

# Anonymisation: Problems and Solutions

## From Theory to Practice

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# Overview

- 1 Basic Stuff
- 2 Decryption Mixnets
- 3 Requirements for an e-Voting System
- 4 The Zeus Voting System and Process
- 5 Re-encryption Mixnets

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# Diffie-Hellman Key Exchange

Alice	Bob
Alice and Bob agree on $p$ and $g$	
Choose $a$ Calculate $A = g^a \bmod p$ Send $A$ to Bob	Choose $b$ Calculate $B = g^b \bmod p$ Send $B$ to Alice
Calculate $s = B^a \bmod p$ $= (g^b)^a \bmod p$ $= g^{ba} \bmod p$	Calculate $s = A^b \bmod p$ $= (g^a)^b \bmod p$ $= g^{ab} \bmod p$

# What are $a$ , $b$ , $g$ and $p$ ?

- $g$  and  $p$  are such that  $g$  is a generator of a finite cyclic group  $G$  of order  $p$ , e.g.,  $G = (\mathbb{Z}_p)^*$  of order  $p$ .
- In plain words:

$$G = \{1, g, g^2, \dots, g^{p-1}\}$$

- Then we have:

$$a \in G$$

$$b \in G$$

# Diffie-Hellman Security

- There is no known efficient way to find the secret from  $p$ ,  $g$ ,  $A$ , and  $B$ .
- That is because to do that we would need to solve the *discrete logarithm problem*, for which we have no efficient solution.
- If  $p$  is prime, and we have  $g$  and  $y = g^x \bmod p$ , the discrete logarithm problem is finding  $x$ ,  $1 \leq x \leq p - 1$ .
- The integer  $x$  is called *discrete logarithm of  $y$  with base  $g$*  and we write  $x = \log_g y \bmod p$ .

# The ElGamal System

All calculations are modulo  $p$ .

Alice	Bob
<p>Choose <math>a</math> Calculate <math>A = g^a</math> <math>A, p, g</math> form Alice's public key.</p>	<p>Choose <math>b</math> Calculate <math>c_1 = g^b</math> Calculate <math>s = A^b = g^{ab}</math> Calculate <math>c_2 = m \cdot s = mg^{ab}</math> Send <math>(c_1, c_2) = (g^b, mg^{ab})</math> to Alice</p>
<p>Calculate <math>s = c_1^a = g^{ab}</math> Decrypt with <math>c_2 s^{-1} =</math> <math>mg^{ab} (g^{ab})^{-1} =</math> <math>m(g^{ab} g^{-ab}) = m</math></p>	

# ElGamal Re-encryption

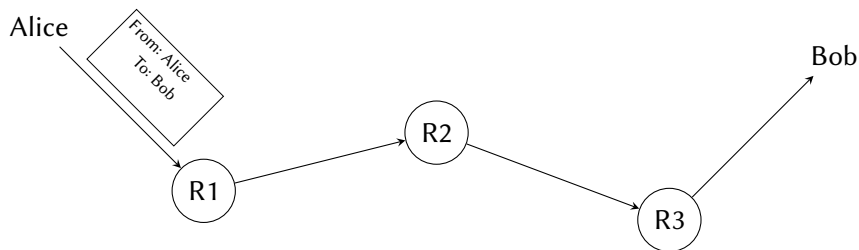
- Say that Bob creates a ciphertext  $c = (c_1, c_2)$  and sends it to Charlie.
- Charlie selects  $s' \in G$ .
- Charlie computes  $c' = (c'_1, c'_2) = (g^{s'} c_1, g^{as'} c_2)$ .
- That is, Charlie *re-encrypts* the ciphertext.
- Alice then can calculate  $c_1'^a = (g^{s'} c_1)^a$  and then
$$((g^{s'} c_1)^a)^{-1} g^{as'} c_2 = g^{-s'a} c_1^{-a} g^{as'} c_2 = c_1^{-a} c_2 = g^{-ab} m g^{ab} = m$$
- Which means that if Bob encrypts a text, and then Charlie re-encrypts it, Alice can still decrypt it, even without knowing Charlie's key!



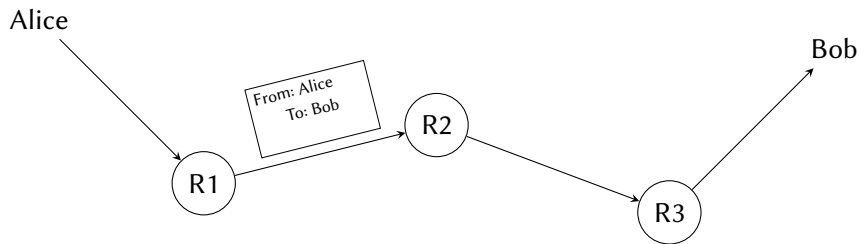
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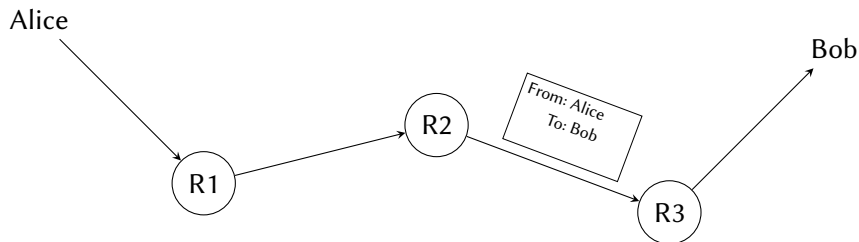
# Message Traveling from Alice to Bob (1)



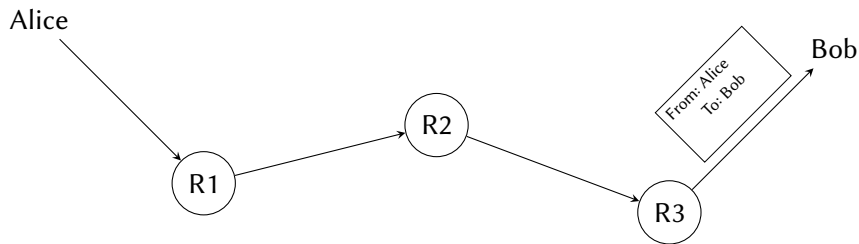
## Message Traveling from Alice to Bob (2)



