  $H$  such that  $d(H/N) \leq m$  for every non-trivial normal subgroup  $N$ , but  $d(H) > m$

# The case $m = 1$

## Theorem

*Let  $H$  be a finite nilpotent group such that  $d(H/N) \leq 1$  for every non-trivial normal subgroup  $N$ , but  $d(H) > 1$ . Then  $H \cong \mathbb{Z}_p \times \mathbb{Z}_p$  for some prime  $p$ .*

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## Proof.

- $H = P_1 \times \dots \times P_n$  where  $P_i$  is a Sylow  $p_i$ -subgroup for  $1 \leq i \leq n$  and  $p_1, \dots, p_n$  are distinct primes.

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- If  $P_1, \dots, P_n$  are cyclic, we obtain  $H \cong \mathbb{Z}_{p_1 \dots p_n}$  which contradicts  $d(H) > 1$ . Without loss of generality we can thus assume that  $P_1$  is not cyclic.

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- Since  $d(H) = d(H/\Phi(H))$ ,  $\Phi(H) = 1$ .



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- $H \cong H/\Phi(H)$  is a  $\mathbb{Z}_{p_1}$ -vector space and thus  $H = (\mathbb{Z}_{p_1})^q$
- $q = 2$  since

$$q - 1 = d((\mathbb{Z}_{p_1})^{q-1}) = d(H/(\mathbb{Z}_{p_1} \times 1 \times \dots \times 1)) = 1.$$



# The groups $L_k$

Throughout  $L$  will always denote a finite group with a unique minimal normal subgroup  $M$ . Furthermore if  $M$  is abelian, we also assume that  $M$  is complemented in  $L$ .

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## Definition

Given a positive integer  $k$ , the group  $L_k$  is a subgroup of  $L^k$  defined by:

$$L_k = \{(l_1, \dots, l_k) \in L^k \mid l_1 M = \dots = l_k M\}.$$

The group  $L_k$  can be described as  $\text{diag}(L^k)M^k$ .

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- The quotient of  $L_{k+1}$  by any of its minimal normal subgroups is isomorphic to  $L_k$
- The sequence  $d(L_k)_{k \in \mathbb{N}}$  is unlimited and non-decreasing.
- For all  $k \in \mathbb{N}$ ,  $d(L_{k+1}) \leq d(L_k) + 1$ .

# The function $f$

## Definition

Given a group  $L$  we define  $f(L, m) = k + 1$  if and only if  $d(L_k) = m < d(L_{k+1})$ . When  $L$  can be identified from the context, we denote  $f(L, m)$  as  $f(m)$ .

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- Thus the function  $f$  gives us *the integer  $k + 1$  for which any proper quotient of  $L_{k+1}$  has minimal number of generators smaller or equal to  $m$  but  $d(L_{k+1}) > m$ .*

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- It follows from the last 2 properties of the groups  $L_k$  that this function is non-decreasing and unbounded.

## Theorem

*Let  $m$  be an integer with  $m \geq 1$  and  $H$  a finite group such that  $d(H/N) \leq m$  for every non-trivial normal subgroup  $N$ , but  $d(H) > m$ . Then there exists a group  $L$  which has a unique minimal normal subgroup  $M$  and is such that  $M$  is either non-abelian or complemented in  $L$  and  $H \cong L_{f(L,m)}$ .*

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- If  $M$  is not abelian there is nothing to prove.
- If  $M$  is abelian then we need to prove that it is complemented. Since  $\Phi(H) = 1$ , then there exists a maximal subgroup  $K$  that does not contain  $M$ . We have that  $K \cap M \triangleleft K$  and  $K \cap M \triangleleft M$  (since  $M$  is abelian). Thus  $K \cap M \triangleleft KM = H$  and since  $K \cap M \subset M$ ,  $K \cap M = 1$ .

## Sketch of Proof: $H$ has more than one minimal normal subgroup

- Let  $N_1$  be a minimal normal subgroup of  $H$ . For any other minimal normal subgroups  $N_r$  of  $H$  ( $N_1 \neq N_r$ ), there exists a subgroup  $K_r$  of  $H$  that complements both  $N_1$  and  $N_r$  in  $H$ .



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- The projections  $\pi_r : K_r \cap (N_1 \times N_r) \rightarrow N_1$  and  $\rho_r : K_r \cap (N_1 \times N_r) \rightarrow N_r$  are isomorphisms. Thus there is an isomorphism  $\phi_r : N_1 \rightarrow N_r$ , specifically  $\phi_r = \rho_r \pi_r^{-1}$ .

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From this follows that either all the minimal normal subgroups of  $H$  are abelian or all of them are non-abelian.

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- Let  $L = N_1 K$ . For each minimal normal subgroup  $N_r$  of  $H$  complemented in  $\text{soc}(H)$ , say by  $C_r$  there exists a surjective homomorphism  $\Psi_r: H \rightarrow L$  with  $\ker \Psi_r = C_r$ .

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- There exists a positive integer  $q$  such that the function

$$\begin{aligned}\Psi: H &\longrightarrow L_q \\ ks &\mapsto (\Psi_1(ks), \Psi_2(ks), \dots, \Psi_q(ks))\end{aligned}$$

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- The group  $L$  has a unique minimal normal subgroup, namely  $N_1$ , and it is complemented by  $K$ .

