

Learn DAA: From B K Sharma

Insertion in Red-Black Tree

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RB-INSERT(T, z)

1. $y \leftarrow \text{NIL}$
 2. $x \leftarrow \text{root}[T]$
 3. while $x \neq \text{NIL}$
 4. $y \leftarrow x$
 5. if $\text{key}[z] < \text{key}[x]$
 6. then $x \leftarrow \text{left}[x]$
 7. else $x \leftarrow \text{right}[x]$
 8. $p[z] \leftarrow y$
 9. if $y = \text{NIL}$
 10. then $\text{root}[T] \leftarrow z$
 11. else if $\text{key}[z] < \text{key}[y]$
 12. then $\text{left}[y] \leftarrow z$
 13. else $\text{right}[y] \leftarrow z$
 14. $\text{left}[z] \leftarrow \text{NIL}$
 15. $\text{right}[z] \leftarrow \text{NIL}$
 16. $\text{color}[z] \leftarrow \text{RED}$
 17. RB-INSERT-FIXUP(T, z)
- Initialize nodes x and y
- Throughout the algorithm y points to the parent of x
- Go down the tree until reaching a leaf
At that point y is the parent of the node to be inserted
- Sets the parent of z to be y
- The tree was empty:
set the new node to be the root
- Otherwise, set z to be the left or right child of y , depending on whether the inserted node is smaller or larger than y 's key
- Set the fields of the newly added node
- Fix any inconsistencies that could have been introduced by adding this new red node

RB-INSERT-FIXUP(T, z)

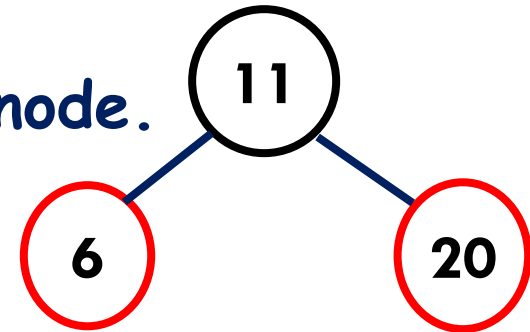
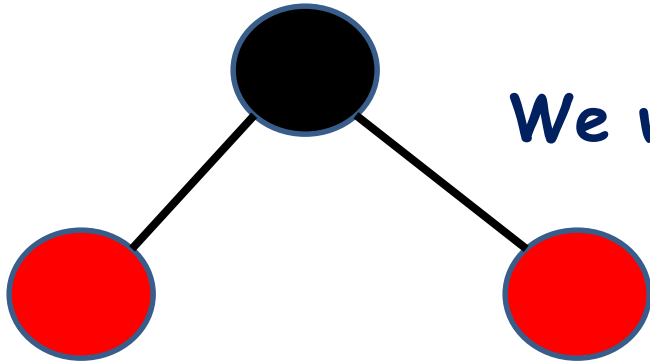
1. **while** color[p[z]] = RED ← The while loop repeats only when
case1 is executed: $O(\lg n)$ times
2. **do if** p[z] = left[p[p[z]]]
3. **then** y ← right[p[p[z]]] } Set the value of x's "uncle"
4. **if** color[y] = RED
5. **then Case1**
6. **else if** z = right[p[z]]
7. **then Case2**
8. **Case3**
9. **else** (same as **then** clause with "right"
 and "left" exchanged)
10. color[root[T]] ← BLACK ← We just inserted the root, or
The red violation reached the

Insertion in Red-Black Tree

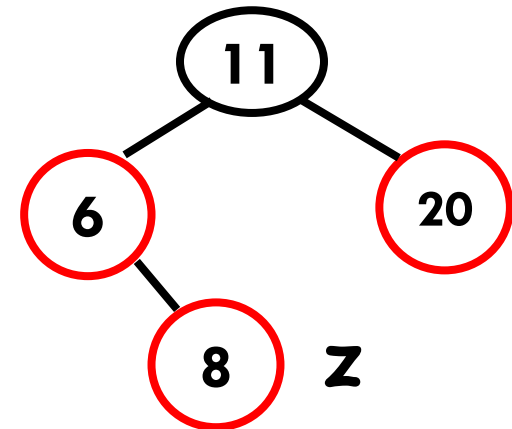
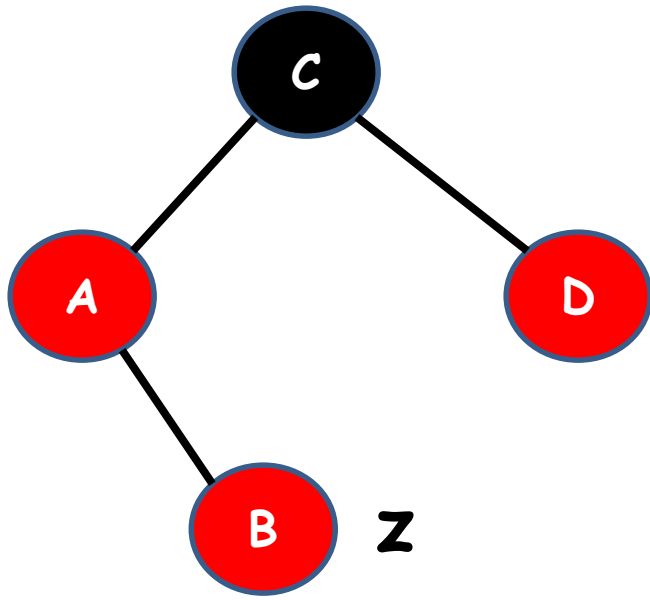
Let a Red-Black is given.

And

We want to insert a new node.



The color of new node(z) is assumed as red.(Why?)



Insertion in Red-Back Tree

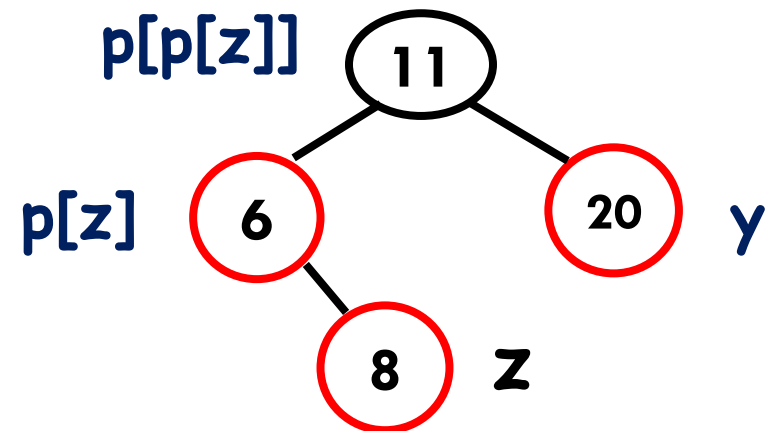
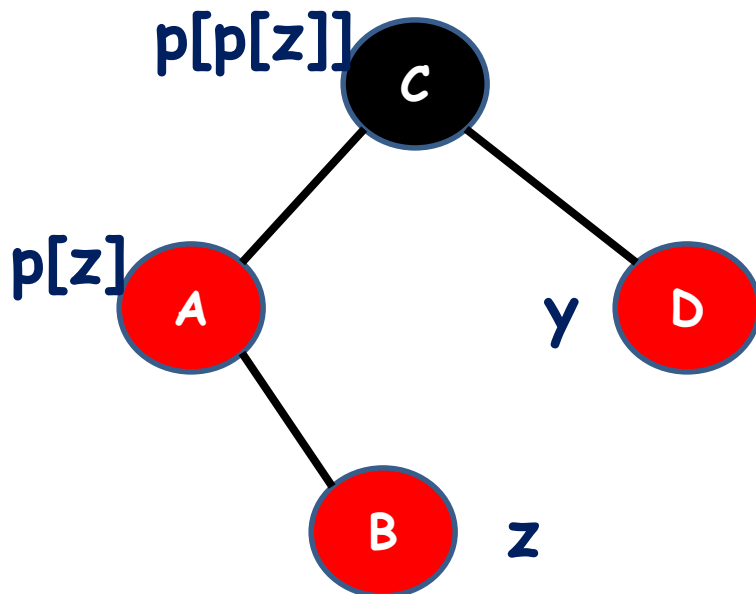
The color of new node(z) is **red**.

The the color of $p[z]$ = **RED**

And

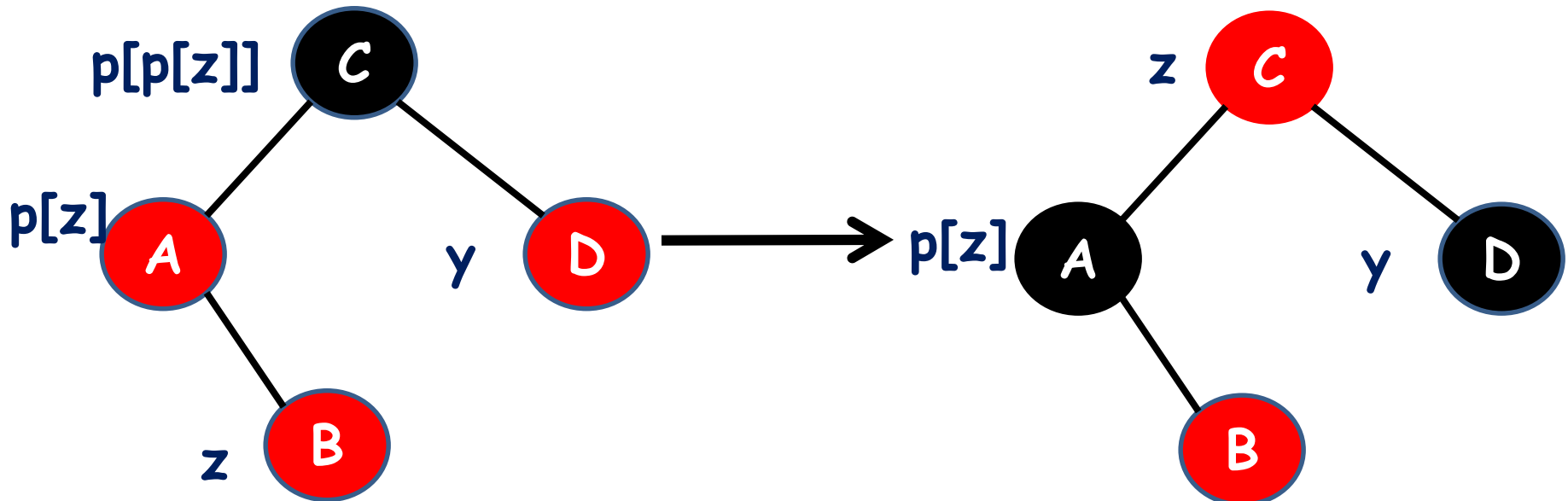
z is right child of $p[z]$
 z 's uncle y is **RED**

Case 1 (A)



Insertion in Red-Back Tree Case 1(A)

Solution: Recolor
 $\text{color}[p[z]] \leftarrow \text{BLACK}$
 $\text{color}[y] \leftarrow \text{BLACK}$
 $\text{color}[p[p[z]]] \leftarrow \text{RED}$
 $z = p[p[z]]$



The case 1 pushes the RED-RED violation up the tree

Insertion in Red-Back Tree

Case 1(A)

Solution:

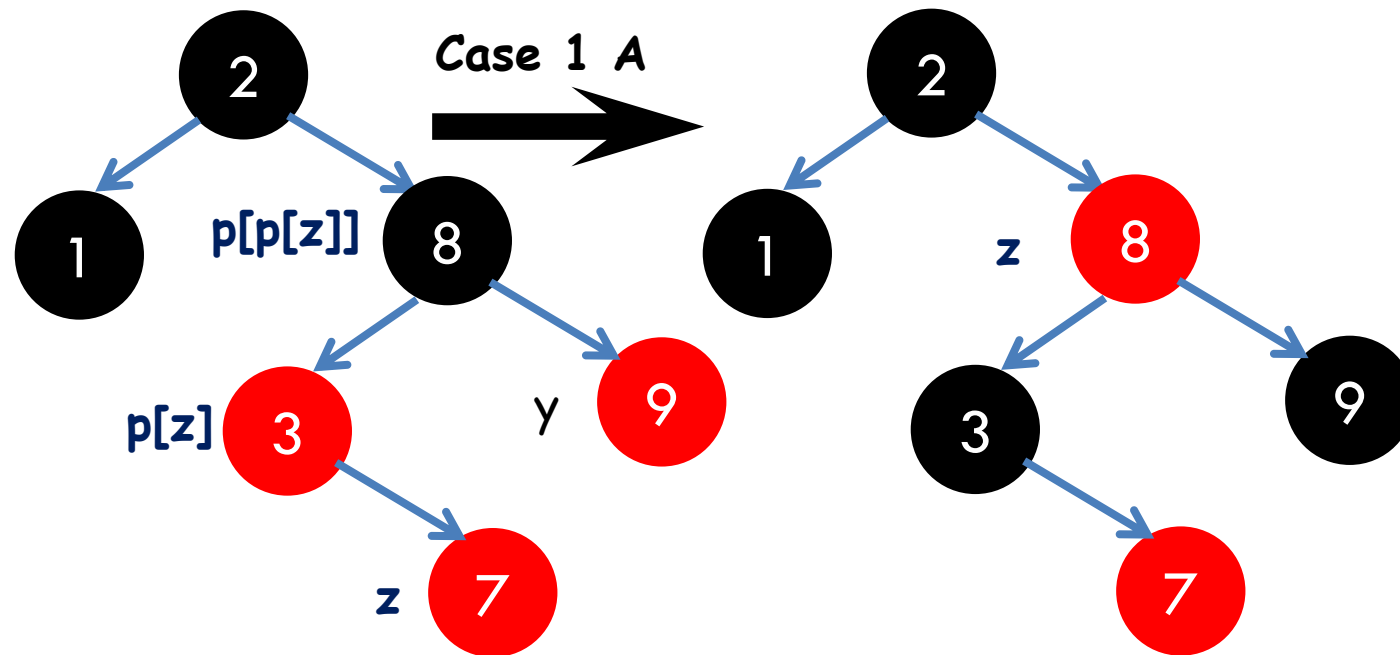
Recolor

$\text{color}[p[z]] \leftarrow \text{BLACK}$

$\text{color}[y] \leftarrow \text{BLACK}$

$\text{color}[p[p[z]]] \leftarrow \text{RED}$

$z = p[p[z]]$



Insertion in Red-Back Tree

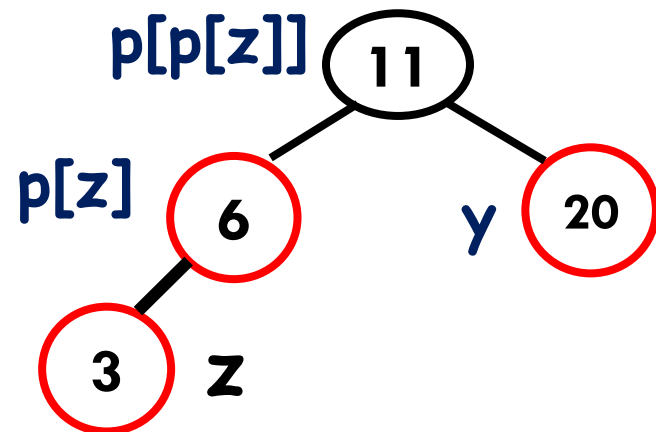
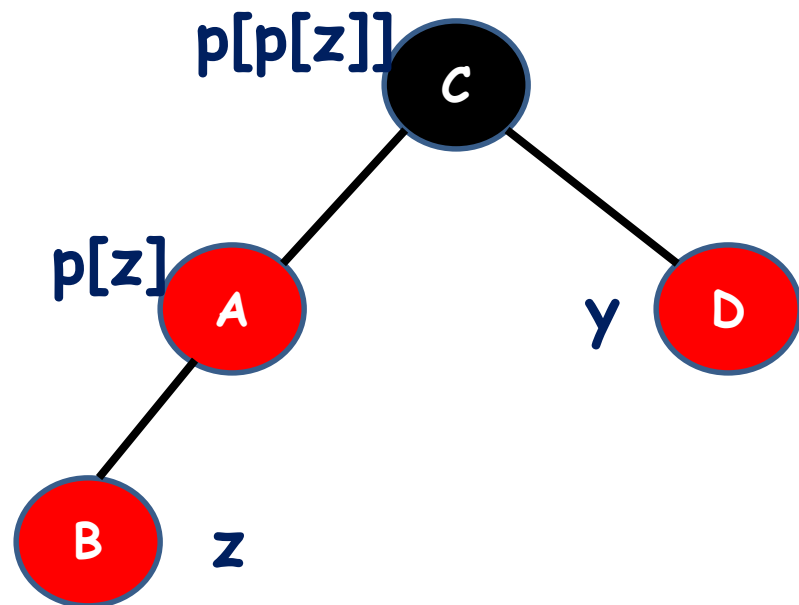
The color of new node(z) is red.

The the color of $p[z]$ = RED

And

z is left child of $p[z]$
 z 's uncle y is RED

Case 1 (B)



Insertion in Red-Back Tree Case 1(B)

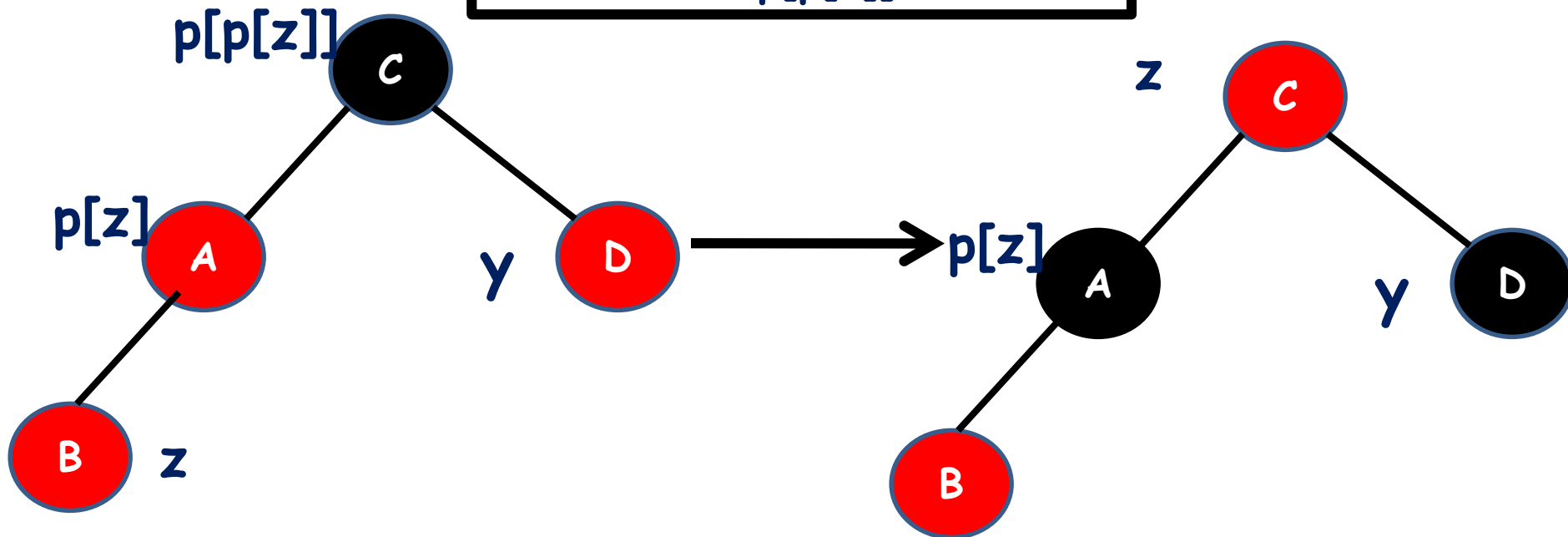
Solution: Recolor

$\text{color}[p[z]] \leftarrow \text{BLACK}$

$\text{color}[y] \leftarrow \text{BLACK}$

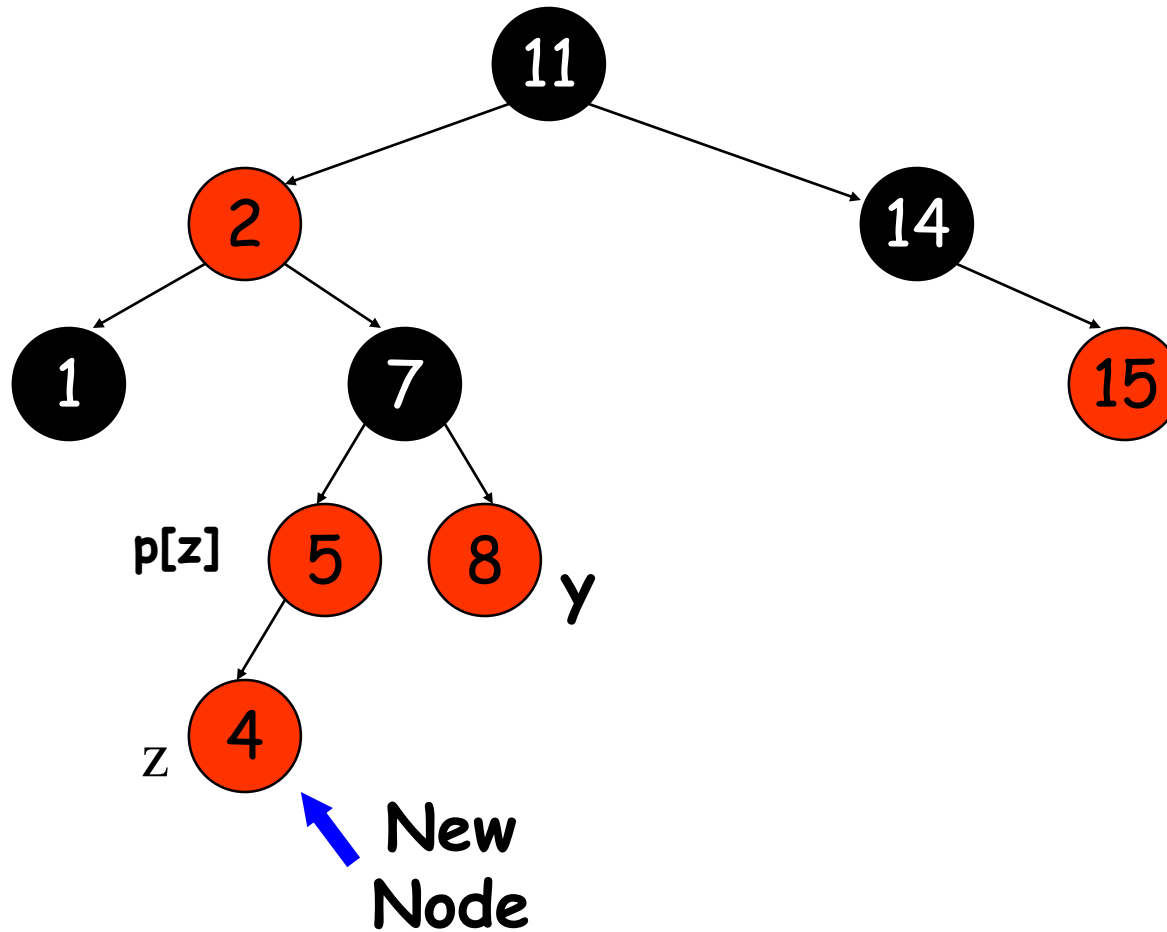
$\text{color}[p[p[z]]] \leftarrow \text{RED}$

