# Introduction to Transformers for NLP

where we are and how we got here



Olga Petrova Al Product Manager



- Who I am:
  - Product Manager for AI PaaS at Scaleway
     Scaleway: European cloud provider, originating from
     France



#### • Who I am:

Product Manager for AI PaaS at Scaleway
 Scaleway: European cloud provider, originating from
 France

Smart labeling: data annotation platform for computer vision (summer 2021) and NLP (2022)



#### • Who I am:

- Product Manager for AI PaaS at Scaleway
   Scaleway: European cloud provider, originating from
   France
   Smart labeling: data annotation platform for computer
   vision (summer 2021) and NLP (2022)
- Machine Learning engineer at Scaleway



#### • Who I am:

- Product Manager for AI PaaS at Scaleway
   Scaleway: European cloud provider, originating from
   France
   Smart labeling: data annotation platform for computer
   vision (summer 2021) and NLP (2022)
- Machine Learning engineer at Scaleway
- Quantum physicist at École Normale Supérieure, the
   Max Planck Institute, Johns Hopkins University



- What this talk is about:
  - Transformer neural networks for NLP

- What this talk is about:
  - Transformer neural networks for NLP, but also:

- What this talk is about:
  - Transformer neural networks for NLP, but also:
  - Recurrent Neural Networks
  - (Written) text pre-processing: tokenization, word embeddings (word2vec)

- What this talk is about:
  - Transformer neural networks for NLP, but also:
  - Recurrent Neural Networks
  - (Written) text pre-processing: tokenization, word embeddings (word2vec)
    - Common across most NLP tasks (text classification, language modeling, machine translation, etc)

- What this talk is about:
  - Transformer neural networks for NLP, but also:
  - Recurrent Neural Networks
  - (Written) text pre-processing: tokenization, word embeddings (word2vec)
     Common across most NLP tasks (text classification, language modeling, machine translation, etc)
  - BERT: contextual word embeddings

- What this talk is about:
  - Transformer neural networks for NLP, but also:
  - Recurrent Neural Networks
  - (Written) text pre-processing: tokenization, word embeddings (word2vec)
     Common across most NLP tasks (text classification, language modeling, machine translation, etc)
  - BERT: contextual word embeddings
- Who this talk is for:
  - Enough intro for newcomers to NLP

- What this talk is about:
  - Transformer neural networks for NLP, but also:
  - Recurrent Neural Networks
  - (Written) text pre-processing: tokenization, word embeddings (word2vec)
     Common across most NLP tasks (text classification, language modeling, machine translation, etc)
  - BERT: contextual word embeddings
- Who this talk is for:
  - Enough intro for newcomers to NLP
  - Enough transformers for those who are used to RNNs

#### **Outline**

- 1. NLP 101: how do we represent words in machine learning
- 2. Order matters: Recurrent Neural Networks

  - RNNs Seq2Seq models
  - What is wrong with RNNs
- 3. Attention is all you need!
  - what is Attention Attention, the linear algebra perspective
- 4. The Transformer architecture
  - Mostly Attention
- 5. BERT: contextualized word embeddings

#### **Outline**

- 1. NLP 101: how do we represent words in machine learning
- 2. Order matters: Recurrent Neural Networks
  - RNNs Seq2Seq models
  - What is wrong with RNNs
- 3. Attention is all you need!
  - What is Attention Attention, the linear algebra perspective
- 4. The Transformer architecture
  - Mostly Attention
- 5. BERT: contextualized word embeddings

NLP 101 RNNs Attention Transformers BERT

## Representing words

NLP 101 RNNs Attention Transformers BERT

## Representing words

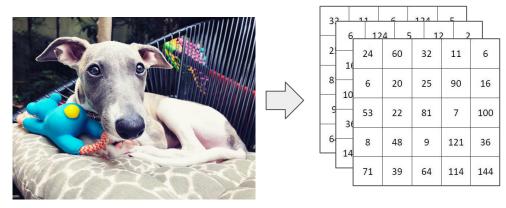
I play with my dog.

I play with my dog.

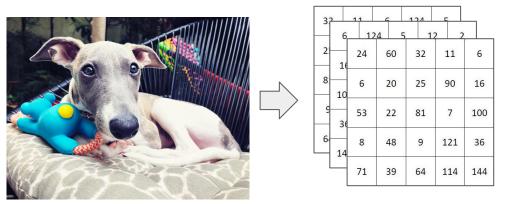
I play with my dog.



I play with my dog.



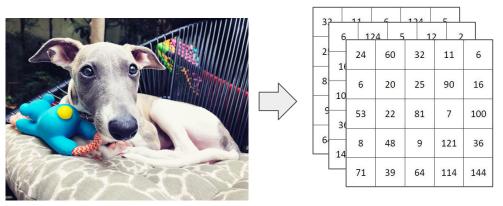
I play with my dog.



ID	Age	Gender	Weight	Diagnosis
264264	27	0	72	1
908696	61	1	80	0

I play with my dog.

Machine learning: inputs are vectors / matrices



ID	Age	Gender	Weight	Diagnosis
264264	27	0	72	1
908696	61	1	80	0

How do we convert "I play with my dog" into numerical values?

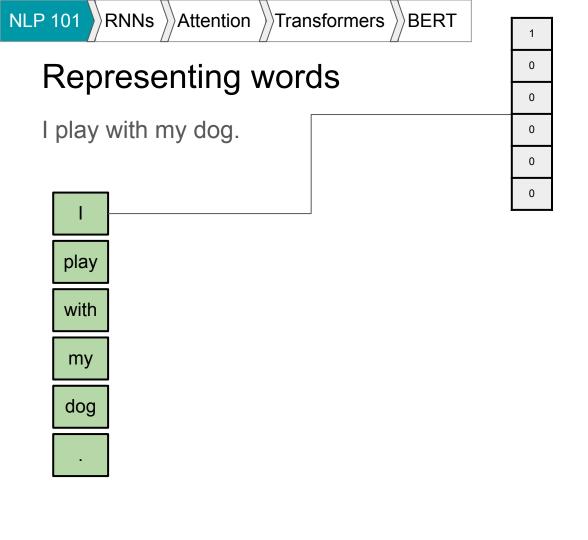
I play with my dog.

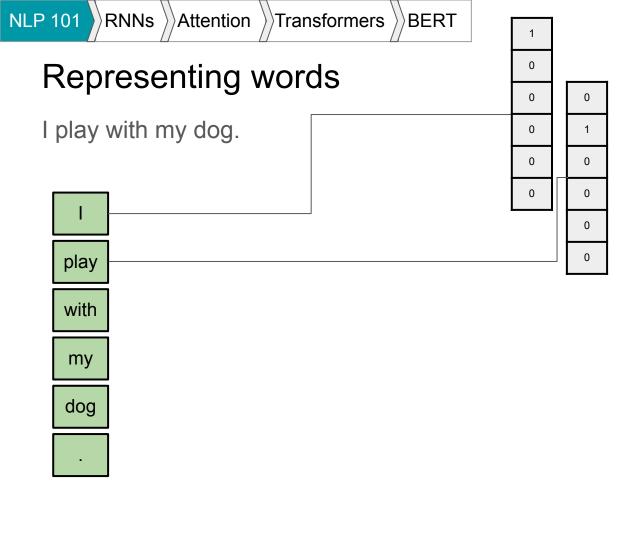
play
with
my
dog

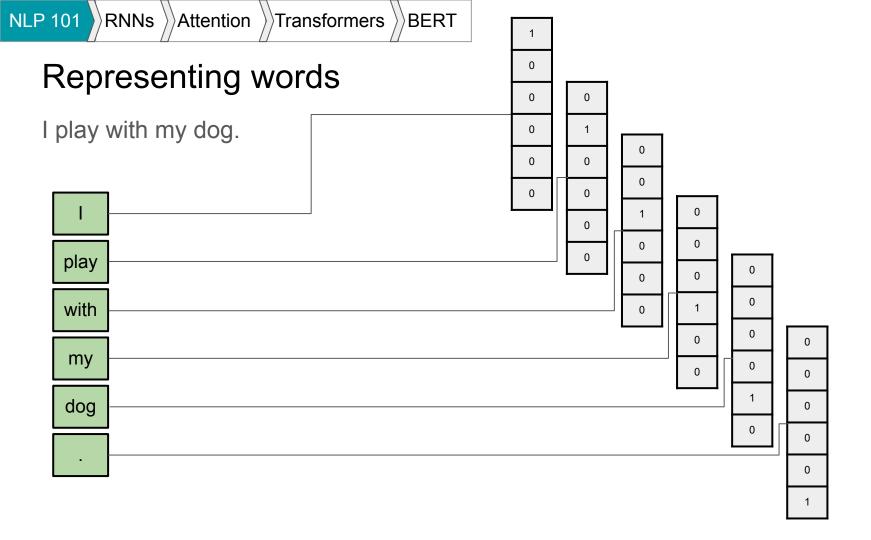


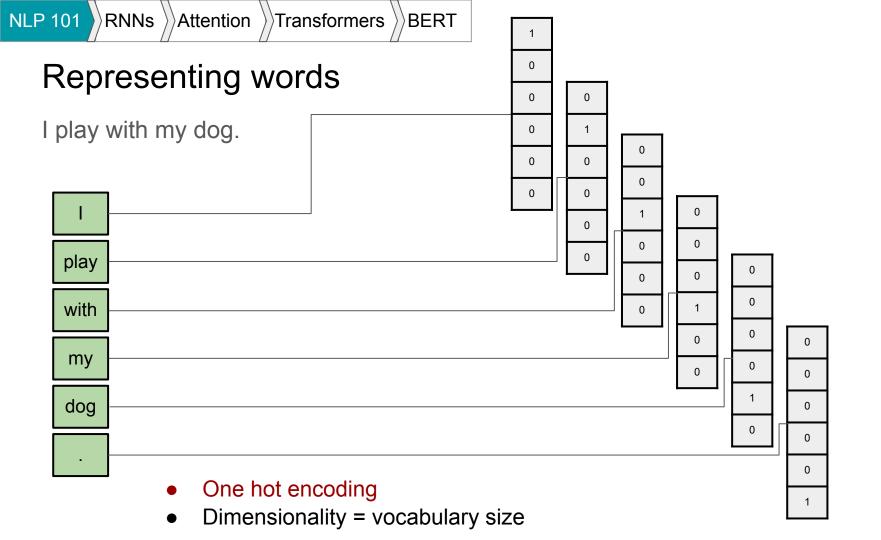
I play with my dog.