# Sketch of Proof: $H$ has non-abelian normal subgroups

- Let  $\alpha_1: H \rightarrow \text{Aut } N_1$  that sends each  $h \in H$  to

$$\begin{aligned}\alpha_1(h): N_1 &\rightarrow N_1 \\ x &\mapsto h x h^{-1}.\end{aligned}$$

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- For  $r > 1$ , we define  $\alpha_r: H \rightarrow \text{Aut } N_1$  as the homomorphism that sends  $h \in H$  to

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- Taking  $q$  as the number of minimal normal subgroups of  $H$ , the function

$$\begin{aligned}\Psi: H &\rightarrow L_q \\ h &\mapsto (\alpha_1(h), \dots, \alpha_q(h))\end{aligned}$$

is an isomorphism.

## Sketch of Proof: $q = f(L, m)$

Regardless of which case we consider, what was proved was that  $H \cong L_q$  for some positive integer  $q$  by some isomorphism  $\Psi$ . Since the image of a minimal normal subgroup by an isomorphism is again a minimal normal subgroup we obtain that for any minimal normal subgroup  $N_r$  of  $H$ ,  $H/N_r \cong L_q/\Psi(N_r)$  and  $H/N_r \cong L_{q-1}$ . Since  $H/N_r$  is a proper non-trivial quotient of  $H$  we get that

$$d(L_{q-1}) = d(H/N_r) < d(H) = d(L_q).$$

This is precisely the definition of the function  $f$ .  $\square$

# The function $f$

## Definition

Given a surjective homomorphism  $\beta: L_k \rightarrow L/M$ , we define the set  $\mathcal{S}_\beta$  as the set of normal subgroups  $N$  of  $L_k$  arising as kernels of those homomorphisms of  $L_k$  onto  $L$  which composed with the natural projection  $\pi_L: L \rightarrow L/M$  yield  $\beta$ .

## Theorem

*Let us assume  $M$  is abelian. Given a surjective homomorphism  $\beta: L_k \rightarrow L/M$ , the set  $\mathcal{S}_\beta$  is identical to the set of kernels of surjective  $L/M$ -homomorphisms  $\nu: M^k \rightarrow M$  with the above group actions.*

# The function $f$

## Theorem

*Let us assume that  $M$  is not abelian. Given a surjective homomorphism  $\beta: L_k \rightarrow L/M$ , the cardinality of the set  $\mathcal{S}_\beta$  is  $k$ .*

# The function $f$

## Definition

Let  $F$  be a free group of rank  $m$ . Given a surjective homomorphism  $\beta: F \rightarrow L/M$ , we define the set  $\mathcal{R}_\beta$  as the set of normal subgroups  $N$  of  $F$  arising as kernels of those homomorphisms of  $F$  onto  $L$  which composed with the natural projection  $\pi_L: L \rightarrow L/M$  yield  $\beta$ .

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## Theorem

*Let  $F$  be a free group of rank  $m \geq d(L)$ . Given a surjective homomorphism  $\beta: F \rightarrow L/M$ , the cardinality of the set  $\mathcal{R}_\beta$  is  $\phi_L(m)/|\Gamma|\phi_{L/M}(m)$ , where  $\Gamma$  denotes the set of all automorphisms of  $L$  that act trivially on  $L/M$ .*

# The function $f$

## Theorem

*Let  $F$  be a free group of rank  $m \geq d(L)$  and  $\beta: F \rightarrow L/M$  a surjective homomorphism. The group  $F/(\bigcap_{N \in \mathcal{R}_\beta} N)$  is isomorphic to  $L_q$  for some positive integer  $q$ . Furthermore  $q$  is the biggest integer for which there exists a surjective homomorphism  $\Psi: F \rightarrow L_q$  such that*

$$\pi_{L_q} \circ \Psi = \beta.$$



# The function $f$

## Theorem

*Let  $m \geq d(L)$  and  $q$  be the number of  $(L/M)$ -endomorphisms of  $M$  when  $M$  is abelian. Then*

$$f(m) = 1 + \begin{cases} \phi_L(m)/(|\Gamma|\phi_{L/M}(m)) & \text{if } M \text{ is not abelian,} \\ \log_q(1 + (q-1)\phi_L(m)/|\Gamma|\phi_{L/M}(m)) & \text{if } M \text{ is abelian.} \end{cases}$$

# Sketch of proof

- Let  $F$  denote a free group of rank  $m$ . There exists a surjective homomorphism  $\beta: F \rightarrow L/M$  such that  $k$  is the biggest integer for which there exists a surjective homomorphism  $F \rightarrow L_k$ .

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- $F/\ker \Psi_k$  is the largest quotient of  $F$  isomorphic to  $L_i$  for some  $i$ ; since  $F$  is a free group of rank  $m$  this means that

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- $N \mapsto N/R \mapsto \phi(N/R)$  is a bijection between  $\mathcal{R}_\beta$  and  $\mathcal{S}_{\tilde{\beta} \circ \phi^{-1}}$ , where  $\phi: F/\ker \Psi_k \rightarrow L_k$  is an isomorphism

- Applying the theorems before we obtain:

$$\frac{\phi_L(m)}{|\Gamma|\phi_{L/M}(m)} = |\mathcal{R}_\beta| =$$
$$|\mathcal{S}_{\bar{\beta} \circ \phi^{-1}}| = \begin{cases} k & \text{if } M \text{ is not abelian,} \\ (q^k - 1)/(q - 1) & \text{if } M \text{ is abelian.} \end{cases}$$