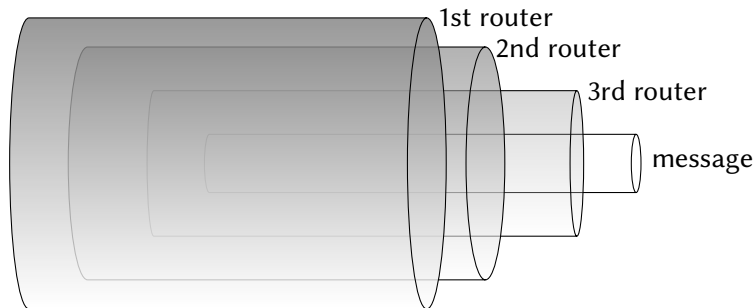
# Message Traveling from Alice to Bob (3)



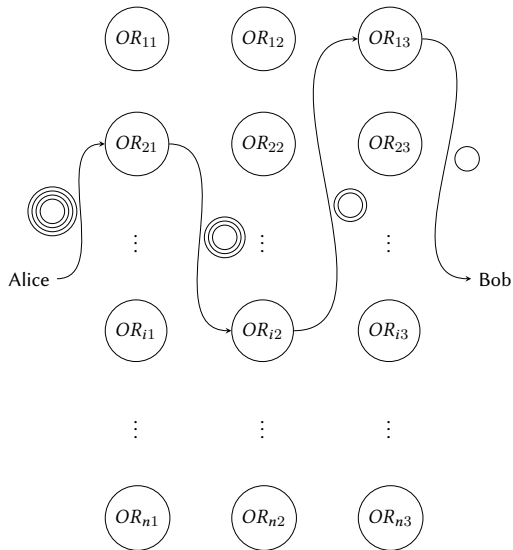
# Message Traveling from Alice to Bob (4)



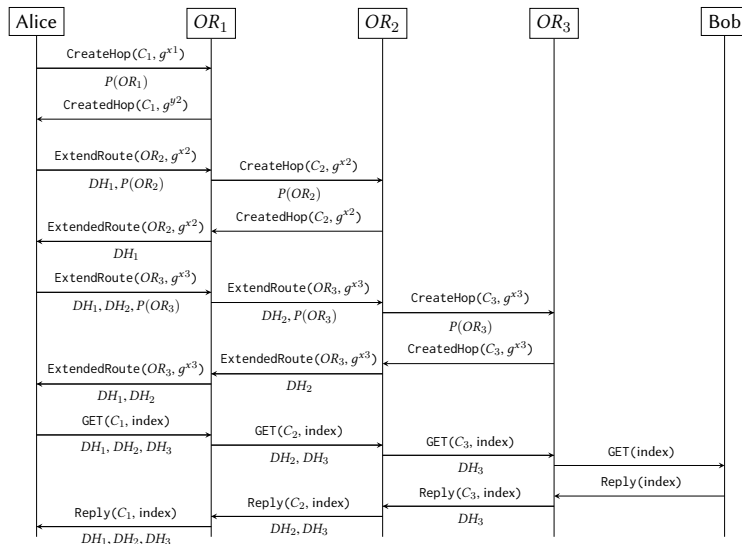
# Onion Routing



# TOR: The Onion Router



# Onion Routing in Tor





# Tor Setup (1)

- Alice communicates with  $OR_1$  using RSA and sending instructions on how to set up the communications routing.
- This is a *command packet* containing her part of a Diffie-Hellman key exchange with  $OR_1$ .
- In addition, it contains a command that tells  $OR_1$  that she will be tagging her packets with a special ID she picks, called a *circuit id*, say  $C_1$ .
- Let us call this command packet a  $\text{CreateHop}(C_1, g^{x_1})$  abbreviating the Diffie-Hellman part in our notation.
- $OR_1$  replies with its part of the Diffie-Hellman key exchange.
- All messages that Alice will be sending to  $OR_1$  will be encrypted with the key they established, say  $DH_1$ .

## Tor Setup (2)

- Next Alice communicates again with  $OR_1$  and tells it that from now on she wants  $OR_1$  to forward all messages from her to  $OR_2$ .
- To do that she sends a command packet to  $OR_1$  with the command to extend the route and her part of a new Diffie-Hellman key exchange.
- The Diffie-Hellman part is encrypted with the RSA public key of  $OR_2$ .
- The whole packet is encrypted with  $DH_1$ . Let us call that command packet an  $\text{ExtendRoute}(OR_2, g^{x_2})$  packet.

## Tor Setup (3)

- When  $OR_1$  gets the packet it decrypts it. It then creates a new  $\text{CreateHop}(C_2, g^{x_2})$  packet that it sends to  $OR_2$ .
- The command packet contains the Diffie-Hellman part from Alice to  $OR_2$ , and tells  $OR_2$  that it will be tagging packets with another circuit ID, say  $C_2$ .
- It tells that to  $OR_2$ , without telling it that the messages will be coming from Alice.
- $OR_1$  records the fact that packets tagged with  $C_1$  will be sent to  $OR_2$ , and packets received from  $OR_2$  tagged with  $C_2$  will be passed back to Alice.
- $OR_1$  passes back the Diffie-Hellman response it receives from  $OR_2$  to Alice, so Alice and  $OR_2$  share a Diffie-Hellman key,  $DH_2$ .

## Tor Setup (4)

- To create the route to  $OR_3$ , Alice creates an  $\text{ExtendRoute}(OR_3, g^{x_3})$  command packet to extend the route from  $OR_2$  to  $OR_3$ .
- The packet contains her part of a Diffie-Hellman key she wants to establish with  $OR_3$ .
- The Diffie-Hellman part is encrypted with the RSA public key of  $OR_3$ .
- The whole packet is encrypted with  $DH_2$  and then encrypted on top with  $DH_1$ .
- Alice sends the packet to  $OR_1$ . When  $OR_1$  gets the packet, it is able to decrypt the first layer only.
- $OR_1$  knows that cells tagged with  $C_1$  must be forwarded to the destination associated with  $C_2$ ,  $OR_2$ , but it does not know its contents. It tags the packet with  $C_2$  and forwards the packet with one layer peeled off to  $OR_2$ .