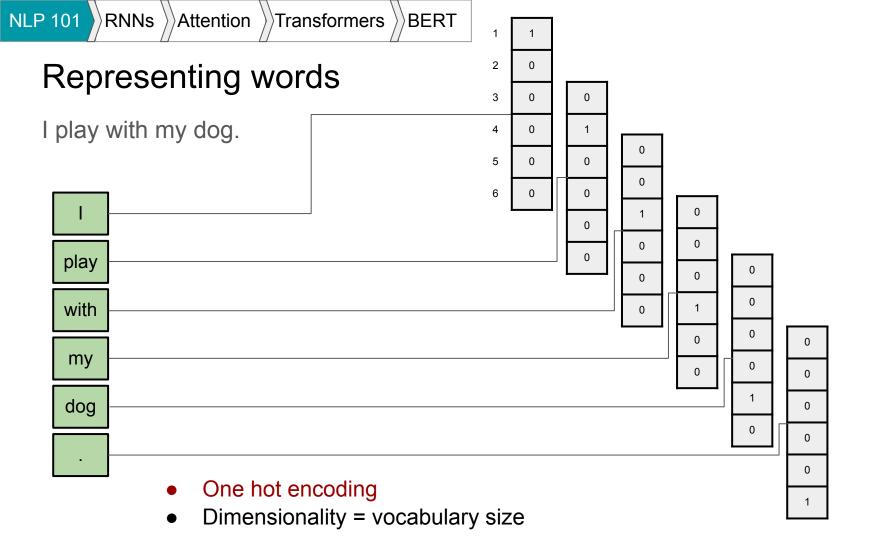
play
with
my
dog

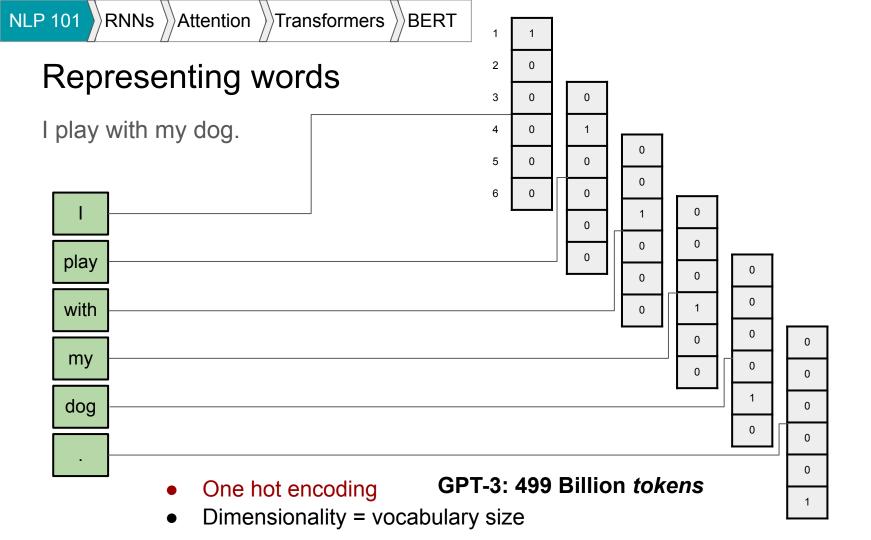


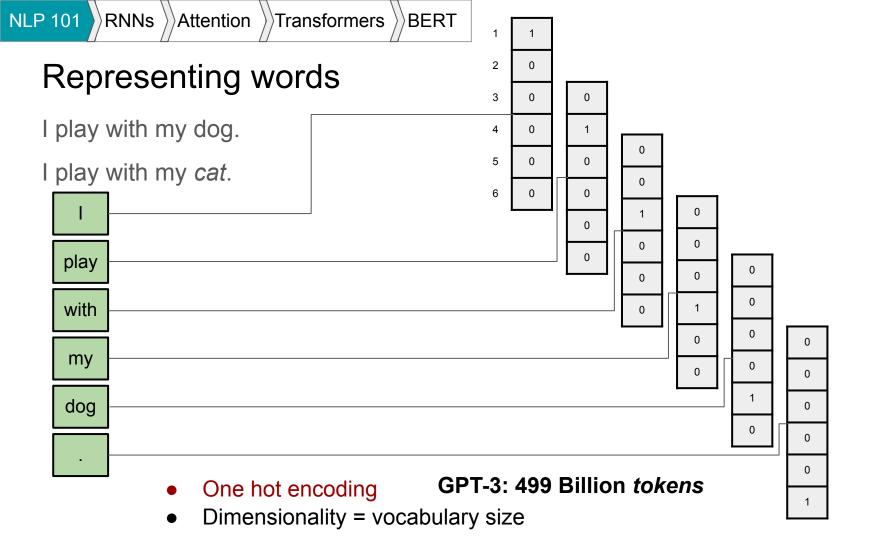


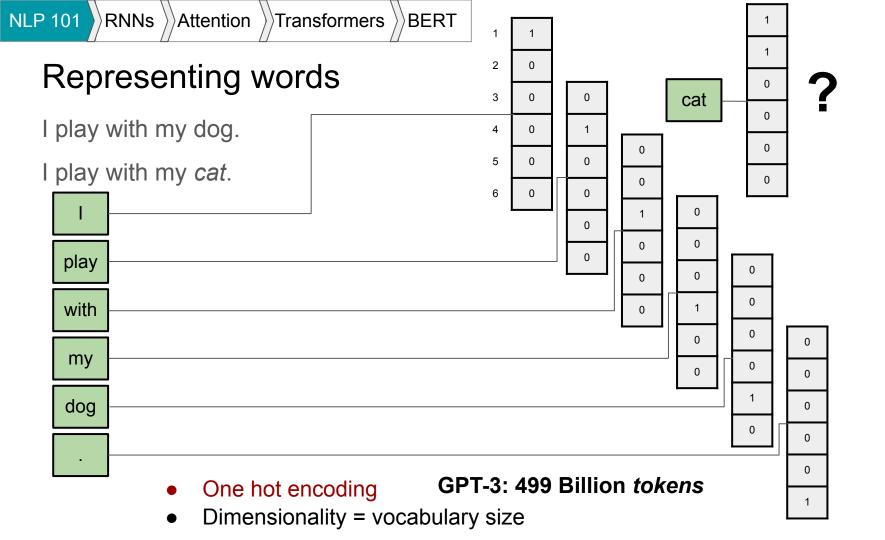


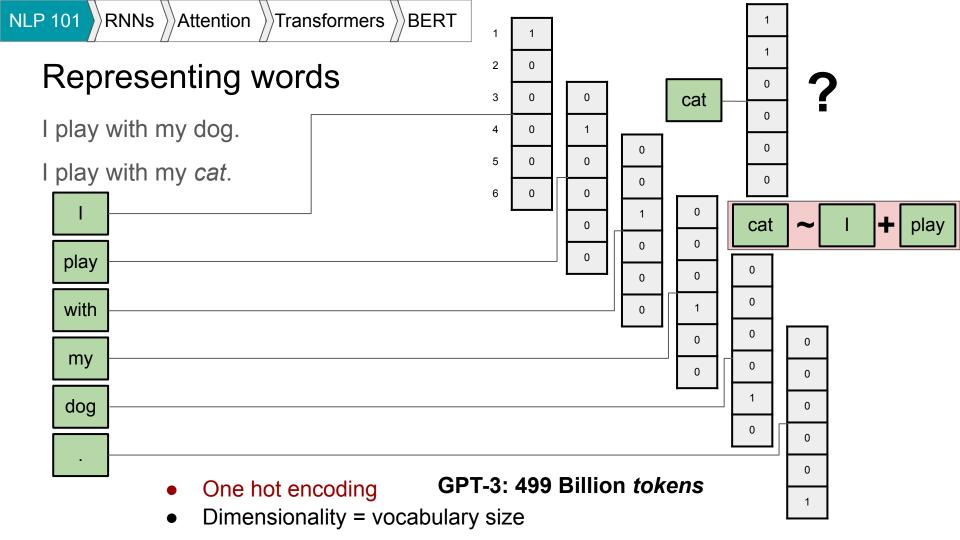


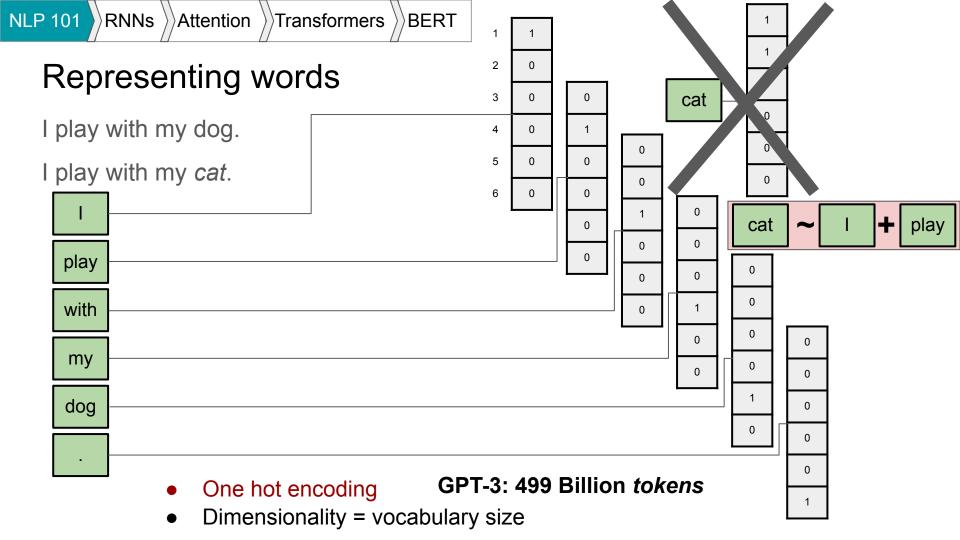


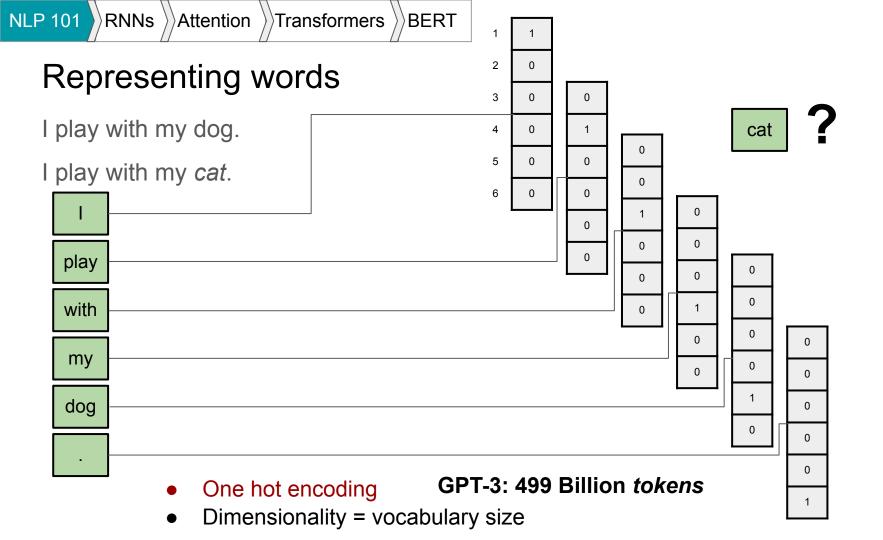


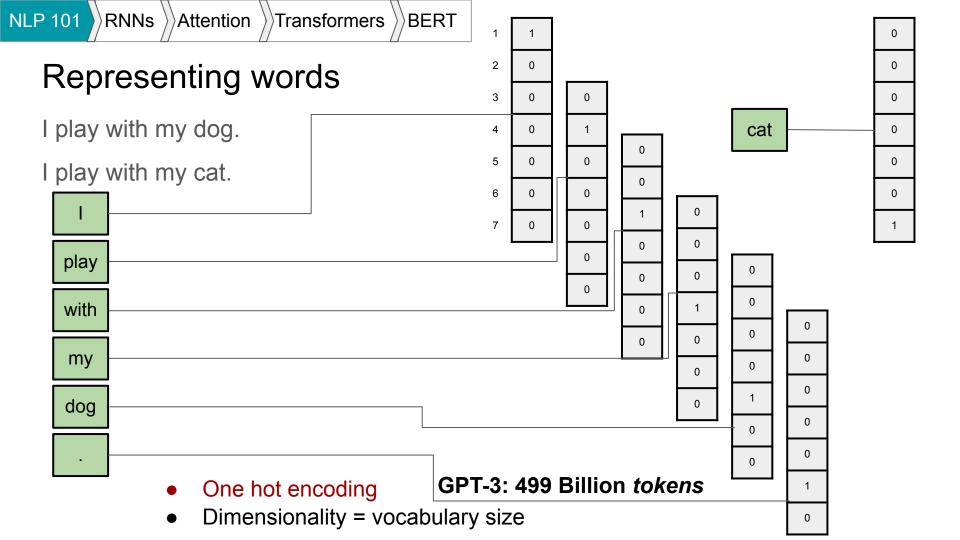












# High dimensional inputs

✓ One hot vectors are sparse

# High dimensional inputs

- One hot vectors are sparse
- However:
- → Higher dimensional inputs → more trainable parameters to learn
   → more training data needed

# High dimensional inputs

- ✓ One hot vectors are sparse
- However:
- → Higher dimensional inputs → more trainable parameters to learn
   → more training data needed
- ➤ Words are **not** linearly independent
   → want word representations to capture relationships

# High dimensional inputs

- ✓ One hot vectors are sparse
- However:
- → Higher dimensional inputs → more trainable parameters to learn
   → more training data needed
- Words are **not** linearly independent
   → want word representations to capture relationships
- Tokenization, then word embeddings

I play / played / was playing with my dog.

```
NLP 101 RNNs Attention Transformers BERT
```

I play / played / was playing with my dog.

```
Word = token: play , played , playing
```

```
NLP 101 RNNs Attention Transformers BERT
```

I play / played / was playing with my dog.

```
Word = token: play , played , playing
```

Subword = token: play, #ed, #ing

I play / played / was playing with my dog.

```
Word = token: play , played , playing
```

Subword = token: play, #ed, #ing

I play / played / was playing with my dog.

```
Word = token:

play, played, playing

Subword = token:

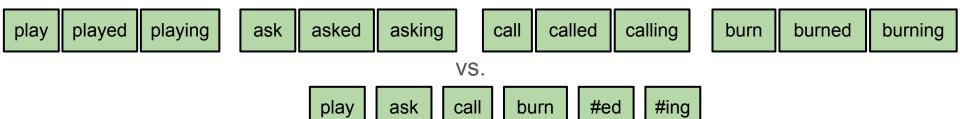
play, #ed, #ing
```

E.g.: played = play ; #ed

Why tokenize at subword level?

#### **Tokenization**

• Cut down on the size of the vocabulary:



#### **Tokenization**

Cut down on the size of the vocabulary:



• Fewer *Unknown* words, partial correction of misspellings

#### **Tokenization**

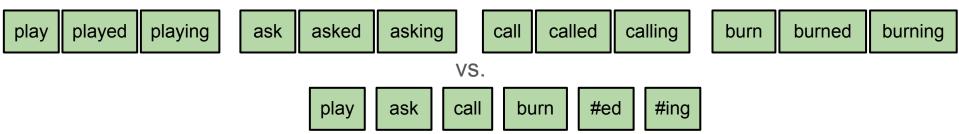
• Cut down on the size of the vocabulary:

```
played
                playing
                                   asked
                                             asking
                                                                called
                                                                         calling
                            ask
                                                         call
                                                                                     burn
                                                                                             burned
                                                                                                        burning
play
                                                     VS.
                                                                            #ing
                                    play
                                             ask
                                                    call
                                                            burn
