

# 106119029 , Algos Lab 7(Knapsack Problem)

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## 1) Problem Statement

The knapsack problem is a problem in combinatorial optimization: Given a set of items, each with a weight and a value, determine the number of each item to include in a collection so that the total weight is less than or equal to a given limit and the total value is as large as possible

- 0 1 Knapsack Problem : Given a knapsack which can hold maximum weight of `MAX_WT`, we are presented with a list of weights and values of `n` objects. The objective is to choose objects to put in the knapsack that maximises the cost. Here the objects are indivisible and hence can only either be included or excluded.
- Fractional Knapsack Problem : Same as the above, except the objects are divisible, so fraction of objects can also be put in the knapsack.

## 2) Fractional Knapsack Problem

- We can solve the Fractional Knapsack Problem with Greedy Heuristics.
- We create an array of pairs of value and its corresponding weight and sort(descending) it using the ratio `value / weight` as the comparator. This will give basically give us the cost per unit weight value for every object and we then select the objects greedily and obtain our optimal answer.
- The complexity of this method is  $O(n \log n)$  i.e the time taken to sort the array is the most work we do. Selecting the object given the sorted array is a linear time task.

## Code

```

49 void fractionalKnapsack(vector<pair<int, int>> &v_w, int MAX_WT, double *ans) {
1  sort(v_w.begin(), v_w.end(), [](auto x, auto y) {
2      return (((double)(x.first)) / x.second > ((double)y.first) / y.second);
3  });
4
5  int cur_weight = 0;
6  double final_value = 0;
7  for (pair<int, int> x : v_w) {
8      if (cur_weight + x.second <= MAX_WT) {
9          cur_weight += x.second;
10         final_value += (x.first);
11     } else {
12         int remaining_wt = MAX_WT - cur_weight;
13         final_value += (((double)(remaining_wt * x.first)) / x.second);
14         break;
15     }
16 }
17 *ans = final_value;
18 }
19

```

## 3) 0-1 Knapsack Problem

- The best way of solving this is through dynamic programming. We can also use a brute force method in order to solve it but that would have  $2^n$  possible combinations and would have  $O(2^n)$  complexity as well. In brute force method, we would at every element take two things into consideration (Should this be included or not). We would then compute the value generated by taking or not taking the item and take max of that.

[a,b,c], X implies done

				a			
		Y/				\N	
		b				b	
		Y/	\N			Y/	\N
	c	c			c	c	
Y/\N	Y/\N			Y/\N	Y/\N		
X	X	X	X	X	X	X	X

- In brute force method, it would come out something like this. We would need to find the cost of every single path from root to X and check its cost and see if it is optimized.
- We can however solve this in much faster time using dynamic programming.

## Observation

- The dynamic programming solution is based on the observation that the max value we can obtain is going to be max(value by not including this current object, value by including this object)

- $m[0, w] = 0$
  - $m[i, w] = m[i - 1, w]$  if  $w_i > w$  (the new item is more than the current weight limit)
  - $m[i, w] = \max(m[i - 1, w], m[i - 1, w - w_i] + v_i)$  if  $w_i \leq w$ .
- We keep track of these values using a table of  $n$  rows and  $\text{MAX\_WT} + 1$  columns.
  - We will then fill the table based on the above recursive definition from left to right and up to down.
  - Thus the dynamic programming solution to this problem is a simple  $O(n * \text{MAX\_WT})$  running time algorithm.

## Code

```

1 void zeroOneKnapsack(const vector<int> &weights, const vector<int> &values,
2                     vector<vector<int>> &dp) {
3     int MAX_WT = dp[0].size() - 1;
4     int ind = 0;
5     for (int &x : dp[0]) {
6         if (weights[0] > ind) {
7             x = 0;
8         } else {
9             x = weights[0];
10        }
11        ind++;
12    }
13    for (size_t i = 1; i < weights.size(); i++) {
14        for (int j = 0; j <= MAX_WT; j++) {
15            if (weights[i] > j) {
16                dp[i][j] = dp[i - 1][j];
17            } else {
18                dp[i][j] = max(dp[i - 1][j], dp[i - 1][j - weights[i]] + values[i]);
19            }
20        }
21    }
22 }
23