## Tor Setup (5)

- $OR_2$  gets the packet from  $OR_1$  and decrypts it using  $DH_2$ , retrieving  $\text{ExtendRoute}(OR_3, g^{x_3})$ .
- It creates and sends a new command packet  $\text{CreateHop}(C_3, g^{x_3})$  to  $OR_3$ . The command packet contains the Diffie-Hellman part from Alice to  $OR_3$  and tells it that it will be tagging packets with another circuit ID, say  $C_3$ .
- $OR_2$  records the fact that packets tagged with  $C_2$  will be sent to  $OR_3$ , and packets received from  $OR_3$  tagged with  $C_3$  will be passed back to  $OR_1$ .
- $OR_2$  passes back the Diffie-Hellman response from  $OR_3$  to Alice via  $OR_1$ , so Alice and  $OR_3$  share a Diffie-Hellman key,  $DH_3$ .

# Tor Messaging from Alice to Bob (1)

- To send a message to Bob, Alice creates a packet with her message addressed to Bob encrypted with  $DH_3$ , in turn encrypted with  $DH_2$ , in turn encrypted with  $DH_1$  and tagged with  $C_1$ .
- The packet goes first to  $OR_1$ . Because the packet is tagged with  $C_1$ ,  $OR_1$  knows it must forward it to  $OR_2$ .
- $OR_1$  peels off the first layer using  $DH_1$  and forwards it to  $OR_2$ , tagged with  $C_2$ .
- $OR_2$  peels off the second layer using  $DH_2$ . It knows that packets tagged with  $C_2$  must be forwarded to  $OR_3$ , so it tags it with  $C_3$  and sends it to  $OR_3$ .

## Tor Messaging from Alice to Bob (2)

- $OR_3$  gets the packet from  $OR_2$  and decrypts it using  $DH_3$ .
- It sees that it is a message addressed to Bob, so it just forwards it there.
- The response from Bob will follow exactly the reverse route, Bob  $\rightarrow OR_3 \rightarrow OR_2 \rightarrow OR_1 \rightarrow$  Alice,
- It will be encrypted again with  $DH_1$ , then  $DH_2$ , then  $DH_3$ , routed in the same way using  $C_3, C_2, C_1$ .

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- Only eligible voters can vote.
- Each eligible voter can cast at most one vote (that counts).

Source of e-Voting System Requirements: <http://courses.csail.mit.edu/6.897/spring04/L17.pdf>

- No one can tell how a voter actually voted (anonymity, at least within large enough cohort/precinct of voters).
- OK (perhaps even mandatory) to publish who voted (though, obviously not actual ballot content).

- Voter cannot be coerced or bribed to vote a particular way.
- Voter cannot prove how they voted to another party: receipt-free. (Note how this requirement assumes the voter may be an adversary.)

The final tally is the correct sum of cast votes.

- Cast ballots can't be altered, deleted, substituted.
- All cast ballots are counted; other (invalid) ballots can't be added.

*I consider it completely unimportant who in the party will vote, or how; but what is extraordinarily important is this—who will count the votes, and how.*

Joseph Stalin

In Russian: Я считаю, что совершенно неважно, кто и как будет в партии голосовать; но вот что чрезвычайно важно, это—кто и как будет считать голоса.

Said in 1923, as quoted in *The Memoirs of Stalin's Former Secretary* (1992) by Boris Bazhanov [Saint Petersburg] (Борис Бажанов. Воспоминания бывшего секретаря Сталина).

Variant (loose) translation: The people who cast the votes decide nothing. The people who count the votes decide everything.

- Individual verifiability: each voter may verify their vote.
- Representative verifiability: each voter may delegate to a party or other representative the task of verifying the vote (without revealing the vote in the clear, of course).
- Universal verifiability: anyone can verify total.

- A small group can't disrupt election (DOS attacks, complaint procedures, ...).

- No partial results are known before the election is closed.



- Good user interface.
- Accessibility and usability guidelines.
- Accessibility from a wide variety of input devices.

- Efficient ballot casting.
- Efficient ballot counting.

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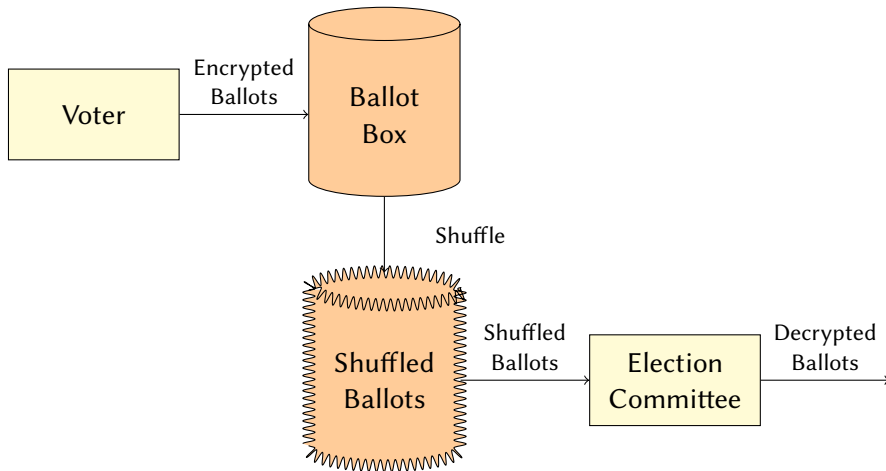
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- Zeus is an online voting system that lives on <https://zeus.grnet.gr>.
- It is based on Helios, a verifiable online elections system since 2008.
- Open source <http://heliosvoting.org/>.
- In particular, version 1 of Helios used as the basis of Zeus.

Zeus is described in G. Tsoukalas, K. Papadimitriou, P. Louridas, P. Tsanakas, *From Helios to ZEus*, USENIX Journal of Election Technology and Systems (JETS), 1(1), 2013.