                                                                     #ed
```

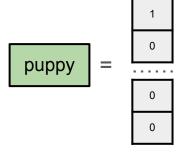
- Fewer *Unknown* words, partial correction of misspellings
- Parts of related words (e.g. play / played) will be represented by the same vector

#### **Tokenization**

• Cut down on the size of the vocabulary:



- Fewer *Unknown* words, partial correction of misspellings
- Parts of related words (e.g. play / played) will be represented by the same vector
- Is there a way to encode semantic relations between words, and decrease the dimensions of the inputs?



One hot encodings

N = vocabulary size

$$\begin{bmatrix} cat \\ = \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}$$
One hot encodings

puppy = 0 0 0 0 0

dog : puppy = cat : kitten. How can we record this?

$$\begin{bmatrix} cat \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$
 
$$\begin{bmatrix} dog \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$$
 
$$\begin{bmatrix} kitten \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}$$
 
$$\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

#### One hot encodings

- dog : puppy = cat : kitten. How can we record this?
- Goal: a lower-dimensional representation of a word (M << N)</li>

0

#### One hot encodings

- dog: puppy = cat: kitten. How can we record this?
- Goal: a lower-dimensional representation of a word (M << N)</li>

$$\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} M \begin{bmatrix} dog \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

puppy = 1

$$\begin{bmatrix} cat \\ 0 \\ 0 \\ 1 \end{bmatrix} N \begin{bmatrix} dog \\ 0 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}$$
 kitten 
$$\begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

puppy

=

#### One hot encodings

- dog: puppy = cat: kitten. How can we record this?
- Goal: a lower-dimensional representation of a word (M << N)</li>

$$\begin{bmatrix} cat \\ \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$$
 M 
$$\begin{bmatrix} dog \\ \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$
 kitten 
$$\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

What do you mean, "meaningful way"?

- dog : puppy = cat : kitten. How can we record this?
- Goal: a lower-dimensional representation of a word (M << N)</li>

=

puppy

What do you mean, "meaningful way"?

- 1 for feline 1 for canine 1 for adult / 0 for baby
  - dog : puppy = cat : kitten. How can we record this?
  - Goal: a lower-dimensional representation of a word (M << N)

=

puppy

What do you mean, "meaningful way"?

- 1 for feline
   1 for canine
   1 for adult / 0 for baby
  - dog : puppy = cat : kitten. How can we record this?
  - Goal: a lower-dimensional representation of a word (M << N)

=

puppy

$$\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}$$
 M 
$$\begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$$
 kitten 
$$\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

How do we arrive at this representation?

How do we arrive at this representation?

Pass the one-hot vector through a network with a bottleneck layer

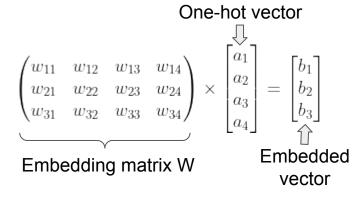
How do we arrive at this representation?

