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Markus Jochum and Carsten Eden

Institut für Meereskunde, Universität Hamburg

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Lecture # 3

Gravity waves with rotation

Discrete 2D wave solutions

- Arakawa C grid without rotation

- Arakawa C grid with rotation

- Time discretisation with rotation

Gravity waves with rotation

Discrete 2D wave solutions

Arakawa C grid without rotation

Arakawa C grid with rotation

Time discretisation with rotation

- ▶ taken from "Waves in the ocean and atmosphere", Pedlosky (2013), chapter 11 (partly) and chapter 13

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Discrete 2D wave solutions

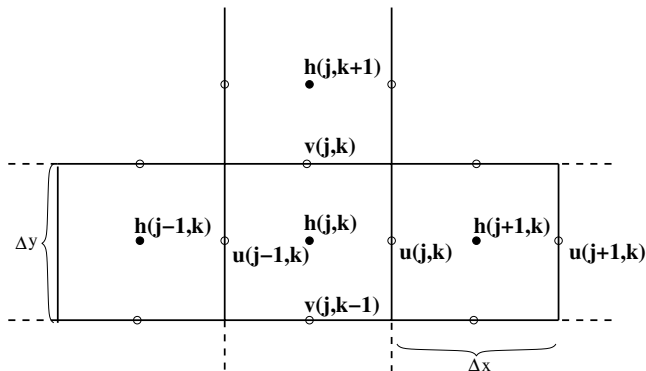
Arakawa C grid without rotation

Arakawa C grid with rotation

Time discretisation with rotation

- ▶ the linearized 2D shallow water equations (for $f = 0$) are

$$\frac{\partial u}{\partial t} = -\frac{\partial h}{\partial x} \quad , \quad \frac{\partial v}{\partial t} = -\frac{\partial h}{\partial y} \quad , \quad \frac{\partial h}{\partial t} = -c^2 \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right)$$



- ▶ this arrangement of u , v and h is called Arakawa-C grid
- ▶ there are other possibilities: Arakawa-A, B, C, D

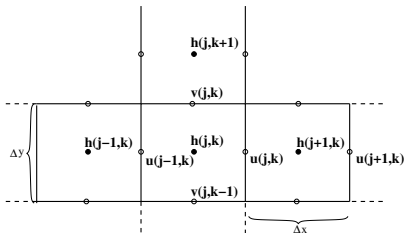
- Figure 1 shows four Feynman diagrams (A, B, C, D) illustrating the production of a photon (γ) in the decay of a Z boson into a quark-antiquark pair ($q\bar{q}$).

 - Diagram A:** A Z boson decays into a quark (q) and an antiquark (\bar{q}). The quark and antiquark then annihilate to produce a photon (γ).
 - Diagram B:** A Z boson decays into a quark (q) and an antiquark (\bar{q}). The quark and antiquark then annihilate to produce a photon (γ).
 - Diagram C:** A Z boson decays into a quark (q) and an antiquark (\bar{q}). The quark and antiquark then annihilate to produce a photon (γ).
 - Diagram D:** A Z boson decays into a quark (q) and an antiquark (\bar{q}). The quark and antiquark then annihilate to produce a photon (γ).

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- the linearized 2D shallow water equations (for $f = 0$) are

$$\frac{\partial u}{\partial t} = -\frac{\partial h}{\partial x} \quad , \quad \frac{\partial v}{\partial t} = -\frac{\partial h}{\partial y} \quad , \quad \frac{\partial h}{\partial t} = -c^2 \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right)$$



- discrete shallow water equations

$$\frac{du_{j,k}}{dt} = -\delta_x^+ h_{j,k} \quad , \quad \frac{dv_{j,k}}{dt} = -\delta_y^+ h_{j,k} \quad , \quad \frac{dh_{j,k}}{dt} = -c^2 (\delta_x^- u_{j,k} + \delta_y^- v_{j,k})$$

with the finite differencing operators

$$\delta_x^+ h_{j,k} = (h_{j+1,k} - h_{j,k})/\Delta_x \quad , \quad \delta_x^- h_{j,k} = (h_{j,k} - h_{j-1,k})/\Delta_x$$

$$\delta_y^+ h_{j,k} = (h_{j,k+1} - h_{j,k})/\Delta_y \quad , \quad \delta_y^- h_{j,k} = (h_{j,k} - h_{j,k-1})/\Delta_y$$