For Helios, see Ben Adida, *Helios: web-based open-audit voting*. In Proceedings of the 17th conference on Security symposium (SS'08), USENIX Association, Berkeley, CA, USA, pages 335–348.

# Election Workflow



- Ballots are encrypted on the browser before being sent to the server.
- Ballots are stored in the server in encrypted form.
- The decryption keys are kept by the Election Committee.
- Encrypted ballots are randomly mixed in order to break the association between ballots and voters.
- The encrypted mixed ballots are decrypted by the Election Committee.
- The process can be verified mathematically.

# Basic Assumptions

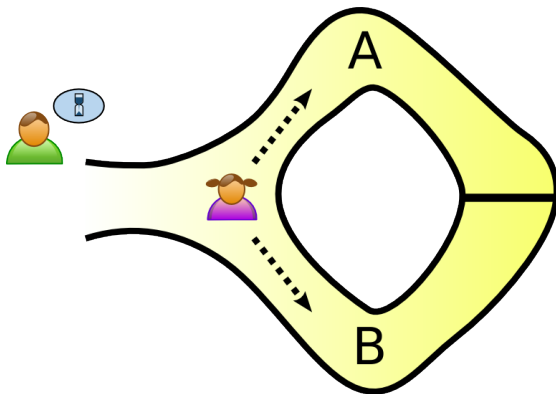
- You do not need to trust the administrators of Zeus.
- You do not need to trust each member of the Election Committee.
- You need to trust that at least one member of the Election Committee is honest.
- Coercion is avoided by allowing multiple ballots per user (only the last one counts).

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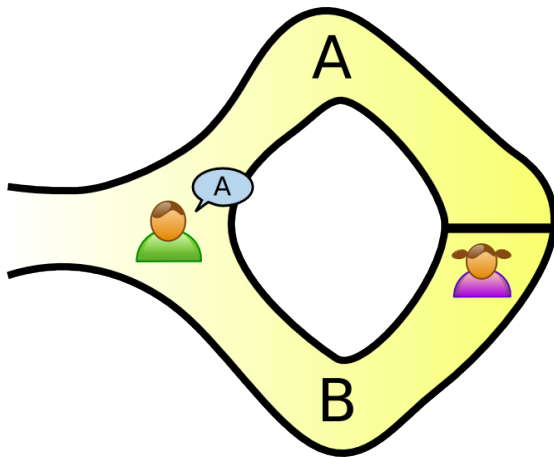
# Zero Knowledge Proofs



## Zero-Knowledge Proof.

Source: [http://en.wikipedia.org/wiki/Zero-knowledge\\_proof](http://en.wikipedia.org/wiki/Zero-knowledge_proof)

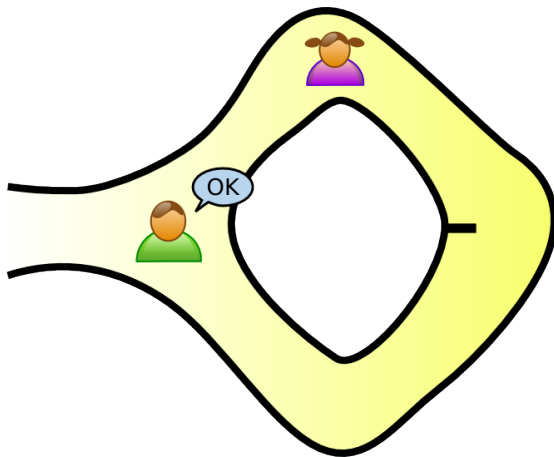
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Zero-Knowledge Proof.

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# Zero Knowledge Proofs



## Zero-Knowledge Proof.

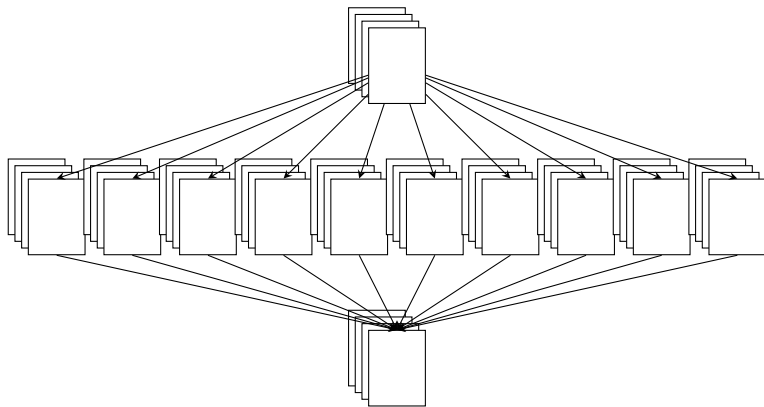
Source: [http://en.wikipedia.org/wiki/Zero-knowledge\\_proof](http://en.wikipedia.org/wiki/Zero-knowledge_proof)

# Re-encryption Mixnet and Zero Knowledge

- We must be able to prove to a verifier that the shuffle is honest.
- The verifier must not be able to gain any knowledge from that, apart from the fact that the shuffle is correct.

- We take the encrypted messages and we re-encrypt them.
- We shuffle the re-encrypted messages and return the shuffled re-encrypted messages.
- Somehow we have to prove that the messages are the same, without revealing the permutation.

# Re-encryption Mixnet Diagram



# Shuffles and Permutations

- A shuffle is really a permutation.
- Using Cauchy's notation for permutations, a shuffle of five items would be:

$$\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 5 & 4 & 3 & 1 \end{pmatrix}$$

# How to Prove the Shuffle

- The prover has the original ciphertexts.
- The prover creates the shuffle, along with a number of *shadow shuffles*.
- Suppose the prover has  $n$  shadow shuffles.



# How to Verify the Shuffle (1)

The verifier asks the prover one of the following questions, for each  $1 \leq i \leq n$ :

- “For shuffle  $i$ , show me how to get from the original set of ciphertexts to  $i$ ”.
- “For shuffle  $i$ , show me how to get from  $i$  to the final shuffle”.