Pass the one-hot vector through a network with a bottleneck layer
 multiply the N-dim vector by NxM matrix to get an M-dim vector

One-hot vector 
$$\begin{pmatrix} w_{11} & w_{12} & w_{13} & w_{14} \\ w_{21} & w_{22} & w_{23} & w_{24} \\ w_{31} & w_{32} & w_{33} & w_{34} \end{pmatrix} \times \begin{bmatrix} a_1 \\ a_2 \\ a_3 \\ a_4 \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \\ b_3 \end{bmatrix}$$
 Embedded vector

How do we arrive at this representation?

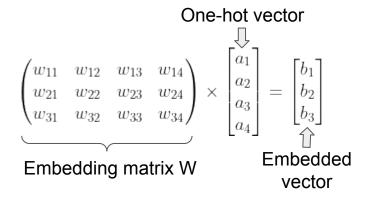
Pass the one-hot vector through a network with a bottleneck layer
 multiply the N-dim vector by NxM matrix to get an M-dim vector



Some information gets lost in the process

How do we arrive at this representation?

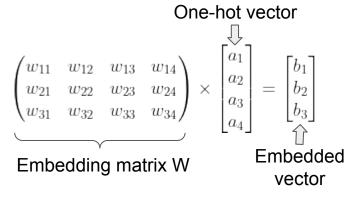
Pass the one-hot vector through a network with a bottleneck layer
 multiply the N-dim vector by NxM matrix to get an M-dim vector



- Some information gets lost in the process
- Embedding matrix: through machine learning!

How do we arrive at this representation?

Pass the one-hot vector through a network with a bottleneck layer
 multiply the N-dim vector by NxM matrix to get an M-dim vector



- Some information gets lost in the process
- Embedding matrix: through machine learning!

#### In practice:

- Embedding can be part of your model that you train for a ML task
- Or: use pre-trained embeddings (e.g. Word2Vec, GloVe, etc)

## Further reading

Tokenization:

blog.floydhub.com/tokenization-nlp/

Word embeddings:

jalammar.github.io/illustrated-word2vec/

#### Outline

- 1. NLP 101: how do we represent words in machine learning
  - Tokenization Word embeddings
- 2. Order matters: Recurrent Neural Networks
  - RNNs Seq2Seq models
  - what is wrong with RNNs
- 3. Attention is all you need!
  - What is Attention Attention, the linear algebra perspective
- 4. The Transformer architecture
  - Mostly Attention
- 5. BERT: contextualized word embeddings

- Not all seq2seq models are NLP
- Not all NLP models are seq2seq!
- But: they are common enough

- Not all seq2seq models are NLP
- Not all NLP models are seq2seq!
- But: they are common enough



- Not all seq2seq models are NLP
- Not all NLP models are seq2seq!
- But: they are common enough



Language modeling, question answering, machine translation, etc



- Not all seq2seq models are NLP
- Not all NLP models are seq2seq!
- But: they are common enough

#### Takeaways:

- 1. Multiple elements in a sequence
- 2. Order of the elements matters



Language modeling, question answering, machine translation, etc



#### RNNs: Recurrent Neural Networks

#### Recurrent neural network

From Wikipedia, the free encyclopedia

Not to be confused with recursive neural network.

A **recurrent neural network** (**RNN**) is a class of artificial neural networks where connections between nodes form a directed graph along a temporal sequence. This allows it to exhibit temporal dynamic behavior. Derived from

#### RNNs: Recurrent Neural Networks

#### Recurrent neural network

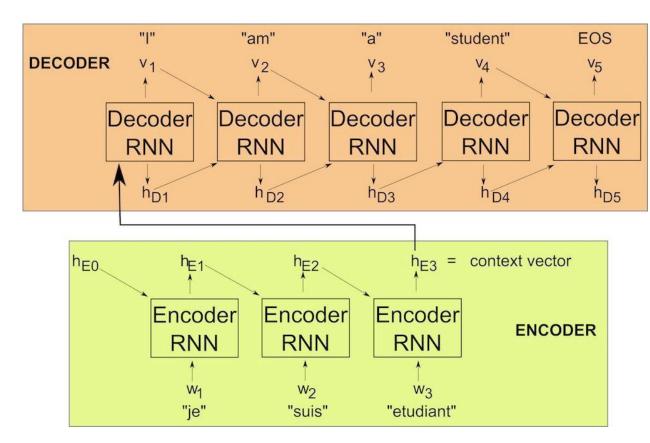
From Wikipedia, the free encyclopedia

Not to be confused with recursive neural network.

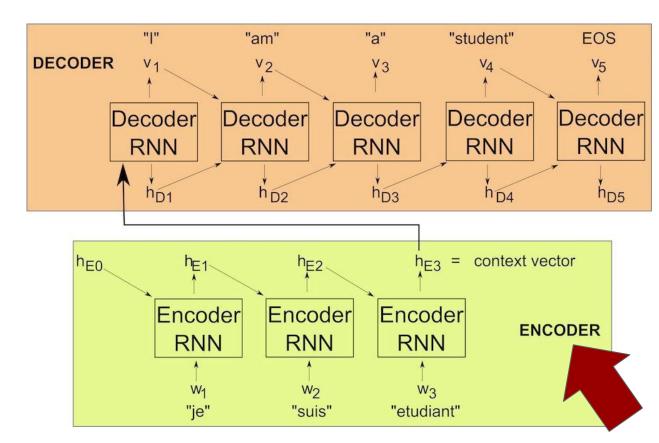
A **recurrent neural network** (**RNN**) is a class of artificial neural networks where connections between nodes form a directed graph along a temporal sequence. This allows it to exhibit temporal dynamic behavior. Derived from

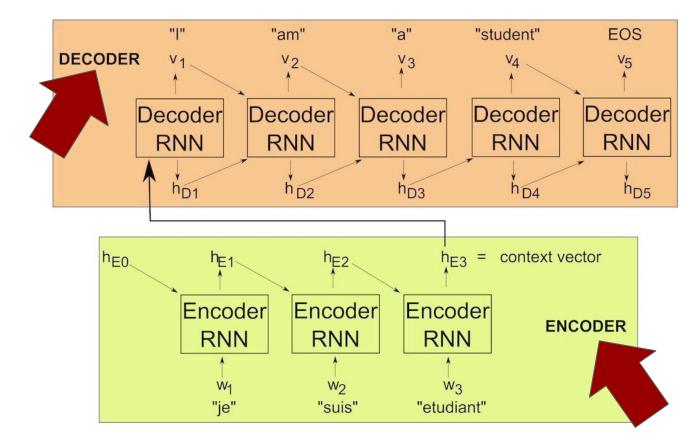
What does this mean???