$z = p[p[z]]$

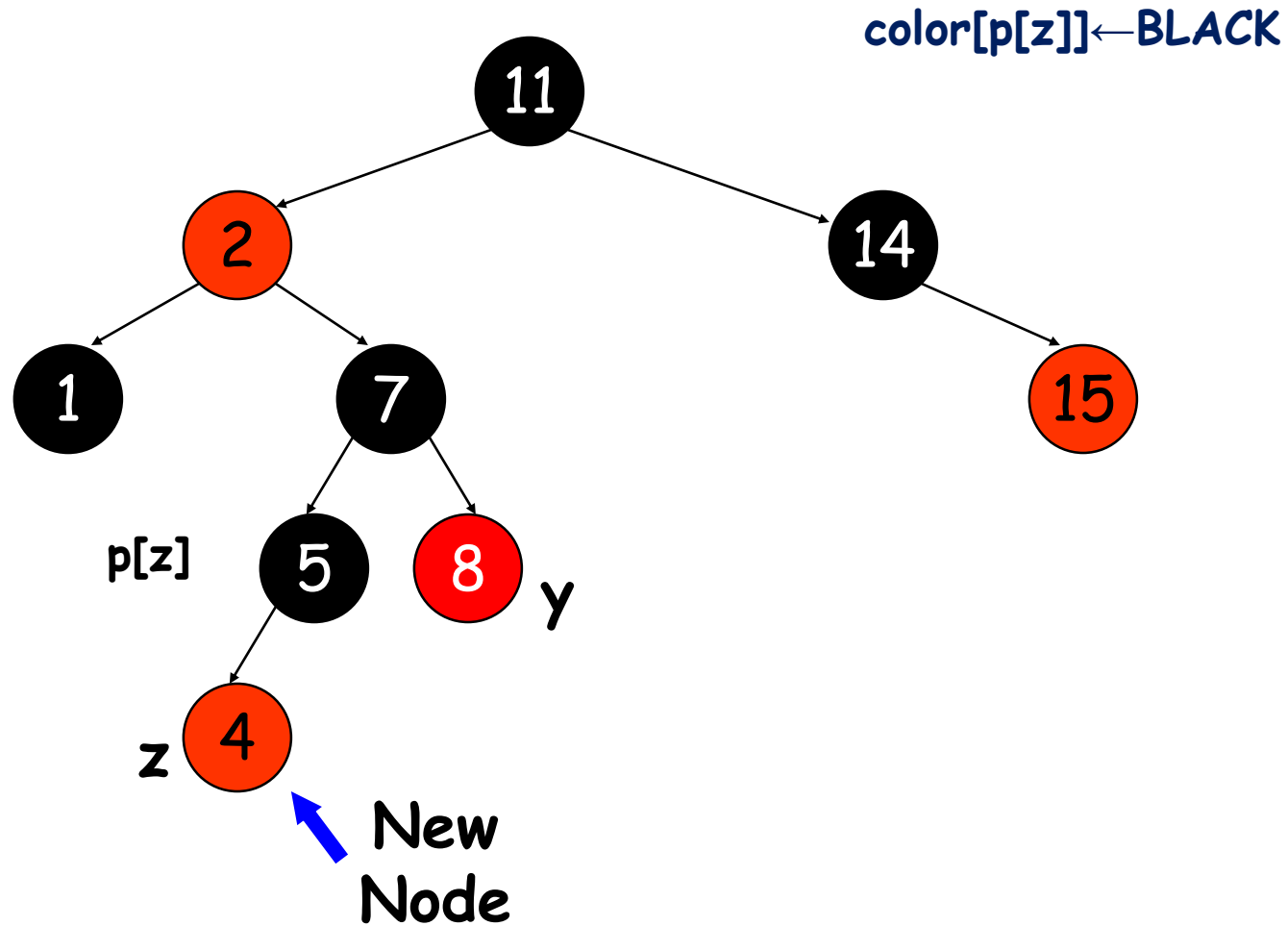


The case 1 pushes the RED-RED violation up the tree

Insertion in Red-Back Tree Case 1(B)

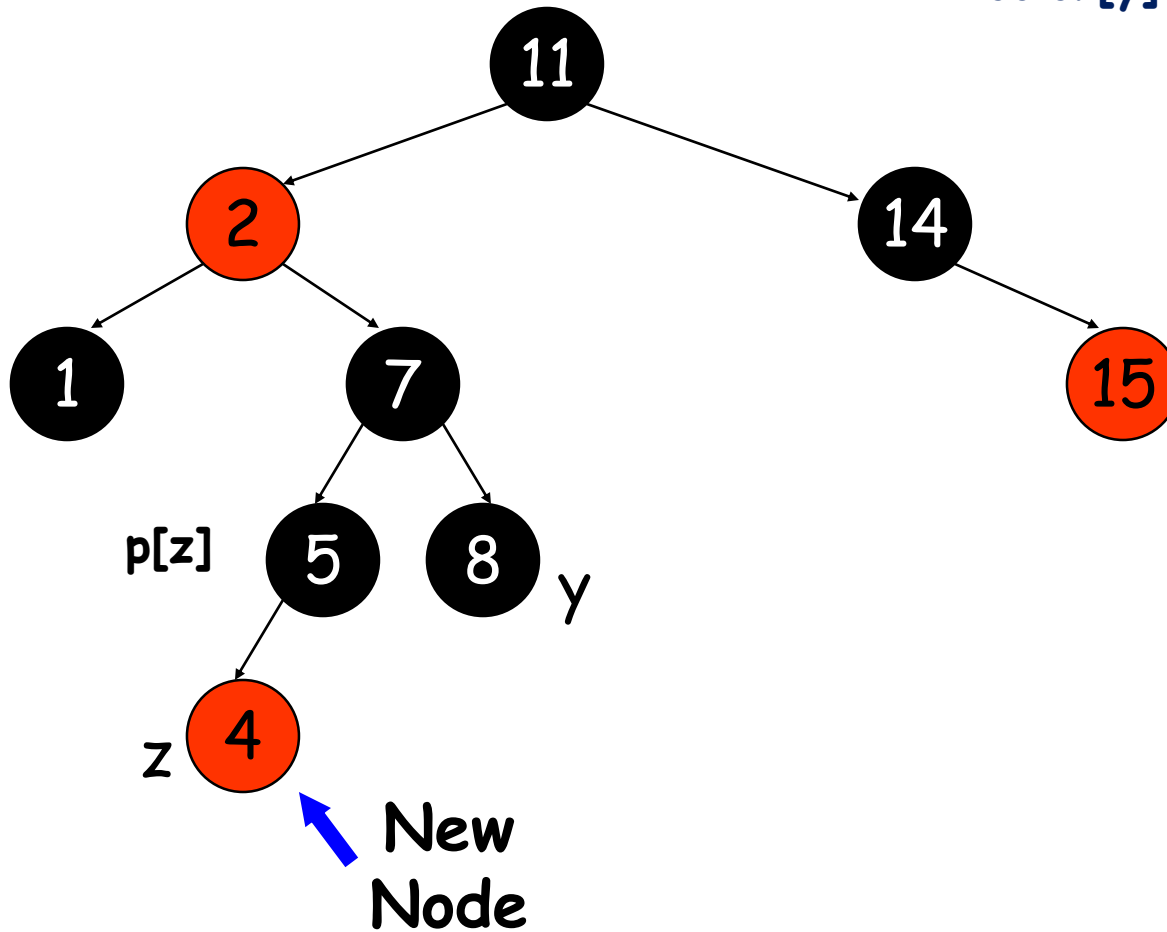


Insertion in Red-Back Tree Case 1(B)



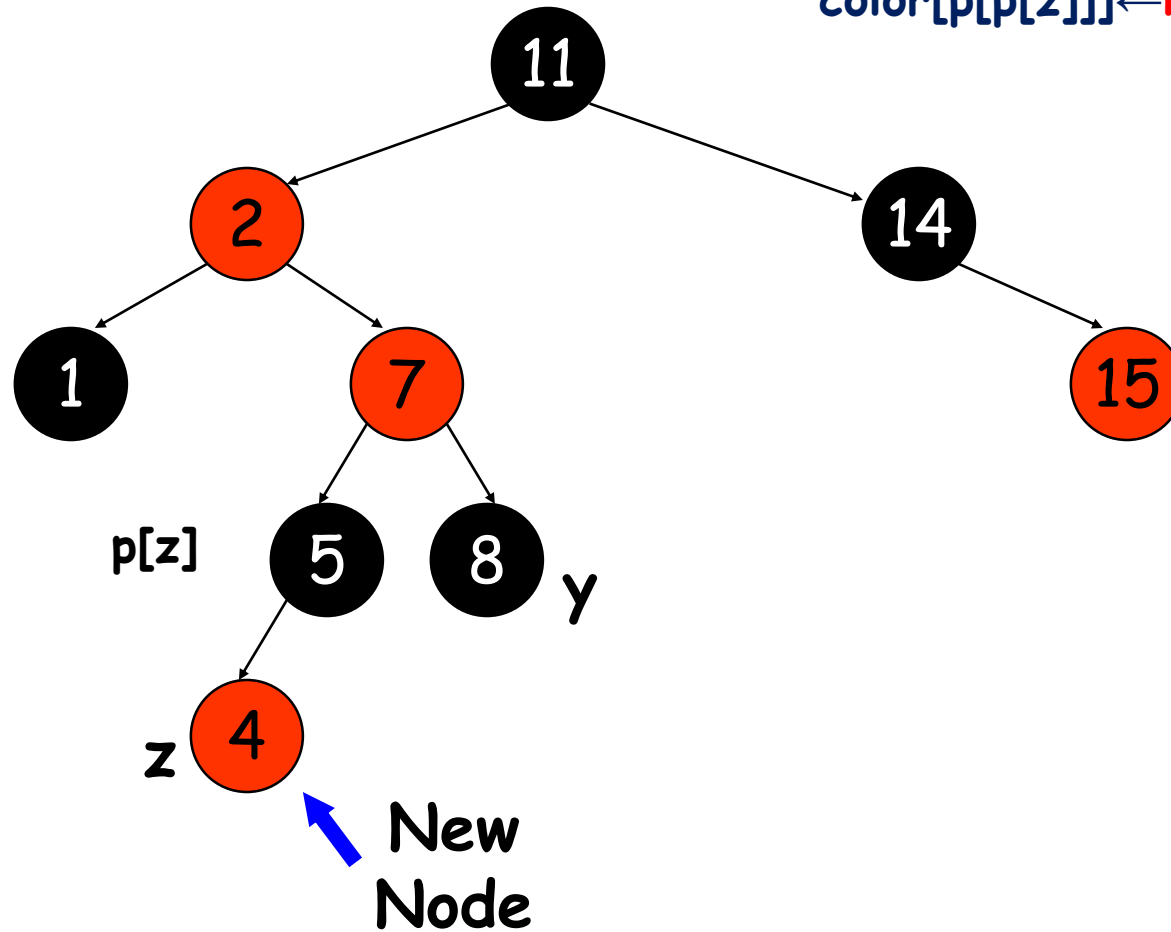
Insertion in Red-Back Tree Case 1(B)

$\text{color}[y] \leftarrow \text{BLACK}$

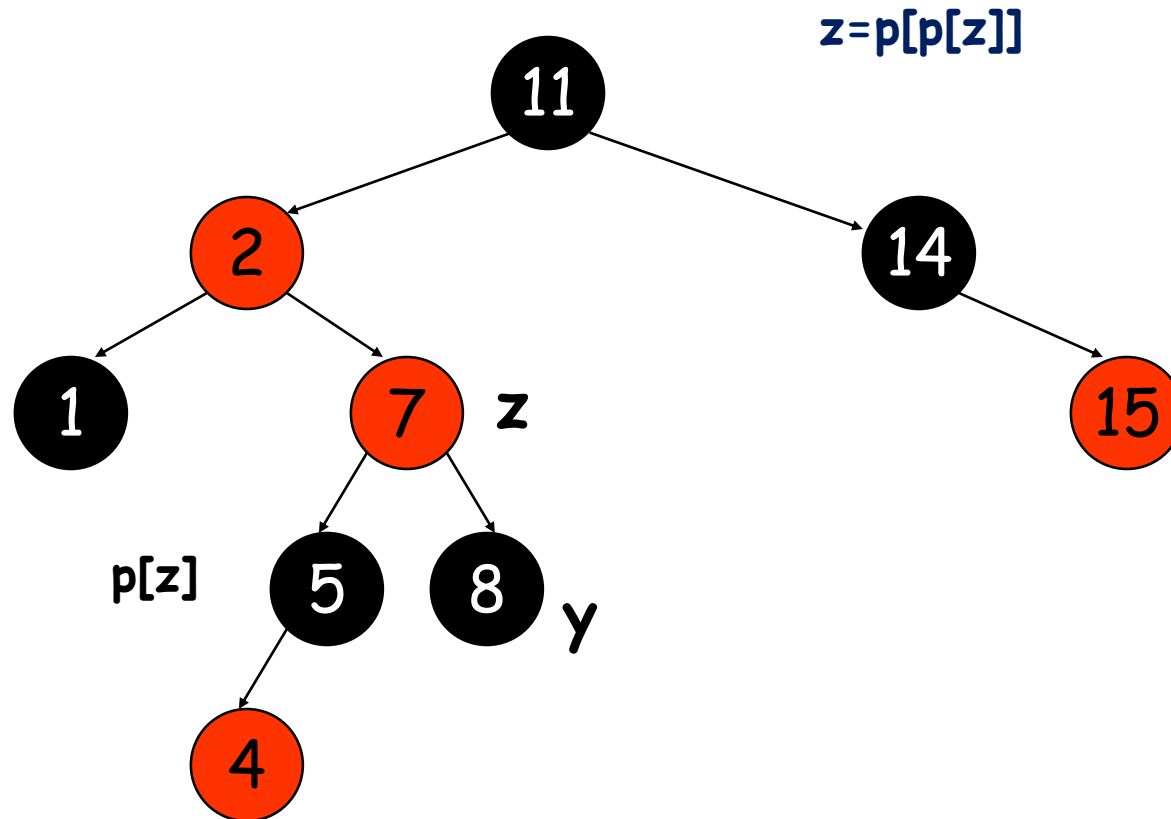


Insertion in Red-Back Tree Case 1(B)

color[p[p[z]]] ← RED



Insertion in Red-Back Tree Case 1(B)



The case 1 pushes the RED-RED violation up the tree

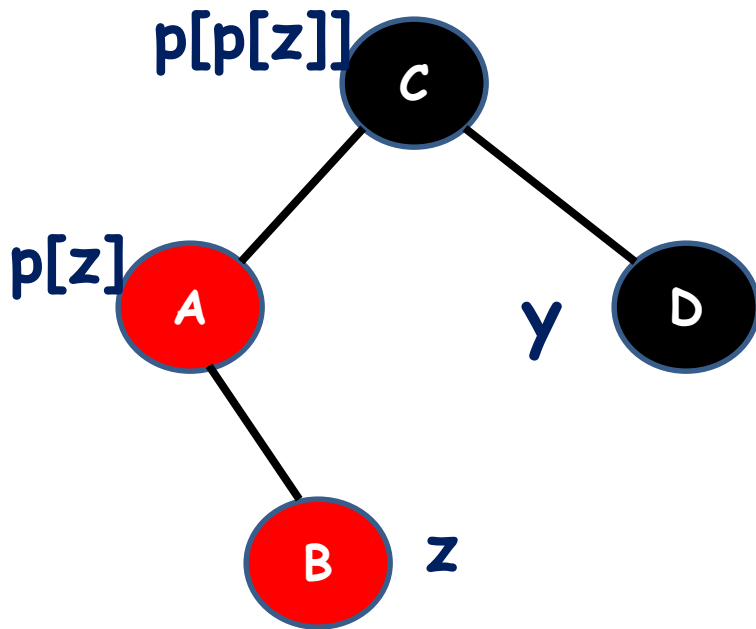
Insertion in Red-Back Tree

The color of new node(z) is red.
The the color of $p[z]$ = RED

And

z is right child of $p[z]$
 z 's uncle y is BLACK

Case 2(A)



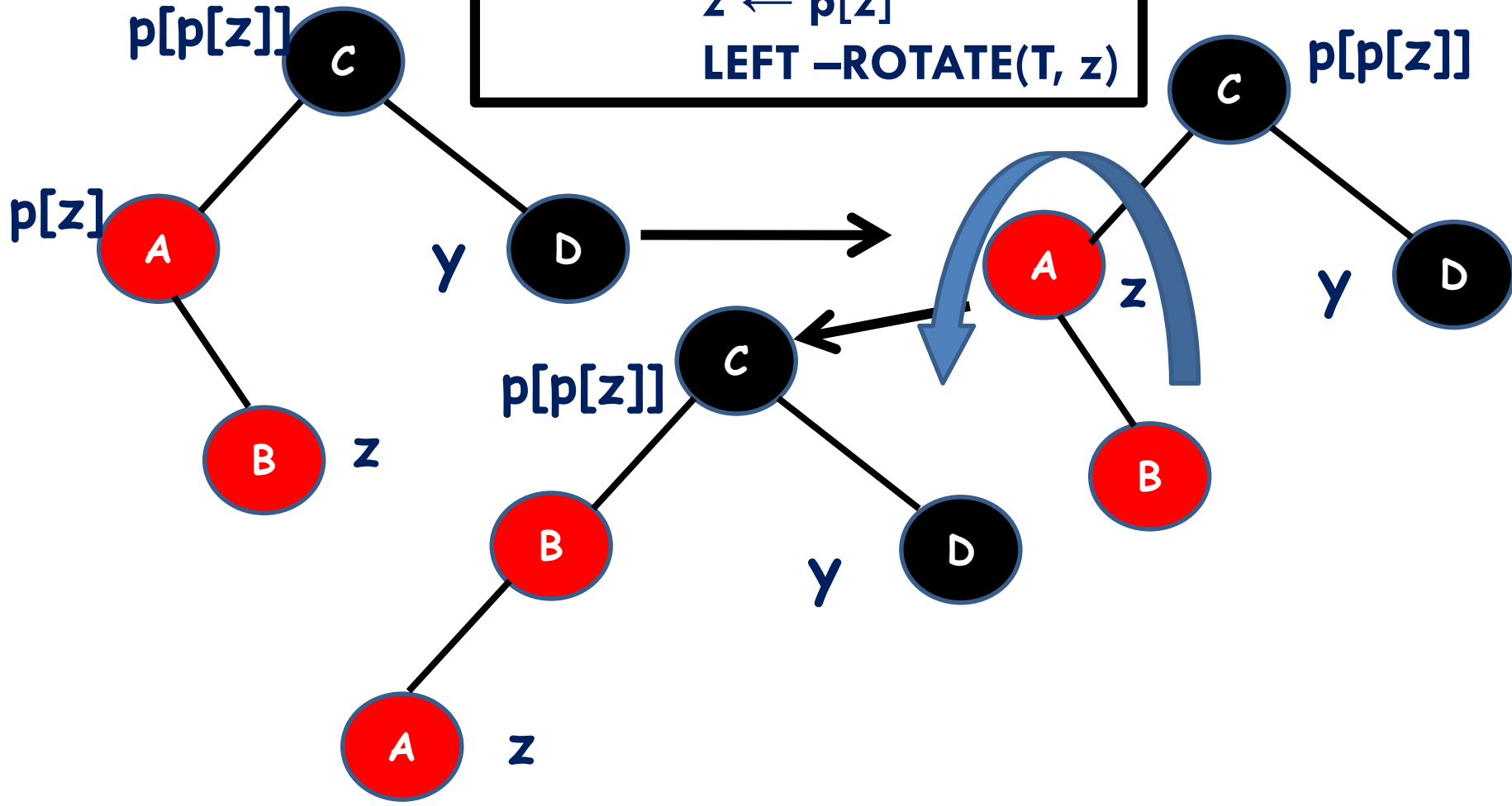
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Insertion in Red-Back Tree Case 2(A)