## How to Verify the Shuffle (2)

- Say the prover has performed the shuffle:

$$\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 5 & 4 & 3 & 1 \end{pmatrix}$$

- And also the shadow shuffle:

$$\sigma' = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 3 & 1 & 5 & 2 & 4 \end{pmatrix}$$

- Then the prover can derive the following shuffle, which shuffles  $\sigma'$  to  $\sigma$ .

$$\sigma'' = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 3 & 2 & 1 & 4 \end{pmatrix}$$

## How to Verify the Shuffle (3)

- The verifier can ask the prover to reveal the  $s'$  shuffle or the  $s''$  shuffle *but not both*.
- If the prover is honest, they can always reveal what is asked from them.
- A cheating prover can provide at most one of  $s'$  or  $s''$ .
- So the cheating prover has 50% chances of cheating undetected.

# Sako-Kilian Shuffle

- The above is the idea behind the Sako-Kilian mixnet.
- The prover performs  $n$  shadow shuffles.
- The probability that the prover can cheat without being detected is  $2^{-n}$ .
- We choose  $n$  high enough to make it improbable.

For more details on the Sako-Kilian mixnet, see Kazue Sako and Joe Kilian, *Receipt-free mix-type voting scheme: a practical solution to the implementation of a voting booth*, EUROCRYPT '95, Springer-Verlag, Berlin, Heidelberg, pages 393–403.

# Non-Interactive Proof

- However, we want these challenges and answers to be non-interactive.
- To do this, we apply the Fiat-Shamir heuristic.
- The questions are determined by *challenge bits*.
- The challenge bits are derived from hashes of the shadow mixes.

For the Fiat-Shamir heuristic, see Amos Fiat and Adi Shamir, *How to prove yourself: Practical solutions to identification and signature problems*, CRYPTO '86, volume 263 of Lecture Notes in Computer Science, pages 186–194, Springer, 1986.

- We take a SHA-256 hash of the mixed ciphertexts for all mixes.
- We then read the bits of the result hex digest of the hash, and use them as challenge.
- In this way the prover is committed to the shuffles.

# The Problem with Sako-Kilian

- The problem with the Sako-Kilian mixnet is that it requires a lot of computation.
- It needs a lot of shuffles, and each shuffle needs a lot of cryptographic operations.
- Therefore, to be able to handle millions of votes efficiently we need another mixnet system.
- There has been a lot of research of mixnets.
- Unfortunately, there have also been a lot of patents in mixnets.

# A New Shuffle Argument

- A new, efficient patent-free mixnet is described in Prastudy Fauzi, Helger Lipmaa and Michał Zając, *A Shuffle Argument Secure in the Generic Model*, ASIACRYPT (2) 2016, volume 10032 of Lecture Notes in Computer Science, pages 841–872, Springer, Heidelberg.  
<https://eprint.iacr.org/2016/866.pdf>.
- The mixnet is based on elliptic curve cryptography and bilinear mappings, which we'll see in a bit.



## A New Shuffle Argument

**gens( $1^\kappa, n \in \text{poly}(\kappa)$ ):** Call  $\mathbf{gk} = (q, \mathbf{G}_1, \mathbf{G}_2, \mathbf{G}_T, \tilde{e}) \leftarrow \text{genbp}(1^\kappa)$ . Let  $P_i(X)$  for  $i \in [0..n]$  be polynomials, chosen in Sect. 5. Set  $\chi = (\chi, \alpha, \beta, \gamma) \leftarrow_{\mathcal{R}} \mathbb{Z}_q^2 \times (\mathbb{Z}_q \setminus \{0\})^2 \times (\mathbb{Z}_q \setminus \{0, -1\})$ . Let **enc** be the **ILin** cryptosystem with the secret key  $\chi$ , and let  $(\mathbf{pk}_1, \mathbf{pk}_2)$  be its public key. Set

$$\text{crs} \leftarrow \begin{pmatrix} gk, (P_1(x))_{i=1}^n, \hat{g}_1^{\beta}, \hat{g}_1^{\alpha+P_0(x)}, \hat{g}_1^{P_0(x)}, (\hat{g}_1^{(P_1(x)+P_0(x))^2-1})^{\beta/n})_{i=1}^n, \\ pk_1 = (\hat{g}_1 = \hat{g}_1^{\beta/\beta}, \hat{h}_1 = \hat{g}_1^{\beta}), \\ (\hat{g}_2^{P_1(x)})_{i=1}^n, \hat{g}_2^{\beta}, \hat{g}_2^{\alpha+P_0(x)}, pk_2 = (\hat{g}_2, \hat{h}_2 = \hat{g}_2^{\beta}), \hat{g}_2^{\beta}, \\ \hat{e}(\hat{g}_1, \hat{g}_2)^{1-\alpha^2}, (\hat{g}_1, \hat{g}_2)^{\sum_{i=1}^n P_1(x)} \end{pmatrix}$$

and  $\text{td} \leftarrow (\chi, \rho)$ . Return  $(\text{crs}, \text{td})$ .

$$\text{pro}(\text{crs}; \mathbf{v} \in (\mathbb{G}_1 \times \mathbb{G}_2)^{3n}; \sigma \in S_n, s \in \mathbb{Z}_n^{n \times 2}):$$