```

## Whole program Code

```
1 #include <algorithm>
2 #include <chrono>
3 #include <cmath>
4 #include <fstream>
5 #include <functional>
6 #include <iomanip>
7 #include <iostream>
8 #include <numeric>
9 #include <string>
10 #include <unordered_map>
11 #include <vector>
12
13 using namespace std;
14
15 template <typename Func, typename... Args>
16 double timeMyFunction(Func func, Args &&...args) {
17     auto start_time = std::chrono::steady_clock::now();
18     func(forward<Args>(args)...);
19     auto end_time = std::chrono::steady_clock::now();
20     std::chrono::duration<double> elapsed_time =
21         std::chrono::duration_cast<std::chrono::duration<double>>(end_time -
22             start_time);
23     return elapsed_time.count();
24 }
25
26 void zeroOneKnapsack(const vector<int> &weights, const vector<int> &values,
27     vector<vector<int>> &dp) {
28     int MAX_WT = dp[0].size() - 1;
29     int ind = 0;
30     for (int &x : dp[0]) {
31         if (weights[0] > ind) {
32             x = 0;
33         } else {
34             x = weights[0];
35         }
36     }
37     ind++;
38     for (size_t i = 1; i < weights.size(); i++) {
39         for (int j = 0; j <= MAX_WT; j++) {
40             if (weights[i] > j) {
41                 dp[i][j] = dp[i - 1][j];
42             } else {
43                 dp[i][j] = max(dp[i - 1][j], dp[i - 1][j - weights[i]] + values[i]);
44             }
45         }
46     }
47 }
48
49 void fractionalKnapsack(vector<pair<int, int>> &v_w, int MAX_WT, double *ans) {
50     sort(v_w.begin(), v_w.end(), [](auto x, auto y) {
51         return (((double)(x.first)) / x.second > ((double)y.first) / y.second);
52     });
53     int cur_weight = 0;
54     double final_value = 0;
55     for (pair<int, int> x : v_w) {
56         if (cur_weight + x.second <= MAX_WT) {
57             cur_weight += x.second;
58             final_value += (x.first);
59         } else {
60             int remaining_wt = MAX_WT - cur_weight;
61             final_value += (((double)(remaining_wt * x.first)) / x.second);
62             break;
63         }
64     }
65     *ans = final_value;
66 }
```

```

26 int main(int argc, char **argv) {
25   ofstream zeroOne("ZeroOne.txt");
24   ofstream frac("Fractional.txt");
23   srand(time(0));
22   int size_opt;
21   if (argc == 2) {
20     size_opt = atoi(argv[1]);
19   } else {
18     size_opt = 0;
17   }
16   double time_elapsed;
15   for (int size :
14     {10, 20, 30, 40, 50, 60, 70, 80, 90, 100, 110, 120, 130,
13     140, 150, 160, 170, 180, 190, 200, 210, 220, 230, 240, 250}) {
12     int MAX_WT;
11     if (size_opt == 0)
10       MAX_WT = size;
9     else if (size_opt == 1)
8       MAX_WT = size * size;
7     else if (size_opt == 2)
6       MAX_WT = log2(size);
5     else
4       MAX_WT = size * (rand() % size);
3     double fractional_ans;
2     vector<int> values(size);
1     vector<int> weights(size);
95    // for fractional knapsack
1     vector<pair<int, int>> v_w;
97    // for zeroOneKnapsack
1     vector<vector<int>> dp(weights.size(), vector<int>(MAX_WT + 1, INT32_MIN));
2     generate(values.begin(), values.end(), []() { return rand() % 1000; });
3     generate(weights.begin(), weights.end(),
4       [size]() { return rand() % size; });
5     transform(values.begin(), values.end(), weights.begin(), back_inserter(v_w),
6       [](auto x, auto y) { return make_pair(x, y); });
7     time_elapsed =
8       timeMyFunction(zeroOneKnapsack, ref(weights), ref(values), ref(dp));
9     cout << "DP:" << size << ", " << MAX_WT << ":" << fixed << setprecision(20)
10      << time_elapsed << " ";
11     cout << dp.back().back() << endl;
12     zeroOne << size << ":" << MAX_WT << ":" << fixed << setprecision(20)
13      << time_elapsed << endl;
14     time_elapsed =
15       timeMyFunction(fractionalKnapsack, ref(v_w), MAX_WT, &fractional_ans);
16     cout << "Fractional:" << size << ", " << MAX_WT << ":" << fixed
17      << setprecision(20) << time_elapsed << " ";
18     cout << fractional_ans << endl;
19     frac << size << ":" << MAX_WT << ":" << fixed << setprecision(20)
20      << time_elapsed << endl;
21     cout << endl << endl;
22   }
23 }