Solution: Left-Rotation

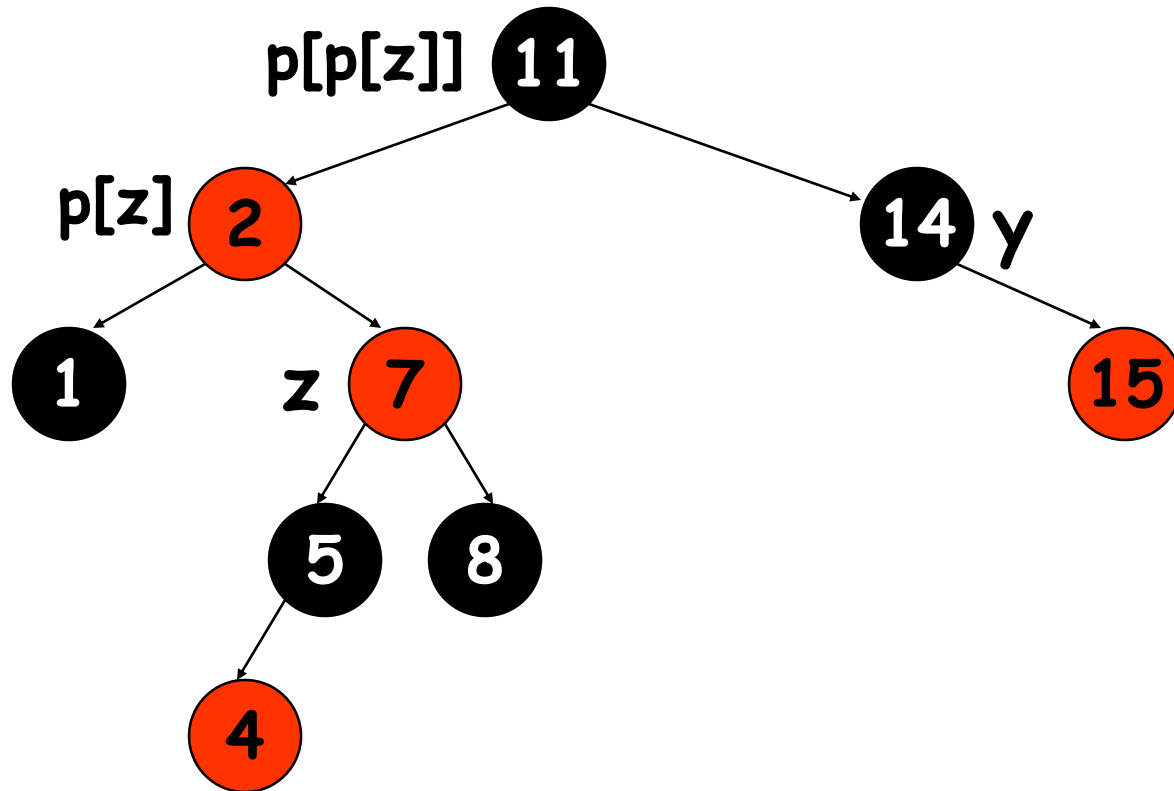
$z \leftarrow p[z]$

LEFT-ROTATE(T, z)



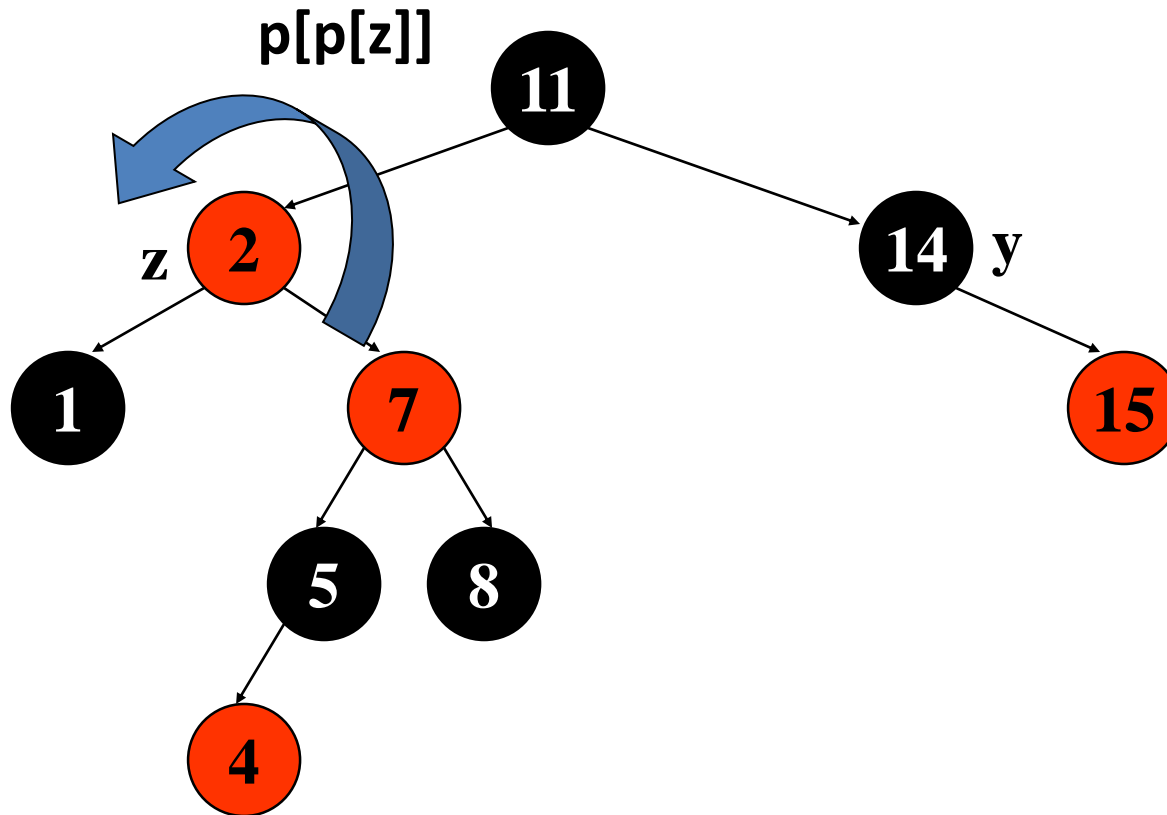
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Insertion in Red-Back Tree Case 2(A)



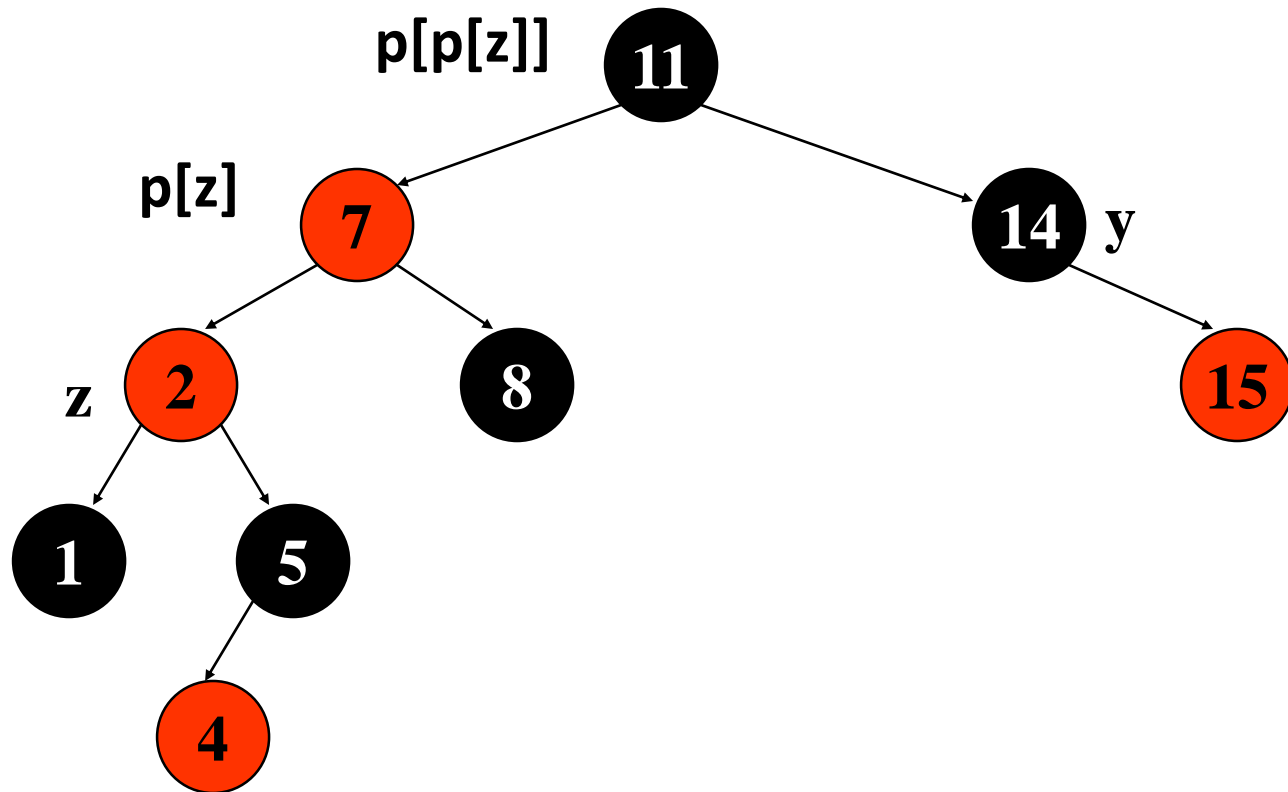
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Insertion in Red-Back Tree Case 2(A)



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Insertion in Red-Back Tree Case 2(A)



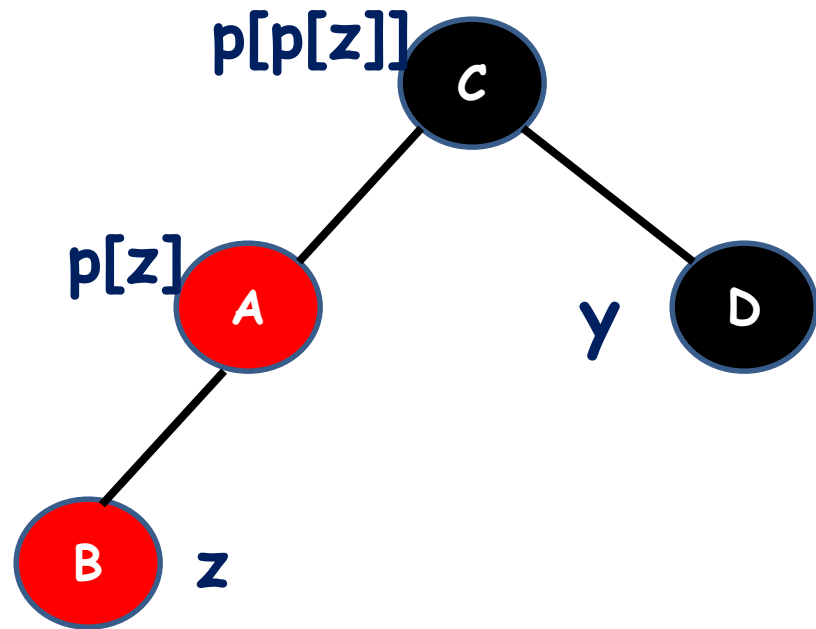
Insertion in Red-Back Tree

The color of new node(z) is **red**.
The the color of $p[z]$ = **RED**

And

z is left child of $p[z]$
 z 's uncle y is **BLACK**

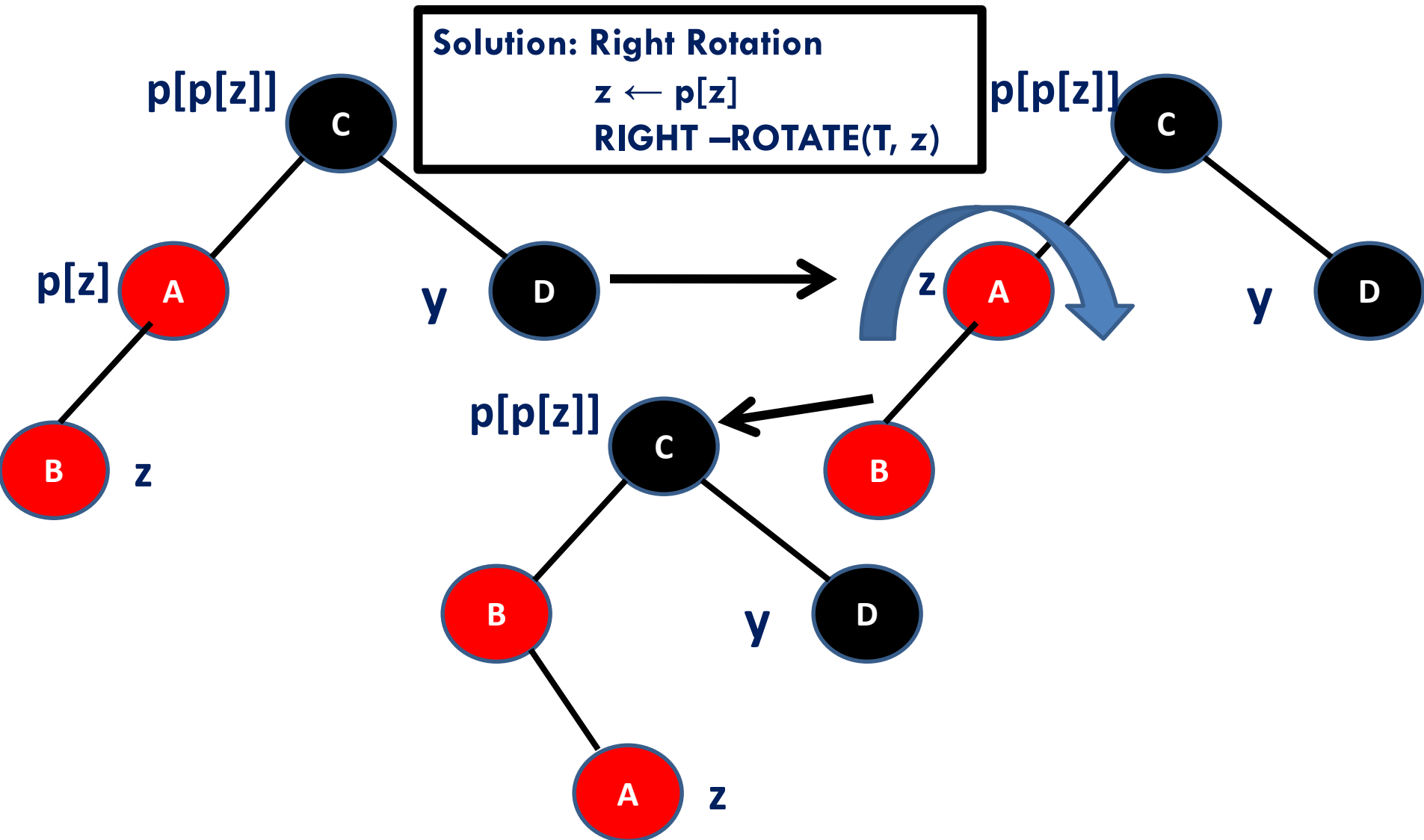
Case 2(B)



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Insertion in Red-Back Tree

Case 2(B)



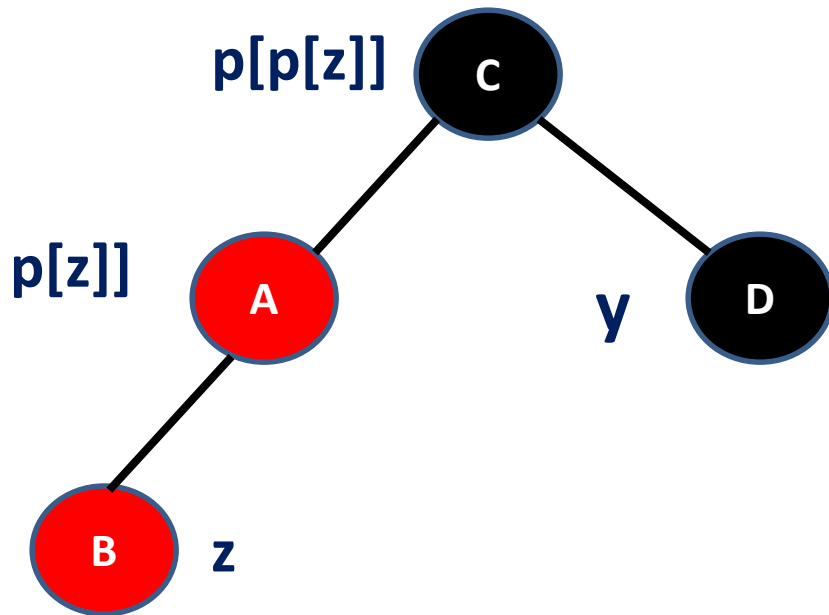
Insertion in Red-Back Tree

The color of new node(z) is **red**.
The the color of $p[z]$ = **RED**

And

z is left child of $p[z]$
 z 's uncle y is **BLACK**

Case 3(A)



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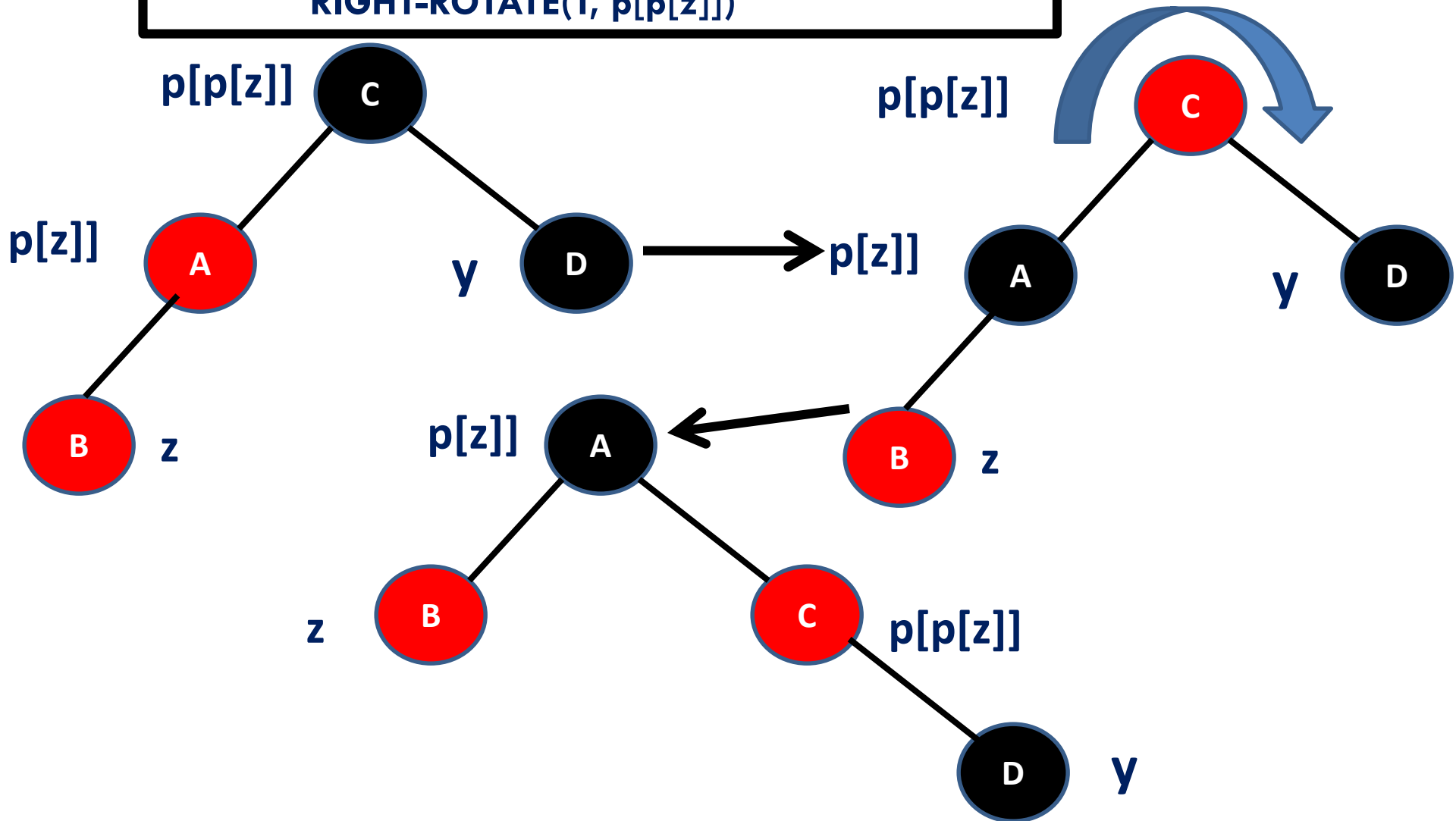
Solution: Recolor + RIGHT-ROTATE AROUND $p[p[z]]$