1. For  $i = 1$  to  $n - 1$ :
  - (a) Set  $r_i \leftarrow r_r \cdot \mathbb{Z}_q$ . Set  $(\mathfrak{A}_{1i}, \mathfrak{A}_{2i}) \leftarrow (g_1, g_2)^{P_{i-1}(\cdot)(x) + r_i \varrho}$ .
2. Set  $r_n \leftarrow -\sum_{i=1}^{n-1} r_i$ .
3. Set  $(\mathfrak{A}_{11}, \mathfrak{A}_{12}) \leftarrow (g_1, g_2)^{\sum_{i=1}^n P_i(x) / \prod_{i=1}^{n-1} (\mathfrak{A}_{11}, \mathfrak{A}_{12})}$ .
4. For  $i = 1$  to  $n$ : /\* Sparsity, for permutation matrix: \*/
  - (a) Set  $\pi_{1sq,i} \leftarrow (\mathfrak{A}_{11} \mathfrak{b}_{11}^{P_0(x)} \mathfrak{a}_{11})^{2r_i} (\mathfrak{b}_{11}^{\frac{1}{2}})^{-r_i} \mathfrak{b}_{11}^{((P_{i-1}(\cdot)(x) + P_0(x))^2 - 1) / \varrho}$ .
5. For  $i = 1$  to  $n$ : /\* Shuffling itself \*/
  - (a) Set  $(\mathbf{v}_{11}^i, \mathbf{v}_{12}^i) \leftarrow (\mathbf{v}_{\mathfrak{s}(i)}, \mathbf{v}_{\mathfrak{d}(i)}) \cdot (\text{enc}_{pk_{\mathfrak{s}}}(0; s_i), \text{enc}_{pk_{\mathfrak{d}}}(0; s_i))$ .
6. Set /\* Consistency \*/
  - (a) For  $k = 1$  to  $2$ : Set  $r_{s+k} \leftarrow r_r \cdot \mathbb{Z}_q$ . Set  $\pi_{c1+k} \leftarrow \mathfrak{g}_2^{-\sum_{i=1}^n s_i \mathfrak{a}_i P_i(x) + r_{s+k} \varrho}$ .
  - (b)  $(\pi_{c2,1}, \pi_{c2,2}) \leftarrow \prod_{i=1}^n (\mathbf{v}_{11}^i, \mathbf{v}_{12}^i)^{r_i} \cdot (\text{enc}_{pk_{\mathfrak{s}}}(0; r_s), \text{enc}_{pk_{\mathfrak{d}}}(0; r_s))$ .
7. Return  $\pi_{sh} \leftarrow (\mathbf{v}^t, (\mathfrak{A}_{11}, \mathfrak{A}_{12})^{\frac{n-1}{2}}, (\pi_{1sq,i=1}^{n-1}, \pi_{c1,1}, \pi_{c1,2}, \pi_{c2,1}, \pi_{c2,2}), \text{ver}(\text{crs}; \mathbf{b}, \mathbf{v}^t, (\mathfrak{A}_{11}, \mathfrak{A}_{12})_{i=1}^n, (\pi_{1sq,i=1}^{n-1}, \pi_{c1,1}, \pi_{c1,2}, \pi_{c2,1}, \pi_{c2,2}))$ .
8. Check that /\* Permutation matrix: \*/
 
$$\prod_{i=1}^n \hat{e} \left( (\mathfrak{A}_{11} \mathfrak{b}_{11}^{a+P_0(x)} \mathfrak{a}_{11})^{p_{1i}}, \mathfrak{A}_{12} \mathfrak{g}_2^{a+P_0(x)} \mathfrak{a}_{11} \right) = \hat{e} \left( \prod_{i=1}^n \pi_{1sq,i}^{p_{1i}}, \mathfrak{g}_2^{\frac{1}{2}} \right) \cdot \hat{e} \left( \mathfrak{b}_{11}, \mathfrak{g}_2^{(1-\alpha^2) \sum_{i=1}^n p_{1i}} \right).$$
9. Check that /\* Validity: \*/
 
$$\hat{e} \left( \mathfrak{g}_1^{\beta}, \prod_{j=1}^3 \pi_{c2,2,j}^{-p_{2j}} \cdot \prod_{i=1}^n \prod_{j=1}^3 (\mathbf{v}_{12j}^i)^{p_{2ij}} \right) = \hat{e} \left( \prod_{j=1}^3 \pi_{c2,1,j}^{-p_{2j}} \cdot \prod_{i=1}^n \prod_{j=1}^3 (\mathbf{v}_{11j}^i)^{p_{2ij}}, \mathfrak{g}_2^{\beta} \right).$$
10. Set  $\mathfrak{R} \leftarrow \hat{e} \left( \mathfrak{b}_{11}, \pi_{c1,2}^{\frac{1}{2}} (\pi_{c1,1} \pi_{c1,2})^{\frac{1}{2}} \right) \cdot \hat{e} \left( \mathfrak{b}_{11}, \pi_{c1,1}^{\frac{1}{2}} \pi_{c1,2}^{\frac{1}{2}} \right) \cdot \hat{e} \left( \prod_{j=1}^3 \pi_{c2,1,j}^{p_{2j}}, \mathfrak{g}_2^{\beta} \right)$ .
11. Check that /\* Consistency: \*/
 
$$\prod_{i=1}^n \hat{e} \left( \prod_{j=1}^3 \pi_{c2,1,j}^{p_{2ij}} \cdot \pi_{c1,1}^{p_{1i}} \cdot \mathfrak{g}_2^{P_i(x)} \right) / \prod_{i=1}^n \hat{e} \left( \prod_{j=1}^3 \mathbf{v}_{11j}^{p_{1ij}}, \mathfrak{A}_{12} \right) = \mathfrak{R}.$$

# From Theory to Reality

- Our task is to make a working system out of the above description.
- It turned out that moving from theory to reality was far more interesting than we would have anticipated.

## Definition

The elliptic curve over  $\mathbb{Z}_p$ ,  $p > 3$ , is the set of all pairs  $(x, y) \in \mathbb{Z}_p$  so that:

$$y^2 = x^3 + ax + b \bmod p$$

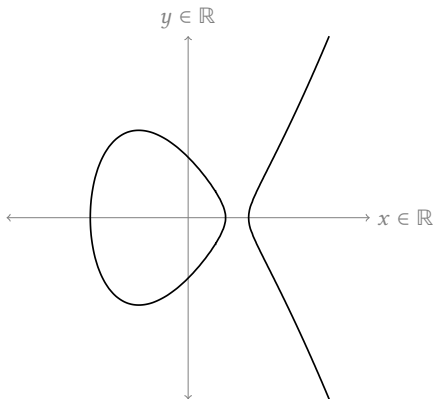
together with an imaginary point at infinity  $O$ , where