```

#### 4) Analysis

- Since these two arent fundamentally the same problem(because of one being fractional and another not). We cant compare them directly. So I have made it so that I can give different values of MAX\_WT(depending on n) to closely look at their differences.
- The fractional knapsack problem is independent of MAX\_WT values but 0 1 knapsack problems isnt.
- Running time of 01 knapsack is  $O(n \cdot \text{MAX\_WT})$

- I have 3 cases of MAX\_WT.
  - When MAX\_WT =  $n$ , will lead to  $c1*n*n \rightarrow c1*n^2$  running time
  - When MAX\_WT =  $n^2$ , will lead to  $c2*n*n^2 \rightarrow c2*n^3$  running time
  - When MAX\_WT =  $\log n$ , will lead to  $c3*n*\log n$  running time

When MAX\_WT =  $n$

- Output

```

A ~/Acads/Sem4/CSLR41-AlgosLab/Lab7 → ./Lab7 0
DP:10,10:0.00001667400000000000:4668
Fractional:10,10:0.000022570000000000:4993.60000000000036379788

DP:20,20:0.00004371300000000000:2969
Fractional:20,20:0.00004472900000000000:3132.33333333333348491578

DP:30,30:0.00008966200000000000:4995
Fractional:30,30:0.00007150100000000001:5316.454545454545595063347

DP:40,40:0.00015941700000000000:4683
Fractional:40,40:0.00010480700000000000:5592.2500000000000000000000

DP:50,50:0.00023646200000000000:5686
Fractional:50,50:0.00008203700000000000:5832.57142857142844150076

DP:60,60:0.00046284800000000002:6831
Fractional:60,60:0.00015812399999999999:7002.77777777777737355791

DP:70,70:0.00062753500000000003:5957
Fractional:70,70:0.00013085000000000001:6093.61538461538475530688

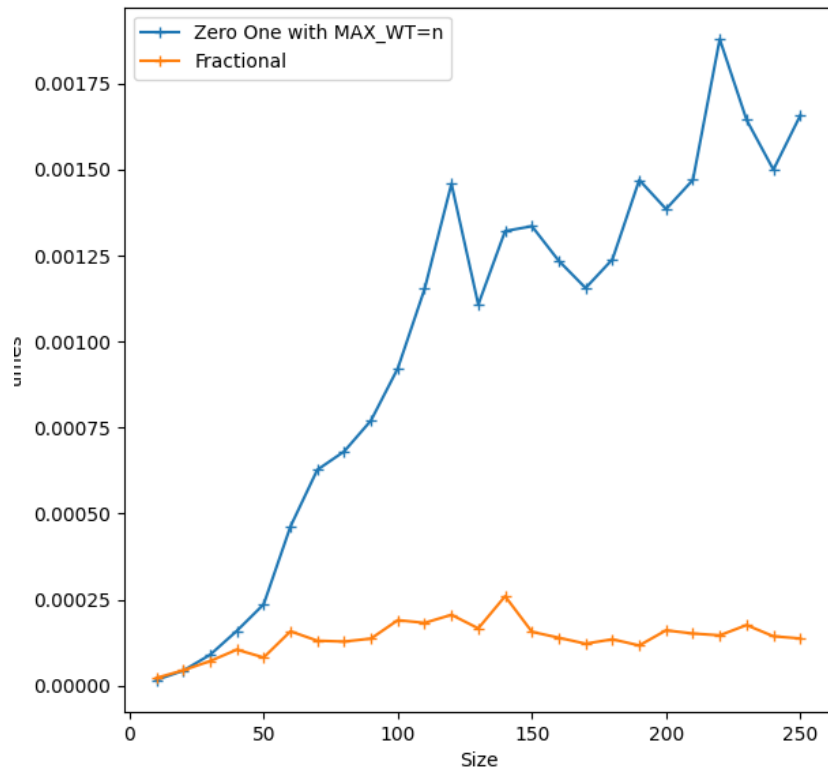
DP:80,80:0.00068086299999999998:7628
Fractional:80,80:0.00012826399999999999:7729.800000000000018189894

DP:90,90:0.00077075099999999997:7137
Fractional:90,90:0.00013699400000000001:7273.85714285714311699849

DP:100,100:0.00092237199999999997:7629
Fractional:100,100:0.00019054599999999999:7751.60000000000036379788