$\text{color}[p[z]] \leftarrow \text{BLACK}$

$\text{color}[p[p[z]]] \leftarrow \text{RED}$

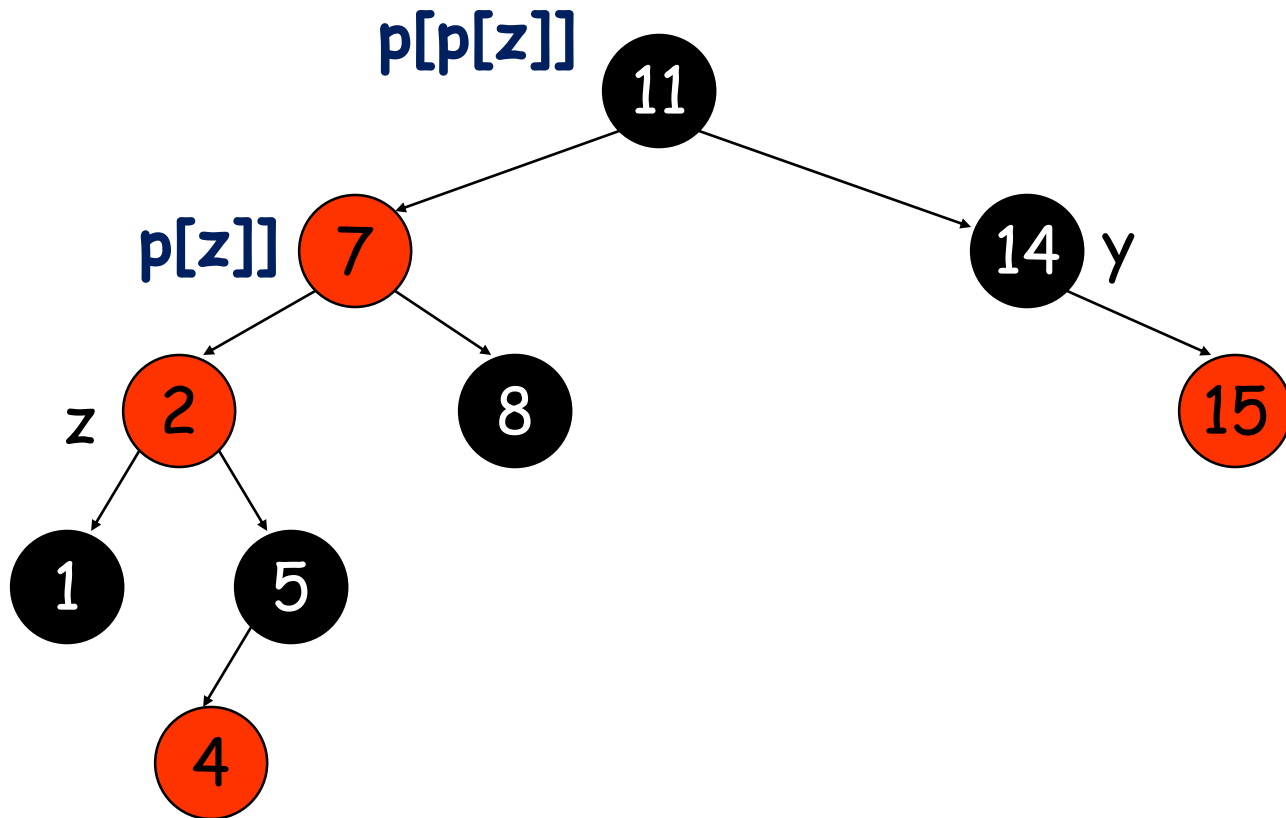
$\text{RIGHT-ROTATE}(T, p[p[z]])$

Case 3(A)



Insertion in Red-Back Tree

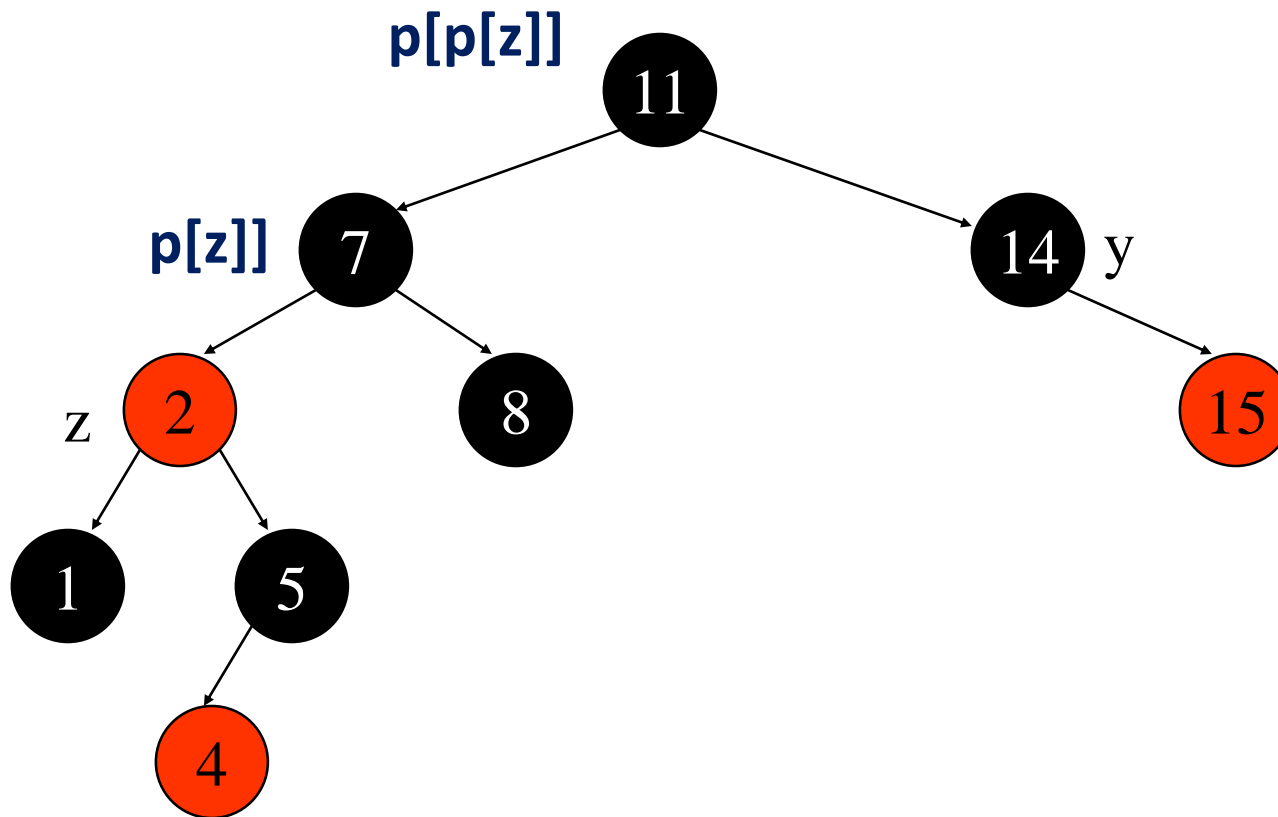
Case 3(A)



Insertion in Red-Back Tree

Case 3(A)

$\text{color}[p[z]] \leftarrow \text{BLACK}$

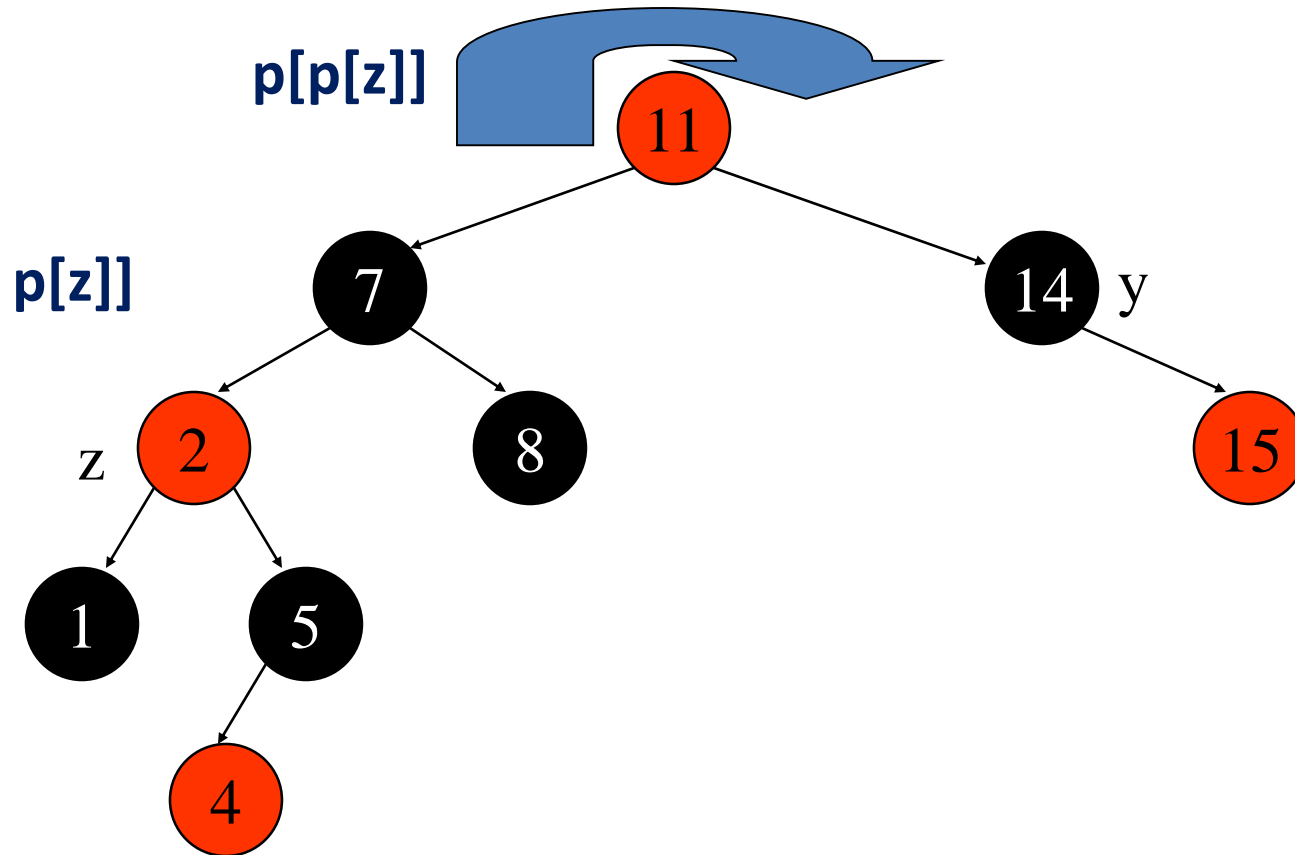


Insertion in Red-Back Tree

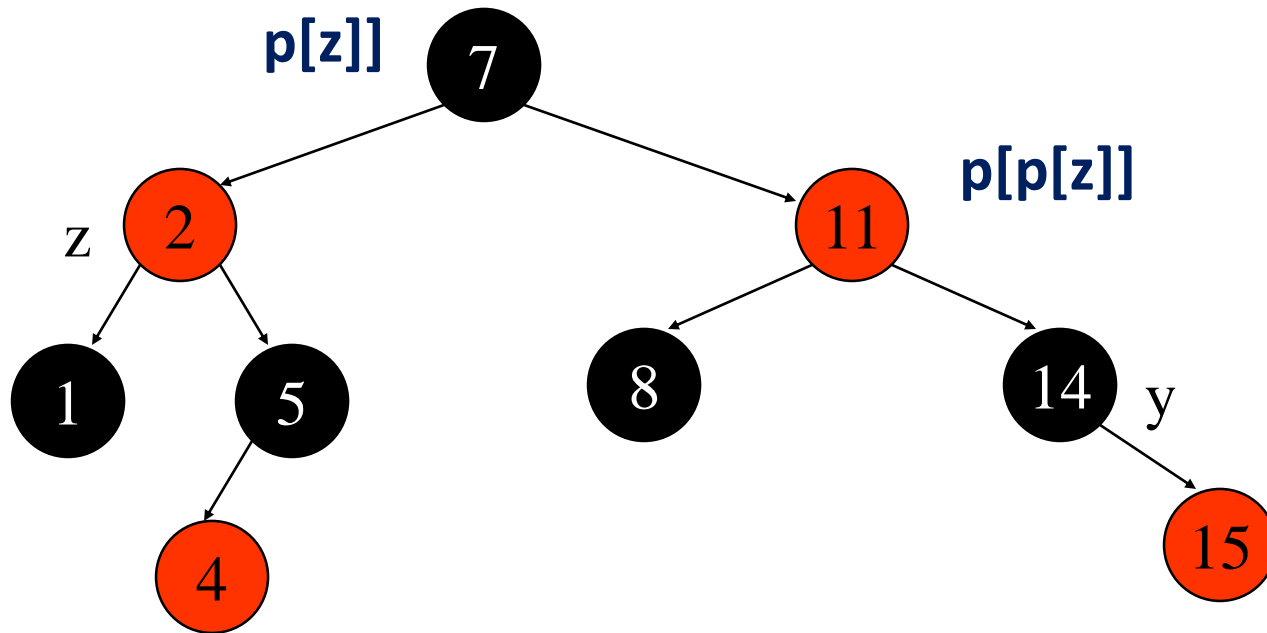
Case 3(A)

$\text{color}[p[p[z]]] \leftarrow \text{RED}$

$\text{RIGHT-ROTATE}(T, p[p[z]])$



Insertion in Red-Back Tree Case 3(A)



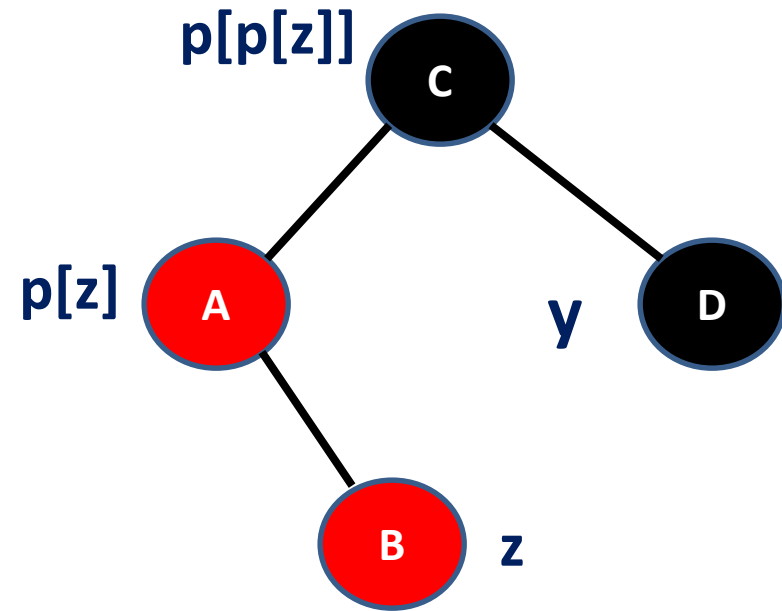
Insertion in Red-Back Tree

The color of new node(z) is red.
The the color of $p[z]$ = RED

And

z is right child of $p[z]$
 z 's uncle y is BLACK

Case 3(B)



Learn DAA: From B K Sharma

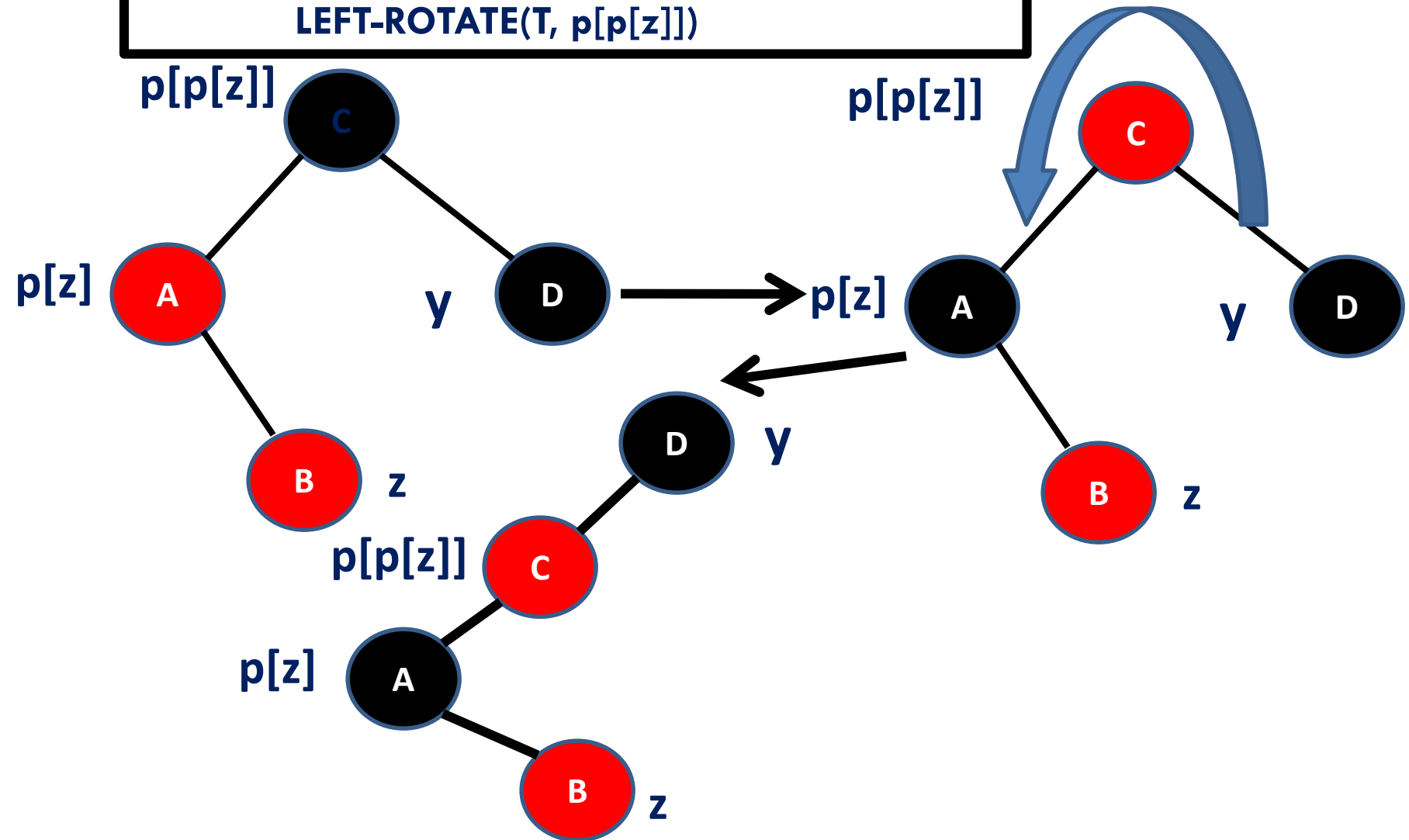
Solution: Recolor + LEFT-ROTATE AROUND $p[p[z]]$

$\text{color}[p[z]] \leftarrow \text{BLACK}$

$\text{color}[p[p[z]]] \leftarrow \text{RED}$

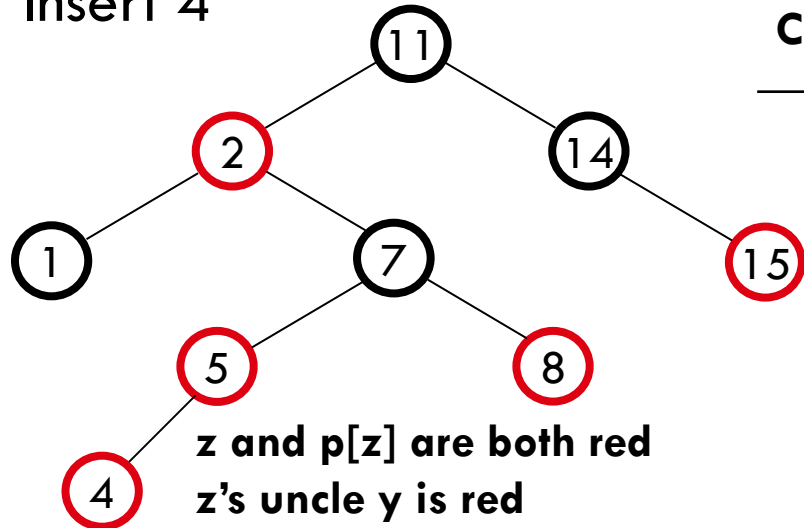
$\text{LEFT-ROTATE}(T, p[p[z]])$

Case 3(B)