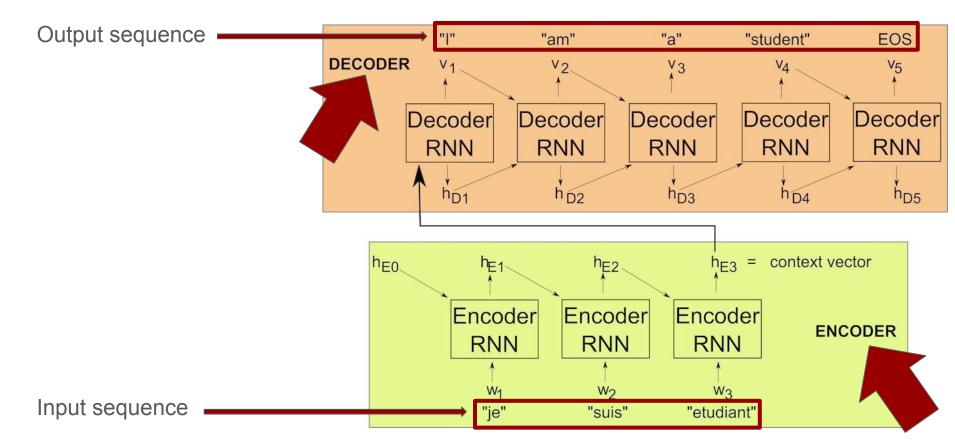
## RNNs: the seq2seq example

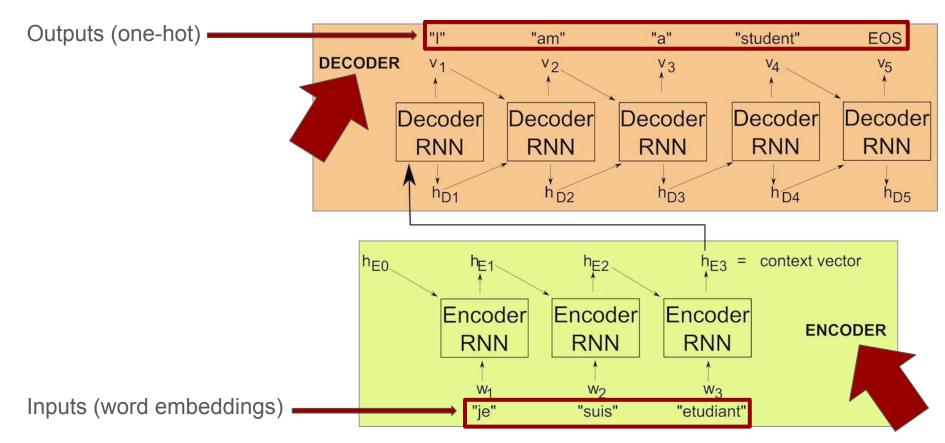


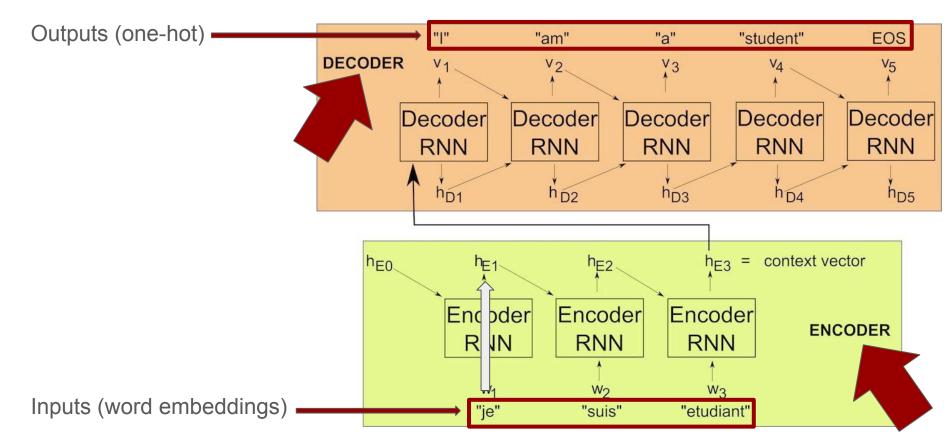
## RNNs: the seq2seq example

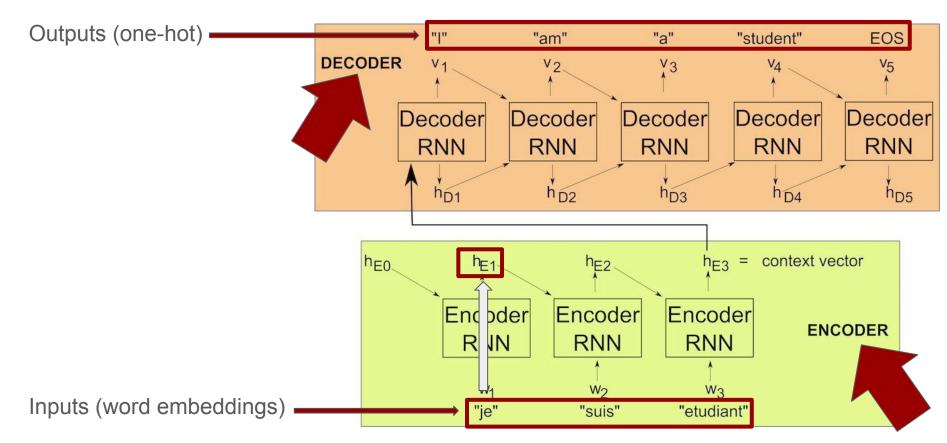


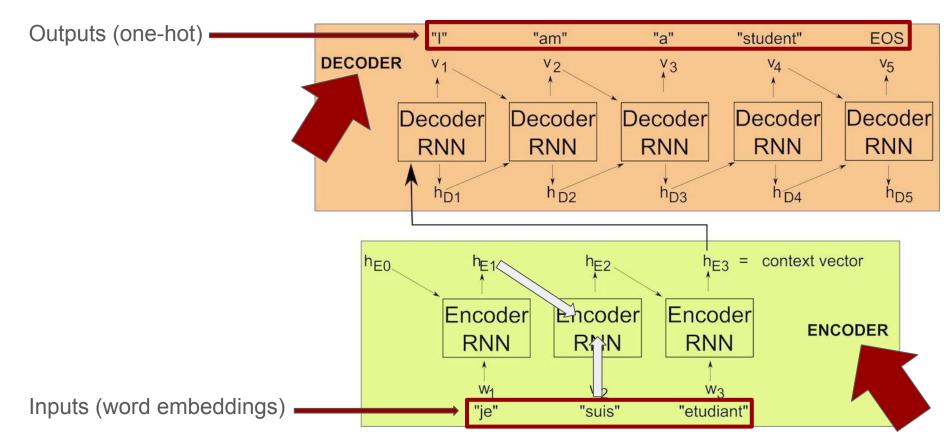


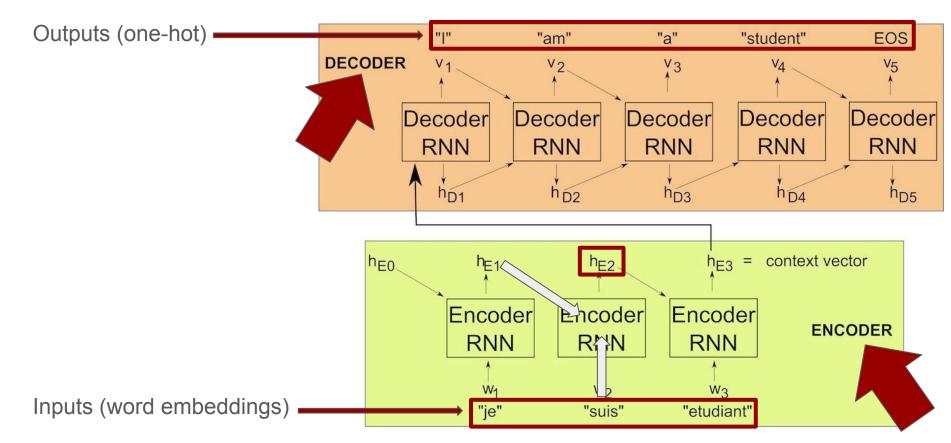


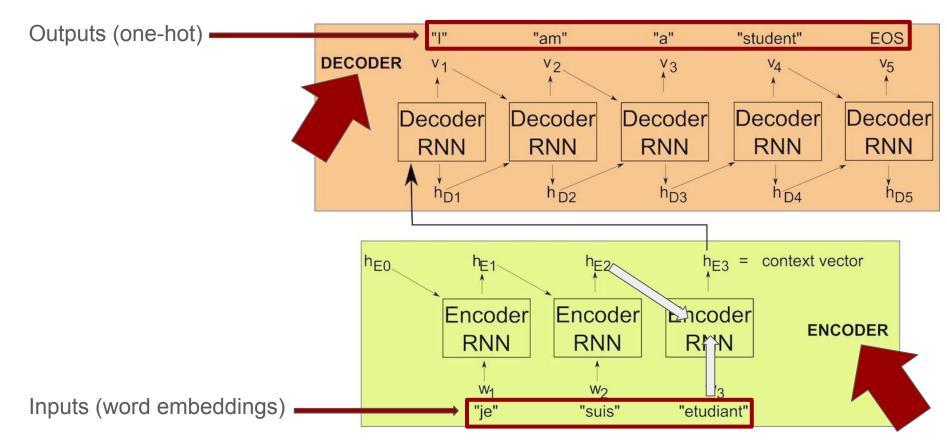


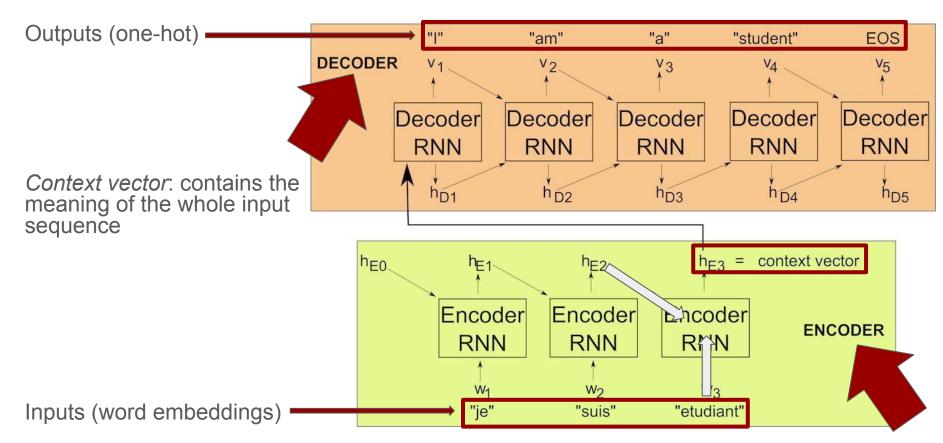


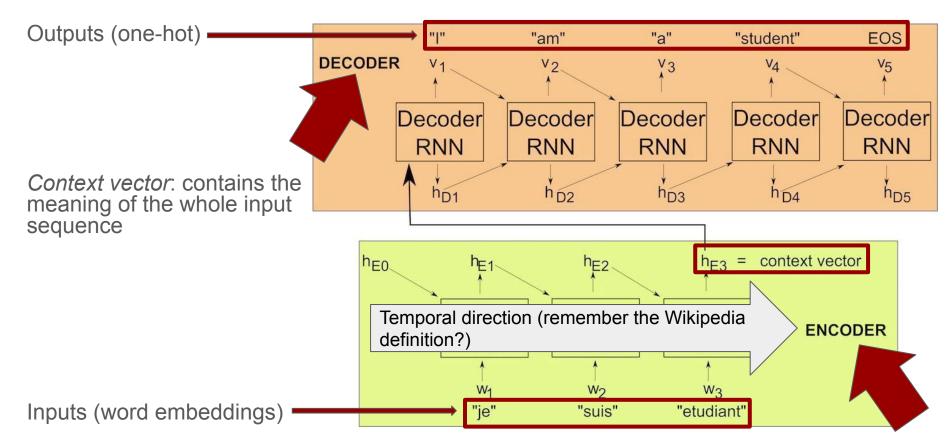


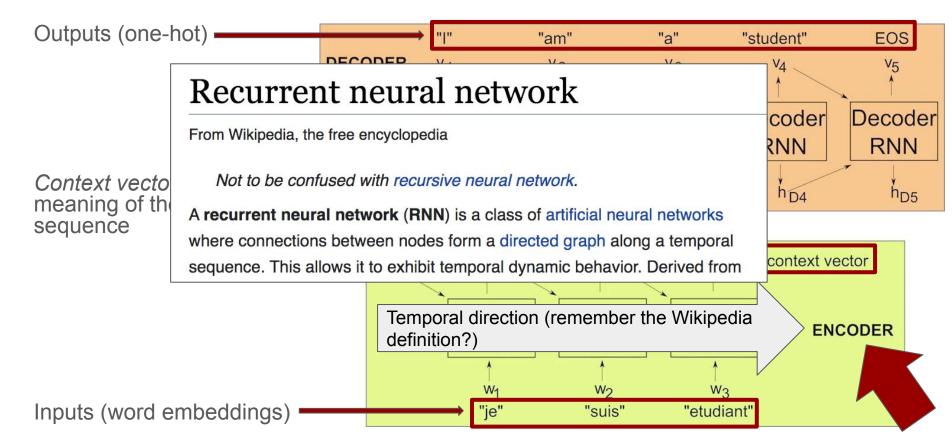


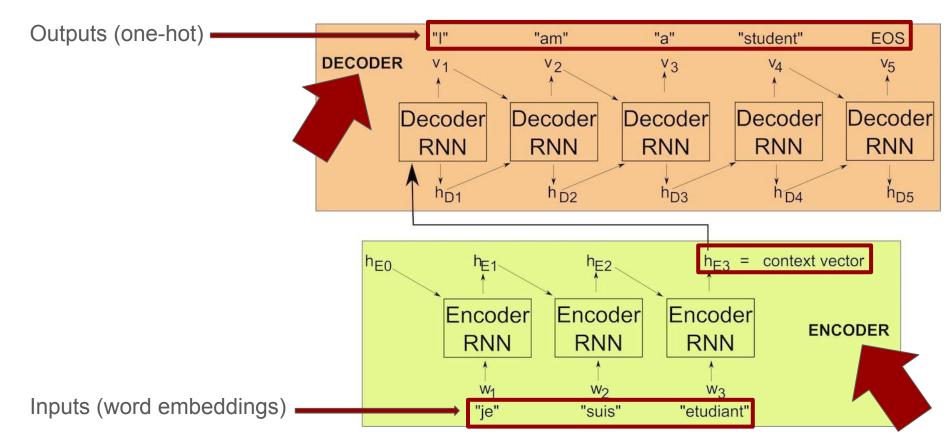


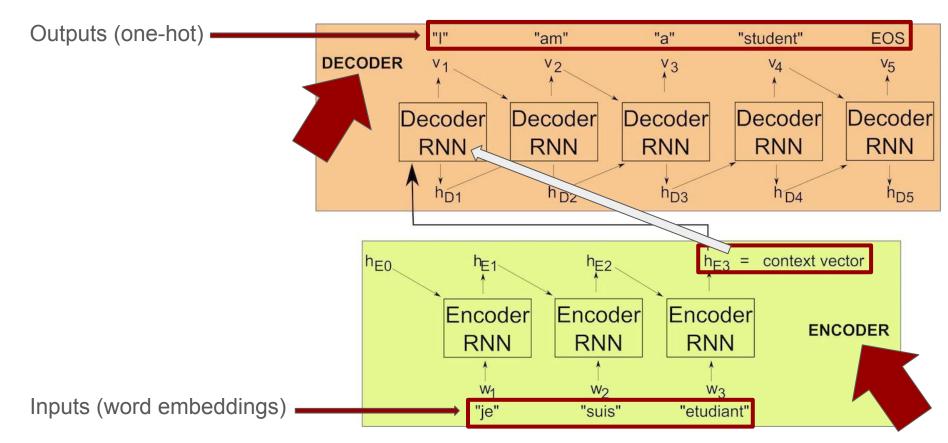


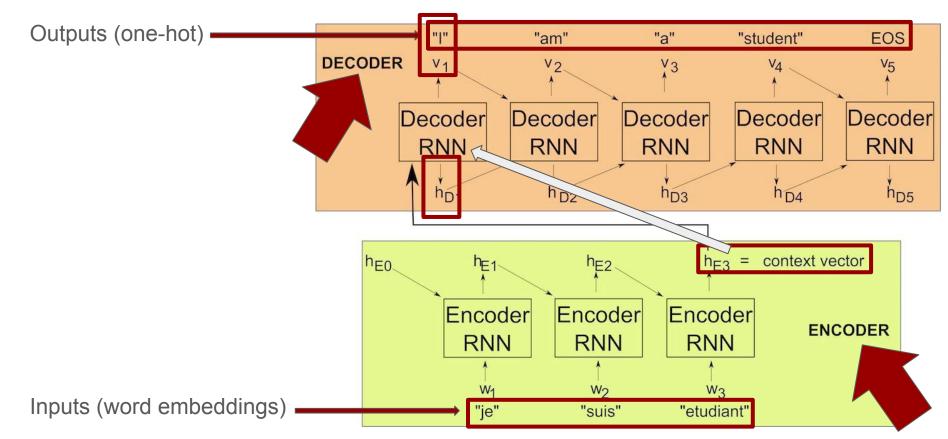


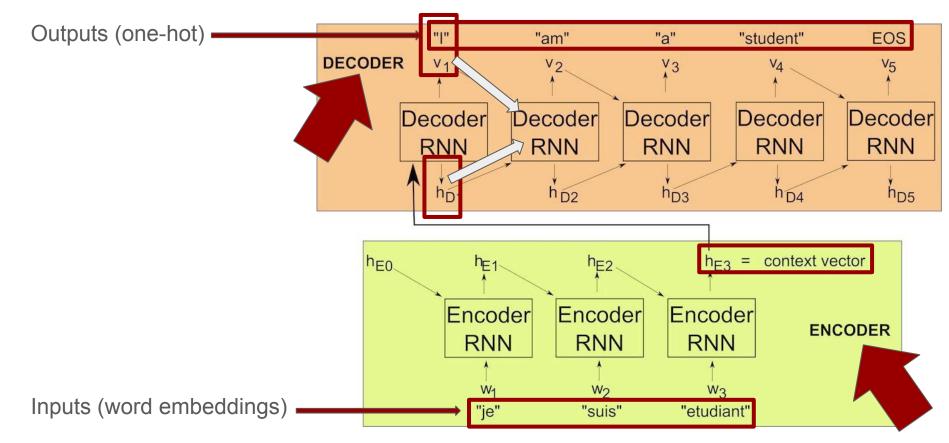


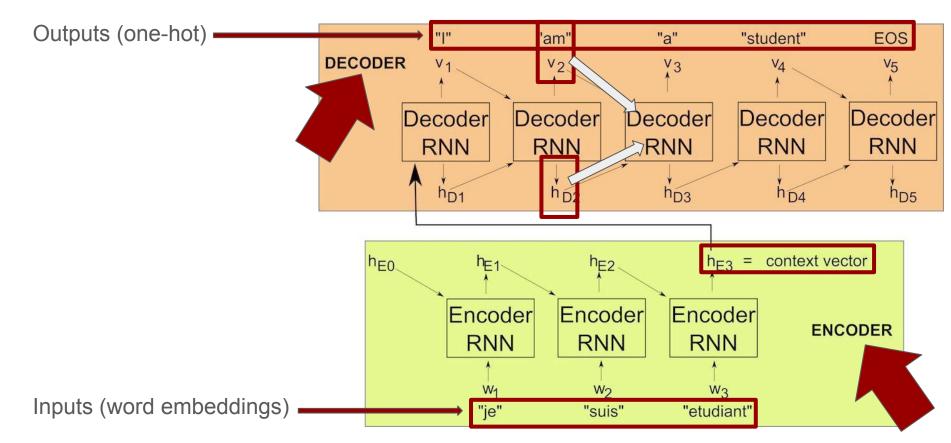


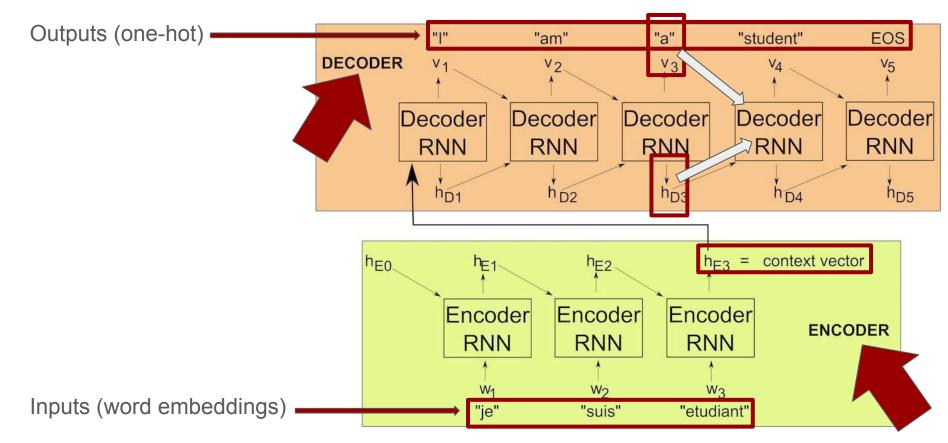


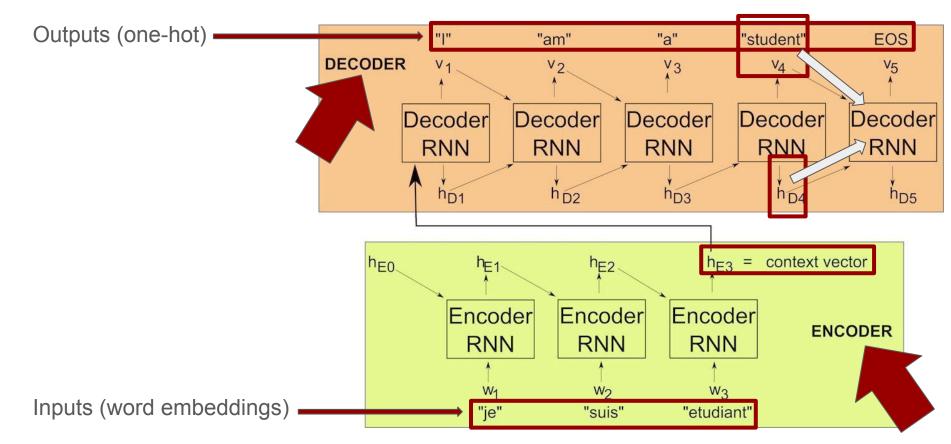


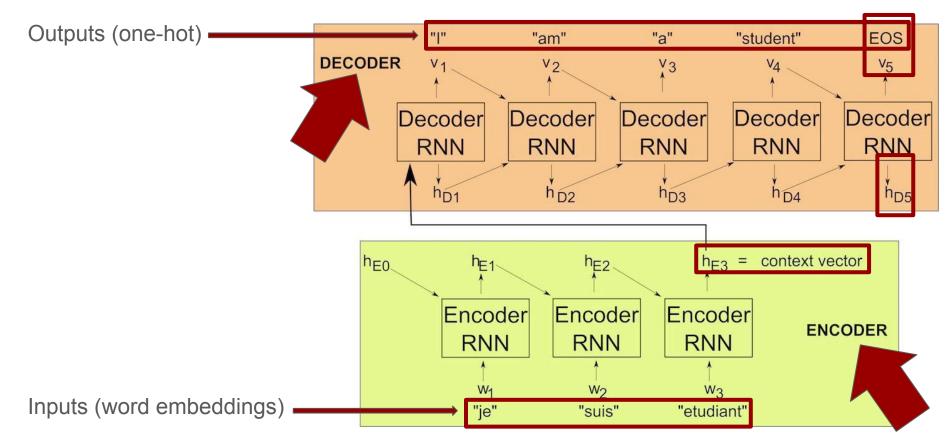












# Does this really work?

### Does this really work?

Yes! (For the most part) Google Translate has been using these since ~ 2016

# Does this really work?

What is the catch?

Yes! (For the most part) Google Translate has been using these since ~ 2016

Yes! (For the most part) Google Translate has been using these since ~ 2016

What is the catch?

Catch # 1: Recurrent = Non-parallelizable

Yes! (For the most part) Google Translate has been using these since ~ 2016

What is the catch?

- Catch # 1: Recurrent = Non-parallelizable
- Catch # 2: Relying on a fixed-length context vector to capture the full input sequence. What can go wrong?

Yes! (For the most part) Google Translate has been using these since ~ 2016

What is the catch?

- Catch # 1: Recurrent = Non-parallelizable