```

- Comparison plot ( $c1*n\log n$  vs  $c2*n^2$ )



When  $\text{MAX\_WT} = n^2$

- Output

```

λ ~/Acads/Sem4/CSLR41-AlgosLab/Lab7 → ./lab7 1
DP:10,100:0.00007882599999999999:3724
Fractional:10,100:0.00001528000000000000:4042.000000000000000000000000

DP:20,400:0.00064336700000000005:12559
Fractional:20,400:0.00001730700000000000:13325.000000000000000000000000

DP:30,900:0.00222681400000000014:14248
Fractional:30,900:0.00003197400000000000:14990.000000000000000000000000

DP:40,1600:0.00559208699999999993:17846
Fractional:40,1600:0.00004117200000000000:18557.000000000000000000000000

DP:50,2500:0.008390084000000000078:24978
Fractional:50,2500:0.00003136000000000000:25753.000000000000000000000000

DP:60,3600:0.00981658000000000010:32648
Fractional:60,3600:0.00002864700000000000:33107.000000000000000000000000

DP:70,4900:0.012663976000000000040:38301
Fractional:70,4900:0.00003420000000000000:39030.000000000000000000000000

DP:80,6400:0.018778768000000000124:39637
Fractional:80,6400:0.00005420400000000000:40415.000000000000000000000000

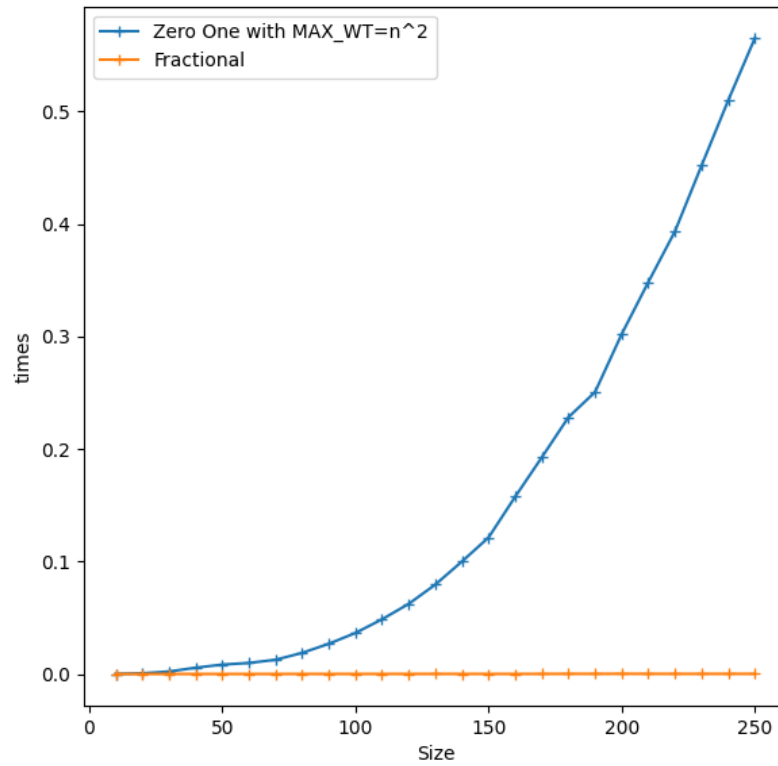
DP:90,8100:0.026861354000000000048:45835
Fractional:90,8100:0.00005798900000000000:46119.000000000000000000000000

DP:100,10000:0.03647458399999999740:51177
Fractional:100,10000:0.00007175300000000000:51552.000000000000000000000000

```

- Comparison plot ( $c \cdot n \log n$  vs  $c2 \cdot n^3$ )