► discrete shallow water equations

$$\frac{du_{j,k}}{dt} = -\delta_x^+ h_{j,k} \quad , \quad \frac{dv_{j,k}}{dt} = -\delta_y^+ h_{j,k} \quad , \quad \frac{dh_{j,k}}{dt} = -c^2 (\delta_x^- u_{j,k} + \delta_y^- v_{j,k})$$

with the finite differencing operators

$$\begin{aligned} \delta_x^+ h_{j,k} &= (h_{j+1,k} - h_{j,k})/\Delta_x \quad , \quad \delta_x^- h_{j,k} = (h_{j,k} - h_{j-1,k})/\Delta_x \\ \delta_y^+ h_{j,k} &= (h_{j,k+1} - h_{j,k})/\Delta_y \quad , \quad \delta_y^- h_{j,k} = (h_{j,k} - h_{j,k-1})/\Delta_y \end{aligned}$$

- ▶ discrete shallow water equations

$$\frac{du_{j,k}}{dt} = -\delta_x^+ h_{j,k} \quad , \quad \frac{dv_{j,k}}{dt} = -\delta_y^+ h_{j,k} \quad , \quad \frac{dh_{j,k}}{dt} = -c^2 (\delta_x^- u_{j,k} + \delta_y^- v_{j,k})$$

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- ▶ wave ansatz $h_{j,k} = \hat{h} e^{i(k_x j \Delta_x + k_y k \Delta_y)}$, ... yields

$$\begin{aligned} \frac{du_{j,k}}{dt} &= -\hat{h} e^{i(k_x j \Delta_x + k_y k \Delta_y)} i \hat{k}_x^+ \quad , \quad \frac{dv_{j,k}}{dt} = -\hat{h} e^{i(k_x j \Delta_x + k_y k \Delta_y)} i \hat{k}_y^+ \\ \frac{dh_{j,k}}{dt} &= -c^2 \left(\hat{u} e^{i(k_x j \Delta_x + k_y k \Delta_y)} i \hat{k}_x^- + \hat{v} e^{i(k_x j \Delta_x + k_y k \Delta_y)} i \hat{k}_y^- \right) \end{aligned}$$

with $i \hat{k}_x^+ = (e^{ik_x \Delta_x} - 1)/\Delta_x$, $i \hat{k}_y^- = (1 - e^{-ik_y \Delta_y})/\Delta_y$, ...

for which $\lim_{\Delta_x \rightarrow 0} \hat{k}_x^+ = k_x$ and $\lim_{\Delta_y \rightarrow 0} \hat{k}_y^- = k_y$ holds

- wave ansatz $h_{j,k} = \hat{h} e^{i(k_x j \Delta_x + k_y k \Delta_y)}$, ... yields

$$\frac{d\hat{u}}{dt} = -\hat{h} i \hat{k}_x^+ , \quad \frac{d\hat{v}}{dt} = -\hat{h} i \hat{k}_y^+ , \quad \frac{d\hat{h}}{dt} = -ic^2 \left(\hat{u} \hat{k}_x^- + \hat{v} \hat{k}_y^- \right)$$

with $i \hat{k}_x^+ = (e^{ik_x \Delta_x} - 1)/\Delta_x$, $i \hat{k}_y^- = (1 - e^{-ik_y \Delta_y})/\Delta_y$, ...

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with $i \hat{k}_x^+ = (e^{ik_x \Delta_x} - 1)/\Delta_x$, $i \hat{k}_y^- = (1 - e^{-ik_y \Delta_y})/\Delta_y$, ...