- Catch # 2: Relying on a fixed-length context vector to capture the full input sequence. What can go wrong?

"I am a student."

Yes! (For the most part) Google Translate has been using these since ~ 2016

What is the catch?

- Catch # 1: Recurrent = Non-parallelizable
- Catch # 2: Relying on a fixed-length context vector to capture the full input sequence. What can go wrong?

"I am a student."

Yes! (For the most part) Google Translate has been using these since ~ 2016

What is the catch?

- Catch # 1: Recurrent = Non-parallelizable 🐌
- Catch # 2: Relying on a fixed-length context vector to capture the full input sequence. What can go wrong?

"I am a student."

"It was a wrong number that started it, the telephone ringing three times in the dead of night, and the voice on the other end asking for someone he was not."

Yes! (For the most part) Google Translate has been using these since ~ 2016

What is the catch?

- Catch # 1: Recurrent = Non-parallelizable
- Catch # 2: Relying on a fixed-length context vector to capture the full input sequence. What can go wrong?

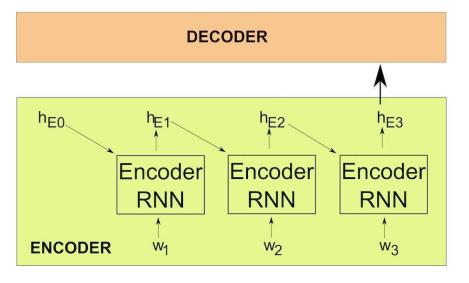
"I am a student."

"It was a wrong number that started it, the telephone ringing three times in the dead of night, and the voice on the other end asking for someone he was not." ••

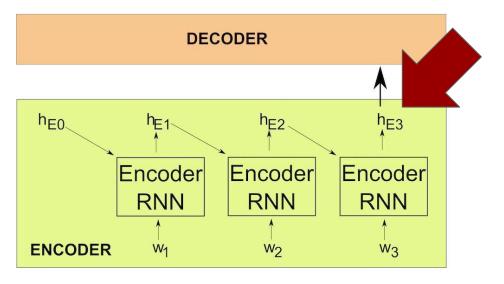
#### **Outline**

- 1. NLP 101: how do we represent words in machine learning
  - Tokenization Word embeddings
- 2. Order matters: Recurrent Neural Networks
  - RNNs Seq2Seq models
  - What is wrong with RNNs
- 3. Attention is all you need!
  - what is Attention Attention, the linear algebra perspective
- 4. The Transformer architecture
  - Mostly Attention