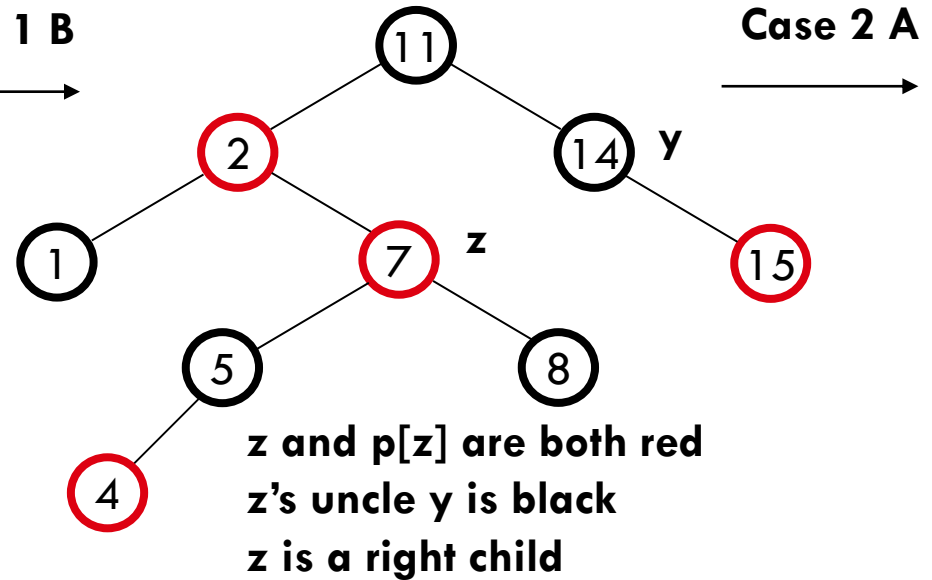


Insertion in Red-Back Tree

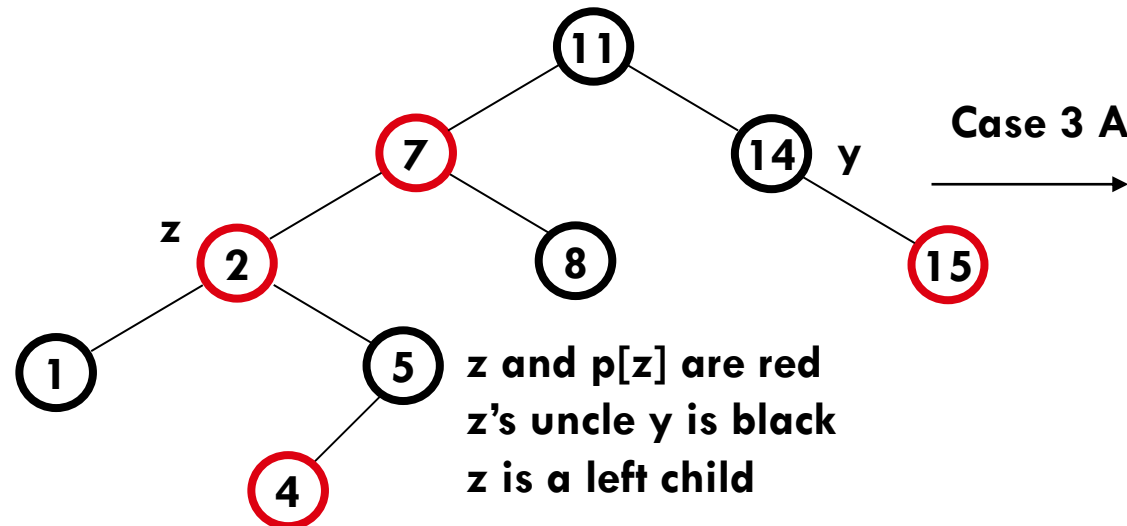
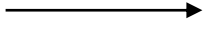
Insert 4



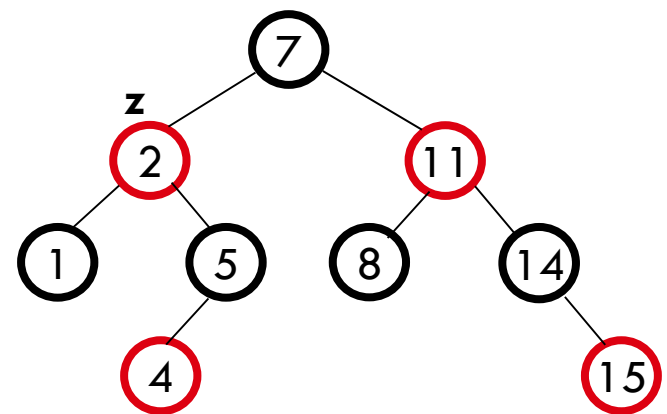
Case 1 B



Case 2 A



Case 3 A

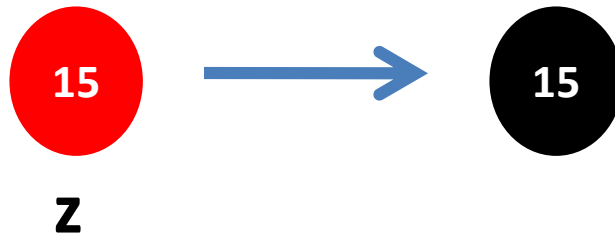


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Example

Insert the following nodes in sequence in an empty Red-Black Tree:

15, 12, 35, 3, 21

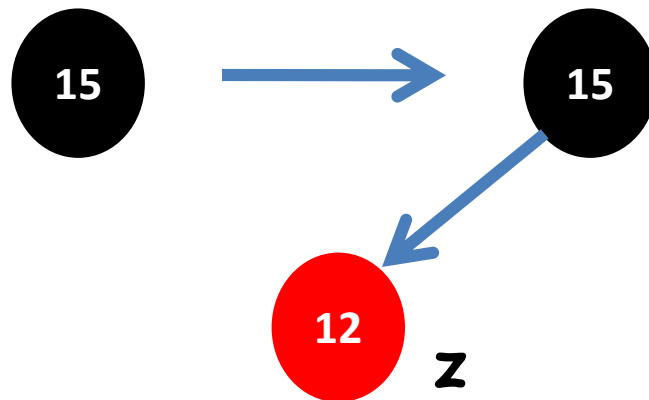


It is Root. Color it BLACK

Example

Insert the following nodes in sequence in an empty Red-Black Tree:

15, 12, 35, 3, 21

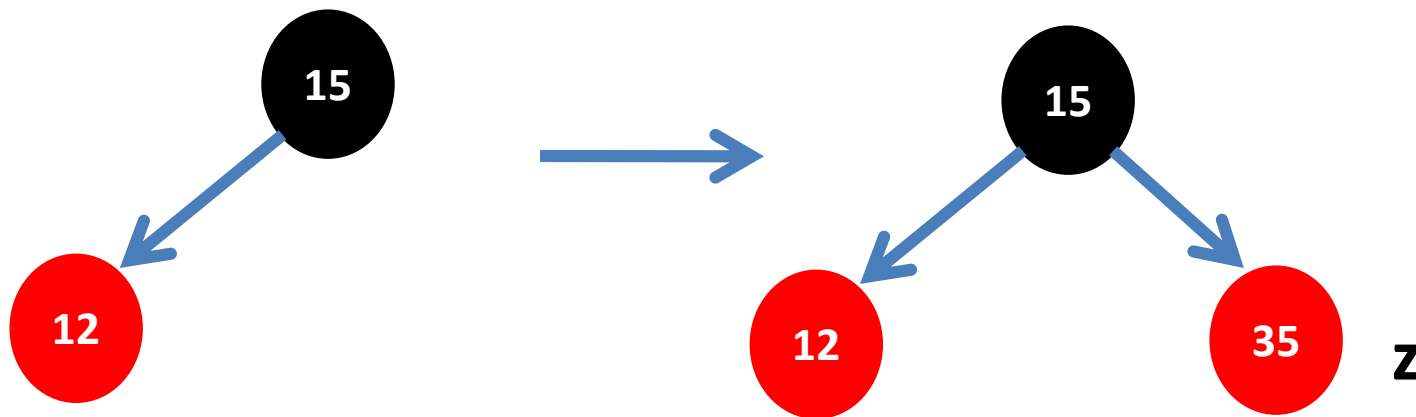


No RED-BLACK Tree properties violation

Example

Insert the following nodes in sequence in an empty Red-Black Tree:

15, 12, 35, 3, 21



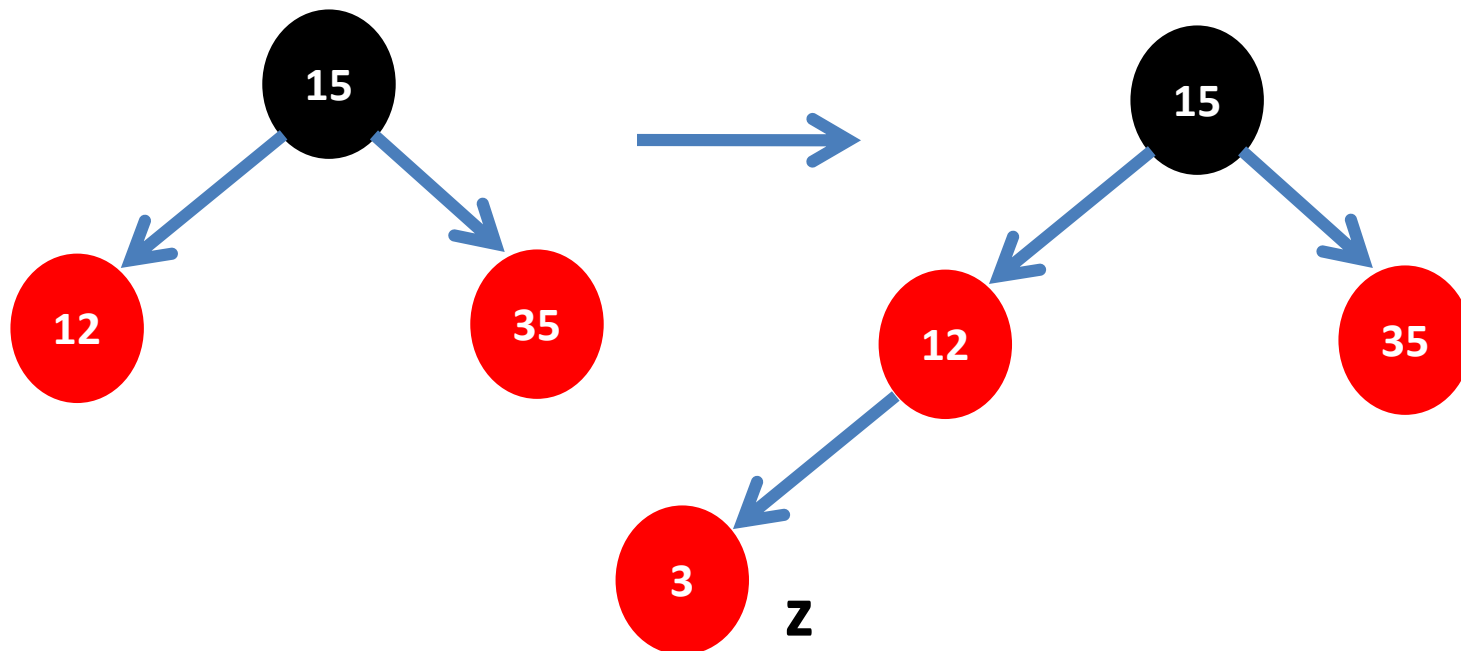
No RED-BLACK Tree properties violation

Learn DAA: From B K Sharma

Example

Insert the following nodes in sequence in an empty Red-Black Tree:

15, 12, 35, 3, 21



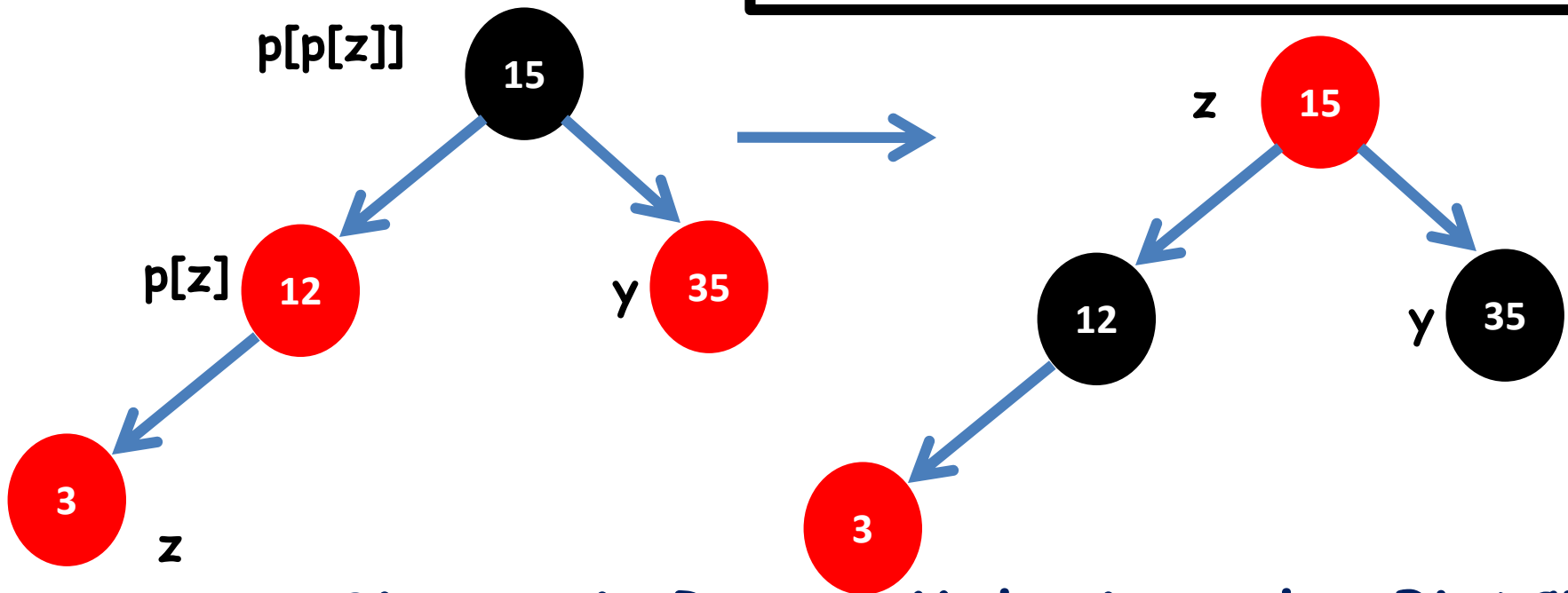
Property (4) Violated: There should not be two consecutive reds

Example

Case 1(B)

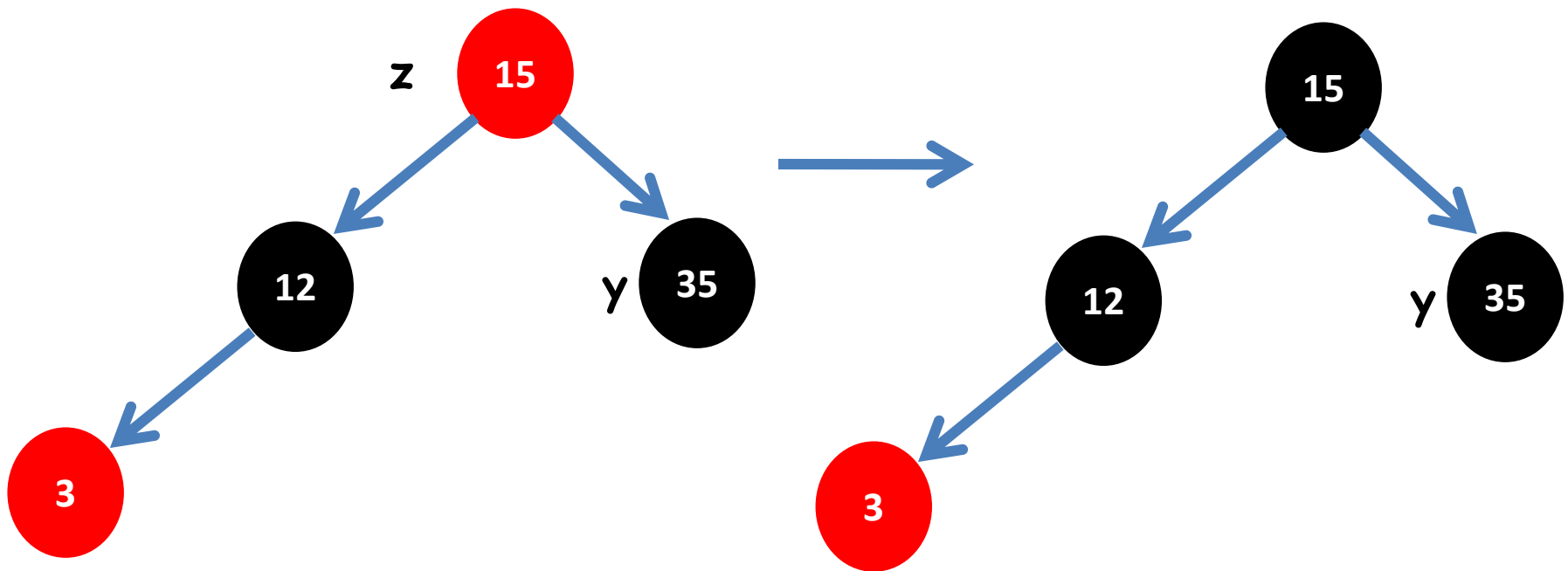
z is Left child of p[z]
z's uncle y is RED

Solution: Recolor
 $\text{color}[p[z]] \leftarrow \text{BLACK}$
 $\text{color}[y] \leftarrow \text{BLACK}$
 $\text{color}[p[p[z]]] \leftarrow \text{RED}$
 $z = p[p[z]]$



Since z is Root , Make its color BLACK

Example

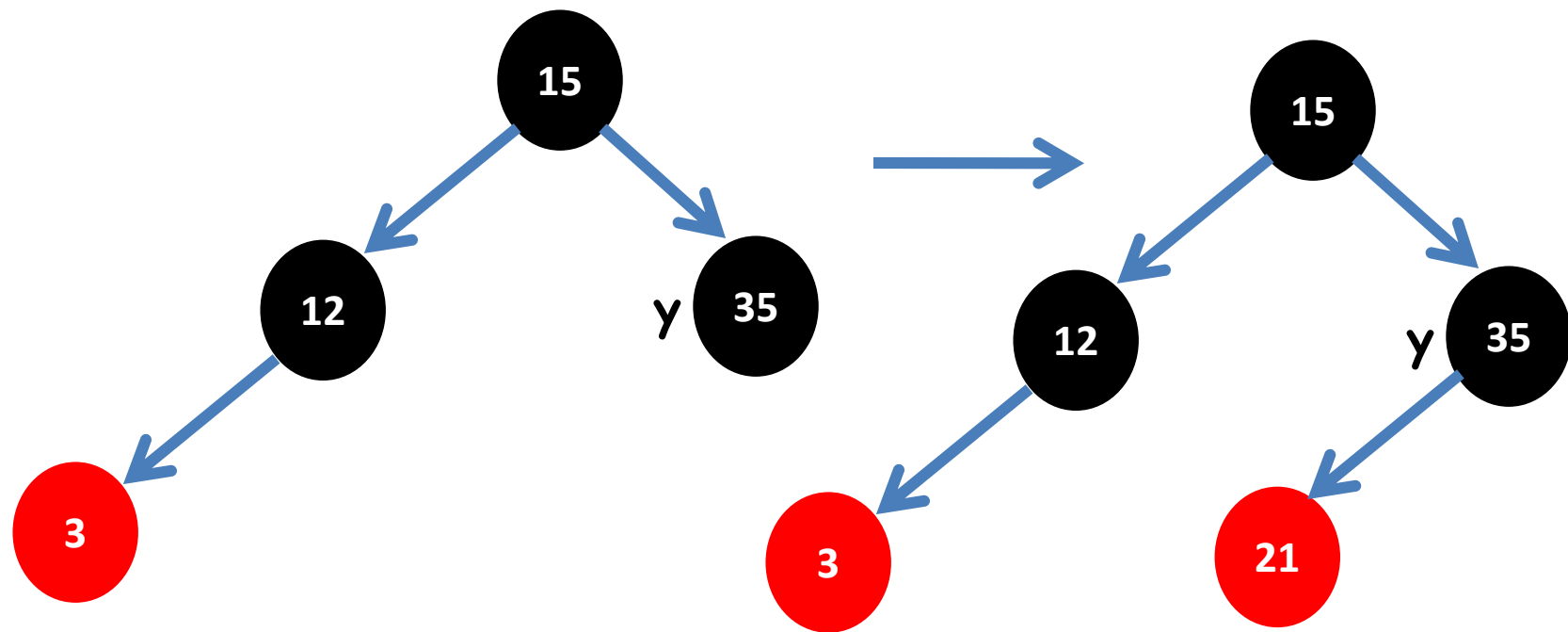


Since z is Root , Make its color BLACK

Example

Insert the following nodes in sequence in an empty Red-Black Tree:

15, 12, 35, 3, 21

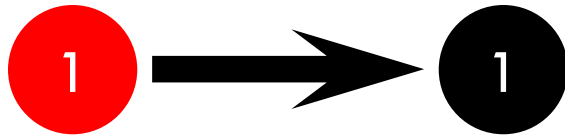


No RED-BLACK Tree properties violation

Example

Inserting the following nodes in an empty Red-Black Tree in sequence:

1, 9, 2, 8, 3, 7, 4, 6



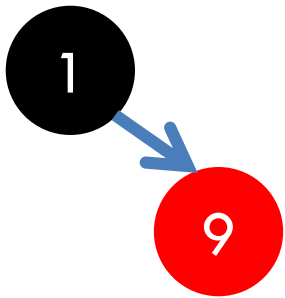
It is Root. Color it BLACK

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Example

Inserting the following nodes in an empty Red-Black Tree in sequence:

1, 9, 2, 8, 3, 7, 4, 6



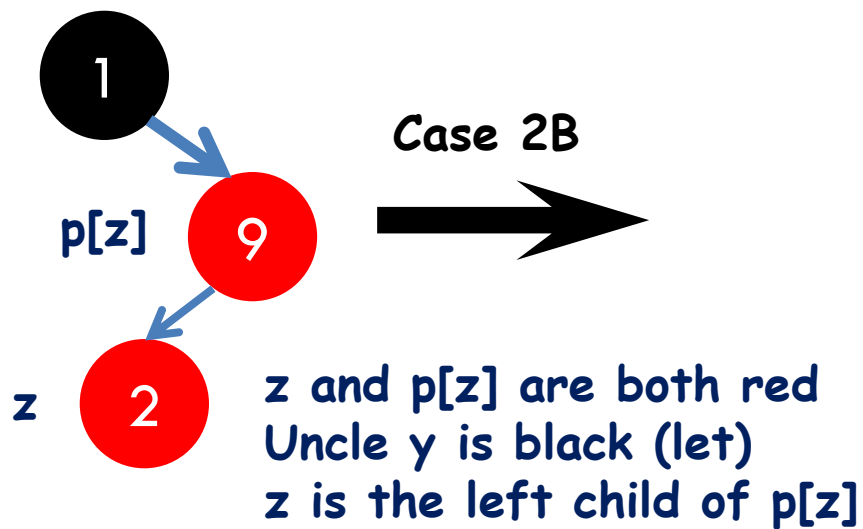
No RED-BLACK Tree properties violation

Learn DAA: From B K Sharma

Example

Inserting the following nodes in an empty Red-Black Tree in sequence:

1, 9, 2, 8, 3, 7, 4, 6

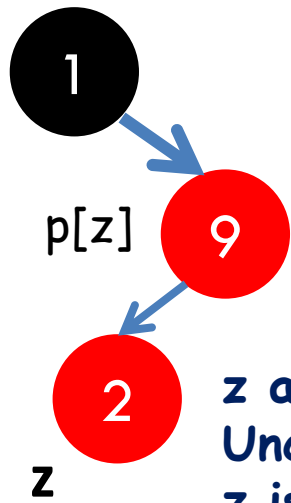


Case 2B

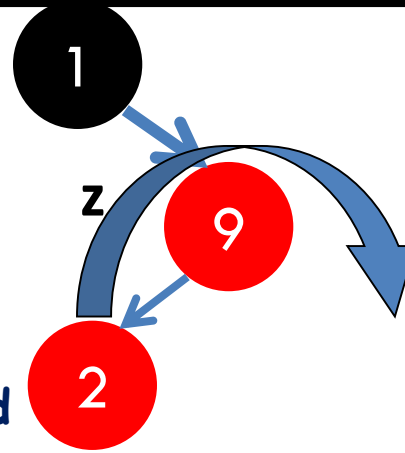
Solution: Right Rotation

$z \leftarrow p[z]$

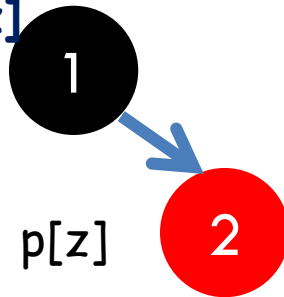
RIGHT-ROTATE(T, z)



Case 2B



z and $p[z]$ are both red
Uncle y is black
 z is the left child of $p[z]$



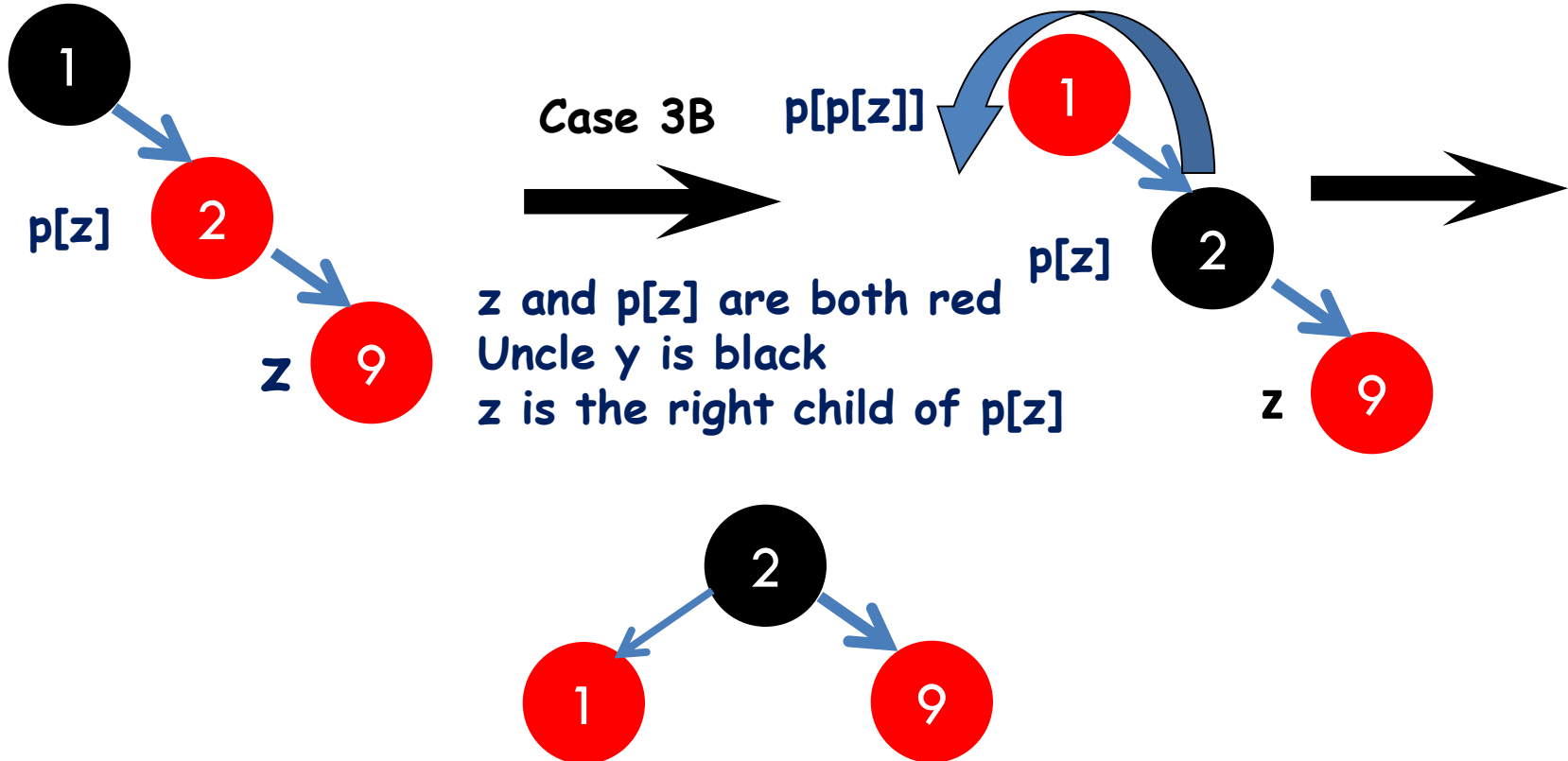
Case 3B



z and $p[z]$ are both red
Uncle y is black
 z is the right child of $p[z]$

Case 3B

Solution: Recolor + LEFT-ROTATE AROUND $p[p[z]]$
 $\text{color}[p[z]] \leftarrow \text{BLACK}$
 $\text{color}[p[p[z]]] \leftarrow \text{RED}$
 $\text{LEFT-ROTATE}(T, p[p[z]])$

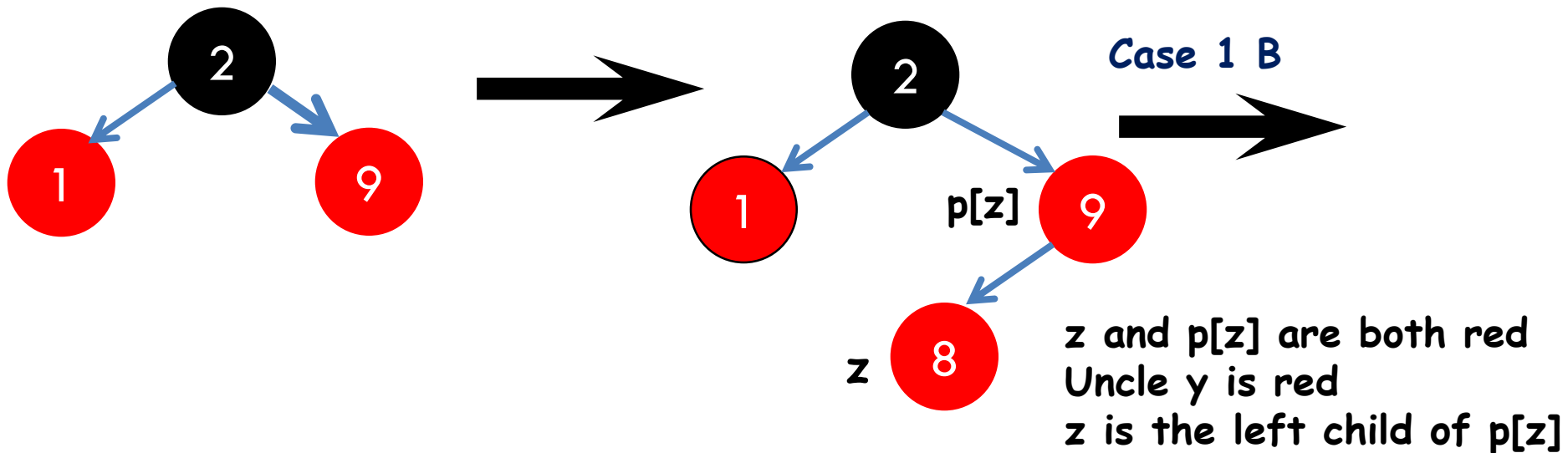


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Example

Inserting the following nodes in an empty Red-Black Tree in sequence:

1, 9, 2, 8, 3, 7, 4, 6



Case 1 B

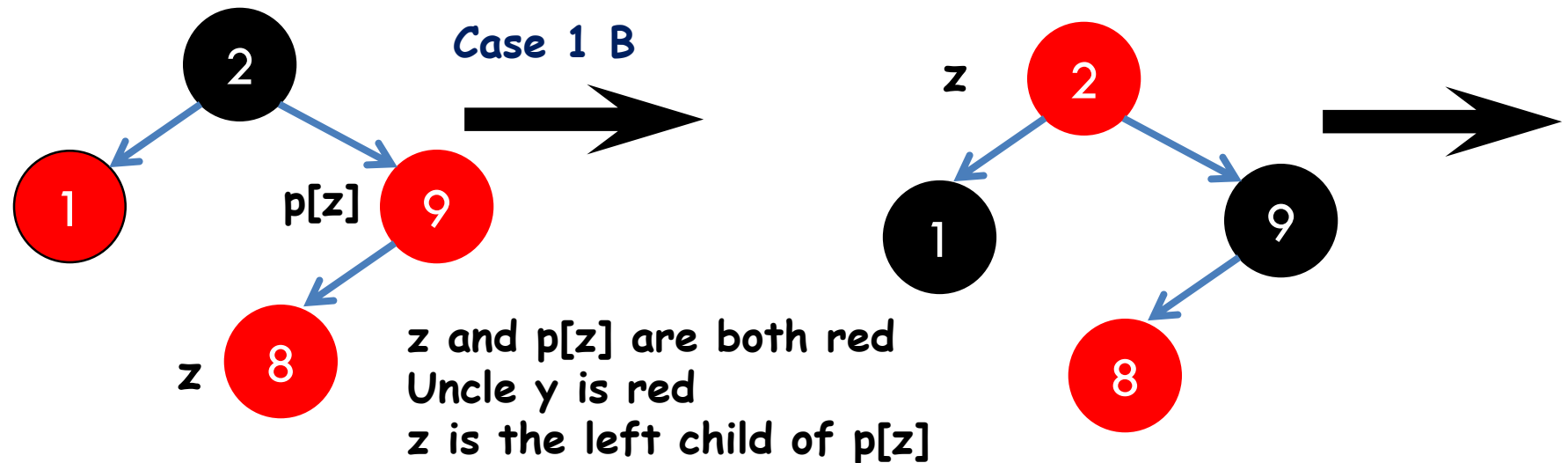
Solution: Recolor

$\text{color}[p[z]] \leftarrow \text{BLACK}$

$\text{color}[y] \leftarrow \text{BLACK}$

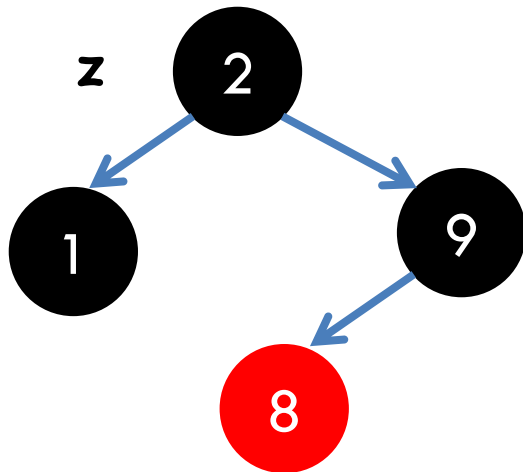
$\text{color}[p[p[z]]] \leftarrow \text{RED}$

$z = p[p[z]]$



z is Root. Color it BLACK

Learn DAA: From B K Sharma

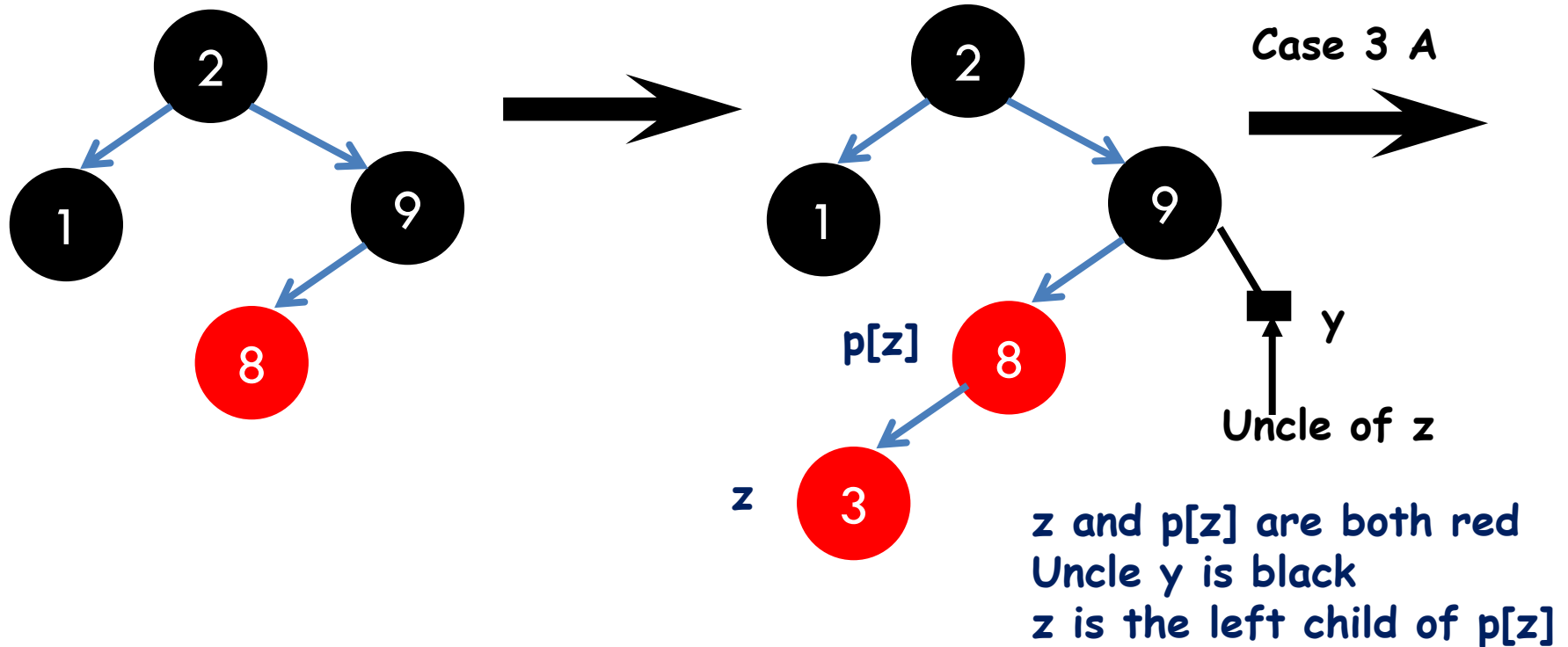


Learn DAA: From B K Sharma

Example

Inserting the following nodes in an empty Red-Black Tree in sequence:

1, 9, 2, 8, 3, 7, 4, 6



Learn DAA: From B K Sharma

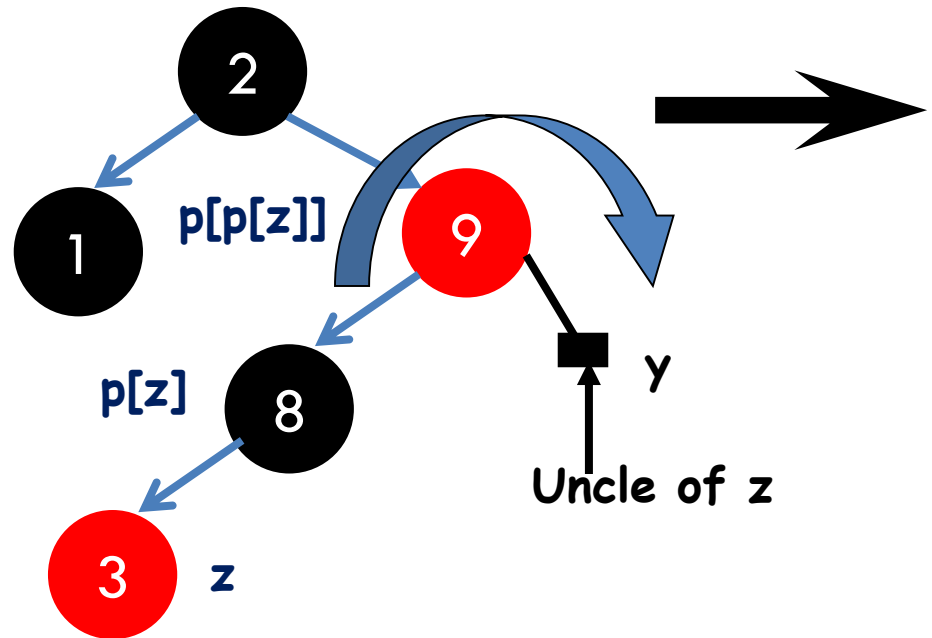
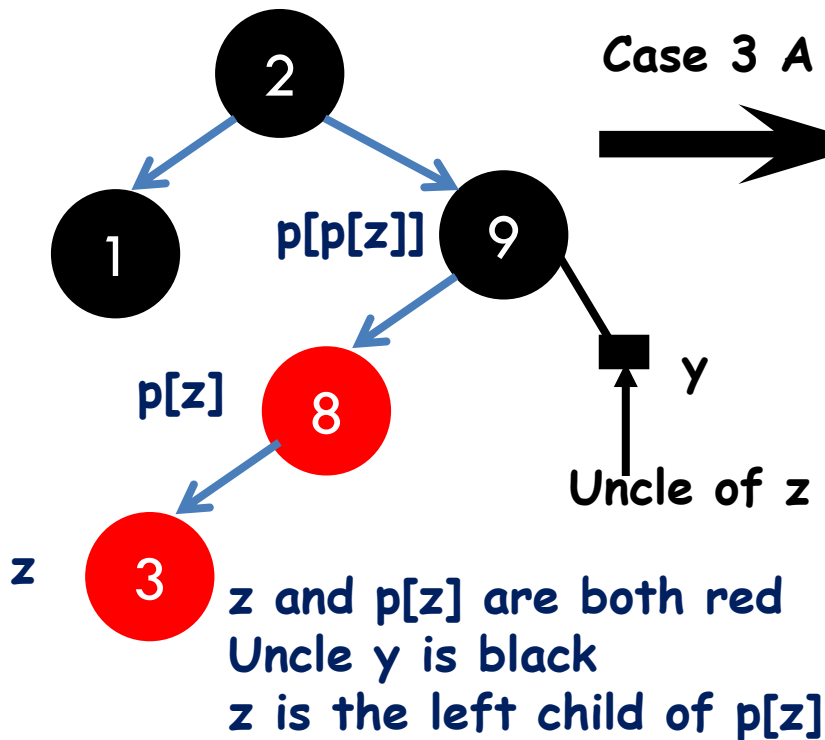
Case 3 A

Solution: Recolor + RIGHT-ROTATE AROUND $p[p[z]]$

$\text{color}[p[z]] \leftarrow \text{BLACK}$

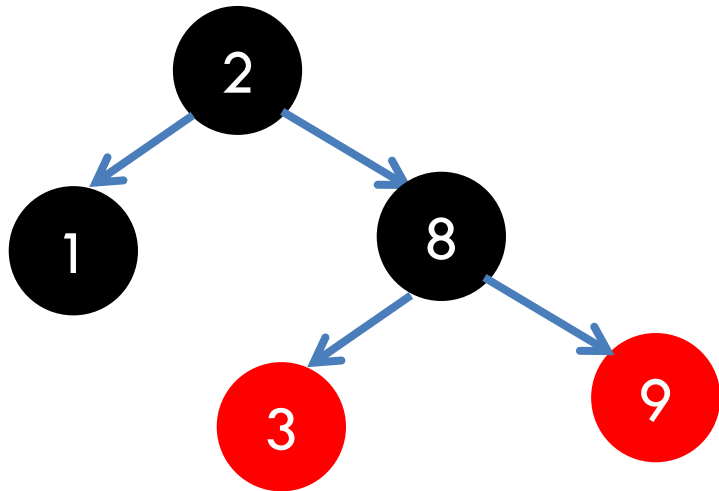
$\text{color}[p[p[z]]] \leftarrow \text{RED}$