$$a, b \in \mathbb{Z}_p$$

and

$$4a^3 + 27b^2 \neq 0 \bmod p$$

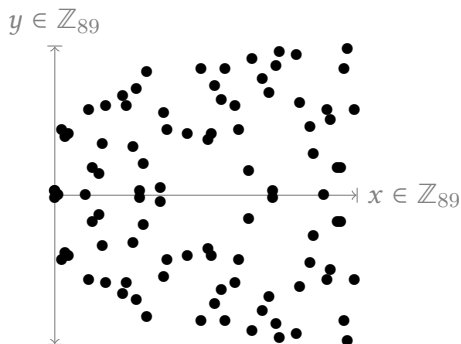
$$y^2 = x^3 - 2x + 1 \text{ over } \mathbb{R}$$



$$y^2 = x^3 - 2x + 1 \text{ over } \mathbb{R}$$

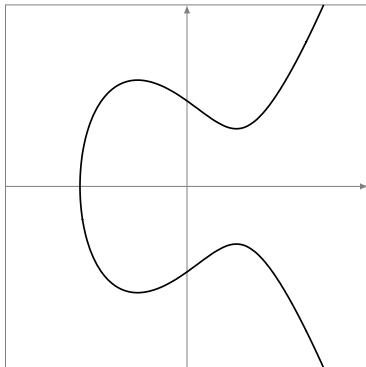
This and the following plots are adapted from J  r  my Jean, TikZ for Cryptographers, <http://www.iacr.org/authors/tikz/>, 2016.

$$y^2 = x^3 - 2x + 1 \text{ over } \mathbb{Z}_{89}$$



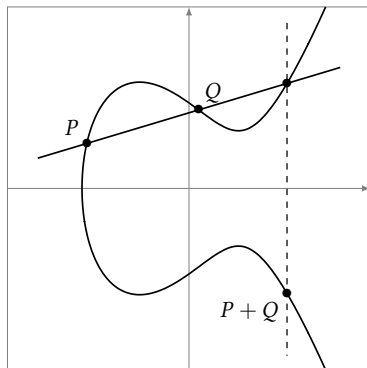
$$y = x^3 - 2x + 1 \text{ over } \mathbb{Z}_{89}$$

# Elliptic Curve $y^2 = x^3 + 2x - 2$



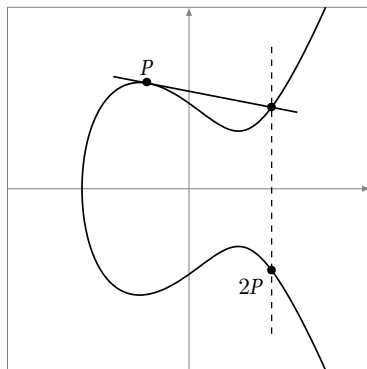
$$y^2 = x^3 + 2x - 2$$

# Elliptic Curve Addition



Addition  $P + Q$   
“Chord rule”

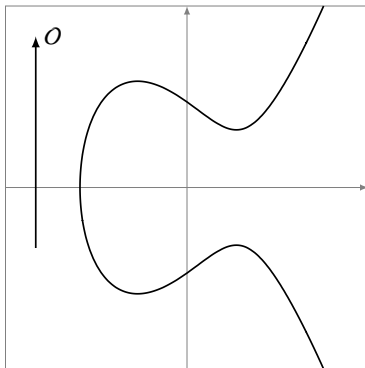
# Elliptic Curve Doubling



Doubling  $P + P = 2P$   
“Tangent rule”



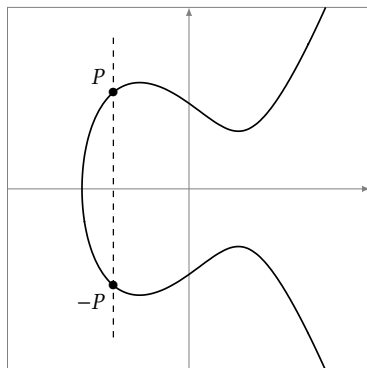
# Elliptic Curve Point at Infinity



Neutral element  $O$

$$P + O = P, P - P = O$$

# Elliptic Curve Inverse



Inverse element  $-P$

# Elliptic Curve Addition and Doubling

$$x_3 = s^2 - x_1 - x_2 \bmod p$$

$$y_3 = s(x_1 - x_3) - y_1 \bmod p$$

where

$$s = \begin{cases} \frac{y_2 - y_1}{x_2 - x_1} \bmod p, & \text{if } P \neq Q \text{ (point addition)} \\ \frac{3x_1^2 + a}{2y_1} \bmod p, & \text{if } P = Q \text{ (point doubling)} \end{cases}$$

$s$  is the slope of the line passing through  $P$  and  $Q$  (in addition) or the slope of the tangent through  $P$  (in doubling).

# From Integers to Elliptic Curves and Back

- If  $n$  is an integer, to find the corresponding point, we add the generator (point 1)  $n$  times.
- The reverse operator is not that straightforward.
- Unfortunately, there is not direct mapping *back* from an elliptic curve to an integer.
- That means that we have to use lookup tables or some other search-based methods.

# Additive Notation

- Let  $G$  be a group of prime order  $r$ .
- The notation  $[a]P$  corresponds to scalar multiplication of a generator  $P \in G$  by a scalar  $a \in \mathbb{Z}_r$ .
- That is:  
 $[a]P = P + P + \dots + P$ ,  $a$  times when  $a > 0$ , or  
 $[a]P = -P - P - \dots - P$ ,  $a$  times when  $a < 0$ .
- We will use  $0_G$  as the neutral element of group  $G$ .
- If  $G$  is a multiplicative group of prime order  $r$ , we will use  $1_G$  as the neutral element of  $G$ .

# ElGamal Encryption Revisited

We have a message  $m \in G$  and a public key  $(P, Y) \in G^2$ .  $G$  is a group of prime order  $r$  and  $P$  is a generator of  $G$ .

- 1 The secret key is  $x \in \mathbb{Z}_r^*$  and the public key is  $Y = [x]P$ .
- 2 Choose  $\rho \in \mathbb{Z}_r^*$  at random.
- 3 Compute  $T_1 = m + [\rho]Y$  and  $T_2 = [\rho]P$ .
- 4 Output  $C = (T_1, T_2)$ .