When  $\text{MAX\_WT} = \log n$

- Output

```

A ~/Acads/Sem4/CSLR41-AlgoSLab/Lab7 → ./Lab7 2
DP:10,3:0.000050850000000000:598
Fractional:10,3:0.000012655000000000:1126.500000000000000000000000

DP:20,4:0.000094490000000000:1670
Fractional:20,4:0.000034974000000000:1832.000000000000000000000000

DP:30,4:0.000010840000000000:984
Fractional:30,4:0.000038380000000000:984.000000000000000000000000

DP:40,5:0.000012828000000000:1579
Fractional:40,5:0.000035434000000000:1579.000000000000000000000000

DP:50,5:0.000012895000000000:634
Fractional:50,5:0.000046538000000000:925.66666666666674245789

DP:60,5:0.000014952000000000:1929
Fractional:60,5:0.000059942000000000:1993.70000000000004547474

DP:70,6:0.000019837000000000:1363
Fractional:70,6:0.000067415000000000:1412.000000000000000000000000

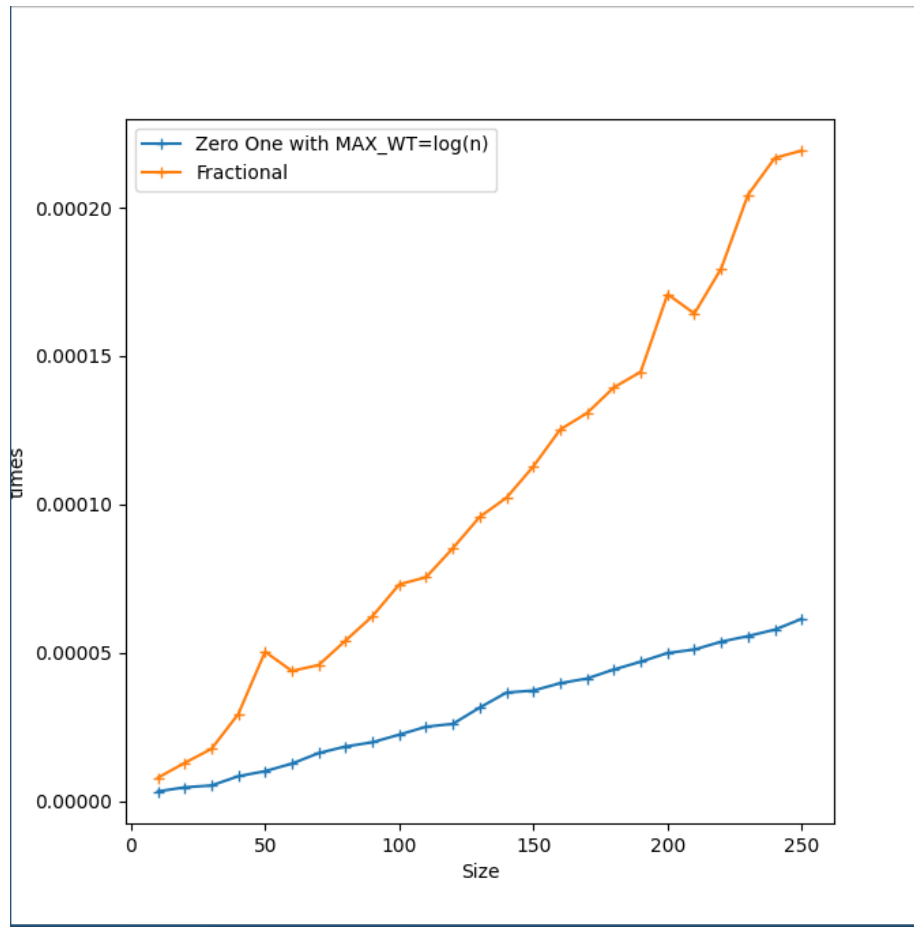
DP:80,6:0.000022426000000000:3894
Fractional:80,6:0.000074064000000000:4112.333333333333303016843

DP:90,6:0.000024327000000000:1260
Fractional:90,6:0.000089118000000000:1601.333333333333348491578

DP:100,6:0.000026856000000000:2979
Fractional:100,6:0.000091377000000000:3271.333333333333348491578

```

- Comparison plot ( $c1 \cdot n \log n$  vs  $c2 \cdot n \log n$ )



### Remarks

- Obviously the 0 1 knapsack graph is affected by large amount with changes in MAX\_WT values and they are comparable in case when MAX\_WT=logn and differ only by some constant factor.
- These two algorithms in all fairness shouldnt be compared as they are fundamentally very different algorithms that do not serve the same purpose(one works in fractional case while another in 0 1 case).