- analytical ansatz in time $\hat{u}(t) = \hat{U} e^{-i\bar{\omega} t}$, $\hat{h}(t) = \hat{H} e^{-i\bar{\omega} t}$, ...

$$-i\bar{\omega} \hat{U} = -\hat{H} i \hat{k}_x^+ , \quad -i\bar{\omega} \hat{V} = -\hat{H} i \hat{k}_y^+ , \quad -i\bar{\omega} \hat{H} = -ic^2 \left(\hat{U} \hat{k}_x^- + \hat{V} \hat{k}_y^- \right)$$

$$\bar{\omega}^2 = c^2 \left(\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^- \right) \rightarrow \bar{\omega} = \pm c \sqrt{\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^-}$$

- wave ansatz $h_{j,k} = \hat{h} e^{i(k_x j \Delta_x + k_y k \Delta_y)}$, ... yields

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$$-i\bar{\omega} \hat{U} = -\hat{H} i \hat{k}_x^+ , \quad -i\bar{\omega} \hat{V} = -\hat{H} i \hat{k}_y^+ , \quad -i\bar{\omega} \hat{H} = -ic^2 \left(\hat{U} \hat{k}_x^- + \hat{V} \hat{k}_y^- \right)$$

$$\bar{\omega}^2 = c^2 \left(\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^- \right) \rightarrow \bar{\omega} = \pm c \sqrt{\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^-}$$

- since $\hat{k}_x^+ = (\hat{k}_x^-)^*$ and $\hat{k}_y^- = (\hat{k}_y^+)^*$ gravity wave frequency $\bar{\omega}$ is real

- ▶ wave ansatz $h_{j,k} = \hat{h} e^{i(k_x j \Delta_x + k_y k \Delta_y)}$, ... yields

$$\frac{d\hat{u}}{dt} = -\hat{h} i \hat{k}_x^+ , \quad \frac{d\hat{v}}{dt} = -\hat{h} i \hat{k}_y^+ , \quad \frac{d\hat{h}}{dt} = -ic^2 \left(\hat{u} \hat{k}_x^- + \hat{v} \hat{k}_y^- \right)$$

with $i \hat{k}_x^+ = (e^{ik_x \Delta_x} - 1)/\Delta_x$, $i \hat{k}_y^- = (1 - e^{-ik_y \Delta_y})/\Delta_y$, ...

- ▶ analytical ansatz in time $\hat{u}(t) = \hat{U} e^{-i\bar{\omega}t}$, $\hat{h}(t) = \hat{H} e^{-i\bar{\omega}t}$, ...

$$-i\bar{\omega} \hat{U} = -\hat{H} i \hat{k}_x^+ , \quad -i\bar{\omega} \hat{V} = -\hat{H} i \hat{k}_y^+ , \quad -i\bar{\omega} \hat{H} = -ic^2 \left(\hat{U} \hat{k}_x^- + \hat{V} \hat{k}_y^- \right)$$

$$\bar{\omega}^2 = c^2 \left(\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^- \right) \rightarrow \bar{\omega} = \pm c \sqrt{\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^-}$$

- ▶ since $\hat{k}_x^+ = (\hat{k}_x^-)^*$ and $\hat{k}_y^- = (\hat{k}_y^+)^*$ gravity wave frequency $\bar{\omega}$ is real

- ▶ compare with analytical dispersion relation $\omega = \pm c \sqrt{k_x^2 + k_y^2}$

$\rightarrow \lim_{\Delta_x, \Delta_y \rightarrow 0} \bar{\omega} = \omega$ but for finite Δ_x, Δ_y there is grid dispersion

Gravity waves with rotation

Discrete 2D wave solutions

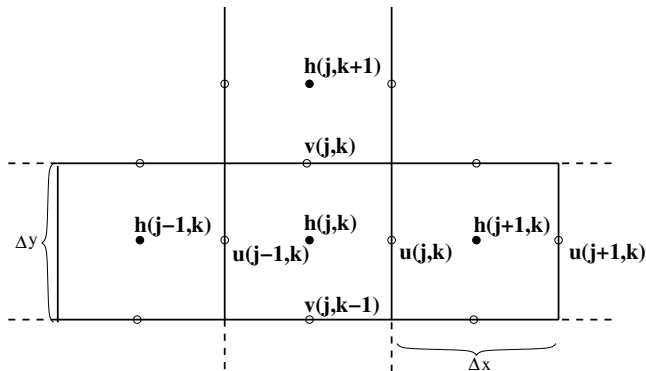
Arakawa C grid without rotation

Arakawa C grid with rotation

Time discretisation with rotation

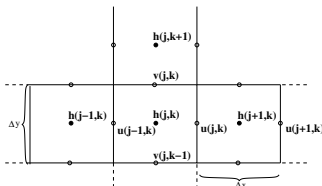
- the linearized 2D shallow water equations with rotation are

$$\frac{\partial u}{\partial t} = f v - \frac{\partial h}{\partial x}, \quad \frac{\partial v}{\partial t} = -f u - \frac{\partial h}{\partial y}, \quad \frac{\partial h}{\partial t} = -c^2 \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right)$$