# ElGamal Decryption Revisited

Output:

$$T_1 - [x]T_2 = m + [\rho]Y - [x][\rho]T_2 = m + [\rho][x]P - [x][\rho]P = m$$

# Multiplicative Notation

- Let  $G$  be a group of prime order  $r$ .
- The notation  $P^a$  corresponds to exponentiation of a generator  $P \in G$  by a scalar  $a \in \mathbb{Z}_r$ .
- That is:  
 $P^a = P \times P \times \cdots \times P$ ,  $a$  times when  $a > 0$ , or  
 $P^a = P^{-1} \times P^{-1} \times \cdots \times P^{-1}$ ,  $a$  times when  $a < 0$ .
- We will use  $1_G$  as the neutral element of group  $G$ .



## Definition

A *bilinear pairing* on  $(G_1, G_2, G_T)$ , where  $G_1$  and  $G_2$  are groups with additive notation and  $G_T$  is a group with multiplicative notation, all of prime order  $r$ , is a map

$$\hat{e} : G_1 \times G_2 \rightarrow G_T$$

with the following properties:

- 1 *Bilinearity*: For all  $P_1 \in G_1$ ,  $P_2 \in G_2$ , and  $a, b \in \mathbb{Z}_r$ , we have:

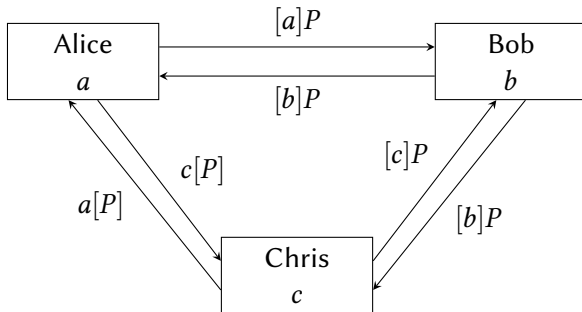
$$\hat{e}([a]P_1, [b]P_2) = \hat{e}(P_1, P_2)^{ab}$$

- 2 *Non-degeneracy*: for  $P_1 \neq 0_{G_1}$  and  $P_2 \neq 0_{G_2}$ ,  $\hat{e}(P_1, P_2) \neq 1_{G_T}$ .
- 3 *Computability*:  $\hat{e}$  can be efficiently computed.

# Joux's Key Agreement Protocol

- A straightforward application of pairings is Joux's three-party one-round key agreement protocol.
- Note that the protocol is not interesting from a practical point of view.
- It is resistant to passive attacks; it needs at least an additional round for active attacks.

# Three-party One-round Key Agreement (1)



## Three-party One-round Key Agreement (2)

- Alice randomly selects a secret integer  $a \in [1, n-1]$  and broadcasts point  $[a]P$  to the other two parties.
- At the same time, Bob and Chris perform the same steps, broadcasting points  $[b]P$  and  $[c]P$ .
- After receiving  $bP$  and  $cP$ , Alice (and also Bob and Chris) can compute the shared secret  $K = \hat{e}([b]P, [c]P)^a = \hat{e}(P, P)^{abc}$ .
- The system's security relies on the *Bilinear Diffie-Hellman Problem* (BHDP): Given  $P, [a]P, [b]P, [c]P$ , compute  $\hat{e}(P, P)^{abc}$ .

# Bilinear Mappings Implementation

- As with elliptic curves, cryptographers make specific recommendations on the bilinear mappings that should be used.
- In our case, the recommended way to go is the Ate pairing over a subclass of Barreto-Naehrig elliptic curves.

The implementation uses C to take care of the cryptographic operations, but is about 100 slower than it would be if we were using *only* C. Reasons include:

- We spend a lot of time moving from Python to C and back, instead of *staying* in C.
- We do not vectorize operations.
- We do not use optimized mathematical operations such as windowed exponentiation.

# Vectorization Candidate

```
def step2a(sigma, A1, randoms, g1_poly_zero, g1_rho, g1_poly_squares):  
    pi_1sp = []  
    inverted_sigma = inverse_perm(sigma)  
    for inv_i, ri, Ai1 in zip(inverted_sigma, randoms, A1):  
        g1i_poly_sq = g1_poly_squares[inv_i]  
        v = (2 * ri) * (Ai1 + g1_poly_zero) - (ri * ri) * g1_rho + g1i_poly_sq  
        pi_1sp.append(v)  
    return pi_1sp
```

# And to Start in the First Place...

- The shuffle scheme we have described uses the *Common Reference String* (CRS) model.
- According to this model, during shuffling all parties have access to the CRS.
- The CRS must be generated in a way that it is shared among partners in the protocol.
- In other words, it must be calculated in a *Secure Multiparty Computation* fashion.



# Secure Multiparty Multiplication (1)

- Suppose we have a set of  $n$  participants  $p_m$ ,  $1 \leq m \leq n$ .
- We have two values  $s$  and  $t$ .
- We have  $s = \sum_{i=1}^k s_i$  and  $t = \sum_{j=1}^k t_j$ .
- The different  $s_i$ s and  $t_j$ s are partitioned among the participants so that each participant has a subset of  $s_i$ s and  $t_j$ s and each  $s_i$  and  $t_j$  goes to one and only one participant.
- We call  $U_m$  the set of tuples  $(s_i, t_j)$  that goes to participant  $p_m$ .
- How can we compute  $s \cdot t$  in a shared fashion? At the end we want the result to be shared among participants, so that we can get it only by bringing them all together.

## Secure Multiparty Multiplication (2)

- 1 Each participant  $p_m$  computes  $v_m = \sum_{(i,j) \in U_m} s_i t_j$ .
- 2 Each participant sends  $v_m$  to all other participants.
- 3 Each participant adds locally all values received by other participants.

It is easy to see that  $s \cdot t = \sum_{m=1}^n v_m$ .

For more details, see Ueli Maurer, *Secure multi-party computation made simple*, Discrete Applied Mathematics, 154(2), 1 February 2006, pages 370–381.