- 5. BERT: contextualized word embeddings

#### The Attention Mechanism

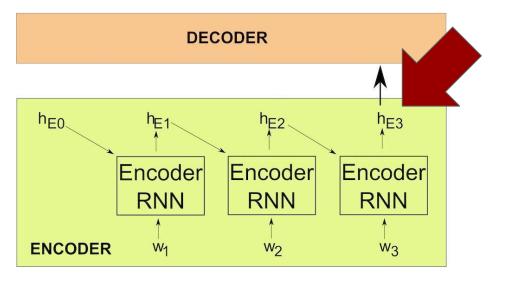


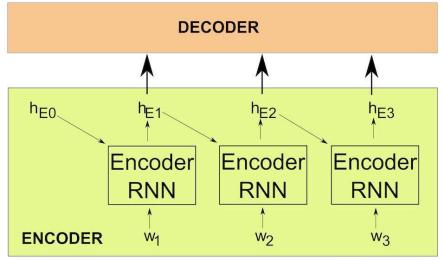
#### The Attention Mechanism



Recurrent NN from the previous section

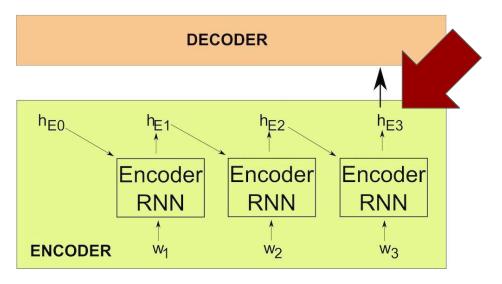
#### The Attention Mechanism

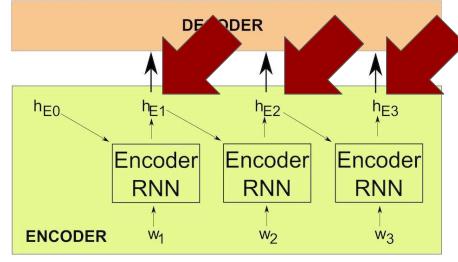




Recurrent NN from the previous section

#### The Attention Mechanism

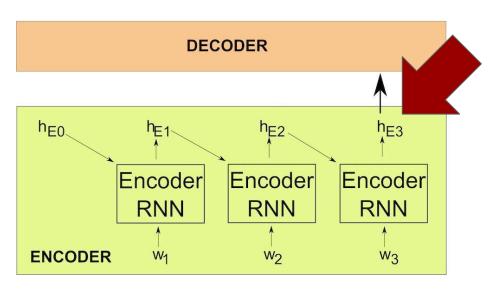


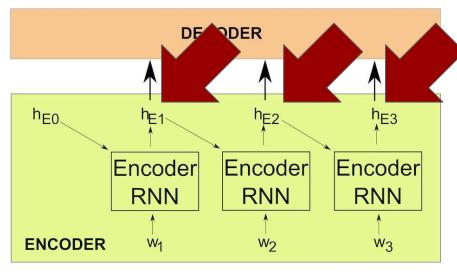


Recurrent NN from the previous section

Send *all* of the hidden states to the Decoder, not just the last one

### The Attention Mechanism





Recurrent NN from the previous section

Send *all* of the hidden states to the Decoder, not just the last one

The Decoder determines which input elements get attended to via a softmax layer

# Further reading

jalammar.github.io/illustrated-transformer/

My blog post that this talked is based on + the linear algebra perspective on Attention:

towardsdatascience.com/natural-language-processing-the-age-of-transformers-a3 6c0265937d

#### **Outline**

- NLP 101: how do we represent words in machine learning
  - Tokenization Word embeddings
- 2. Order matters: Recurrent Neural Networks
  - RNNs Seq2Seq models
  - What is wrong with RNNs
- 3. Attention is all you need!
  - What is Attention Attention, the linear algebra perspective
- 4. The Transformer architecture
  - Mostly Attention
- 5. BERT: contextualized word embeddings

NLP 101 RNNs Attention Transformers BERT

- Keep the Attention mechanism, throw away the sequential RNN
- Reminder: the basic idea of Attention was to pass all of the hidden states as inputs