$\text{RIGHT-ROTATE}(T, p[p[z]])$



Learn DAA: From B K Sharma

Example

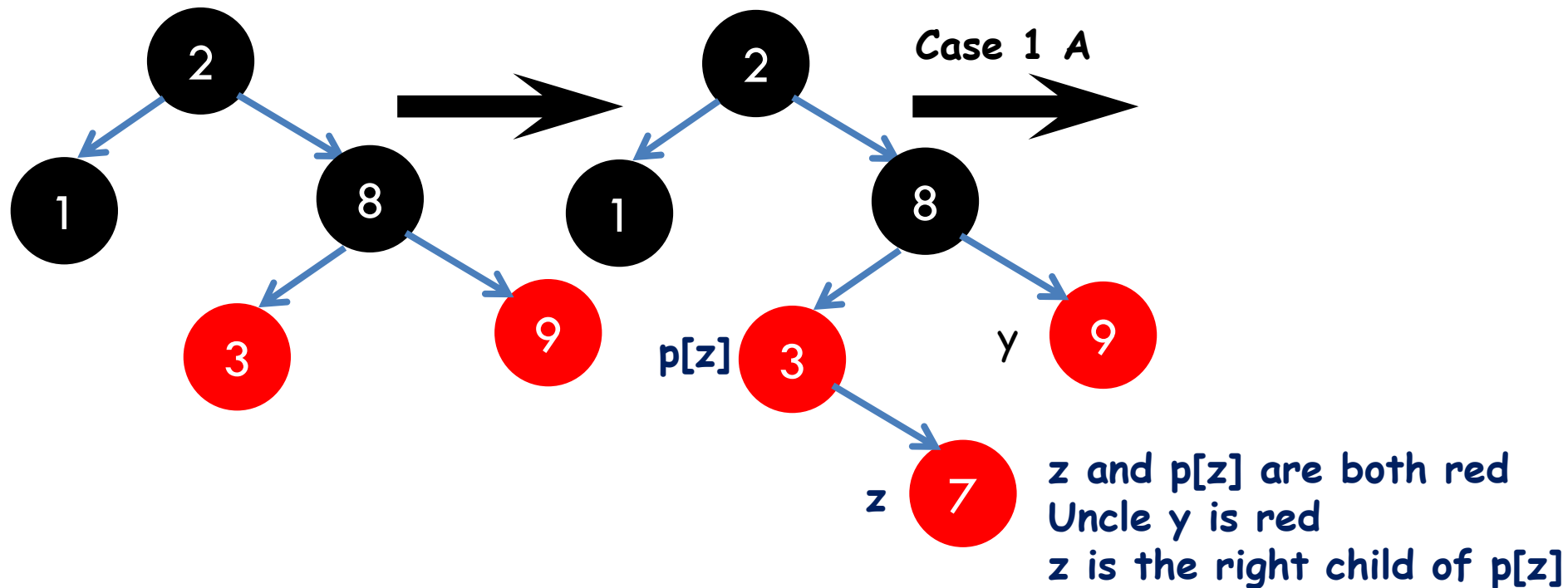


Learn DAA: From B K Sharma

Example

Inserting the following nodes in an empty Red-Black Tree in sequence:

1, 9, 2, 8, 3, 7, 4, 6



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Case 1(A)

Solution:

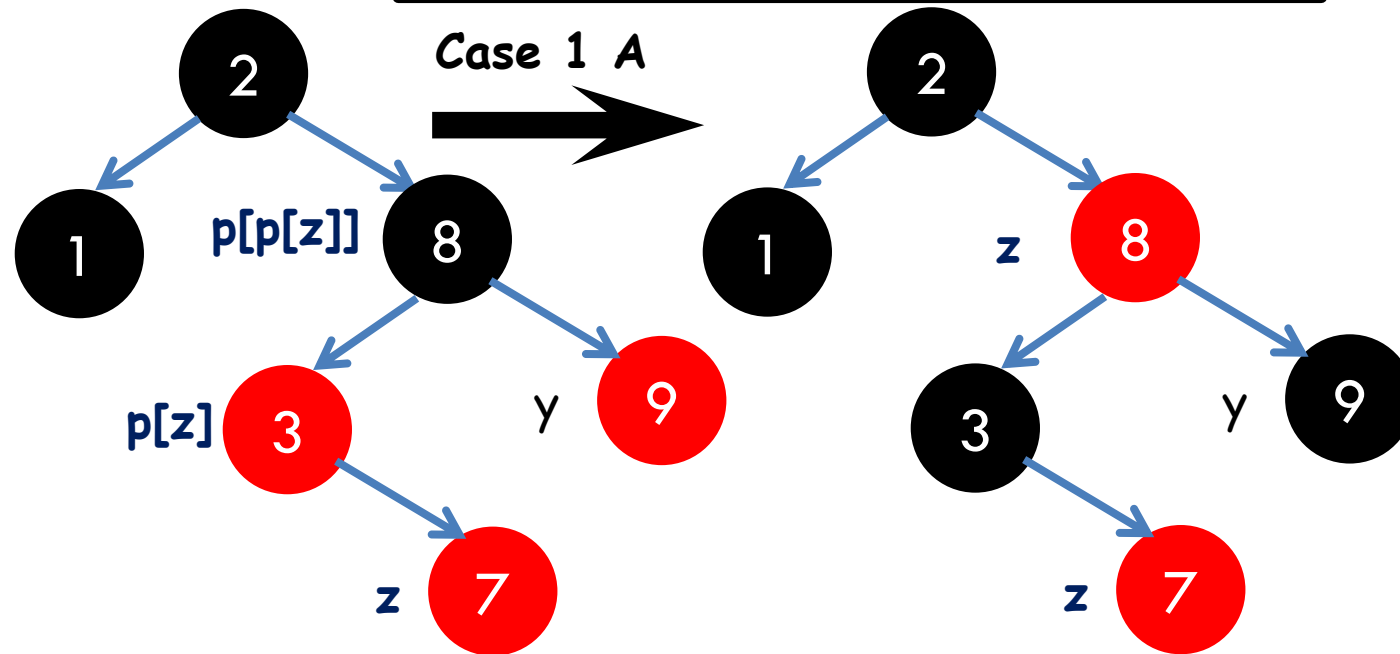
Recolor

$\text{color}[p[z]] \leftarrow \text{BLACK}$

$\text{color}[y] \leftarrow \text{BLACK}$

$\text{color}[p[p[z]]] \leftarrow \text{RED}$

$z = p[p[z]]$

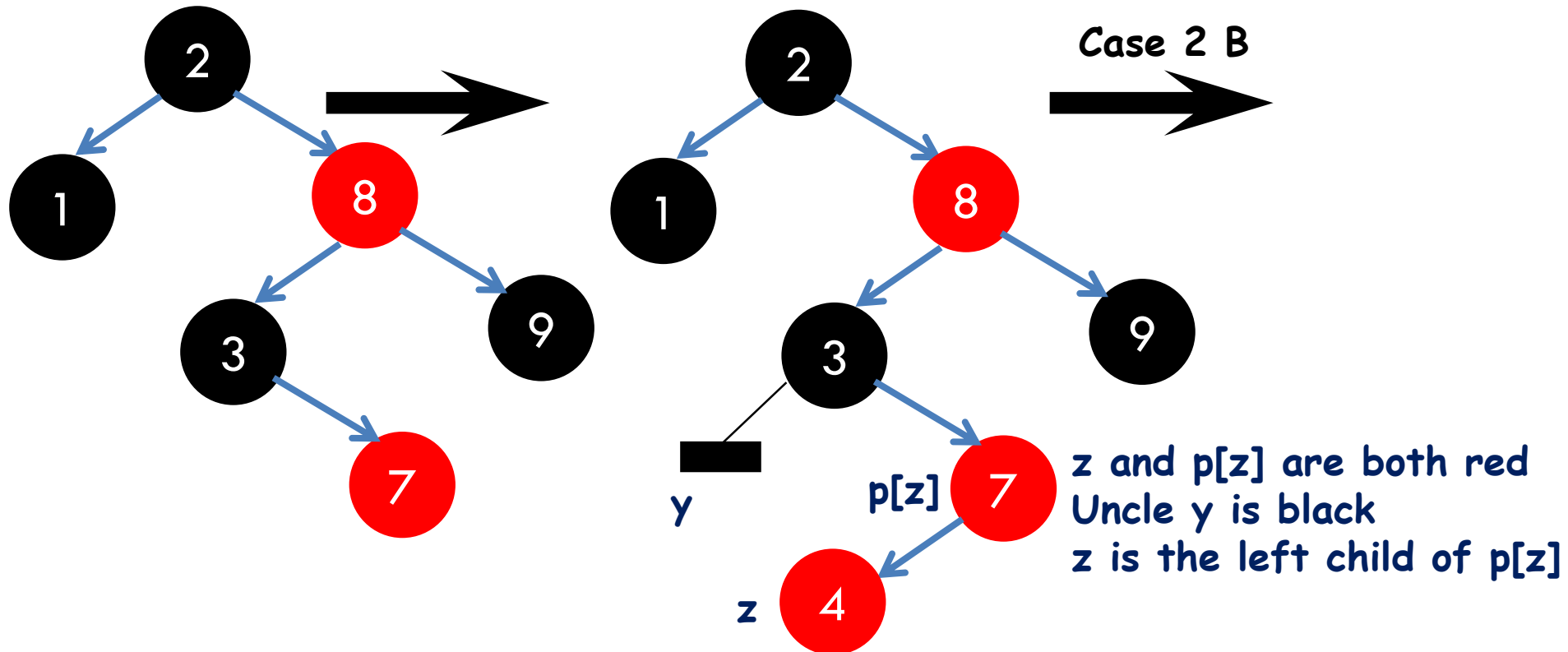


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Example

Inserting the following nodes in an empty Red-Black Tree in sequence:

1, 9, 2, 8, 3, 7, 4, 6



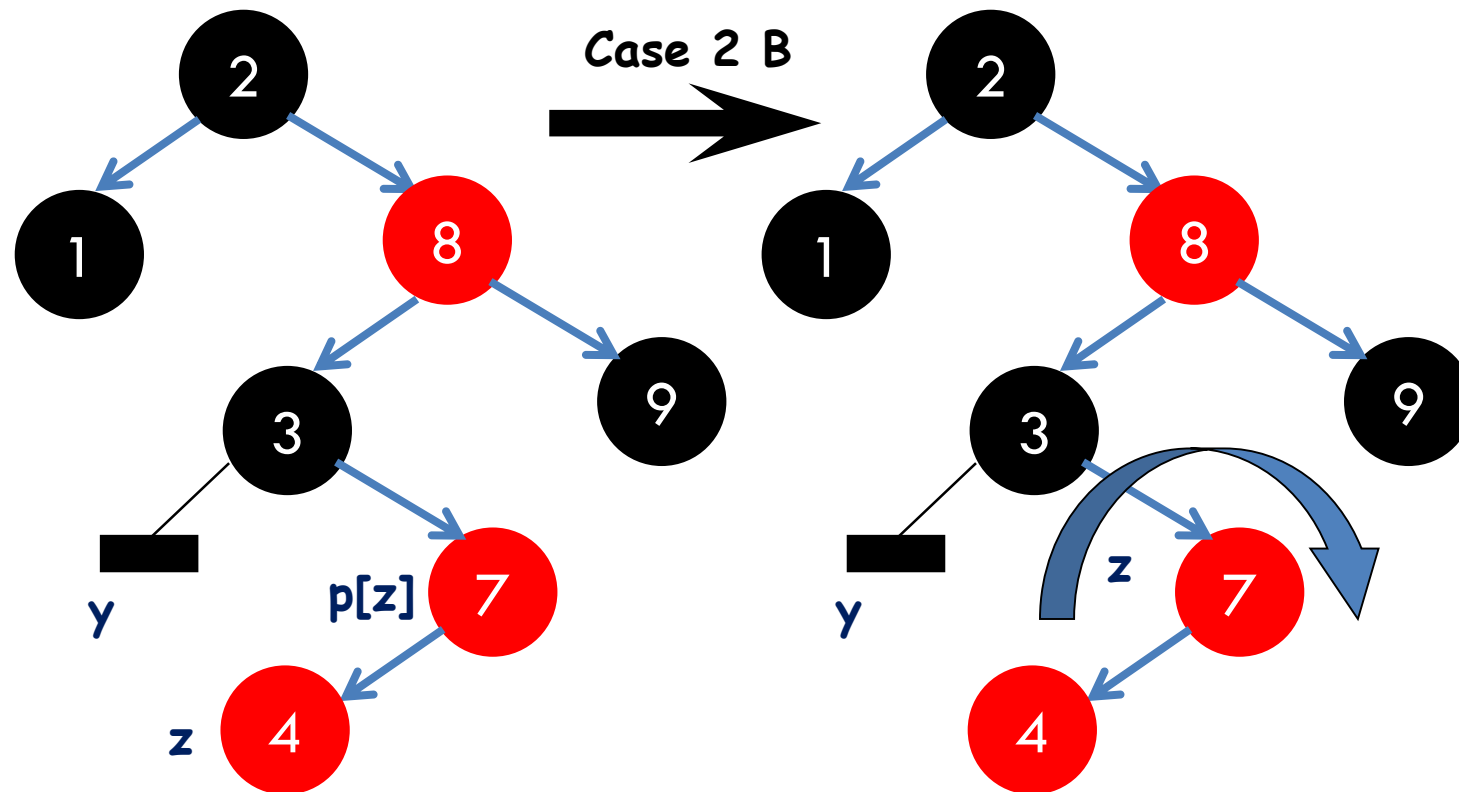
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Case 2B

Solution: Right Rotation

$z \leftarrow p[z]$

RIGHT-ROTATE(T, z)



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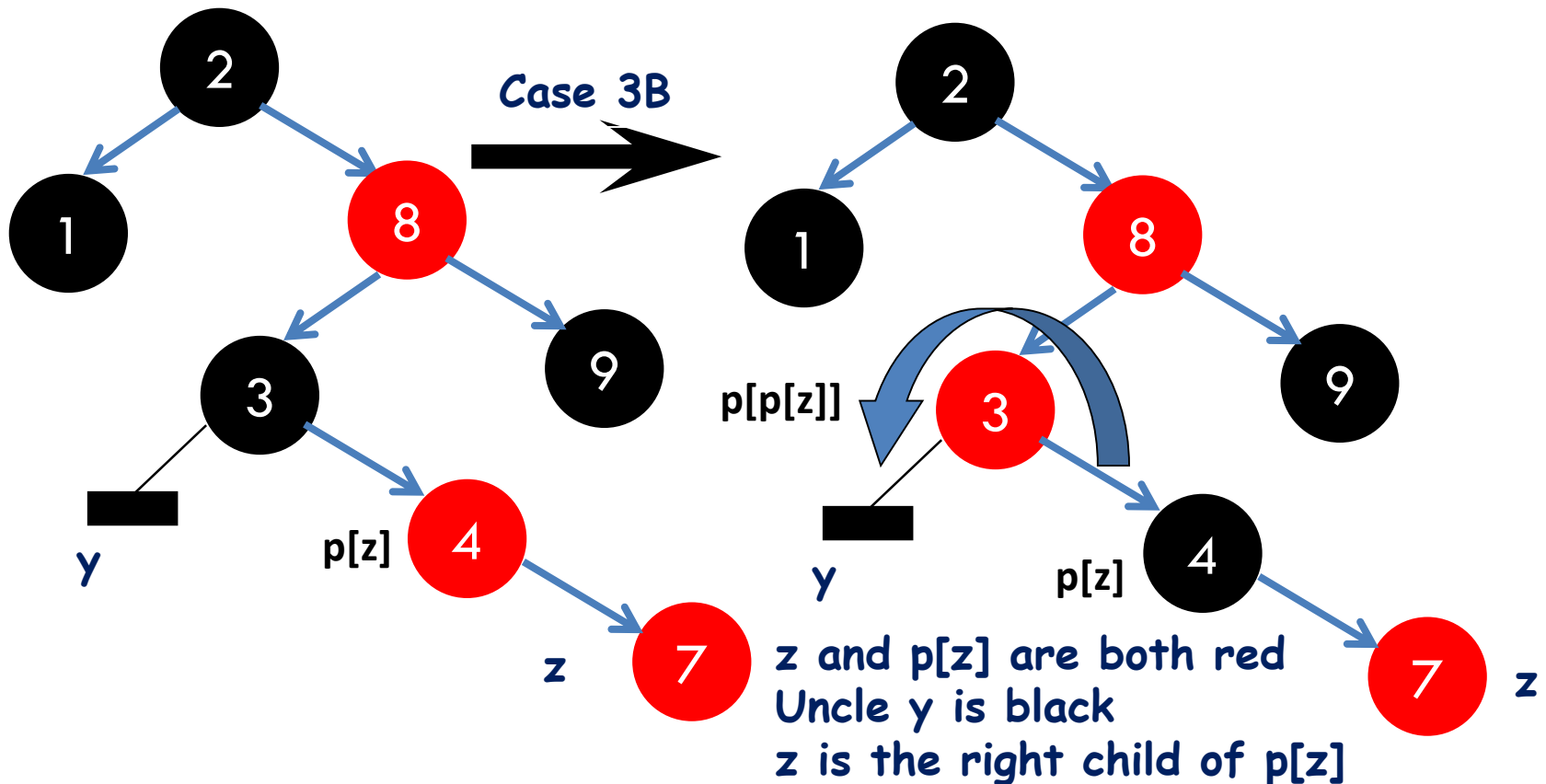
Case 3B

Solution: Recolor + LEFT-ROTATE AROUND $p[p[z]]$

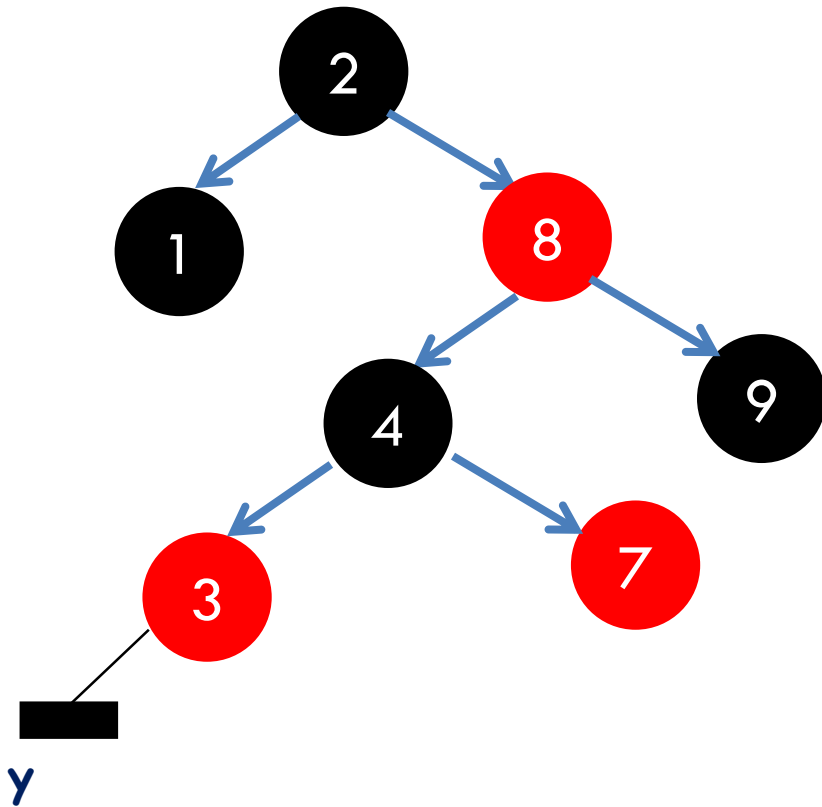
$\text{color}[p[z]] \leftarrow \text{BLACK}$

$\text{color}[p[p[z]]] \leftarrow \text{RED}$

LEFT-ROTATE($T, p[p[z]]$)