- ▶ the linearized 2D shallow water equations with rotation are

$$\frac{\partial u}{\partial t} = fv - \frac{\partial h}{\partial x} \quad , \quad \frac{\partial v}{\partial t} = -fu - \frac{\partial h}{\partial y} \quad , \quad \frac{\partial h}{\partial t} = -c^2 \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right)$$



- ▶ discrete shallow water equations with rotation

$$\frac{du_{j,k}}{dt} = \overline{f_{v_{j,k}}^{j+}}^{k-} - \delta_x^+ h_{j,k} \ , \quad \frac{dv_{j,k}}{dt} = -\overline{f_{u_{j,k}}^{j-}}^{k+} - \delta_y^+ h_{j,k}$$

with the finite averaging operators

$$\begin{aligned} \overline{h_{j,k}}^{j+} &= (h_{j,k} + h_{j+1,k})/2 \quad , \quad \overline{h_{j,k}}^{j-} = (h_{j,k} + h_{j-1,k})/2 \\ \overline{h_{j,k}}^{k+} &= (h_{j,k} + h_{j,k+1})/2 \quad , \quad \overline{h_{j,k}}^{k-} = (h_{j,k} + h_{j,k-1})/2 \end{aligned}$$

and the finite difference operators δ_v^+, \dots from before

► discrete shallow water equations with rotation

$$\frac{du_{j,k}}{dt} = f \overline{\overline{v_{j,k}}}^{j+}{}^{k-} - \delta_x^+ h_{j,k} , \quad \frac{dv_{j,k}}{dt} = -f \overline{\overline{u_{j,k}}}^{j-}{}^{k+} - \delta_y^+ h_{j,k}$$

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- ▶ discrete shallow water equations with rotation

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and the finite difference operators δ_x^+ , ... from before

- ▶ wave ansatz $h_{j,k} = \hat{h} e^{i(k_x j \Delta_x + k_y k \Delta_y)}$, ... yields

$$\begin{aligned} \overline{h_{j,k}}^{j+} &= \hat{h} e^{i(k_x j \Delta_x + k_y k \Delta_y)} (1 + e^{ik_x \Delta_x}) / 2 = \hat{h} e^{i(\dots)} \hat{1}_x^+ \\ \overline{h_{j,k}}^{j-} &= \hat{h} e^{i(k_x j \Delta_x + k_y k \Delta_y)} (1 + e^{-ik_x \Delta_x}) / 2 = \hat{h} e^{i(\dots)} \hat{1}_x^- \end{aligned}$$

- ▶ discrete shallow water equations with rotation

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- ▶ with the functions $\hat{1}_x^+(k_x, \Delta_x)$ and $\hat{1}_x^-(k_x, \Delta_x)$

$$\hat{1}_x^+ = (1 + e^{ik_x \Delta_x}) / 2, \quad \hat{1}_x^- = (1 + e^{-ik_x \Delta_x}) / 2$$

with $\lim_{\Delta_x \rightarrow 0} \hat{1}_x^+, \hat{1}_x^- = 1$ and similar for $\hat{1}_y^+$ and $\hat{1}_y^-$

- ▶ discrete shallow water equations with rotation

$$\frac{du_{j,k}}{dt} = f \overline{\overline{v_{j,k}}}^{j+}{}^{k-} - \delta_x^+ h_{j,k}, \quad \frac{dv_{j,k}}{dt} = -f \overline{\overline{u_{j,k}}}^{j-}{}^{k+} - \delta_y^+ h_{j,k}$$