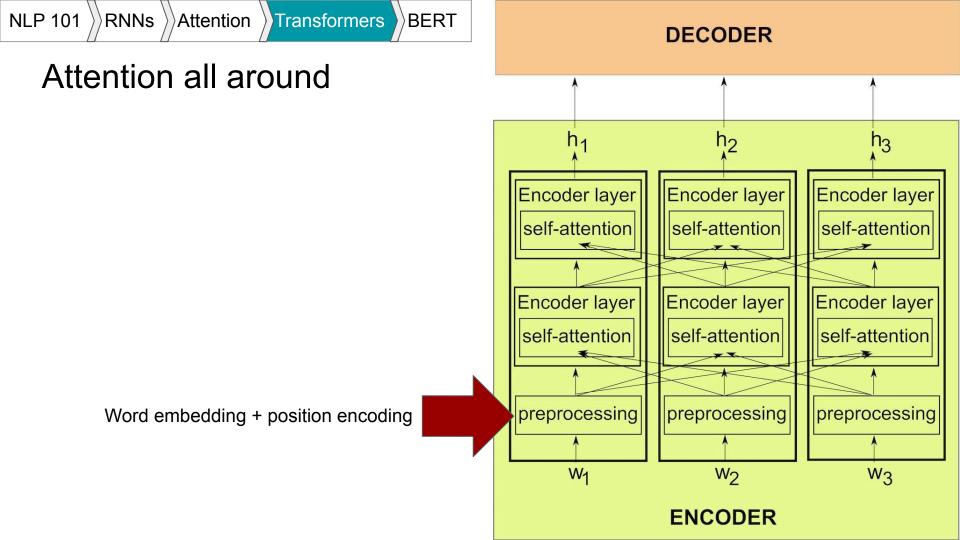
- Keep the Attention mechanism, throw away the sequential RNN
- Reminder: the basic idea of Attention was to pass all of the hidden states as inputs
- Transformer:
  - Decoder and Encoder both have multiple layers

- Keep the Attention mechanism, throw away the sequential RNN
- Reminder: the basic idea of Attention was to pass all of the hidden states as inputs
- Transformer:
  - Decoder and Encoder both have multiple layers
  - The output hidden states of the Encoder are inputs to all the layers of the Decoder

- Keep the Attention mechanism, throw away the sequential RNN
- Reminder: the basic idea of Attention was to pass all of the hidden states as inputs
- Transformer:
  - Decoder and Encoder both have multiple layers
  - The output hidden states of the Encoder are inputs to *all* the layers of the Decoder
  - The intermediate hidden states of the Encoder are also inputs... to other sequence elements'
     Encoder layers! Self-Attention

- Keep the Attention mechanism, throw away the sequential RNN
- Reminder: the basic idea of Attention was to pass all of the hidden states as inputs
- Transformer:
  - Decoder and Encoder both have multiple layers
  - The output hidden states of the Encoder are inputs to all the layers of the Decoder
  - The intermediate hidden states of the Encoder are also inputs... to other sequence elements'
     Encoder layers! Self-Attention
  - Also Self-Attention in the Decoder

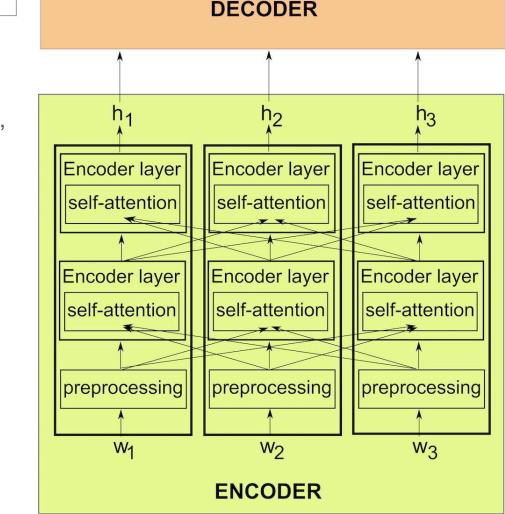
**NLP 101** RNNs Transformers Attention BERT DECODER Attention all around Encoder layer Encoder layer Encoder layer self-attention self-attention self-attention Encoder layer Encoder layer Encoder layer self-attention self-attention self-attention preprocessing preprocessing preprocessing W<sub>1</sub> W<sub>3</sub> W2 **ENCODER** 



NLP 101 \RNNs \Attention \Transformers \BERT

# Attention all around

- All of the sequence elements (w1, w2, w3) are being processed in parallel
- Each element's encoding gets information (through hidden states) from other elements
- Bidirectional by design



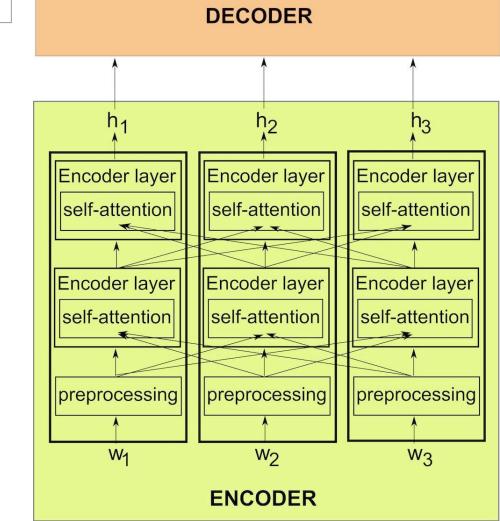
NLP 101 \RNNs \Attention Transformers BERT

# Why Self-Attention?

 "The animal did not cross the road because it was too tired."

VS.

 "The animal did not cross the road because it was too wide."



| RNNs | Attention | Transformers | BERT

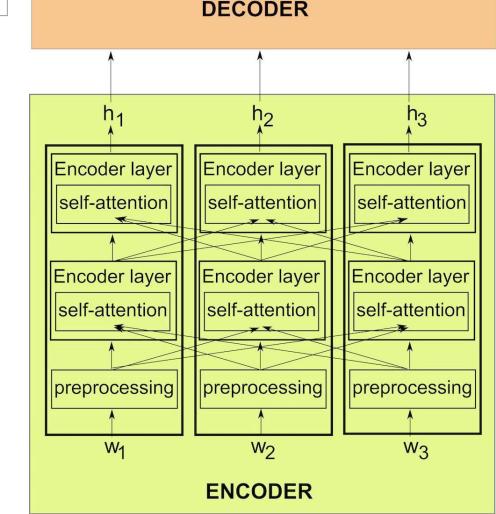
# Why Self-Attention?

**NLP 101** 

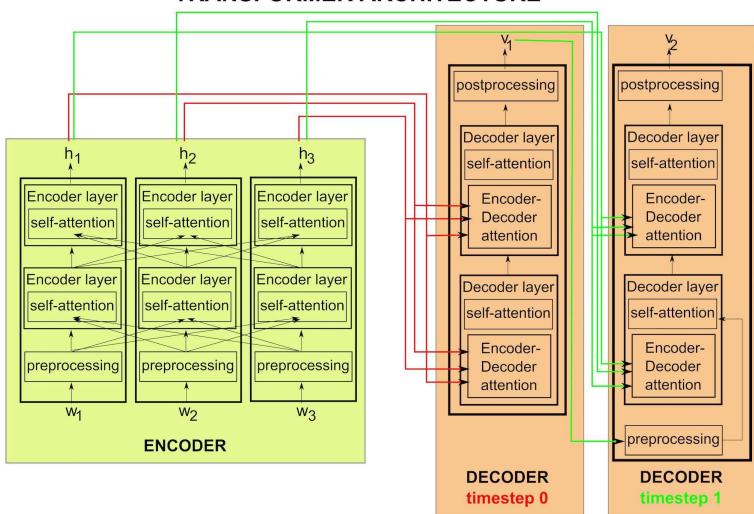
"The **animal** did not cross the road because **it** was too *tired*."

 "The animal did not cross the road because it was too wide."

Uni-directional RNN would have missed this! Bi-directional is nice.



#### TRANSFORMER ARCHITECTURE



#### **Outline**

- 1. NLP 101: how do we represent words in machine learning
  - Tokenization Word embeddings
- 2. Order matters: Recurrent Neural Networks
  - RNNs Seq2Seq models
  - What is wrong with RNNs
- 3. Attention is all you need!
  - What is Attention Attention, the linear algebra perspective
- 4. The Transformer architecture
  - Mostly Attention
- 5. BERT: contextualized word embeddings

Dense (i.e. not sparse) vectors capturing the meaning of tokens/words

- Dense (i.e. not sparse) vectors capturing the meaning of tokens/words
- Meaning often depends on the context (surrounding words)

- Dense (i.e. not sparse) vectors capturing the meaning of tokens/words
- Meaning often depends on the context (surrounding words)
- Self-Attention in Transformers processes context when encoding a token

- Dense (i.e. not sparse) vectors capturing the meaning of tokens/words
- Meaning often depends on the context (surrounding words)
- Self-Attention in Transformers processes context when encoding a token
- Can we just take the Transformer Encoder and use it to embed words?

- Dense (i.e. not sparse) vectors capturing the meaning of tokens/words
- Meaning often depends on the context (surrounding words)
- Self-Attention in Transformers processes context when encoding a token
- Can we just take the Transformer Encoder and use it to embed words?



BERT: Bidirectional Encoder Representations from Transformers



#### BERT: Bidirectional Encoder Representations from Transformers

Instead of Word2Vec, use pre-trained BERT for your NLP tasks;)



#### What was BERT pre-trained on?

Task # 1: Masked Language Modeling

- Take a text corpus, randomly mask 15% of words
- Put a softmax layer (dim = vocab size) on top of BERT encodings for the words that are present to predict which word is missing

### What was BERT pre-trained on?

Task # 1: Masked Language Modeling

- Take a text corpus, randomly mask 15% of words
- Put a softmax layer (dim = vocab size) on top of BERT encodings for the words that are present to predict which word is missing

Task # 2: Next Sentence Prediction

- Input sequence: two sentences separated by a special token
- Binary classification: are these sentences successive or not?

# Further reading and coding

My blog posts:

towardsdatascience.com/natural-language-processing-the-age-of-transformers-a36c0 265937d

blog.scaleway.com/understanding-text-with-bert/

The HuggingFace 🤗 Transformers library: huggingface.co/