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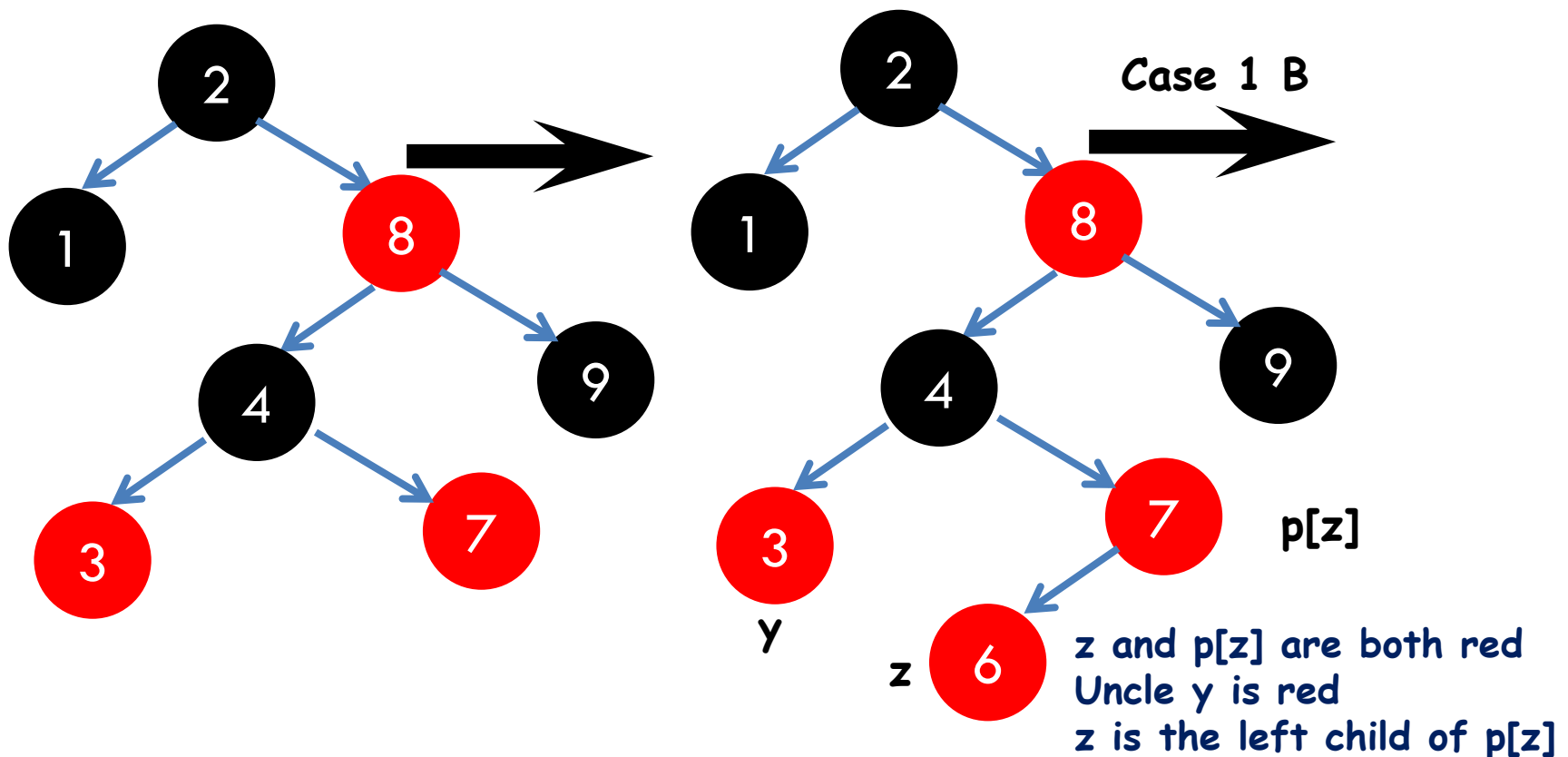


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Example

Inserting the following nodes in an empty Red-Black Tree in sequence:

1, 9, 2, 8, 3, 7, 4, 6



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Case 1 B

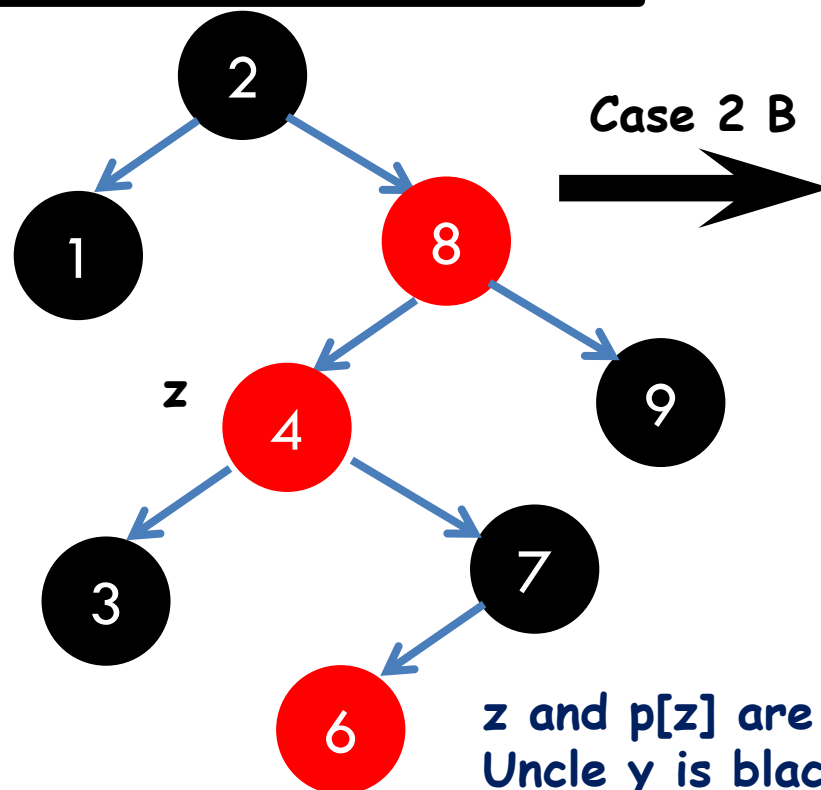
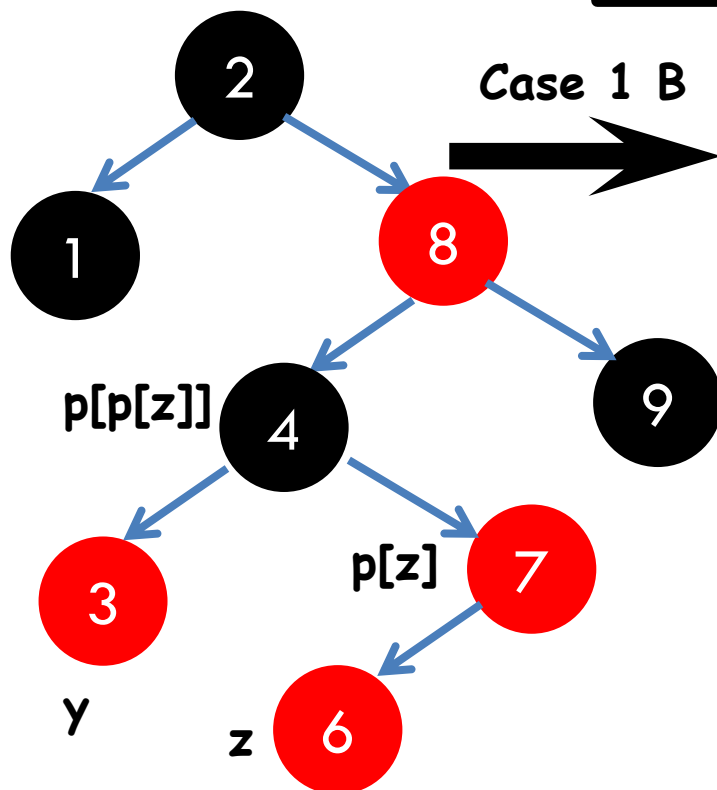
Solution: Recolor

$\text{color}[p[z]] \leftarrow \text{BLACK}$

$\text{color}[y] \leftarrow \text{BLACK}$

$\text{color}[p[p[z]]] \leftarrow \text{RED}$

$z = p[p[z]]$



z and $p[z]$ are both red
Uncle y is black
 z is the left child of $p[z]$

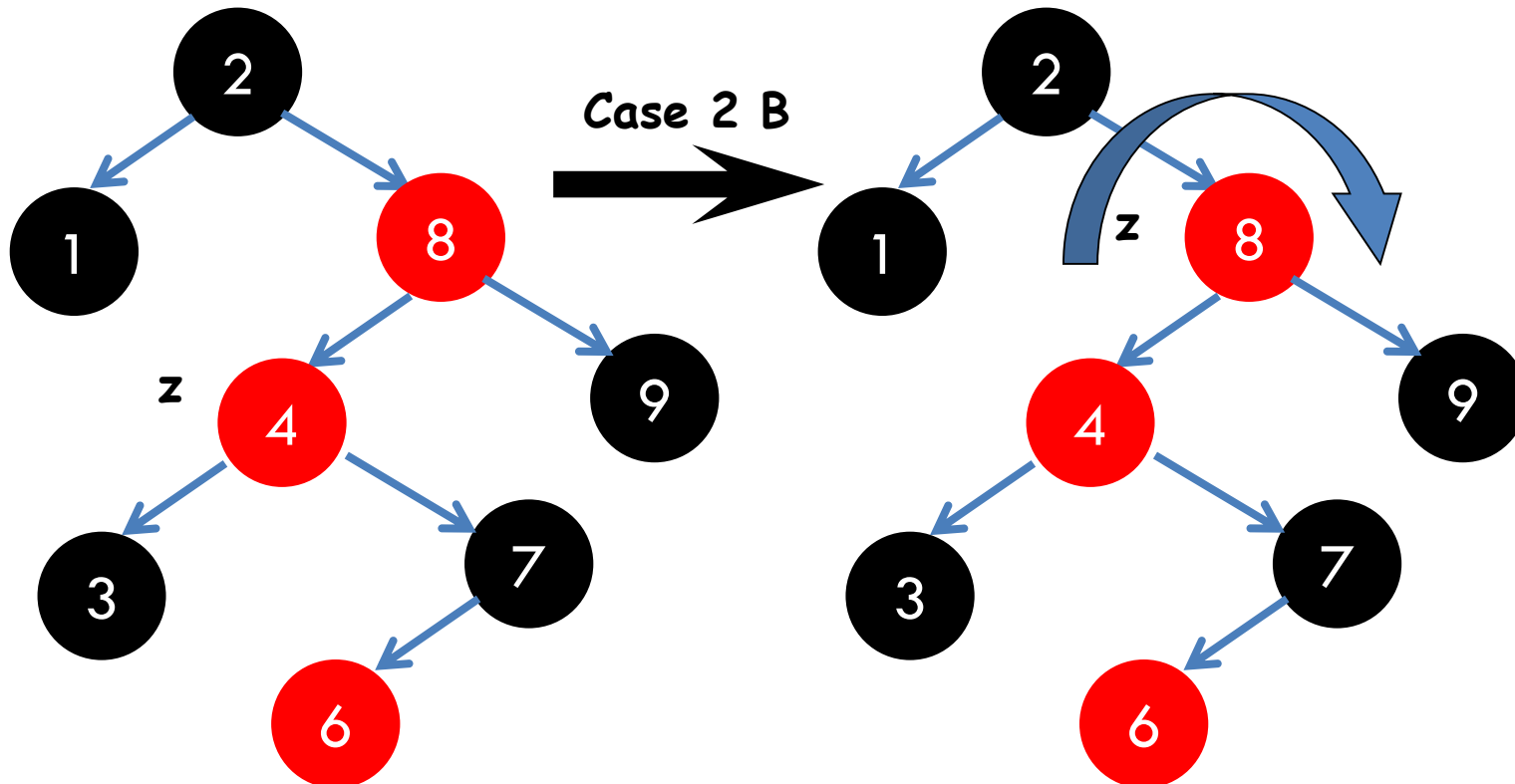
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Case 2B

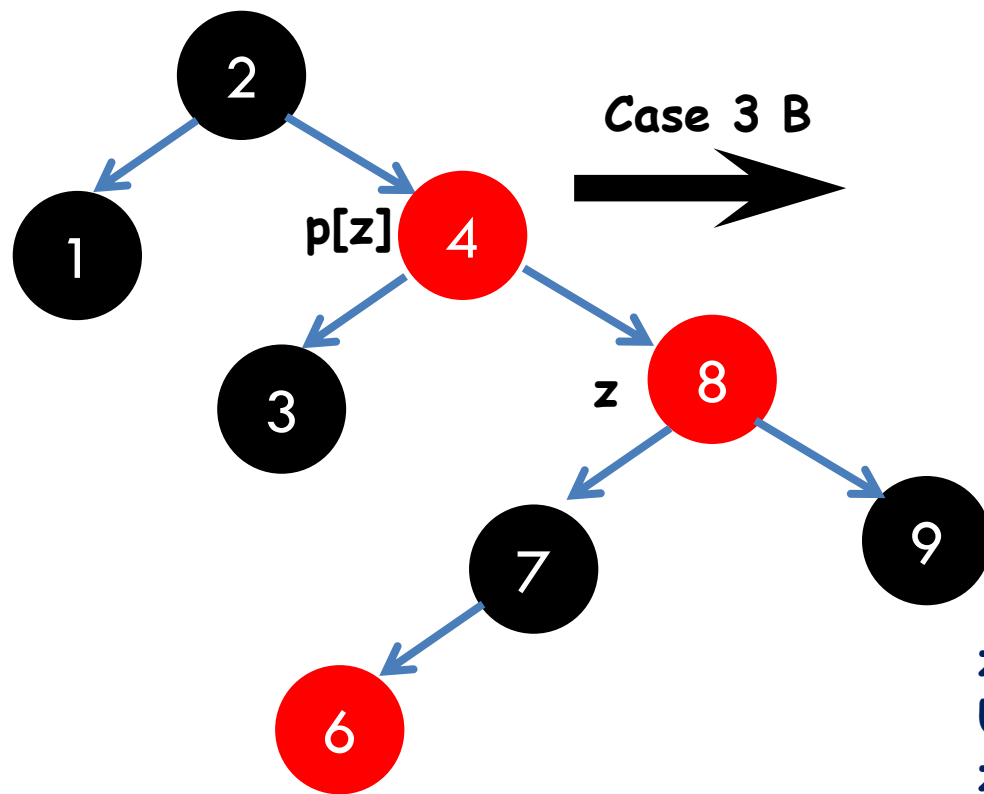
Solution: Right Rotation

$z \leftarrow p[z]$

RIGHT-ROTATE(T, z)



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z and $p[z]$ are both red
Uncle y is black
 z is the right child of $p[z]$

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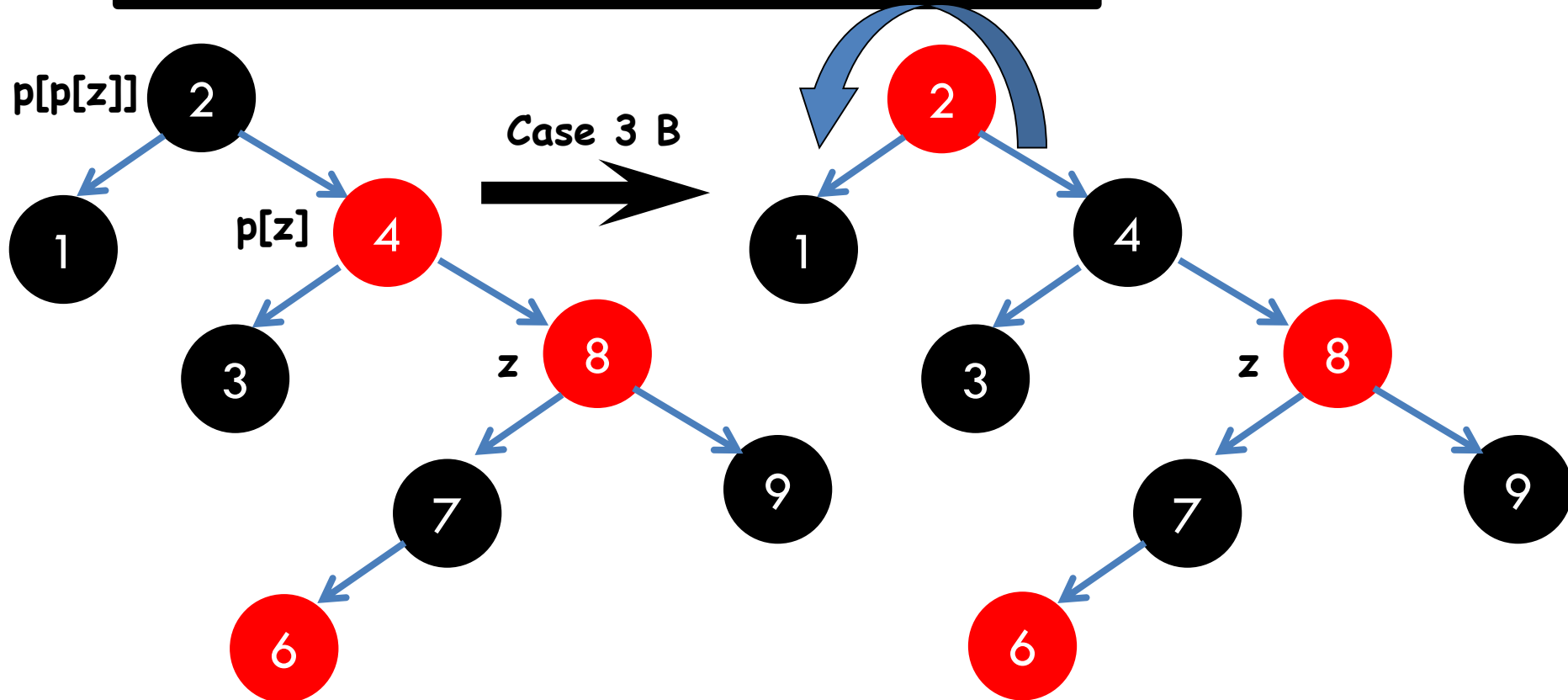
Case 3B

Solution: Recolor + LEFT-ROTATE AROUND $p[p[z]]$

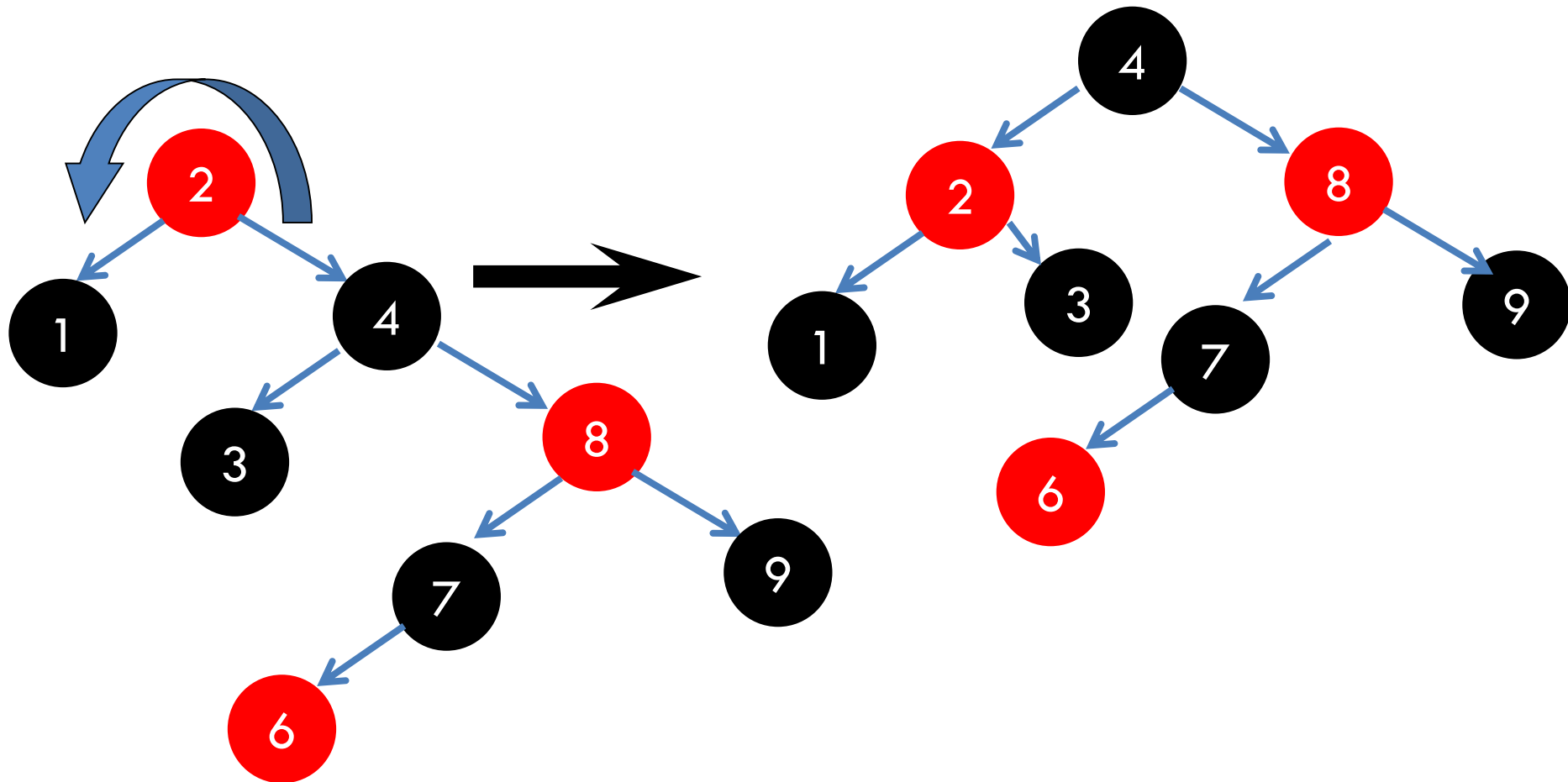
$\text{color}[p[z]] \leftarrow \text{BLACK}$

$\text{color}[p[p[z]]] \leftarrow \text{RED}$

$\text{LEFT-ROTATE}(T, p[p[z]])$



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RB Properties Affected by Insert

1. Every node is either **red** or black OK!
2. The root is black If z is the root \Rightarrow **not OK**
3. Every leaf (NIL) is black OK!
4. If a node is **red**, then both its children are black

If $p(z)$ is red \Rightarrow **not OK**
 z and $p(z)$ are both red
5. For each node, all paths from the node to descendant leaves contain the same number of black nodes

OK!

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Why is the colour of new node made red, why not black?

The color of new node(z) is made red because we have to maintain the property (5) which says

For each node, all paths from that node to descendent leaves contain the same number of black nodes