- ▶ Fourier transform in x, y yields

$$\frac{d\hat{u}}{dt} = f \hat{1}_x^+ \hat{1}_y^- \hat{v} - i \hat{k}_x^+ \hat{h}, \quad \frac{d\hat{v}}{dt} = -f \hat{1}_x^- \hat{1}_y^+ \hat{u} - i \hat{k}_y^+ \hat{h}, \quad \frac{d\hat{h}}{dt} = -ic^2 \left(\hat{u} \hat{k}_x^- + \hat{v} \hat{k}_y^- \right)$$

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- ▶ analytical ansatz in time $\hat{u}(t) = \hat{U} e^{-i\bar{\omega}t}$, $\hat{h}(t) = \hat{H} e^{-i\bar{\omega}t}$, ...
and combining yields

$$\bar{\omega} \left(\bar{\omega}^2 - f^2 \hat{1}_x^- \hat{1}_y^+ \hat{1}_x^+ \hat{1}_y^- \right) = c^2 \bar{\omega} (\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^-)$$

geostrophic mode $\bar{\omega} = 0$ and gravity wave mode

$$\bar{\omega} = \pm \sqrt{f^2 \hat{1}_x^- \hat{1}_y^+ \hat{1}_x^+ \hat{1}_y^- + c^2 (\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^-)}$$

- ▶ discrete shallow water equations with rotation

$$\frac{du_{j,k}}{dt} = f \overline{v_{j,k}}^{j+}{}^{k-} - \delta_x^+ h_{j,k}, \quad \frac{dv_{j,k}}{dt} = -f \overline{u_{j,k}}^{j-}{}^{k+} - \delta_y^+ h_{j,k}$$

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- ▶ analytical ansatz in time $\hat{u}(t) = \hat{U} e^{-i\bar{\omega}t}$, $\hat{h}(t) = \hat{H} e^{-i\bar{\omega}t}$, ...
and combining yields

$$\bar{\omega} (\bar{\omega}^2 - f^2 \hat{1}_x^- \hat{1}_y^+ \hat{1}_x^+ \hat{1}_y^-) = c^2 \bar{\omega} (\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^-)$$

geostrophic mode $\bar{\omega} = 0$ and gravity wave mode

$$\bar{\omega} = \pm \sqrt{f^2 \hat{1}_x^- \hat{1}_y^+ \hat{1}_x^+ \hat{1}_y^- + c^2 (\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^-)}$$

- ▶ since $(\hat{1}_x^+)^* = \hat{1}_x^-$, $(\hat{k}_x^+)^* = \hat{k}_x^-$, ... gravity wave frequency $\bar{\omega}$ is real

- ▶ discrete shallow water equations with rotation

$$\frac{du_{j,k}}{dt} = f \overline{v_{j,k}}^{j+}{}^{k-} - \delta_x^+ h_{j,k}, \quad \frac{dv_{j,k}}{dt} = -f \overline{u_{j,k}}^{j-}{}^{k+} - \delta_y^+ h_{j,k}$$

- ▶ Fourier transform in x, y yields

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- ▶ analytical ansatz in time $\hat{u}(t) = \hat{U} e^{-i\bar{\omega}t}$, $\hat{h}(t) = \hat{H} e^{-i\bar{\omega}t}$, ...
and combining yields

$$\bar{\omega} (\bar{\omega}^2 - f^2 \hat{1}_x^- \hat{1}_y^+ \hat{1}_x^+ \hat{1}_y^-) = c^2 \bar{\omega} (\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^-)$$

geostrophic mode $\bar{\omega} = 0$ and gravity wave mode

$$\bar{\omega} = \pm \sqrt{f^2 \hat{1}_x^- \hat{1}_y^+ \hat{1}_x^+ \hat{1}_y^- + c^2 (\hat{k}_x^+ \hat{k}_x^- + \hat{k}_y^+ \hat{k}_y^-)}$$

- ▶ since $(\hat{1}_x^+)^* = \hat{1}_x^-$, $(\hat{k}_x^+)^* = \hat{k}_x^-$, ... gravity wave frequency $\bar{\omega}$ is real
- ▶ compare with analytical dispersion relation $\omega = \sqrt{f^2 + c^2(k_x^2 + k_y^2)}$

Gravity waves with rotation

Discrete 2D wave solutions

Arakawa C grid without rotation

Arakawa C grid with rotation

Time discretisation with rotation

- ▶ shallow water equations with rotation for $h = \text{const}$

$$\partial_t u = fv \quad , \quad \partial_t v = -fu$$

- ▶ forward time discretisation

$$u^{m+1} - u^m = \Delta_t f v^m \quad , \quad v^{m+1} - v^m = -\Delta_t f u^m$$

- ▶ shallow water equations with rotation for $h = \text{const}$

$$\partial_t u = fv \quad , \quad \partial_t v = -fu$$

- ▶ forward time discretisation

$$u^{m+1} - u^m = \Delta_t f v^m \quad , \quad v^{m+1} - v^m = -\Delta_t f u^m$$

- ▶ semi discrete Fourier transform $u = \hat{U} e^{i(k_x x + k_y y - \omega m \Delta_t)}$, ...

$$\begin{aligned} \hat{U}(e^{-i\omega\Delta_t} - 1) &= \Delta_t f \hat{V} \quad , \quad \hat{V}(e^{-i\omega\Delta_t} - 1) = -\Delta_t f \hat{U} \\ (e^{-i\omega\Delta_t} - 1)^2 &= -\Delta_t^2 f^2 \rightarrow e^{-i\omega\Delta_t} = 1 \pm i\Delta_t f \\ &\rightarrow \omega = i \ln(1 \pm i\Delta_t f) / \Delta_t \end{aligned}$$

- ▶ shallow water equations with rotation for $h = \text{const}$

$$\partial_t u = fv \quad , \quad \partial_t v = -fu$$

- ▶ forward time discretisation

$$u^{m+1} - u^m = \Delta_t f v^m \quad , \quad v^{m+1} - v^m = -\Delta_t f u^m$$

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- ▶ rewrite $z = 1 \pm i\Delta_t f$ as $z = r e^{i\phi}$ with $r = \sqrt{1 + \Delta_t^2 f^2}$
and with $\ln z = \ln r + i\phi$ and thus $\text{Im}(\omega) = \ln(r) / \Delta_t$

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and with $\ln z = \ln r + i\phi$ and thus $\text{Im}(\omega) = \ln(r) / \Delta_t$
- ▶ since $r > 1$ it follows $\text{Im}(\omega) > 0$ and scheme is unconditionally unstable

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$$u^{m+1} - u^m = \Delta_t f v^{m+1} \quad , \quad v^{m+1} - v^m = -\Delta_t f u^{m+1}$$

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$$\omega = i \ln(\alpha \pm i\sqrt{\alpha(1-\alpha)})/\Delta_t$$

with $0 < \alpha = 1/(1 + \Delta_t^2 f^2) < 1$

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$$\hat{U}(e^{-i\omega\Delta_t} - 1) = \Delta_t f \hat{V} e^{-i\omega\Delta_t}, \quad \hat{V}(e^{-i\omega\Delta_t} - 1) = -\Delta_t f \hat{U} e^{-i\omega\Delta_t}$$

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and with $\ln z = \ln r + i\phi$ and thus $\text{Im}(\omega) = \ln(r)/\Delta_t$
- ▶ since $r < 1$ it follows $\text{Im}(\omega) < 0$ and scheme is unconditionally stable back strongly damped

- ▶ shallow water equations with rotation for $h = \text{const}$

$$\partial_t u = fv \quad , \quad \partial_t v = -fu$$

- ▶ mixed time discretisation

$$u^{m+1} - u^m = \Delta_t f v^m \quad , \quad v^{m+1} - v^m = -\Delta_t f u^{m+1}$$

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$$\omega = i \ln \left((1 - \Delta_t^2 f^2 / 2) \pm i \sqrt{1 - (1 - \Delta_t^2 f^2 / 2)^2} \right) / \Delta_t$$

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$$r = \sqrt{(1 - \Delta_t^2 f^2 / 2)^2 + 1 - (1 - \Delta_t^2 f^2 / 2)^2} = 1$$

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$$r = \sqrt{(1 - \Delta_t^2 f^2 / 2)^2 + 1 - (1 - \Delta_t^2 f^2 / 2)^2} = 1$$

- ▶ since $r = 1$ it follows $\text{Im}(\omega) = \ln(r) / \Delta_t = 0$ and scheme is conditionally stable for $\Delta_t < \sqrt{2}/f$